

# Oscillations: importance and challenges of combination of present and future experiments

# Oscillations: importance and challenges of combination of present and future experiments

Caveat – will focus on future long-baseline beam experiments and general concepts rather than details of current generation

Mark Scott  
[m.scott09@imperial.ac.uk](mailto:m.scott09@imperial.ac.uk)

With thanks to L. Berns, N. Wardle and C. Wret

## Overview

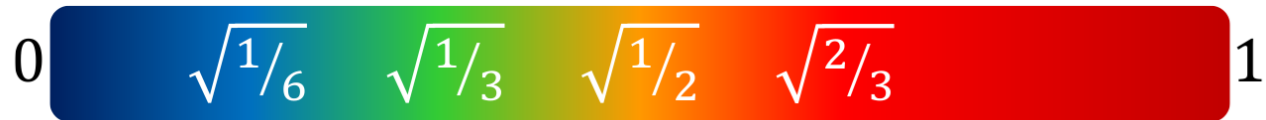
- Neutrino oscillations and experiments
- Why combine neutrino experiments?
  - Degeneracies
  - Precision
  - New Physics
- How should we combine experiments?

## Neutrinos oscillations

- Mixing of flavour and mass eigenstates

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

- Oscillation probability is function of neutrino energy,  $E$ , and propagation distance  $L$



$$P_{\alpha \rightarrow \beta} = \left| \sum_i U_{\alpha i}^* U_{\beta i} e^{-im_i^2 L/2E} \right|^2$$

## Current knowledge

- Impressive progress measuring oscillation parameters

	Normal Ordering (best fit)		
	bfp $\pm 1\sigma$	$3\sigma$ range	
IC24 with SK atmospheric data	$\sin^2 \theta_{12}$	$0.308^{+0.012}_{-0.011}$	$0.275 \rightarrow 0.345$
	$\theta_{12}/^\circ$	$33.68^{+0.73}_{-0.70}$	$31.63 \rightarrow 35.95$
	$\sin^2 \theta_{23}$	$0.470^{+0.017}_{-0.013}$	$0.435 \rightarrow 0.585$
	$\theta_{23}/^\circ$	$43.3^{+1.0}_{-0.8}$	$41.3 \rightarrow 49.9$
	$\sin^2 \theta_{13}$	$0.02215^{+0.00056}_{-0.00058}$	$0.02030 \rightarrow 0.02388$
	$\theta_{13}/^\circ$	$8.56^{+0.11}_{-0.11}$	$8.19 \rightarrow 8.89$
	$\delta_{CP}/^\circ$	$212^{+26}_{-41}$	$124 \rightarrow 364$
	$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.49^{+0.19}_{-0.19}$	$6.92 \rightarrow 8.05$
	$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.513^{+0.021}_{-0.019}$	$+2.451 \rightarrow +2.578$

See also F. Capozzi et al., Phys. Rev. D 104, 8, 083031  
P. F. de Salas et al., JHEP 02, 071 (2021)

## Current knowledge

- Impressive progress measuring oscillation parameters
- Open questions:
  - Octant of  $\theta_{23}$
  - Mass ordering
  - CP violation?
  - Value of  $\delta_{CP}$
  - Unitarity of PMNS
  - Other new physics?

IC24 with SK atmospheric data

	Normal Ordering (best fit)	
	bfp $\pm 1\sigma$	$3\sigma$ range
$\sin^2 \theta_{12}$	$0.308^{+0.012}_{-0.011}$	3.7% $0.25 \rightarrow 0.345$
$\theta_{12}/^\circ$	$33.68^{+0.73}_{-0.70}$	2.1% $33 \rightarrow 35.95$
$\sin^2 \theta_{23}$	$0.470^{+0.017}_{-0.013}$	5.0% $0.35 \rightarrow 0.585$
$\theta_{23}/^\circ$	$43.3^{+1.0}_{-0.8}$	3.1% $33 \rightarrow 49.9$
$\sin^2 \theta_{13}$	$0.02215^{+0.00056}_{-0.00058}$	2.3% $0.02 \rightarrow 0.02388$
$\theta_{13}/^\circ$	$8.56^{+0.11}_{-0.11}$	1.3% $8.59 \rightarrow 8.89$
$\delta_{CP}/^\circ$	$212^{+26}_{-41}$	16.4% $144 \rightarrow 364$
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.49^{+0.19}_{-0.19}$	2.5% $7.2 \rightarrow 8.05$
$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.513^{+0.021}_{-0.019}$	0.8% $2.51 \rightarrow +2.578$

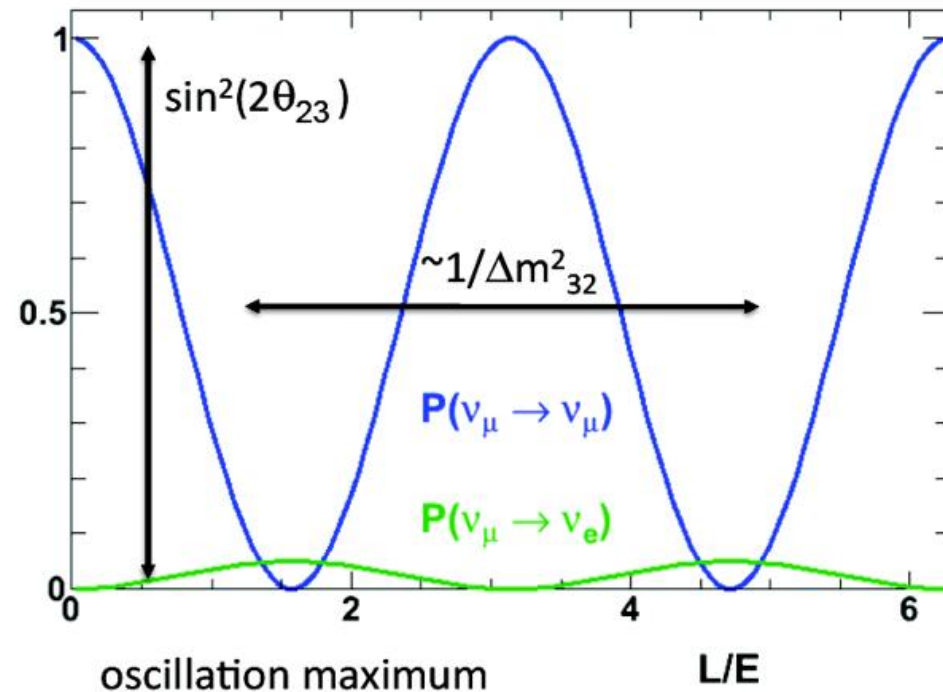
See also F. Capozzi et al., Phys. Rev. D 104, 8, 083031  
P. F. de Salas et al., JHEP 02, 071 (2021)

## Oscillation probabilities

- Leading order oscillation probabilities for  $\nu_\mu$  survival and  $\nu_e$  appearance

$$P(\nu_\mu \rightarrow \nu_\mu) \cong 1 - \sin^2 2\theta_{23} \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E} \right)$$

$$P(\nu_\mu \rightarrow \nu_e) \cong \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right)$$



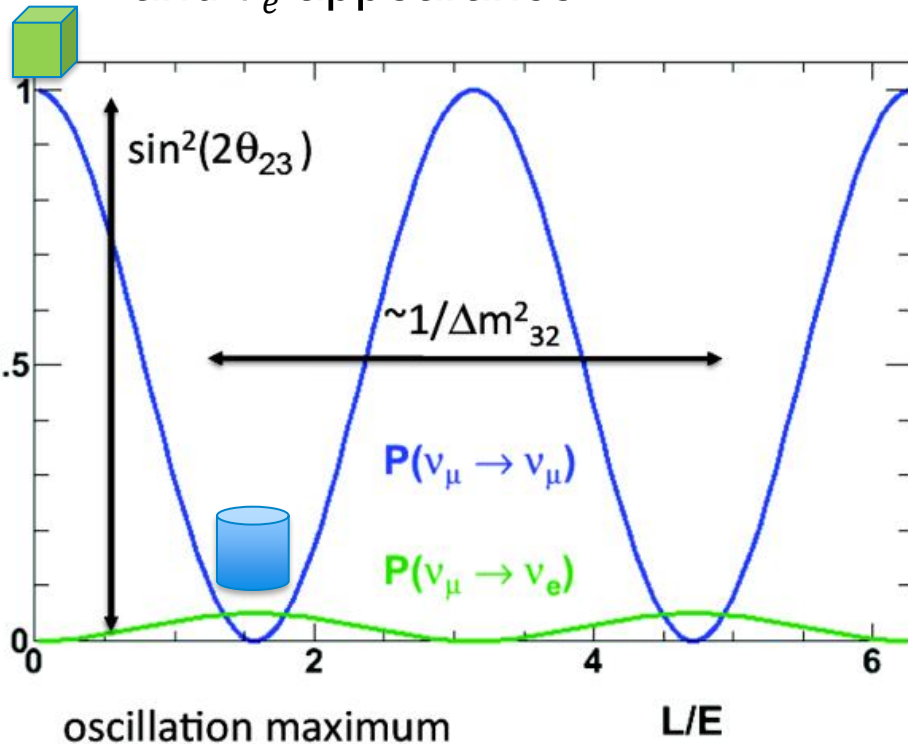
## Oscillation probabilities

- Leading order oscillation probabilities for  $\nu_\mu$  survival and  $\nu_e$  appearance

$$P(\nu_\mu \rightarrow \nu_\mu) \cong 1 - \sin^2 2\theta_{23} \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E} \right)$$

$$P(\nu_\mu \rightarrow \nu_e) \cong \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right)$$

- Place detectors at zero distance and at oscillation dip
- Measuring oscillation probability requires accurate reconstruction of neutrino species and energy



## Sensitivity to unknown oscillation parameters

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) = & 4c_{13}^2 s_{13}^2 s_{23}^2 \sin^2 \Delta_{31} && \theta_{13} \\
 & + 8c_{13}^2 s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta - s_{12} s_{13} s_{23}) \cos \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} && \text{CPC} \\
 & - 8c_{13}^2 c_{12} c_{23} s_{12} s_{13} s_{23} \sin \delta \sin \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} && \text{CPV} \\
 & + 4s_{12}^2 c_{13}^2 (c_{12}^2 c_{23}^2 + s_{12}^2 s_{23}^2 s_{13}^2 - 2c_{12} c_{23} s_{12} s_{23} s_{13} \cos \delta) \sin^2 \Delta_{21} && \text{Solar}
 \end{aligned}$$

replace  $\delta$  by  $-\delta$  for  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$

$$c_{ij} = \cos \theta_{ij}, s_{ij} = \sin \theta_{ij}$$

$$\Delta_{ij} = \Delta m_{ij}^2 \frac{L}{4E_\nu}$$

# Sensitivity to unknown oscillation parameters

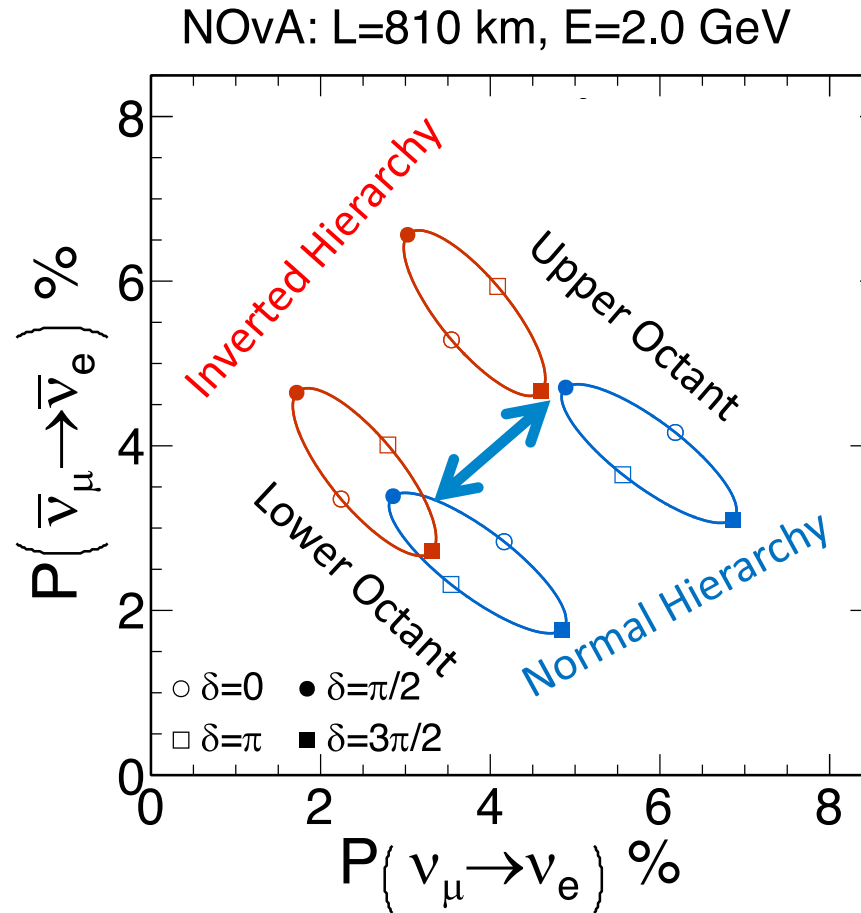
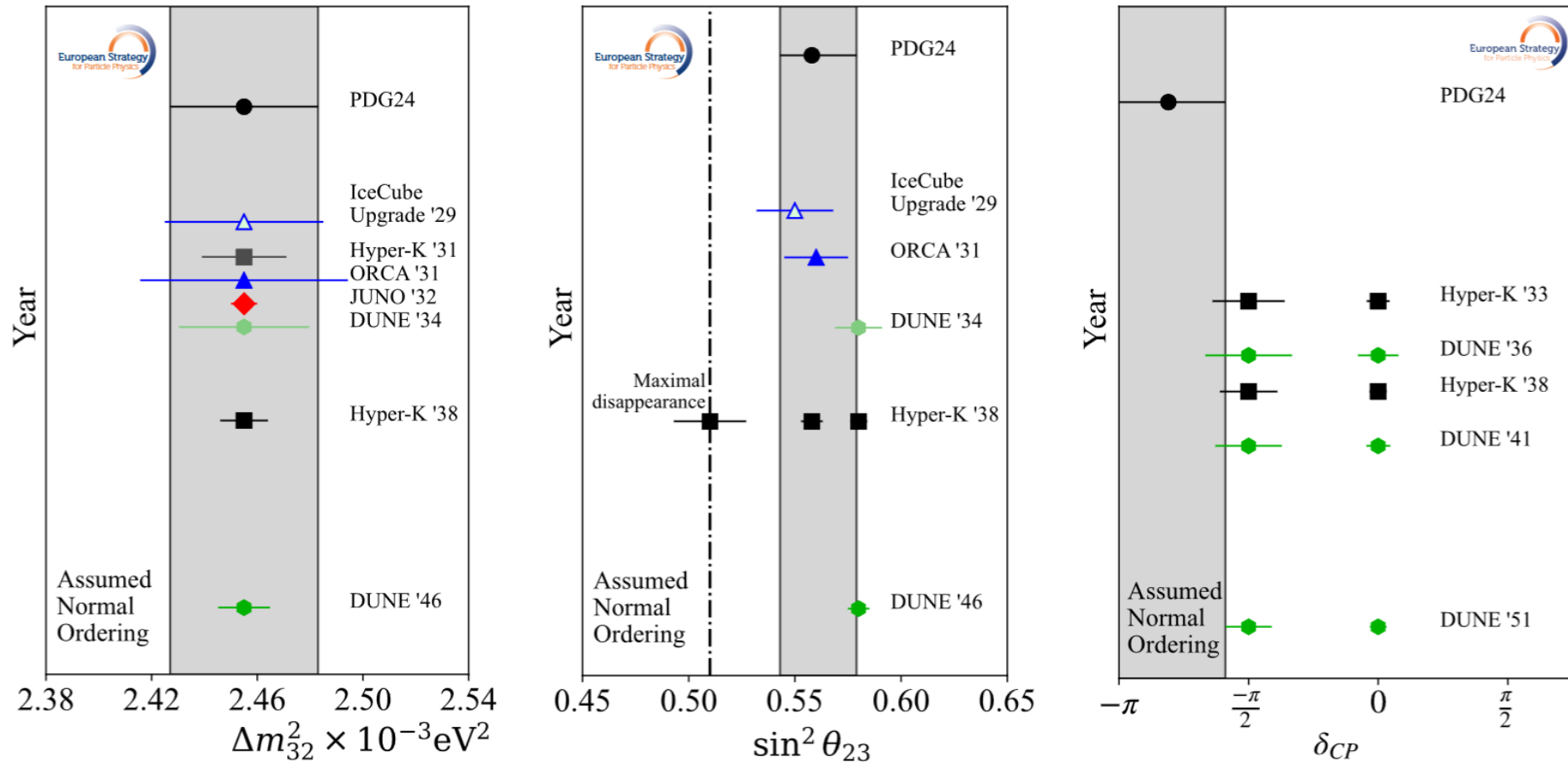


