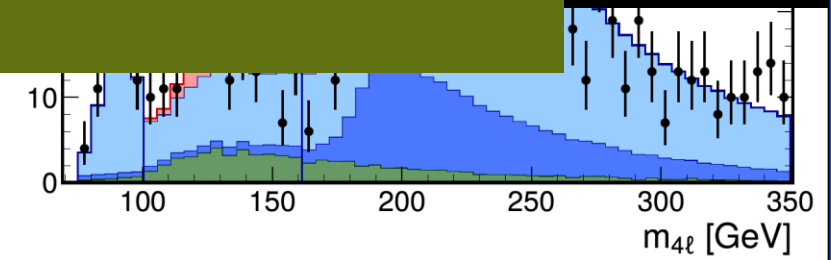
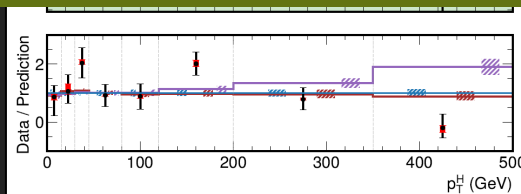
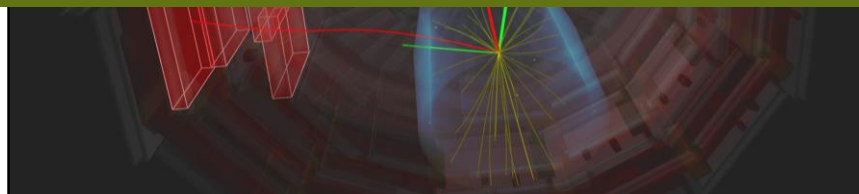


Higgs boson fiducial cross section at $\sqrt{s}=13.6$ TeV with the CMS detector

Nico Härringer
On behalf of the CMS collaboration
23.09.2024, Higgs Hunting 2024



-  Inclusive and differential fiducial cross section

- $H \rightarrow ZZ^* \rightarrow 4l$ ([CMS PAS HIG-24-013](#))

- $H \rightarrow \gamma\gamma$ ([CMS PAS HIG-23-014](#))

-  Dataset

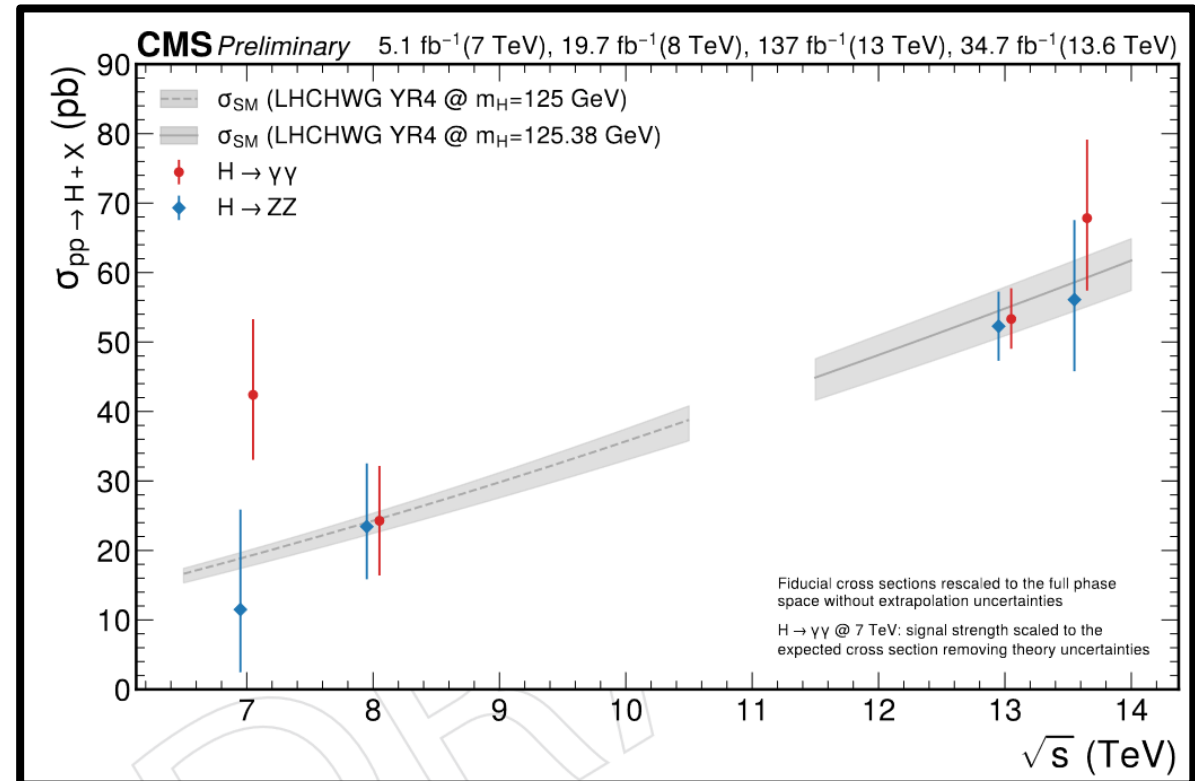
- Early Run 3 @ 13.6 TeV (2022, 34.7 fb⁻¹)

- ReReco: 13.8 fb⁻¹

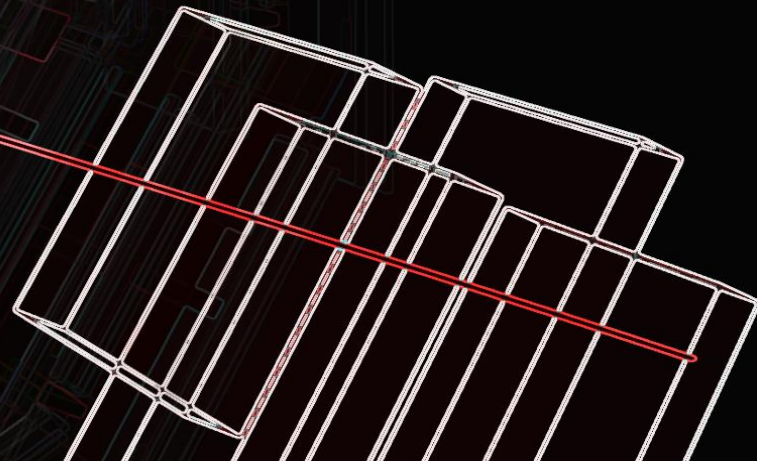
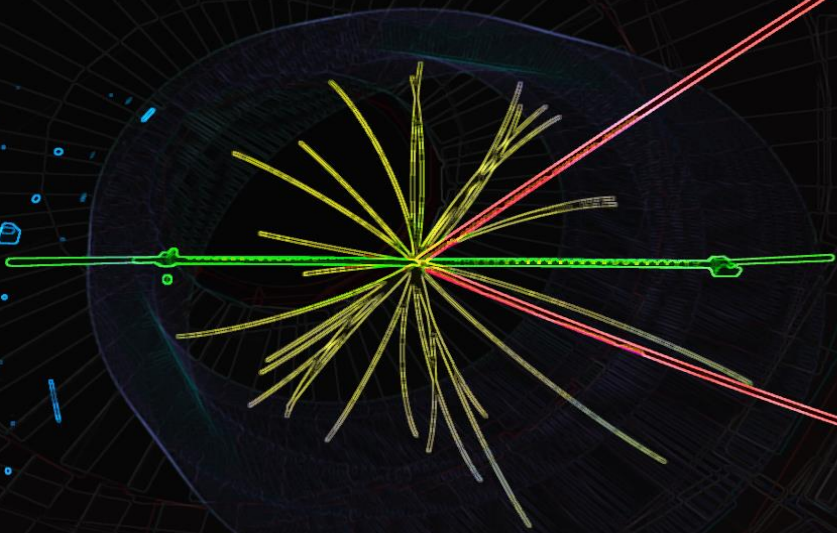
- Prompt: 20.9 fb⁻¹

-  Using new data format

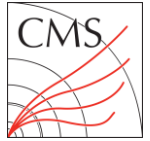
- Very lightweight (1-2 kB/Event)



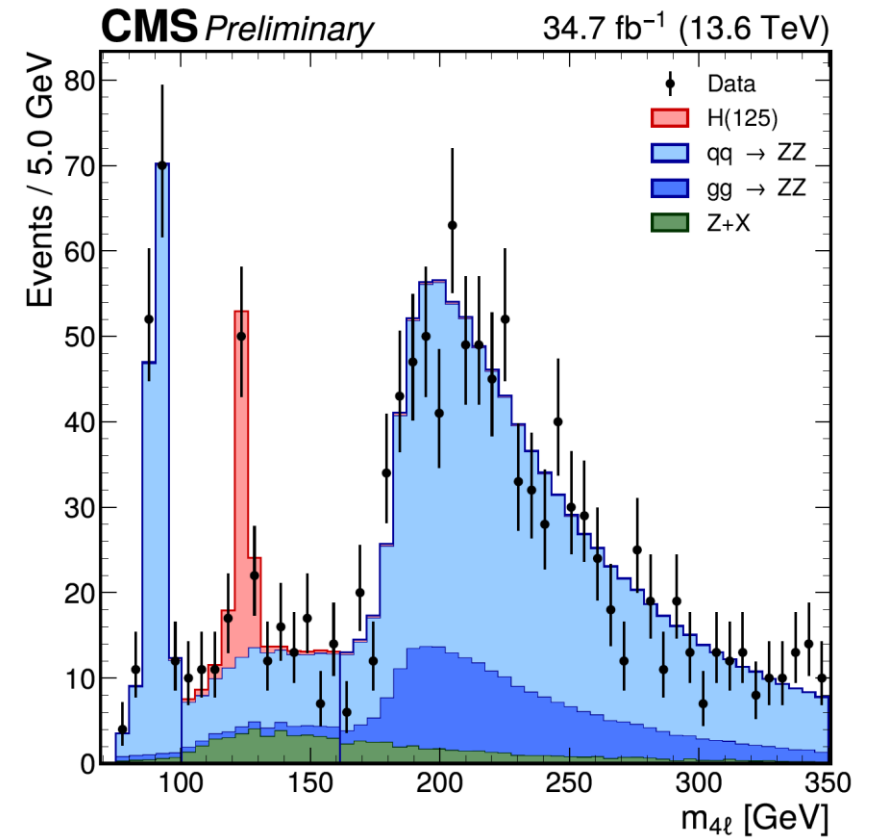
$$H \rightarrow ZZ^* \rightarrow 4l$$



$H \rightarrow ZZ^* \rightarrow 4l$: Description



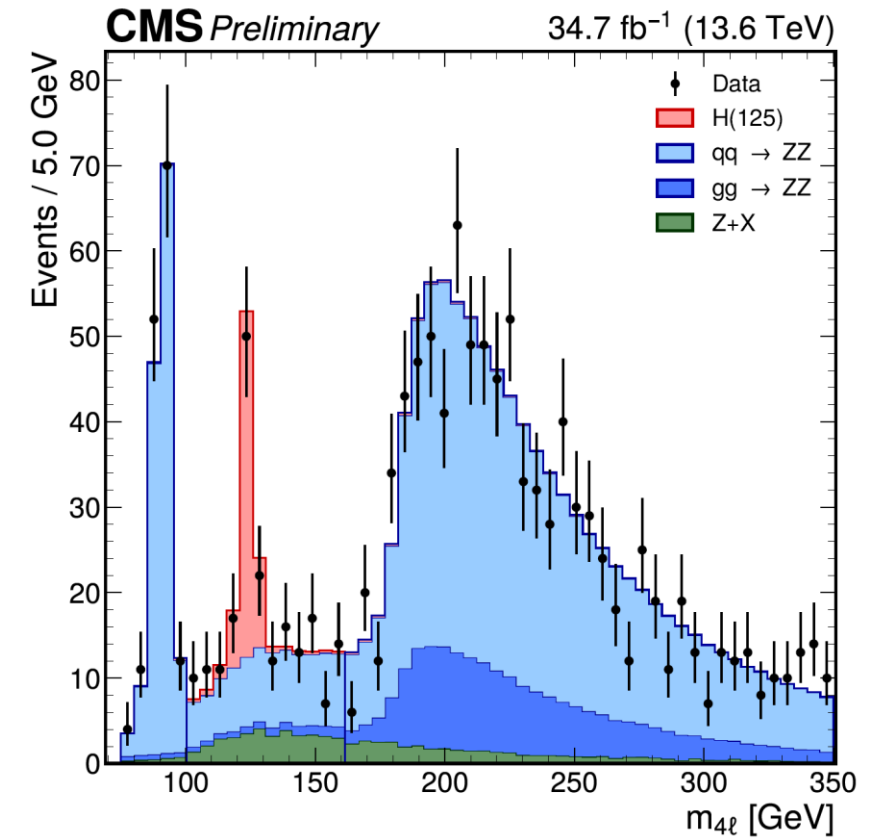
- Large $S/\sqrt{S+B}$ (golden channel)



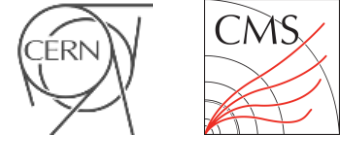
$H \rightarrow ZZ^* \rightarrow 4l$: Description



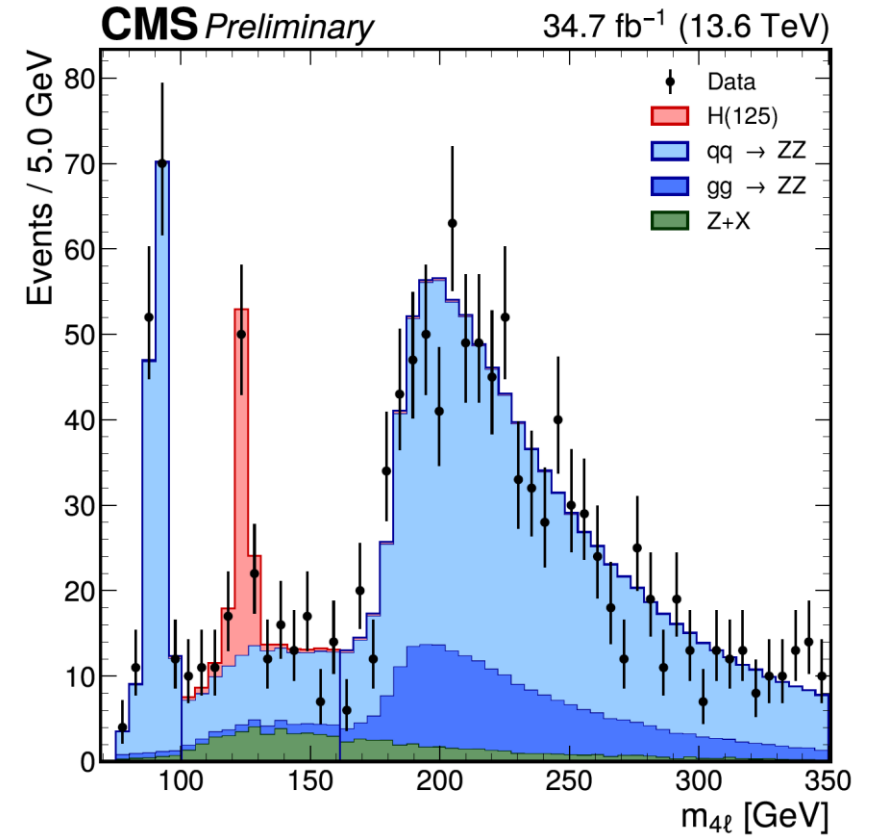
- Large $S/\sqrt{S+B}$ (golden channel)
- Same strategy as in Run 2 ([CMS PAS HIG-19-001](#))



