

FACULTÉ DES SCIENCES D'ORSAY





# Superconductivity and Cryogenics for Particle Accelerators

Akira Miyazaki
Laboratoire de Physique des 2 infinis Irène Joliot-Curie
Pôle Physique des Accélérateurs
akira.miyazaki@ijclab.in2p3.fr





### Outline

- ➤ Introduction: thermodynamics and benefit of cooling (10 min)
- ➤ Basics of cryogenics (15 min)
- ➤ BCS superconductivity (15 min)
- ➤ Non-BCS superconductivity (5 min)
- ➤ Break (10 min)
- ➤ Superconducting magnet (10 min)
- ➤ Superconducting RF cavities (20 min)
- **≻**Conclusion





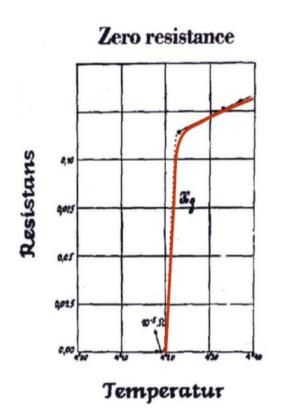
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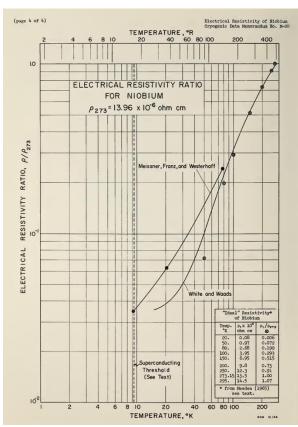


# Cooling down to reduce electric loss

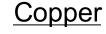
# Superconducting (Hg)

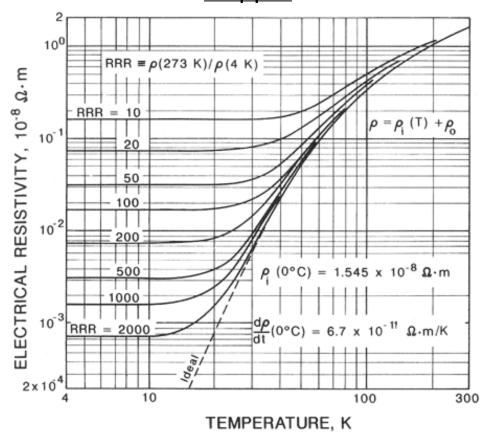


**Niobium** 



https://nvlpubs.nist.gov/nistpubs/Leg acy/TN/nbstechnicalnote365.pdf



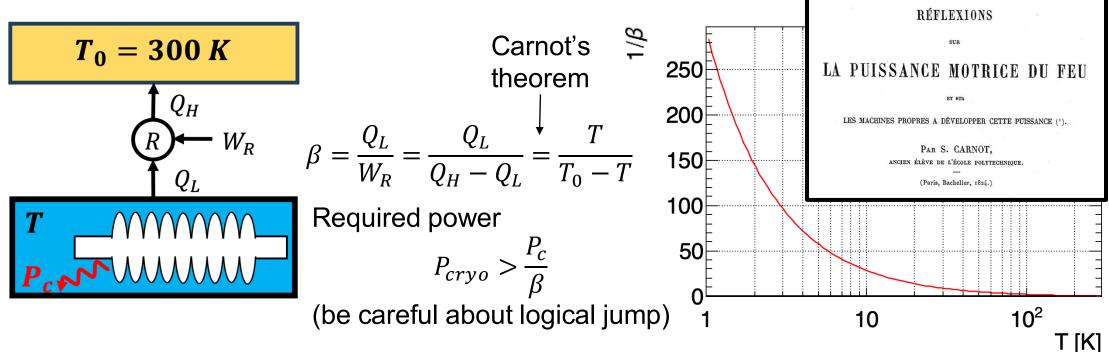


https://www.copper.org/resources/properties/cryogenic/



# Cost of ideal cryogenics

# Cooling power 1 W depends on temperature

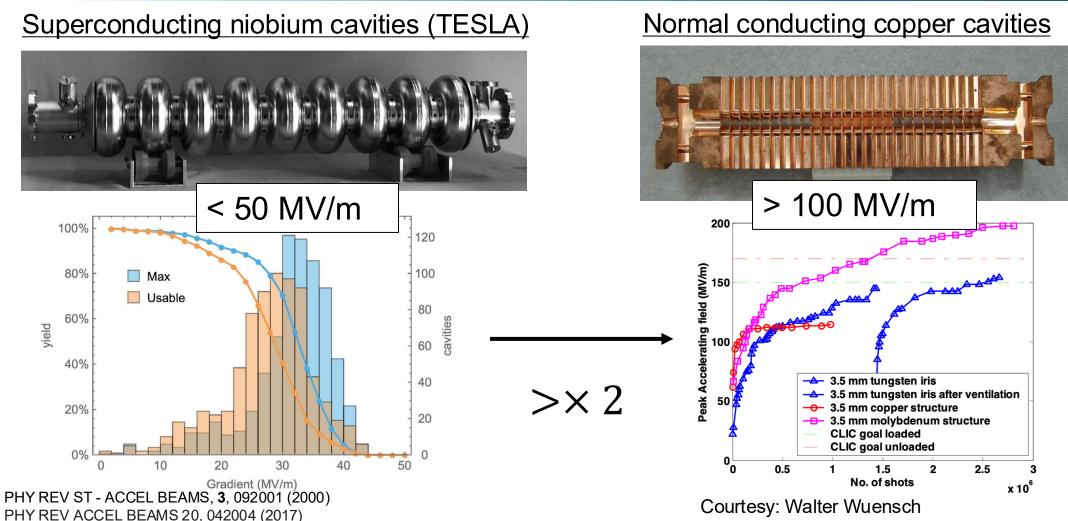


- We may need >150 W to evacuate 1 W from 2 K
- Cryogenics is an option if the resistance is improved better than this factor
  - Or some applications (eg superconductors) are not feasible at warm





# Case study: superconducting vs normal conducting cavities







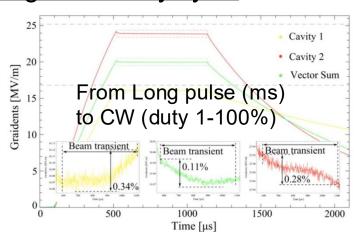
# SC cs NC: aperture and pulse length

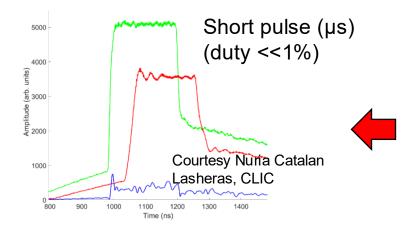
# **Aperture**



Normal conducting cavities are efficient at high frequency → small aperture (CLIC X-band: around ∮3 mm)

### Pulse length and duty cycle





SC cavities' quality factor  $\times 10^6$ 

× 10°
than copper cavities

→ power dissipation
× 10<sup>-6</sup>

but in *cryogenics*!