Image by A. Himmel / NOvA



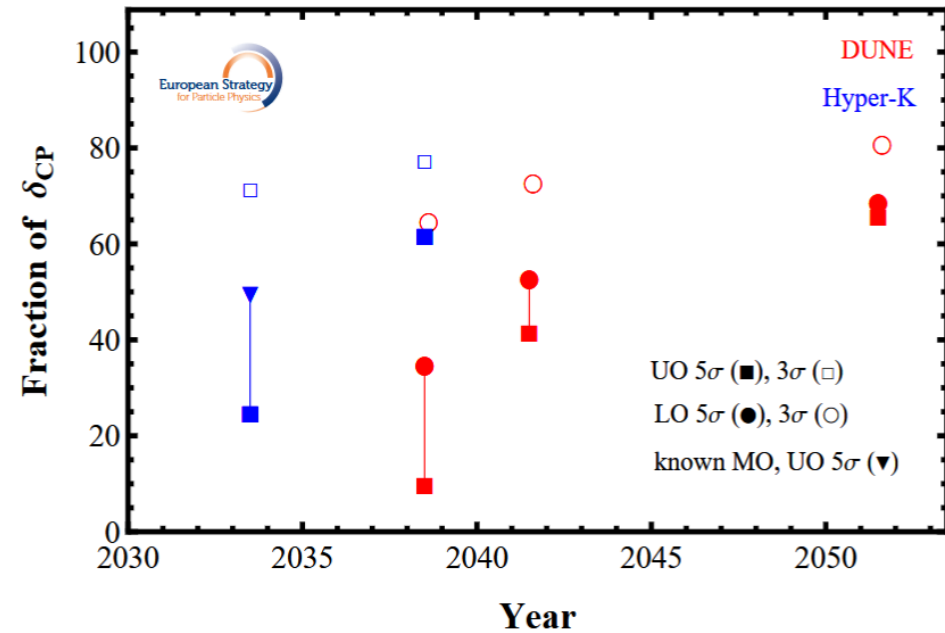
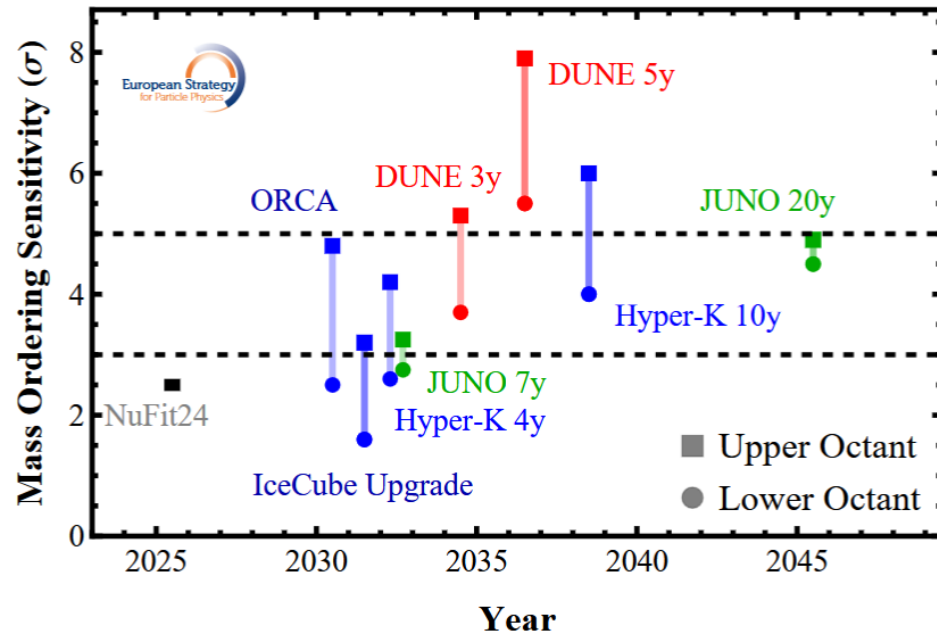
## Current and future status – atmospheric mixing





Imperial College  
London

# Current and future status – CPV and MO

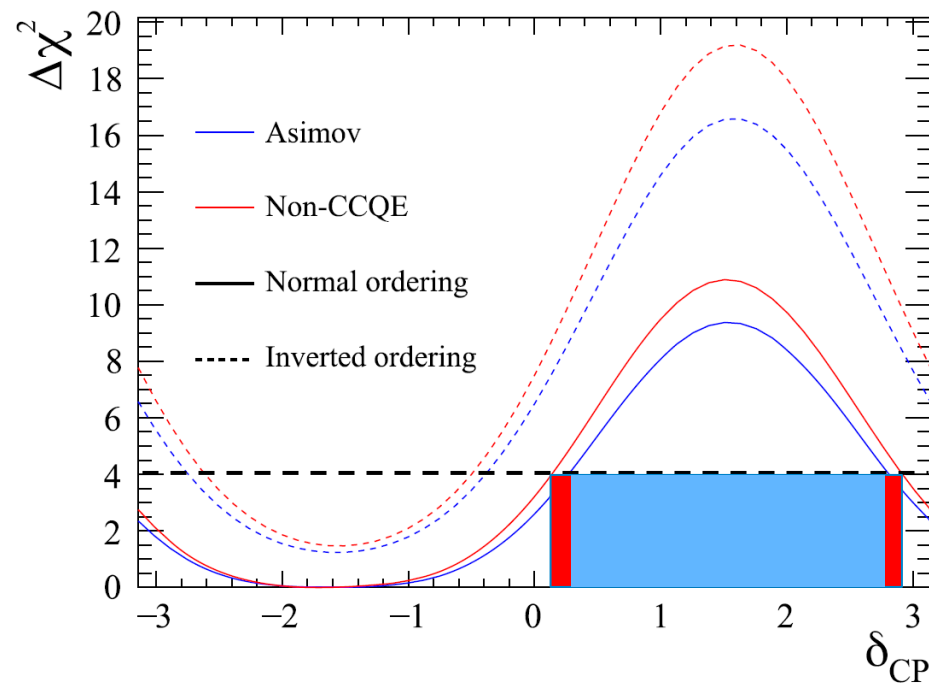


- Many experiments with mass ordering sensitivity, DUNE dominates by 2036
- Hyper-K and DUNE sensitive to CP violation

# Why should we combine experiments?

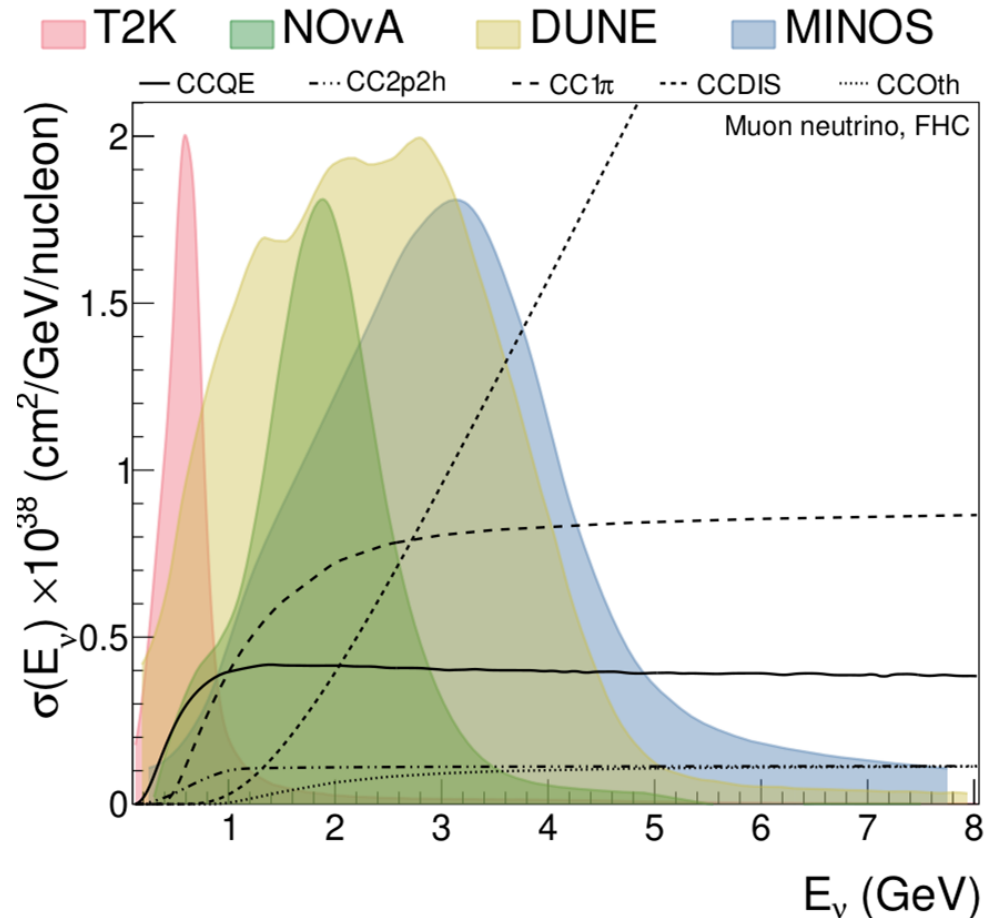
## Why combine data? Improved robustness

- Neutrino interaction cross-section has significant uncertainty
  - Dominant systematic in oscillation measurements, even after near detector tuning
  - Multiple valid models exist that make different predictions
- T2K developed simulated data studies to quantitatively assign uncertainty due to model choice



## Why combine data? Improved robustness

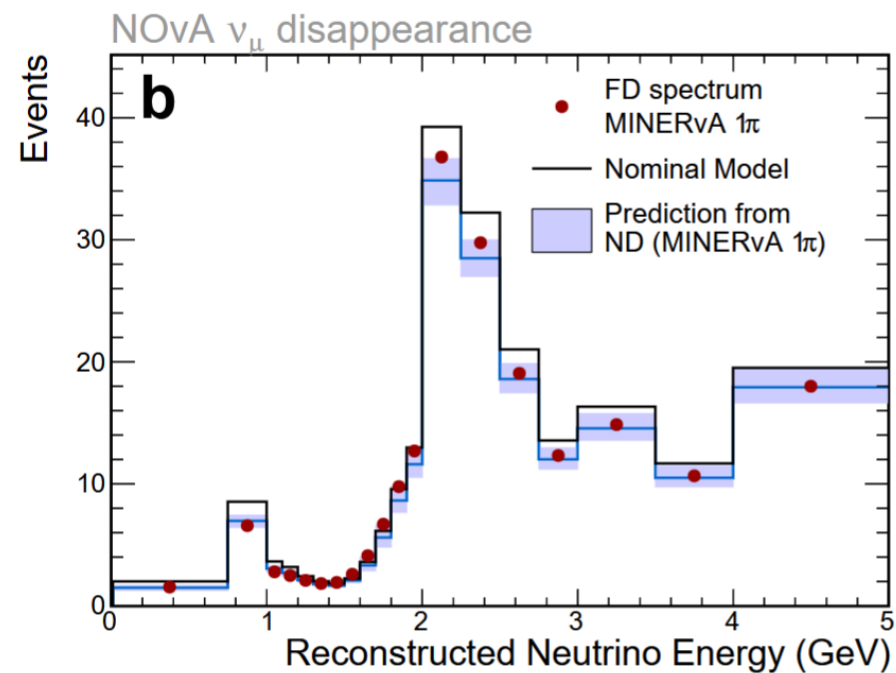
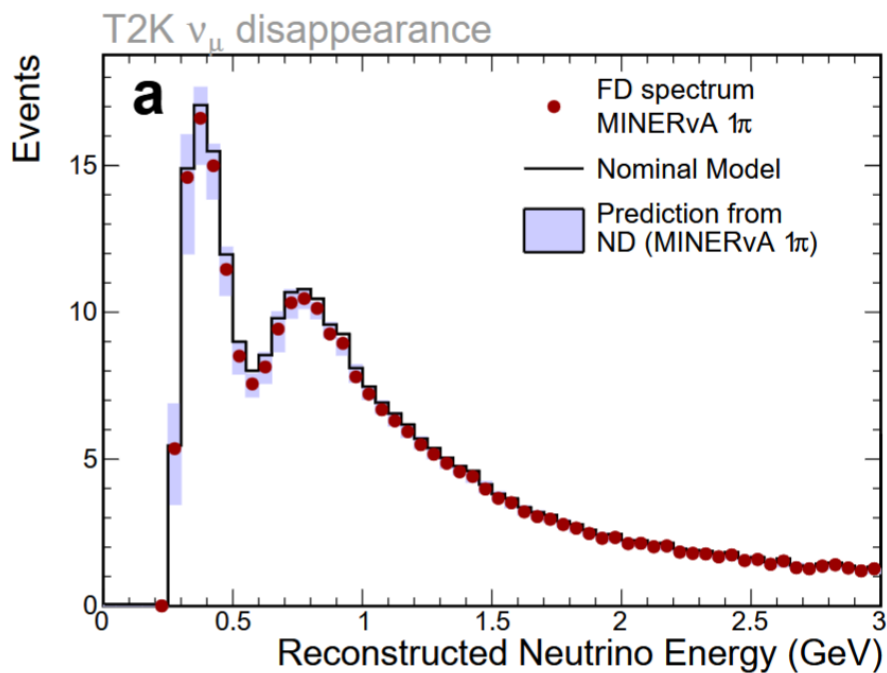
- Significant overlap in energy between neutrino beams
- Different energies give different physics and interaction sensitivities