$H \rightarrow ZZ^* \rightarrow 4l$: Description



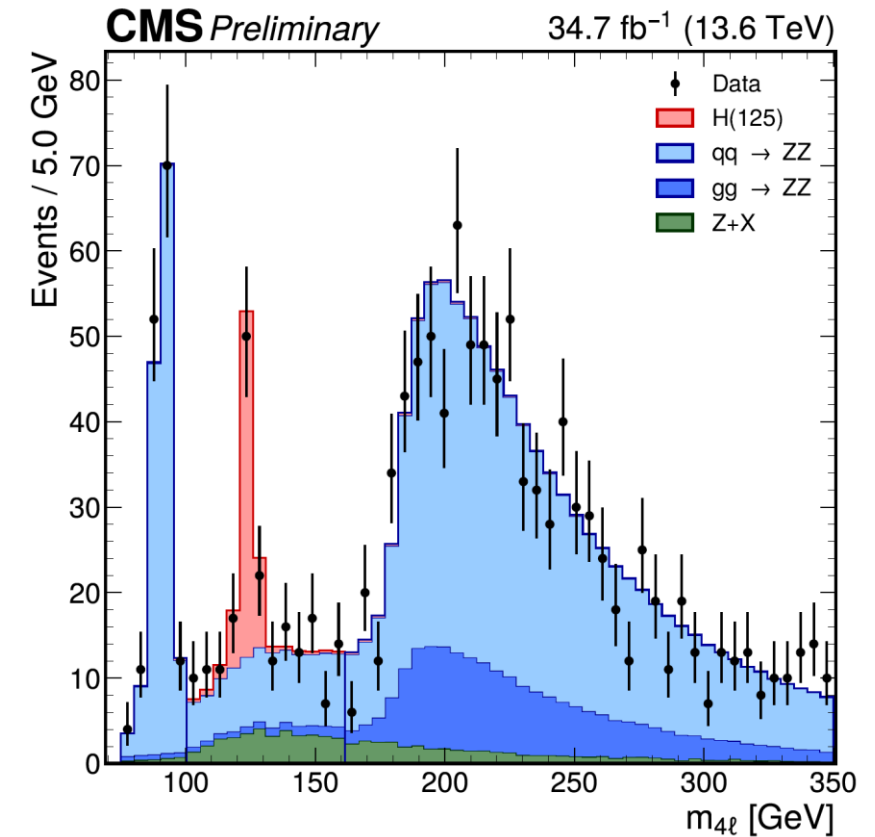
- Large $S/\sqrt{S+B}$ (golden channel)
- Same strategy as in Run 2 ([CMS PAS HIG-19-001](#))
 - Z candidates: OS SF lepton pairs,
 $12 < m_{ll} < 120$ GeV



$H \rightarrow ZZ^* \rightarrow 4l$: Description



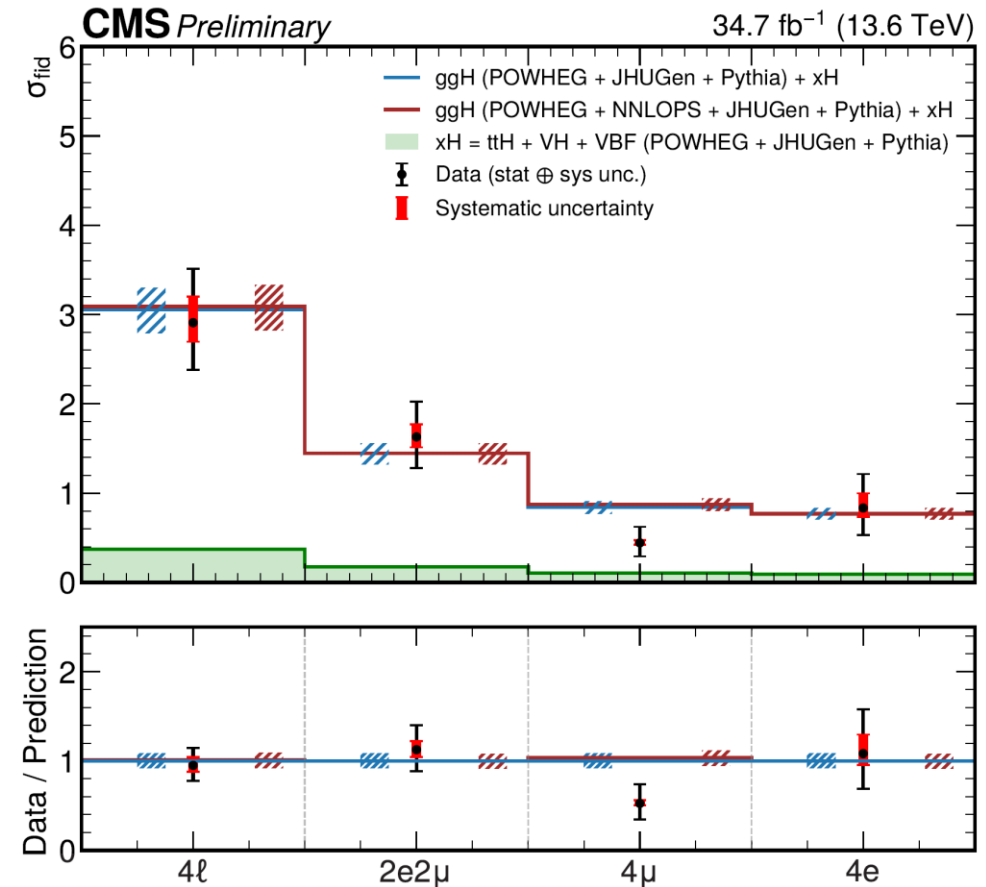
- Large $S/\sqrt{S+B}$ (golden channel)
- Same strategy as in Run 2 ([CMS PAS HIG-19-001](#))
 - Z candidates: OS SF lepton pairs, $12 < m_{ll} < 120$ GeV
 - ZZ candidates: m_{ll} closest to m_Z (Z_1), additional requirements on the four leptons



$H \rightarrow ZZ^* \rightarrow 4l$: Inclusive fiducial cross section



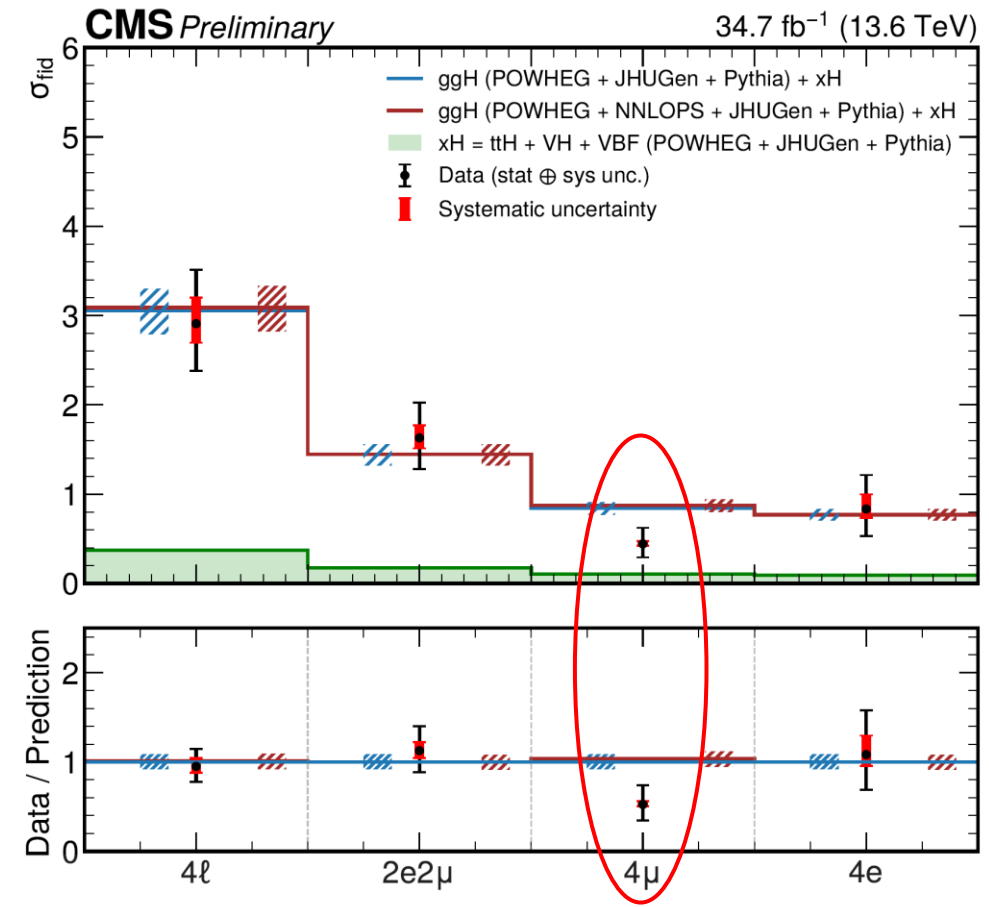
- Large $S/\sqrt{S+B}$ (golden channel)
- Same strategy as in Run 2 ([CMS PAS HIG-19-001](#))
 - Z candidates: OS SF lepton pairs, $12 < m_{ll} < 120$ GeV
 - ZZ candidates: m_{ll} closest to m_Z (Z_1), additional requirements on the four leptons
- Inclusive fiducial cross section
 - All decay modes combined



$H \rightarrow ZZ^* \rightarrow 4l$: Inclusive fiducial cross section



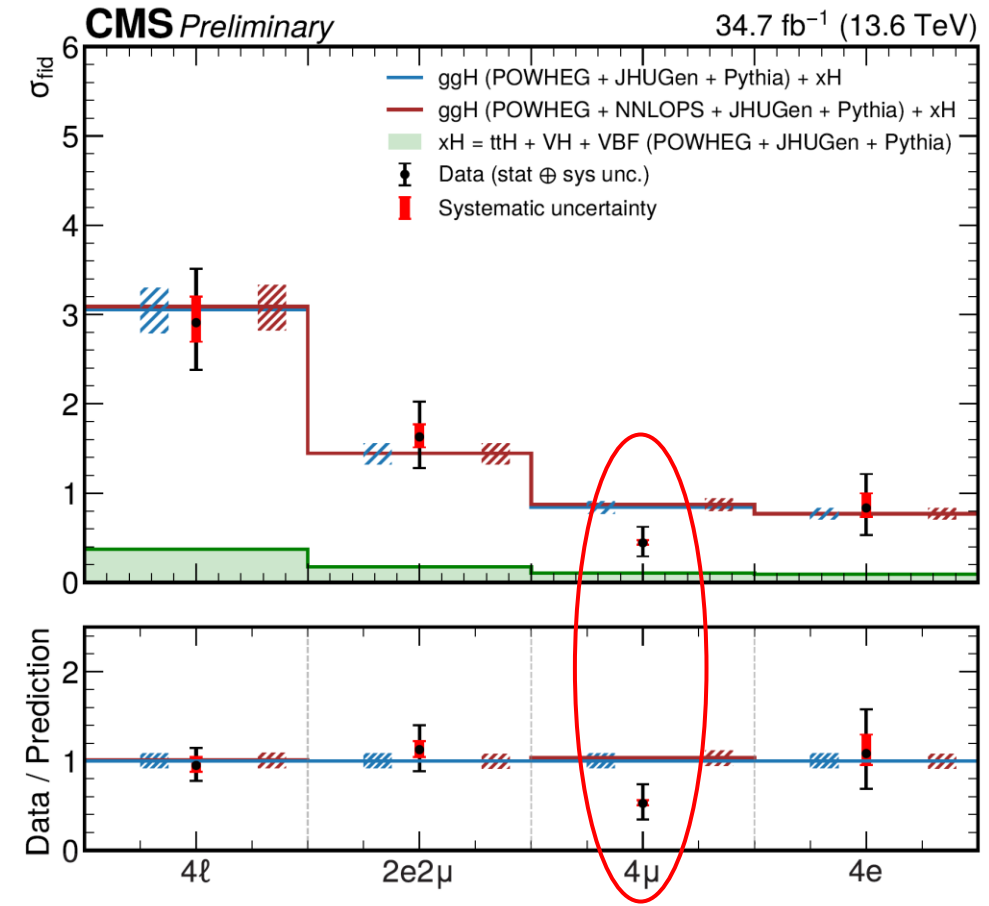
- Large $S/\sqrt{S+B}$ (golden channel)
- Same strategy as in Run 2 ([CMS PAS HIG-19-001](#))
 - Z candidates: OS SF lepton pairs, $12 < m_{ll} < 120$ GeV
 - ZZ candidates: m_{ll} closest to m_Z (Z_1), additional requirements on the four leptons
- Inclusive fiducial cross section
 - All decay modes combined
 - Smallest systematic uncertainty for 4μ



$H \rightarrow ZZ^* \rightarrow 4l$: Inclusive fiducial cross section



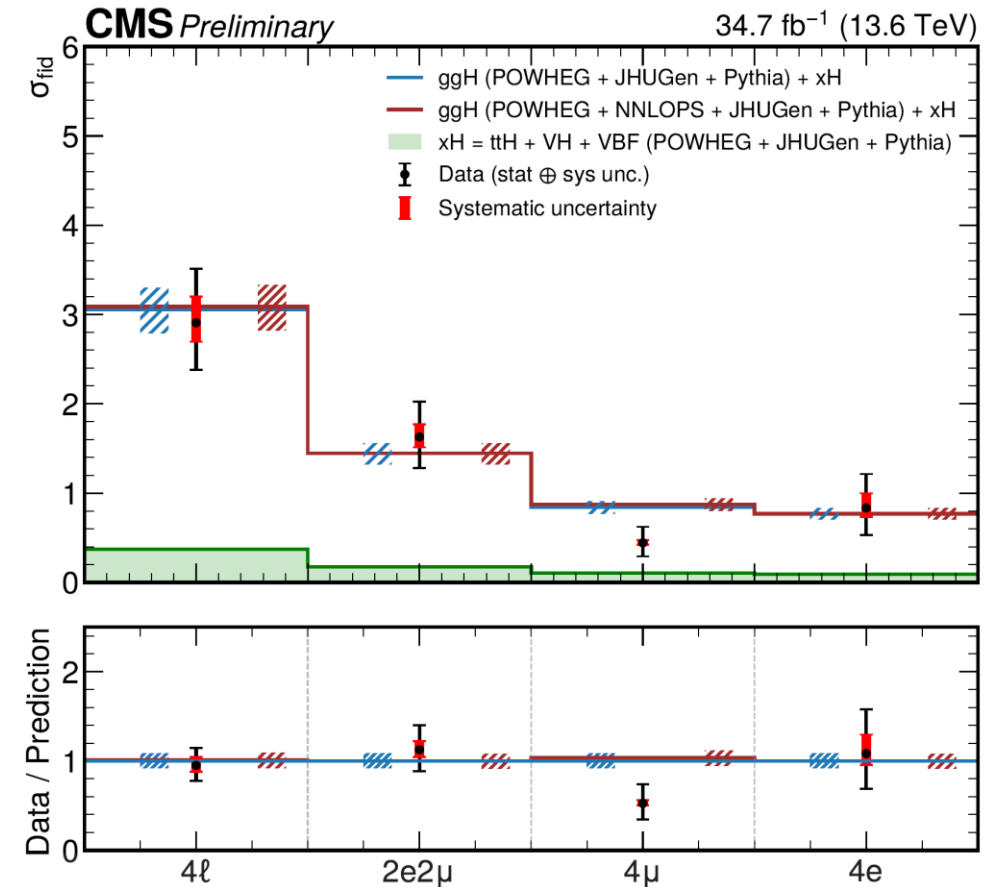
- Large $S/\sqrt{S+B}$ (golden channel)
- Same strategy as in Run 2 ([CMS PAS HIG-19-001](#))
 - Z candidates: OS SF lepton pairs, $12 < m_{ll} < 120$ GeV
 - ZZ candidates: m_{ll} closest to m_Z (Z_1), additional requirements on the four leptons
- Inclusive fiducial cross section
 - All decay modes combined
 - Smallest systematic uncertainty for 4μ
 - Use J/Ψ resonance for low $p_T \mu$ in TnP instead of Z



