

Gauge anomalies on shell and collinear factorization

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Based on work 2509.03368 in collaboration with Adam Falkowski

A river can be crossed with a bridge or a boat.



A storm
calls for



Resistance to wind
fluctuations



Resistance to water waves
fluctuations

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In the same way any theory has to be **resistant to quantum fluctuations** regardless of the formalism we use to navigate it.

In this talk

1. Introducing the question: anomalies and on-shell methods
2. Gravity as a probe for anomalies
3. Collinear factorization
4. Gauge anomalies on shell

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Why???

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Fermion masses and mixing angles from gauge symmetries [Ibanez, Ross]

A Resolution of the Flavor Problem of Two Higgs Doublet Models with an Extra $U(1)_H$ Symmetry for Higgs Flavor
[Ko, Omura, Yu '12]

Anomaly Holography, the Wess-Zumino-Witten Term, and Electroweak Symmetry Breaking
[Gripaios '08]

Why???

- Gauge anomalies must cancel when gaugeing flavour symmetries
- Anomaly cancellation constrains viable Higgs sectors

1. Introducing the question: quantum fields

Lagrangians for spin-1 and -2 particles have **gauge symmetry**.

Unphysical: gauge dependence cancel in observables

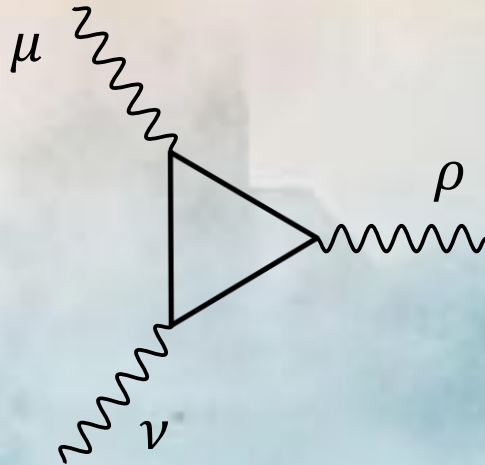
Necessary: prevents the existence of negative norm states, gives photon correct number of physical polarizations

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Anomaly: breaking of a symmetry upon computing loop correlators.
Not problematic in principle.

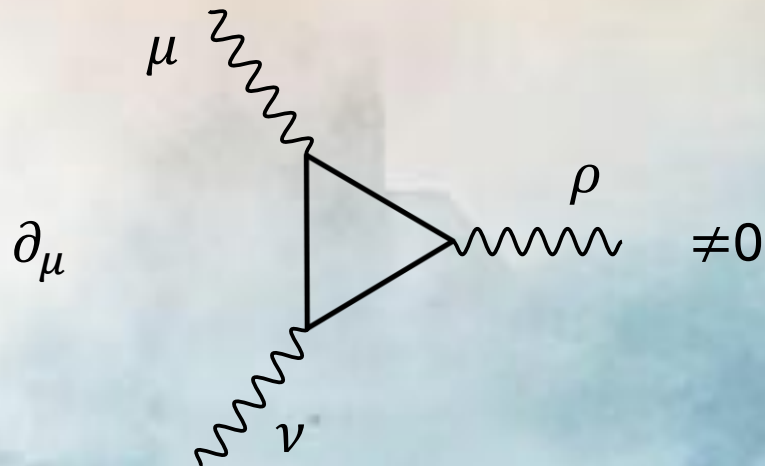
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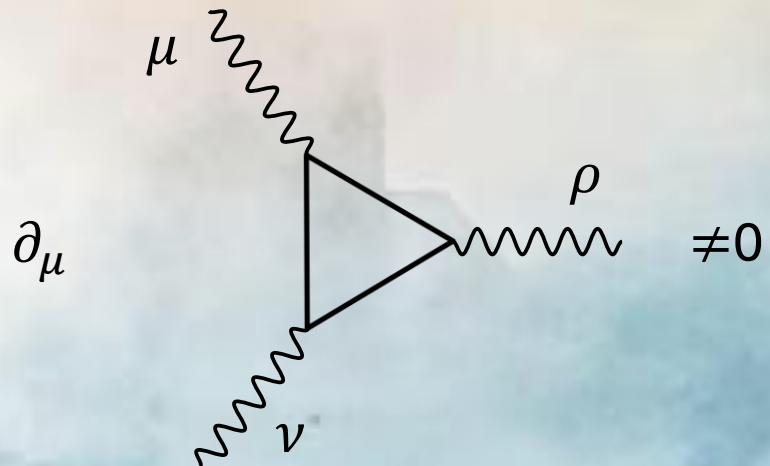
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$\partial_\mu \neq 0$

