

# The Cornell-BNL ERL Test Accelerator (CBETA): experience, spinoffs, and lessons learned

12 July 2024

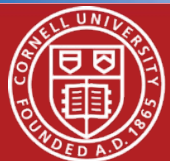
Georg Hoffstaetter de Torquat  
*for the CBETA collaboration*

**BROOKHAVEN**  
NATIONAL LABORATORY  
*a passion for discovery*

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 **Office of Science**  
U.S. DEPARTMENT OF ENERGY





Cornell Laboratory for  
Accelerator-based Sciences and  
Education (CLASSE)

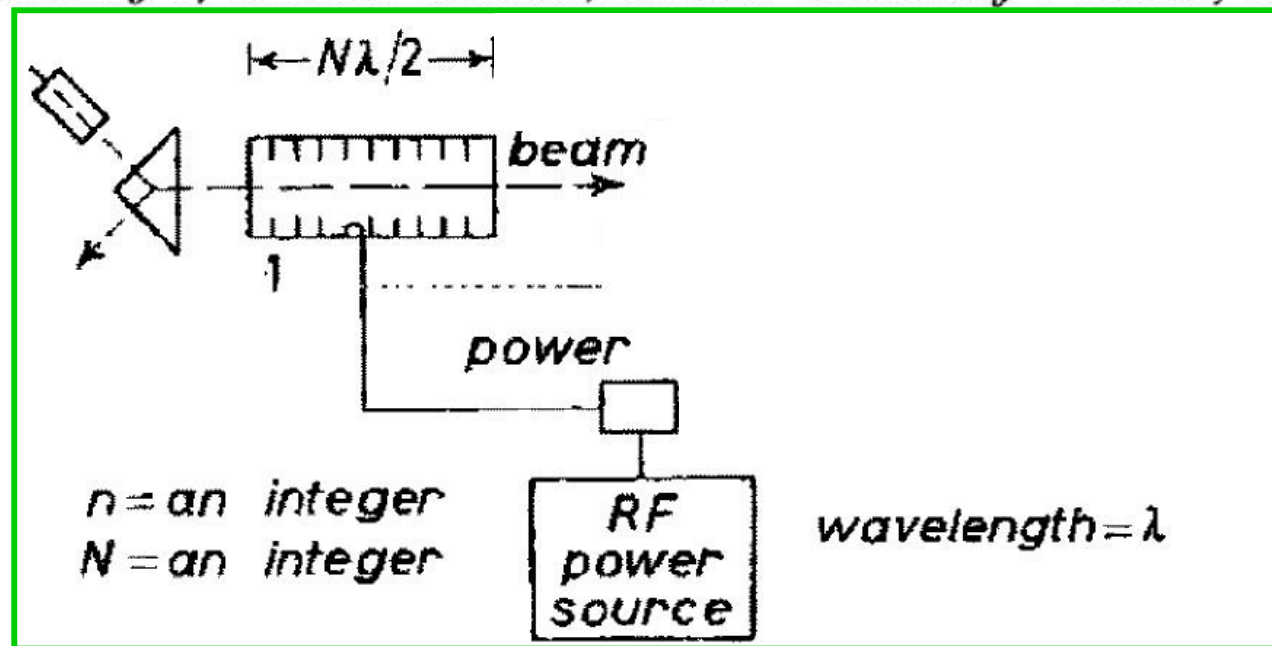


As accelerators become larger and their **beams more power, efficiency becomes an important paradigm**. Energy Recovery Linacs (ERLs), the use of superconducting cavities (**SRF**), and **permanent magnets** address this concern. A collaboration between Cornell University and Brookhaven National Laboratory has designed, constructed, and commissioned CBETA, the Cornell-BNL ERL Test Accelerator at Cornell University, culminating in 4-turn ERL operation at Christmas of 2019. As **first multi-turn ERL that recovers energy into SRF cavities**, CBETA returns the beam 4 times through the same linac to add energy each time; it then returns the beam 4 more times at the decelerating phase of the same linac to recover the beam energy into the E&M field of the SRF cavities. Another first is the **large energy-acceptance return loop** that simultaneously transports 7 beams of different energy through a **Fixed Field Alternating-gradient (FFA) lattice**, which is comprised of permanent magnets. Successfully establishing 4-turn energy recovery at CBETA is especially relevant in the light of the increasing importance that ERLs have obtained: ERLs are **part of the hadron coolers for the EIC**, they are **part of the LHeC plans**, they are an integral component of **ee Higgs Factory design options**, they can be **drivers for low energy nuclear physics experiments**, they have been investigated as **drivers for compact Compton-x-ray sources**, and they have become strong contenders as new **EUV sources for microchip lithography**. The permanent-magnet FFA technology pioneered at CBETA has another promising spin off: it is the basis of an **energy-doubling upgrade option for CEBAF**.

**A Possible Apparatus for Electron Clashing-Beam Experiments (\*).**

M. TIGNER

*Laboratory of Nuclear Studies, Cornell University - Ithaca, N. Y.*

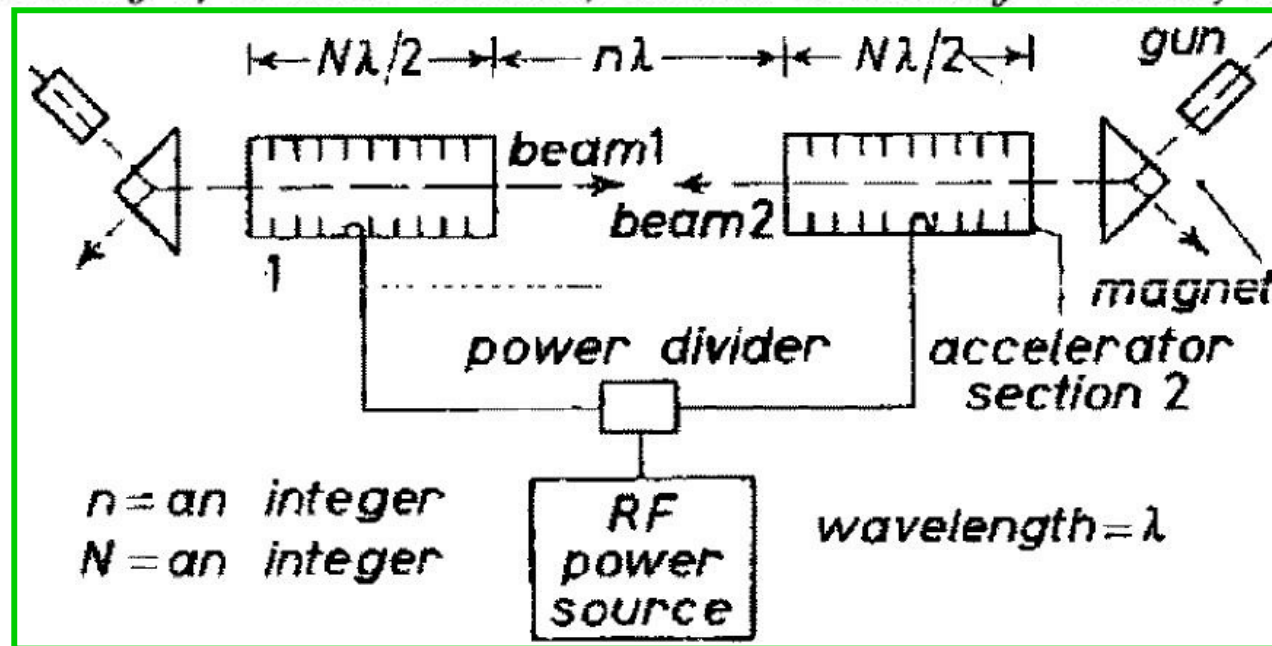


- Linacs produce very high bunch quality (narrow, short, low energy spread)
- Remaining beam energy is discarded (wasted energy).

## A Possible Apparatus for Electron Clashing-Beam Experiments (\*).

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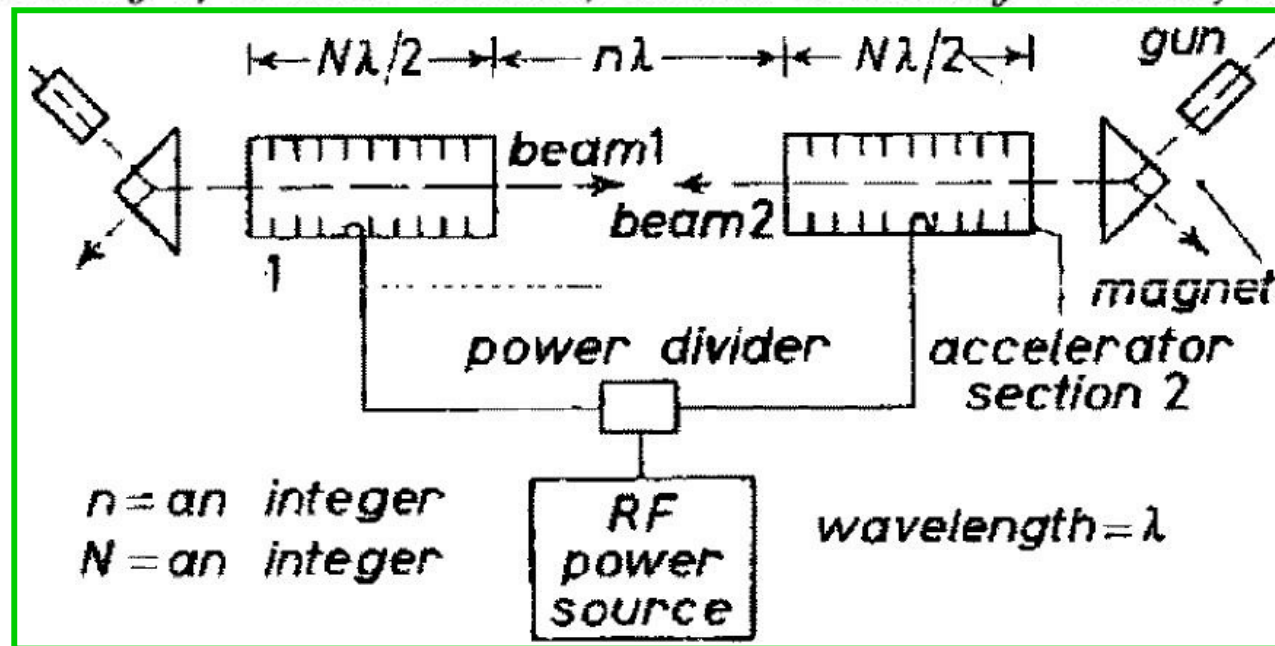


- Energy Recovery Linacs recapture the beam power in Super-Conducting (SRF) Accelerating structures to accelerate more beam.
- This energy saving allows for unprecedented beam powers from Linacs.

**A Possible Apparatus for Electron Clashing-Beam Experiments (\*).**

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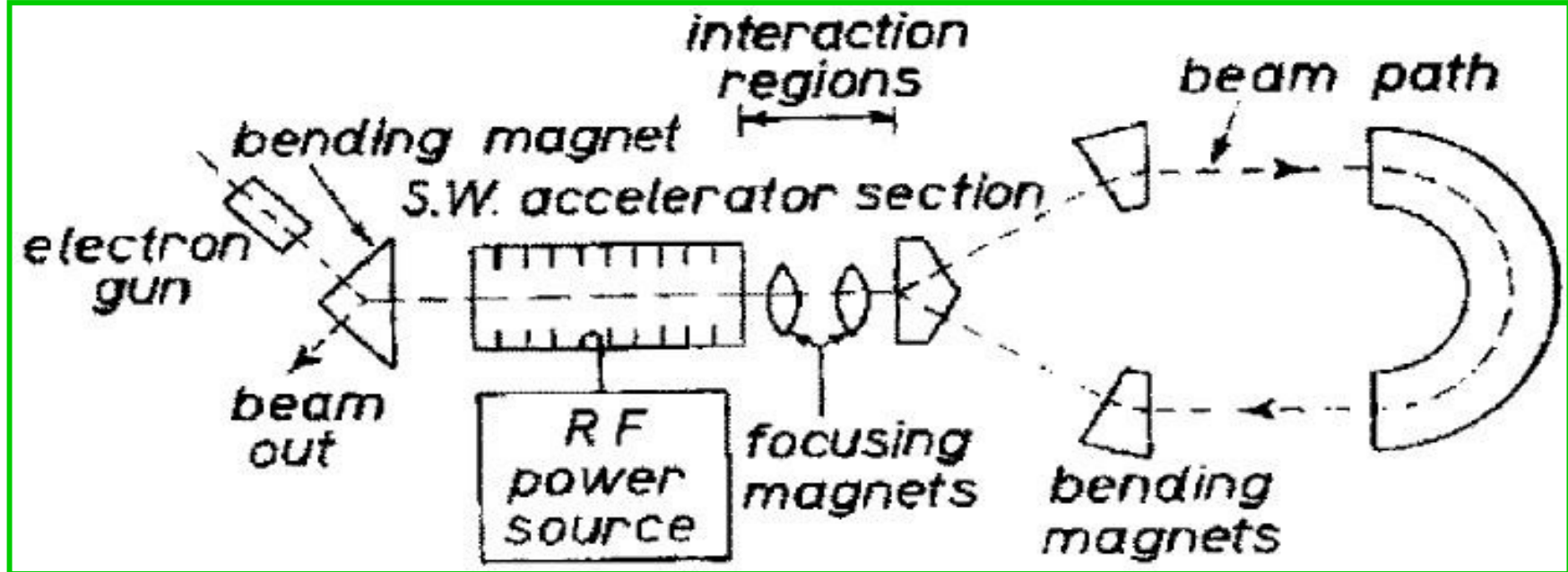


- Energy recovery needs continuous beams in SRF structures
- With focus on beam dynamic and SRF, Cornell has been an excellent place for ERL research.

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# High Beam Current at Reduced Cost

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By **recovering the Energy** of accelerated beams, Energy Recovery Linacs (ERLs) make **large beam powers** possible that would otherwise be prohibitively expensive.

**Linacs** produce **high beam qualities** for scientific experiments and for industrial applications, but their **beam power is limited** by the available electrical power.

**ERLs surpass this power limit:** much larger beam currents and beam powers become available because the beam energy is recaptured.

How do ERLs compare to other accelerators?

- (a) high currents**, like storage rings, because the energy is recovered,
- (b) high beam quality** (low emittance, bunch length, and energy spread) like linacs, because each bunch traverses it only once,
- (c) tolerates beam disruption** as each bunch is used only once before it's discarded.

**All these strengths of ERLs are beneficial to EIC cooling!**

## Strong Hadron Cooling for EICs

**Both EIC projects**, the one at BNL and the one at JLAB, plan to cool the hadron beam with electrons.

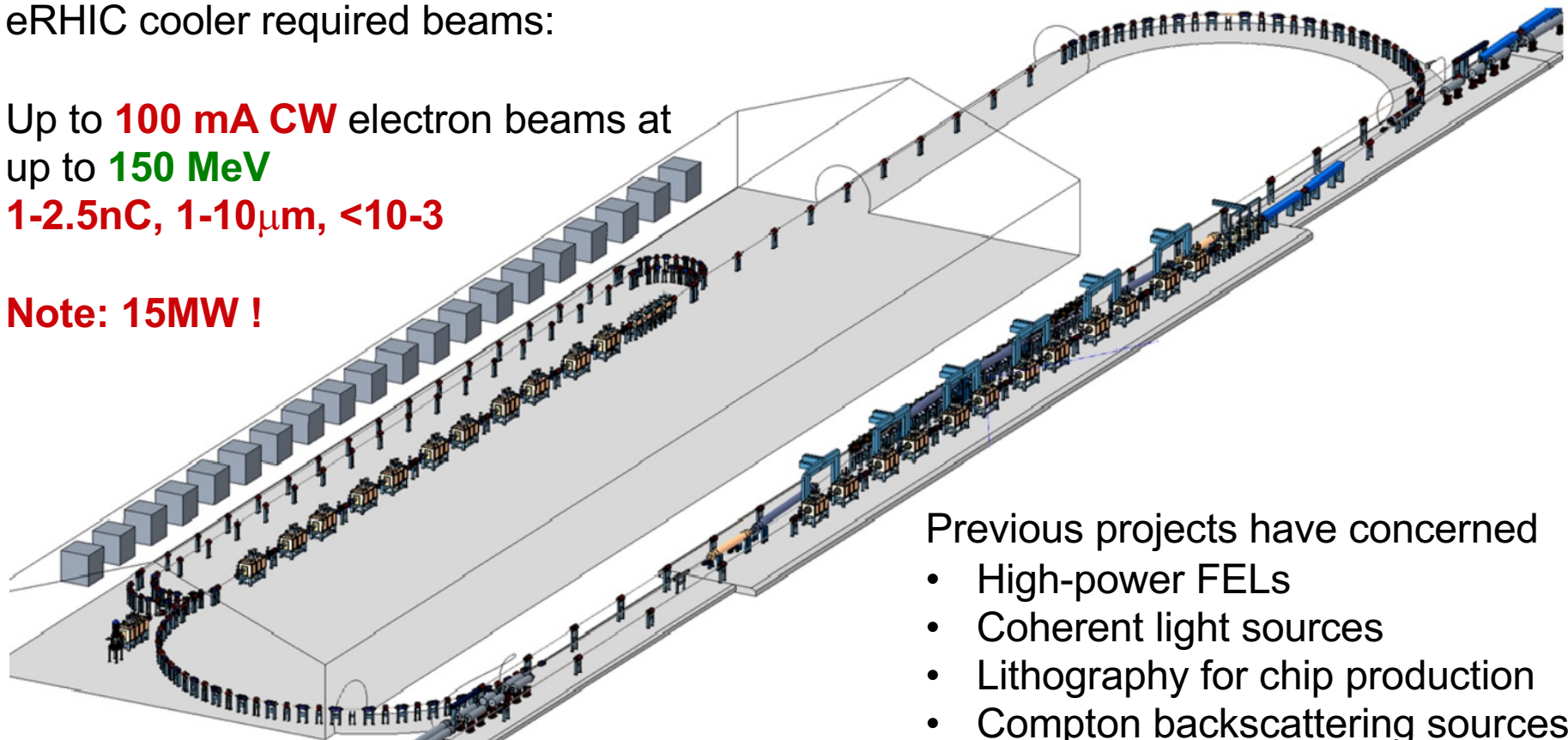
JLEIC cooler: magnetized beam

- **3nC, 100mA, 100MeV, 10MW**
- **Preserve low energy spread**

eRHIC cooler required beams:

Up to **100 mA CW** electron beams at  
up to **150 MeV**  
**1-2.5nC, 1-10 $\mu$ m, <10<sup>-3</sup>**

**Note: 15MW !**



Previous projects have concerned

- High-power FELs
- Coherent light sources
- Lithography for chip production
- Compton backscattering sources



The importance of **beam cooling for the luminosity of the EIC** has been stressed in **National Academies of Sciences, Engineering, and Medicine**. 2018. "An Assessment of U.S.-Based Electron-Ion Collider Science". Washington, DC: The National Academies Press.  
<https://doi.org/10.17226/25171>:

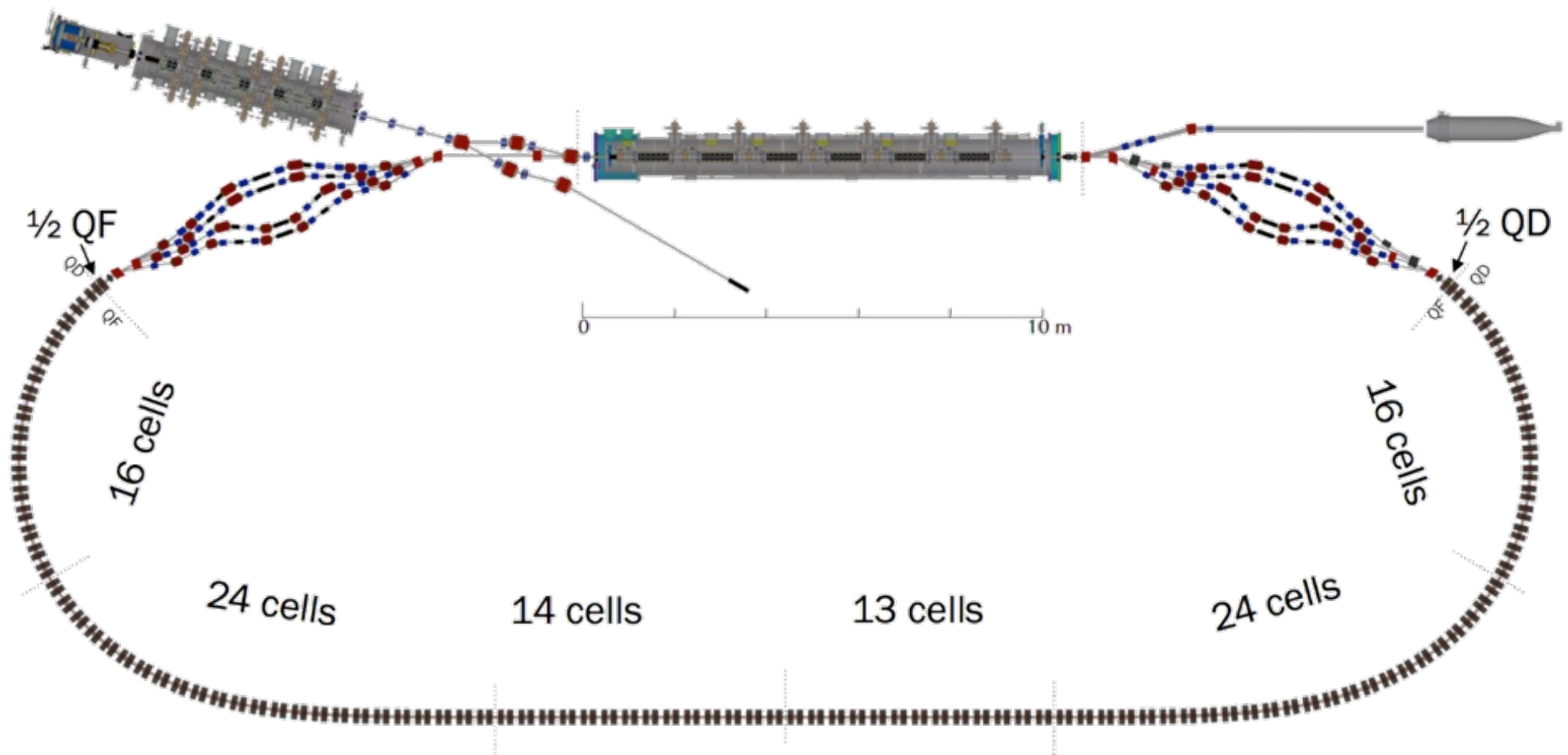
*“To attain the highest luminosities demanded by the science, **cooling** of the hadron beam is **essential**,”* and *“the full luminosity goals of eRHIC require the implementation of a radically new hadron cooling technology.”*

*“Energy recovery linacs (**ERLs**), a special type of recirculating linac, presently offer the **only credible concept for electron cooling** of high-energy, colliding beams,”*

*“Several of these [required accelerator] advances are common to all EIC designs and include ... **high-current (multiturn) ERL technology**.”*

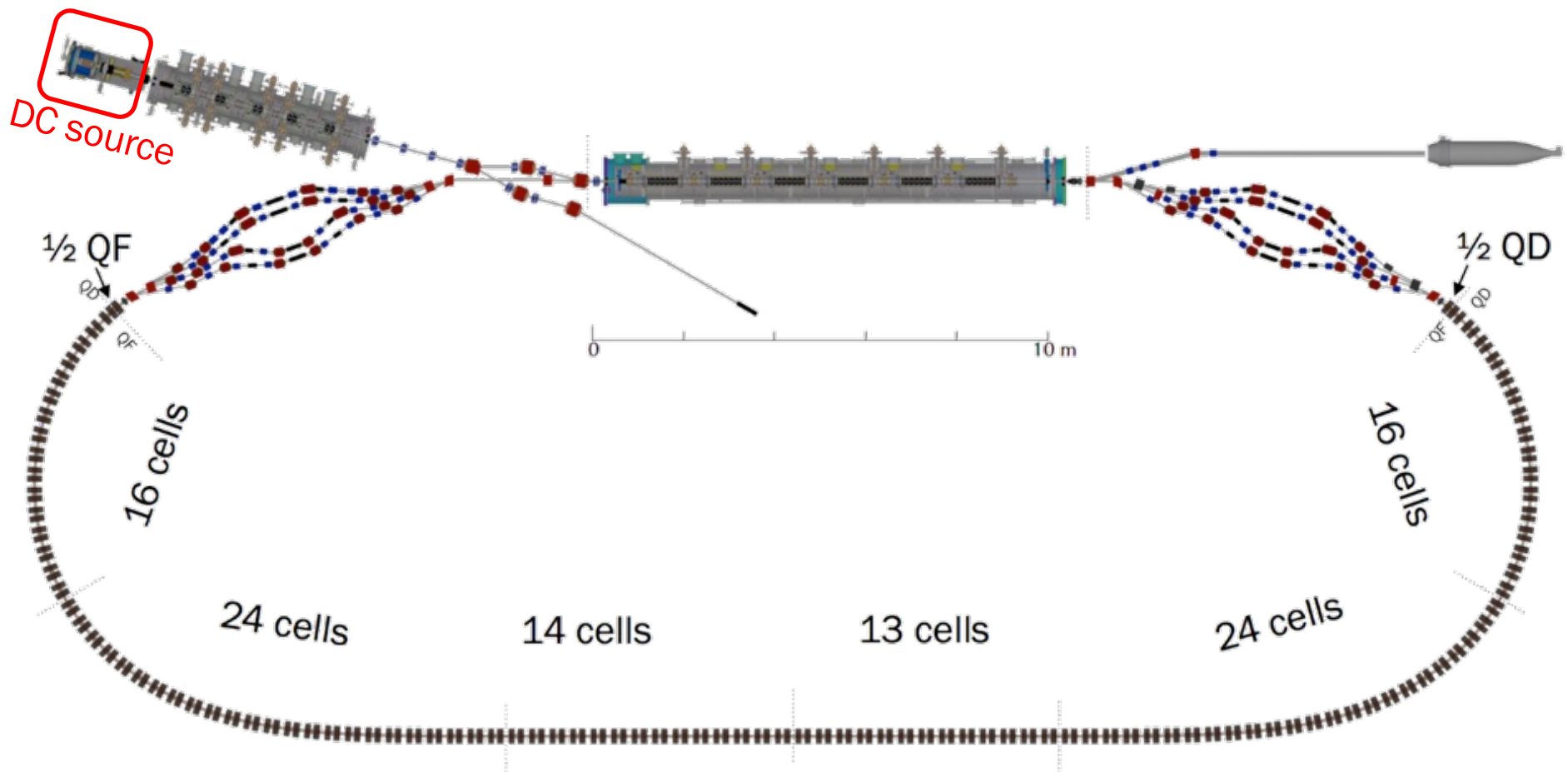
***“The CBETA project will serve as prototype.”***