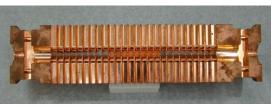


# SC vs NC cavities and cooling



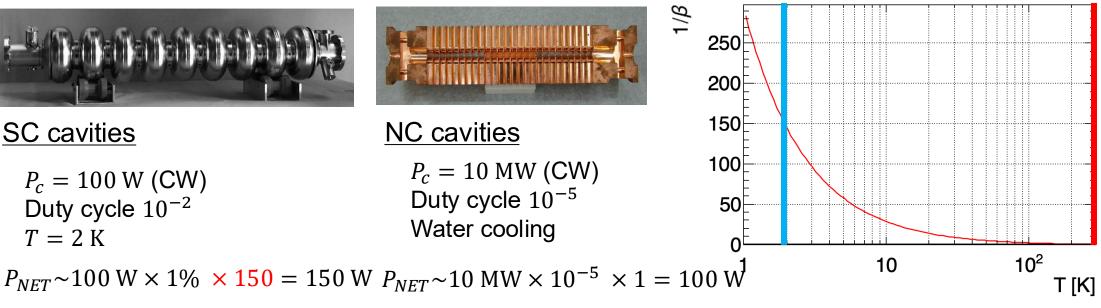
### SC cavities

$$P_c = 100 \text{ W (CW)}$$
  
Duty cycle  $10^{-2}$   
 $T = 2 \text{ K}$ 



### NC cavities

 $P_c = 10 \text{ MW (CW)}$ Duty cycle  $10^{-5}$ Water cooling



- If we need very long pulse or CW beam (LEP, FCCee, LCLSII) → SC cavities
- If we need very short pulse beam (LCLS) → NC cavities
- If we need CW but with low energy (may storage rings) → NC cavities
- Linear colliders are on the boarder: both SC (ILC) and NC (CLIC) may be OK



# Carnot cycle is unrealistic at all

Thermodynamics does not include characteristic time constant

- $\rightarrow$  Carnot cycle gives maximum efficiency in quasi-static process ( $\Delta t \rightarrow \infty$ )
- → Power (work per time) is in trade off with the efficiency

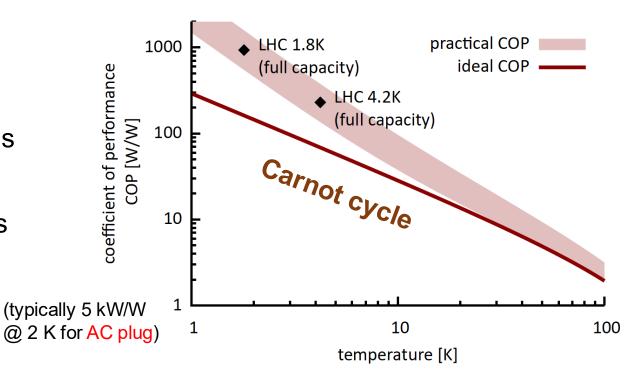
PRL117 190601 (2016)

$$P_c \leq \overline{\Theta}\beta_L \eta (\eta_C - \eta)$$

We lose useful power if efficiency  $\eta$  is too good approaching to Carnot  $\eta_{\mathcal{C}}$ 

In addition, more practical limitations further degrade the efficiency

→ Around 1 kW is necessary to evacuate 1 W from 2 K



→ If the power loss of the device improves by >10³ by cooling, 2 K operation is beneficial



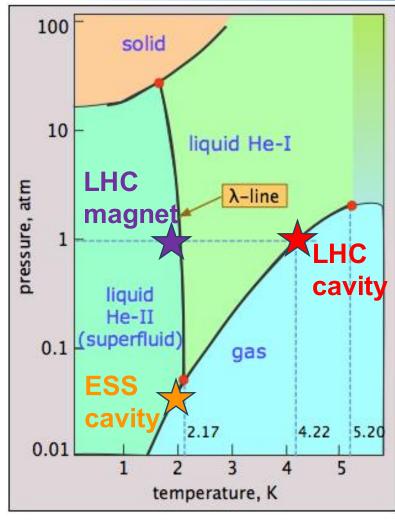
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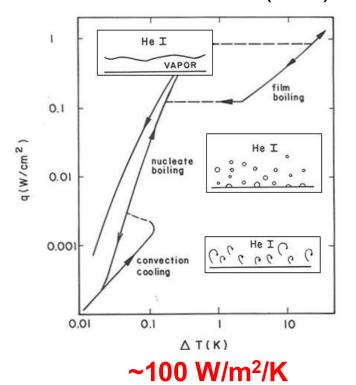




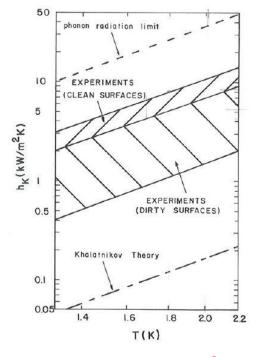
# Cavities and magnets are in liquid helium



### Normal helium (He-I)



### Superfluid helium (He-II)



~ 5000 W/m<sup>2</sup>/K

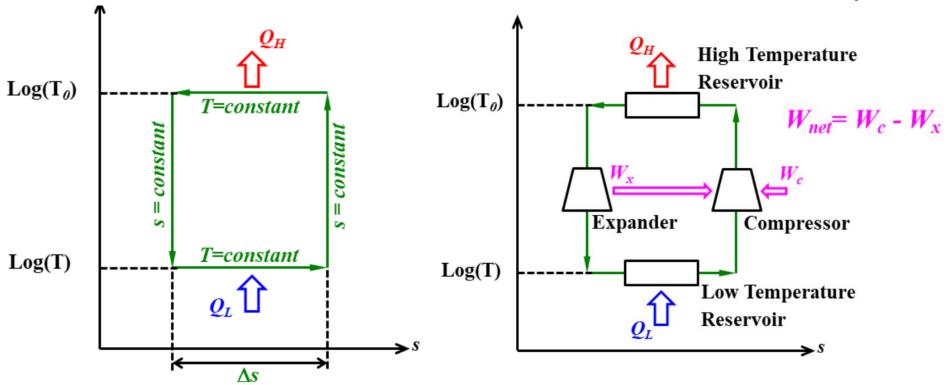
We need to liquify gas helium!

S. W. Van Sciver, "Helium cryogenics", Plenum press, 1986



# Ideal cooling cycle: Carnot cycle

Courtesy: Nusair M. Hasan



- Combination of adiabatic and isothermal processes
- Expander & compressor are the key components
- A Carnot cycle could theoretically generate LHe but requires unrealistically high pressure





# Isentropic expansion and isothermal + isobar compression

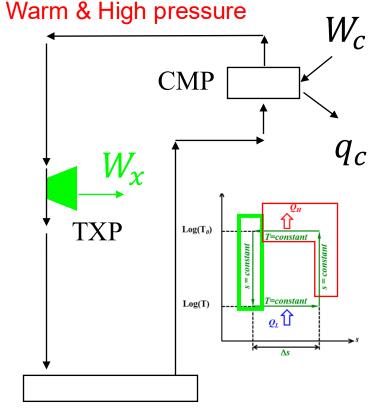
### Turboexpander (expansion turbine)

# Courtesy: M. Pierens Broken 8

Compressor



Reversed-modified Joule (Brayton) cycle



Cold & low pressure

isentropic (=reversible adiabatic) expansion

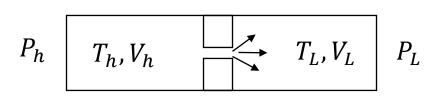
### Remarks

- Isobar process → Joule cycle ≠ Carnot cycle
- Weak points (and expensive!) of a cryogenic facility
  - If your accelerator is down, maybe one of them are out of order
- Not used for liquefaction of helium alone practically
  - Turbine does not like LHe
- → Another process needs to be combined



### Adiabatic expansion and Joule Thomson effect

Joule-Thomson (JT) process is isenthalpic (irreversible adiabatic)



$$H = U_h + P_h V_h = U_L + P_L V_L$$

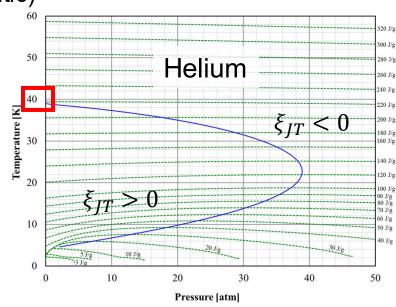
JT coefficient

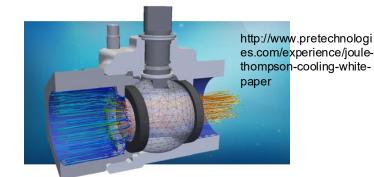
$$\xi_{JT} = \left(\frac{\partial T}{\partial P}\right)_{H}$$

$$\xi_{JT} < 0 \ ( \rightarrow T_h < T_L )$$
: heating  $\xi_{JT} > 0 \ ( \rightarrow T_h > T_L )$ : cooling  $\xi_{JT} = 0$  at reverse temperature