$\longrightarrow \sum_n Q_n^3 = 0$

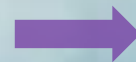
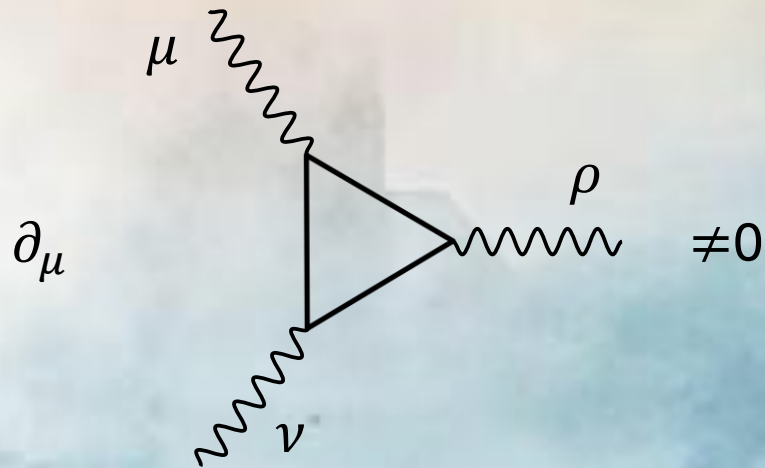
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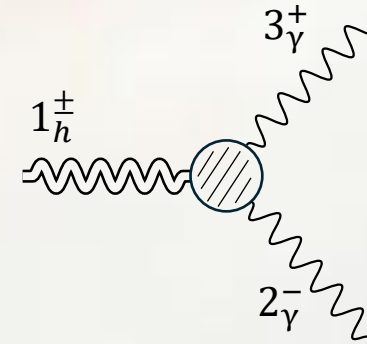
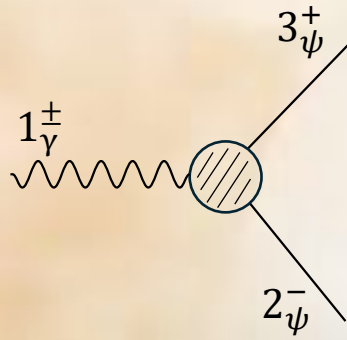
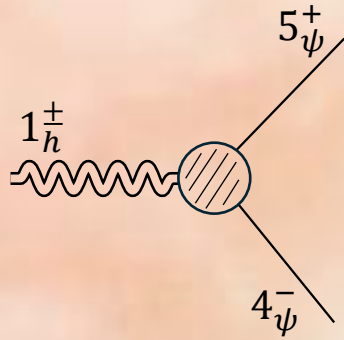


$$\sum_n Q_n^3 = 0$$

Physical constraints on the spectrum of the theory

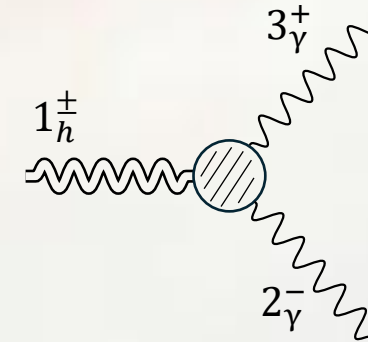
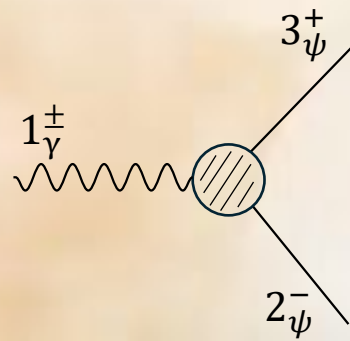
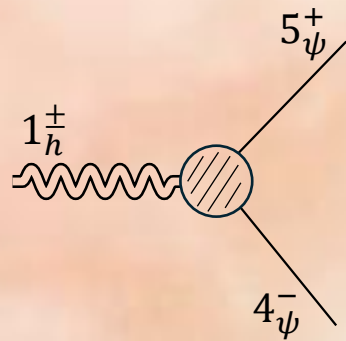
1. Introducing the question: on-shell methods

We can construct our theory using as building blocks not quantum fields, but three-point amplitudes.