# The test ERL at Cornell



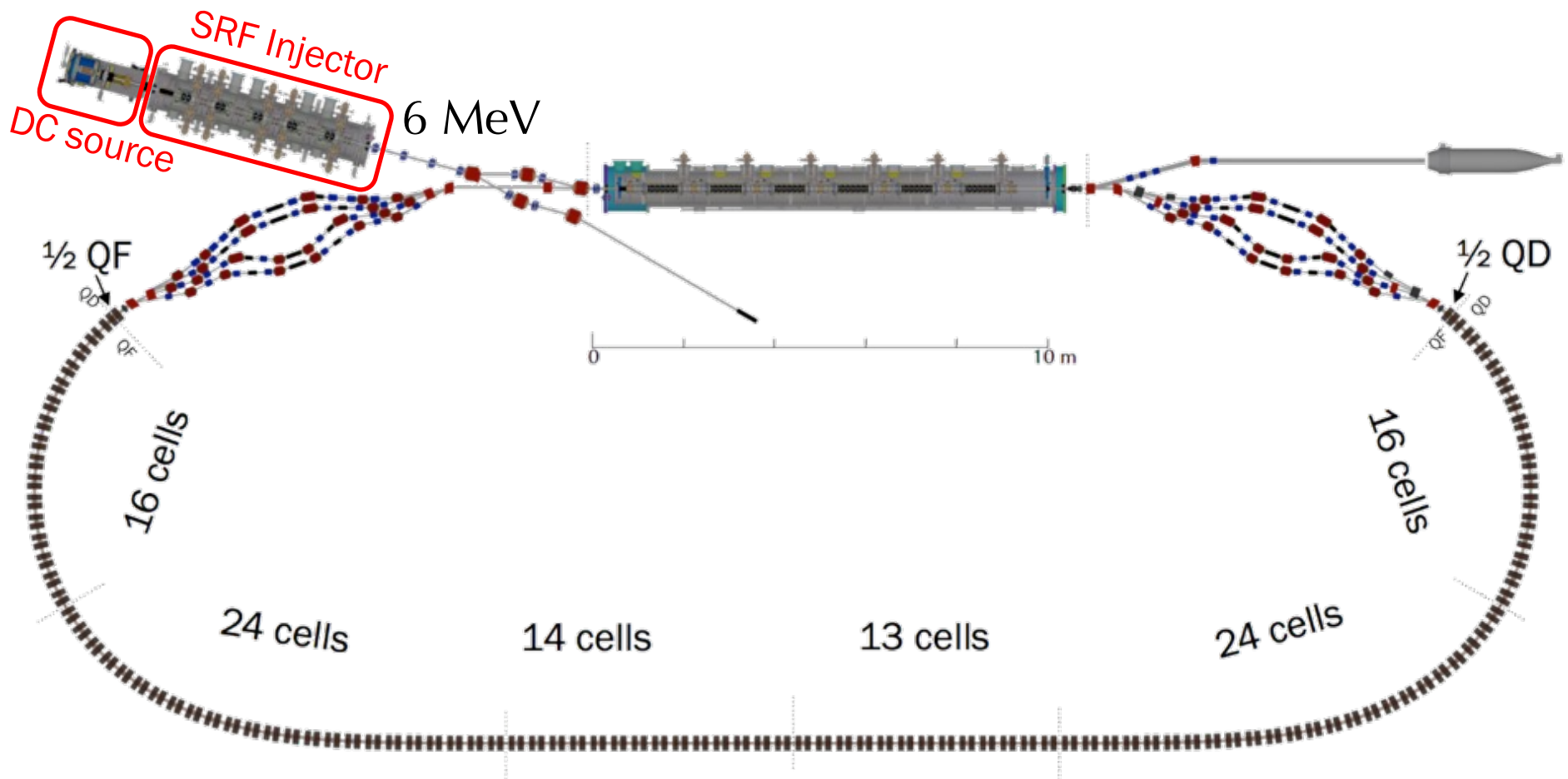
# The test ERL at Cornell

- Cornell DC gun, 2nC peak



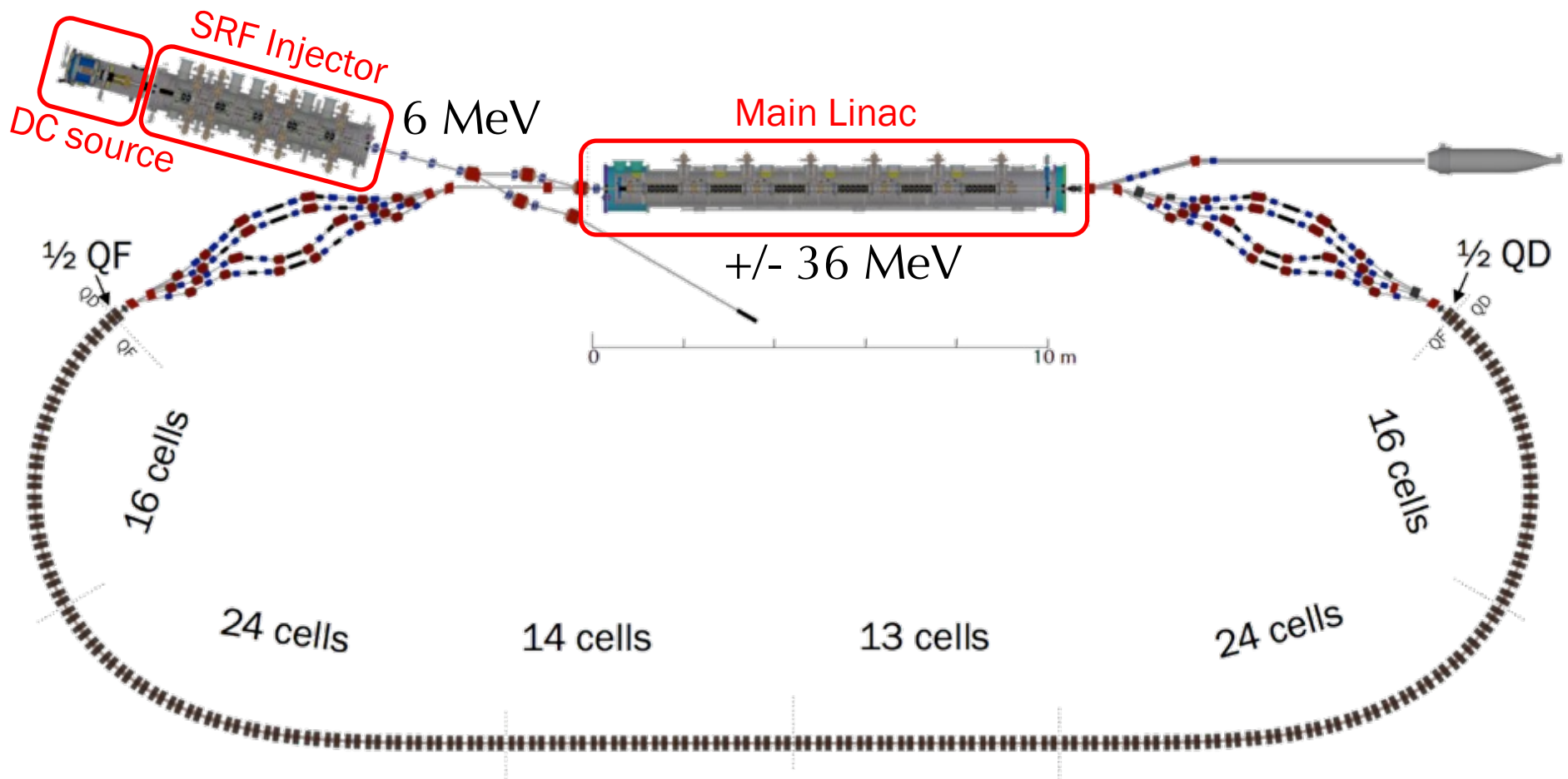
# The test ERL at Cornell

- Cornell DC gun, 2nC peak
- 100mA, 6MeV SRF injector (ICM), 1.3GHz



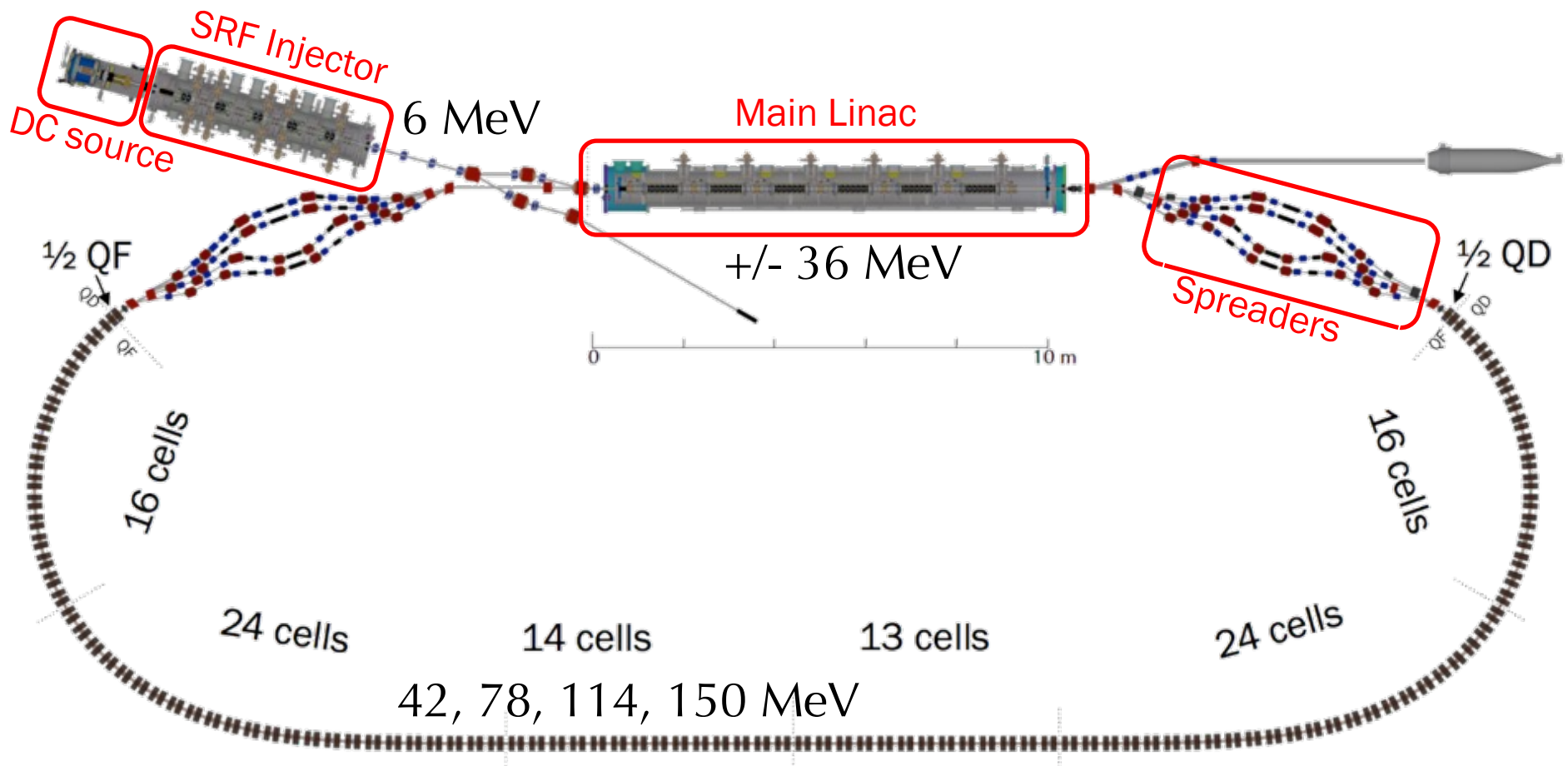
# The test ERL at Cornell

- Cornell DC gun, 2nC peak
- 100mA, 6MeV SRF injector (ICM), 1.3GHz
- 320mA, 6-cavity SRF CW Linac (MLC), 1.3GHz



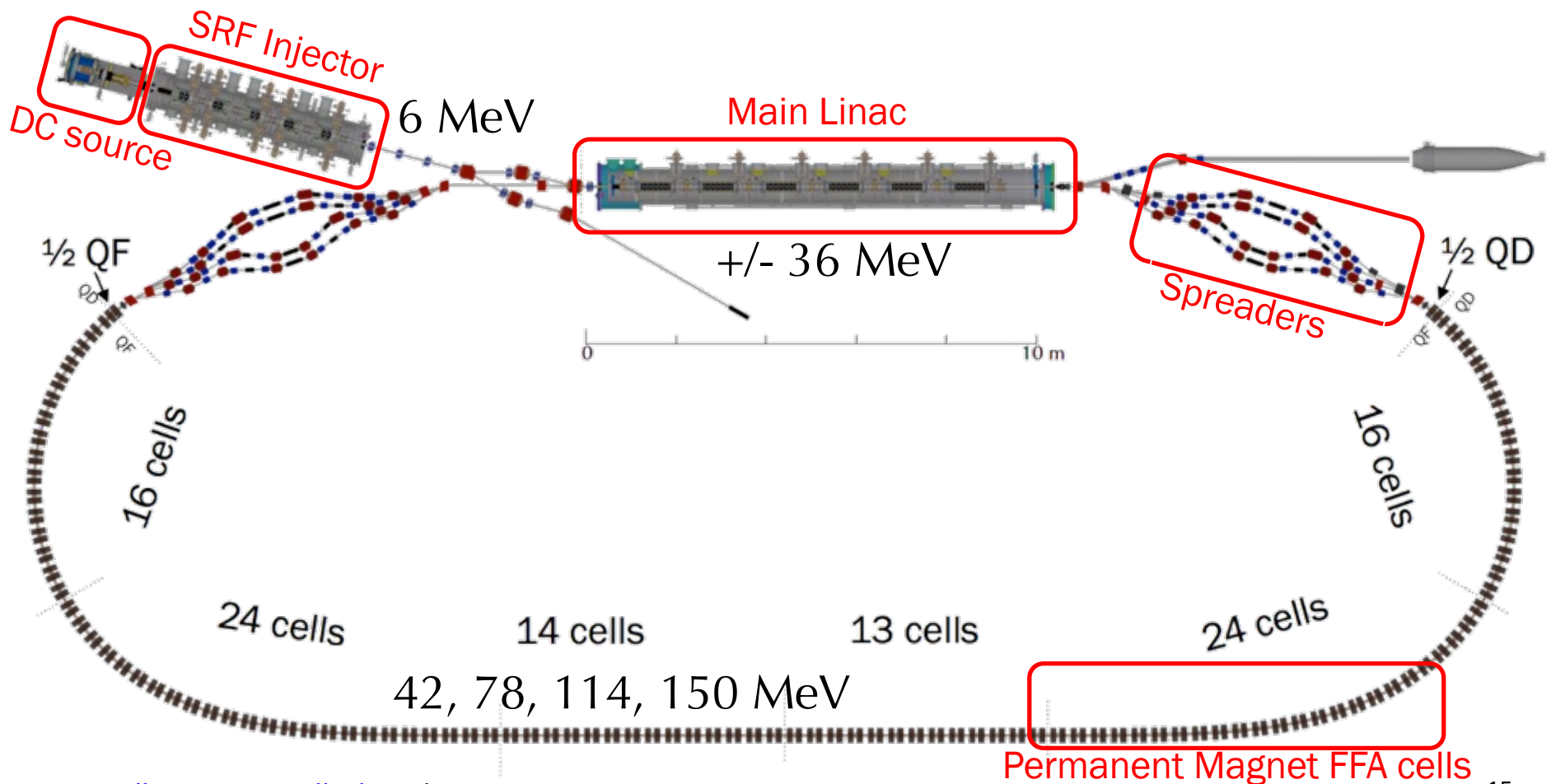
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- 4 Spreaders / Combiners with electro magnets



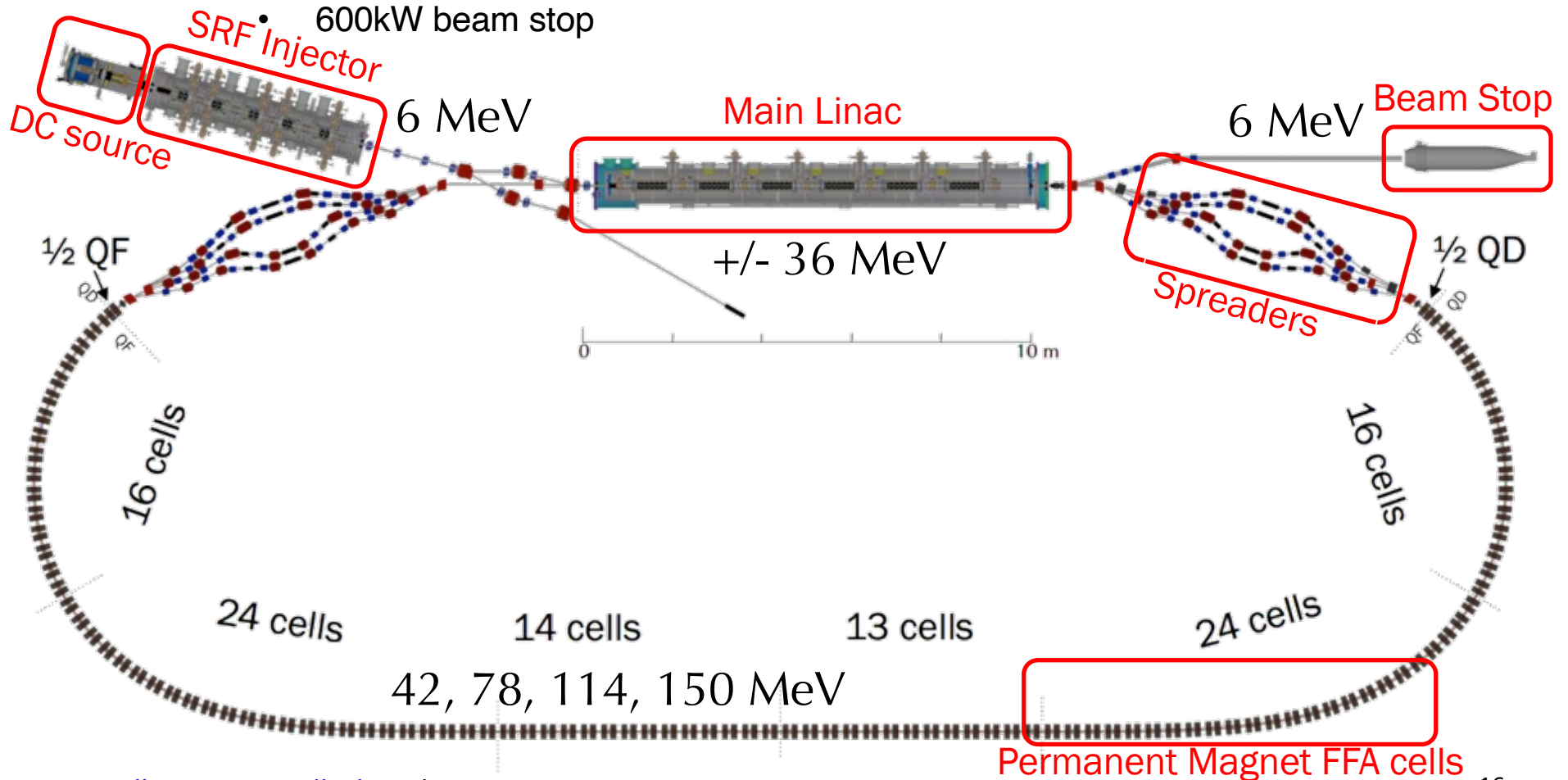
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- FFA cells with permanent magnets, 3.8 energy aperture, 7 beams.



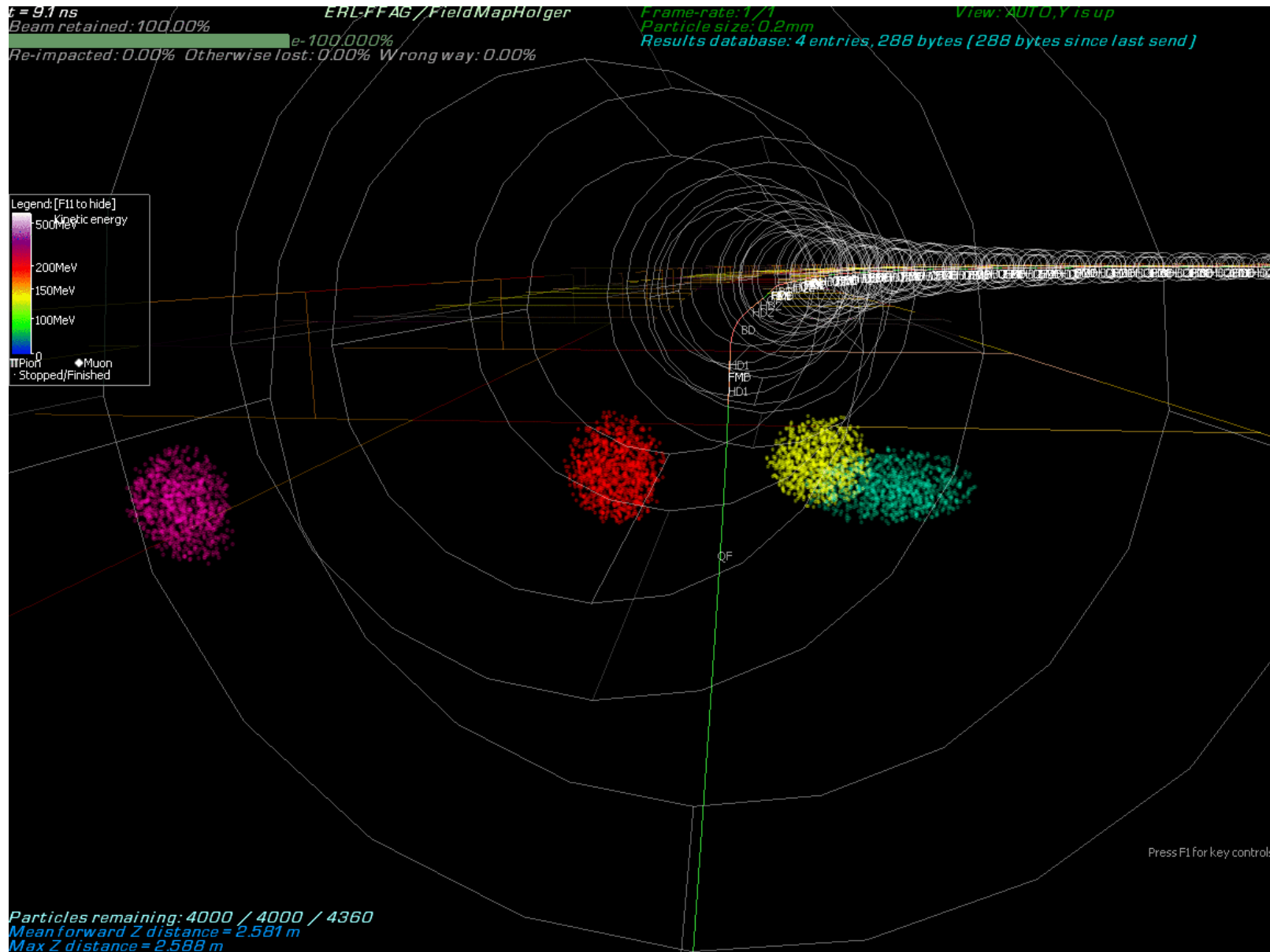
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- 4 Spreaders / Combiners with electro magnets
- FFA cells with permanent magnets, 3.8 energy aperture, 7 beams.
- 600kW beam stop





# Bunche dynamics in 3D field maps



# Development of the CBETA collaboration



## CBETA Design Report

Cornell-BNL ERL Test Accelerator

*Principle Investigators:* G.H. Hoffstaetter, D. Trbojevic

*Editor:* C. Mayes

*Contributors:* N. Banerjee, J. Barley, I. Bazarov, A. Bartnik, J. S. Berg, S. Brooks, D. Burke, J. Crittenden, L. Cultrera, J. Dobbins, D. Douglas, B. Dunham, R. Eichhorn, S. Full, F. Furuta, C. Franck, R. Gallagher, M. Ge, C. Gulliford, B. Heltsley, D. Jusic, R. Kaplan, V. Kostroun, Y. Li, M. Liepe, C. Liu, W. Lou, G. Mahler, F. Méot, R. Michnoff, M. Minty, R. Patterson, S. Peggs, V. Ptitsyn, P. Quigley, T. Roser, D. Sabol, D. Sagan, J. Sears, C. Shore, E. Smith, K. Smolenski, P. Thieberger, S. Trabocchi, J. Tuozzolo, N. Tsoupas, V. Veshcherevich, D. Widger, G. Wang, F. Willeke, W. Xu

2005 Start of construction of DC photo-emitter gun; to world record current (75mA).

2012 PD-Design on a hard x-ray 5GeV Cornell ERL, *not built*.

2013 Cornell's ERL injector achieved world record brightness.

2014 White paper for CBETA in Cornell / BNL collaboration.

2016 Construction funding by NYS

2017 CBETA Design Report

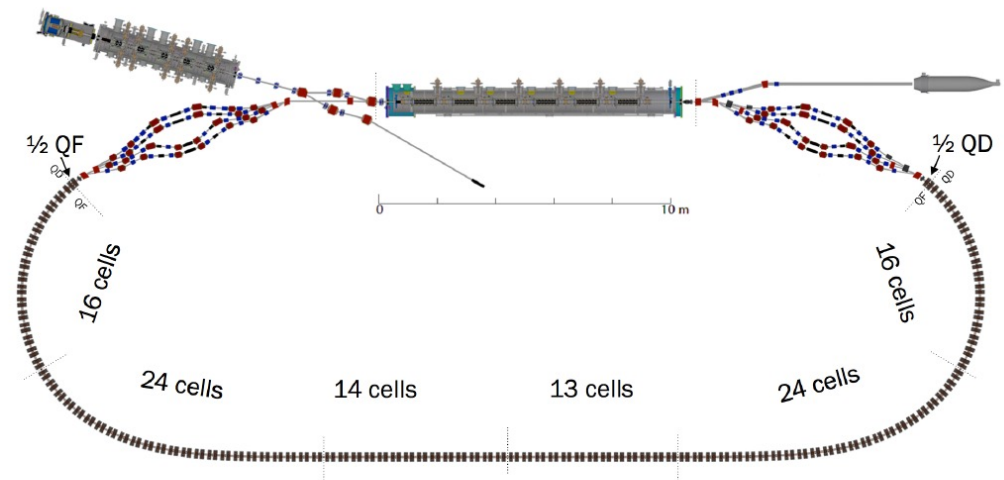
2018 1<sup>st</sup> beam thorough SRF chain, one separator and one PMA unit.