Plot courtesy C. Wret

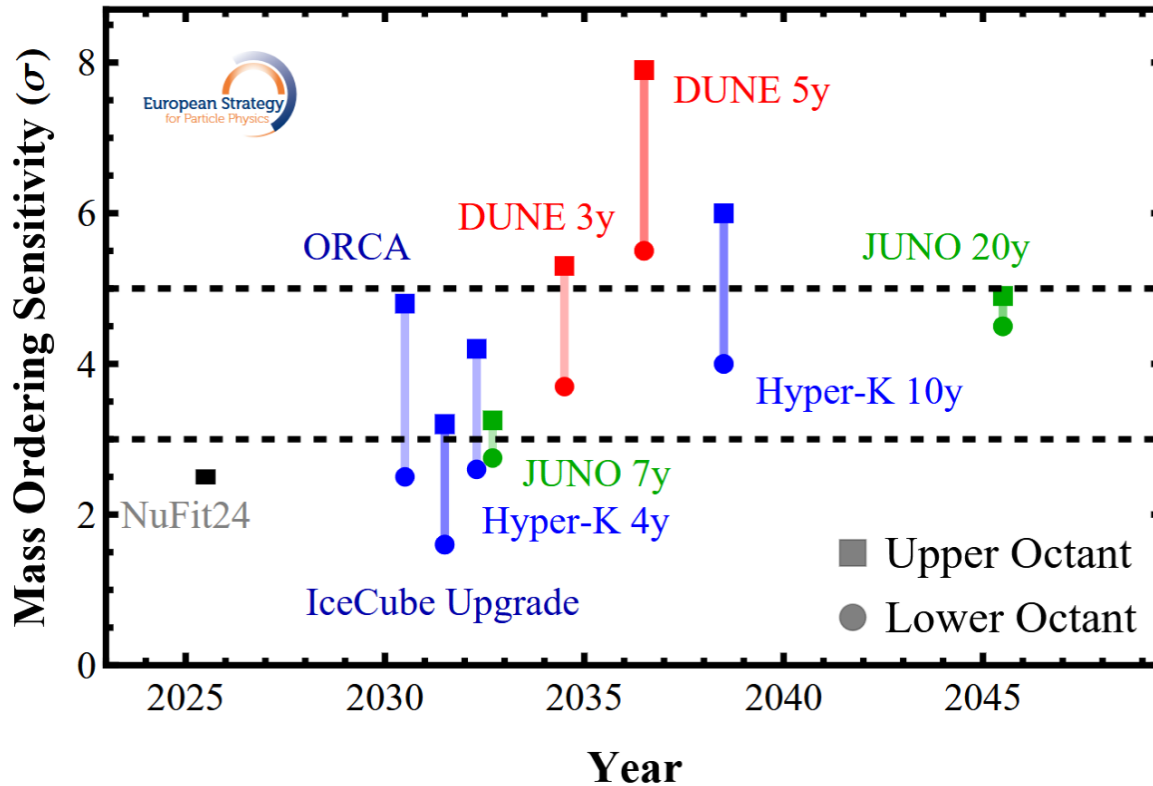
## Why combine data? Improved robustness

- T2K combined analysis with NOvA performed simulated data studies similar to those done by T2K
- Vary  $Q^2$  suppression of  $CC1\pi$  cross-section – T2K shows event rate change in oscillation dip, while NOvA sees change in tails



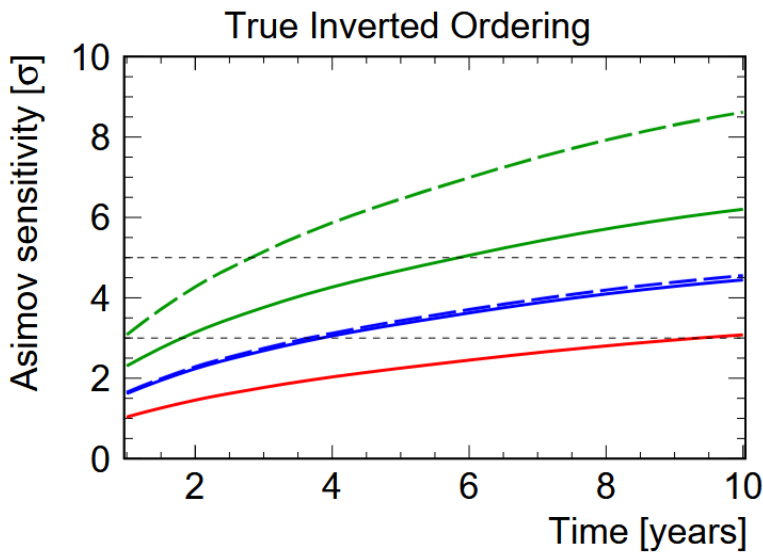
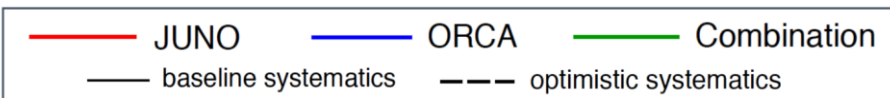
## Why combine data? Faster discovery

- Neutrino mass ordering will be known by 2036

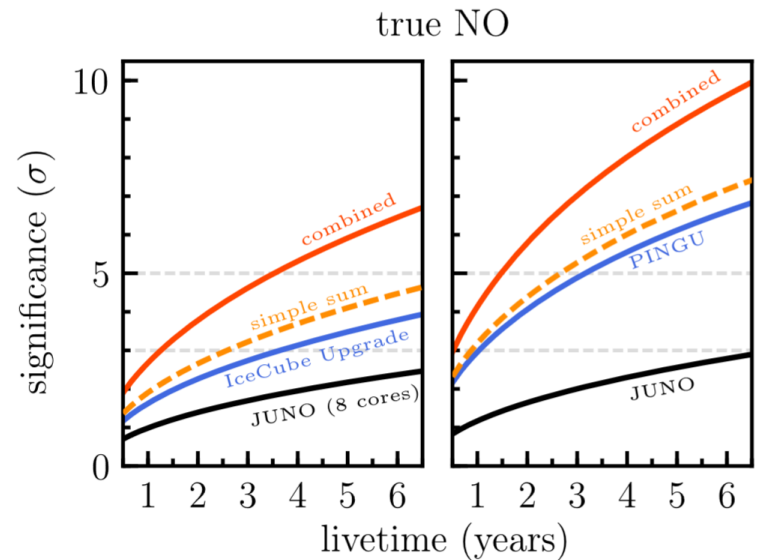


# Why combine data? Faster discovery

- Neutrino mass ordering will be known ~~by 2036~~ before 2036!
- JUNO provides unique sensitivity to MO, independent of matter effect measurement



JUNO + IceCube



## Why combine data? Fast and robust discovery

- Reactor disappearance measures different mass splitting to long-baseline disappearance. To leading order:

$$\Delta m_{ee}^2 = \Delta m_{31}^2 \cos^2 \theta_{12} + \Delta m_{32}^2 \sin^2 \theta_{12}$$

$$\Delta m_{\mu\mu}^2 \approx \Delta m_{31}^2 \sin^2 \theta_{12} + \Delta m_{32}^2 \cos^2 \theta_{12} + \sin^2 \theta_{13} \cos \delta_{CP} \Delta m_{21}^2$$

- Should see  $|\Delta m_{ee}^2| > |\Delta m_{\mu\mu}^2|$  for NO, opposite for IO
  - Difference is at the ~couple of percent level
- JUNO provides ~0.3% uncertainty measurements of neutrino mass splitting
- Next-generation long-baseline experiments estimate <1% uncertainty

Courtesy of L. Berns,

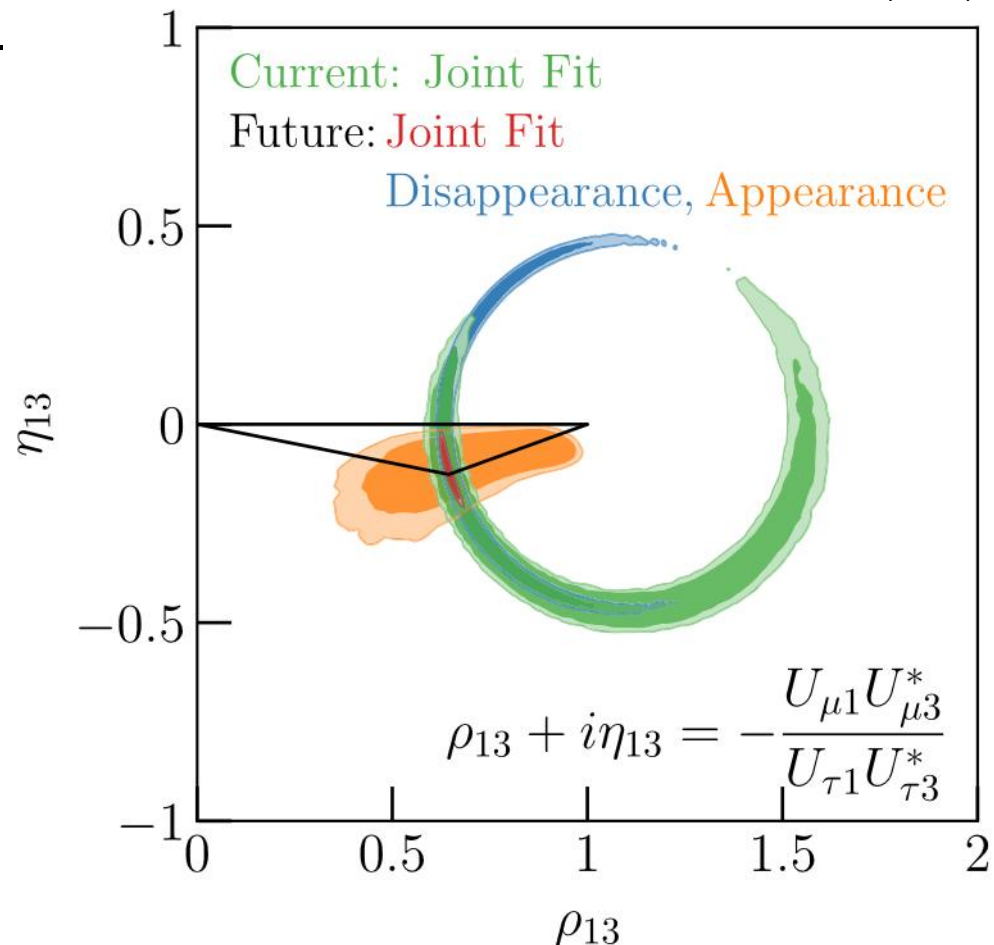
Inspired by S. Parke and R. Funchal, Phys.Rev.D 111 (2025) 1, 013008



## Why combine data? New Physics

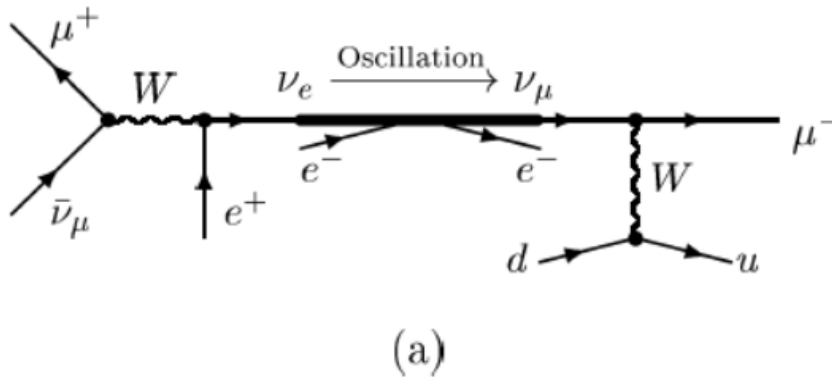
- Many new physics models (Non-Standard Interactions, neutrino decay, sterile neutrinos etc.) would lead to apparent non-unitarity
  - Combining experiments (JUNO, IceCube, DUNE, HK) necessary to isolate individual PMNS elements
  - Test consistency between neutrino experiments

PHYS. REV. D 102, 115027 (2020)

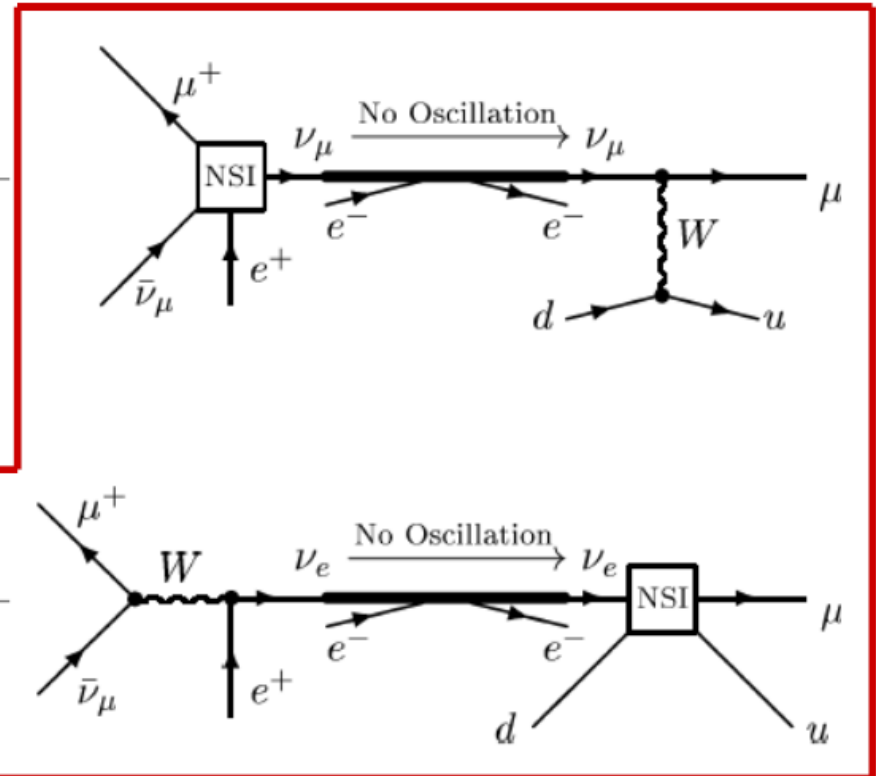


# NSIs interfere with Oscillations

the “golden” oscillation channel



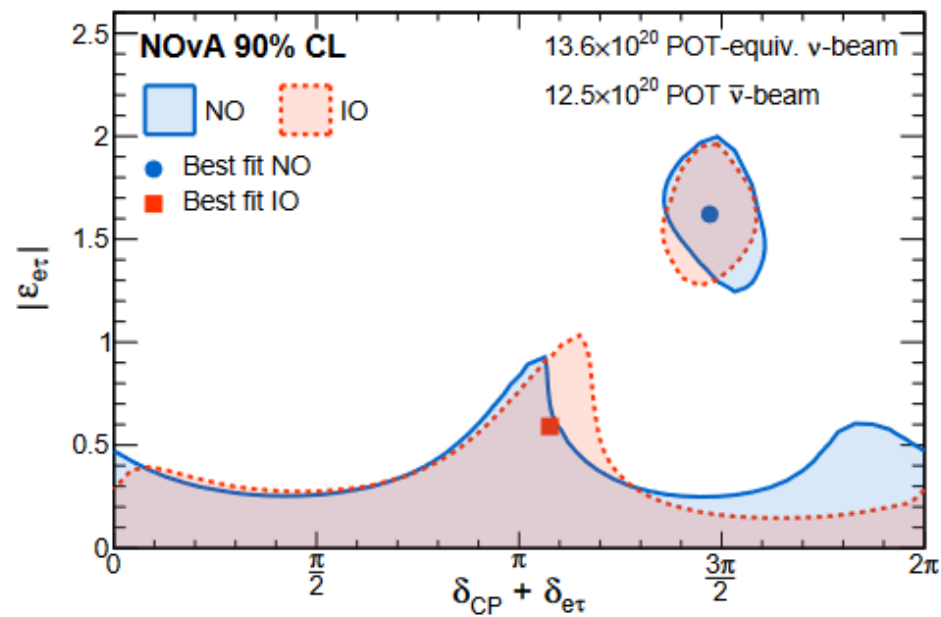
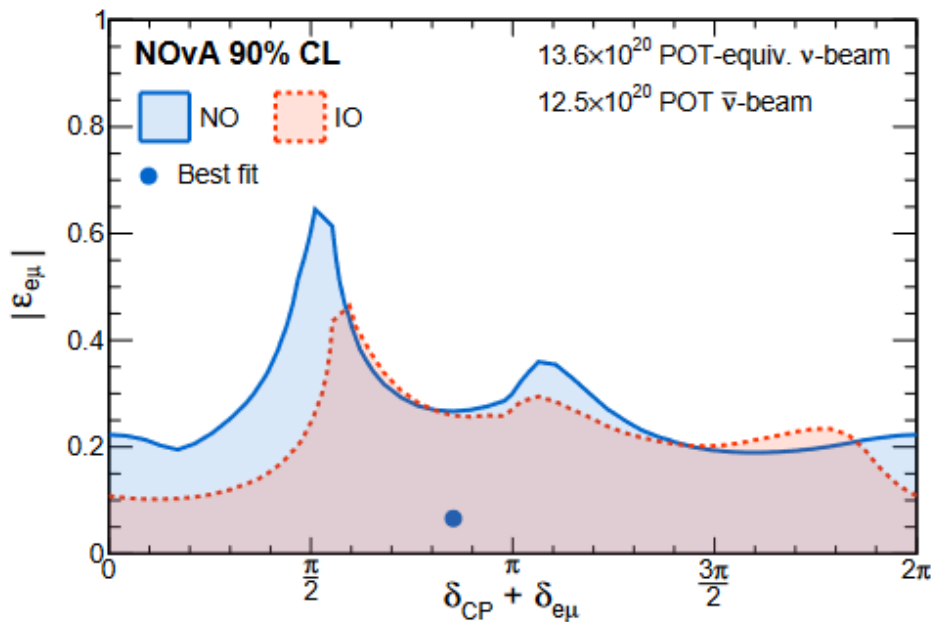
NSI contributions to the “golden” channel



interference in oscillations  $\sim \epsilon$   $\leftrightarrow$  FCNC effects  $\sim \epsilon^2$