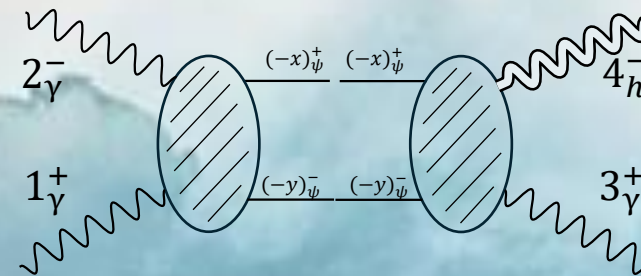
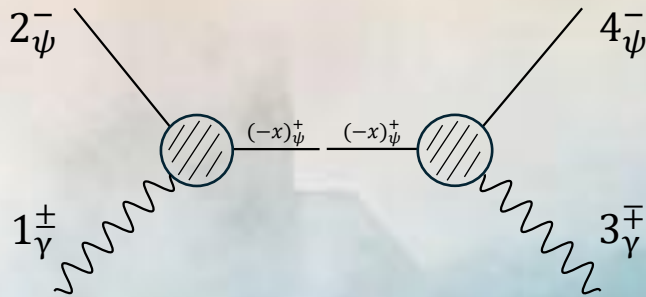


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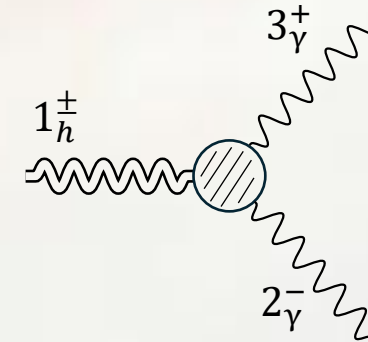
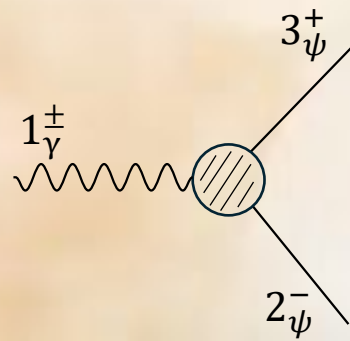
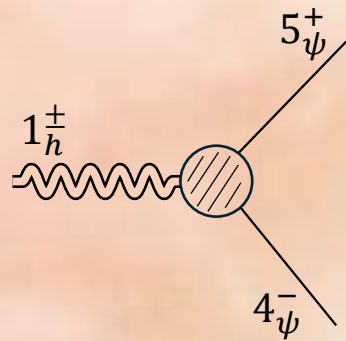


Higher-point and loop-amplitudes can be constructed from a set of three-point ones by unitarity

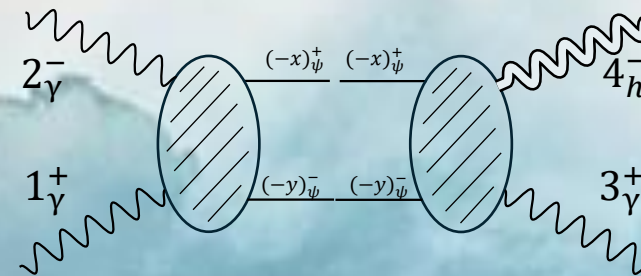
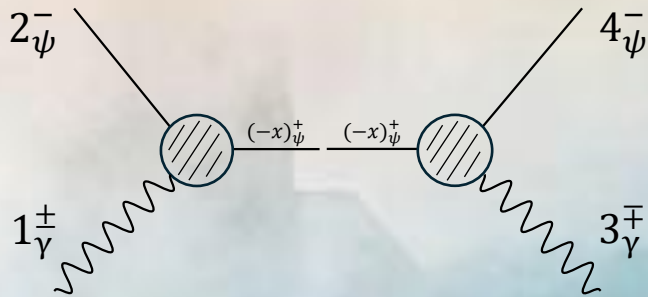


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Gauge invariance is bypassed from the get-go

1. Introducing the question: goal of this work

In standard formulation of QFT gauge invariance gives constraints on the charges of the spectrum.

On-shell formulation of QFT bypasses gauge invariance.

Where are such constraints from in the on-shell formulation?

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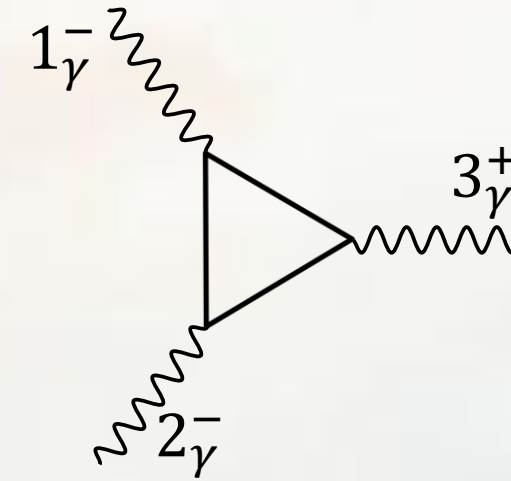
On-shell formulation of QFT bypasses gauge invariance

Where are such constraints from in the on-shell formulation?