2019 ER with 1 turn, high efficiency

2019/20 1<sup>st</sup> multi-turn SRF ERL

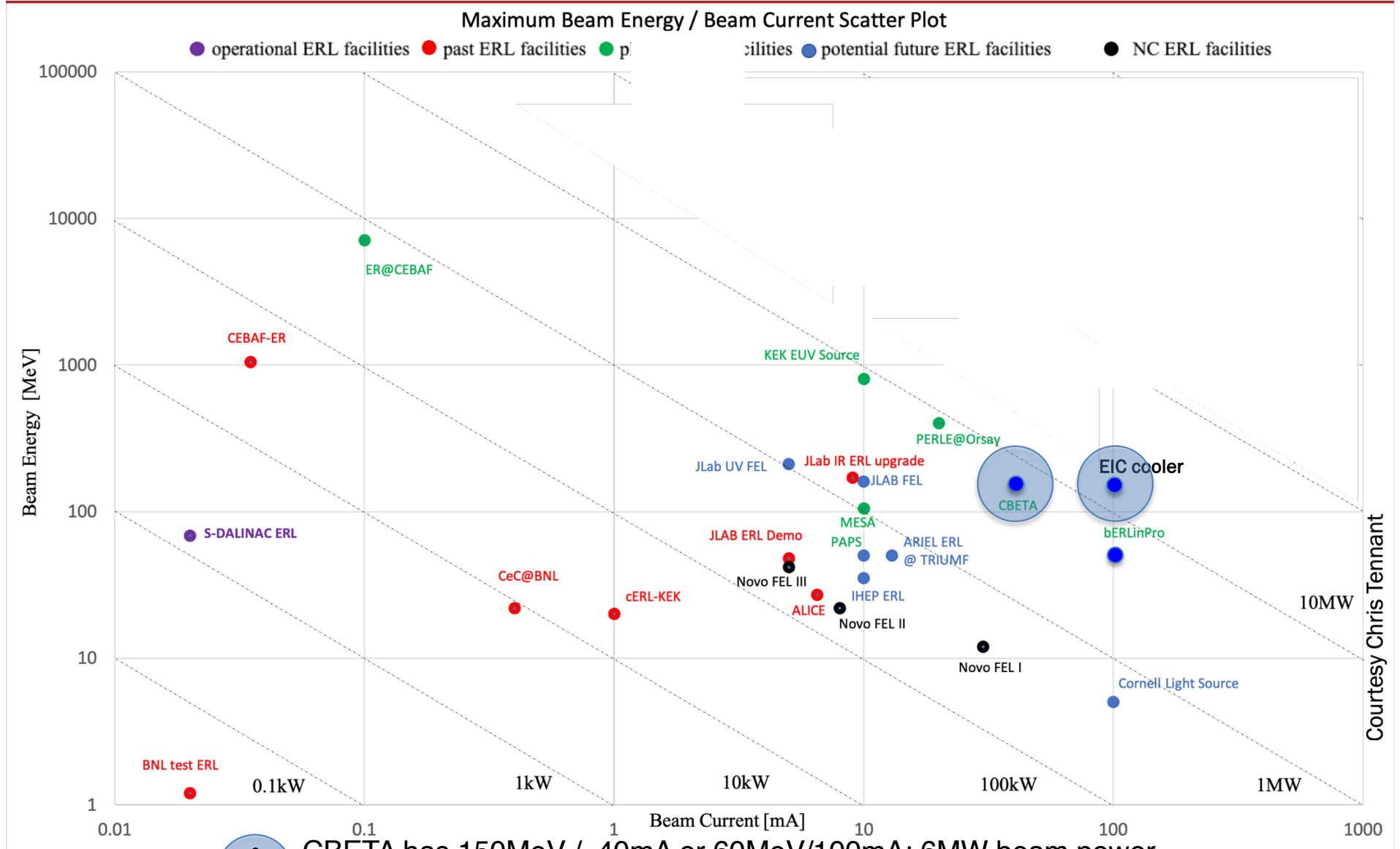
**CBETA is now available for R&D on high power beams!**

arXiv:1706.04245v1 [physics.acc-ph] 13 Jun 2017



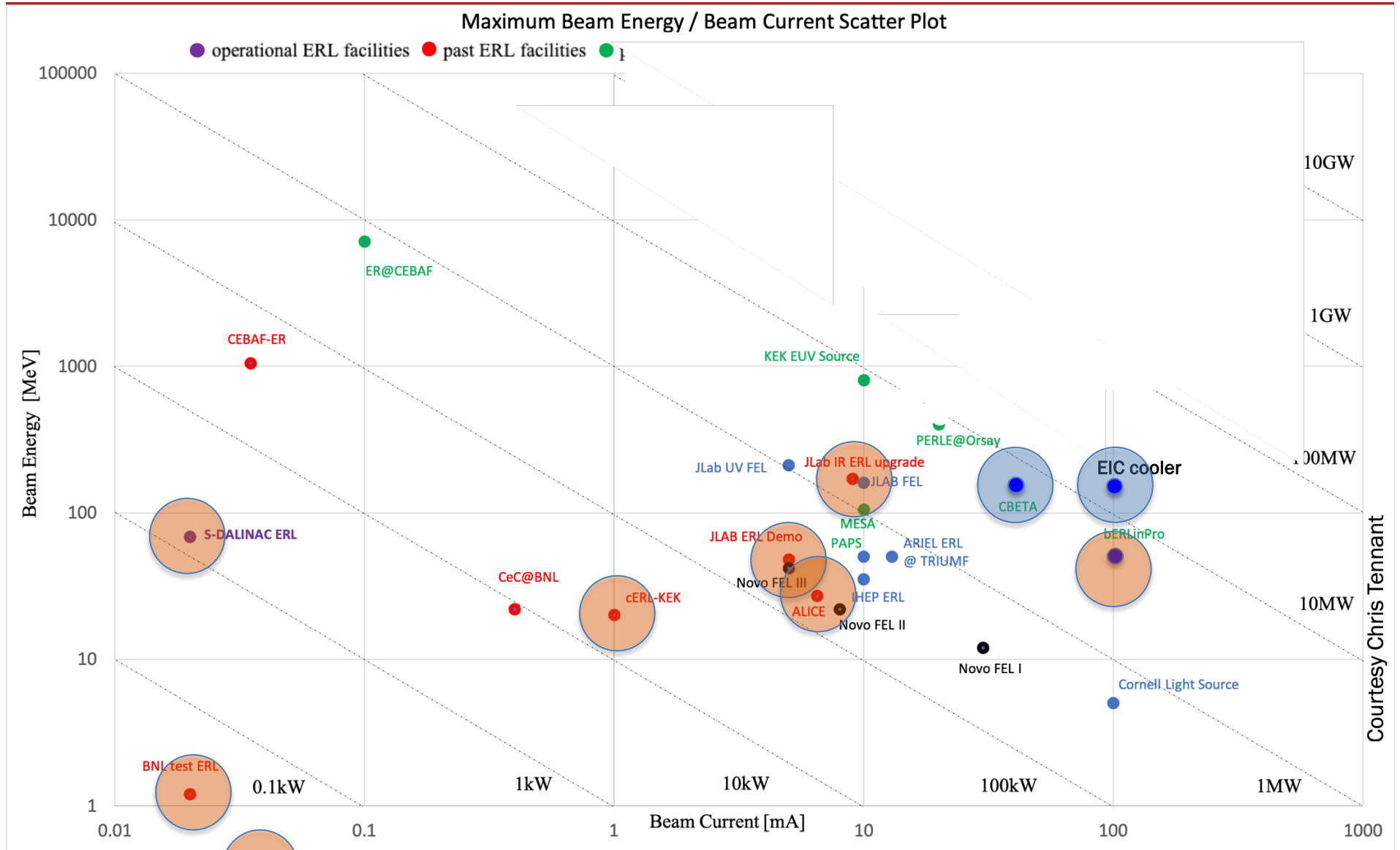
June 8, 2017

# The beam power frontier



- CBETA has 150MeV / 40mA or 60MeV/100mA: 6MW beam power
- EIC cooler ERL has 150MeV and up to 100mA: up to 15MW

# Collaborators at the beam power frontier



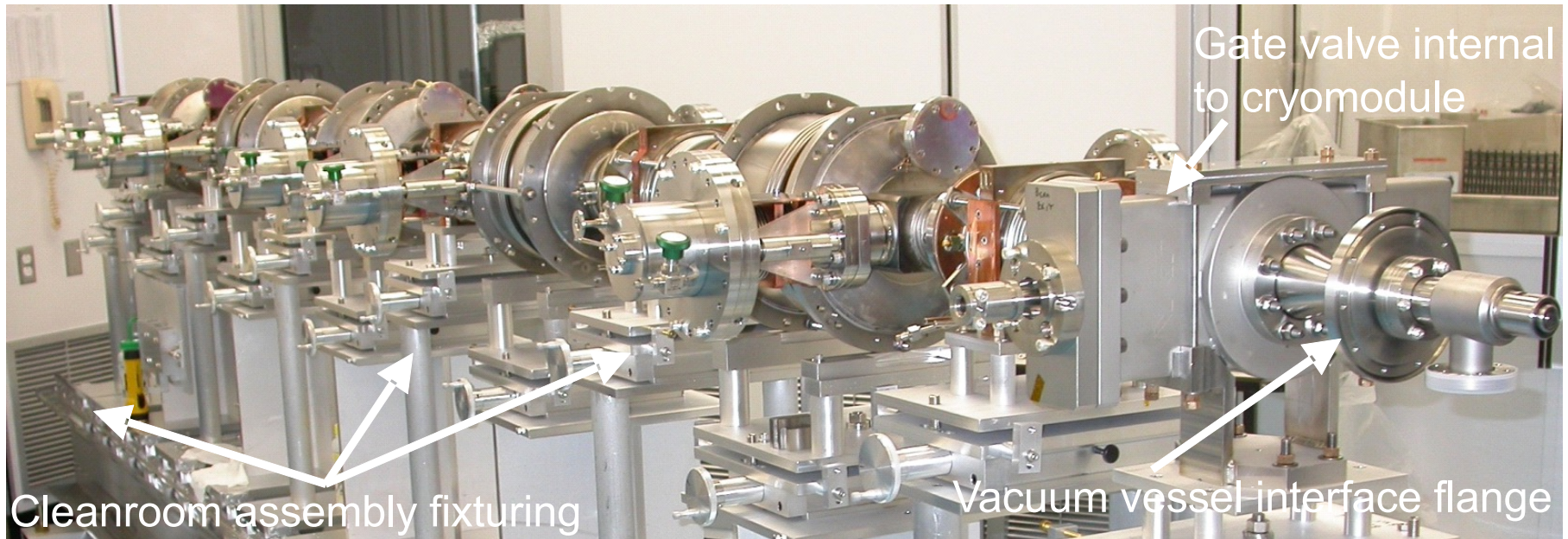
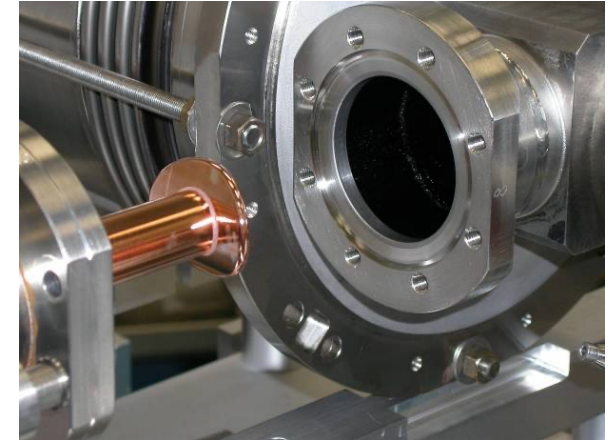
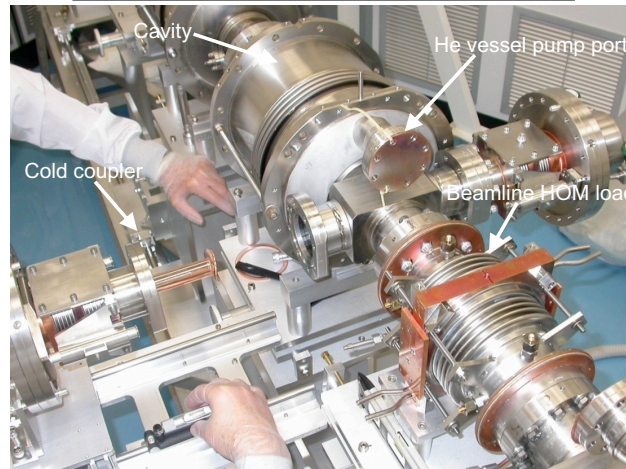
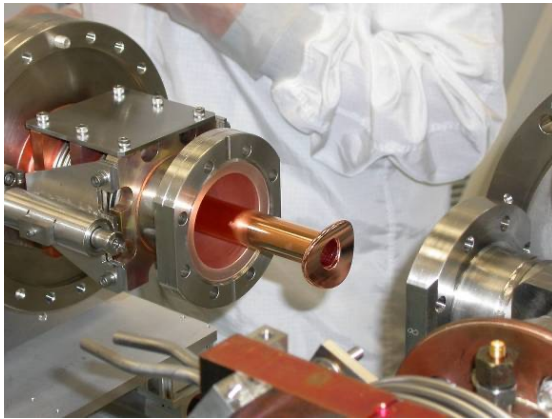
Home projects of collaborators who joint in recent CBETA running

Courtesy Chris Tennant

# In-house production from DC-source to SRF linac, from cathodes to the full accelerator



Attach cold couplers to beamline string



# In-house production from DC-source to SRF linac, from cathodes to the full accelerator



- MLC assembly was completed
- Cooled down fall 2015, field, Q, and microphonics tested.
- Further cold studies will start August 2016