$H \rightarrow ZZ^* \rightarrow 4l$: Inclusive fiducial cross section



- Large $S/\sqrt{S+B}$ (golden channel)
- Same strategy as in Run 2 ([CMS PAS HIG-19-001](#))
 - Z candidates: OS SF lepton pairs, $12 < m_{ll} < 120$ GeV
 - ZZ candidates: m_{ll} closest to m_Z (Z_1), additional requirements on the four leptons
- Inclusive fiducial cross section
 - All decay modes combined
 - Smallest systematic uncertainty for 4μ
 - Use J/Ψ resonance for low $p_T \mu$ in TnP instead of Z
 - SM expectation: $3.09^{+0.27}_{-0.24}$ fb

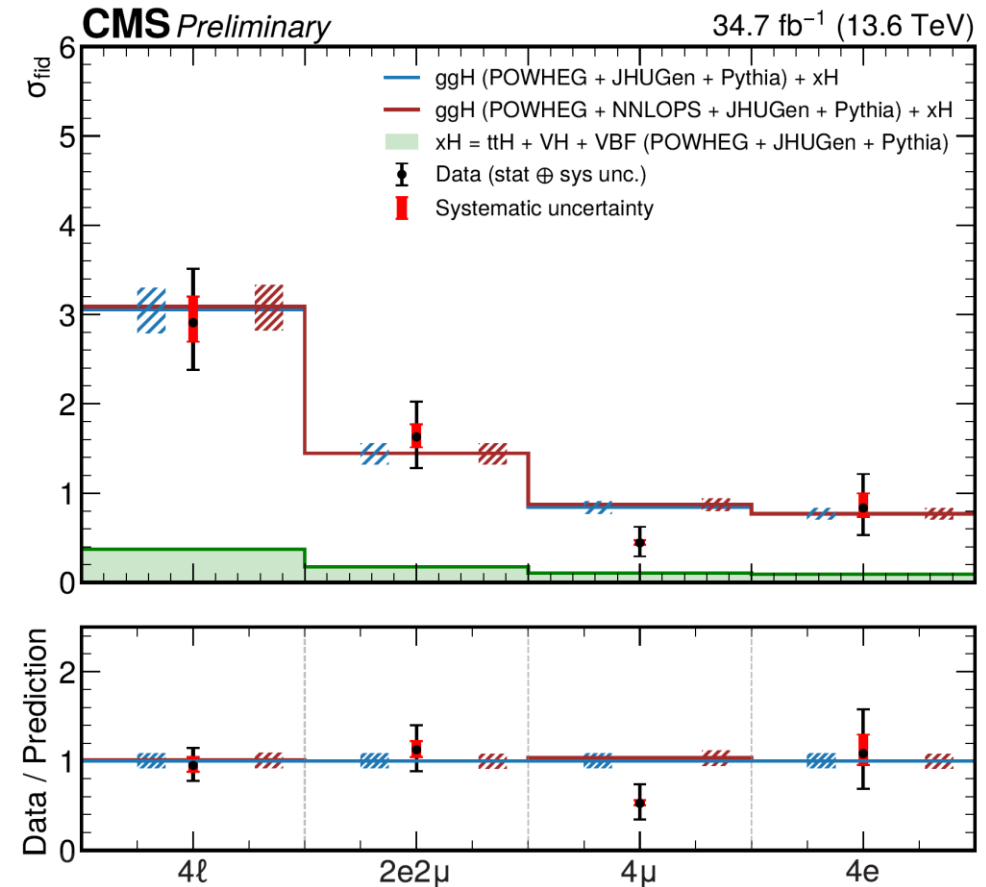


$H \rightarrow ZZ^* \rightarrow 4l$: Inclusive fiducial cross section

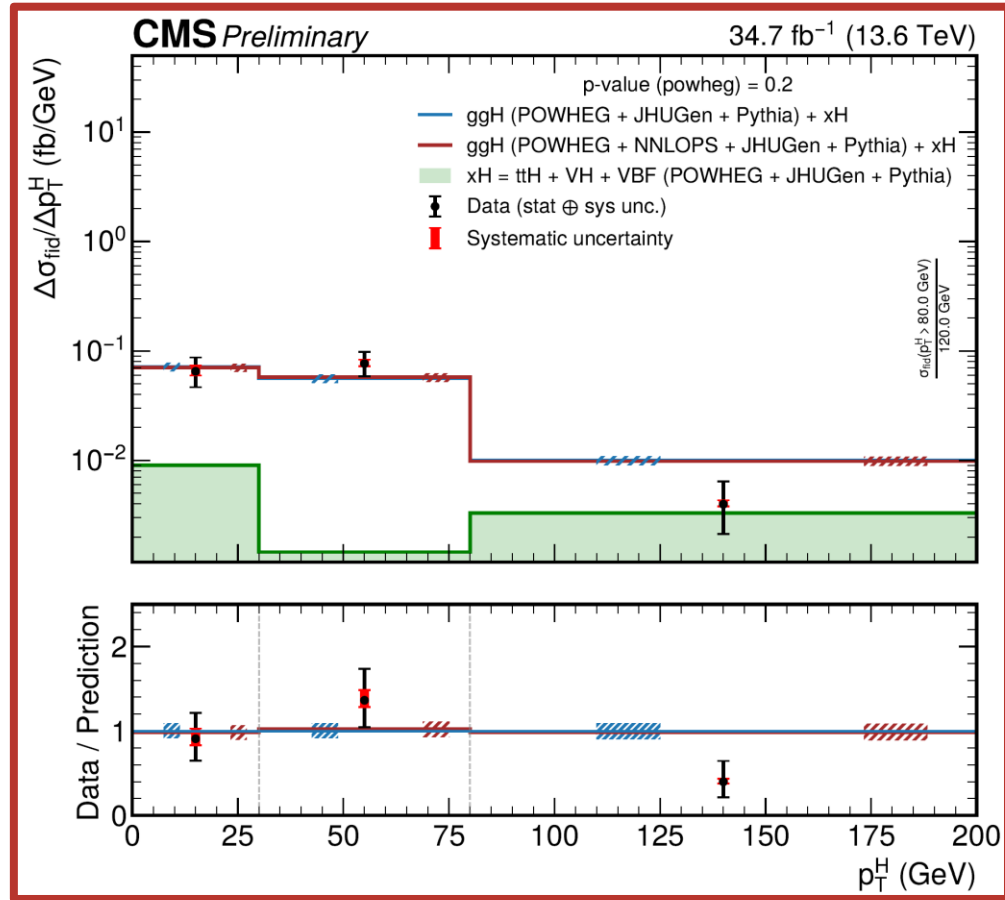


- Large $S/\sqrt{S+B}$ (golden channel)
- Same strategy as in Run 2 ([CMS PAS HIG-19-001](#))
 - Z candidates: OS SF lepton pairs, $12 < m_{ll} < 120$ GeV
 - ZZ candidates: m_{ll} closest to m_Z (Z_1), additional requirements on the four leptons
- Inclusive fiducial cross section
 - All decay modes combined
 - Smallest systematic uncertainty for 4μ
 - Use J/Ψ resonance for low $p_T \mu$ in TnP instead of Z
 - SM expectation: $3.09^{+0.27}_{-0.24}$ fb

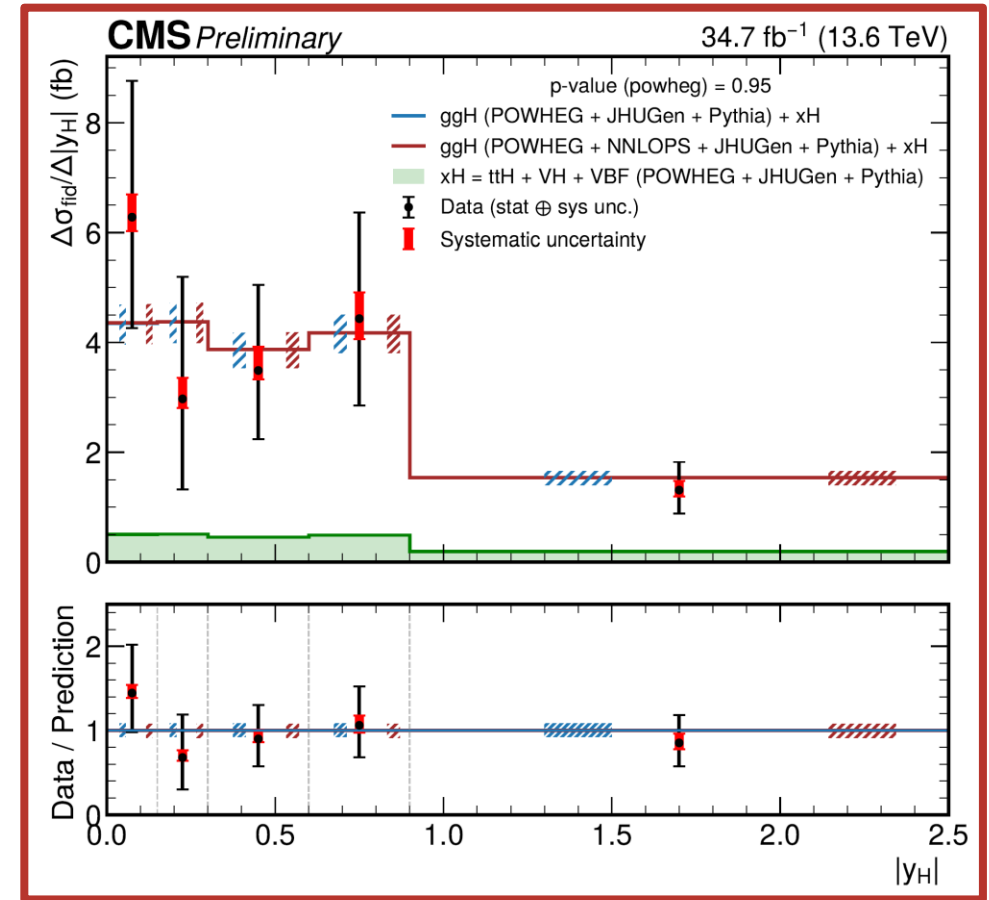
$$\sigma_{fid}^{4l} = 2.94^{+0.53}_{-0.49} \text{ (stat.) } ^{+0.29}_{-0.22} \text{ (syst.) fb}$$



$H \rightarrow ZZ^* \rightarrow 4l$: Differential fiducial cross section

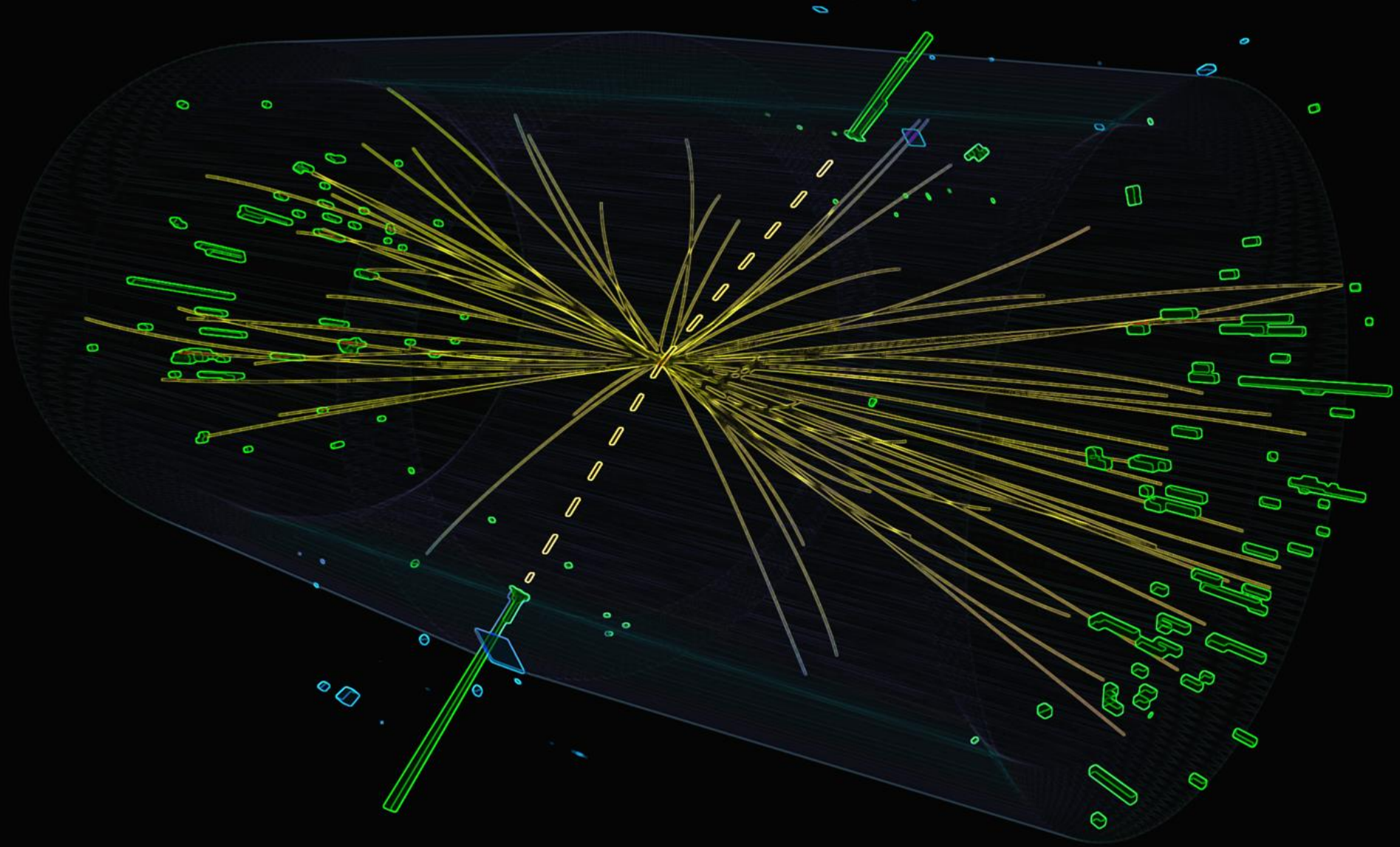


p_T^H

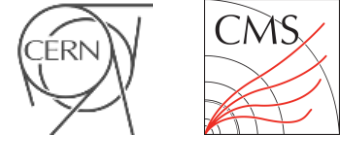


$|y_H|$

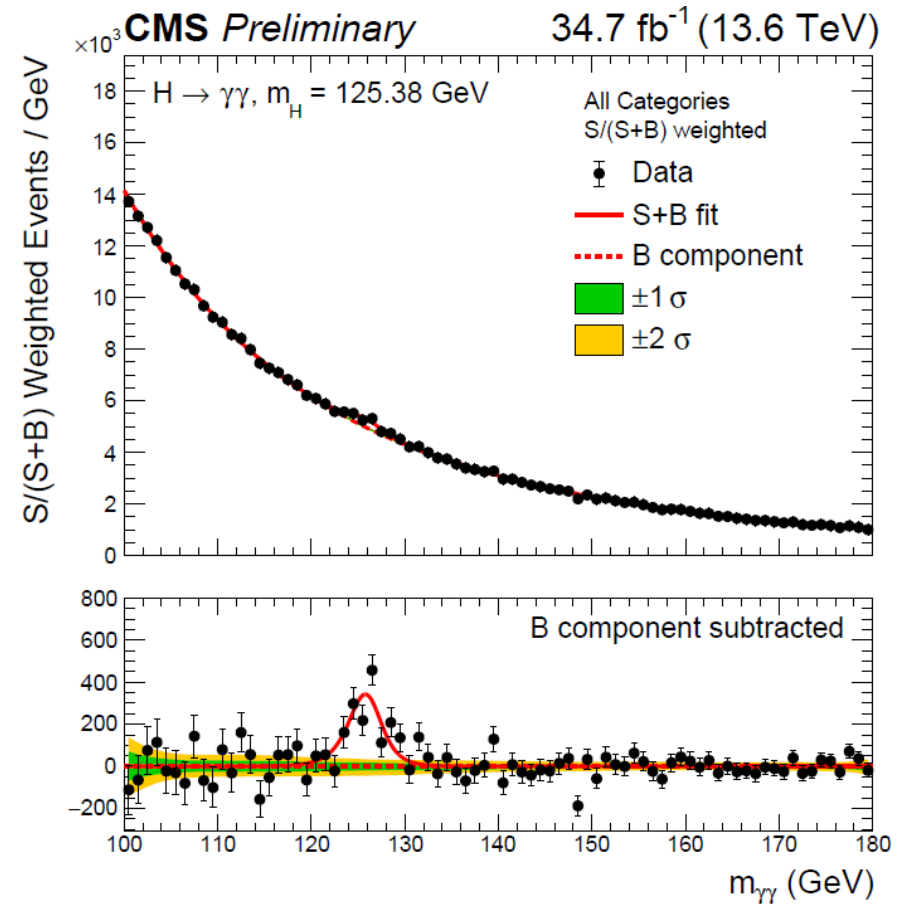
$$H \rightarrow \gamma\gamma$$



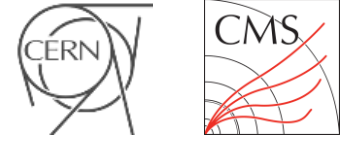
$H \rightarrow \gamma\gamma$: Description