Answer: anomalies lead to a breakdown of collinear factorization

2. Gravity as a probe for anomalies

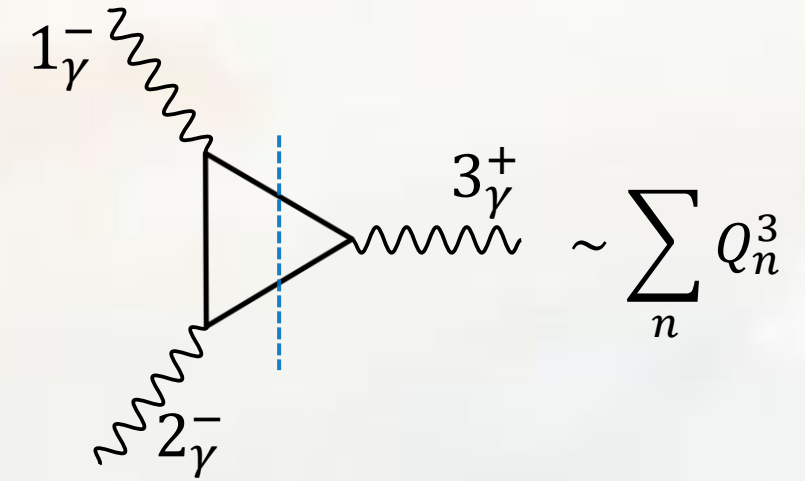
Anomalies appear in triangle one-loop diagrams, but for technical reasons these are hard to study on-shell.



The diagram shows a triangle loop with three external wavy lines. The top-left line is labeled 1_{γ}^{-} , the bottom-left line is labeled 2_{γ}^{-} , and the right line is labeled 3_{γ}^{+} . To the right of the diagram is the expression $\sim \sum_n Q_n^3$.

2. Gravity as a probe for anomalies

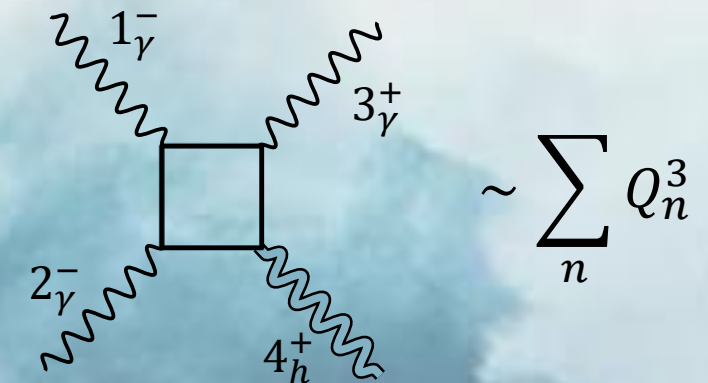
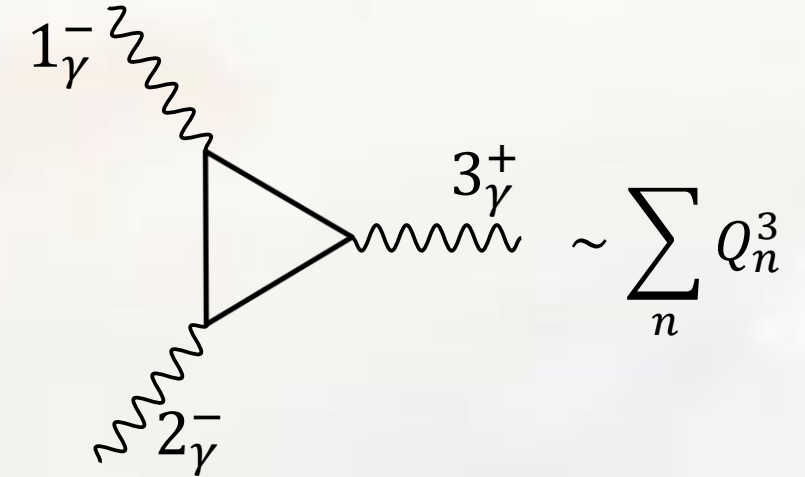
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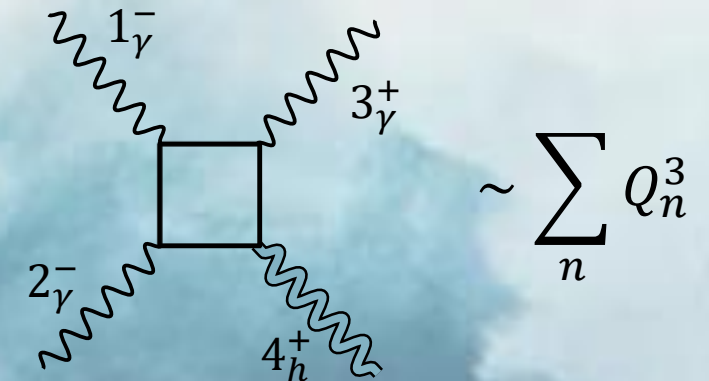
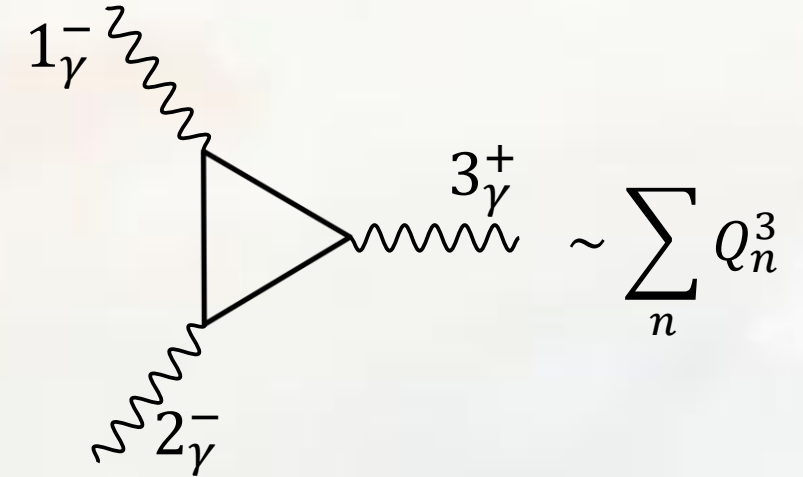
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$$\mathcal{M}^{(1)}[1_{\gamma}^{-} 2_{\gamma}^{-} 3_{\gamma}^{+} 4_h^{+}] = M_{3\gamma h} + R_{3\gamma h},$$