# Hall LOE before CBETA

*LOE contained approximately 7,000 square feet of Lab and Shop space*



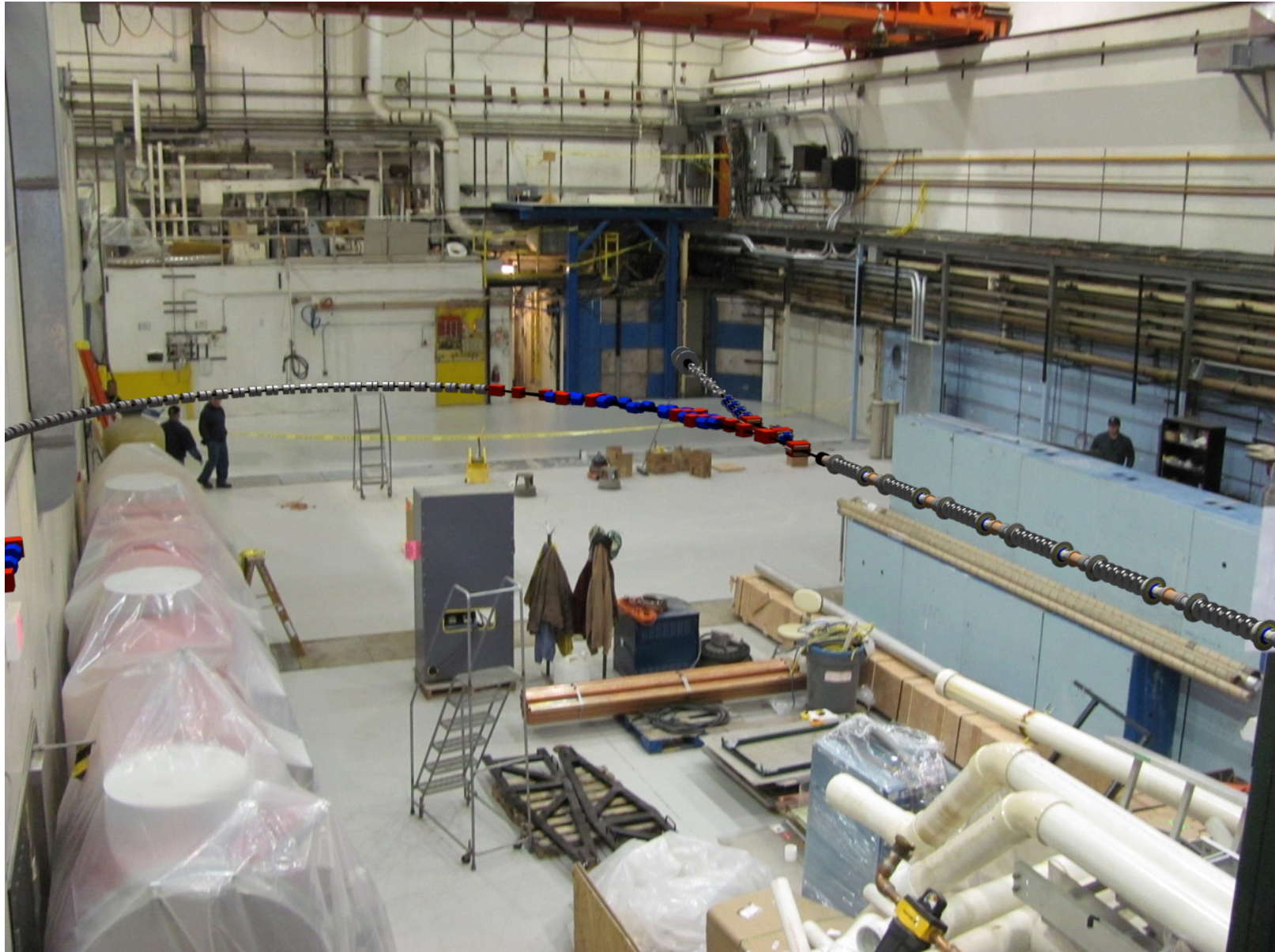
Spring 2015

*70% of the existing technical-use space was removed for the initial phase*





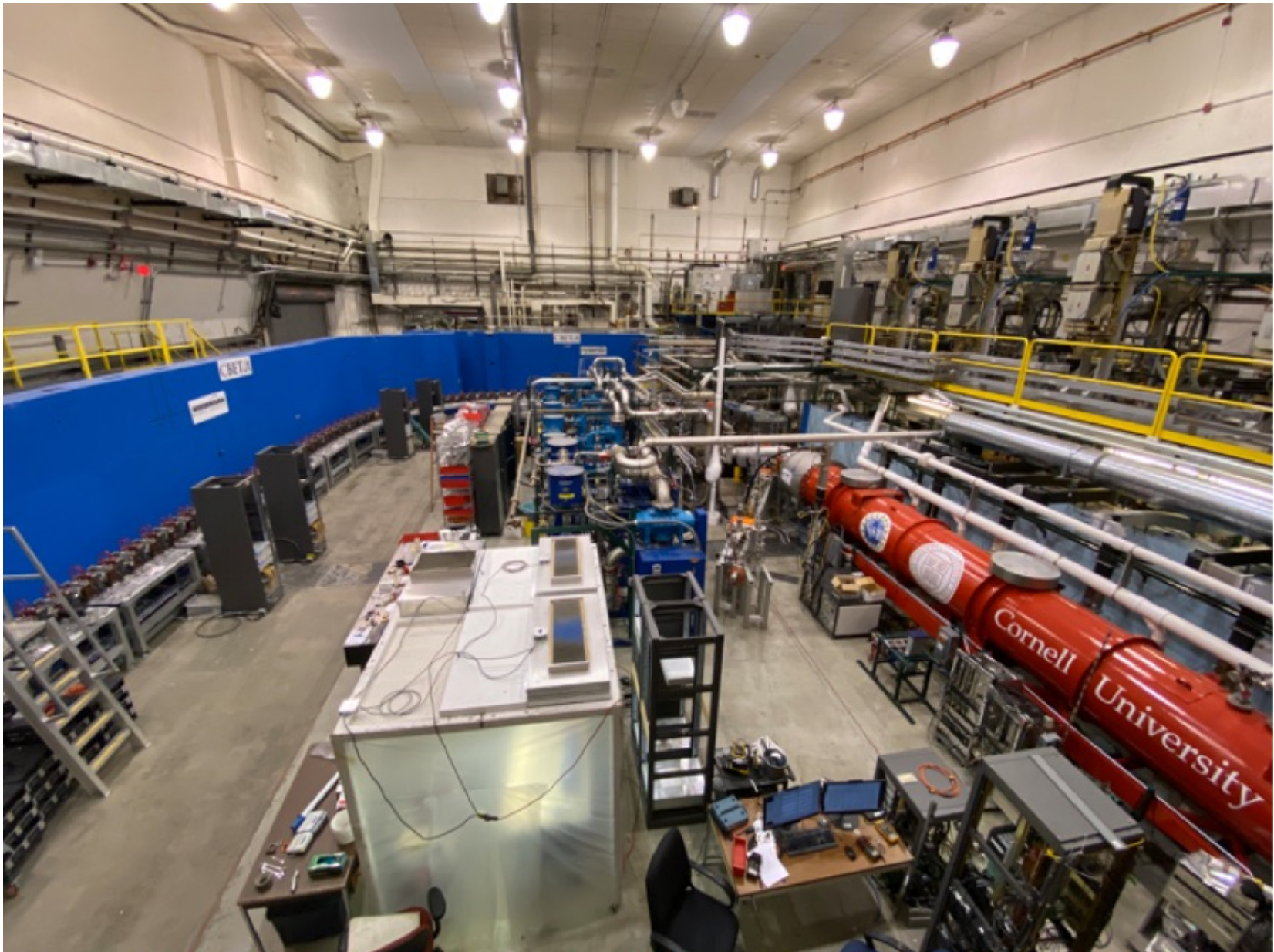
# L0E cleaned with CBETA



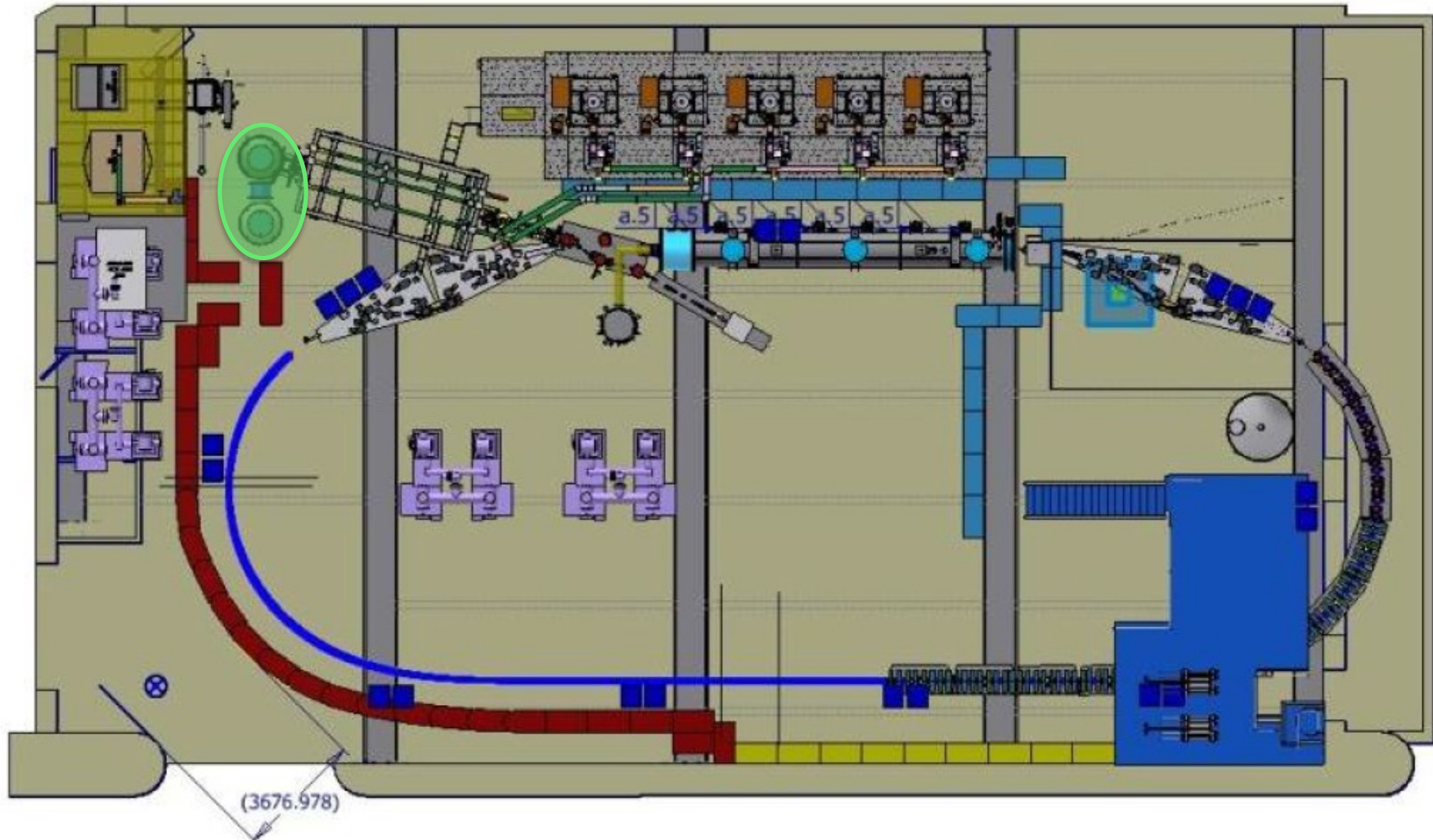
# FFA permanent magnet work from BNL



# CBETA installation at Cornell, 2019



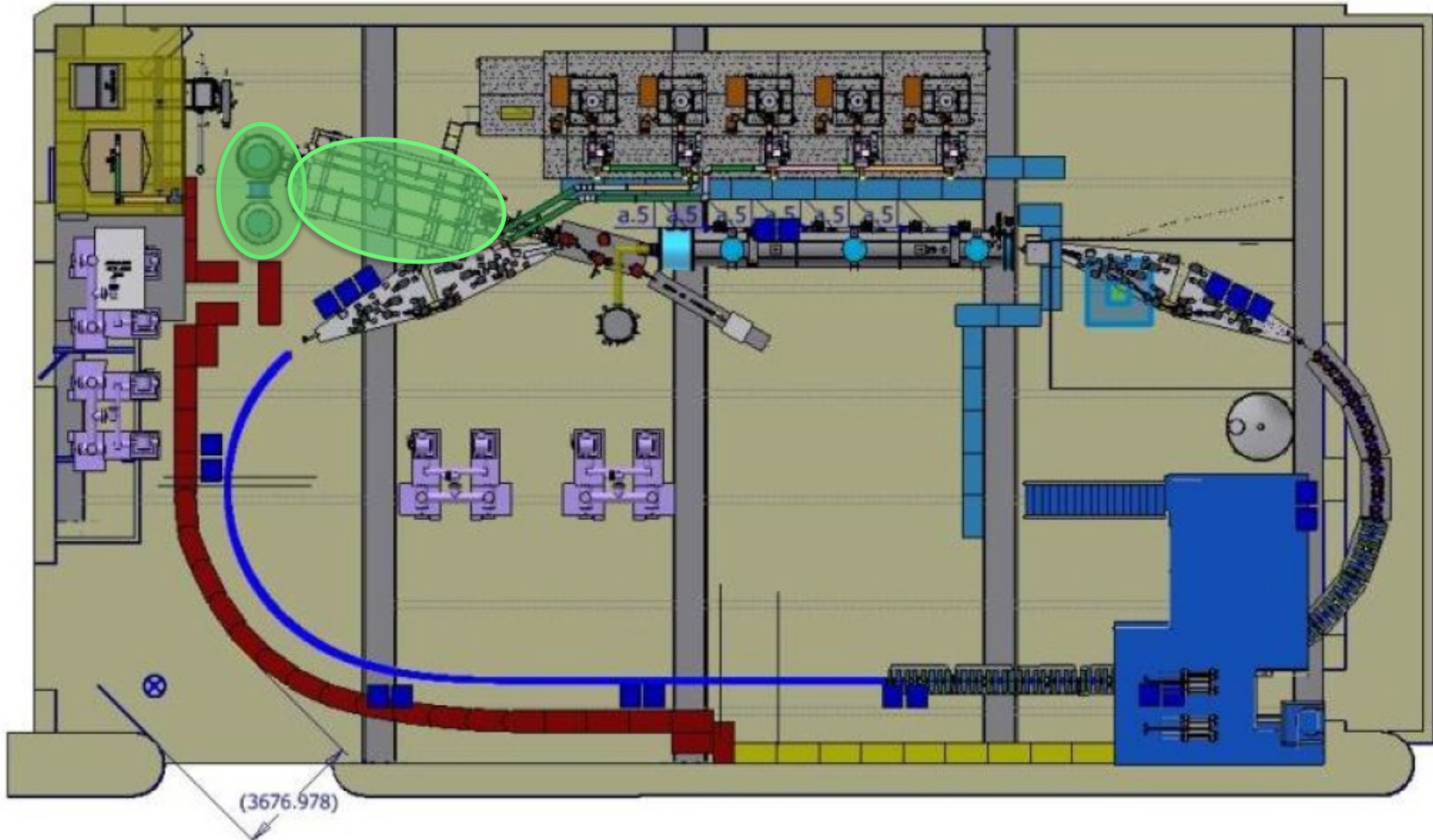
Installed: DC gun



# CBETA in its Hall LOE



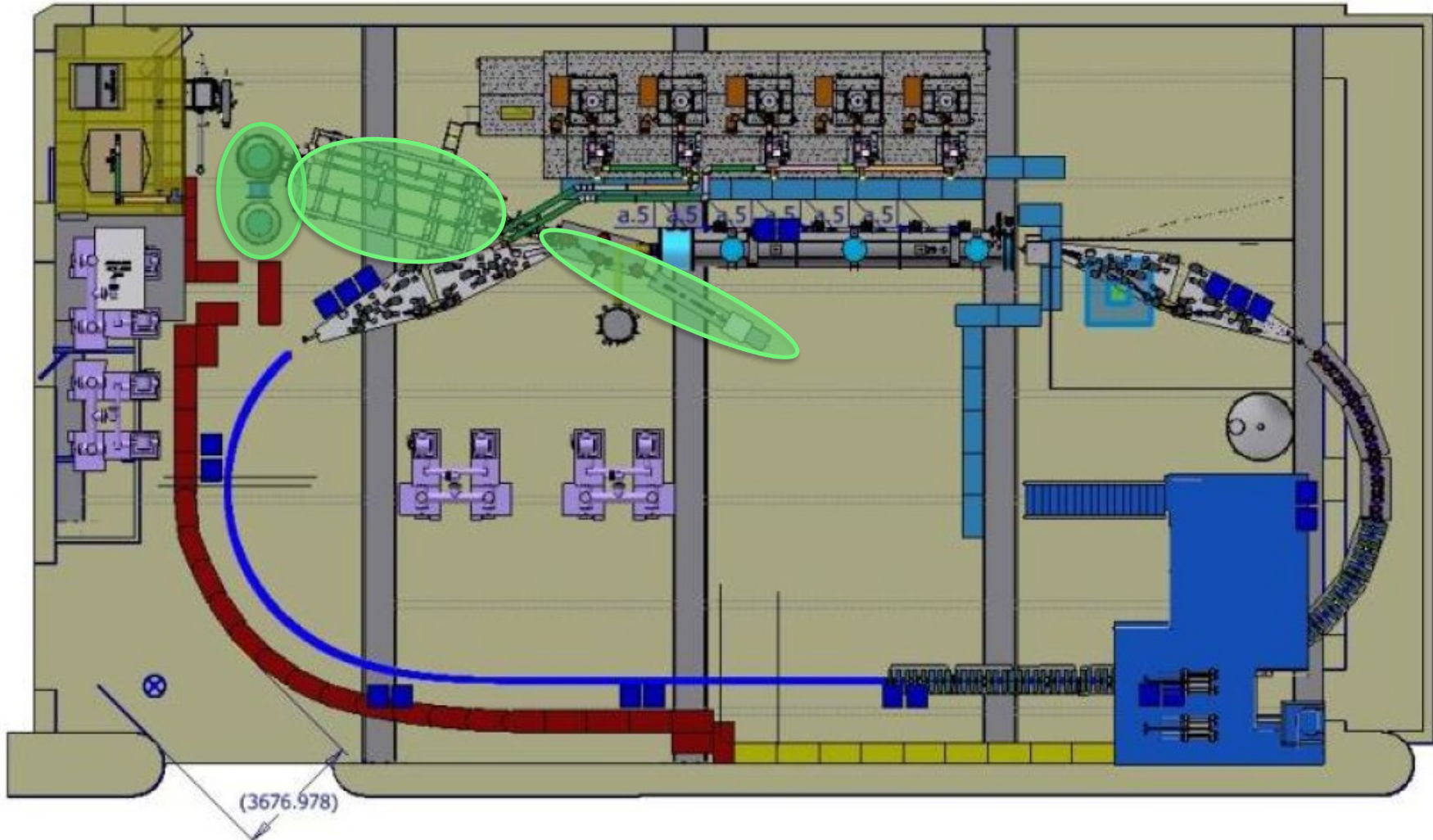
Installed: DC gun, SRF injector



# CBETA in its Hall LOE



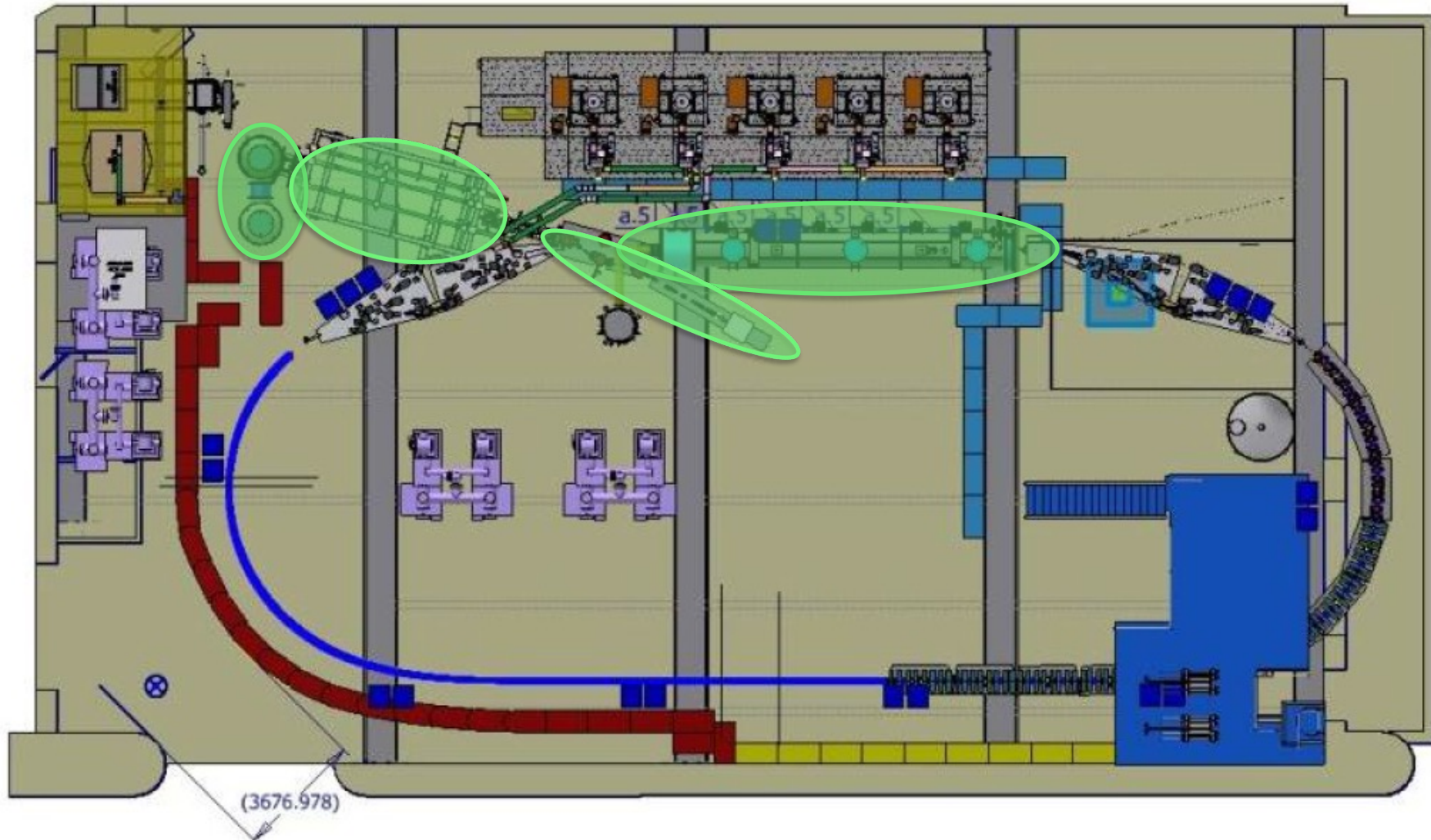
Installed: DC gun, SRF injector, mirror diagnostics line



# CBETA in its Hall LOE



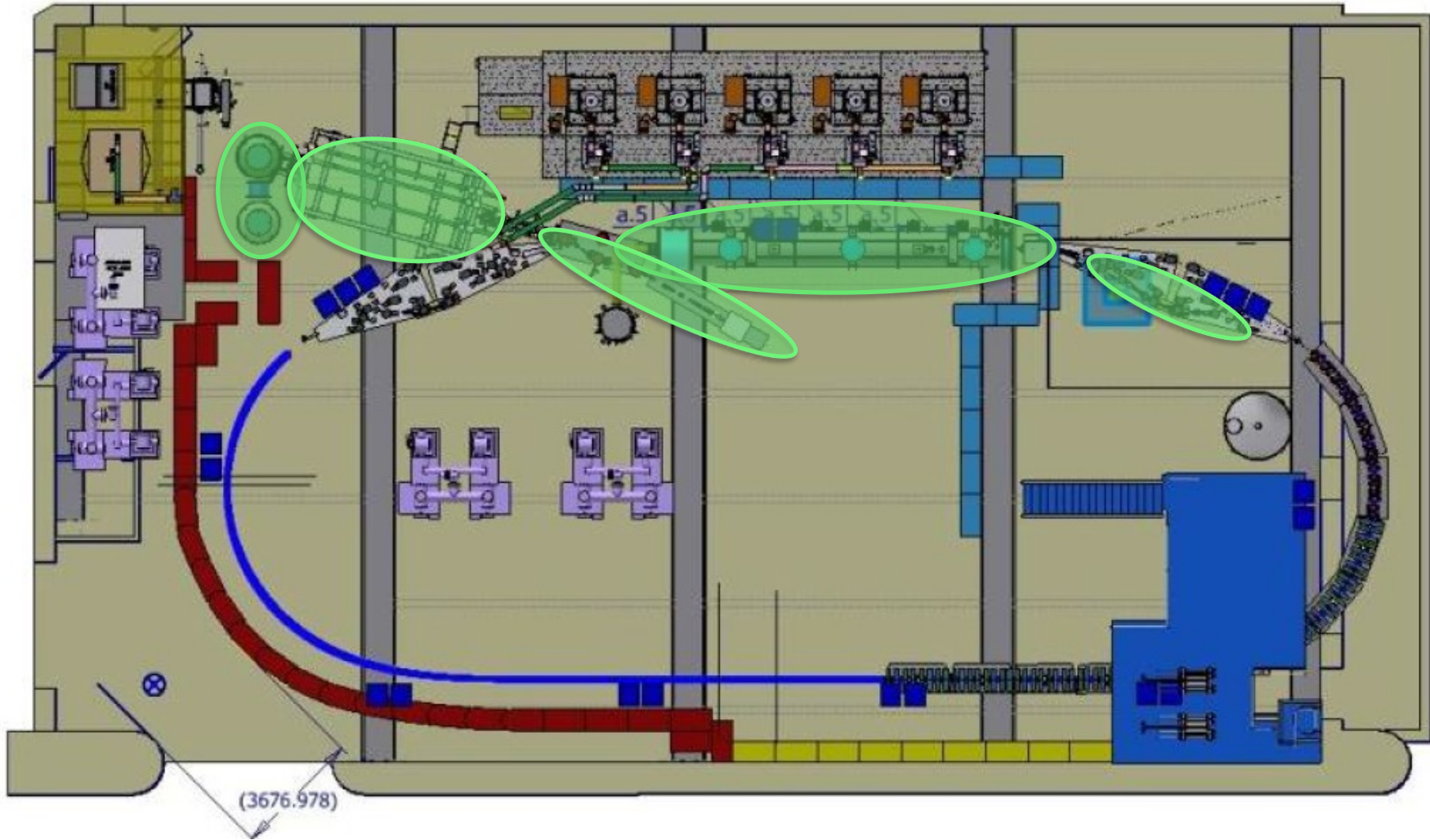
Installed: DC gun, SRF injector, mirror diagnostics line, ERL cryomodule



# CBETA in its Hall LOE



Installed: DC gun, SRF injector, mirror diagnostics line, ERL cryomodule  
1<sup>st</sup> splitter of 8

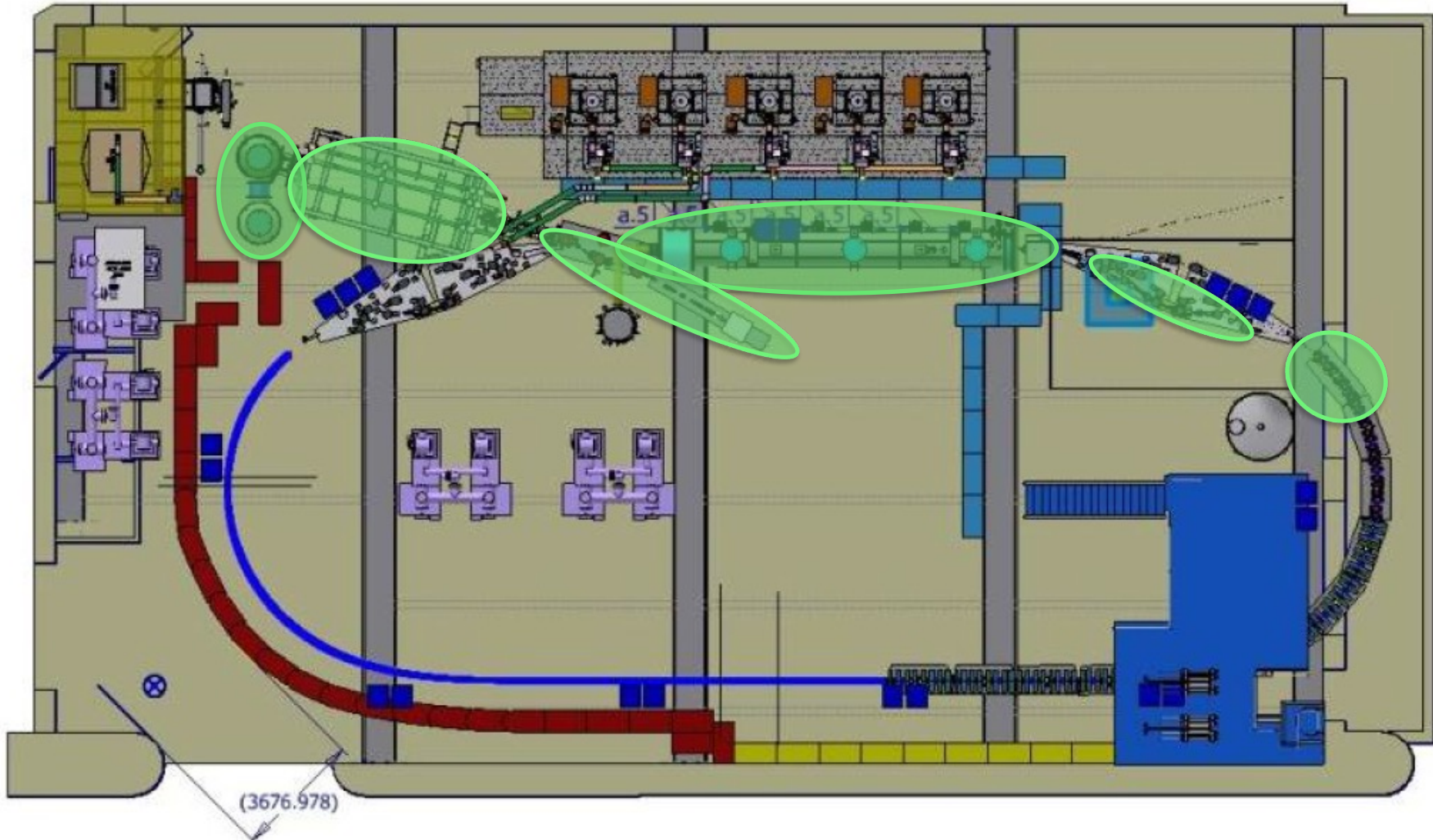




# CBETA in its Hall LOE



Installed: DC gun, SRF injector, mirror diagnostics line, ERL cryomodule  
1<sup>st</sup> splitter of 8, 1<sup>st</sup> Fixed Field Alternating-gradient (FFA) girder of 25.



## Cornell **DC gun**

- 75mA short term, 65mA for hours
- Detailed **phase space diagnostics** for space charge dominated beams.
- Study of 2nC bunch charges

## High power **SRF injector linac**

- Tested up to 13.5MeV
- Tested up to 75mA
- Investigation of ion / beam interactions

## ERL main SRF linac

- Operated with **1-turn ERL and 99.4% ERL efficiency**
- Operated with **4-turn ERL**
- Detection of **micro bunching**
- 1-turn current limited to 70mu for radiation protection.
- 4-turn current limited to 1nA because of 50% beam loss in the last recovery loop.

## FFA return loop

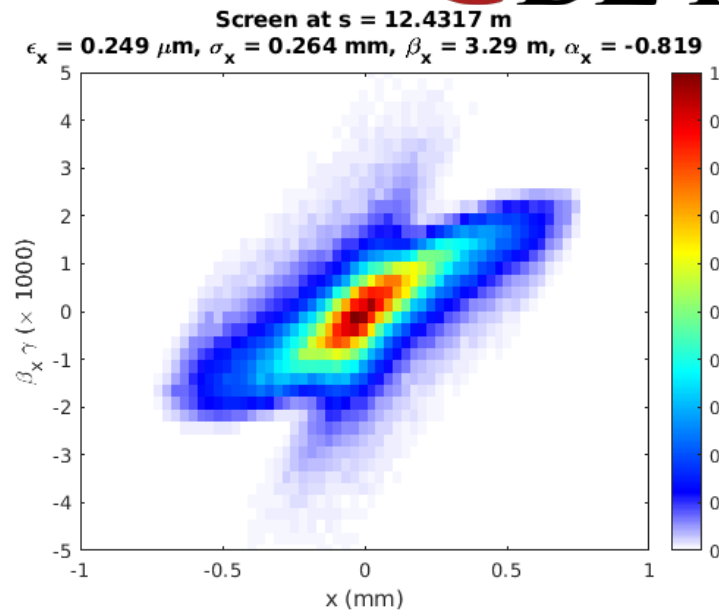
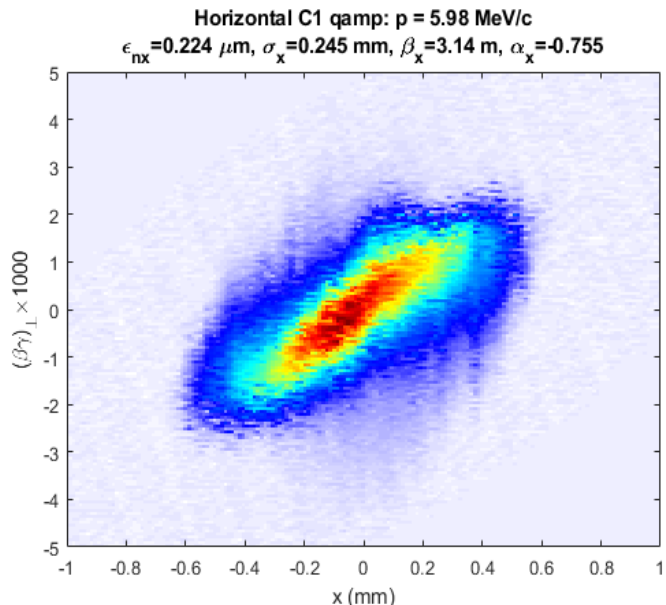
- 7 simultaneous beams (at 42, 78, 114, 150MeV)
- Hardly measurable beam losses in the FFA region

### Measured

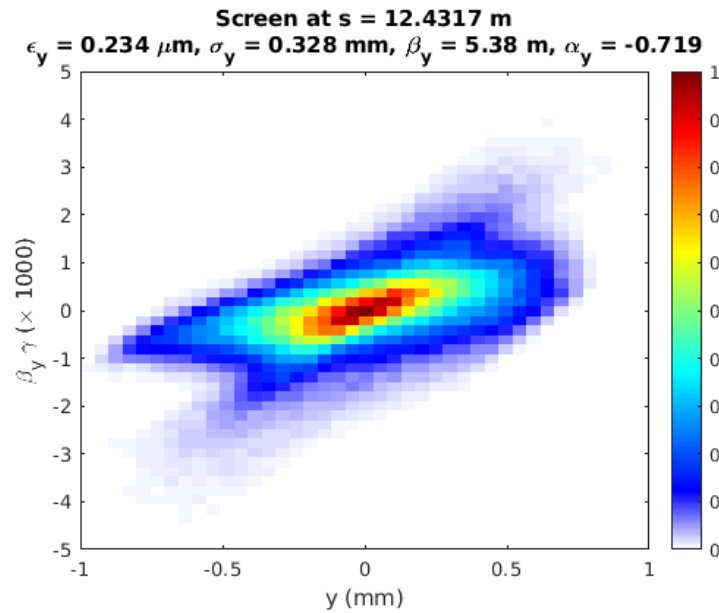
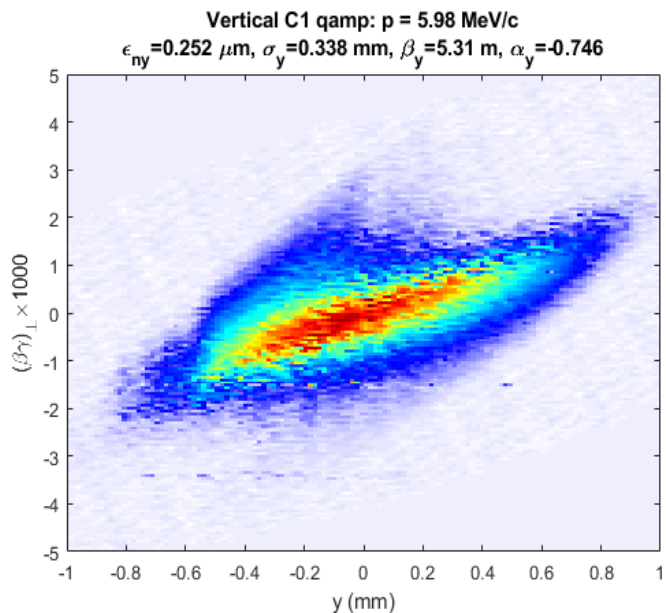
### Simulation



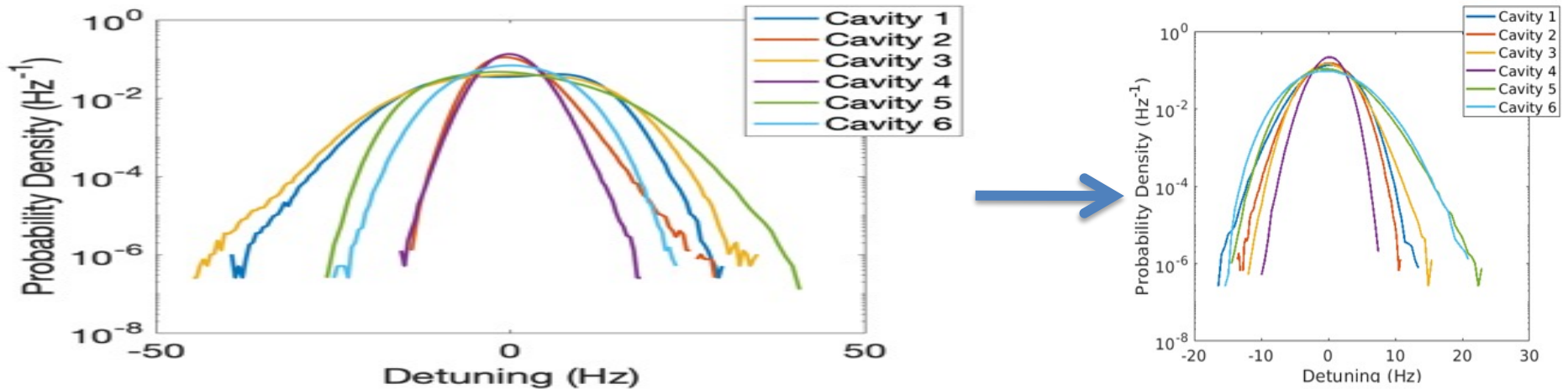
Horizontal



Vertical



# Microphonics stabilization of SRF



Cavity	Stiffened	Old Peak Detuning (Hz)	New Peak Detuning (Hz)	Field (MV)
1	No	78	17	6 (8.5)
2	Yes	18	15	6 (8.5)
3	No	280	16	6 (9.5)
4	Yes	18	11	6 (10)
5	No	163	23	6 (10)
6	Yes	33	22	6 (10)

After mitigating several vibration sources, the peak detuning was 23 Hz among all cavities.  
 54Hz detuning can be tolerated with 5kW RF sources.