He Liquefier is made of i) compressor ii) turbine iii) JT valve

### Adiabatic $\neq$ isentropy $(ds \geq d'Q/T)$



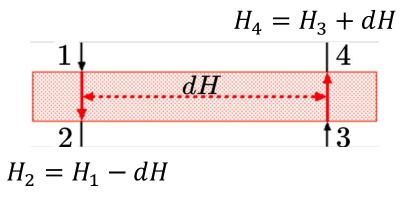






# Heat exchanger for pre-cooling

Heat exchanger profits from cold returning gas to enhance the liquefaction yield

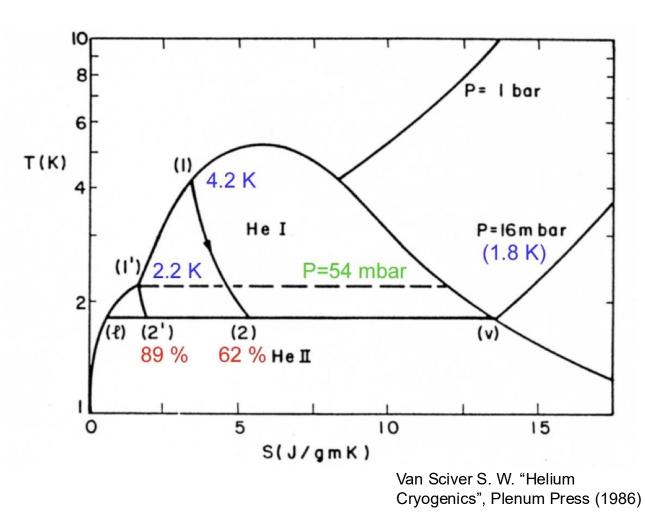


If temperature before the JT valves is

- $4.2K \rightarrow 62\%$
- 2.2K → 89%

Superfluid is generated by pumping down to 30 mbar

Courtesy: Elias Waagaard

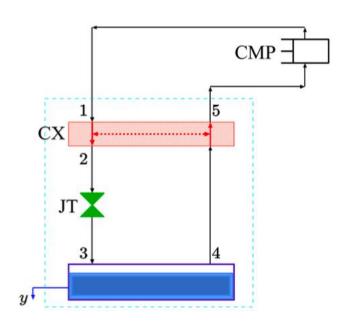




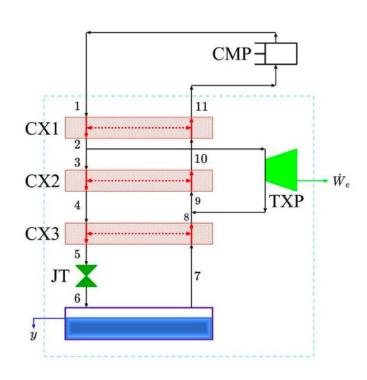


# Engineering: Linde refrigerator and further

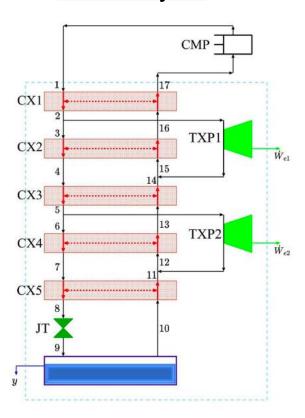
# Linde cycle



Claude cycle



### Collins cycle



Courtesy: Elias Waagaard

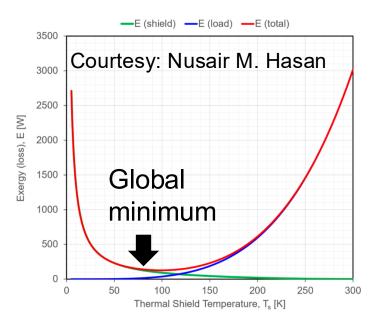


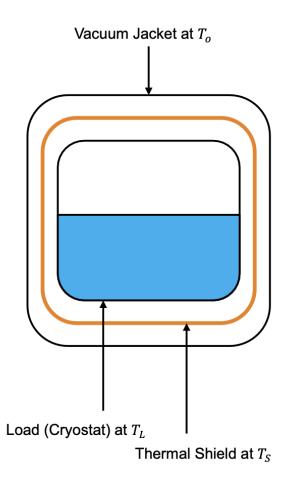


### Insulation vacuum and radiation shield

### Stephan Boltzmann: $q = \epsilon A \sigma T^4$

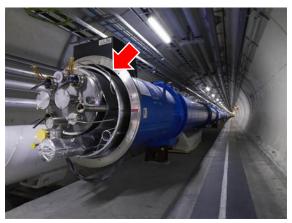
- Intersect the thermal radiation by intermediate temperature
- Easier to evacuate heat at higher temperature





### Multilayer Insulation (MLI)





LHC dipole





### High power + cryogenics → Lesson learned from incidents ⊗

3 months after I got a staff position



High power RF → SC cavity quenched by multipacting → wrong interlock setup → Overpressure in LHe → Rupture disk burst → no mechanical damage

3 months after I started PhD in particle physics



Defect in soldering joint → resistive (magnet quench itself was NOT the trigger) → Electrical arc → He goes into isolation vacuum → helium boiled off → mechanical damage



### Outline

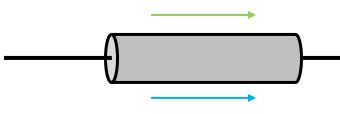
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# Zero DC resistivity

# Ohm's law

### Applied DC electric field E



### DC Current /

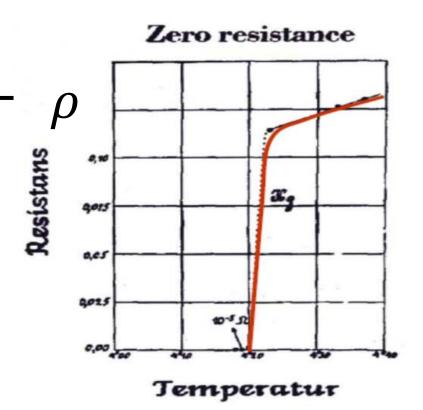
DC resistivity  $\rho$ 

$$o \equiv \frac{E}{J}$$

DC conductivity  $\sigma$ 

$$\sigma = \frac{1}{\rho} \equiv \frac{J}{E}$$

### Cool down the resistor...



Heike Kamerlingh Onnes Nobel prize in 1913

 $\rho = 0$  below transition temperature  $T_c$ 





# Challengers for microscopic theory of superconductors



Albert Einstein (1879-1955)

Lev D. Landau

(1908-1968)





Felix Bloch (1905-1983)



Ralph Kronig (1905-1995)



Léon Brillouin (1889 -1969)



John Bardeen (1908-1991)



Max Born (1882-1970)

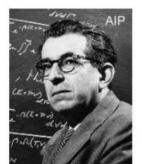
### J. Schmalian, arxiv:1008.0447



Werner Heisenberg (1901-1976)



Herbert Fröhlich (1905-1991)



Fritz London (1900-1954)



Richard Feynman (1918-1988)

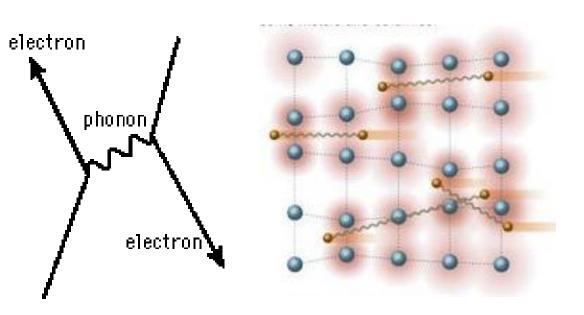
A lot of models…all failed ☺

Development of quantum field theory in many body problems was necessary...