$$M_{3\gamma h} \equiv - \left[\sum_{n=1}^N s_n Q_n^3 \right] \frac{\sqrt{2} e^3}{16\pi^2 M_{\text{Pl}}} \langle 12 \rangle^2 [34]^2 [4|p_1 p_2|4] F_{3\gamma h}(s, t),$$

$$F_{3\gamma h}(s, t) \equiv \frac{1}{s^3} \left\{ 4 \log\left(\frac{t}{u}\right) + \frac{t-u}{s} \left[\log\left(\frac{t}{u}\right)^2 + \pi^2 \right] \right\} \Big|_{u=-s-t}.$$



3. Collinear factorization

Our statement is that anomalies manifest on-shell as the breakdown of collinear factorization, formulated as

[Bern, Chalmers, Dixon, Kosower, Perelstein, Rowowsky]

$s_{ab} \rightarrow 0$

$\sum_x$

a
 b
n legs

a
 b
x
Universal & depends on collinear kinematics only
n-1 legs

Zero in gravity

a
 b
x
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(1)

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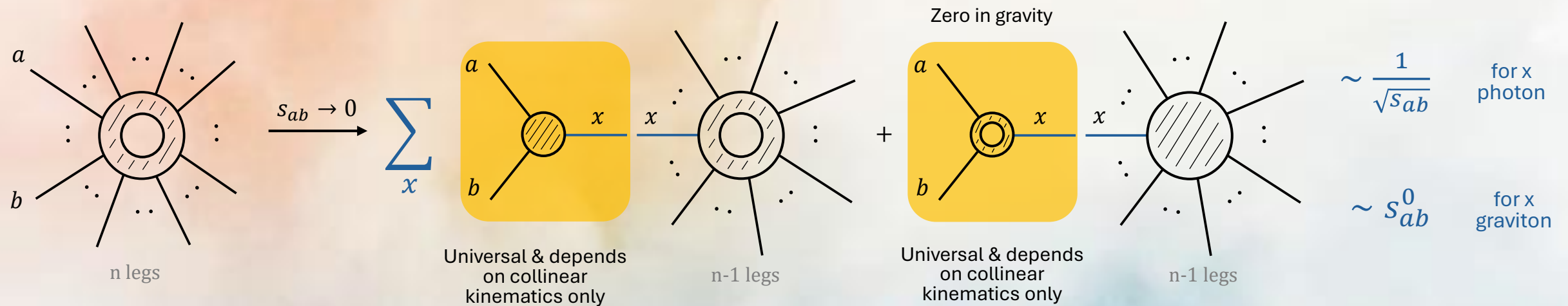
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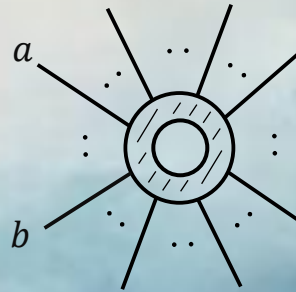
Any deviation from this pattern leads to an inconsistency.