12 **proof-of-principle magnets** (6 QF, 6 BD) have been built as part of CBETA R&D.

Iron wire shimming has been done on 3 QFs and 6 BDs with good results.

PoP BD

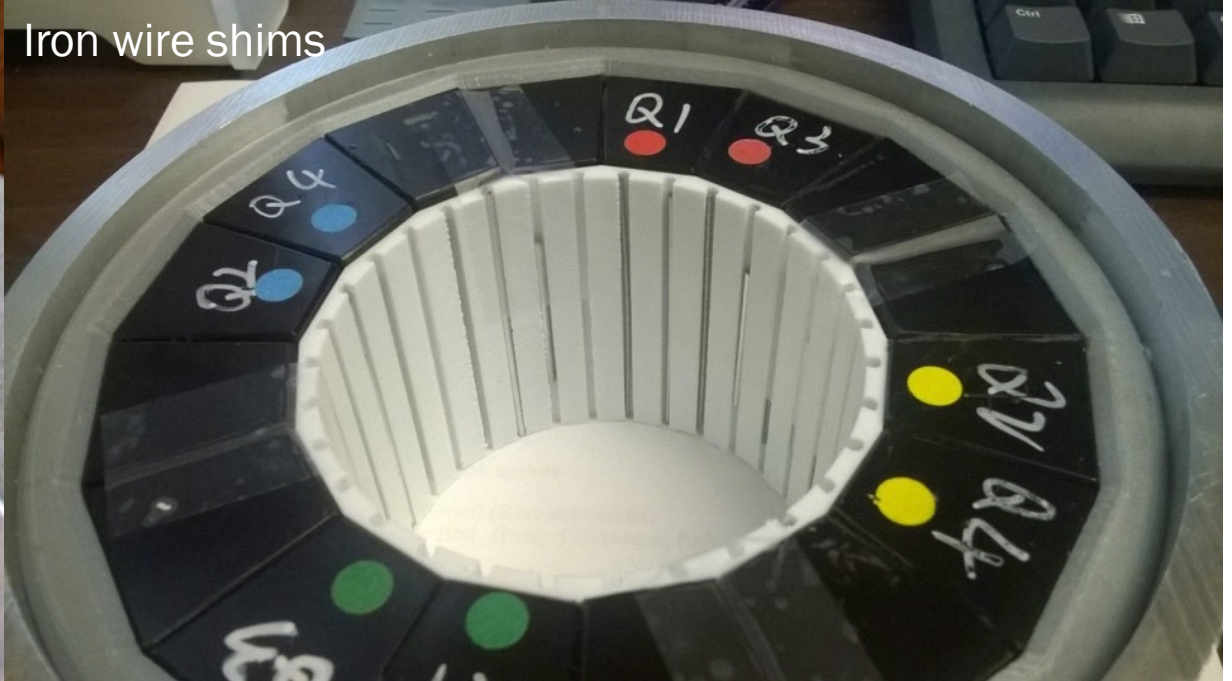


PoP QF



PoP magnet series

Iron wire shims



## Individual Multipole limits (for < 10% emittance and beam-size growth)

b2	37	a2	140
b3	30	a3	90
b4	26	a4	80
b5	21	a5	65
b6	21	a6	63
b7	19	a7	58
b8	21	a8	56
b9	18	a9	53

$$B_x + iB_y = \frac{b_n + ia_n}{L} (x + iy)^n$$

$$b_n = \left[ 10^{-4} \frac{GL}{r_0^{n-1}} \right] u_0$$

## Multipole limits:

For < 10% emittance and beam-size growth

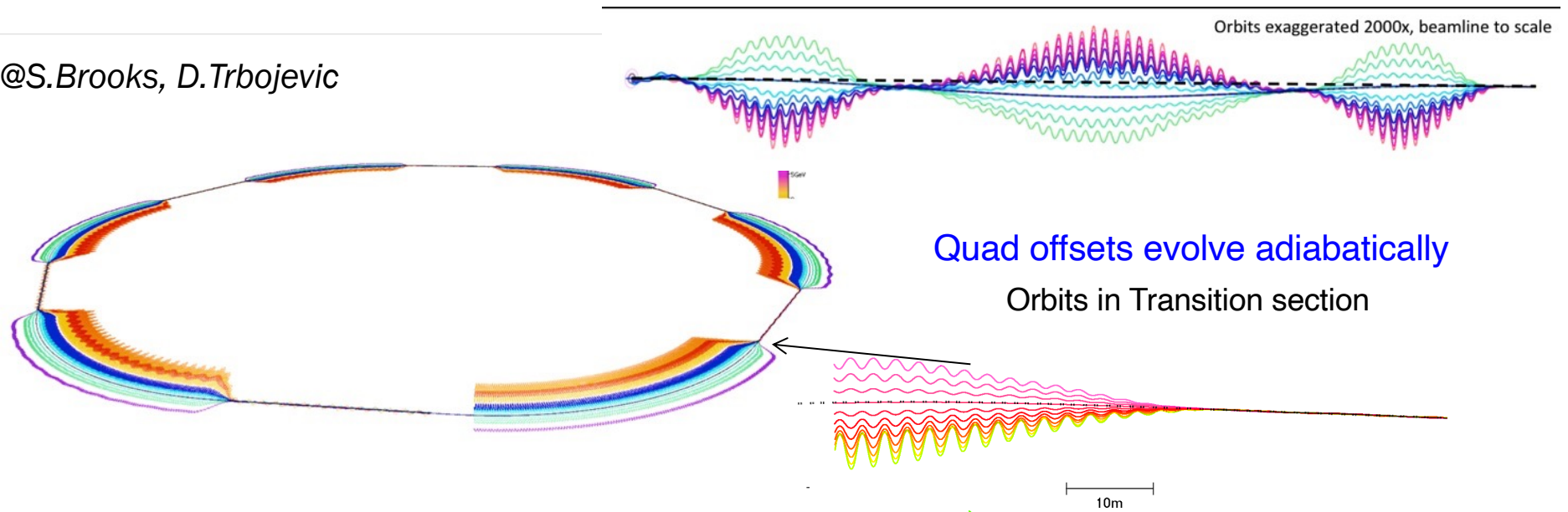
$$\sqrt{\sum_n \left( \frac{b_n}{\lim\_b_n} \right)^2 + \left( \frac{a_n}{\lim\_a_n} \right)^2} < 0.75$$

# First Girder Construction



## Adiabatic optics, orbit, and dispersion changes.

@S.Brooks, D.Trbojevic



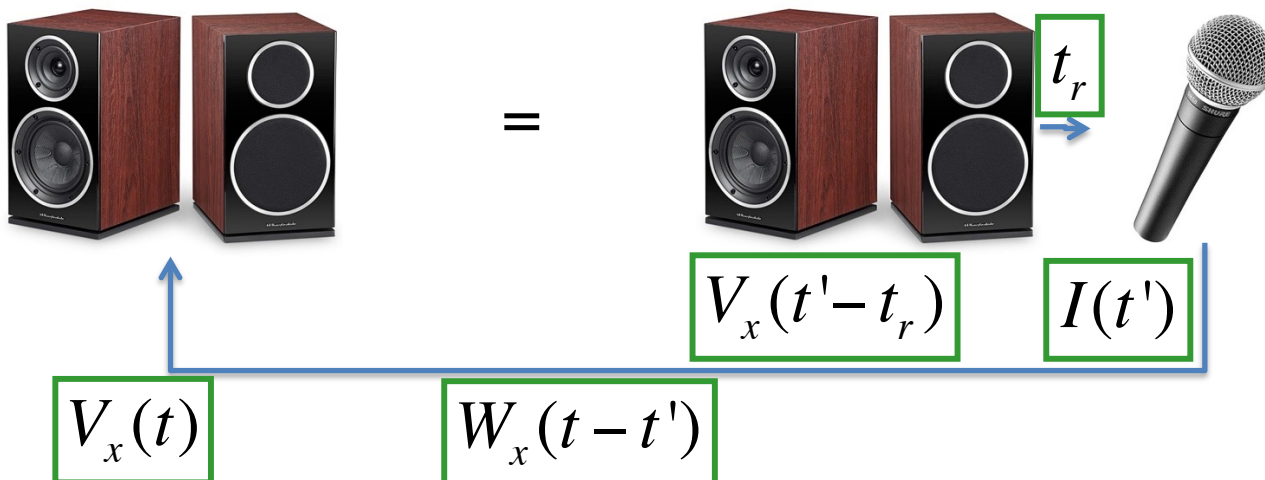


## Beam break up: a potential limit to ERL currents

Higher Order Modes

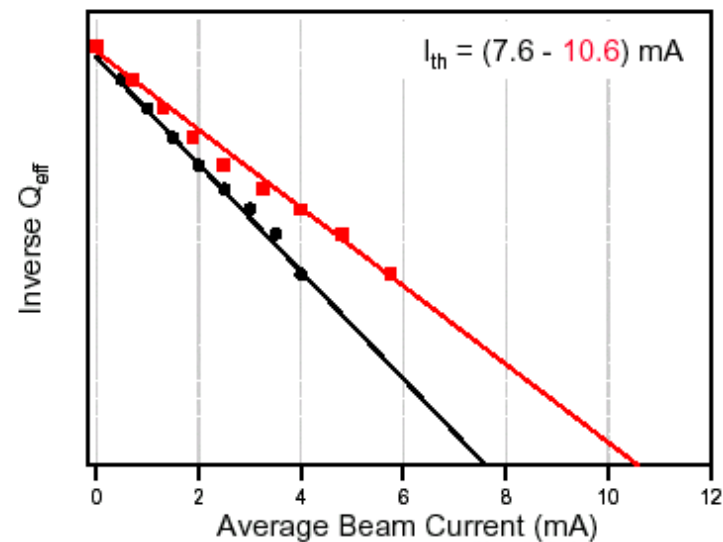
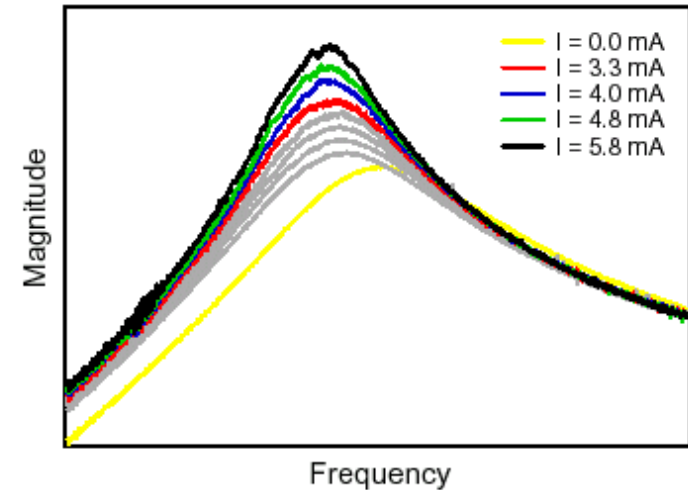
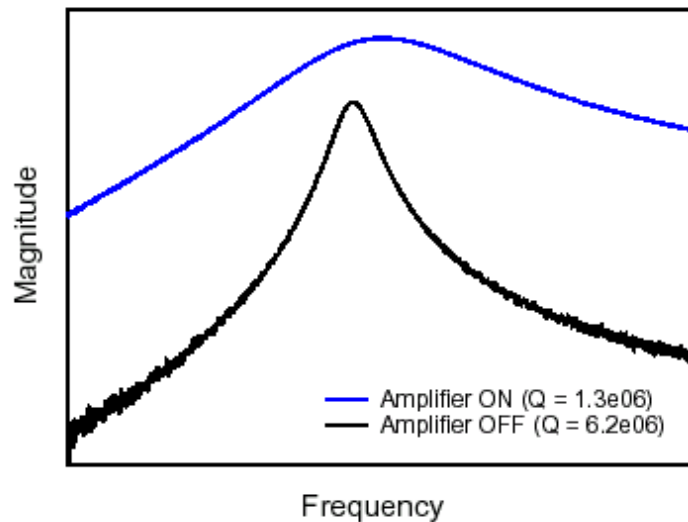


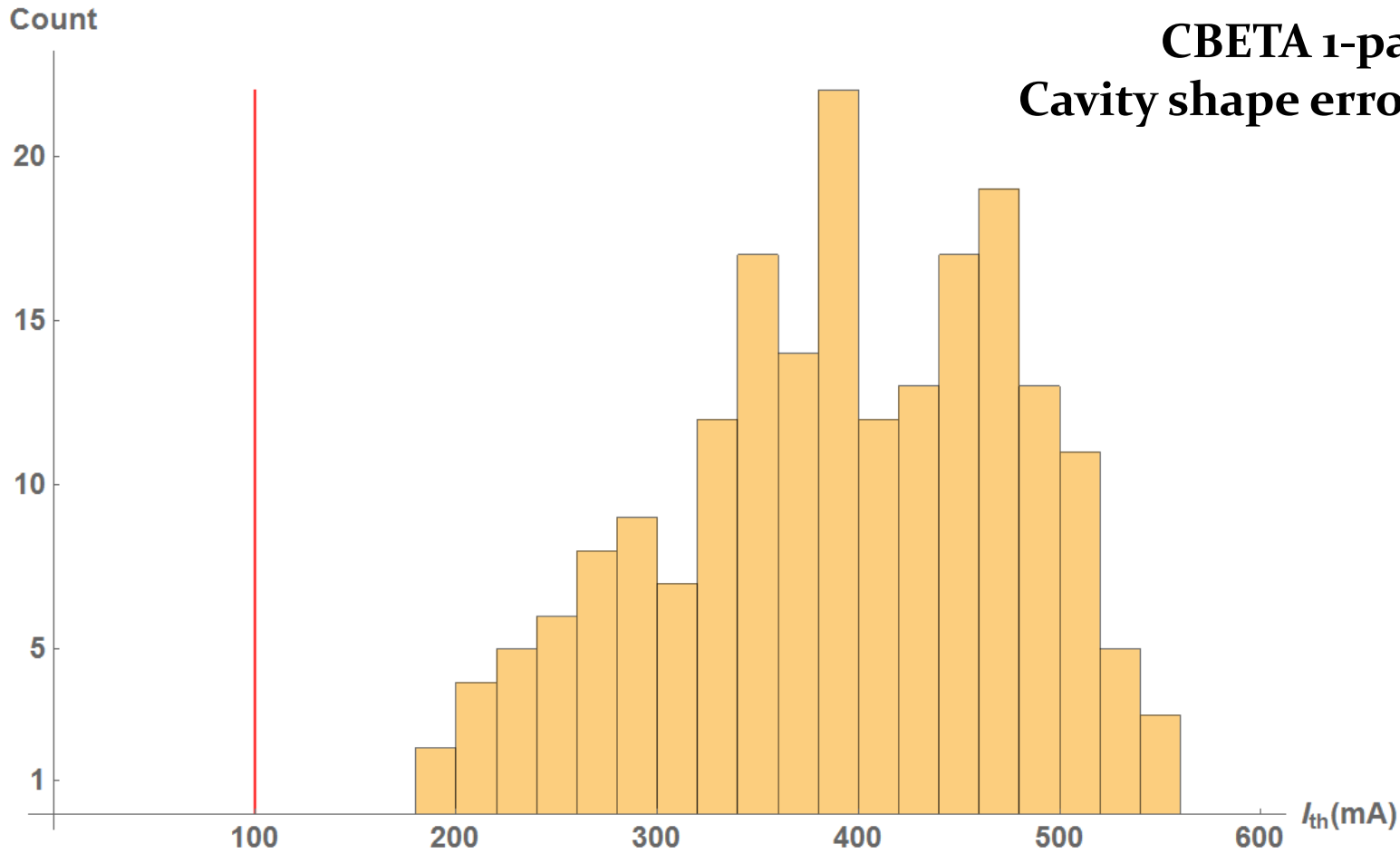
$$V_x(t) = T_{12} \frac{e}{c} \int_{-\infty}^t W_x(t-t') V_x(t'-t_r) I(t') dt'$$



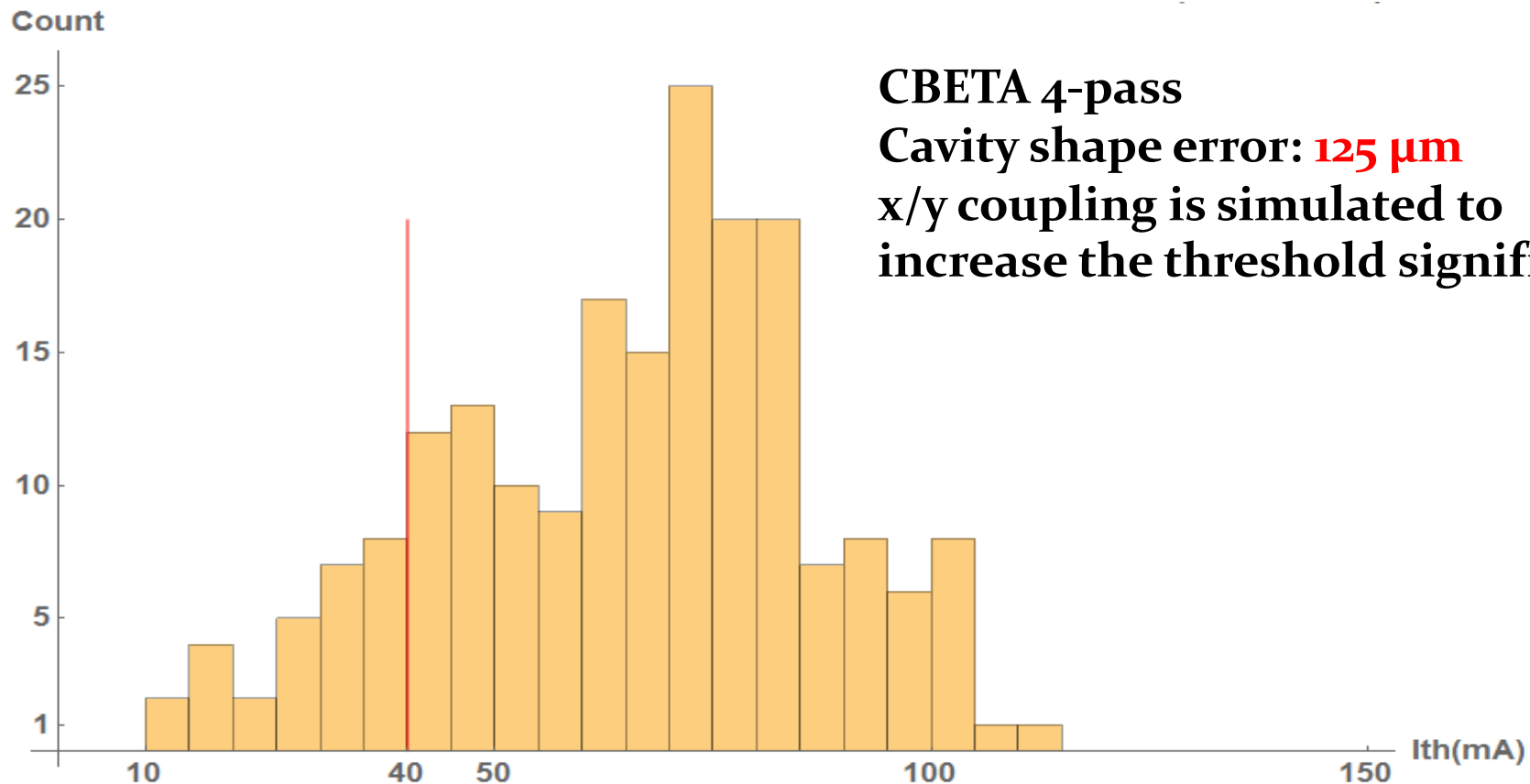
Recall...  $I_{threshold} \propto \frac{1}{Q_{HOM}}$

- Damping circuit easily reduced the Q of the 2106 MHz mode by a factor of 5  
*(Above a factor of about 10, the system becomes sensitive to external disturbances)*
- The threshold is increased accordingly: from 2 mA to ~10 mA





100% of simulations have  $I_{th} > 100\text{mA}$

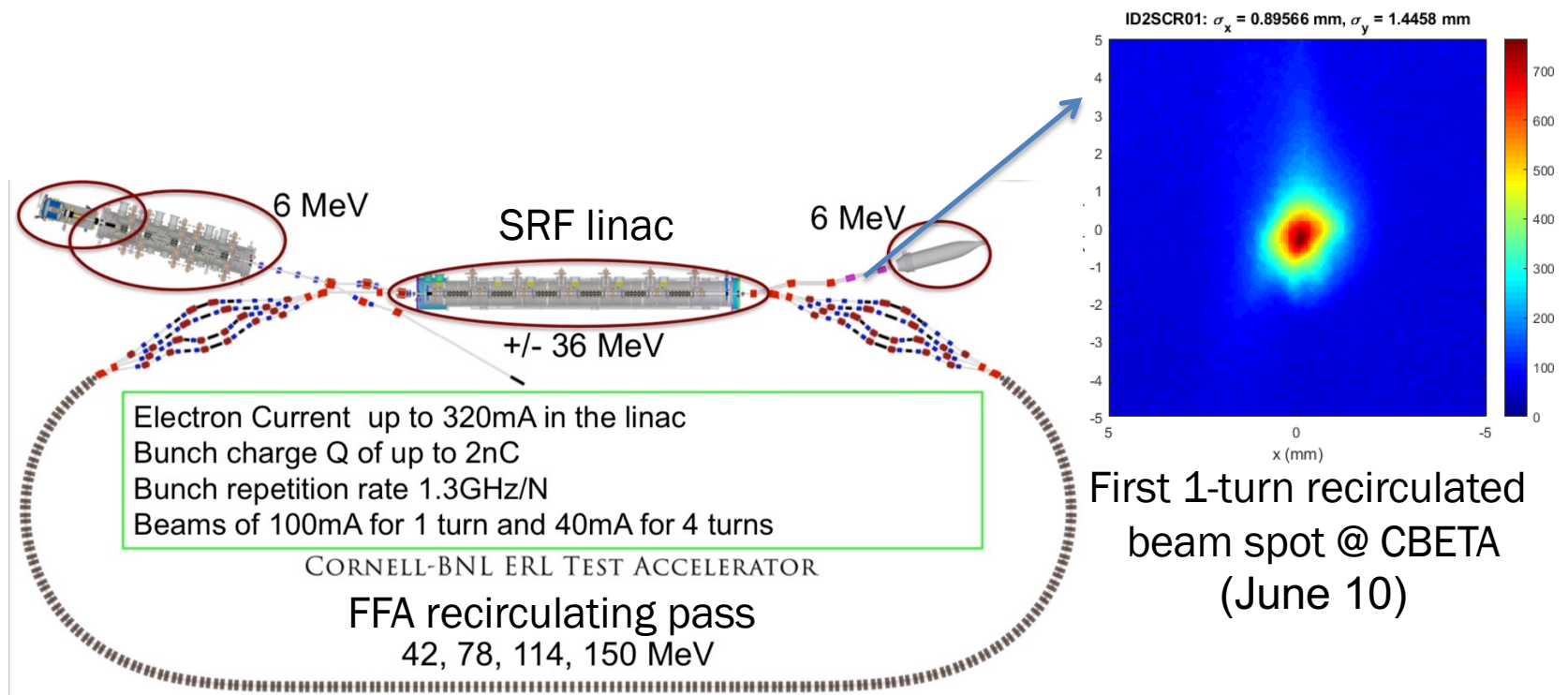


100% of simulations have  $I_{th} > 100\text{mA}$

86% of simulations have  $I_{th} > 40\text{mA}$

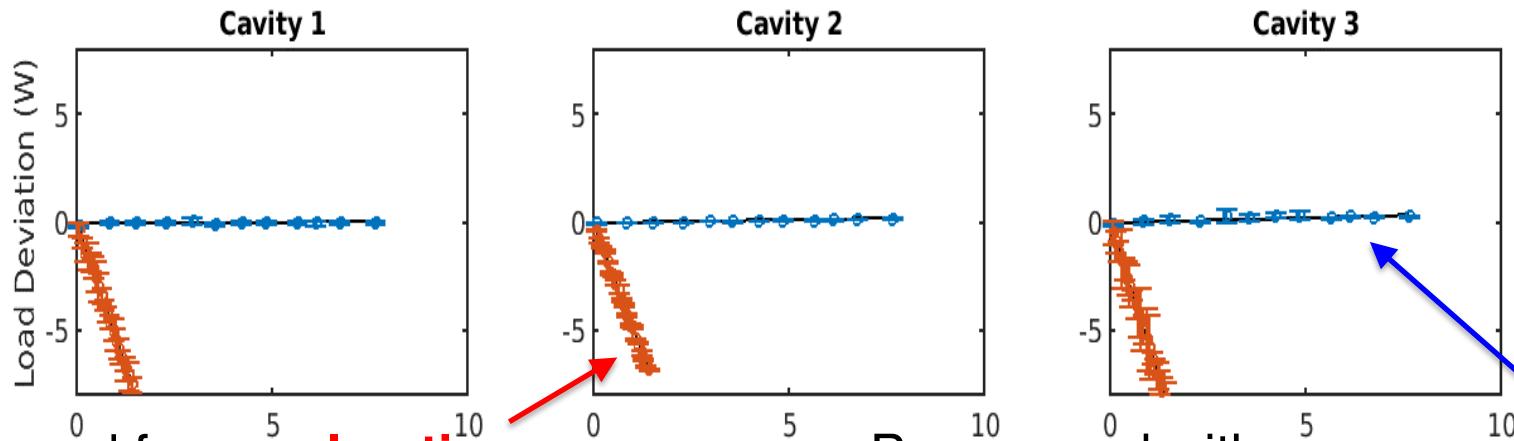
# 1<sup>st</sup> ERL turn in CBETA

- ❖ At least 100 mA current will be needed for eRHIC hadron cooler (design limit for 1-turn CBETA)
- ❖ BNL and collaborators gained and demonstrated expertise in high-power ERLs
- ❖ Successful operation, including energy recovery in each cavity (June 24<sup>th</sup>, 2019).
- ❖ Full 4-turn construction was completed immediately after this achievement.