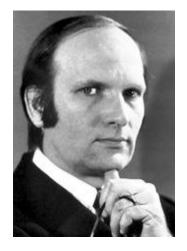


# Theory of superconductor in equilibrium









John Bardeen

Leon Cooper

John Robert Schrieffer

### Cooper pair: Composite boson

Two electrons are bounded by something (phonon)  $\rightarrow$  effective Hamiltonian  $\mathcal{H}_{BCS}$ 

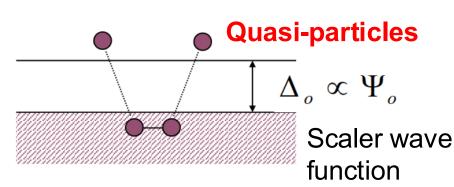
Mean field approximation + Variational method (+other approximations...)

$$\mathcal{H}_{BCS}|\Phi_0\rangle = E|\Phi_0\rangle$$





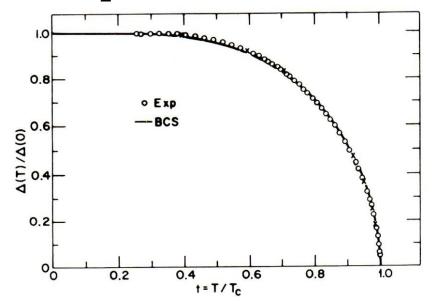
# Solution: superconducting gap



- The Cooper pair needs certain amount of energy to be broken
- The cause of Ohmic loss, stochastic scattering of one single electron by phonon or impurity cannot break the pair →No DC loss

Self-consistent gap equation

$$\Delta = N(E_F)V \int_{\Lambda}^{\hbar\omega_D} \frac{\Delta}{\sqrt{\xi^2 + \Delta^2}} \tanh\left(\frac{1}{2}\frac{\sqrt{\xi^2 + \Delta^2}}{k_B T}\right) d\xi$$



The Equilibrium state of conventional superconductor was understood!

→ In this lecture, we try to obtain qualitative insight of the phenomenon





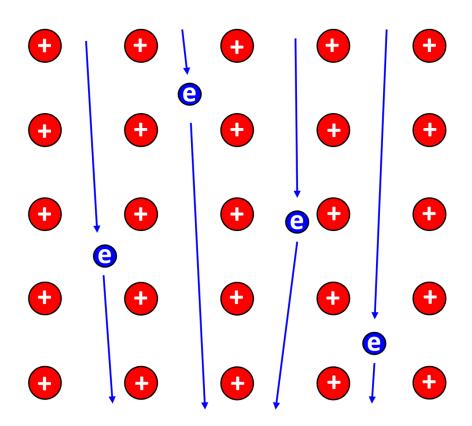
# Electrons in a perfect metal are free (independent)

Perfectly periodic potential by ions does **NOT** scatter electrons (Bloch's theorem)

These electrons are **NOT** our favorite elementary particle of

$$m = 511 \text{ keV}$$

These electrons are *dressed* by complicated electromagnetic property of metals to have an effective mass  $m^*$ given by a band structure  $\rightarrow$  *Quasi-particles* 



In reality, imperfection causes quasi-particle scattering





### Imperfections causes *local* scattering

- 1. Impurity, defects (scattering time  $\tau_{def}$ )
- 2. Lattice vibration, phonon  $(\tau_{ph})$

Total scattering time

$$\frac{1}{\tau} = \frac{1}{\tau_{\text{def}}} + \frac{1}{\tau_{ph}} \quad \bullet$$











Macroscopic phenomenology (Drude model)

An electron accelerated by an electric field

$$m^* \frac{dv}{dt} = -eE$$

is scattered by imperfections per  $\tau$ , and its velocity relaxes to a mean velocity

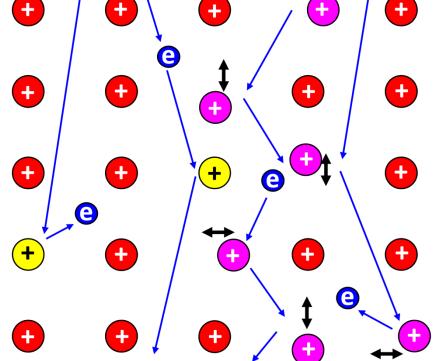
$$\langle v \rangle = -\frac{e}{m^*} E \tau$$

Electric current is a collective flow of *n* electrons

$$j = -en\langle v \rangle = \frac{e^2 n \tau}{m^*} E$$
 Ohm's law

Electrical conductivity  $\sigma$  |  $\boldsymbol{j} = \sigma \boldsymbol{E}$ 

$$j = \sigma E$$







### Paired electrons can avoid Ohmic loss

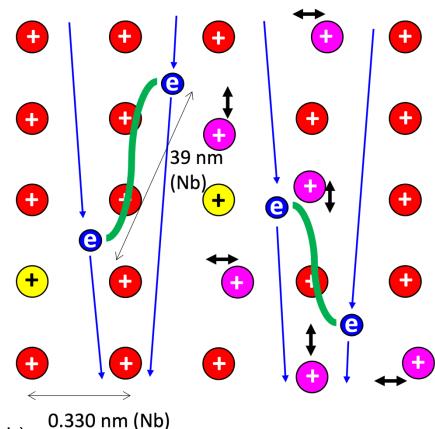
If electrons *in a distance* (>39 nm) are bounded, *local* (< 0.5 nm) scattering can be avoided

**Any** small attractive interaction V between electrons can lead to a **Cooper pair** coupled with an energy  $2\Delta$ , below critical temperature  $T_c$ 

### BCS gap equation (1957)

Classical superconductors' attractive potential is from longitudinal mode of lattice vibration

If energy transfer  $|\epsilon_{k+q} - \epsilon_k|$  is smaller than phonon energy the interaction is attractive (Flöhlich)  $\rightarrow$  Eliashberg's strong coupling superconductor (1960)





# Implication of no scattering?

### No scattering

$$m^* \frac{\partial \langle v \rangle}{\partial t} = -eE$$

generates super-current

$$j_{S} = -en_{S}\langle v \rangle$$

$$\rightarrow \frac{\partial j_{S}}{\partial t} - \frac{n_{S}e^{2}}{m^{*}}E = 0$$

Apply  $\nabla \times$  from the left

$$\frac{\partial}{\partial t} (\nabla \times j_{S}) - \frac{n_{S}e^{2}}{m^{*}} \nabla \times E = 0$$

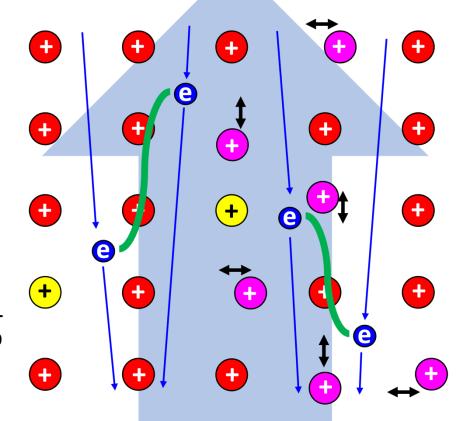
$$\sim \nabla \times B / \mu_{0}$$

$$\frac{\partial}{\partial t} \left[ \nabla^{2}B - \frac{1}{\lambda_{L}^{2}}B \right] = 0$$

$$\lambda_{L}^{2} \equiv \frac{m^{*}}{n_{S}e^{2}\mu_{0}}$$

leads to

# Electric field E



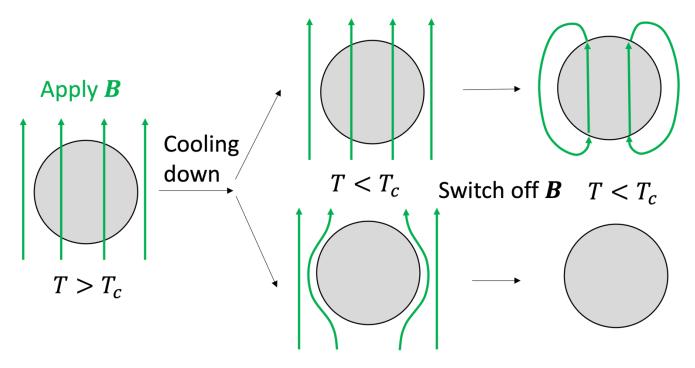
### Constant of time

 $\rightarrow$  Initial condition before phase transition  $T > T_c$  must be preserved



### Superconductor ≠ Perfect electric conductor

### **Meissner effect** differentiates them



### Perfect Electric Conductor

$$\nabla^2 \left( \frac{\partial \mathbf{B}}{\partial t} \right) - \frac{1}{\lambda_L^2} \left( \frac{\partial \mathbf{B}}{\partial t} \right) = 0$$

Preserve initial condition!