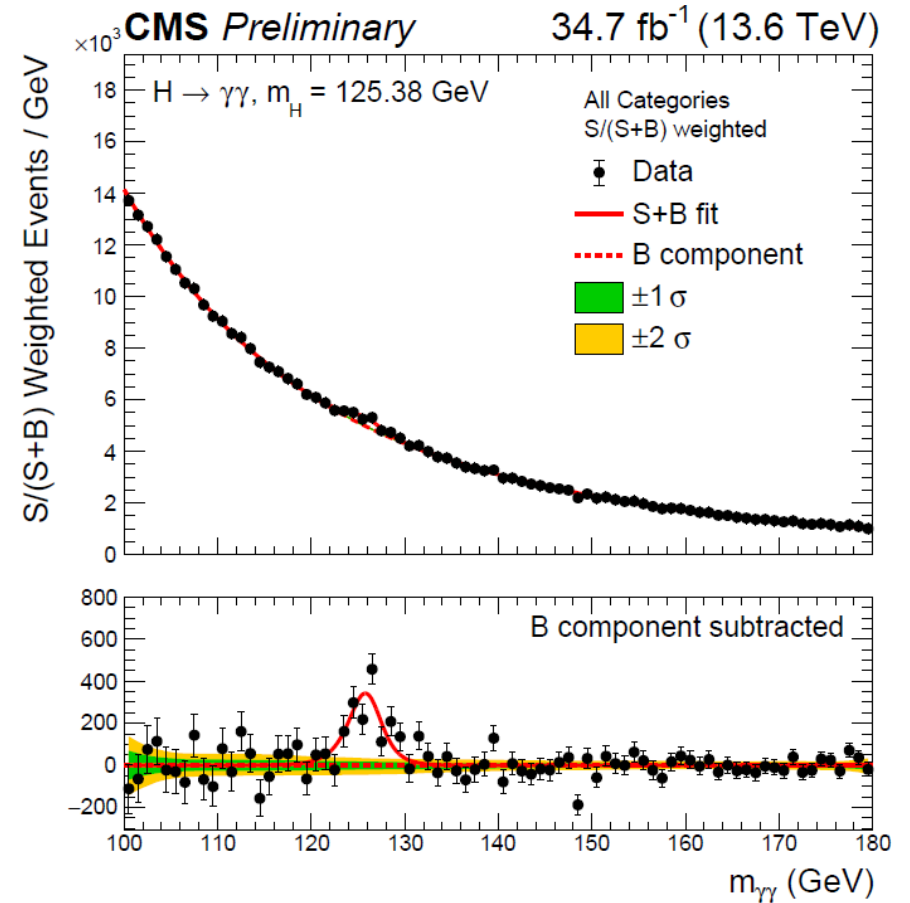
- Large background, small $S/\sqrt{S+B}$



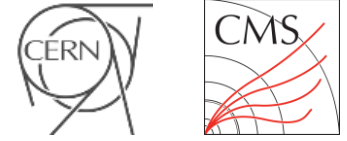
$H \rightarrow \gamma\gamma$: Description



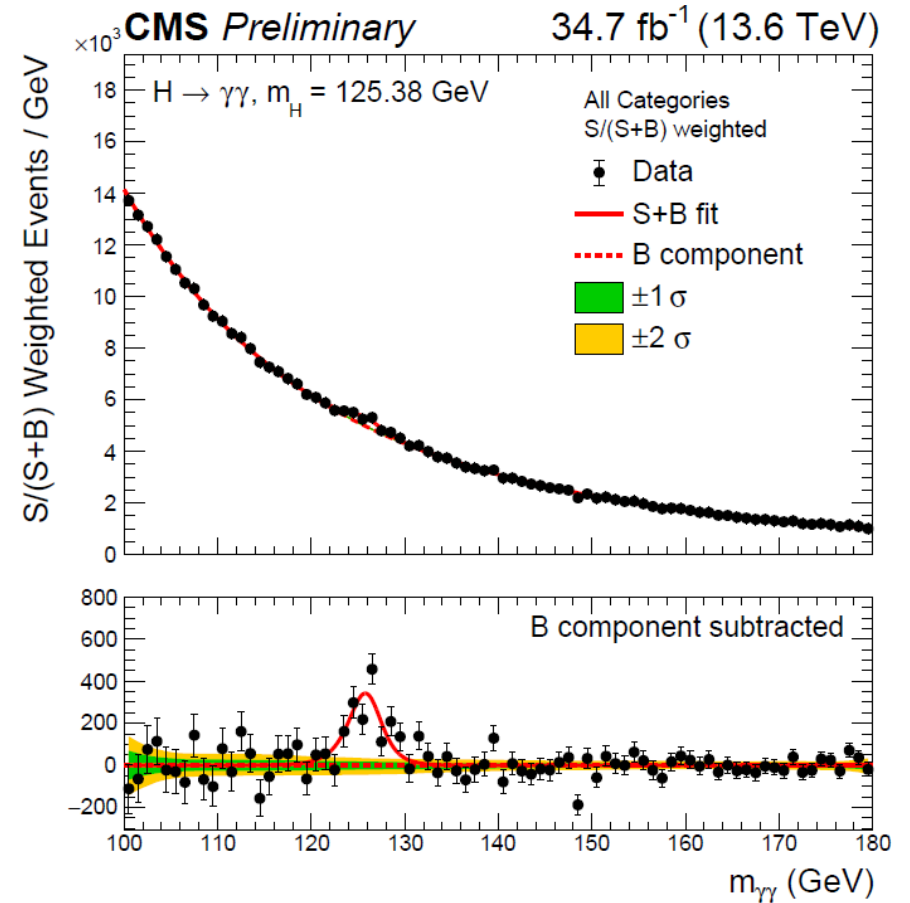
- Large background, small $S/\sqrt{S+B}$
- Novelties compared to Run 2 ([CMS PAPER HIG-17-025](#))



$H \rightarrow \gamma\gamma$: Description



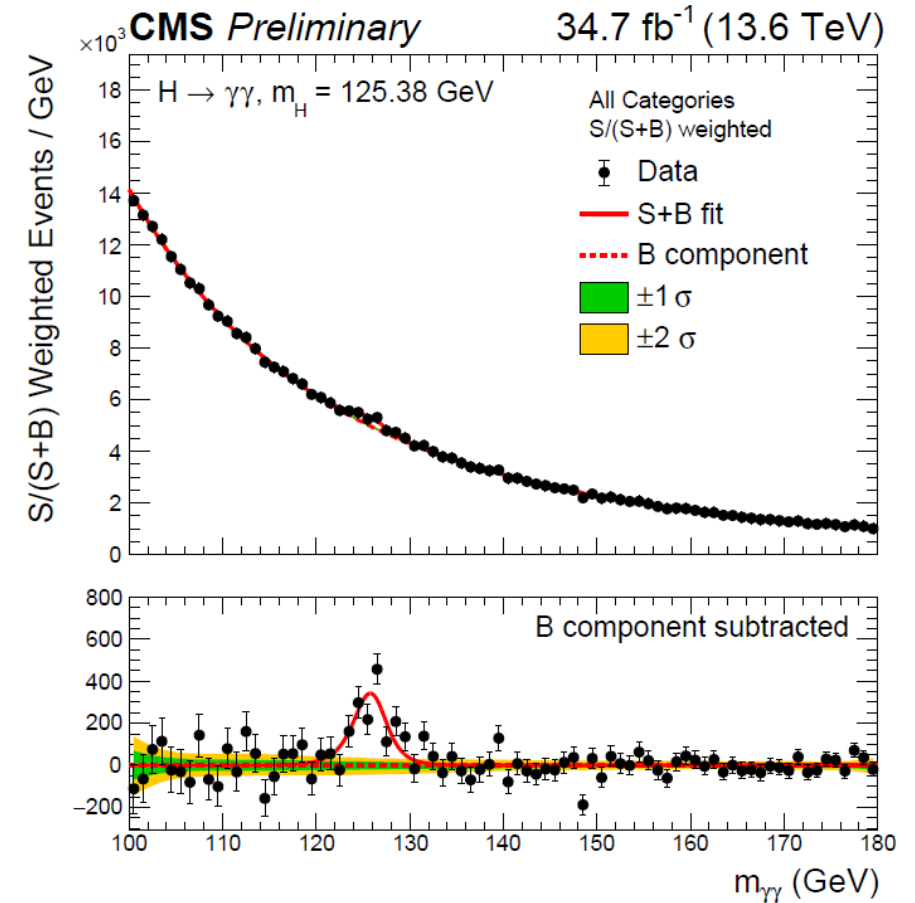
- Large background, small $S/\sqrt{S+B}$
- Novelties compared to Run 2 ([CMS PAPER HIG-17-025](#))
 - Data/MC corrections



$H \rightarrow \gamma\gamma$: Description




- Large background, small $S/\sqrt{S+B}$
- Novelties compared to Run 2 ([CMS PAPER HIG-17-025](#))
 - Data/MC corrections
 - Updated fiducial phase space



$H \rightarrow \gamma\gamma$: Normalizing Flows

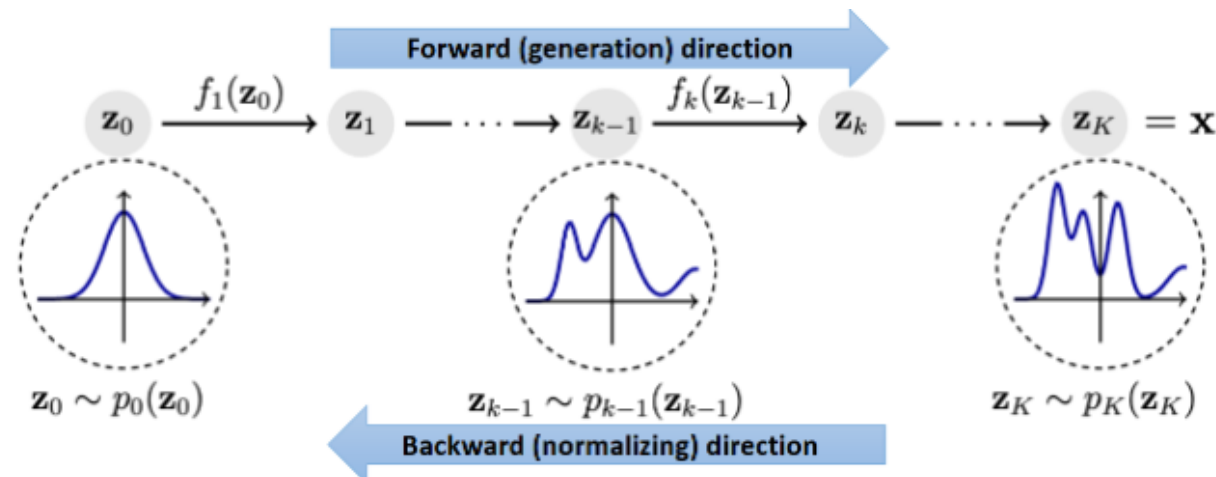


-  Non-negligible differences between Data and Simulation \rightarrow large systematic uncertainty
 - Solution: Bring data and simulation closer together, but how?

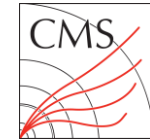
$H \rightarrow \gamma\gamma$: Normalizing Flows



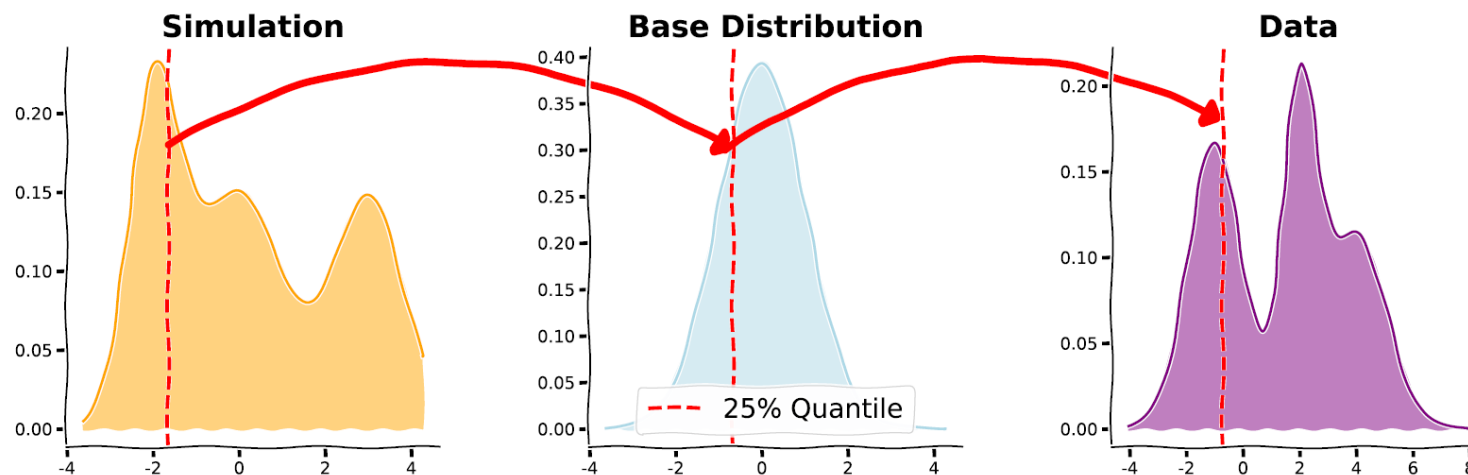
- ⚠ Non-negligible differences between Data and Simulation → large systematic uncertainty
 - Solution: Bring data and simulation closer together, but how?
- NEW Novelty in this analysis cycle: [Normalizing Flows](#)
 - Trained using $Z \rightarrow ee$ probes
 - Correct shower shape and isolation variables, as well as energy resolution