4. Gauge anomalies on-shell

We constructed the following algorithm to detect anomalous theories fully on-shell

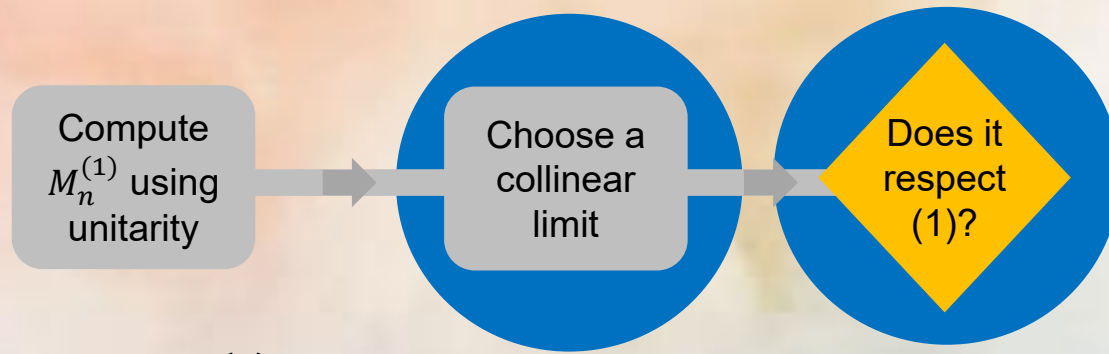
Compute
 $M_n^{(1)}$ using
unitarity

Pick suspicious $M_n^{(1)}$: the ones
proportional to cancellation
conditions (e.g. $\sum_n Q_n^3$)

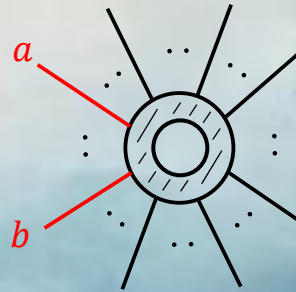


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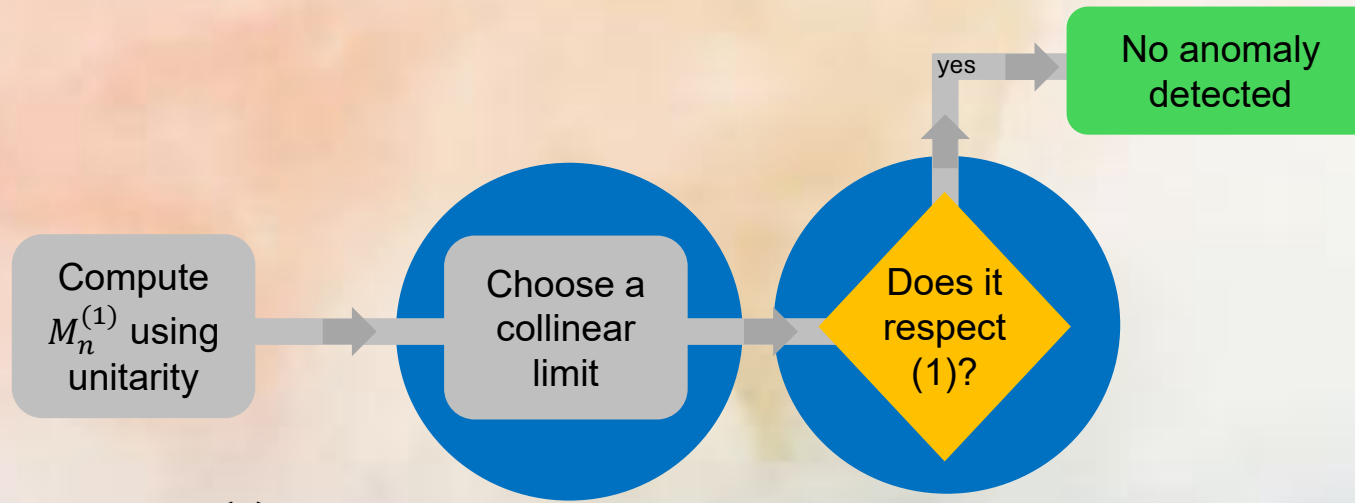
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$$\sim \frac{1}{\sqrt{s_{12}}}$$