# Superconductor

Zero field!

$$\nabla^2 \boldsymbol{B} - \frac{1}{\lambda_L^2} \boldsymbol{B} = \frac{2}{0}$$

London Additional constraint equation (broken Gauge symmetry)

Superconductivity is a thermodynamical state which expels magnetic fields and cannot be explained by classical electrodynamics  $\rightarrow$  beyond the scope of this lecture  $\odot$ 

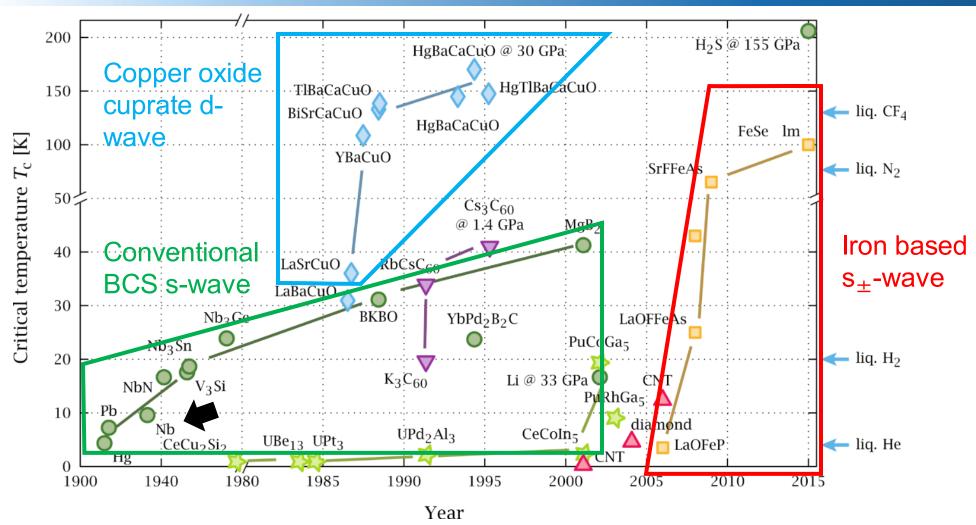


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# BCS and non BCS superconductors







# State of the art of BCS/non-BCS superconductors

- BCS superconductors (1911)
  - Example: Hg, Al, Sn, Pb, Nb, NbN, NbTi, Nb<sub>3</sub>Sn, ..., MgB<sub>2</sub> (?)
  - Phonon mediated Cooper pairs
  - Application: superconducting magnet, cavities, detectors, qubit
- Cooper oxide superconductors (discovered in 1986)
  - Example: YBCO, ...
  - Fundamental theory unknown (Coulomb repulsive force generates correlation?)
  - Application: magnet, current lead, beam screen, dark matter detector, etc.
- Iron based superconductors (discovered in 2008)
  - Example: Ba-122, FeSeTe, ...
  - Fundamental theory unknown (Spin internation generates correlation?)
  - Application: magnet, current lead, dark matter detector, cavities (?), etc
- More fancy superconductors...



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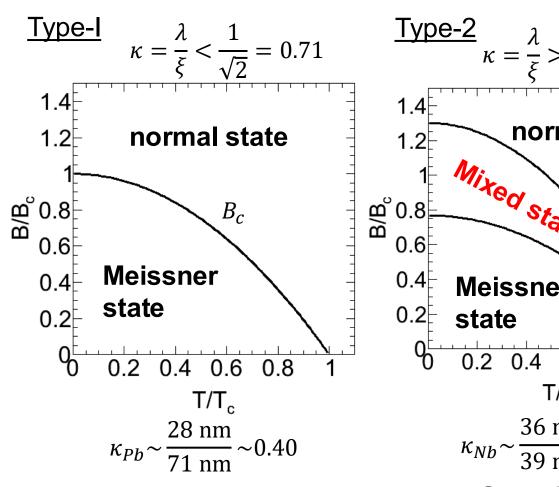
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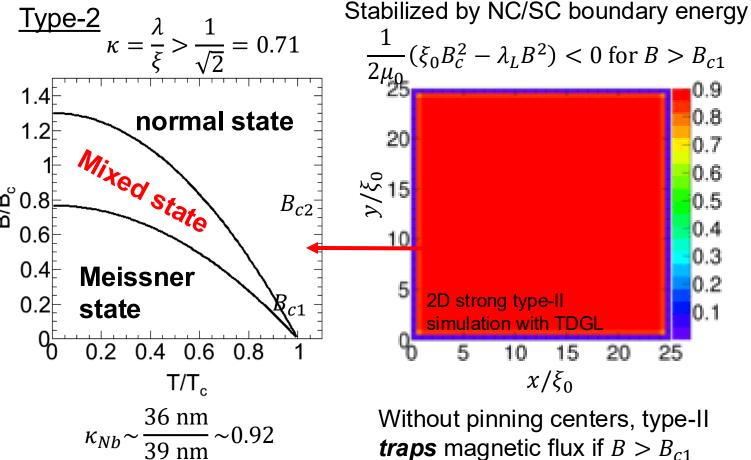
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# Strong but static magnetic field: Type-I vs Type-II





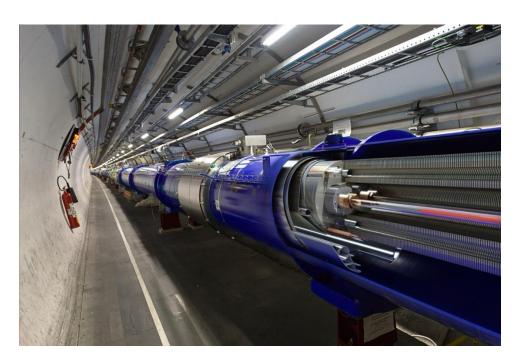
**traps** magnetic flux if  $B > B_{c1}$ 

**Quantized flux** 
$$\Phi_0 = \frac{h}{2e} = 2.07 \times 10^{-15} \text{ Wb}$$



# SC magnet and cavities in different states

DC Magnetic fields in magnets to bend the trajectory (Mixed state)



RF Electric fields in cavities to accelerate the beam (Meissner state)



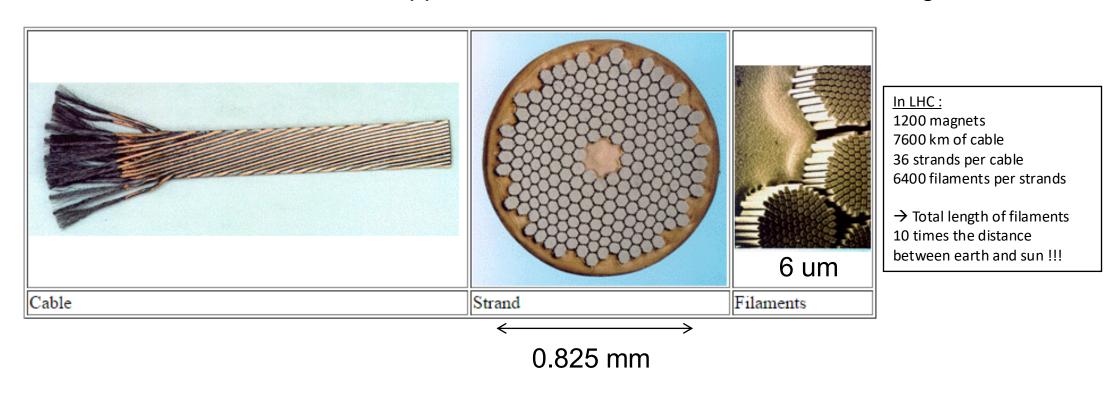
DC vs RF is qualitatively different in superconducting devices