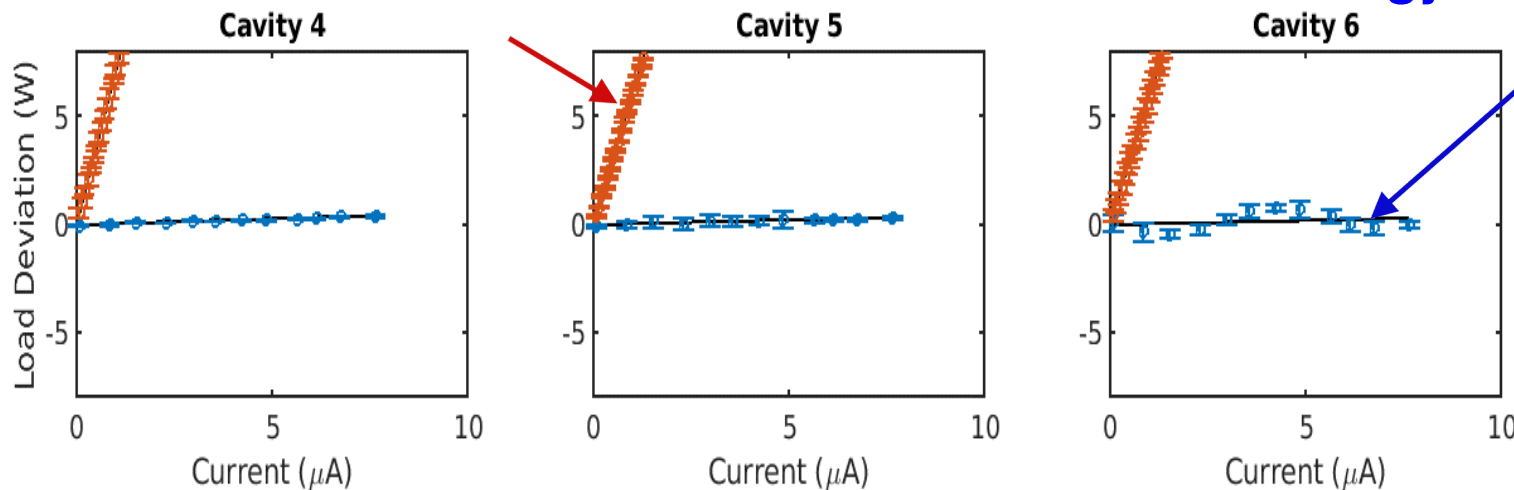
# Energy Recovery in every cavity

- Transmission  $99.6 \pm 0.1\%$  ; energy recovery  $> 99.8\%$
- Measured up to  $8 \mu\text{A}$
- Each cavity accelerates beam **without** receiving **external power** for it.



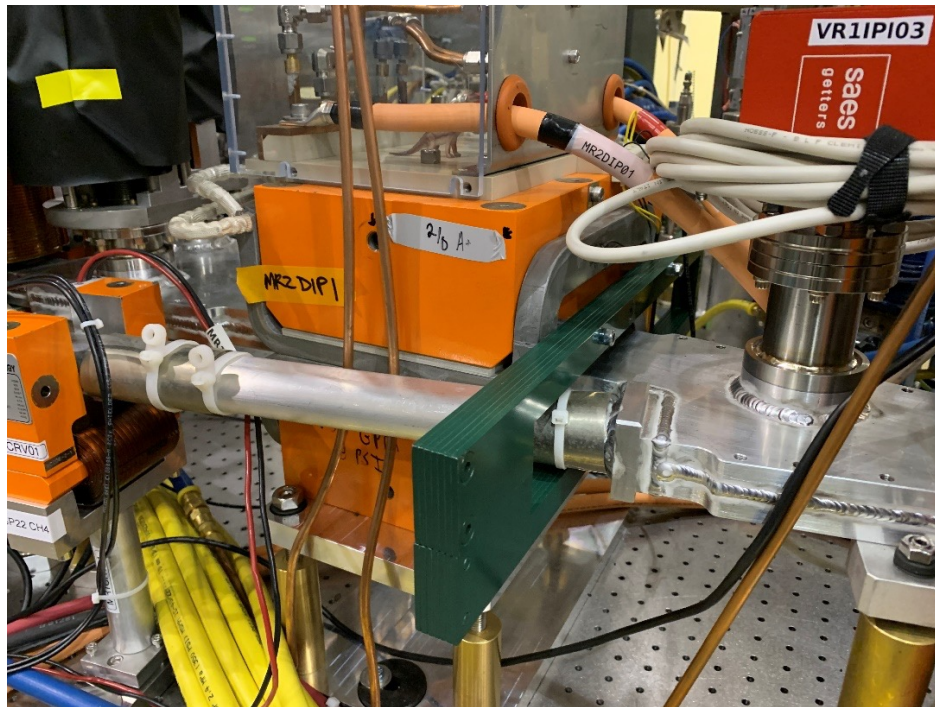
Power need for **acceleration**

Power need with **energy recovery**



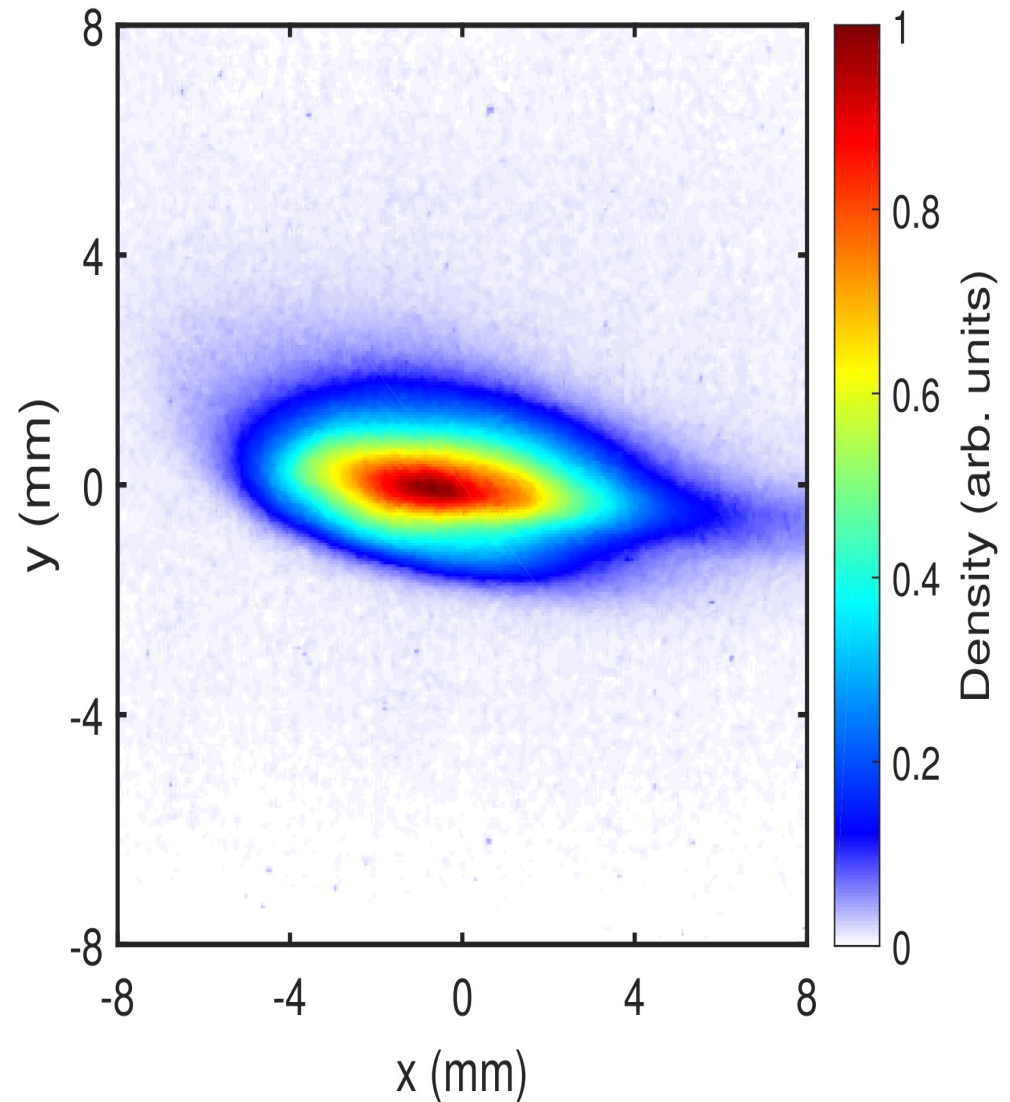
# Spreader / Combiner Stray Fields

- Splitter magnet density was expected to be a significant problem
- Largest expected problems: septa 1 magnets
  - Field clamps from detailed modeling, engineering
  - Reduced stray field effect by factor of 40



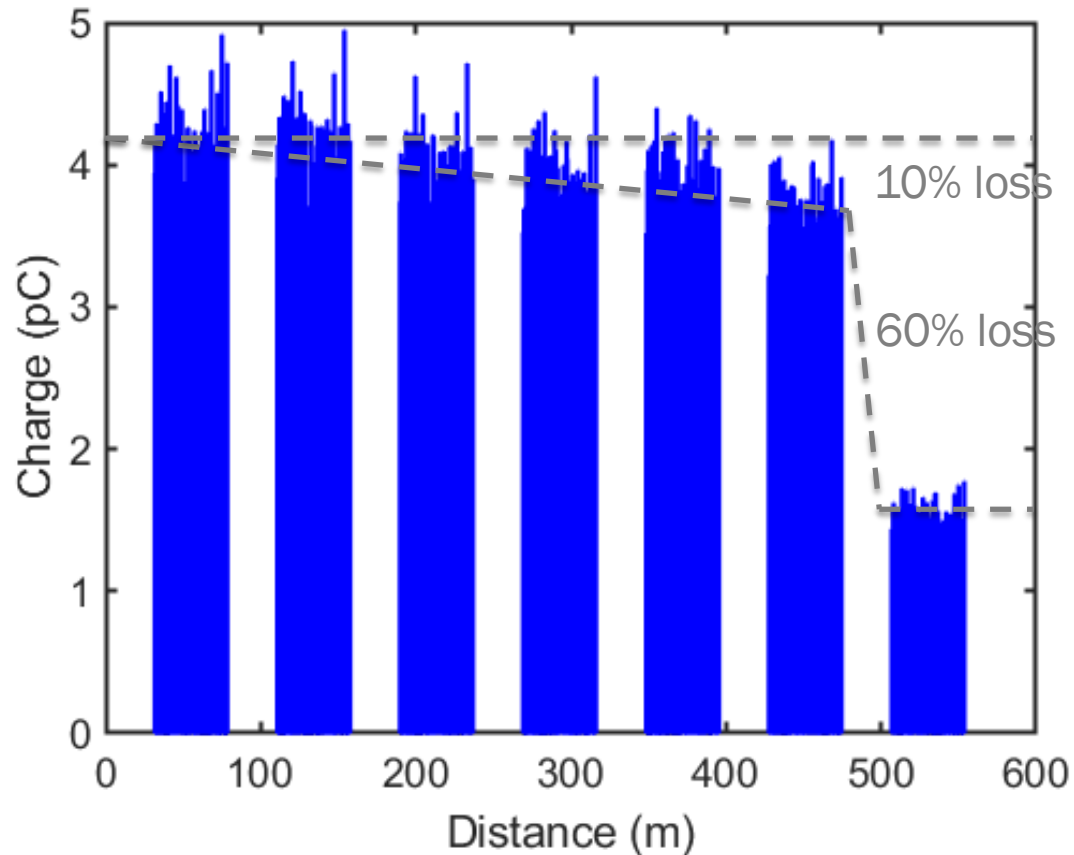
- No time for engineering, nor often space for other magnets...

**Multi-turn energy recovery achieved on December 24, 2019!**  
Beam on the first viewscreen in the beam stop line



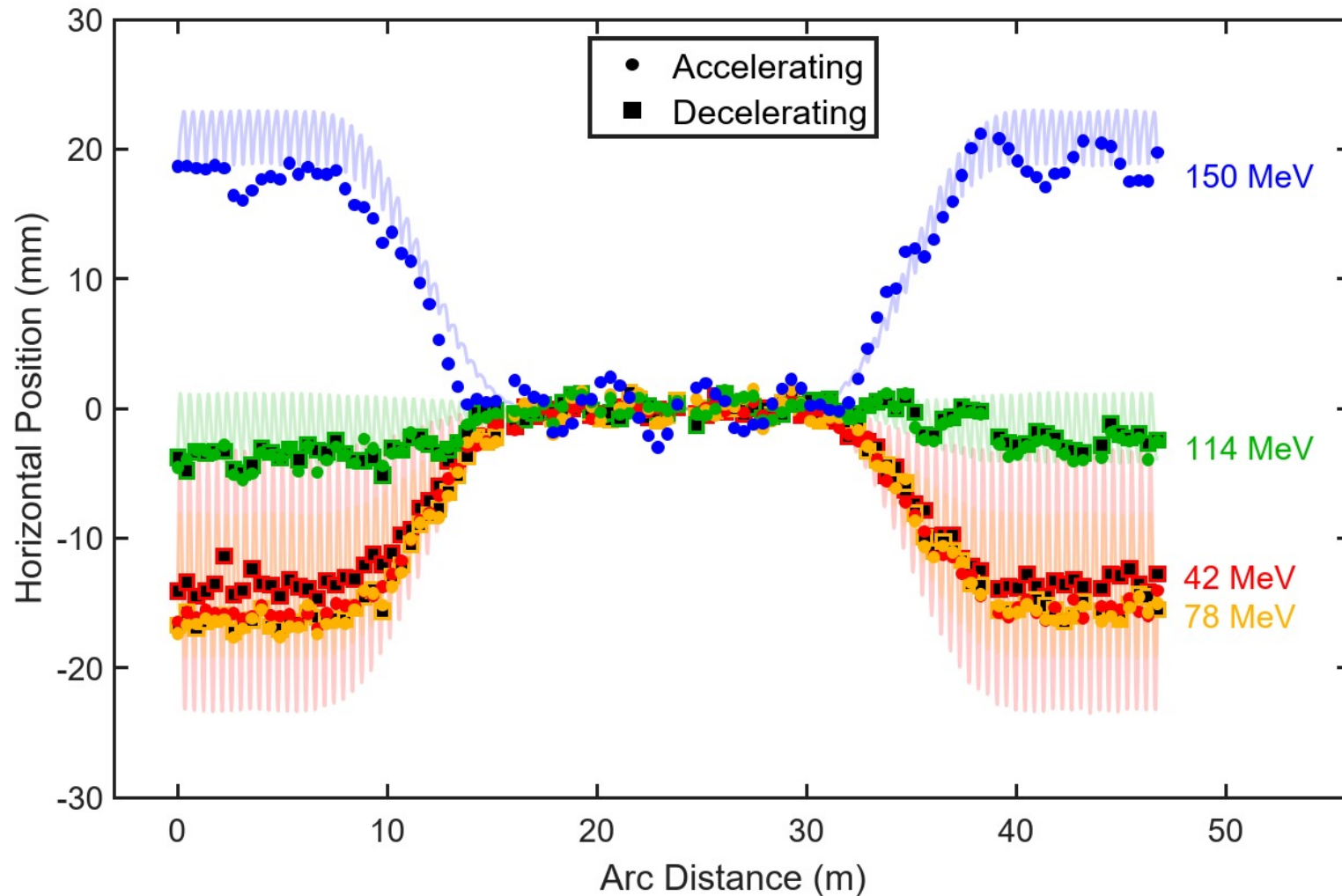


# Beam loss through 7 return loops



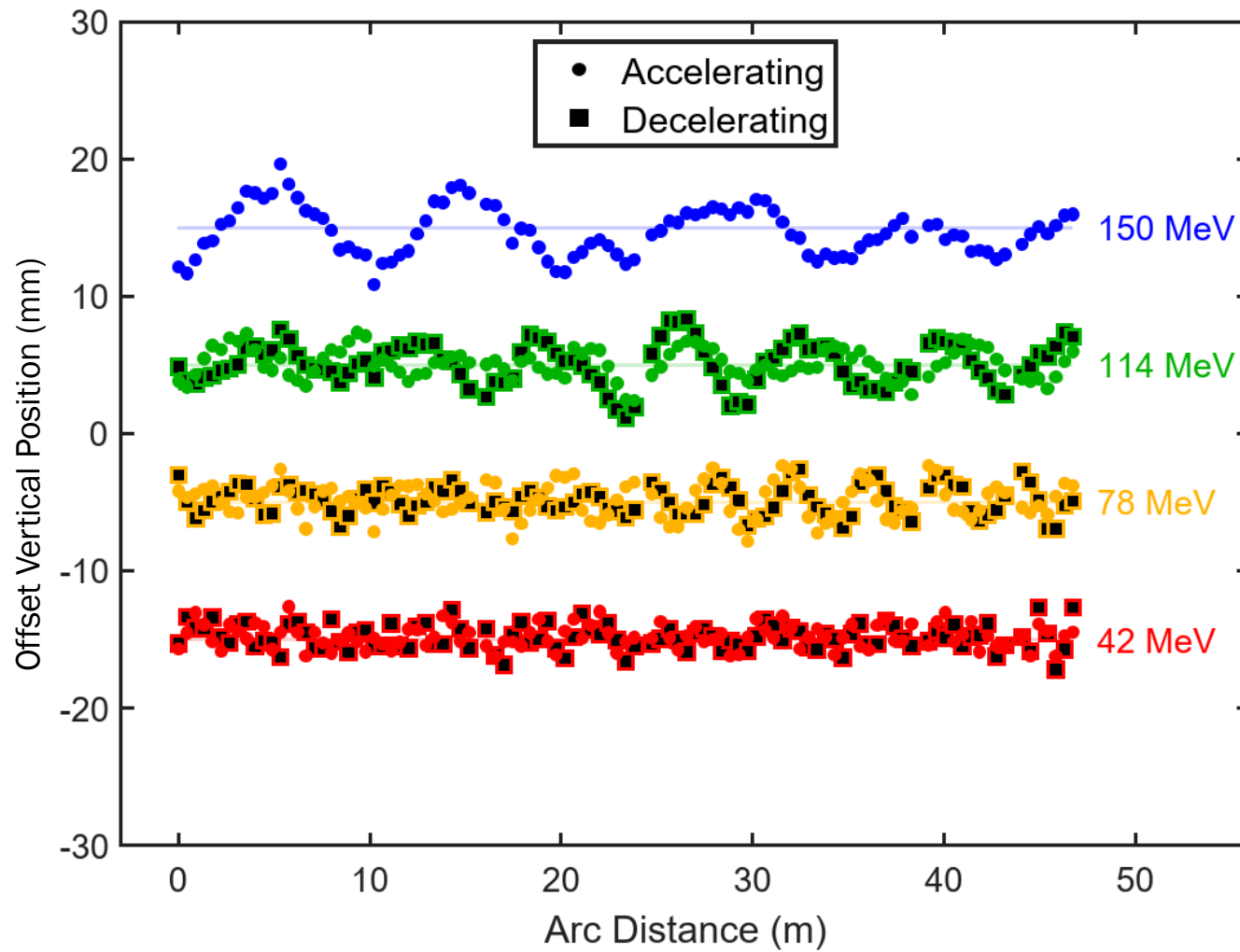
- Beam losses in all 7 passes through the FFA are low.
- Between the FFAs there are 6 passes with gradual 10% losses
- Before the 7<sup>th</sup> FFA pass there is a 60% loss (in Recombiner-2)
- Percentages improve with lower initial charge (not shown)

# 7 horizontal orbits in the FFA

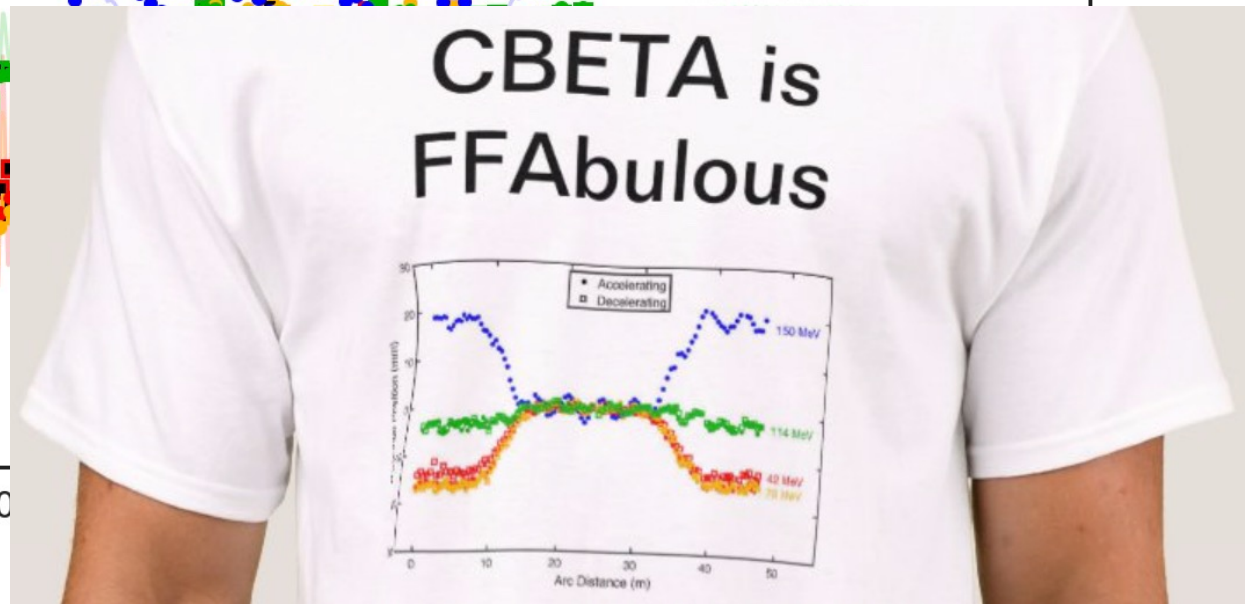
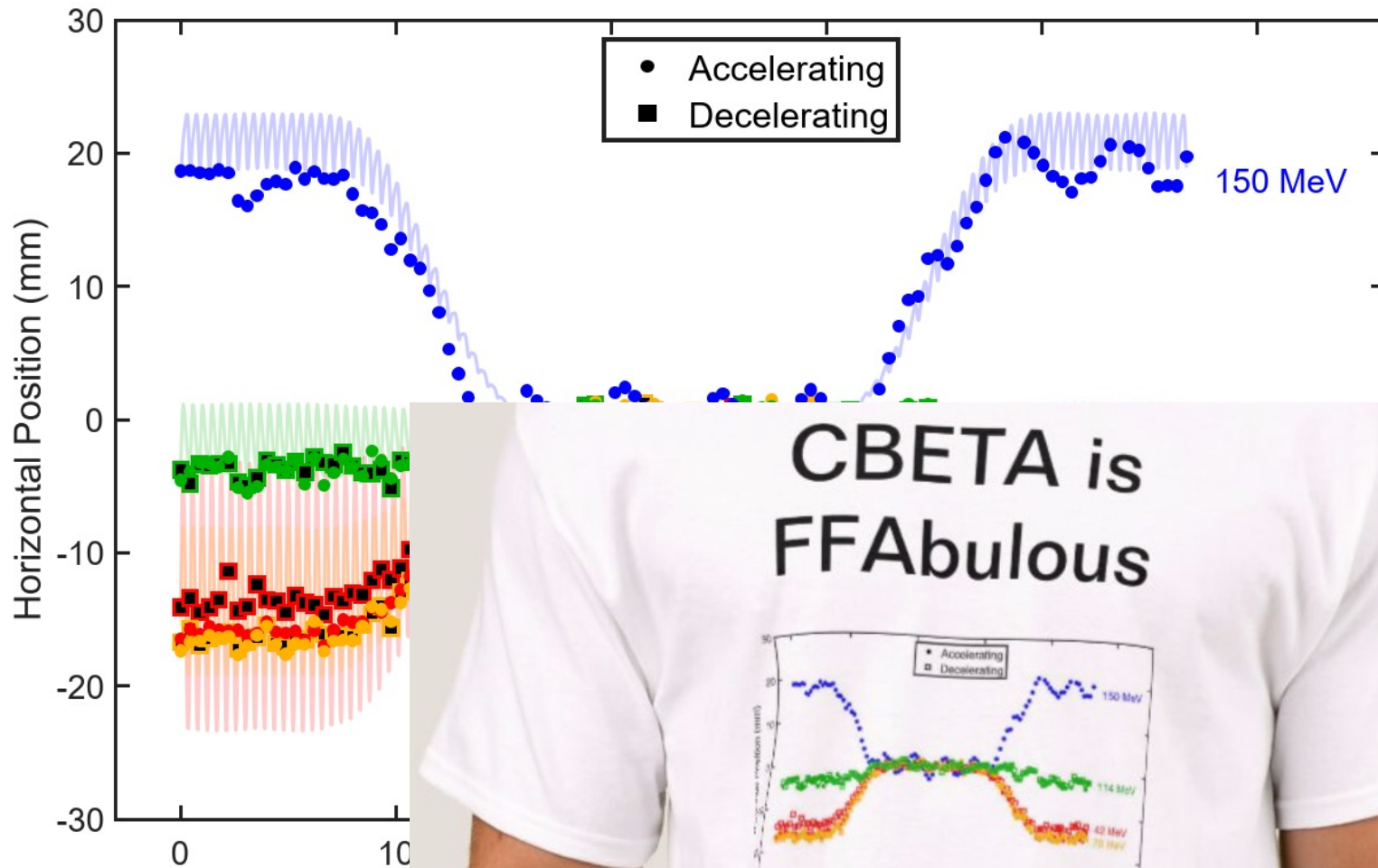


Note: Final 42 MeV orbit (red) has systematic error due to poor transmission

# Simultaneous orbit correction

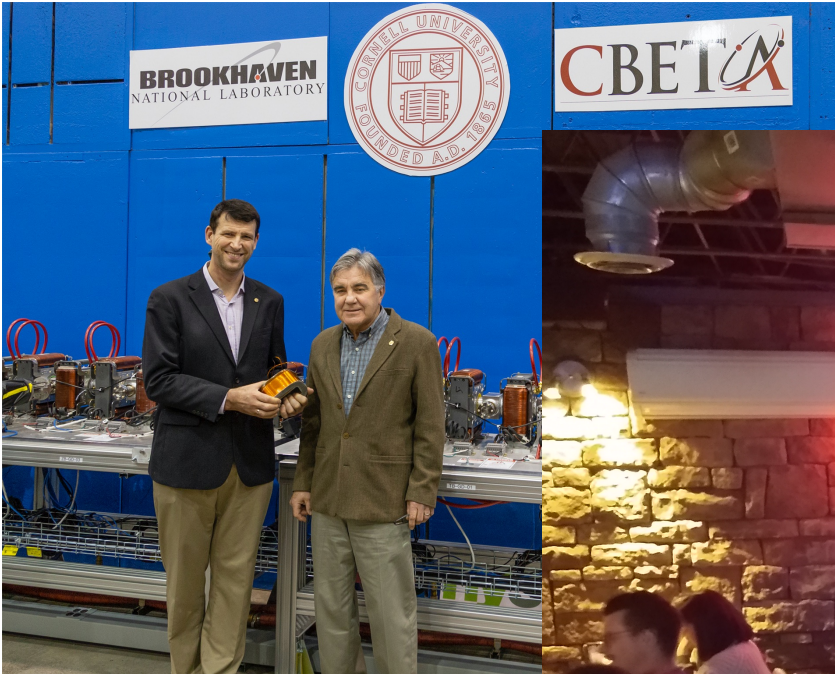


# 7 horizontal orbits in the FFA



Reports appeared in Nature, Forbes Magazine, IEEE Spectrum, reddy.com, and others.