$H \rightarrow \gamma\gamma$: Normalizing Flows



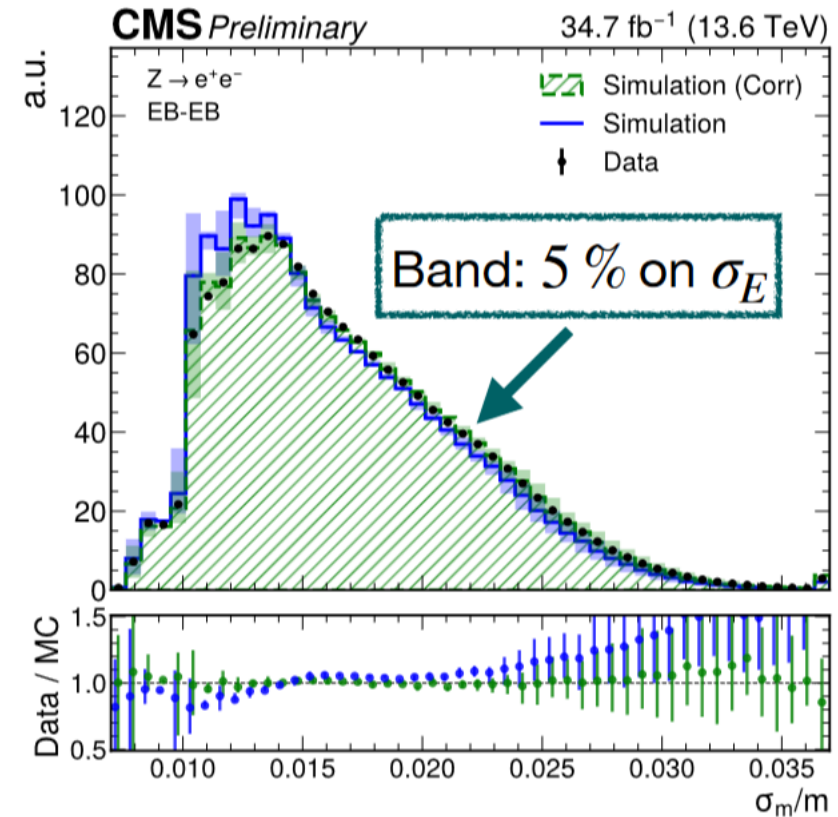
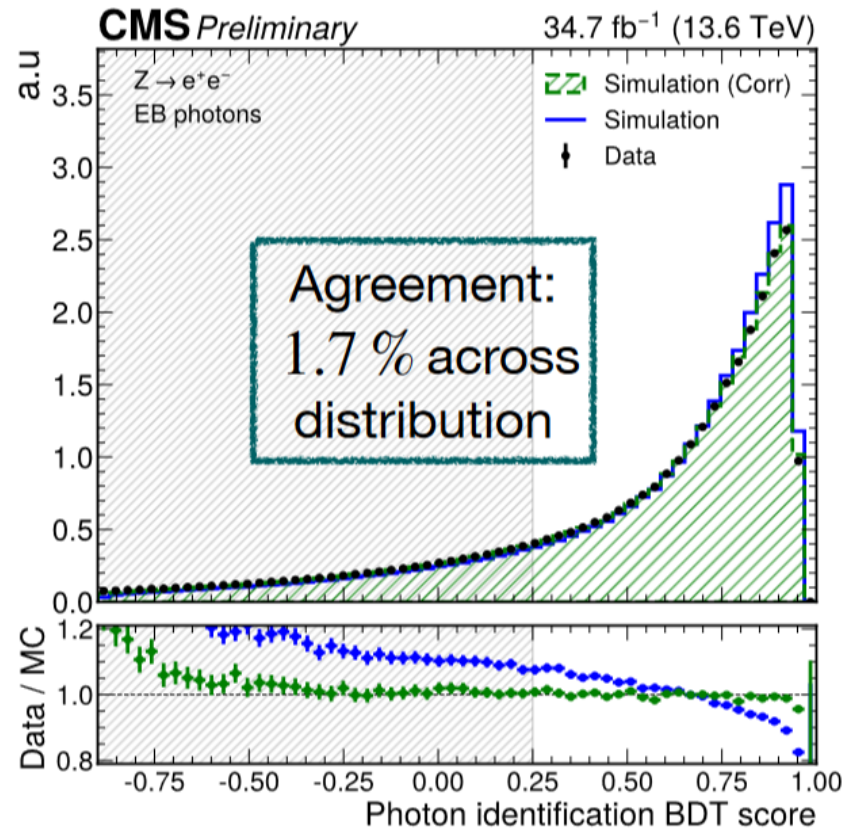
- ⚠ Non-negligible differences between Data and Simulation → large systematic uncertainty
 - Solution: Bring data and simulation closer together, but how?
- NEW Novelty in this analysis cycle: [Normalizing Flows](#)
 - Trained using $Z \rightarrow ee$ probes
 - Correct shower shape and isolation variables, as well as energy resolution
 - One flow ([paper](#))



$H \rightarrow \gamma\gamma$: Normalizing Flows



- Drastically improved agreement after correction



- Use relative isolation

$$\mathcal{I} = \sum_i^{\Delta R < 0.3} \frac{p_{T,i}}{p_T}$$



Fiducial phase space

$$\mathcal{I} \times p_T^\gamma < 10 \text{ GeV}$$

$$\sqrt{p_T^{\gamma_1} p_T^{\gamma_2}} / m_{\gamma\gamma} > 1/3$$

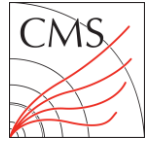
$$p_T^{\gamma_2} / m_{\gamma\gamma} > 1/4$$

$$|\eta^{\gamma_{1,2}}| \notin (1.4442, 1.566)$$

$$|\eta^{\gamma_{1,2}}| < 2.5$$

New geometric cut [1], improves perturbative convergence in fiducial region

$H \rightarrow \gamma\gamma$: Fiducial phase space and jet definition



- Use relative isolation

$$\mathcal{I} = \sum_i^{\Delta R < 0.3} \frac{p_{T,i}}{p_T}$$



Fiducial phase space

$$\mathcal{I} \times p_T^\gamma < 10 \text{ GeV}$$

$$\sqrt{p_T^{\gamma_1} p_T^{\gamma_2}} / m_{\gamma\gamma} > 1/3$$

$$p_T^{\gamma_2} / m_{\gamma\gamma} > 1/4$$

$$|\eta^{\gamma_{1,2}}| \notin (1.4442, 1.566)$$

$$|\eta^{\gamma_{1,2}}| < 2.5$$

New geometric cut [1], improves perturbative convergence in fiducial region

- Jet definition @ particle level:

- Anti- k_T clustering of stable particles
- Distance parameter: $\Delta R < 0.4$
- Jets removed if overlap with e (μ) within $\Delta R < 0.4$
 - $p_T > 15$ (10) GeV, $|\eta| < 2.5$ (2.4), $I < 0.2$

- Use relative isolation

$$\mathcal{I} = \sum_i^{\Delta R < 0.3} \frac{p_{T,i}}{p_T}$$



Fiducial phase space

$$\mathcal{I} \times p_T^\gamma < 10 \text{ GeV}$$

$$\sqrt{p_T^{\gamma_1} p_T^{\gamma_2}} / m_{\gamma\gamma} > 1/3$$

$$p_T^{\gamma_2} / m_{\gamma\gamma} > 1/4$$

$$|\eta^{\gamma_{1,2}}| \notin (1.4442, 1.566)$$

$$|\eta^{\gamma_{1,2}}| < 2.5$$

New geometric cut [1], improves perturbative convergence in fiducial region

- Jet definition @ particle level:

- Anti- k_T clustering of stable particles
- Distance parameter: $\Delta R < 0.4$
- Jets removed if overlap with e (μ) within $\Delta R < 0.4$
 - $p_T > 15$ (10) GeV, $|\eta| < 2.5$ (2.4), $I < 0.2$

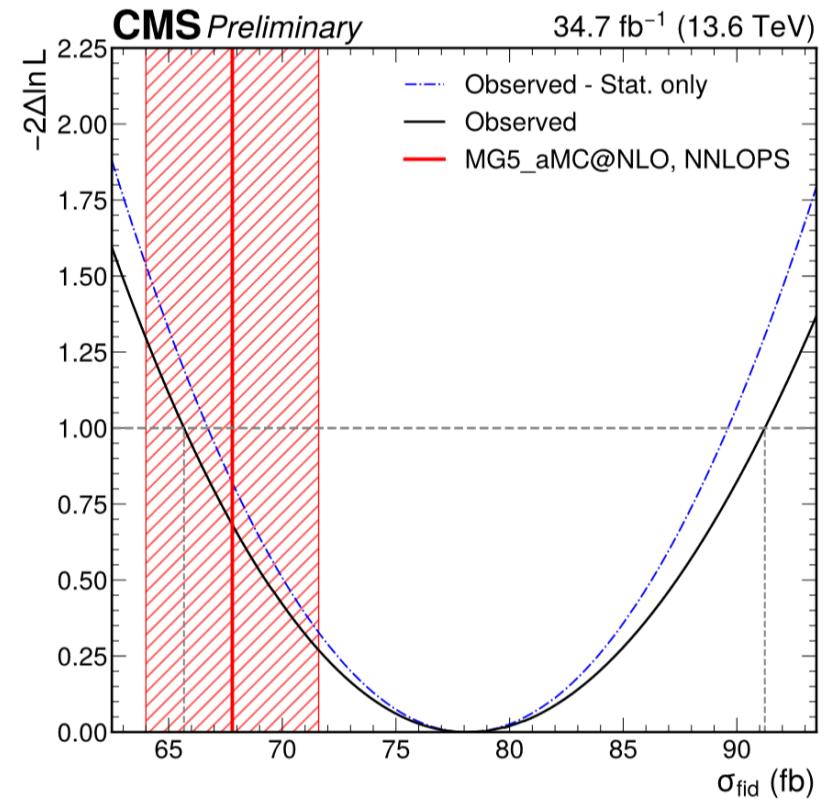
Matches jet definition @ RECO level

$H \rightarrow \gamma\gamma$: Inclusive fiducial cross section

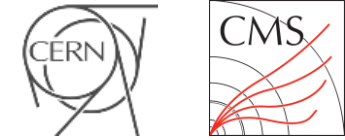


- Inclusive result

$$-\sigma_{fid} = 78 \pm 11(\text{stat.}) \pm 5(\text{syst.}) \text{ fb} = 78_{-12}^{+13} \text{ fb}$$

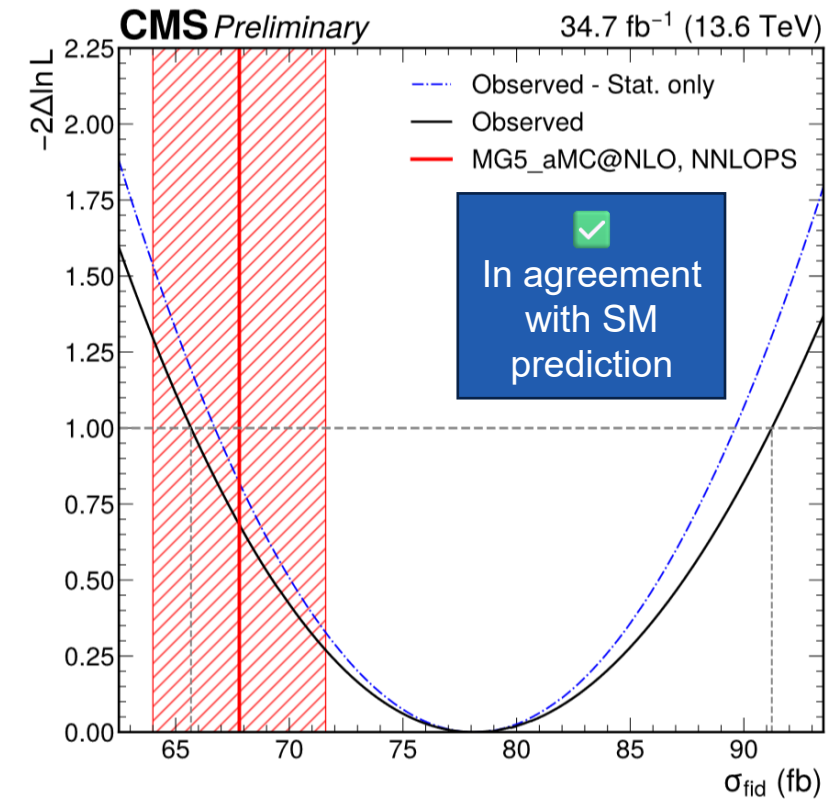


$H \rightarrow \gamma\gamma$: Inclusive fiducial cross section

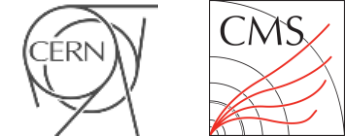


- Inclusive result

$$-\sigma_{fid} = 78 \pm 11(\text{stat.}) \pm 5(\text{syst.}) \text{ fb} = 78_{-12}^{+13} \text{ fb}$$



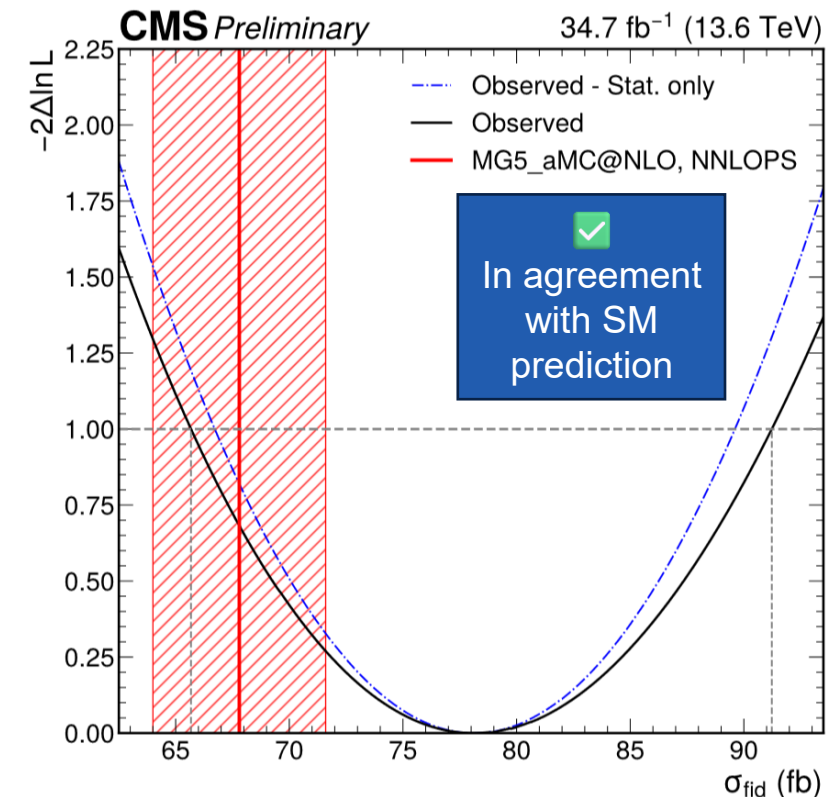
$H \rightarrow \gamma\gamma$: Inclusive fiducial cross section



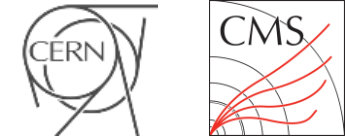
- Inclusive result

- $\sigma_{fid} = 78 \pm 11(\text{stat.}) \pm 5(\text{syst.}) \text{ fb} = 78_{-12}^{+13} \text{ fb}$
- Systematic uncertainties
 - Dominated by energy scale and resolution

Systematic uncertainty	Magnitude
Photon energy scale and resolution group	+5.8%/ – 4.9%
Category migration from energy resolution	+3.5%/ – 3.9%
Integrated luminosity	$\pm 1.4\%$
Photon preselection efficiency	$\pm 1.4\%$
Energy scale non-linearity	+0.8%/ – 1.6%
Photon identification efficiency	$\pm 1.0\%$
Pileup reweighting	$\pm 0.8\%$