# SC magnet cables: made of filaments

### Rutherford cable

NbTi filaments embedded in copper matrix for heat and current → LHC magnets

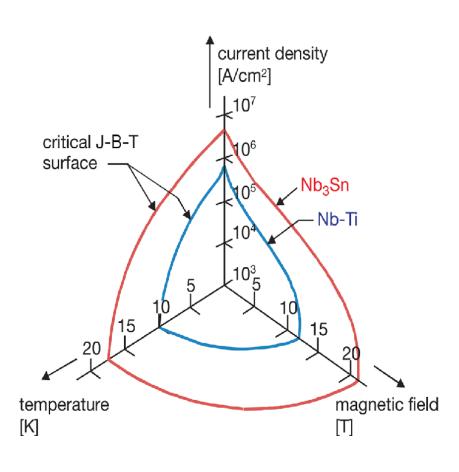


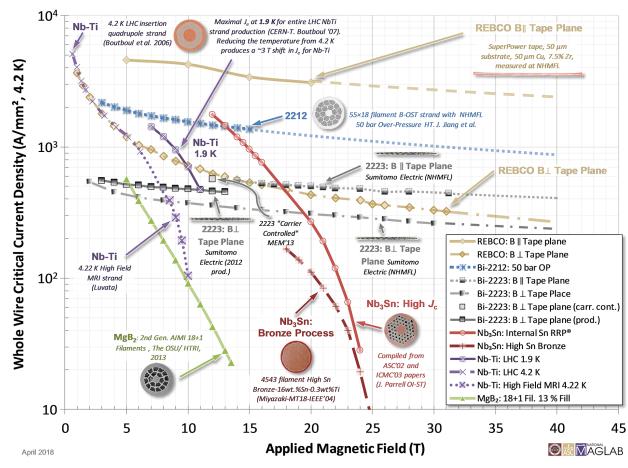
The NbTi materials is exposed to 8.3 T >> Hc1 at 1.9 K → Mixed state





## Critical current density



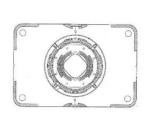


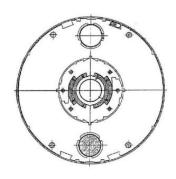
M. E. Ladd et al https://doi.org/10.1007/s10334-023-01085-z

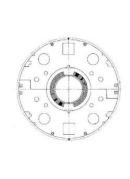


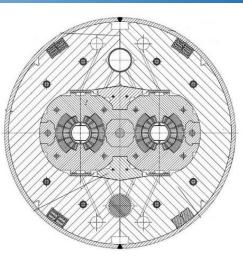


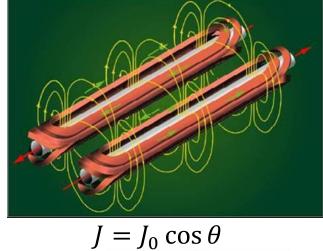
## SC dipole: history of NbTi





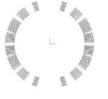


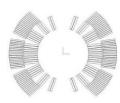




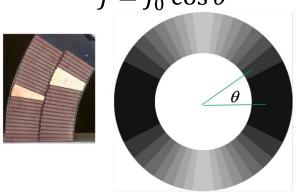








LHC



Tevatron

76 mm bore B = 4.4 T T = 4.2 K first beam 1983 **HERA** 

75 mm bore B = 5.0 T T = 4.5 K first beam 1991 RHIC

80 mm bore B = 3.5 T T = 4.3-4.6 K first beam 2000 56 mm bore B = 8.34 T T = 1.9 K first beam 2008

Courtesy G. d. Rijk and P. Ferracin, CERN

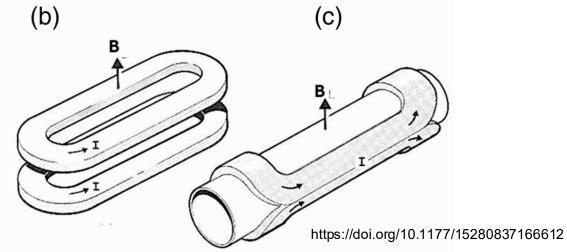
 $\cos \theta$  current distribution gives pure dipole field





## Different dipoles

#### Baseline: $\cos \theta$ (racetrack or saddle)

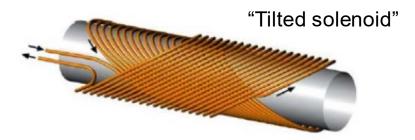




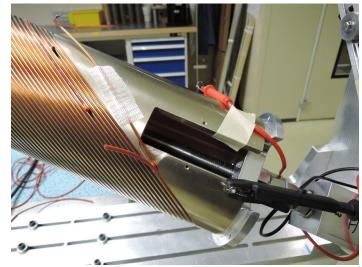


Courtesy G. d. Rij, M. Wilson,

### Canted $\cos \theta$ (CCT)



#### Proposed in 1960s realized recently



https://home.cern/news/news/engineering/new-life-old-technology-canted-cosine-theta-magnets



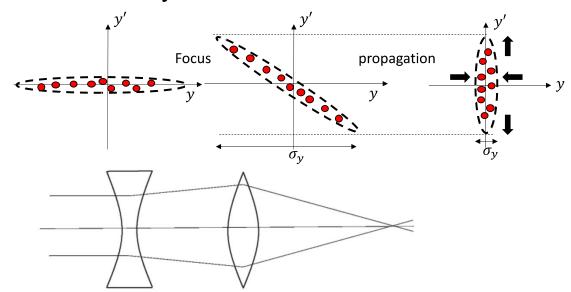


## SC quadrupoles

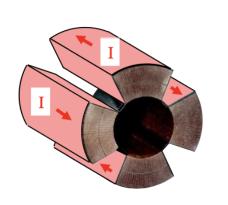
Lorentz force is not only for bending trajectory

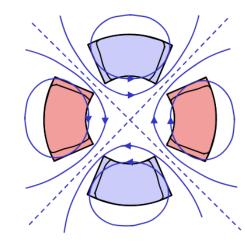
$$\frac{d(mv_{\theta})}{dt}.\overrightarrow{u_{\theta}} - m\frac{v_{\theta}^{2}}{\rho}.\overrightarrow{u_{r}} = eE_{\theta}.\overrightarrow{u_{\theta}} + ev_{\theta}B_{z}.\overrightarrow{u_{r}}$$

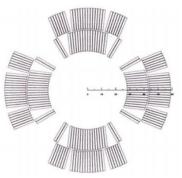
Focusing and defocusing of a group of charged particles (bunch) are crucial for beam transport and luminosity at collision



### Implemented by quadrupole magnets









Courtesy G. d. Rij



### SC vs NC magnets: typical and exception

$$p[\text{GeV}/c] = 0.3 \times \rho[\text{m}] \times B[\text{T}]$$

### SC magnet

- Strong field (>0.2 T) steady state
- Typically proton circular machine
- Ex) 7 TeV & 8.3 T at LHC

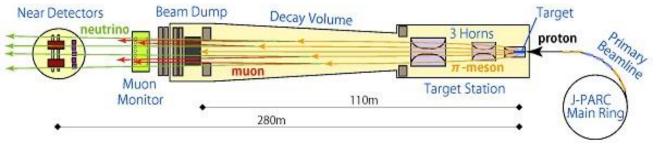
### Short pulsed NC magnet



https://j-parc.jp/Neutrino/en/nu-facility.html

### NC magnet

- Weak field (<0.2 T) steady state</li>
- Typically electron circular machine
- Ex) 90 GeV & 0.13 T at LEP



- Separate  $\pi^+$  and  $\pi^-$  for neutrino and anti-neutrino
  - Too high radiation → SC magnet may not survive
  - Coincidence time window with neutrino detector
- Strong field (2 T) for short pulse 5 µs (every 2-3 seconds)



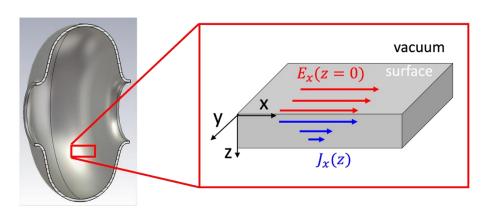
### Outline

- ➤ Introduction: thermodynamics and benefit of cooling (10 min)
- ➤ Basics of cryogenics (15 min)
- ➤ BCS superconductivity (15 min)
- ➤ Non-BCS superconductivity (5 min)
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- ➤ Superconducting magnet (10 min)
- ➤ Superconducting RF cavities (20 min)
- **≻**Conclusion