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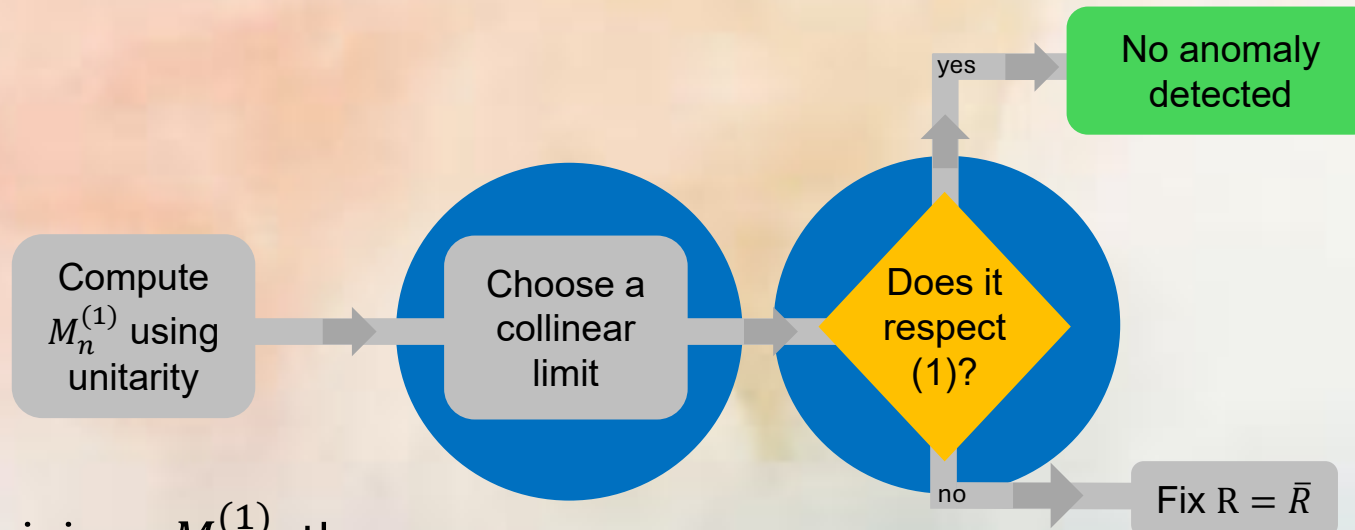


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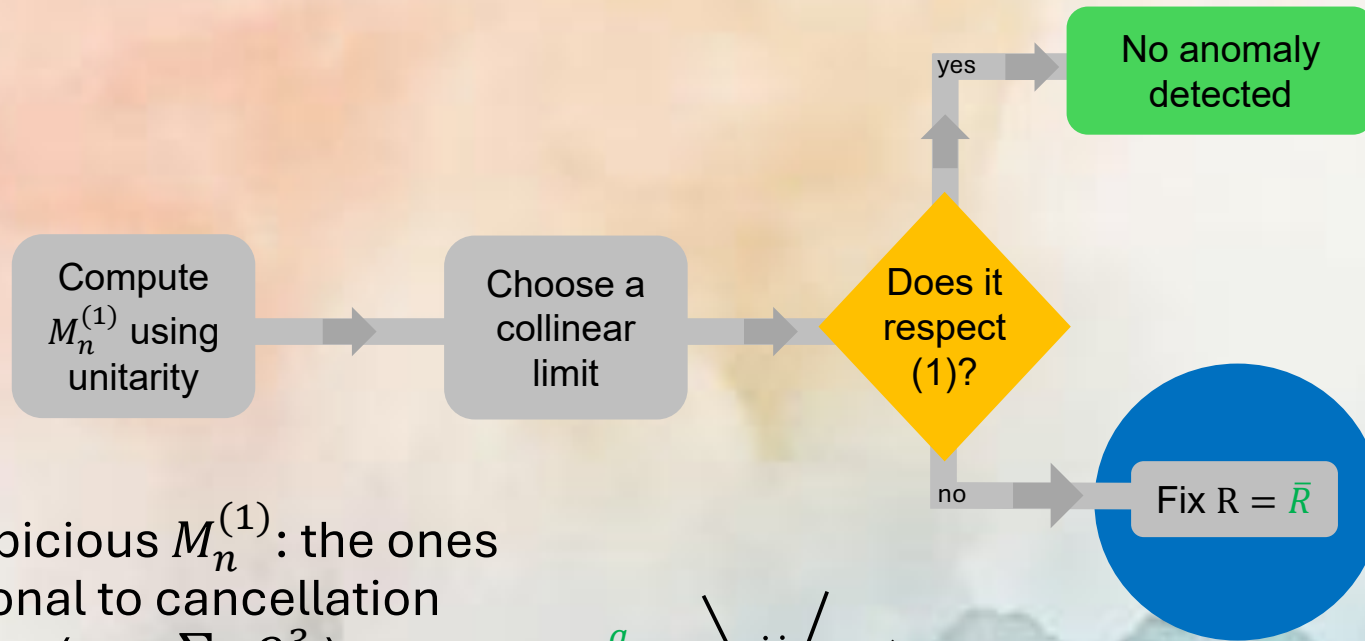