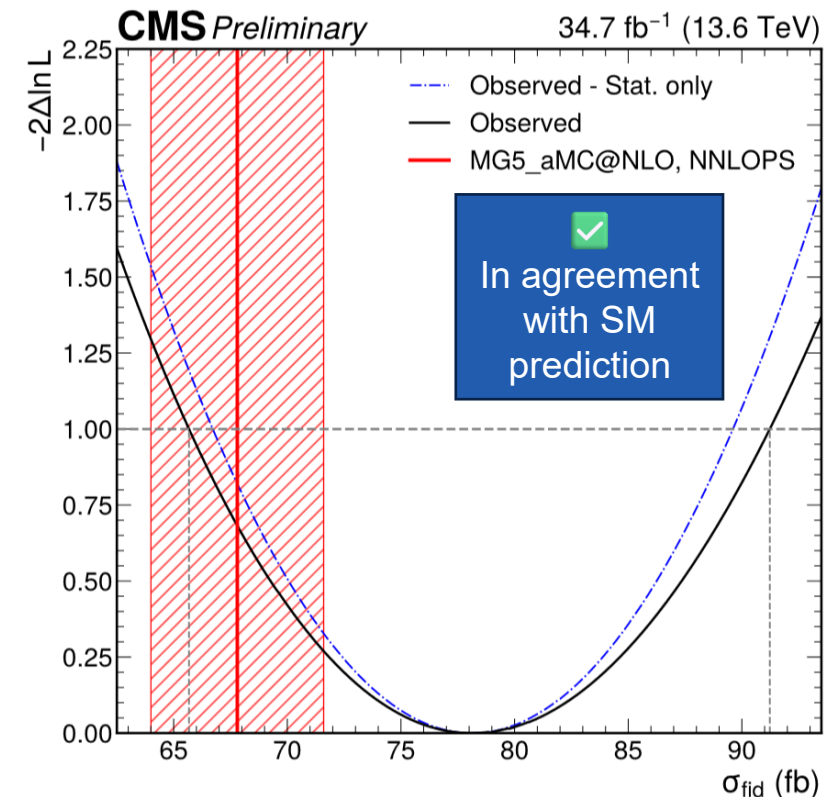
$H \rightarrow \gamma\gamma$: Inclusive fiducial cross section



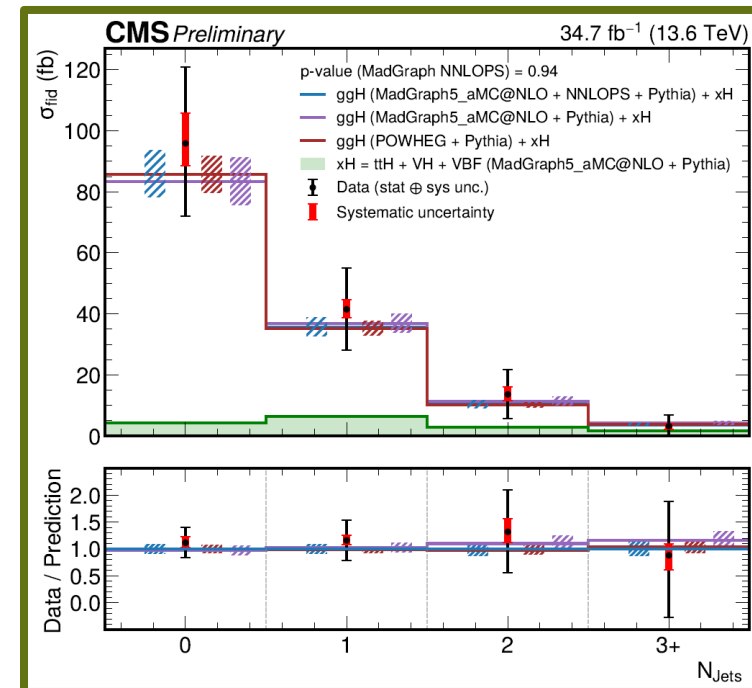
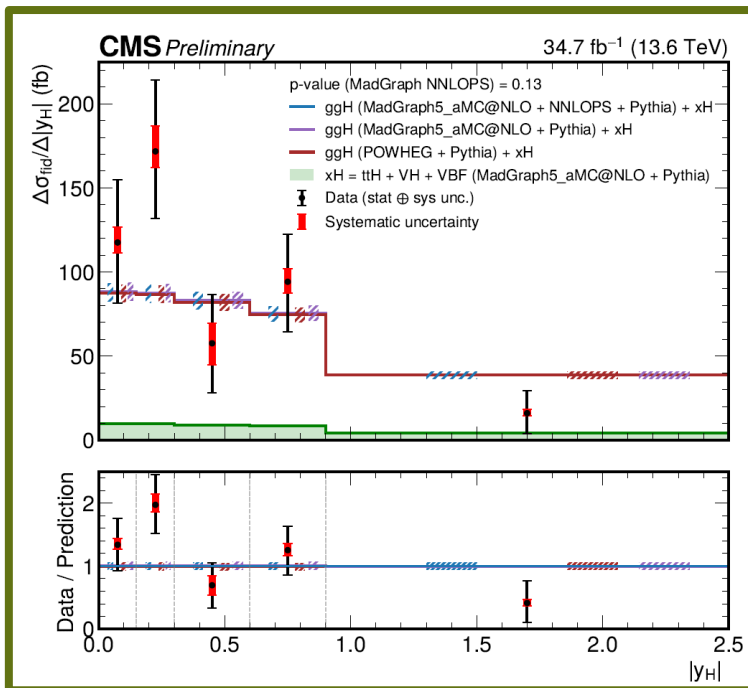
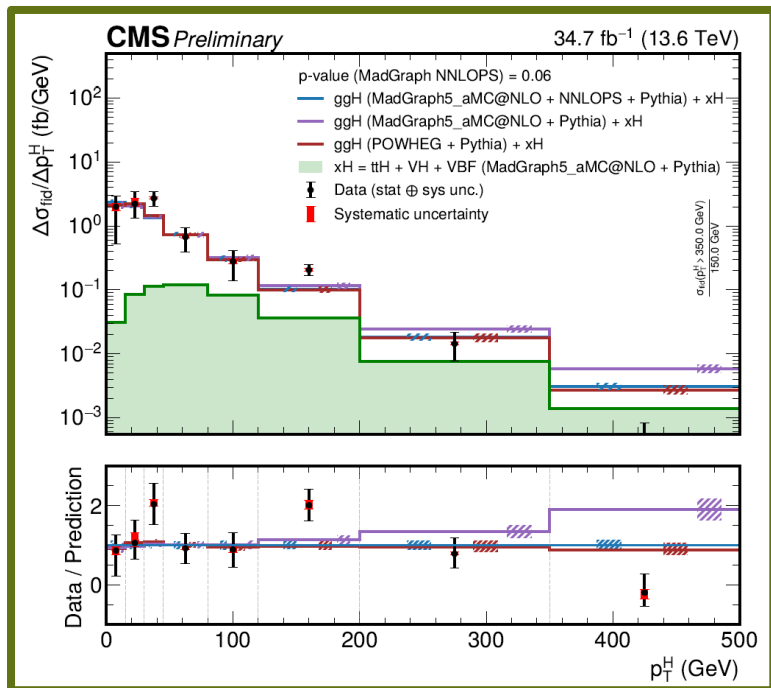
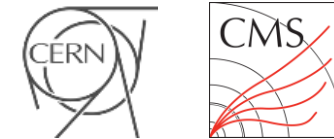
- Inclusive result

- $\sigma_{fid} = 78 \pm 11(\text{stat.}) \pm 5(\text{syst.}) \text{ fb} = 78_{-12}^{+13} \text{ fb}$
- Systematic uncertainties
 - Dominated by energy scale and resolution

Systematic uncertainty	Magnitude
Photon energy scale and resolution group	+5.8%/ – 4.9%
Category migration from energy resolution	+3.5%/ – 3.9%
Integrated luminosity	$\pm 1.4\%$
Photon preselection efficiency	$\pm 1.4\%$
Energy scale non-linearity	+0.8%/ – 1.6%
Photon identification efficiency	$\pm 1.0\%$
Pileup reweighting	$\pm 0.8\%$



$H \rightarrow \gamma\gamma$: Differential fiducial cross section



p_T^H

$|y_H|$

N_{Jets}

p_T^H [GeV]	0	15	30	45	80	120	200	350	∞
y^H	0	0.15	0.3	0.6	0.9	2.5			
N_{jets}	0	1	2	3	∞				

Conclusion

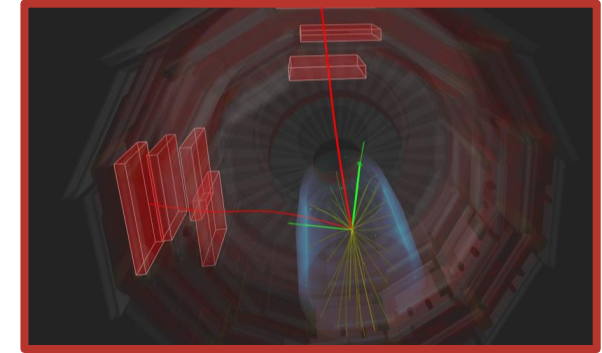
Summary



- Presented the first Higgs results using CMS data collected during the ongoing Run 3

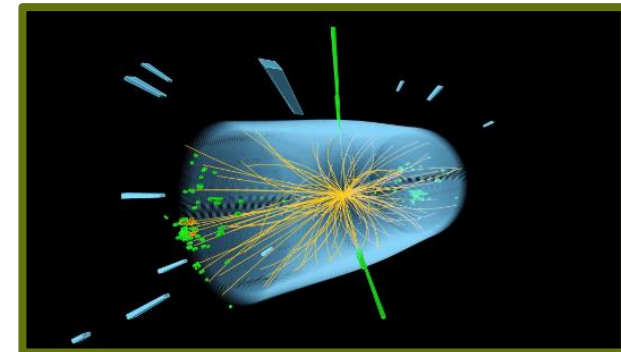
- $H \rightarrow ZZ^* \rightarrow 4l$:

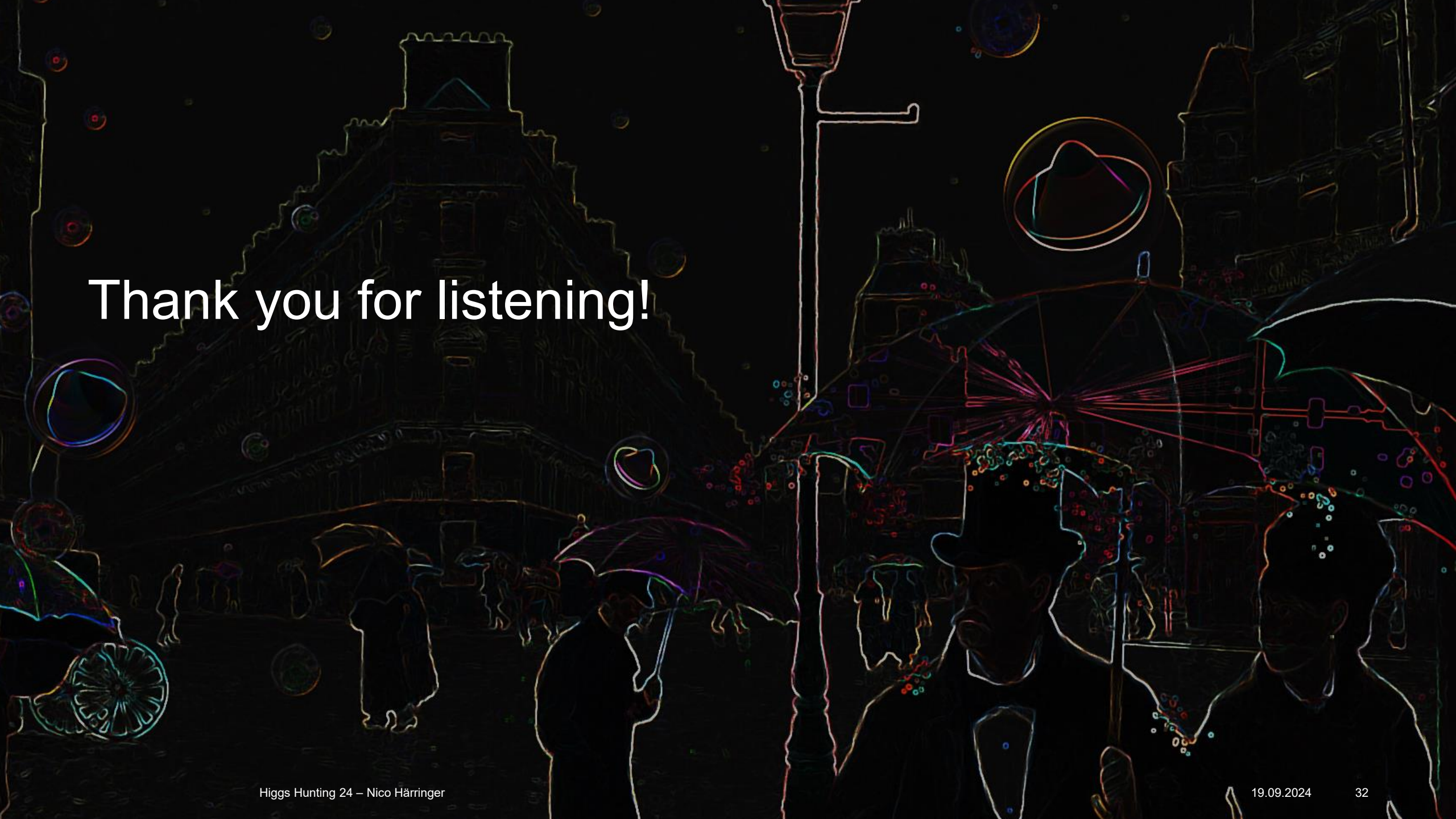
- Inclusive & differential cross sections
 - In agreement with prediction



- $H \rightarrow \gamma\gamma$:

- Normalizing Flow
 - One flow to correct all ingredients for BDT and mass resolution
- New geometric cut: Improves perturbative convergence in fiducial region
- Inclusive & differential cross sections
 - In agreement with prediction





Thank you for listening!