# Celebrating



# Milestone: The world's first 4-turn SRF ERL



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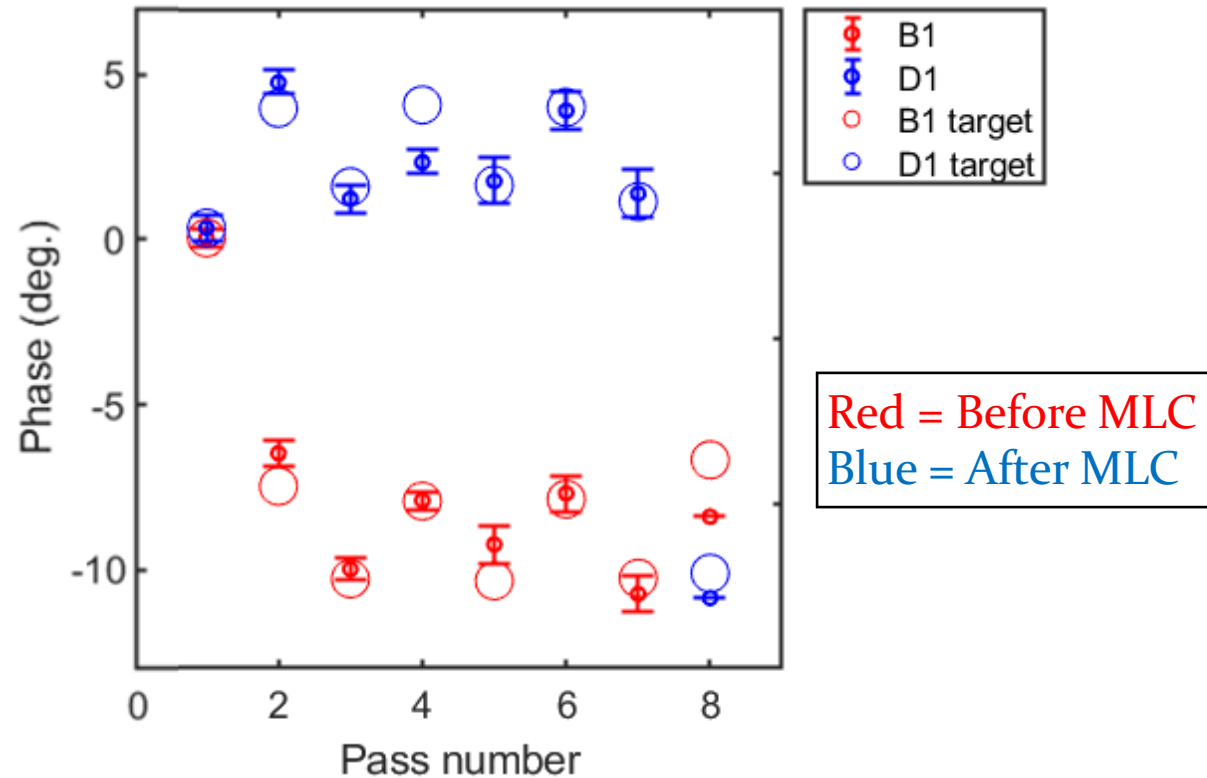
(And many other outlets, including [redit.com](https://www.reddit.com))

**PAR** The CBETA accelerator at Cornell University, New York, is designed to recoup the energy it pours into making high-energy electron beams. Credit: Cornell University

## The particle accelerator that's serious about recycling

Most linear accelerators are energy hogs, but a new model recovers waste energy

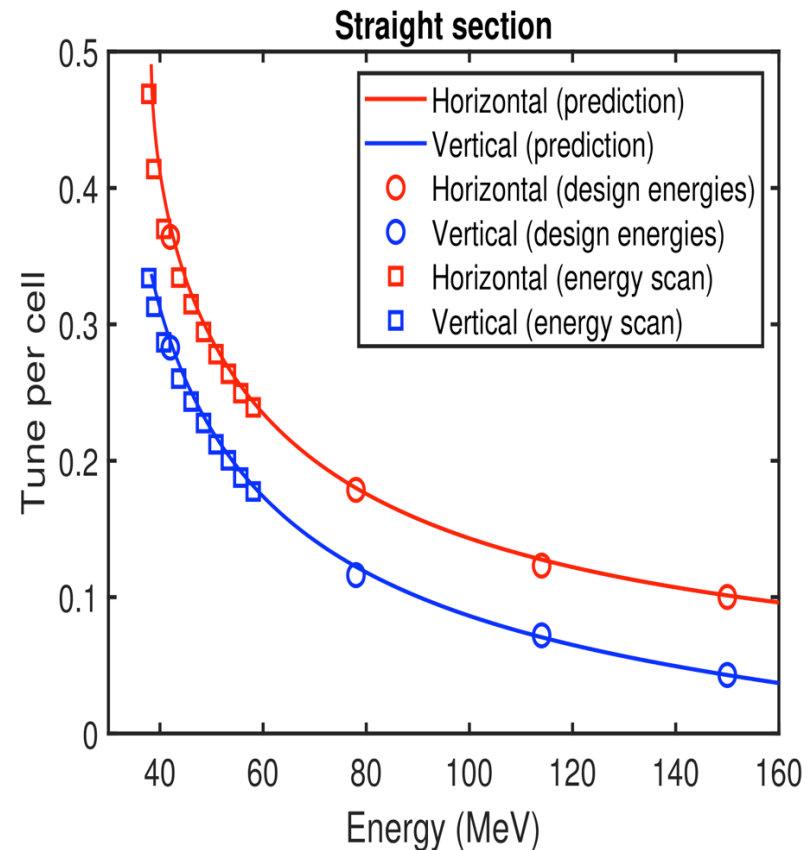
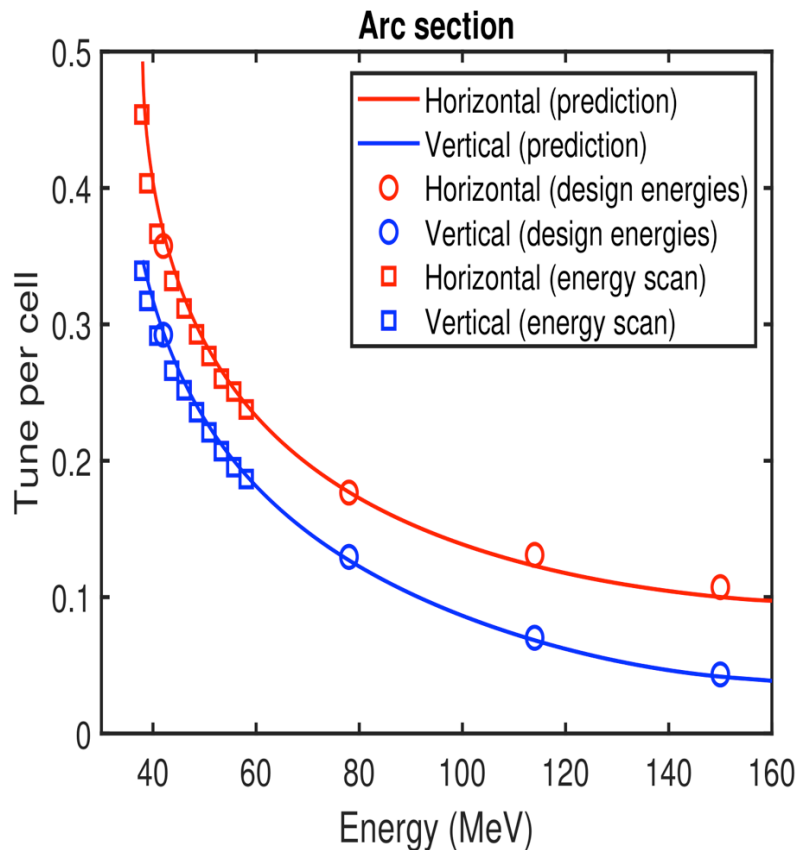
[Georg.Hoffstaetter@Cornell.edu](mailto:Georg.Hoffstaetter@Cornell.edu) that can be ploughed back into the system.



- Phases are shown relative to 1<sup>st</sup> pass for pass 1-4, and offset by 180° for pass 5-8
- Circles are target values from an optimized ER in each cavity
- Transmission seemed to require accuracy better than 2 degrees

# FFA cell phase advance

- Energy scan measured during 1-turn run (39 - 59 MeV)
- Design energies measured during 4-turn run (42, 78, 114, 150 MeV)
- Measurements show a good agreement with the FFA model



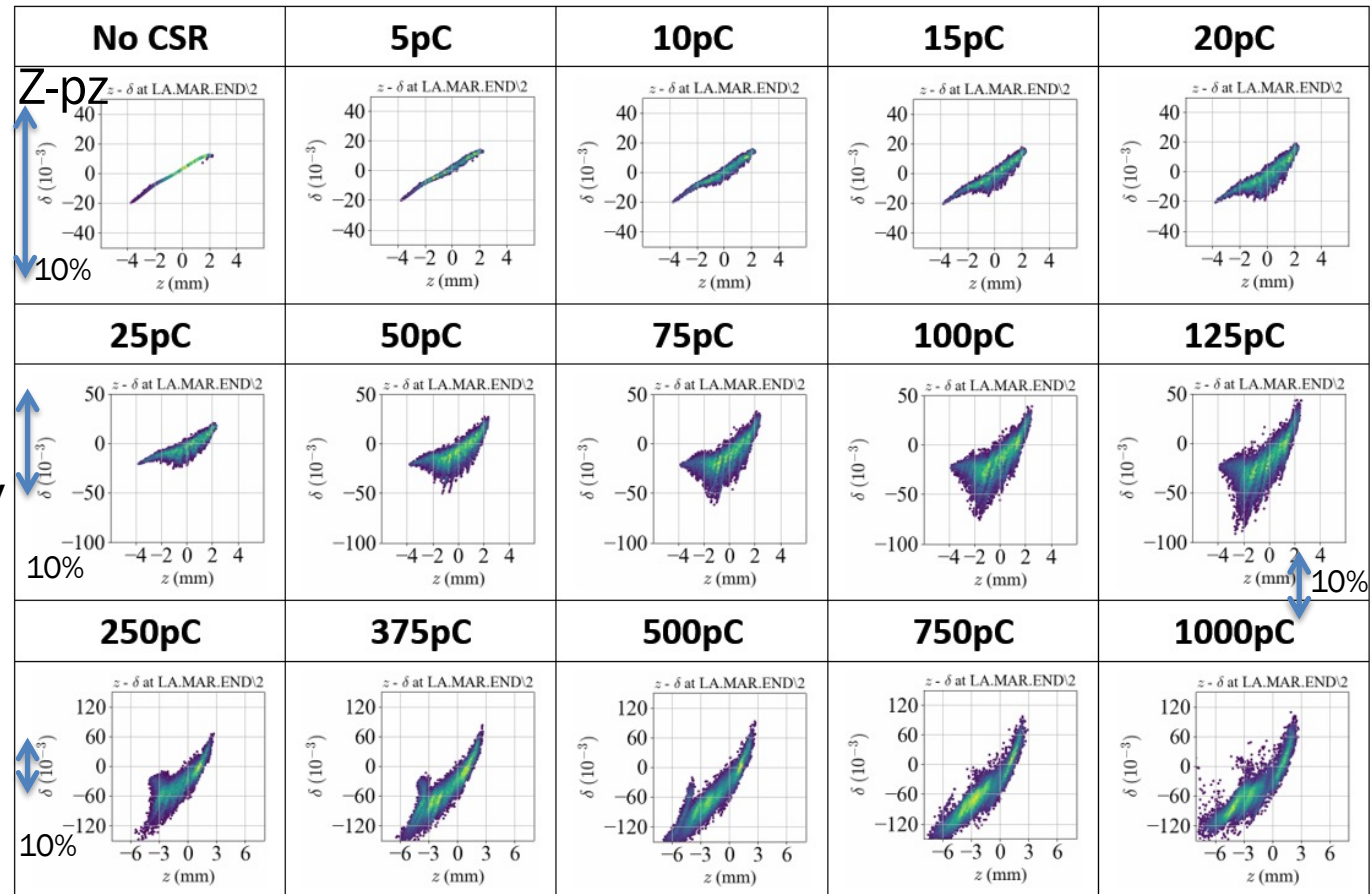


# CSR dynamics: energy spread

Even for 1 loop,  
The energy spread is  
very significant.

## Consequences:

Do not bend 1nC bunches of a cooler around ERL arcs but cool after a full 150MeV SRF linac and then have a 15MW single turn ERL.

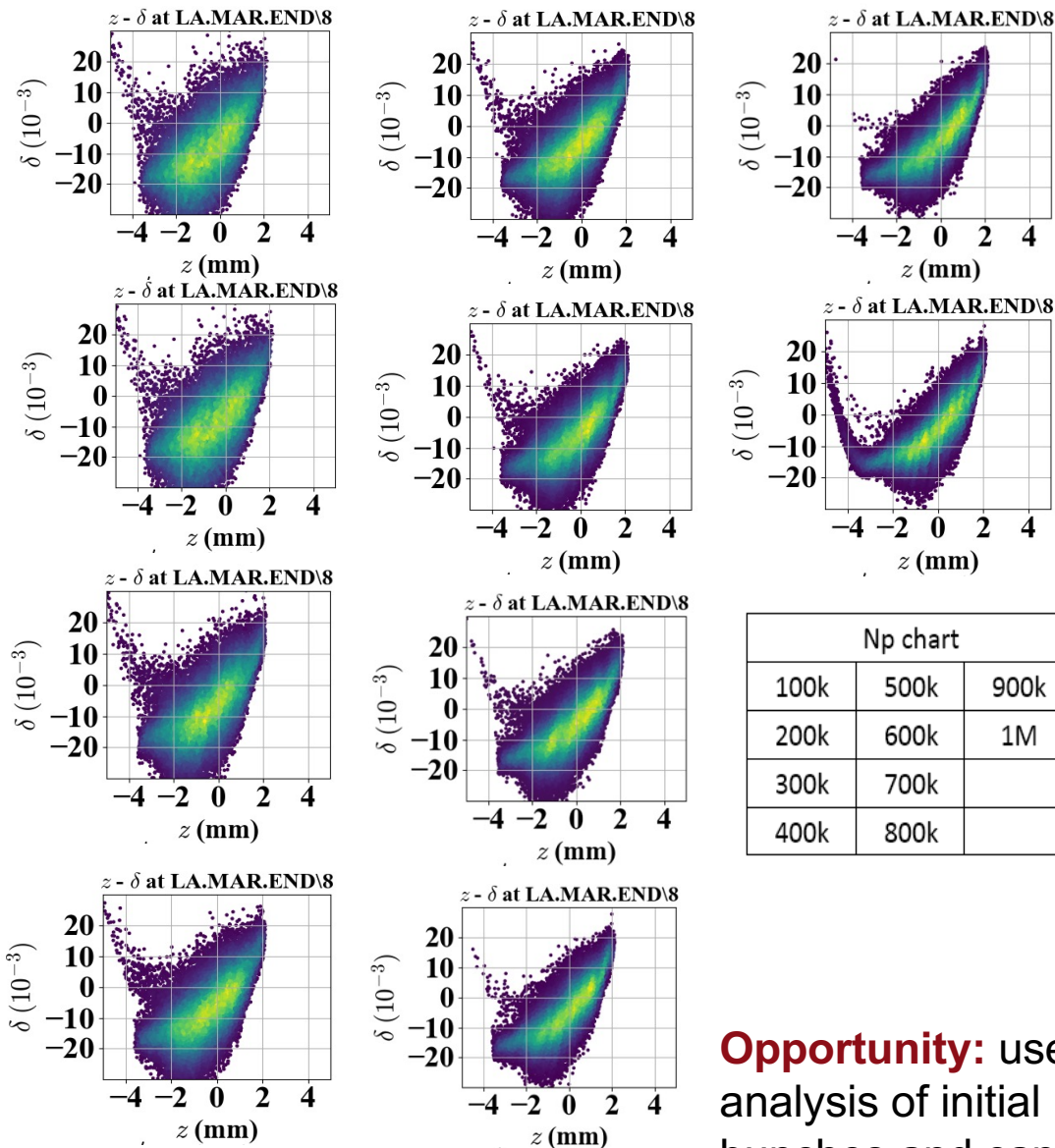


Final energy aperture  $\sim 5\%$

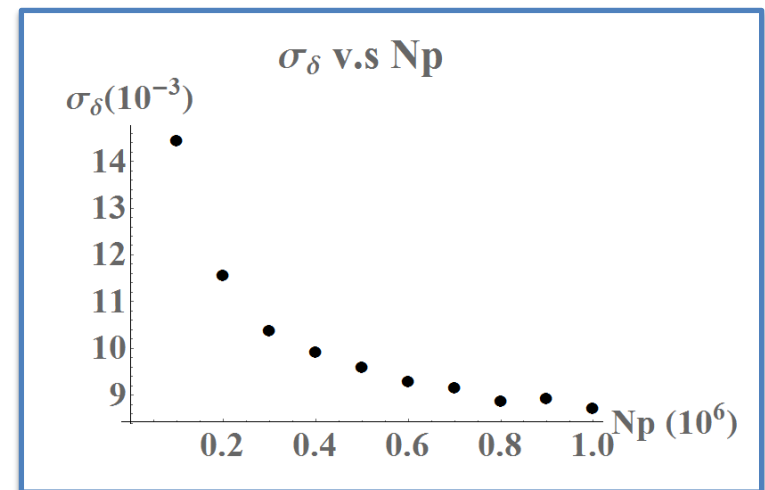
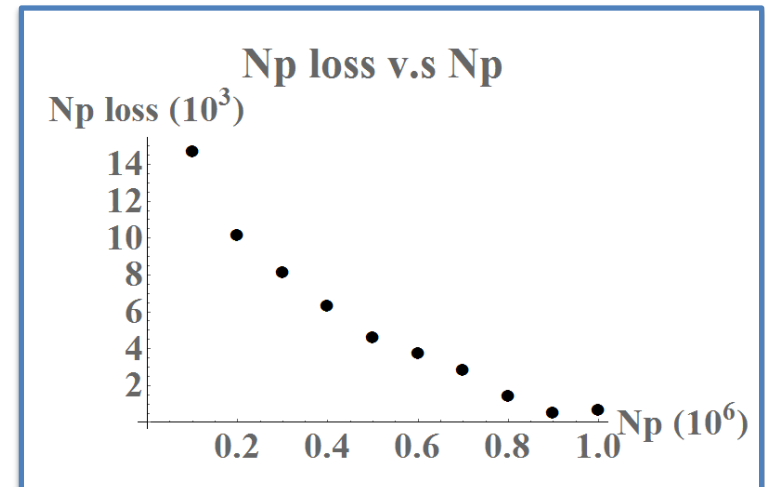
## Important contributions of CBETA:

- 1 to 4-turn RCL to measure CSR damage.
- 4-turn ERL for increase sensitivity to CSR damage
- 1-turn ERL for high currents
- CSR in CBETA is very well understood, ready to be compared to measurements.

# CSR dynamics: micro-bunching



Np chart		
100k	500k	900k
200k	600k	1M
300k	700k	
400k	800k	

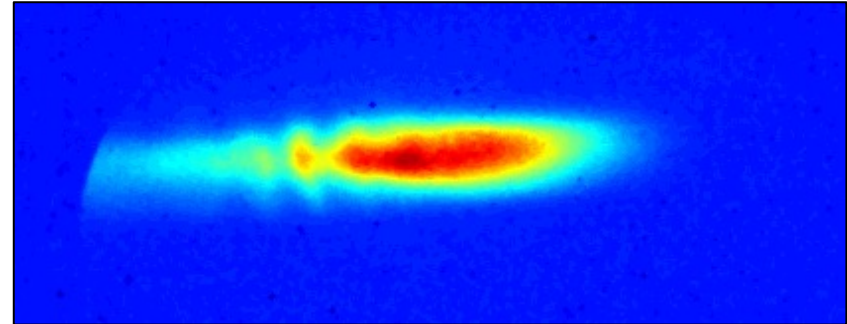
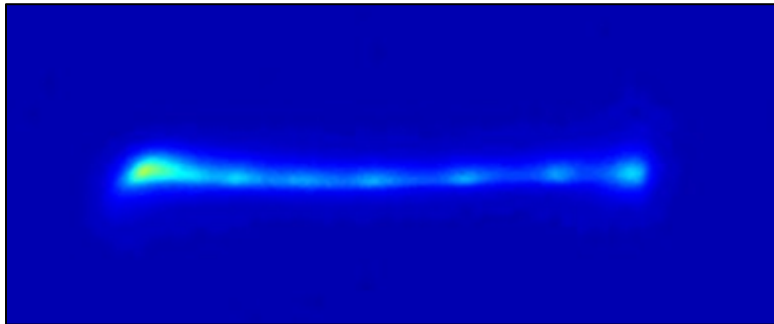


**Opportunity:** use CBETA for detailed phase space analysis of initial beam to check they don't have micro-bunches and can be used for cooling.