## NOvA NSI results

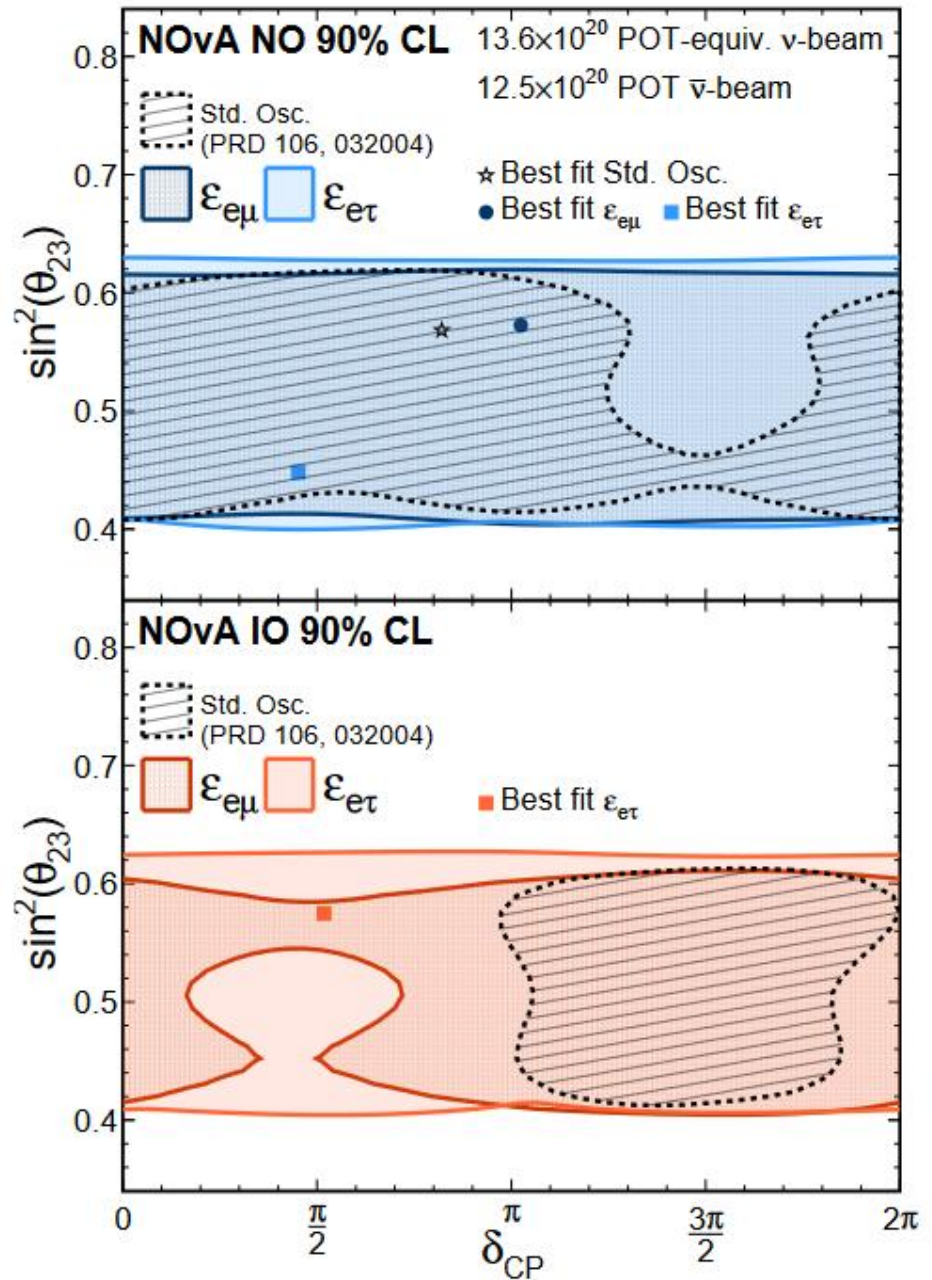
- Analysis uses regular oscillation event samples
- Add new phases and mixing magnitudes for NSI in  $e \rightarrow \mu$  and  $e \rightarrow \tau$  oscillations



<https://arxiv.org/abs/2403.07266>

# NOvA NSI results

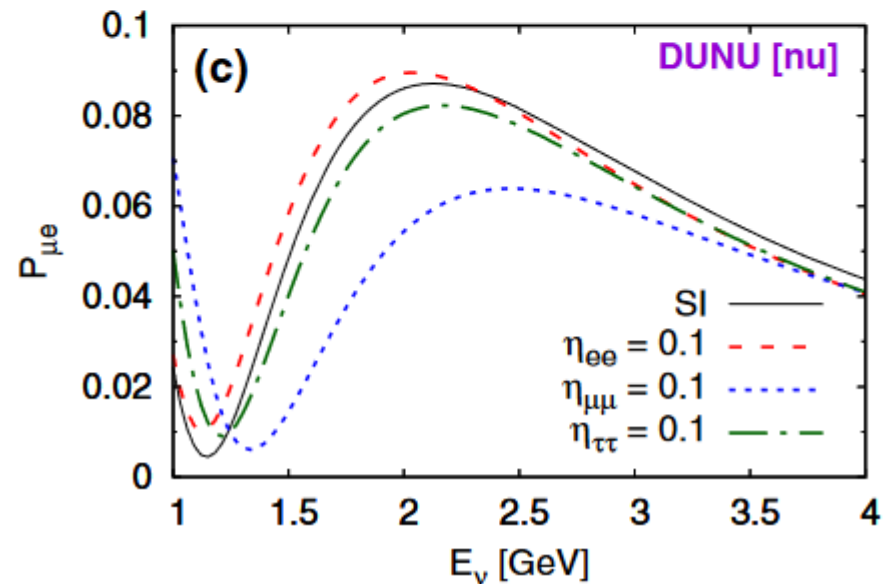
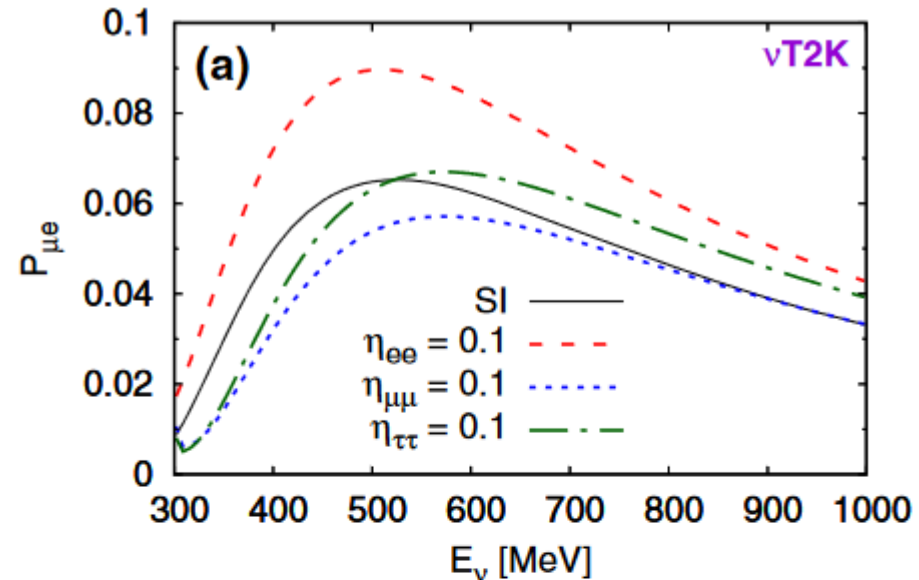
- Impact on PMNS  $\delta_{CP}$  and octant
- Including NSI removes almost all sensitivity to  $\delta_{CP}$  and octant in standard PMNS matrix
  - Effects are degenerate!



## Multi-experiment NSI

- HK neutrinos travel 295km
- DUNE neutrinos travel 1300km
- See different NSI terms have different effects
  - Combining data from multiple experiments allows us to gain sensitivity
  - Break degeneracy with regular PMNS oscillations

From [PhysRevLett.122.211801](https://arxiv.org/abs/1805.02201)



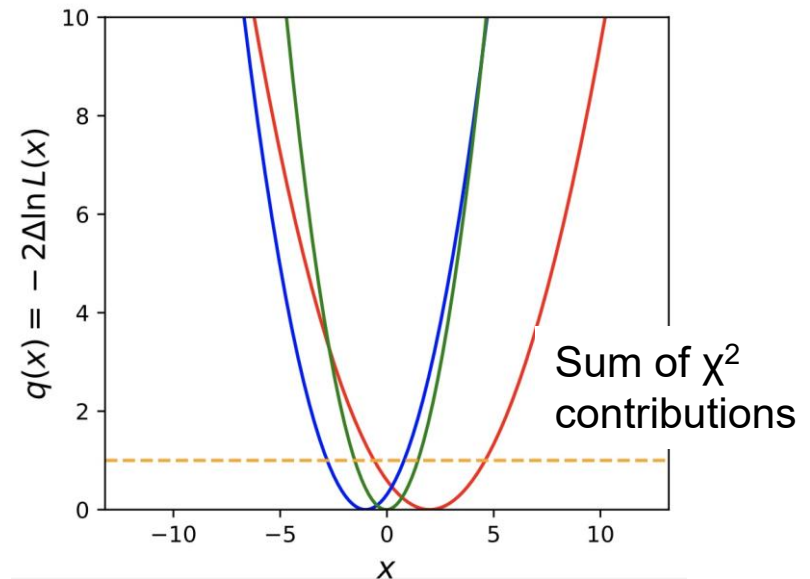
# How should we combine experiments?

## How to combine experimental results?

- Lots of existing expertise
  - LHC experiments
  - NuFit et al.
  - PDG
  - T2K + NOvA, T2K + Super-K
- Independent, Gaussian measurements with known correlations – relatively easy

$x_1 \pm \sigma_1$        $x_2 \pm \sigma_2$

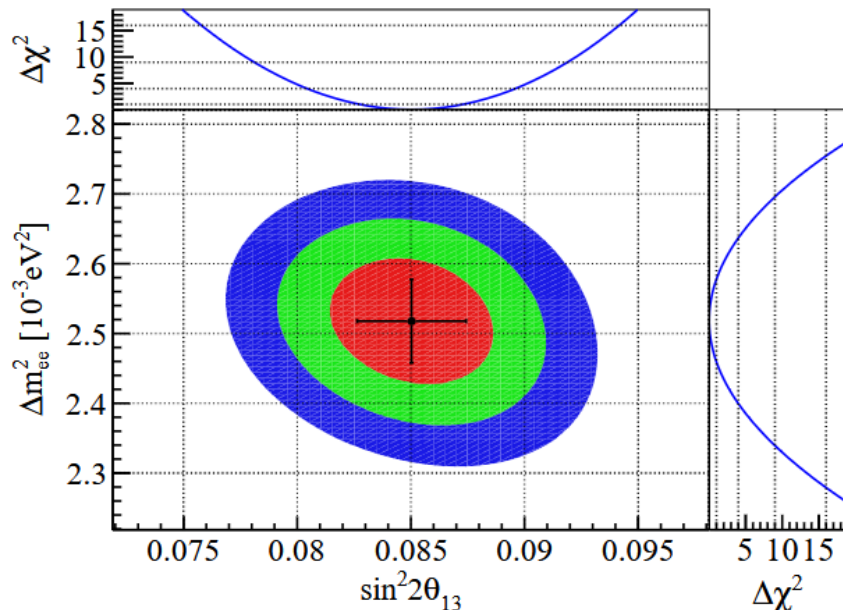
$$x_{\text{best}} = \frac{w_1 x_1 + w_2 x_2}{w_1 + w_2}$$
$$\frac{1}{\sigma_{\text{best}}^2} = w_1 + w_2 \quad w_i = \frac{1}{\sigma_i^2}$$



Courtesy N. Wardle

## Other methods of combination

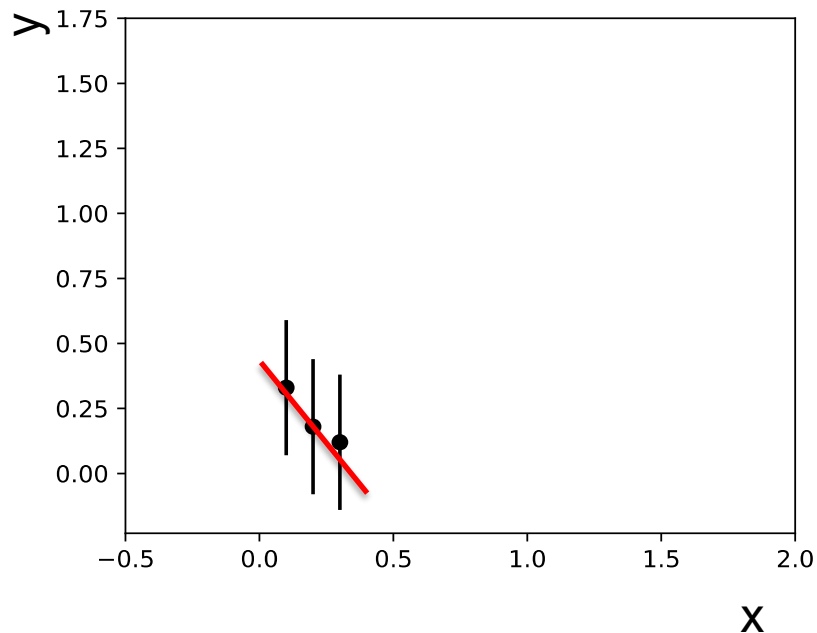
- Publish  $\chi^2$  maps of the parameters of interest
  - Easy to combine experiments (just add maps)
  - Allows simple correlation of parameters between experiments
  - Can include multiple dimensions to get correlations within an experiment



- In future might need high-dimensionality surfaces at high significance
  - $>3\sigma$  for CPV discovery?
- Disjoint likelihoods, such as mass-ordering hypotheses, pose difficulties

## Warning about combining likelihoods

- Experiments marginalise/profile nuisance parameters – combining these reduced likelihoods not always correct



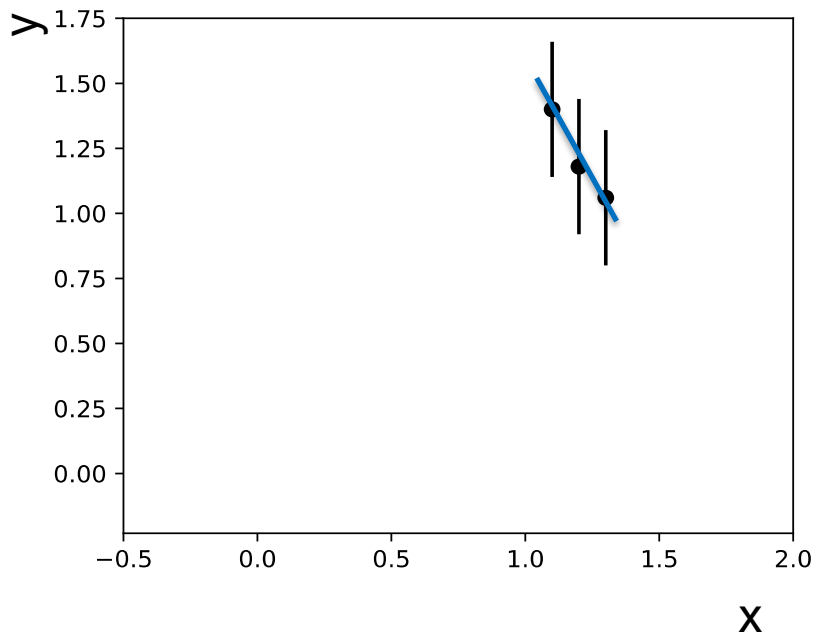
- Experiment 1** fits straight line to some data

$$y = mx + c$$

- Marginalise over parameter  $c$  and extract  $m$

## Warning about combining likelihoods

- Experiments marginalise/profile nuisance parameters – combining these reduced likelihoods not always correct