$H \rightarrow ZZ^* \rightarrow 4l$: Backup

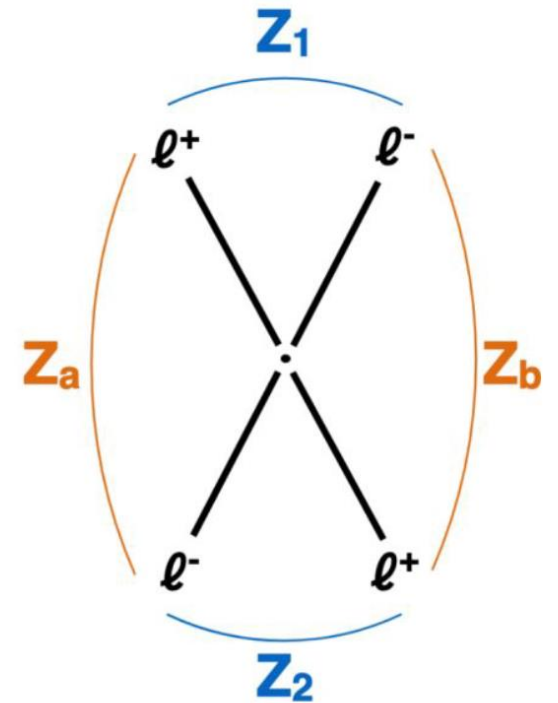
Z Candidates: OS SF lepton pairs, with $12 < m_{ll} < 120$ GeV

ZZ Candidates: pairs of non-overlapping Z cand, Z_1 is the candidate with mass closest to m_Z nominal

- $m_{Z_1} > 40$ GeV
- $pT_{l_1} > 20$ GeV, $pT_{l_2} > 10$ GeV
- $\Delta R > 0.02$ between each of the 4 leptons
- $m_{ll} > 4$ GeV for OS pairs
- reject $4e$ and 4μ candidates where the alternative pairing satisfies $|m_{Z_a} - m_Z| < |m_{Z_1} - m_Z|$ AND $m_{Z_b} < 12$ GeV
- $m_{4l} > 70$ GeV

If more than 1 ZZ Candidate passes the selection, the one with the **highest Z_2 pT** is retained

Signal region: $105 < m_{4l} < 160$ GeV



Fiducial Phase Space



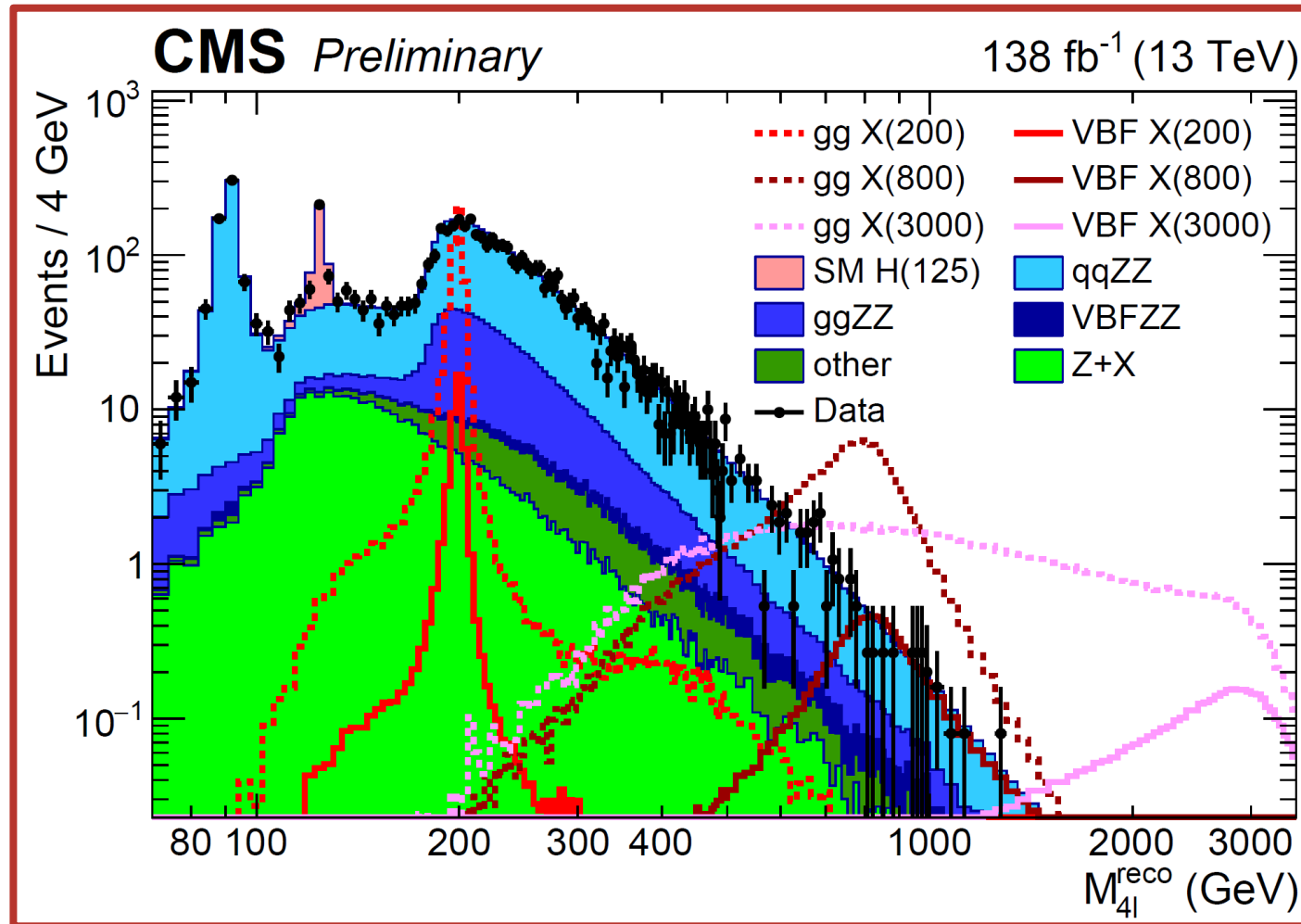
Defined at GEN-level to match the experimental selection at reco-level
→ ensure model independence of the results and ease the reinterpretability

Requirements for the $H \rightarrow 4\ell$ fiducial phase space	
Lepton kinematics and isolation	
leading lepton p_T	$p_T > 20$ GeV
next-to-leading lepton p_T	$p_T > 10$ GeV
additional electrons (muons) p_T	$p_T > 7(5)$ GeV
pseudorapidity of electrons (muons)	$ \eta < 2.5(2.4)$
p_T sum of all stable particles within $\Delta R < 0.3$ from lepton	less than $0.35 \cdot p_T$
Event topology	
existence of at least two SFOS lepton pairs, where leptons satisfy criteria above	
inv. mass of the Z_1 candidate	$40 \text{ GeV} < m(Z_1) < 120 \text{ GeV}$
inv. mass of the Z_2 candidate	$12 \text{ GeV} < m(Z_2) < 120 \text{ GeV}$
distance between selected four leptons	$\Delta R(\ell_i \ell_j) > 0.02$ for any $i \neq j$
inv. mass of any opposite sign lepton pair	$m(\ell^+ \ell'^-) > 4 \text{ GeV}$
inv. mass of the selected four leptons	$105 \text{ GeV} < m_{4\ell} < 160 \text{ GeV}$
the selected four leptons must originate from the $H \rightarrow 4\ell$ decay	

Gen-level isolation included to reduce model dependence on efficiency

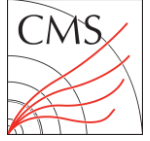
PAPER

$H \rightarrow ZZ^* \rightarrow 4l$: High mass spectrum



HIG-24-002

$H \rightarrow ZZ^* \rightarrow 4l$: Run 2 Strategy



- Trigger efficiencies:
 - Derived using TnP with applied Scale Factors
- Electrons
 - $p_T > 7\text{GeV}$, $|\eta| < 2.5$, $\text{SIP} < 4$, $d_{xy} < 0.5\text{cm}$, $d_z < 1\text{cm}$
 - ID and Isolation: HZZ MVA, training with 2018 data
- Muons
 - $p_T > 5\text{GeV}$, $|\eta| < 2.4$, $\text{SIP} < 4$, $d_{xy} < 0.5\text{cm}$, $d_z < 1\text{cm}$
- Statistical Normalization

$$\begin{aligned} N_{\text{obs}}^{\text{f},i}(m_{4\ell}) &= N_{\text{fid}}^{\text{f},i}(m_{4\ell}) + N_{\text{nonfid}}^{\text{f},i}(m_{4\ell}) + N_{\text{nonres}}^{\text{f},i}(m_{4\ell}) + N_{\text{bkg}}^{\text{f},i}(m_{4\ell}) \\ &= \epsilon_{i,j}^{\text{f}} \cdot \left(1 + f_{\text{nonfid}}^{\text{f},i}\right) \cdot \sigma_{\text{fid}}^{\text{f},j} \cdot \mathcal{L} \cdot \mathcal{P}_{\text{res}}(m_{4\ell}) \\ &\quad + N_{\text{nonres}}^{\text{f},i} \cdot \mathcal{P}_{\text{nonres}}(m_{4\ell}) + N_{\text{bkg}}^{\text{f},i} \cdot \mathcal{P}_{\text{bkg}}(m_{4\ell}) \end{aligned}$$

$H \rightarrow \gamma\gamma$: Backup

From MiniAOD to NanoAOD: the vertex

The mass resolution in $H \rightarrow \gamma\gamma$ is driven by:

- photon energy resolution \rightarrow ECAL;
- precision in measuring the opening angle between the two photons \rightarrow **vertex choice**.

$$m_{\gamma\gamma} = \sqrt{2E_1E_2(1 - \cos \alpha)}$$

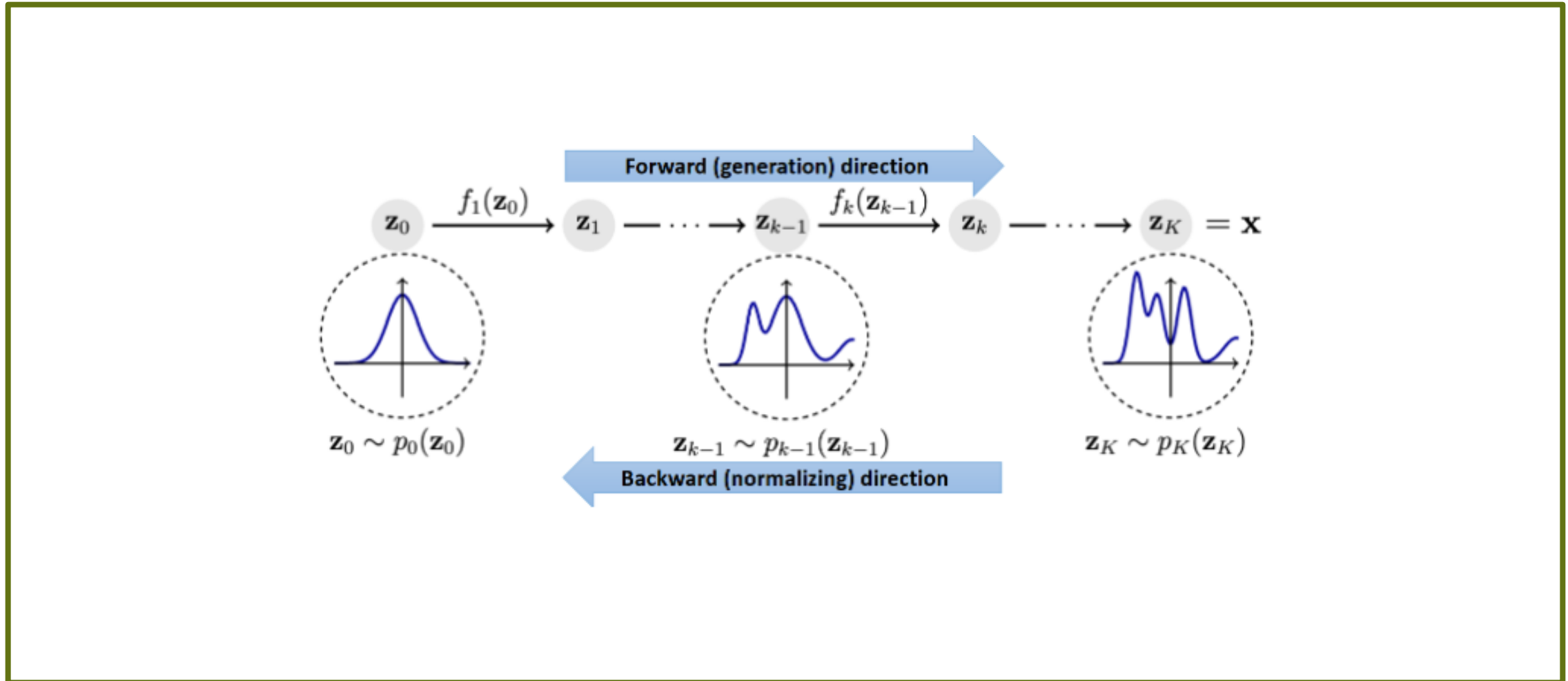
$H \rightarrow \gamma\gamma$ vertex previously assigned by means of a BDT and all MiniAOD variables, which are computed wrt the 0th vertex ($\max \sum p_T^2$), were recomputed wrt to the chosen diphoton vertex

Run2 workflow



✗ Hgg vertex cannot be used with central NanoAOD

$H \rightarrow \gamma\gamma$: Normalizing Flows



Setting up the problem

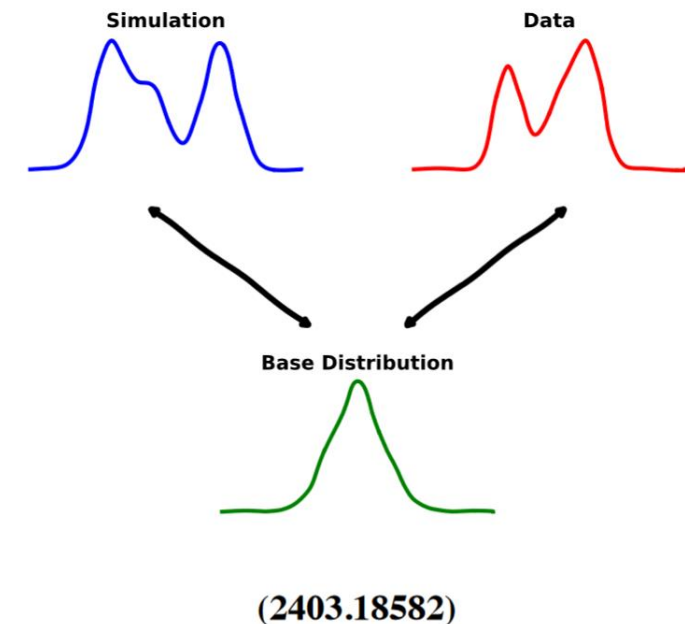
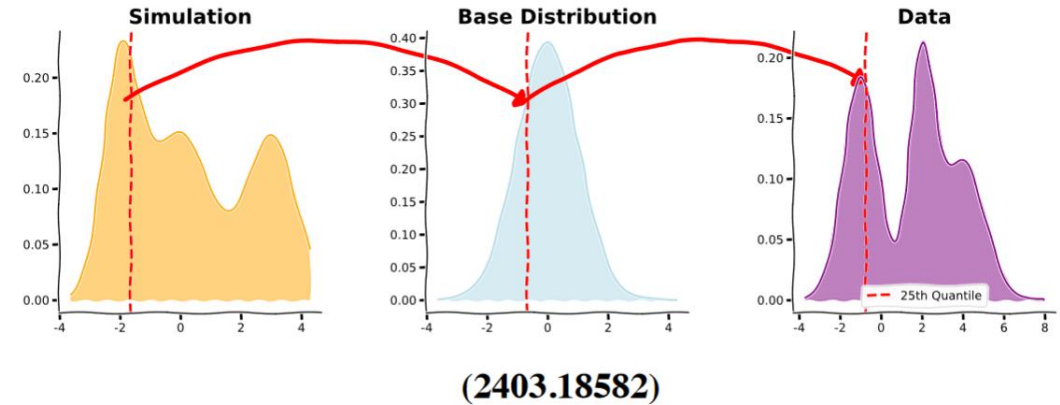
- The objective is to perform single-object (photon) corrections
- This can be achieved by choosing a high-purity region and adjusting your simulated distribution to match the data distributions
- We study electrons from $Z \rightarrow ee$ which are reconstructed as photons (only Ecal, no tracking information)
- Using the tag and probe framework to obtain an unbiased sample of probe electrons
- Sample has a negligible background contribution
- Perform the correction of the inputs to the mvaID (Run 3 EGM photon ID)
 - But, condition these corrections on the kinematics (p_T, η, ϕ, ρ)
 - A reweighting is performed on those variables
 - With this we can apply the correction to $H \rightarrow \gamma\gamma$ for example