### RF resistance $R_s$ is non zero



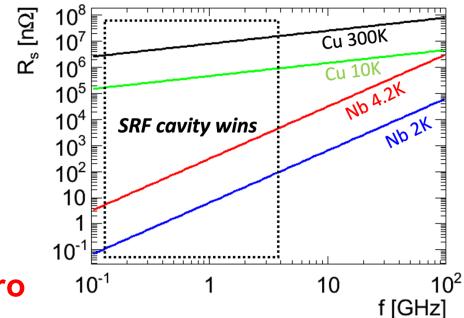
Normal conducting (Cu) 
$$R_s = \sqrt{\frac{\pi f \mu_0}{\sigma}} \propto f^{1/2}$$

Super- conducting (Nb) 
$$R_s = \frac{Af^2}{T} \exp\left(-\frac{\Delta}{k_B T}\right) \propto f^2$$

Superconducting  $R_s$  is small but non zero

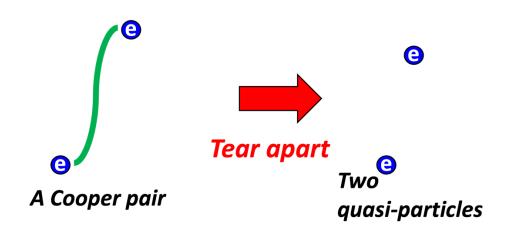
#### Local surface resistance

$$R_{s} \equiv \operatorname{Re}\left(\frac{E_{x}(z=0)}{\int_{0}^{\infty} J_{x}(z)dz}\right)$$





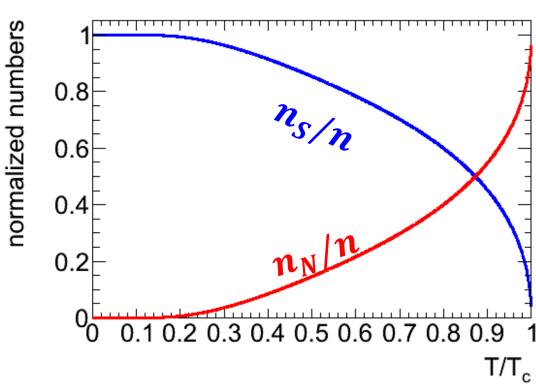




At finite temperature  $0 < T < T_c$ , these two states are *in thermal equilibrium* 

# of quasiparticles:  $n_N \sim \exp\left(-\frac{\Delta}{k_B T}\right)$ 

# of electrons in Cooper pairs:  $n_S \sim n - n_N$ 

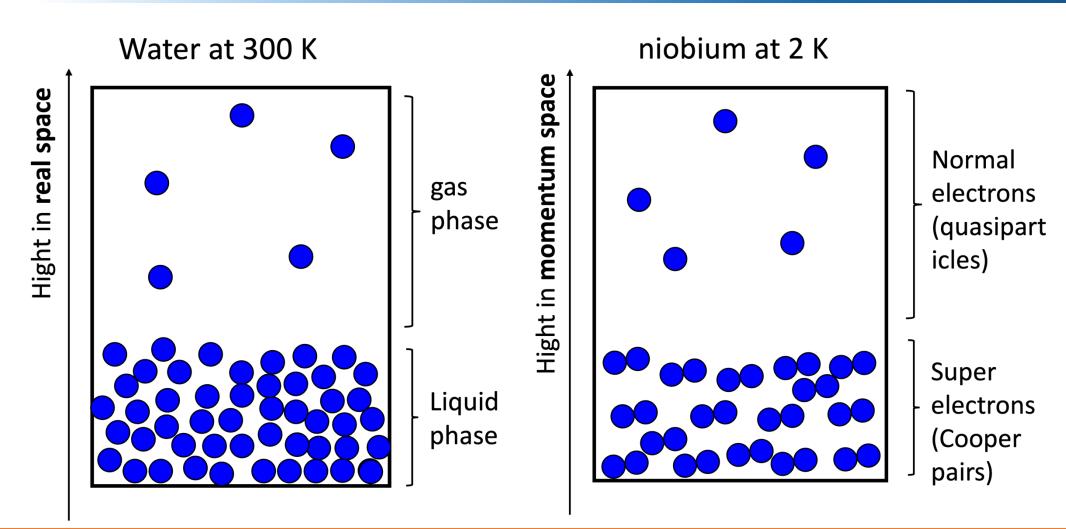


Quasi-particles ( $\sim$ normal conducting electrons) still exist if T > 0





## Coexistence of Cooper pairs and quasi-particles





#### Classical two fluid model

## **Supercurrent**

$$\frac{\partial j_s}{\partial t} - \frac{n_s e^2}{m^*} E = 0$$

$$j_s = j_0 \exp(i\omega t)$$

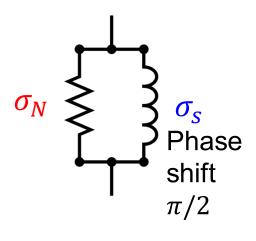
$$j_{s} = -i \left[ \frac{n_{s}e^{2}}{m^{*}\omega} \right] E$$

$$\equiv \sigma_{s}$$

### Normal current

Ohm's law →

$$j_N = \frac{n_N e^2 \tau}{m^*} E$$



# Total current induced by RF

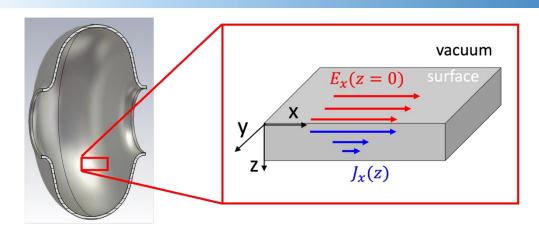
$$j = j_S + j_N$$
  $\rightarrow$   $j = (\sigma_N - i\sigma_S)E$ 

Dissipation by quasi- Inertia of Cooper pairs particles  $\rightarrow$  resistive  $\rightarrow$  inductive





### Surface resistance of superconductor



$$\sigma_{N} = \frac{e^{2}n_{N}\tau}{m^{*}} \propto n_{N} \propto \exp\left(-\frac{\Delta}{k_{B}T}\right)$$

$$\begin{cases} j_{x} = (\sigma_{N} - i\sigma_{S})E_{x} \\ E_{x}(z) = E_{0} \exp(-z/\lambda_{L}) \end{cases} \rightarrow R_{s} \equiv \operatorname{Re}\left(\frac{E_{x}(z=0)}{\int_{0}^{\infty} J_{x}(z)dz}\right) \sim \frac{1}{2} \frac{\sigma_{N}}{\sigma_{S}} \sqrt{\frac{\omega\mu_{0}}{\sigma_{S}}} = \frac{\mu_{0}^{2}}{2} \lambda_{L}^{3} \sigma_{N} \omega^{2} > 0 \end{cases}$$

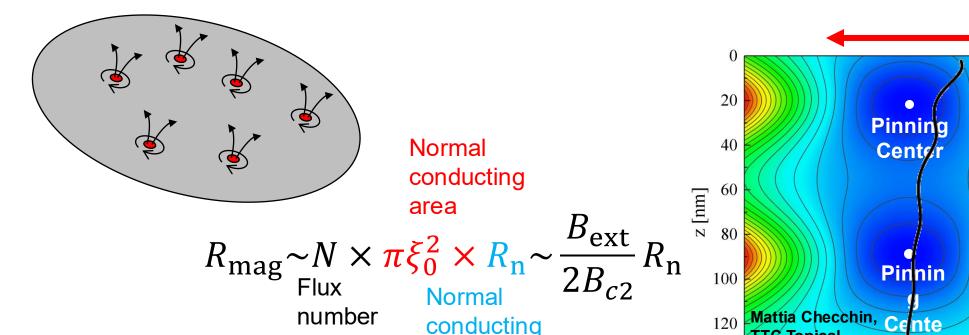
#### Lessons

- One origin of the finite  $R_s$  of superconductors is quasi-particles
- Quasi-particles are thermally activated from Cooper pairs at  $0 < T < T_c$
- $R_s$  exponentially decreases by lower T because quasi-particles are frozen out
- Higher RF frequency increases  $R_s \sim \omega^2$
- Order of magnitude is 10 n $\Omega$