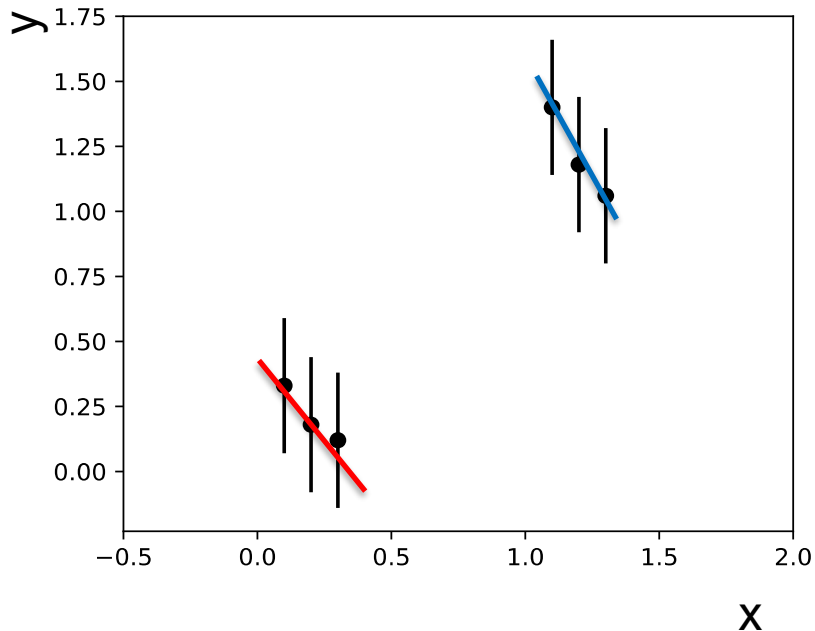
- Experiment 2 fits straight line to some data

$$y = mx + c$$

- Marginalise over parameter  $c$  and extract  $m$

## Warning about combining likelihoods

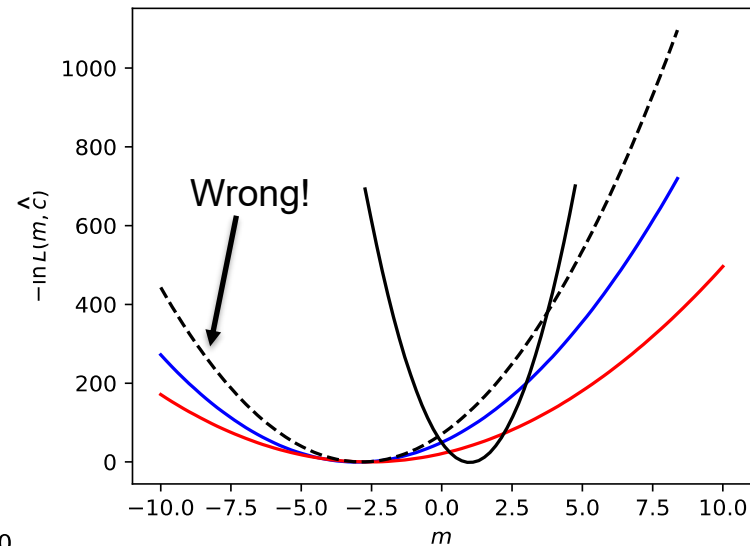
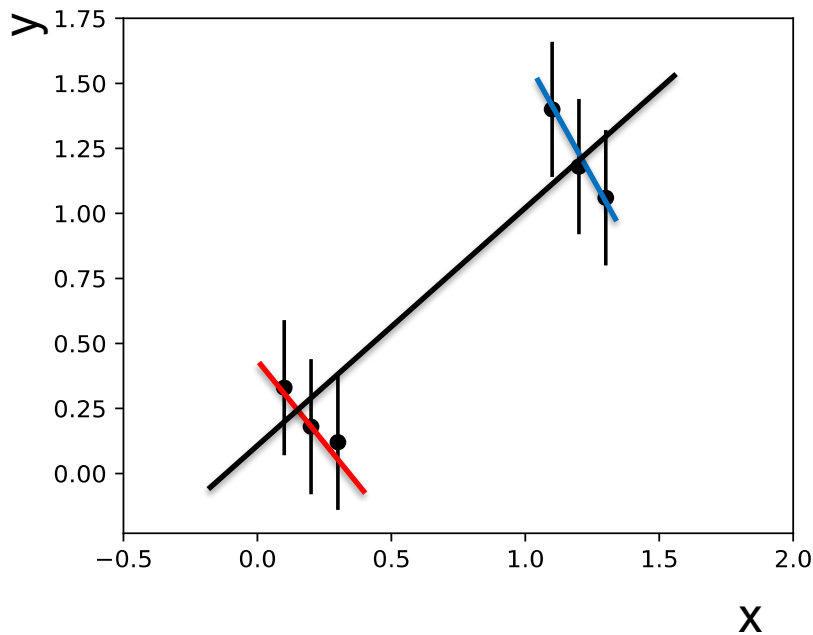
- Experiments marginalise/profile nuisance parameters – combining these reduced likelihoods not always correct



- Fit straight line to data
$$y = mx + c$$
- Marginalise over parameter  $c$
- What happens when we combine...

## Warning about combining likelihoods

- Experiments marginalise/profile nuisance parameters – combining these reduced likelihoods not always correct



- T2K marginalizes over  $\sim 230$  nuisance parameters, about half of which are shared by NOvA...

## Summary

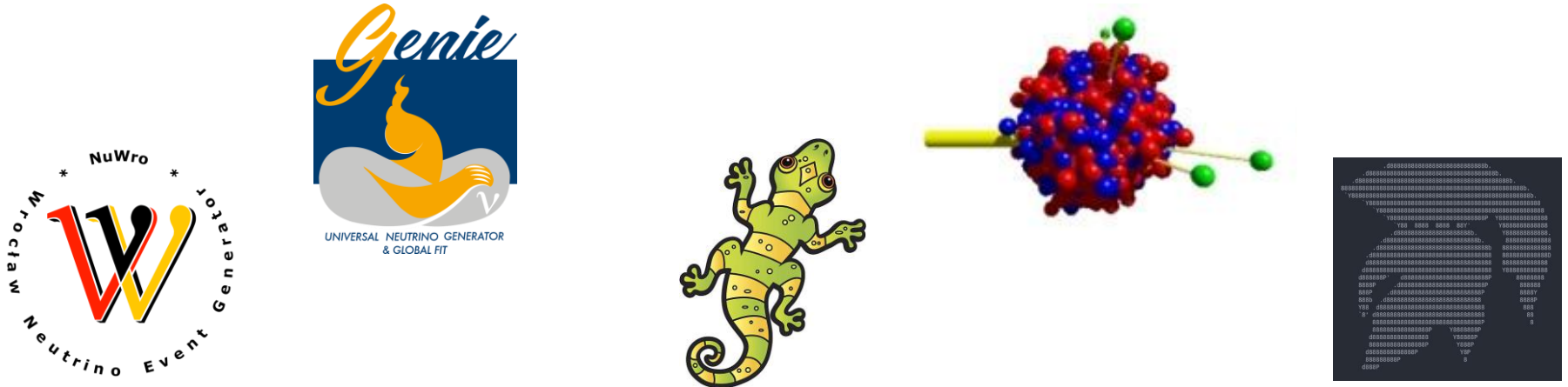
- Many benefits to combining neutrino data, and many ways to perform this combination
  - Simple methods likely not correct for high statistics neutrino data!
  - Direct combination of likelihoods preferred, but must understand correlations of both nuisance and signal parameters
- Beam experiments tune neutrino flux and interaction cross section models to near detector data
  - Need to “combine” near detector analyses as well
  - Multiple detectors in shared neutrino beam ~ideal to study this
  - [nuSCOPE@CERN](#)
- **Multi-experiment analyses take a long time to perform (4-8 years based on LHC and T2K+NOvA) so must start planning earlier rather than later!**

Thank you!

---

## Neutrino event generators

- Currently five (that I know) main event generators:



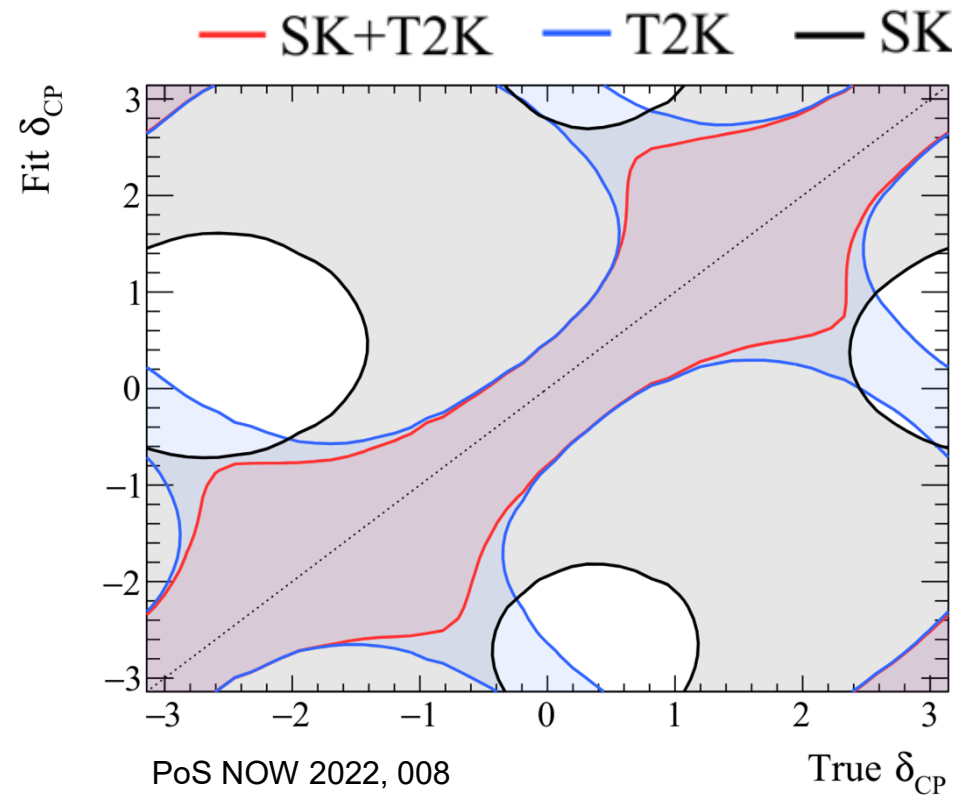
- Three are regularly used by experiments
- Include different interaction models, and different assumptions about implementation – predicted event rates not always directly comparable
- Common I/O format being developed
  - **NuHEPMC**
  - Essential for future combined analysis

## Combining experiments directly

- Next generation of experiments aim for **precision** neutrino physics
  - **Require** combining data from multiple experiments
    - JUNO measures mass ordering, mass splittings and  $\theta_{12}$  very precisely
    - Daya Bay gives  $\theta_{13}$  very precisely, but same reactor as JUNO, therefore correlated systematics
- Ideally, combine likelihoods from experiments directly and **make likelihoods publicly available for future use**
  - Full information available to analysis
  - Energy reconstruction performed by experiment simulation
    - Can correctly predict reconstructed neutrino energy distribution for any value of oscillation parameters
    - Get **L/E** correct!

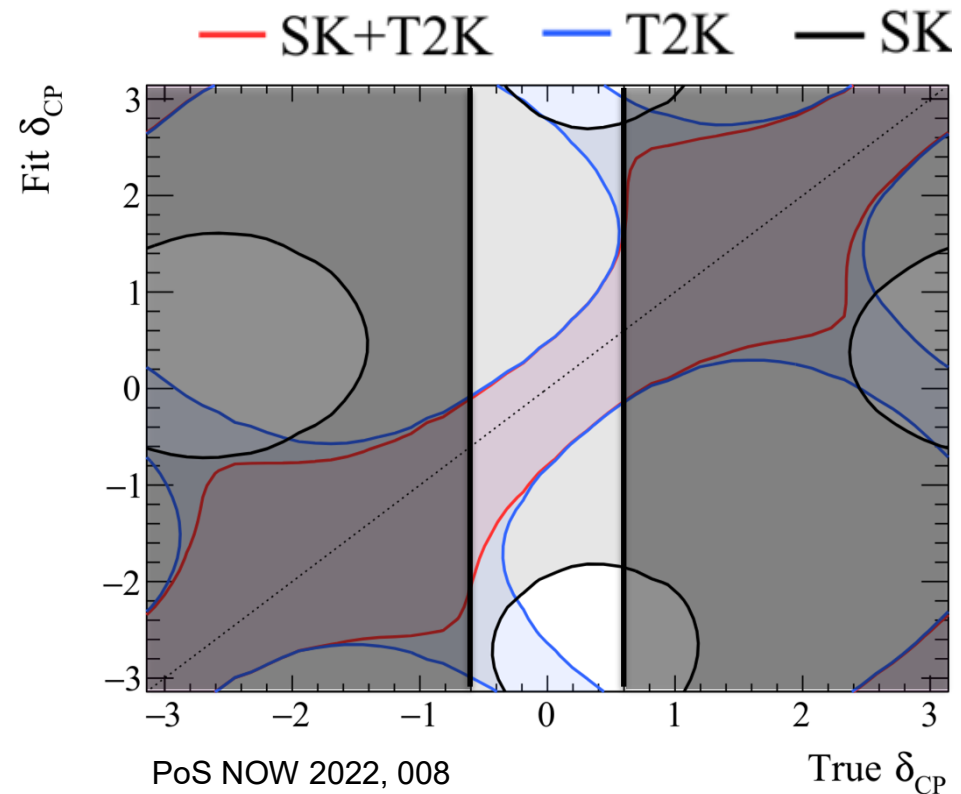
## Why combine data? Breaking degeneracy

- Example from T2K + Super-K sensitivity studies
  - T2K uses neutrino beam
  - SK uses atmospheric neutrinos
- **T2K** measures  $\delta_{CP}$  more precisely than **Super-K**



## Why combine data? Breaking degeneracy

- Example from T2K + Super-K sensitivity studies
  - T2K uses neutrino beam
  - SK uses atmospheric neutrinos
- T2K measures  $\delta_{\text{CP}}$  more precisely than **Super-K**
- **Combined** result breaks degeneracy seen by T2K around CP conserving values