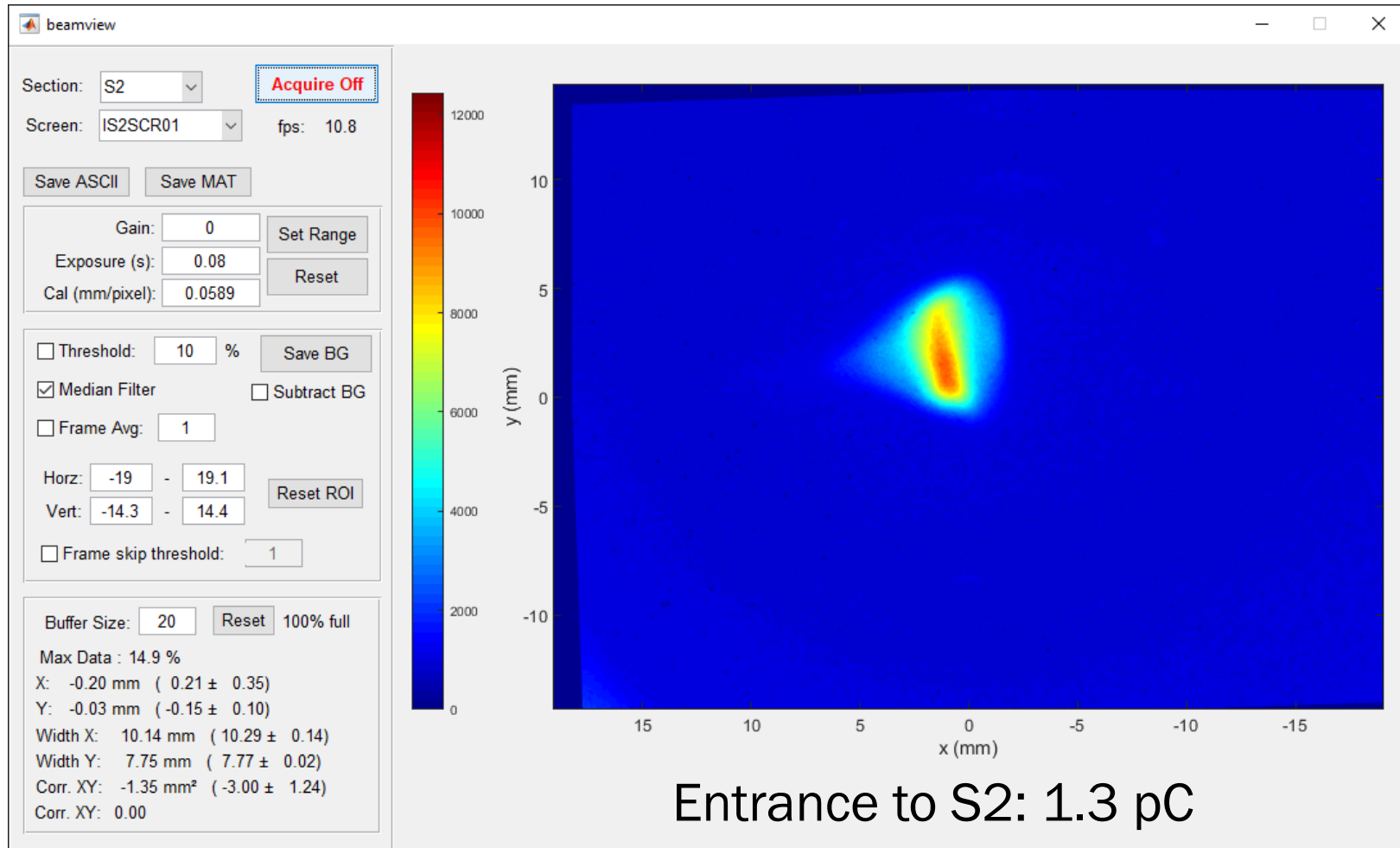
# Indications of micro-bunching

Occasionally, we would see a charge-dependent structure on the beam profile

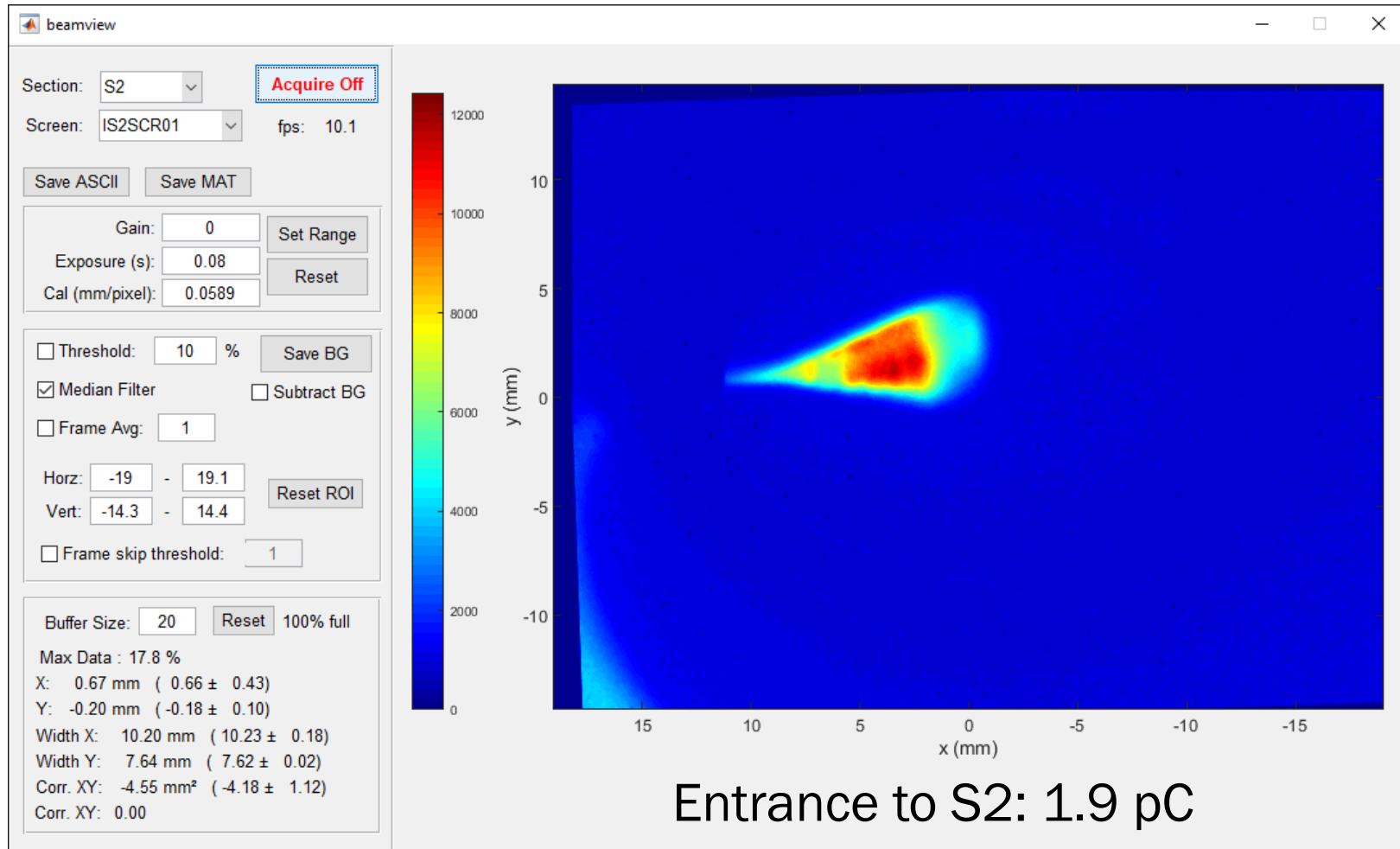
- As early as the beginning of the second pass
- Charge dependent
- Single bunch effect
- Optics dependent
  - causing the instability or just accidentally letting us see it?



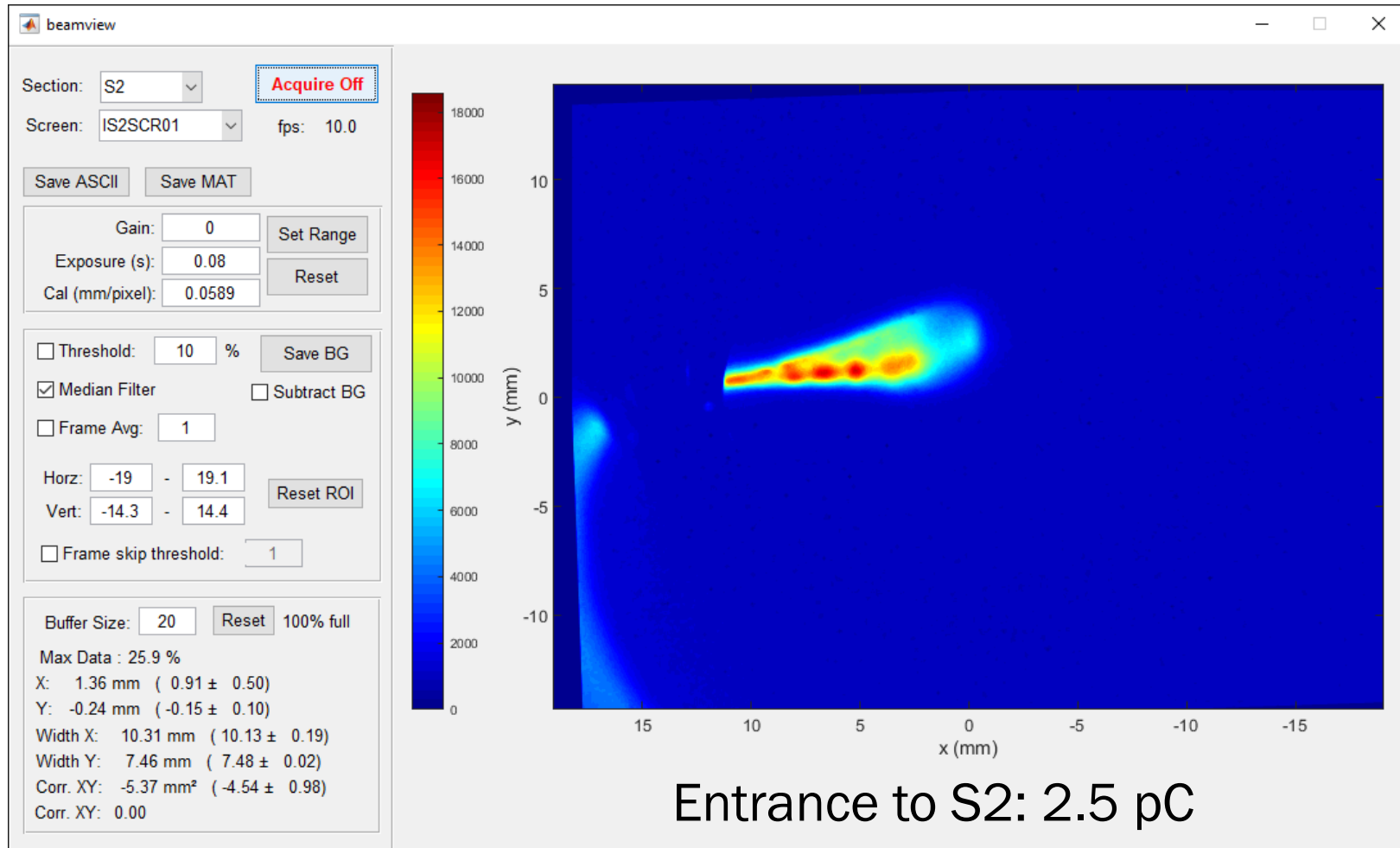
# Indications of micro-bunching



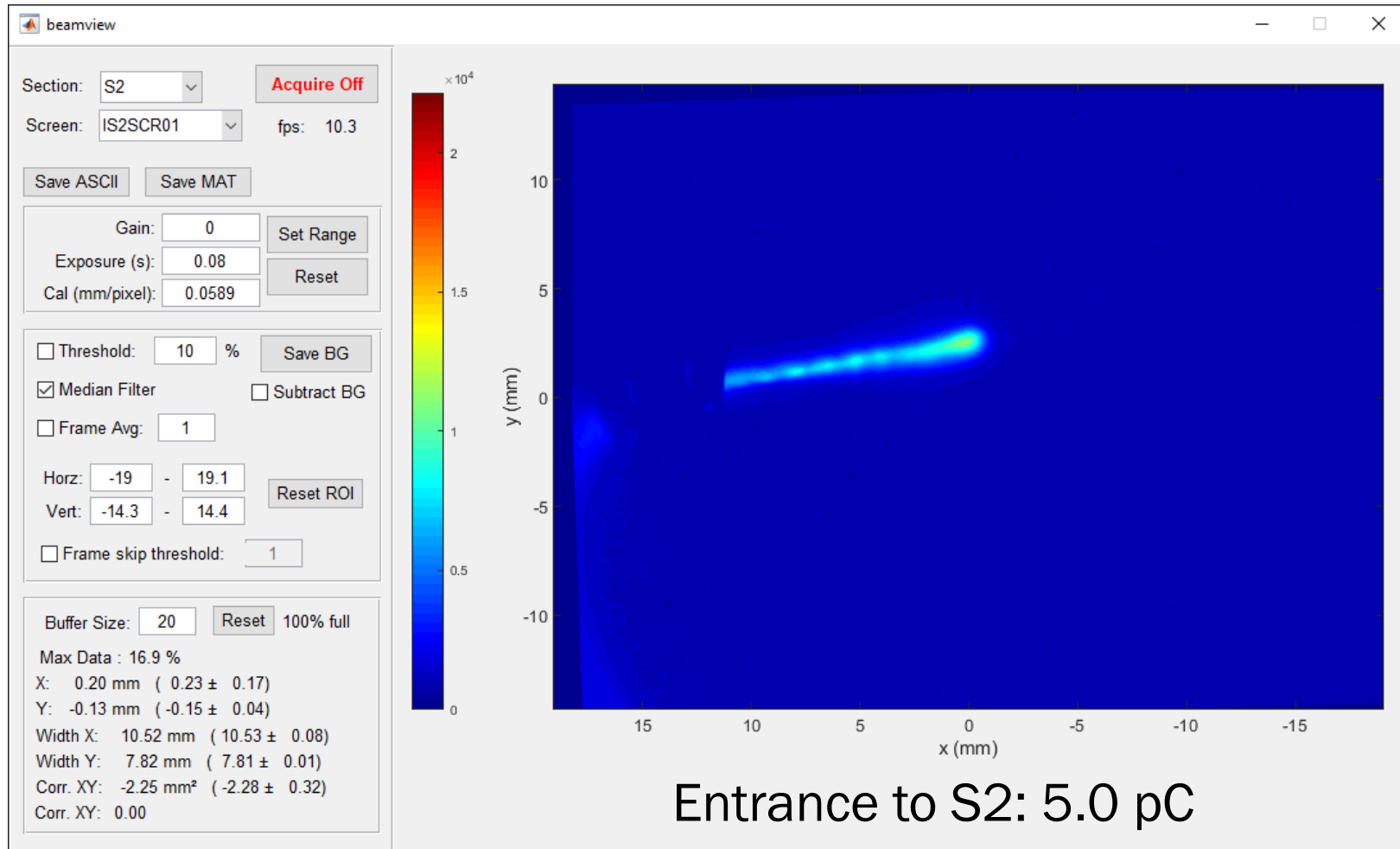
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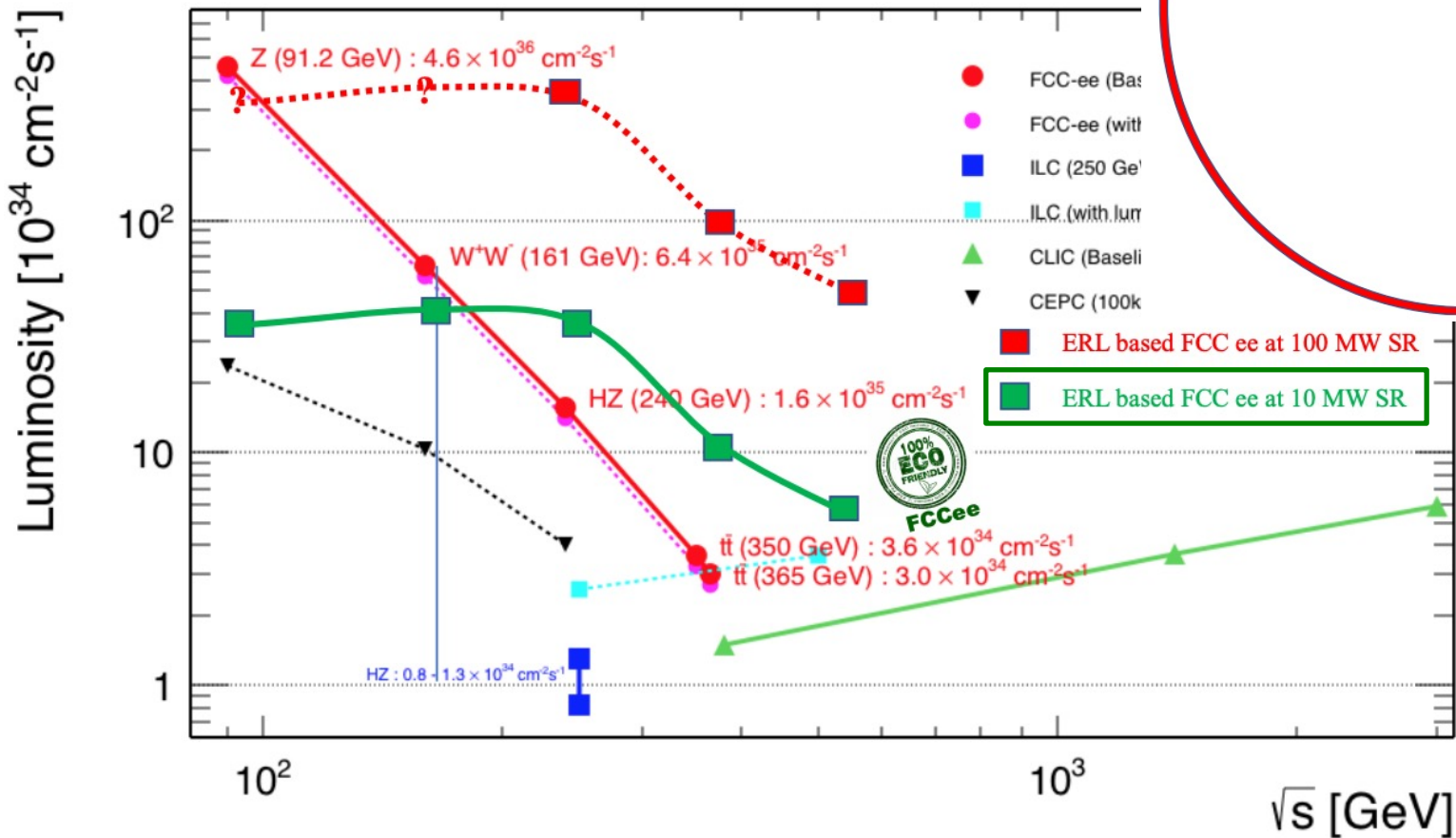
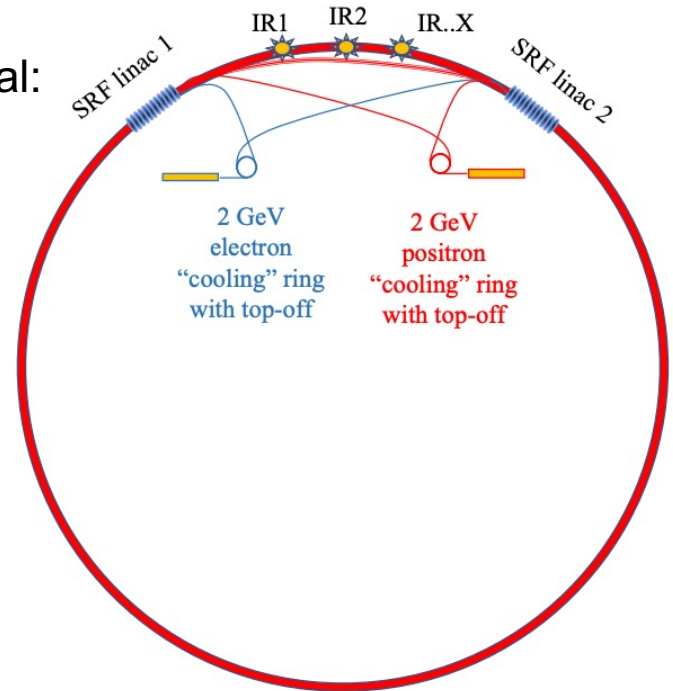


# CBETA studies: ERL & FFA for the FCC-ee



Only one more example of CBETA studies amongst several:

Linac ring colliders with ERLs and FFAs are now actively discussed in the FCC-ee community.





# International ERL collaboration



# Acknowledgements



Thank you to everyone on the CBETA team, including:

D. Trbojevic<sup>1</sup>, G. Hoffstaetter<sup>2</sup>, R. Michnoff<sup>1</sup>, N. Banerjee<sup>2</sup>, J. Barley<sup>2</sup>, A. Bartnik<sup>2</sup>, I. Bazarov<sup>2</sup>, J.S. Berg<sup>1</sup>, L. Borak<sup>1</sup>, S. Brooks<sup>1</sup>, D. Burke<sup>2</sup>, J. Crittenden<sup>2</sup>, J. Crone<sup>3</sup>, L. Cultrera<sup>2</sup>, K. Deitrick<sup>2</sup>, J. Dobbins<sup>2</sup>, C. Franck<sup>2</sup>, R. Gallagher<sup>2</sup>, C. Gulliford<sup>2</sup>, B. Heltsley<sup>2</sup>, R. Hulsart<sup>1</sup>, J. Jones<sup>3</sup>, D. Jusic<sup>2</sup>, R. Kaplan<sup>2</sup>, D. J. Kelliher<sup>3</sup>, G. Mahler<sup>1</sup>, F. Meot<sup>1</sup>, V. Kostroun<sup>2</sup>, B. Kuske<sup>4</sup>, Y. Li<sup>2</sup>, M. Liepe<sup>2</sup>, W. Lou<sup>2</sup>, M. McAteer<sup>4</sup>, T. Miyajima<sup>5</sup>, K. Ming<sup>5</sup>, B. Muratori<sup>3</sup>, S. Peggs<sup>1</sup>, P. Quigley<sup>2</sup>, J. Renta<sup>1</sup>, T. Roser<sup>1</sup>, D. Sabol<sup>2</sup>, D. Sagan<sup>2</sup>, J. Sears<sup>2</sup>, C. Shore<sup>2</sup>, E. Smith<sup>2</sup>, K. Smolenski<sup>2</sup>, C. Stoll<sup>1</sup>, S. Thomas<sup>1</sup>, S. Trabocchi<sup>1</sup>, N. Tsoupas<sup>1</sup>, J. Tuozzollo<sup>1</sup>, V. Veshcherevich<sup>2</sup>, J. Völker<sup>4</sup>, D. Widger<sup>2</sup>, H. Witte<sup>1</sup>

(1) BNL (2) Cornell University (3) STFC (4) HZB (5) KEK

This project was supported by NSF award DMR0807731, DOE grant DE-AC02-76F00515, and NYSERDA agreement number 102192

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- **Has build a strong team connecting Cornell to BNL, there the EIC is being built.**



## ML / AI for Accelerators

Machine Learning Applications for Improving Accelerator Operations, CBETA, LEReC, CeC and Currently at the AGS and AGS Booster

Need higher polarization for EIC!

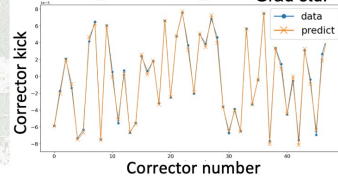
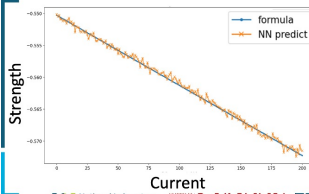
Calibrate magnet strength model

Machine Learning Applications for Improving Accelerator Operations at the AGS and AGS Booster

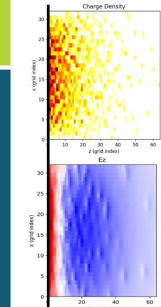
Automatic orbit correction and error identification



Lucy Lin Grad std.

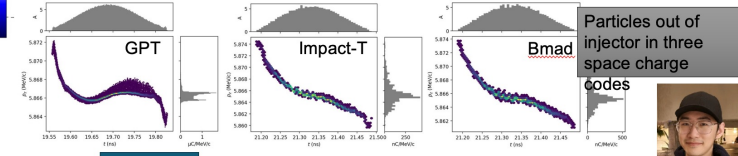


## Low energy space charge in Bmad



Particles coming out of the cathode and their space charge field

- Space charge describes the interaction of electric charges in a charge particle bunch. This effect is especially important in **high brightness** beams and at **low energy**.
- I implemented cathode space charge tracking in **Bmad**, enabling accurate simulations of particles near the cathode.
- Continuing code developments for CBETA, Bmad is the basis of optics design for the EIC ERL-cooler.
- I was selected for a SCGSR fellowship and spend '23-'24 at BNL to optimize the EIC ERL-cooler's laser shape at the cathode for optimal cooling.



Particles out of injector in three space charge codes



Ningdong Wang

## Enhancing Proton Polarization

- BNL requested a study to understand polarization increase with broken Siberian Snake
- Ideal (symmetric) snakes are generally effective against all traditional spin-orbit resonances
- Whereas simulations indicate asymmetry can be utilized against particular resonances

- Developed simulations for high resolution tune-dependent polarization
- Calculating tune path for optimal final polarization
- Calculating width of spin-orbit resonances (to avoid)

Developed new method for calculating the Invariant Spin Field

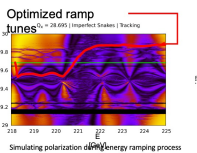
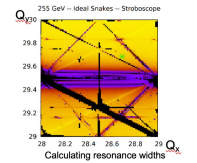
- Nonperturbative
- Circle and Fast

World-leading Computational Tools  
**Bmad**

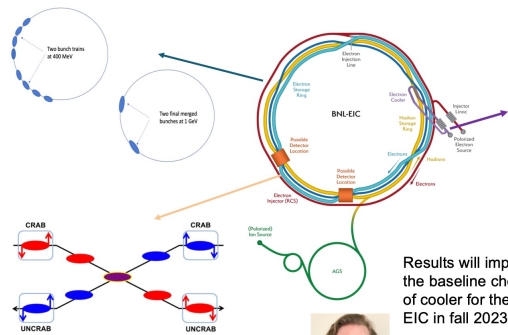
- Systematic analysis of dynamics
- Accurate and reproducible modeling
- Qualification & quantification of spin resonances



Eiad Hamwi



## Long Term Stability in Electron Rings

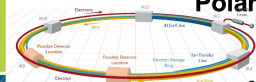


Results will impact the baseline choice of cooler for the EIC in fall 2023.



Jonathan Unger

## Polarization in the EIC



Polarized e- and light ion collisions at many COM energies

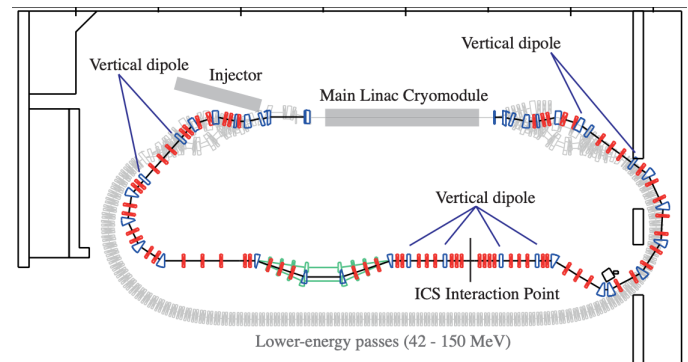
- Identified a nonlinear resonance and changed the tunes of the electron ring
- Changed the energies and spin rotator settings of electron ring for m
- Devised and verified solenoid settings that allow for 2-IP polarized collisions
- Verified sufficient polarization with each new geometry
- Determined possible method to increase polarization significantly
- Implementing vertical beam size creator with minimal polarization dec
- Determined exact depolarization for protons and helions through ram



# RHIC and EIC related Phd Projects

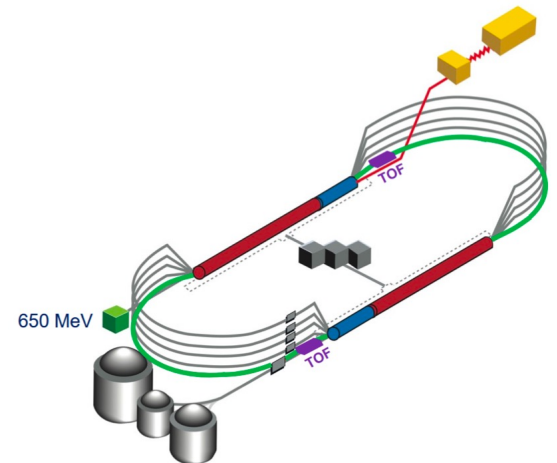
## SRF Energy Recovery:

- **Part of the hadron coolers for the EIC**
- **Design of a compact Compton source extension of CBETA (Phys Rev paper)**
- **Part of the LHeC plans**
- **ee Higgs Factory design options**
- **Drivers for low energy nuclear physics experiments**
- **Drivers for compact Compton-x-ray sources**
- **New EUV sources for microchip lithography**



## The permanent-magnet FFA technology

- **Energy-doubling upgrade option for CEBAF**
- **Permanent magnet design for the NSLS-II upgrade at BNL**



Thanks



Questions ?

# References



- “CBETA: First Multipass Superconducting Linear Accelerator with Energy Recovery”  
<https://journals.aps.org/prl/abstract/10.1103/PhysRevLett.125.044803>
- G. Hoffstaetter *et al.*, “CBETA Design Report, Cornell-BNL ERL Test Accelerator”, <https://arxiv.org/abs/1706.04245>
- C. Gulliford *et al.*, “Measurement of the per cavity energy recovery efficiency in the single turn Cornell-Brookhaven ERL Test Accelerator configuration” Phys Rev AB (2021)
- K. Deitrick *et al.*, “Intense monochromatic photons above 100keV from an inverse Compton source” Phys Rev AB (2021)