### Static trapped magnetic field in SC cavities



Earth field  $B_{ext}$ =50uT  $B_{c2}\sim400$  mT (Nb)  $R_{n}\sim1.3$  m $\Omega$  at 1.3 GHz (Nb)

density

 $R_{\text{mag}} \sim 80 \text{n}\Omega > R_{BCS}(2K) \sim 10 \text{n}\Omega$ 

An excellent magnetic shield is mandatory for SC cavities

TTC Topical

Workshop, CERN

-20

x [nm]

 $ightharpoonup B_{RF}(t)$ 

20

40

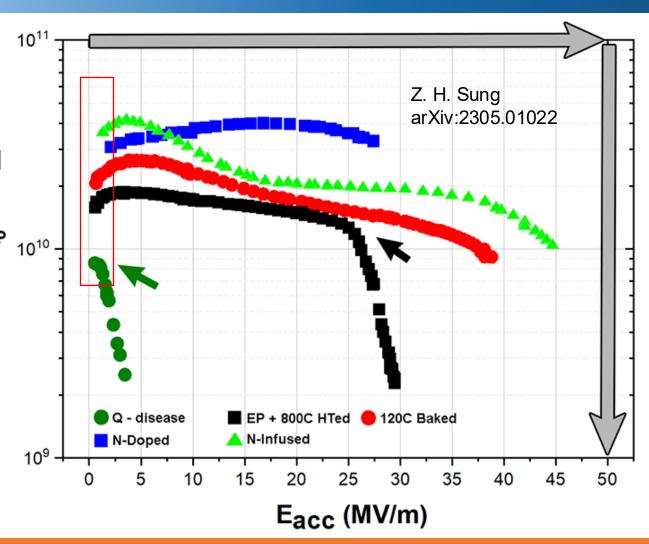




### Quality factor vs accelerating gradient

$$Q_0 \equiv \frac{\omega U}{P_c} = \frac{1}{R_s} \left[ \omega \mu \frac{\int_V H^2 dV}{\int H^2 dS} \right]$$
 geometrical

- The established theory in only valid for low external RF field
- The simplest nonequilibrium problem is solved in the linear response theory
- Very tiny difference in surface impacts the results (<10 n $\Omega$  physics!)
- We do not have complete theory that explains the nonlinear phenomena in Q vs E
  - → Open research field!

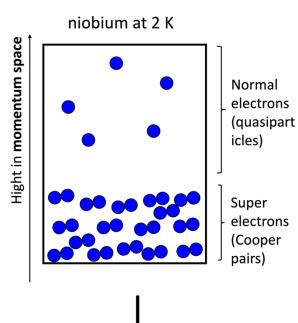


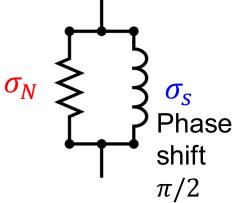




### Comparison: magnet vs cavity

- Magnets are operated in strong DC fields
  - The two-fluid circuit is short → no loss
  - Finite loss during ramping up and down the field
  - Magnetic fields are trapped in Mixed state
    - Strong pinning force is manipulated to avoid flux flow (otherwise, loss)
- Cavities are operated in RF fields
  - The phase shift due to Cooper pairs' inertia causes finite loss in quasiparticles
  - Oscillating trapped flux kills the cavity performance
  - Operation in as clean as the Meissner state
    - RF field itself does not penetrate due to superheating effect and surface barrier









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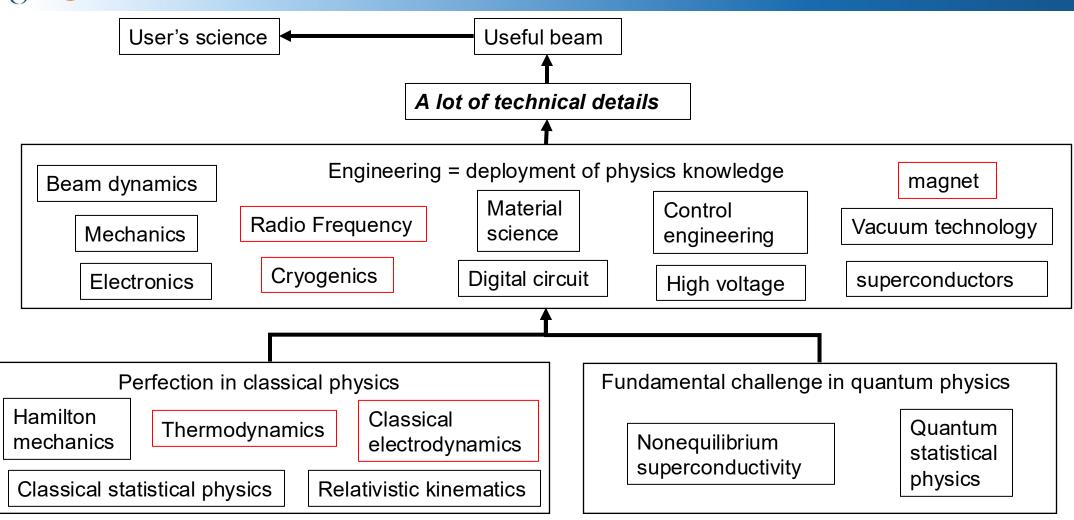
# Conclusion: Superconductivity and Cryogenics for Particle Accelerators

- > Cooling down improves loss but becomes less efficient
  - > Superconducting vs normal conducting under the ideal Carnot cycle
  - > Carnot cycle is NOT realistic at all: trade off in efficiency and power evacuation
- > Superconducting devices are typically operated inside liquid helium
  - > Cryogenics is enabled by physics and engineering of thermodynamics
- > Superconductivity is macroscopic quantum phenomenon
  - ➤ Meissner effect is the most characteristic phenomenon (spontaneously broken Gauge symmetry in the ground stage)
  - > Zero DC loss is caused by Cooper pairs
  - > Classical electrodynamics can give us some insight with limitation
- > Several different superconducting mechanisms are known
  - ➤ Only BCS-type SCs are well understood (phonon-mediated correlation) and Copper oxide & iron-based SC are still under devate
- > SC magnet are operated in the Mixed state of type-II superconductors
- > SC cavities are operated in the Meissner state of type-II superconductors
  - ➤ Non-equibrilium phenomenon is the remaining challenge of BCS-type SCs
  - > Two-fluid model gives us some insight with limitations





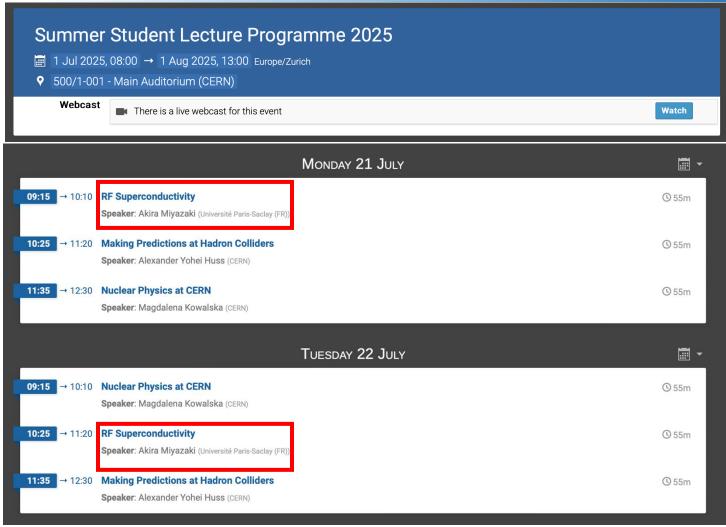
## Conclusion: rich physics in the research field of accelerators







### More about superconducting cavities



https://indico.cern.ch/event/1508891/timetable/

More dedicated to students learning particle physics and engineering aspects of particle physics