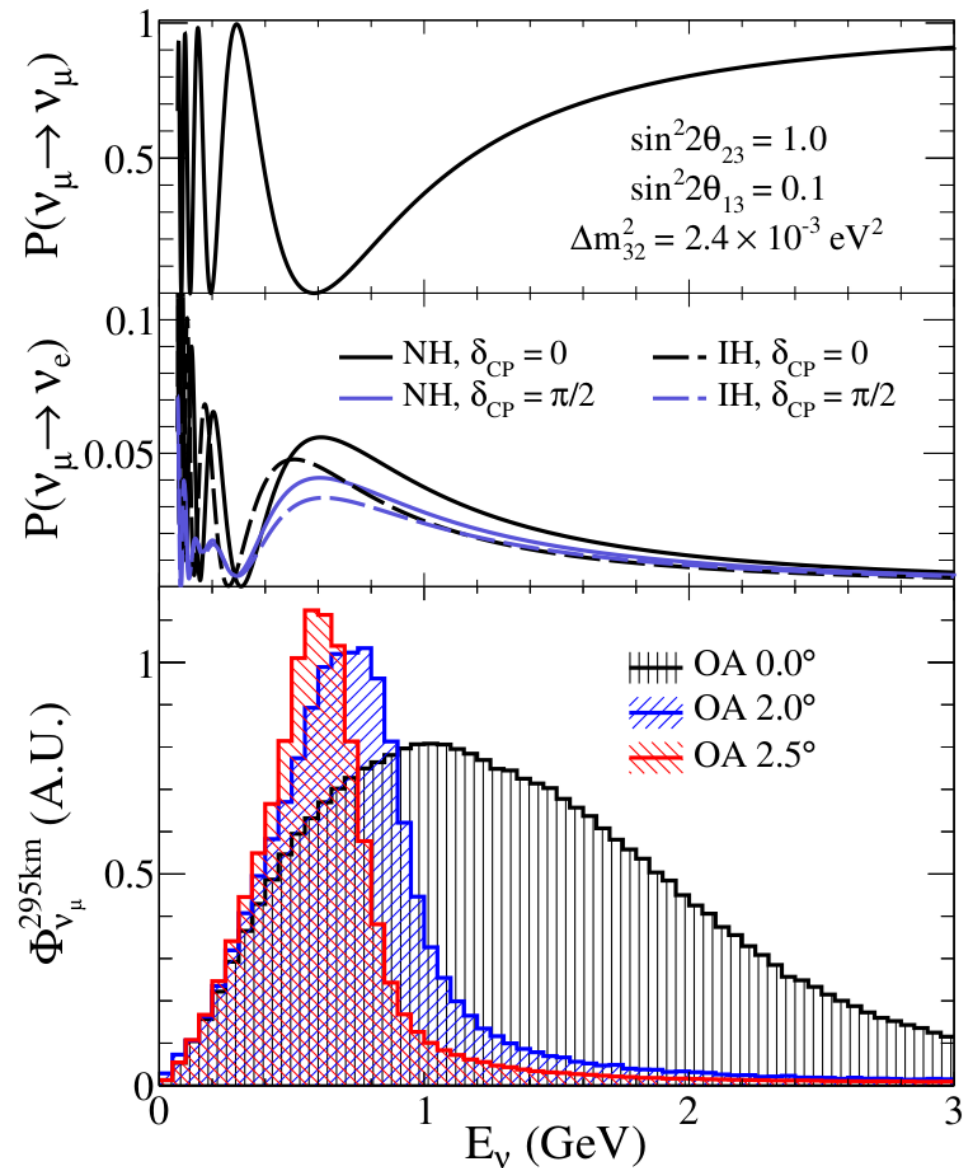
## Unitarity measurements in PMNS

Experiment	Measured quantity with unitarity	Without unitarity
Reactor SBL ( $\bar{\nu}_e \rightarrow \bar{\nu}_e$ )	$4 U_{e3} ^2 (1 -  U_{e3} ^2) = \sin^2 2\theta_{13}$	$4 U_{e3} ^2 ( U_{e1} ^2 +  U_{e2} ^2)$
Reactor LBL ( $\bar{\nu}_e \rightarrow \bar{\nu}_e$ )	$4 U_{e1} ^2 U_{e2} ^2 = \sin^2 2\theta_{12} \cos^4 \theta_{13}$	$4 U_{e1} ^2 U_{e2} ^2$
SNO ( $\phi_{CC}/\phi_{NC}$ Ratio)	$ U_{e2} ^2 = \cos^2 \theta_{13} \sin^2 \theta_{12}$	$ U_{e2} ^2$
SK/T2K/MINOS ( $\nu_\mu \rightarrow \nu_\mu$ )	$4 U_{\mu 3} ^2 (1 -  U_{\mu 3} ^2) =$ $4 \cos^2 \theta_{13} \sin^2 \theta_{23} (1 - \cos^2 \theta_{13} \sin^2 \theta_{23})$	$4 U_{\mu 3} ^2 ( U_{\mu 1} ^2 +  U_{\mu 2} ^2)$
T2K/MINOS ( $\nu_\mu \rightarrow \nu_e$ )	$4 U_{e3} ^2 U_{\mu 3} ^2 = \sin^2 2\theta_{13} \sin^2 \theta_{23}$	$-4 \operatorname{Re}\{U_{e3}^* U_{\mu 3} (U_{e1}^* U_{\mu 1} + U_{e2}^* U_{\mu 2})\}$
SK/OPERA ( $\nu_\mu \rightarrow \nu_\tau$ )	$4 U_{\mu 3} ^2 U_{\tau 3} ^2 = \sin^2 2\theta_{23} \cos^4 \theta_{13}$	$-4 \operatorname{Re}\{U_{\tau 3}^* U_{\mu 3} (U_{\tau 1}^* U_{\mu 1} + U_{\tau 2}^* U_{\mu 2})\}$

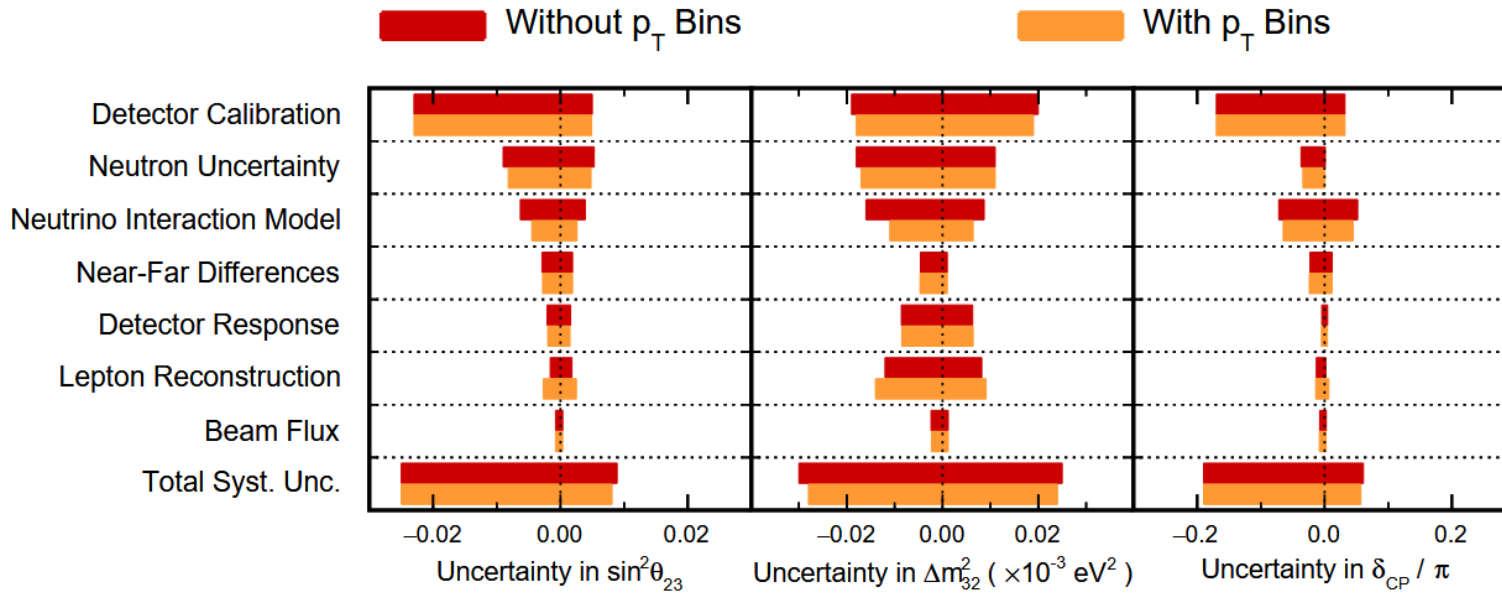
S. Parke, M. Ross-Lonergan, <https://arxiv.org/abs/1508.05095>

## T2K Off-axis beam

- Two-body pion decay
  - Angle and energy of neutrino directly linked
- Moving off axis:
  - Lower peak energy
  - Smaller high energy tail
  - Less energy spread
- T2K is at  $2.5^\circ$  off-axis





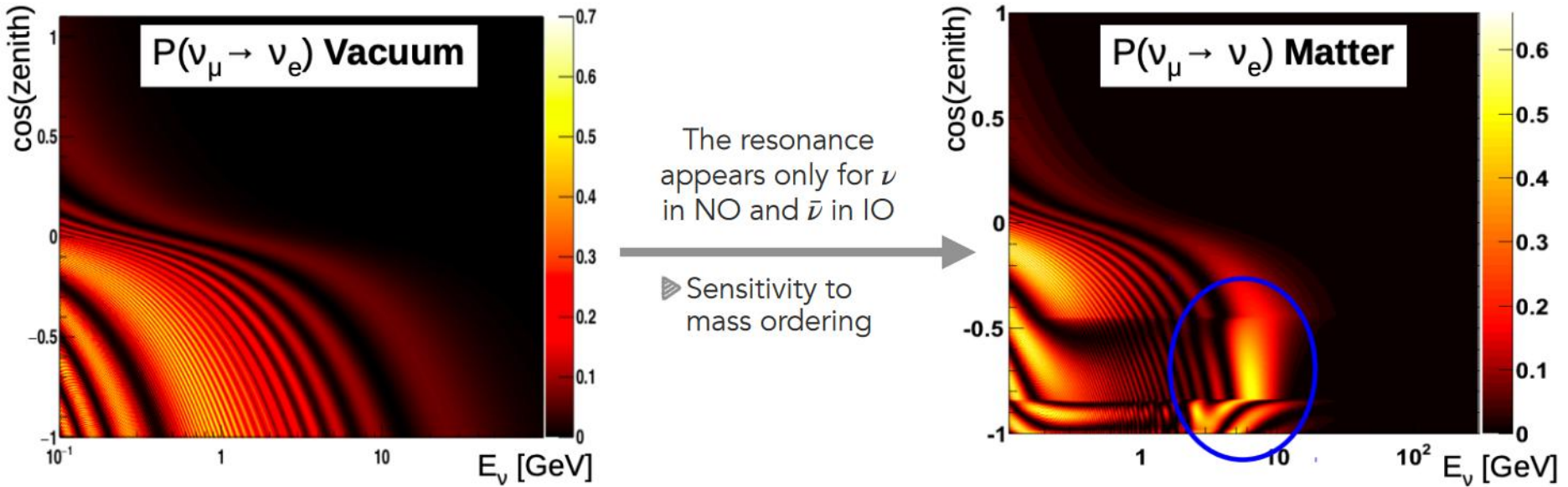


- Functionally identical near and far detector
- Neutrino interaction model and beam flux uncertainties significantly reduced
- Detector response/reconstruction more important

# Atmospheric neutrino oscillation

Atmospheric neutrino oscillation probability (normal ordering)

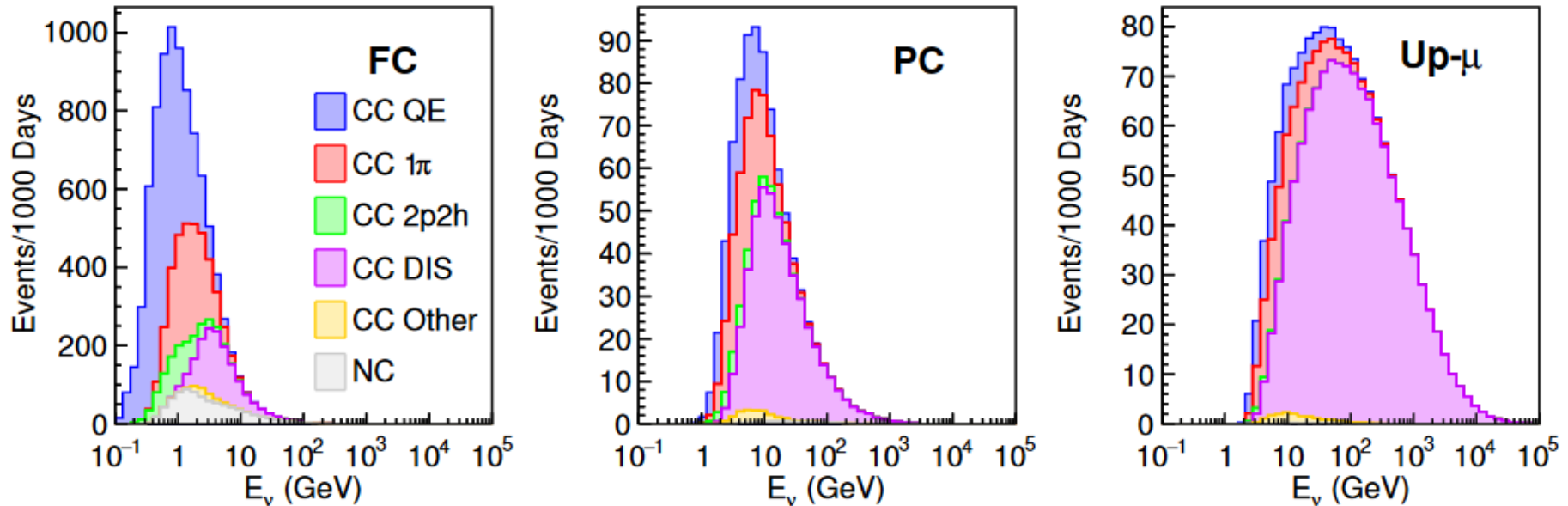
from [C. Bronner @ PANE 2018](#)



- Earth mass introduces resonance in upward-going electron neutrino appearance sample
- Provides sensitivity to neutrino mass ordering

# Atmospheric neutrinos – SK samples

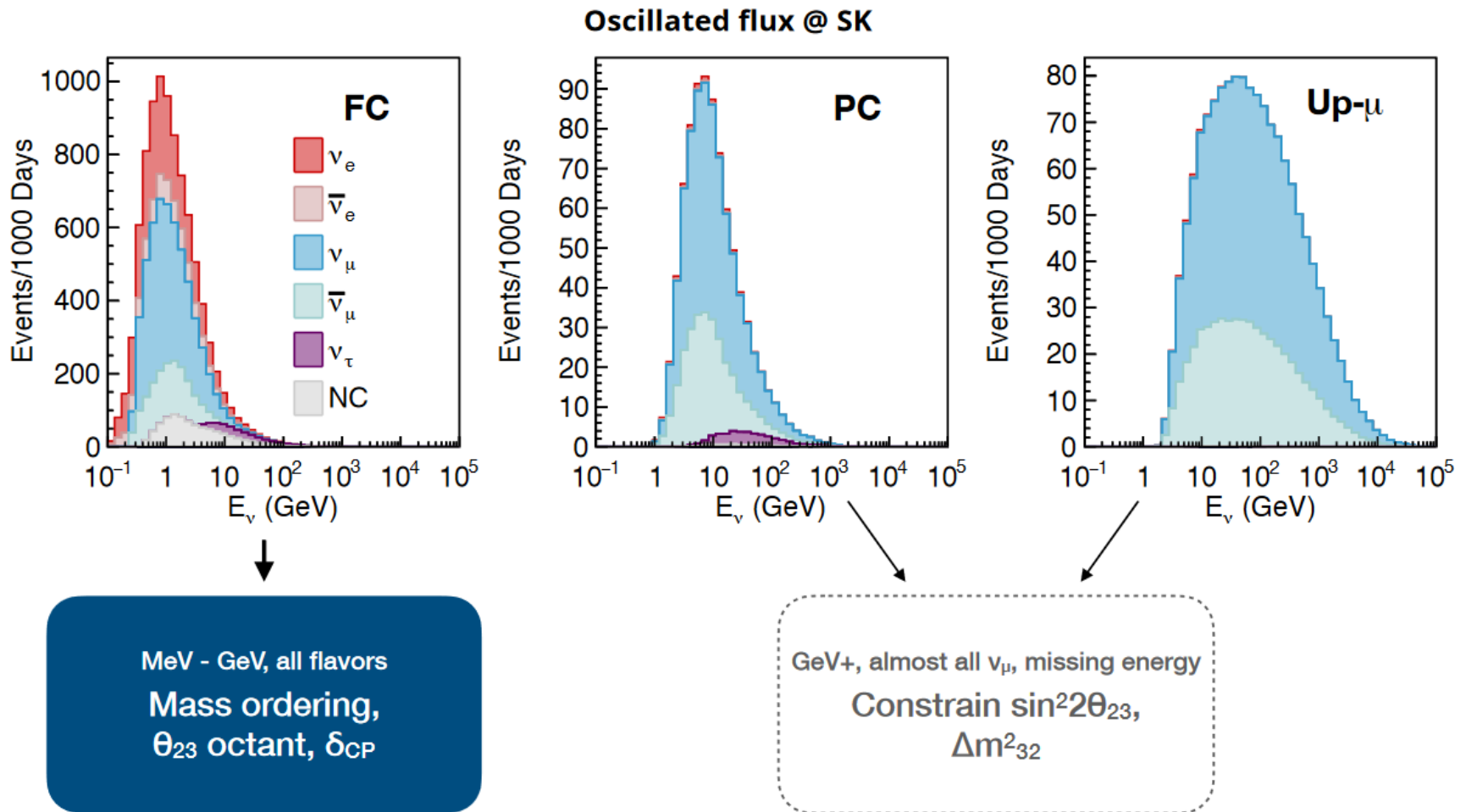
T. Wester, PhD thesis



- Samples of “fully-contained”, “partially contained” and “upward-going muon” events
- PC and Up-mu are dominated by DIS events