- R_9
- $\sigma_{i\eta i\eta}$
- η_{Width}
- ϕ_{Width}
- $\sigma_{i\eta ip}$
- S_4
- $\frac{H}{E}$
- *ecalPFClusterIso*
- *trkSumPtHollowConeDR03*
- *trkSumPtSolidConeDR04*
- *pfChargedIso*
- *pfChargedIsoWorstVtx*
- *esEffSigmaRR*
- *esEnergyOverRawE*
- *hcalPFClusterIso*
- σ_E / E

Slide by C. Daumann

Normalizing flows for simulation corrections

- How can flows be used to perform morphing?
- The key is the monotonically increasing property of f
- This means that quantiles are conserved after $f(y)$
- We train a single normalizing flow on both MC and data
- Events are conditioned on an `IsData` boolean, which allows the flow to learn both distributions
- The methods is documented in our paper (2403.18582)



Other methods also explore the use of flows for corrections like the `flow4flows` (2211.02487) or the CQR with flows (2309.15912)

$$\mathcal{L}(\vec{\mu}, \vec{\theta}, m_H) = \prod_c^{\text{cat}} \prod_b^{\text{kinBins}} \text{Pois} \left[n_{\text{obs}} \left| \sum_j^{\text{genBin}} \mu_j^{\text{fid}} S_j(\vec{\theta}, m_H) f_S(\vec{\theta}, m_H) + N^{\text{out}}(\vec{\theta}) f_S^{\text{out}}(\vec{\theta}, m_H) + B(\vec{\theta}) f_B(\vec{\theta}) \right. \right] \times \prod_{k=1}^{n_k} p_k(\tilde{\theta}_k | \theta_k)$$

Contribution from events **outside of the fiducial phase space**
 Same implementation as events originating from inside the fiducial phase space

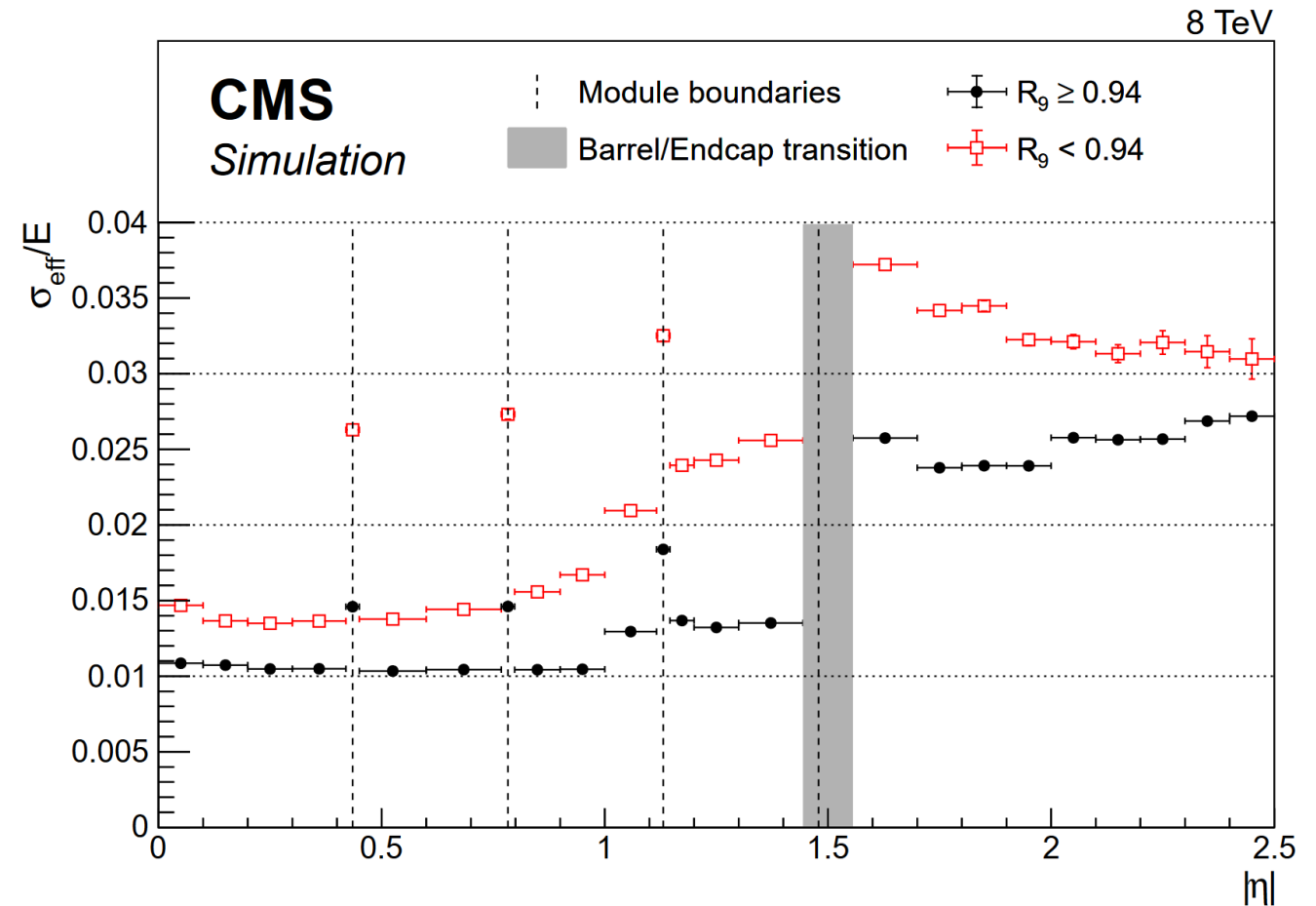
Fraction of out-of-fiducial events

	Best resolution	Medium resolution	Worst resolution
ggH	0.06%	0.19%	1.62%
VBF	0.17%	0.50%	1.97%
VH	0.31%	0.57%	2.16%
ttH	0.57%	0.83%	2.30%

$H \rightarrow \gamma\gamma$: Backup



- CMS ECAL Energy resolution



$H \rightarrow \gamma\gamma$: Backup

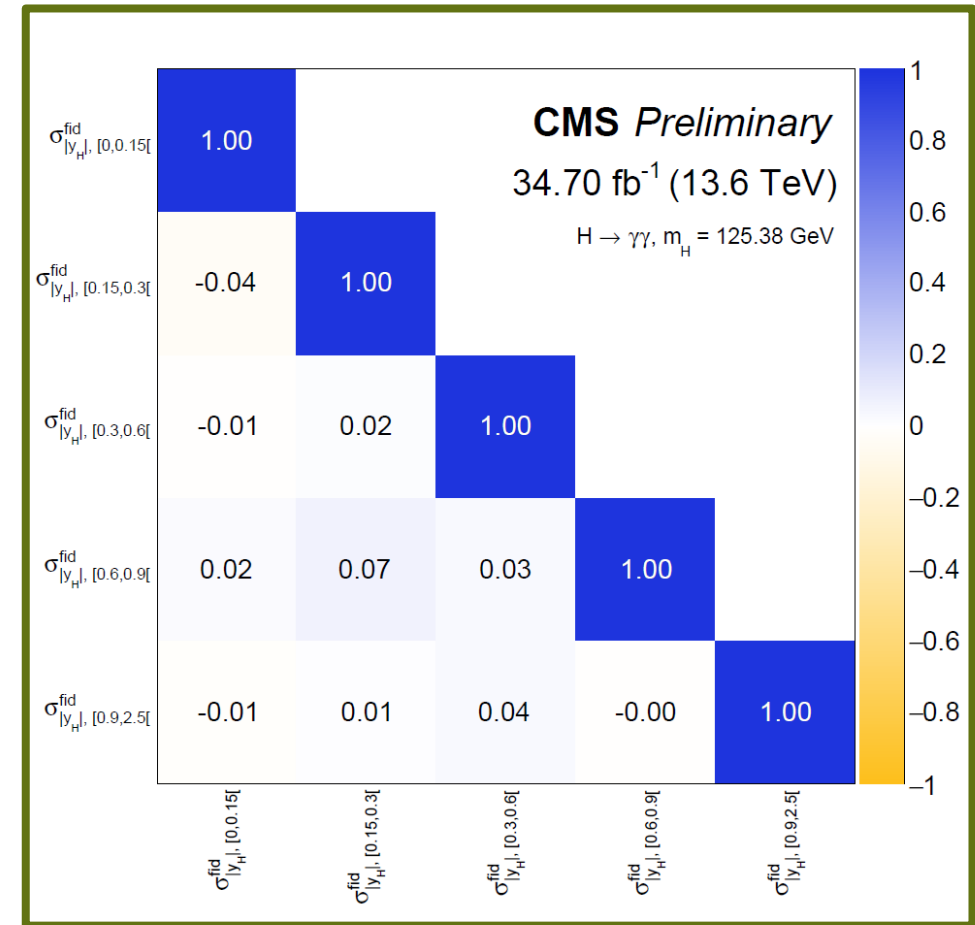
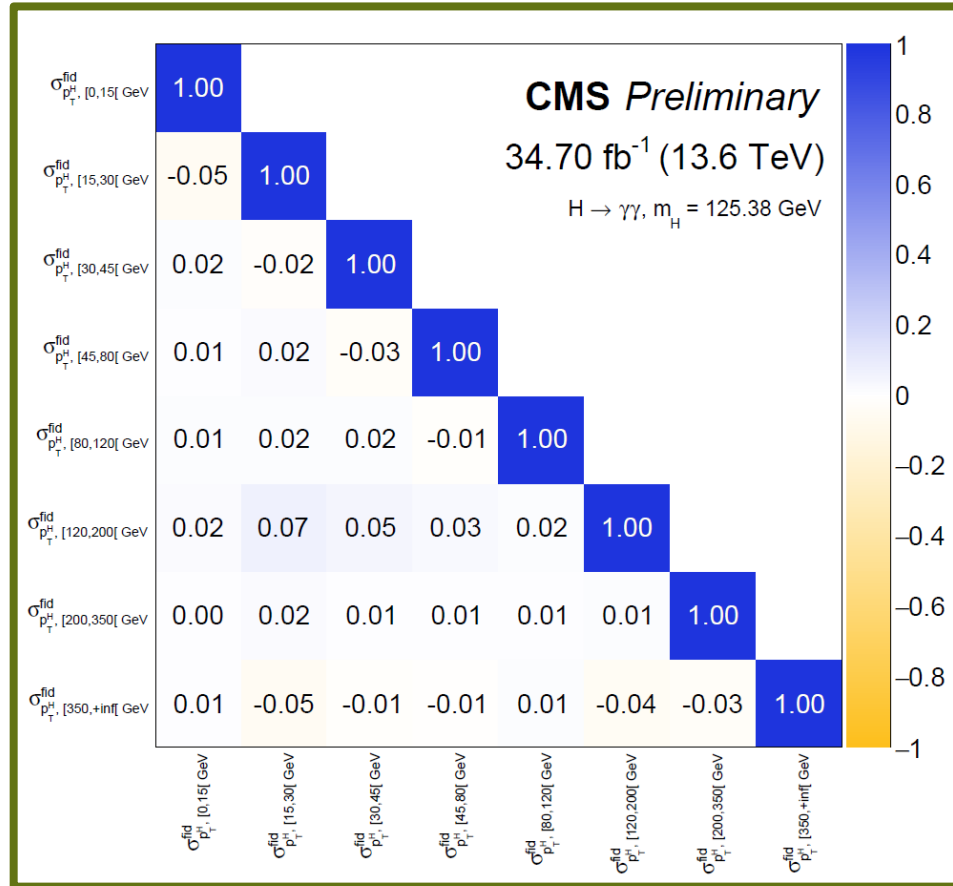


- Consider photons in acceptance ($p_T > 25$ GeV)
- Apply BDT cut to reduce background.
- Keep $p_T^{\gamma\gamma}$ -leading diphoton system satisfying $p_T^{\gamma_1} > 35$ GeV

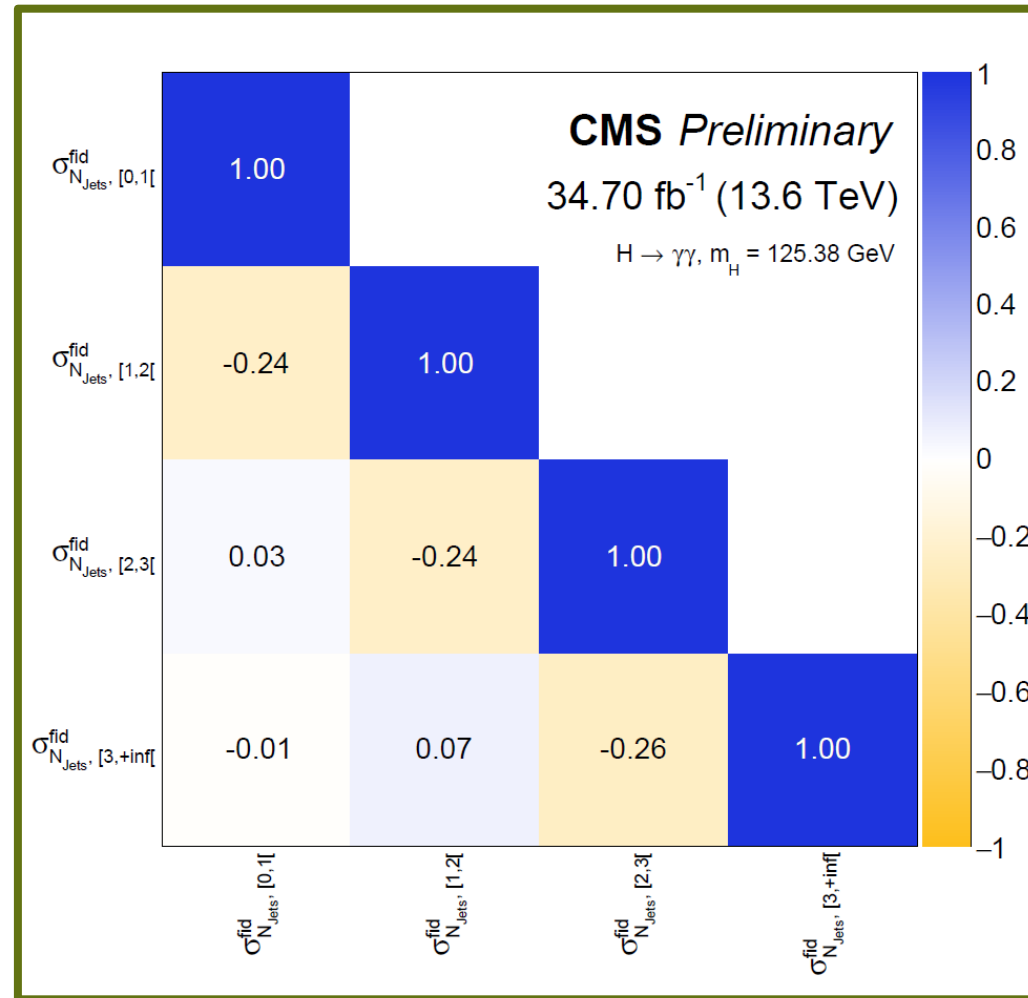
Category	R9	H / E	sieie	Hollow cone track isolation	PF photon isolation
Barrel, high R9	> 0.85	< 0.08	—	—	—
Barrel, low R9	$[0.5, 0.85]$	< 0.08	< 0.015	< 6 GeV	< 4 GeV
Endcap, high R9	> 0.9	< 0.08	—	—	—
Endcap, low R9	$[0.8, 0.9]$	< 0.08	< 0.035	< 6 GeV	< 4 GeV

In addition:
Logical OR of $R_9 > 0.8$ | $I_{\text{ch,quadr}} < 20$ GeV | $I_{\text{ch,quadr,rel}} < 0.3$ } resembling miniAOD requirements

$H \rightarrow \gamma\gamma$: Correlation Matrices



$H \rightarrow \gamma\gamma$: Correlation Matrices



N_{Jets}