# Atmospheric neutrinos – SK samples

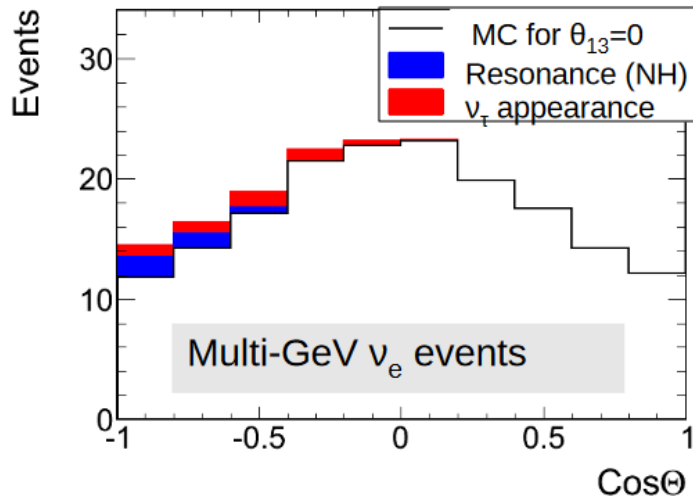
T. Wester, NNN2023



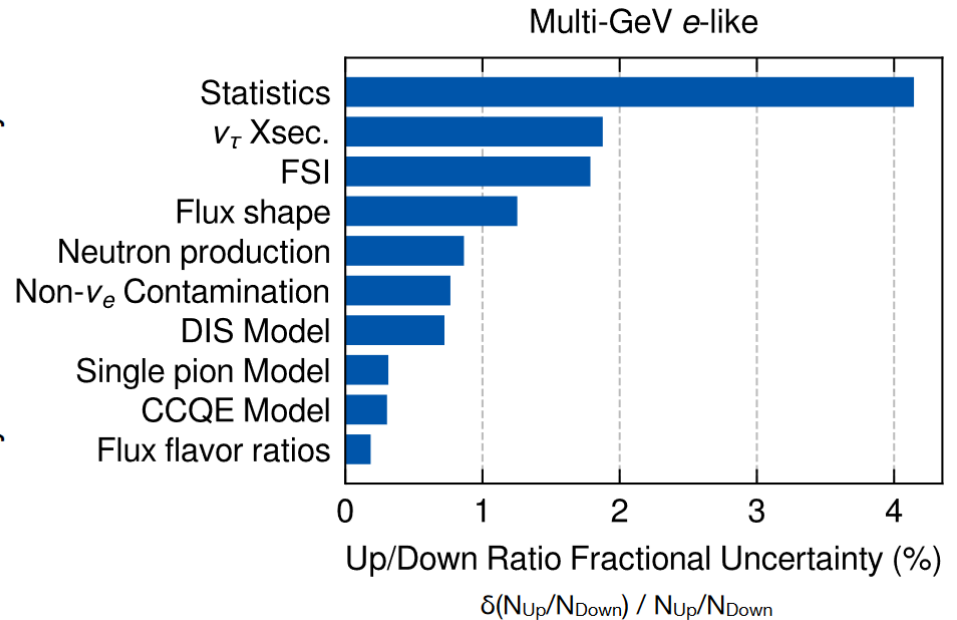
# Atmospheric neutrinos – SK systematics

T. Wester, NNN2023

C. Bronner,  
<https://indico-sk.icrr.u-tokyo.ac.jp/event/5223/>



Systematic Uncertainty

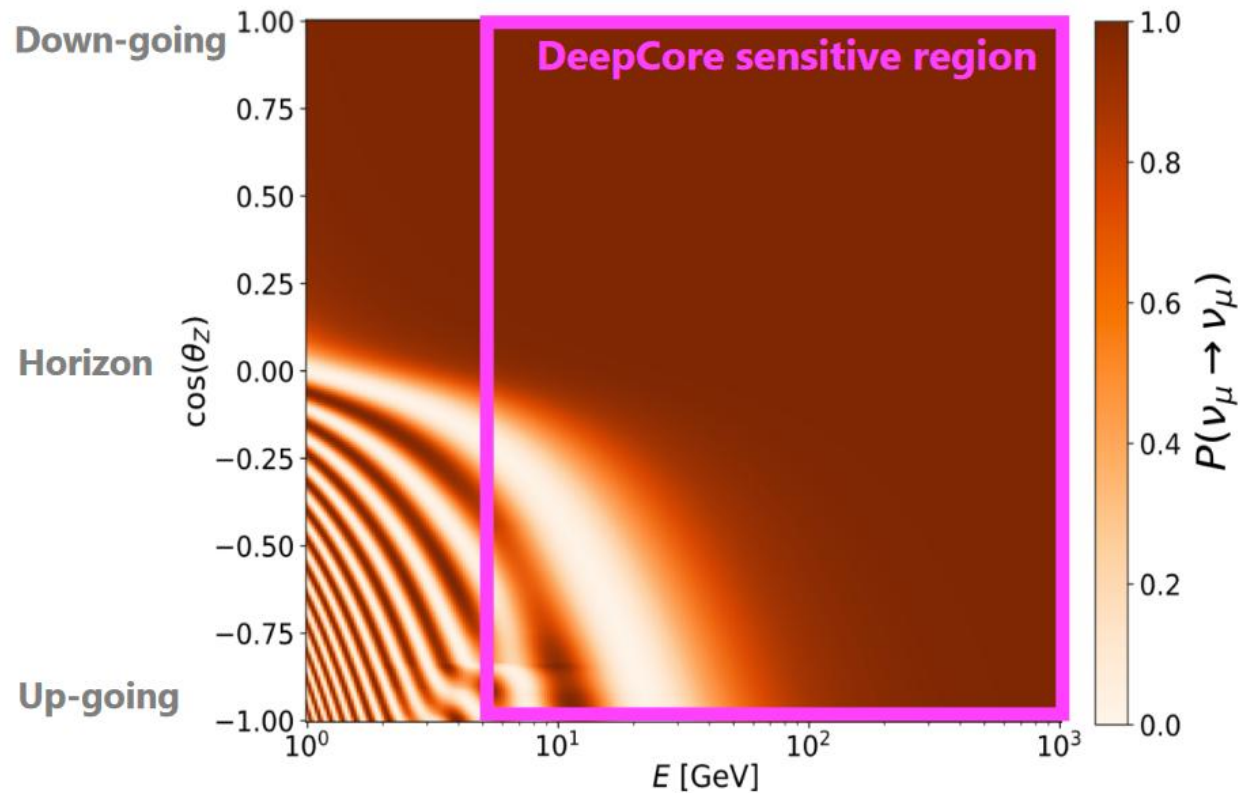


- Mass ordering sensitivity from upward-going, multi-GeV electron-like samples
- Tau cross-section uncertainty dominant systematic
  - Hyper-Kamiokande will have statistical error  $<2\%$

# Neutrino oscillation at IceCube

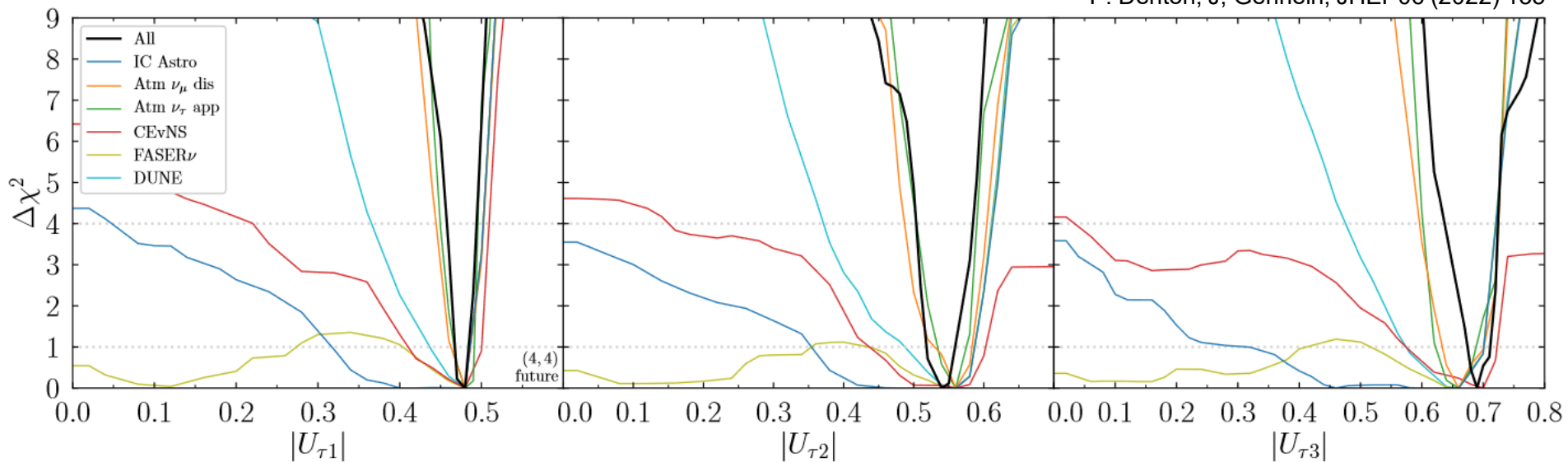
- Largest particle detector in existence (1Mt)
- Limited at low energy threshold  $\sim 10\text{GeV}$ 
  - Reduced to  $1\text{GeV}$  with Upgrade
- Above threshold of tau production – can measure tau appearance

T. Stuttard, NuFact 2019



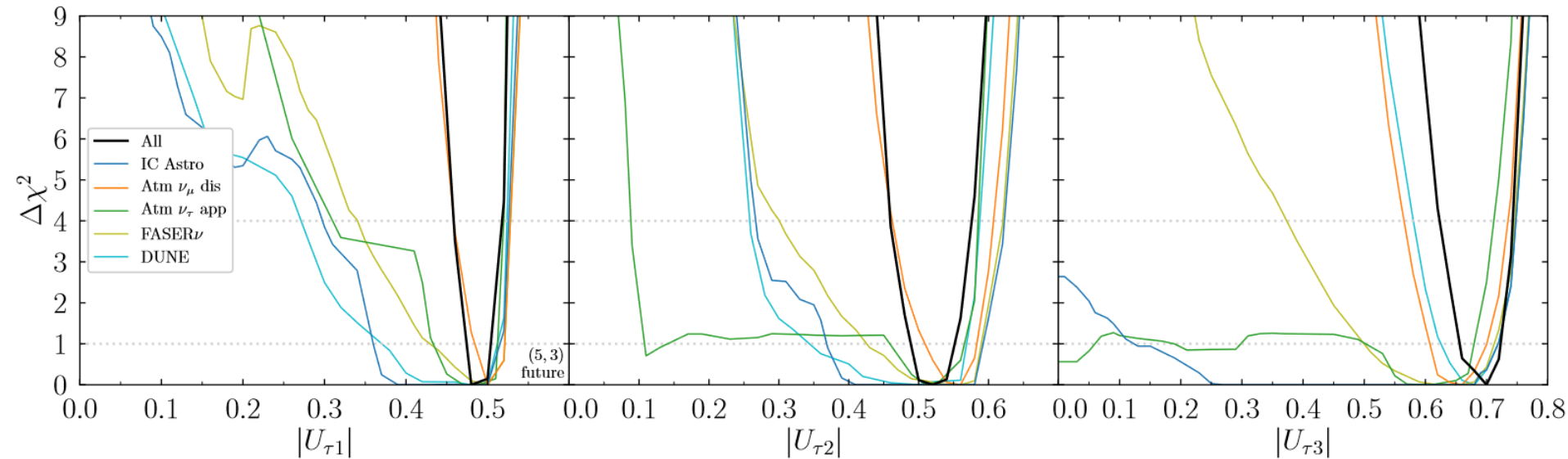
# Future limits on PMNS unitarity

P. Denton, J, Gehrlein, JHEP06 (2022) 135



- Depends on the assumptions used in analysis
  - Here assuming 4 x 4 matrix, with the new state accessible
- Atmospheric neutrinos provide largest constraint on 3<sup>rd</sup> row of PMNS matrix

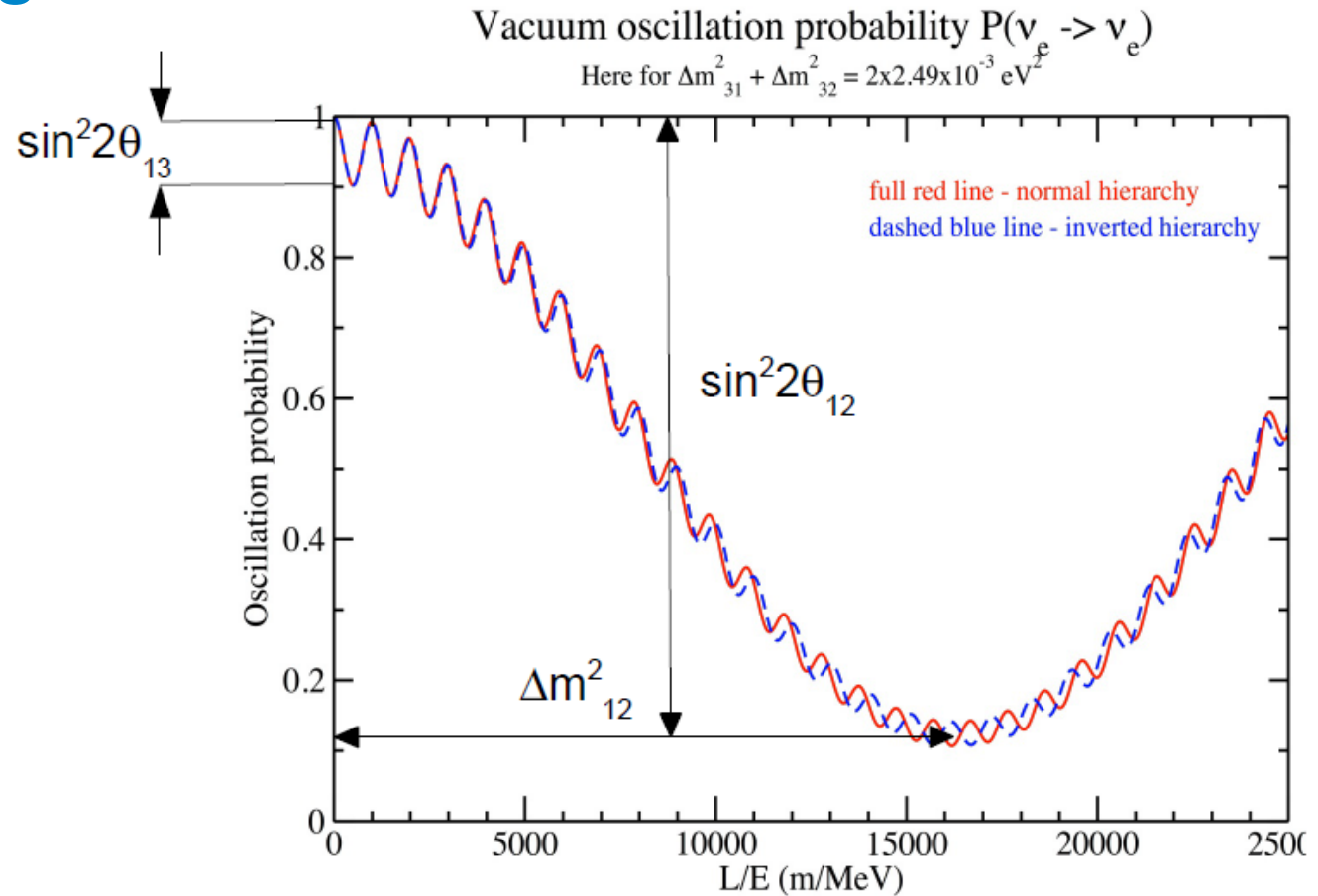
# Future limits on PMNS unitarity



- Alternative assumes two inaccessible mass states
- Atmospheric muon neutrino disappearance and DUNE tau neutrino appearance now provide biggest constraint

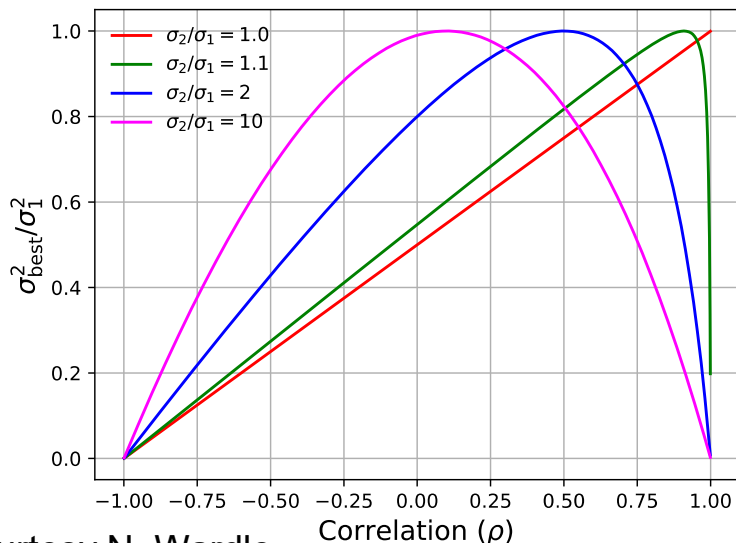
# JUNO physics

- Precision reactor neutrino measurements
  - Flux
  - Spectrum
- Determination of mass ordering
- Precise determination of  $\theta_{12}$  and  $\Delta m_{12}^2$
- Supernovae  $\nu$ , geo- $\nu$ , solar  $\nu$ , sterile  $\nu$ ...



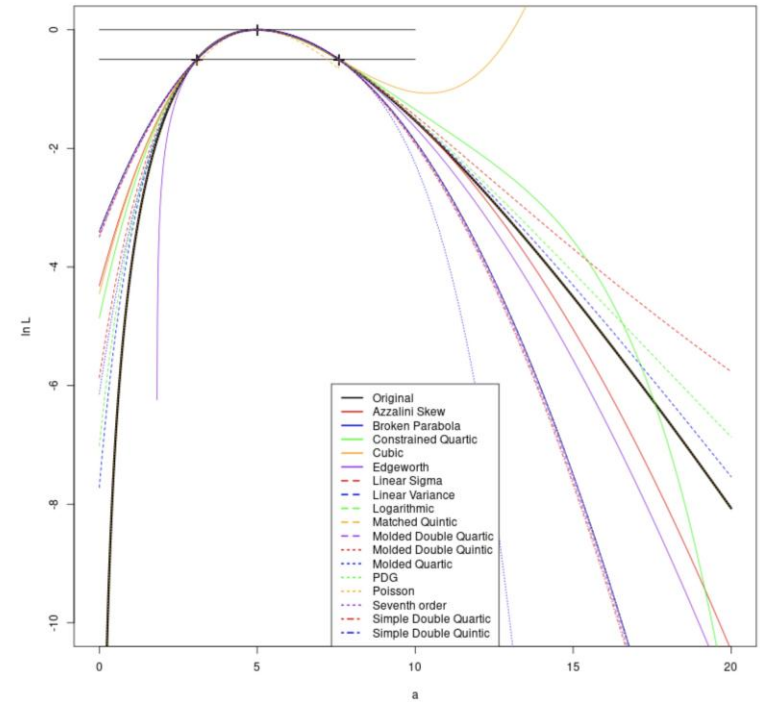
# Potential issues

- Unknown correlations
  - Y-axis is  $\sim$ error on combined result
  - Most conservative assumption not necessarily given by fully (un)correlated uncertainties



Courtesy N. Wardle

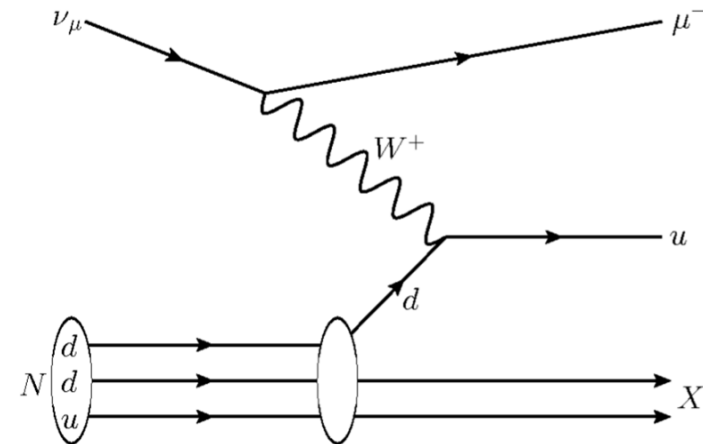
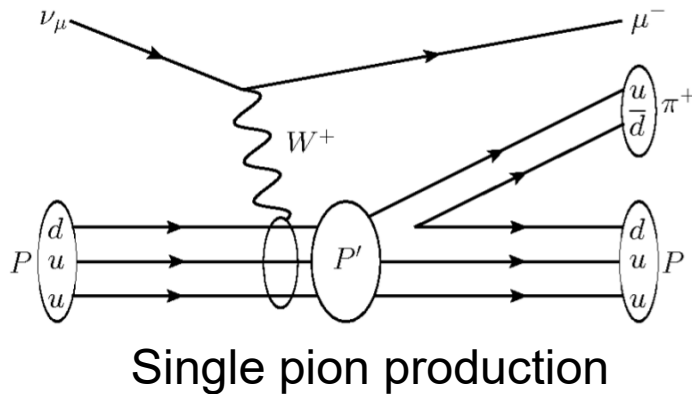
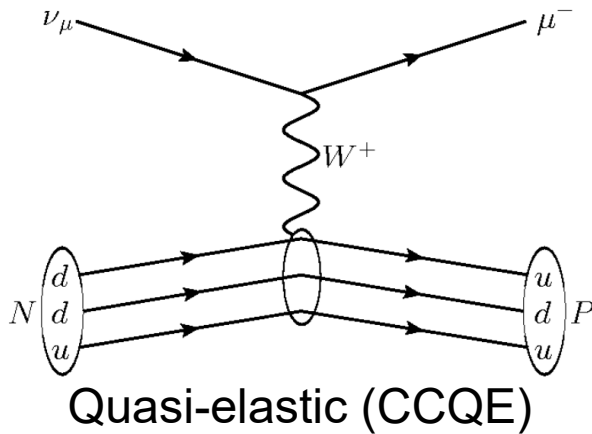
- Non-Gaussian errors
  - OK to assume Gaussian up to  $\sim 1$  sigma, could diverge past this
  - How do we interpret published value?



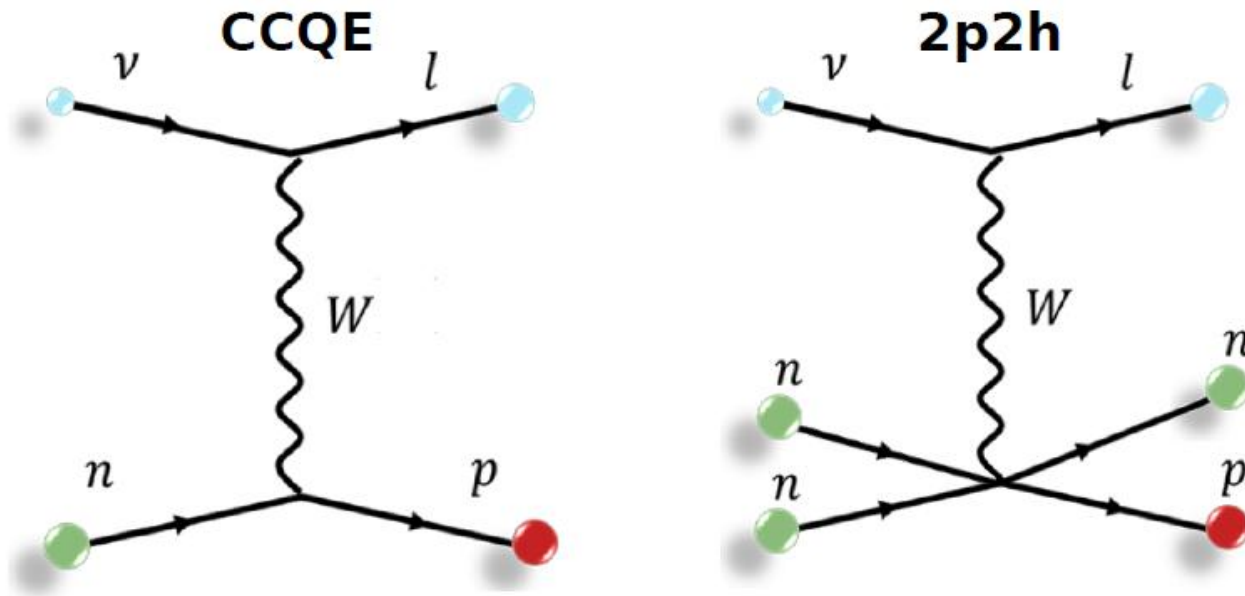
<https://arxiv.org/abs/2411.15499>

## Neutrino interactions

- Three principal types of neutrino interaction
- Occur as both charged current (CC) and neutral current processes

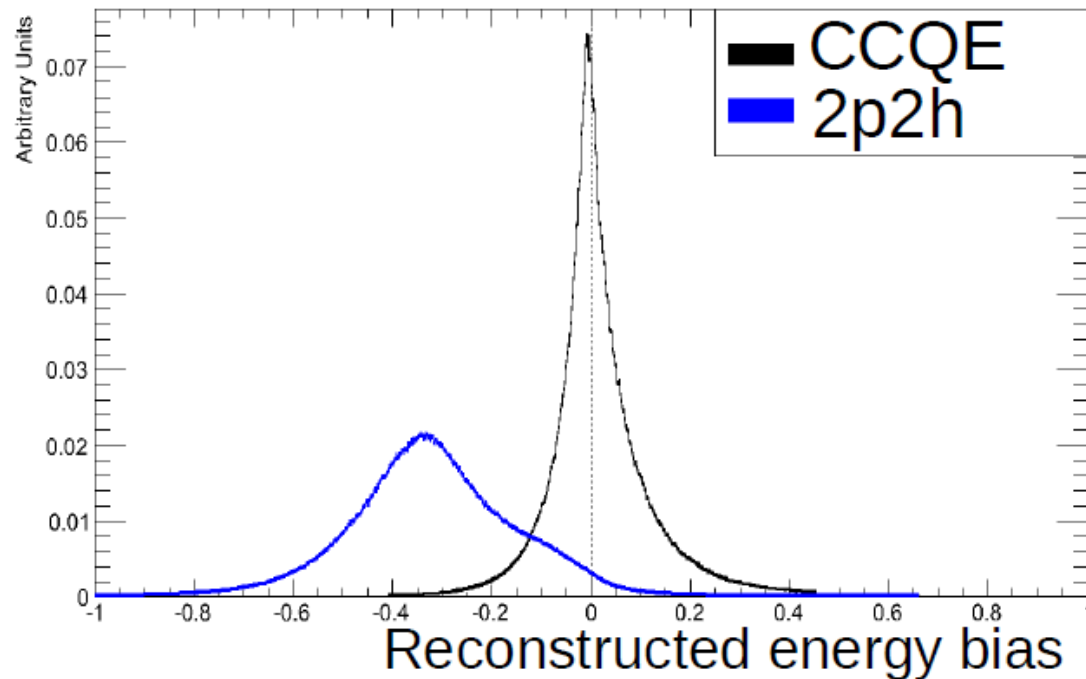


## Example energy bias – 2p2h interactions



- Similar to CCQE
- Neutrino interacts with correlated pair of nucleons – invisible to detector

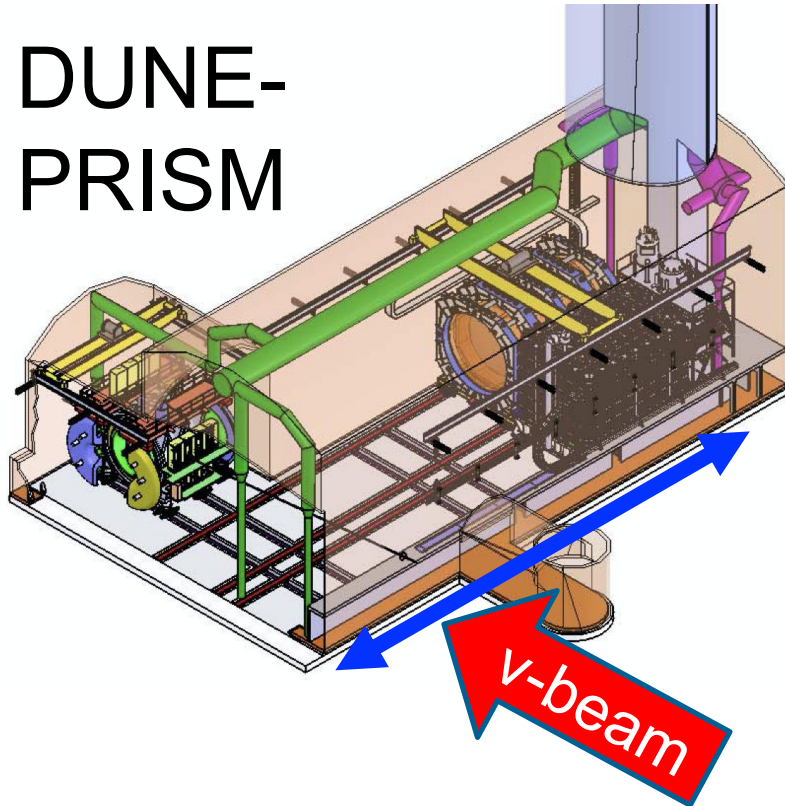
## Example energy bias – 2p2h interactions



- Reconstructed neutrino energy is biased, leads to bias in oscillation parameters
- Requires improved experimental measurements or theoretical models

## DUNE-PRISM and IWCD

### DUNE- PRISM



- Near / intermediated detectors for DUNE / HK
- Span a range of angles off the centre of the neutrino beam
  - DUNE-PRISM – horizontal, ~35m
  - IWCD – vertical, ~50m

### IWCD





# PRISM concept

- Measure neutrino interactions at multiple off-axis positions
- Neutrino flux changes with position