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A Feynman diagram showing a bubble diagram (a circle with a smaller circle inside) with two external lines labeled a and b in red. The diagram is followed by the expression $+R \sim \frac{1}{\sqrt{s_{12}}}$.

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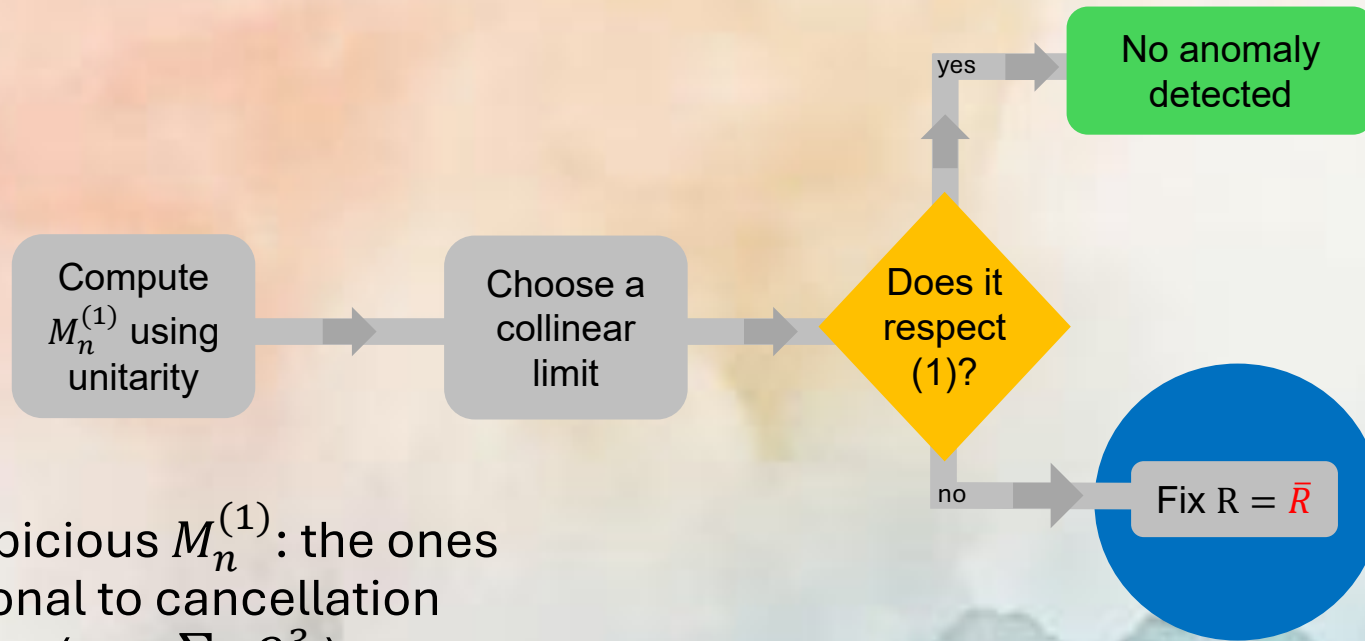


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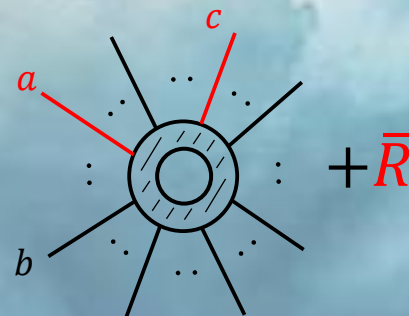
A Feynman diagram showing a bubble diagram (a circle with two internal lines) with external lines labeled a and b . The diagram is followed by the expression $+ \bar{R} \sim O(\sqrt{s_{12}})$.

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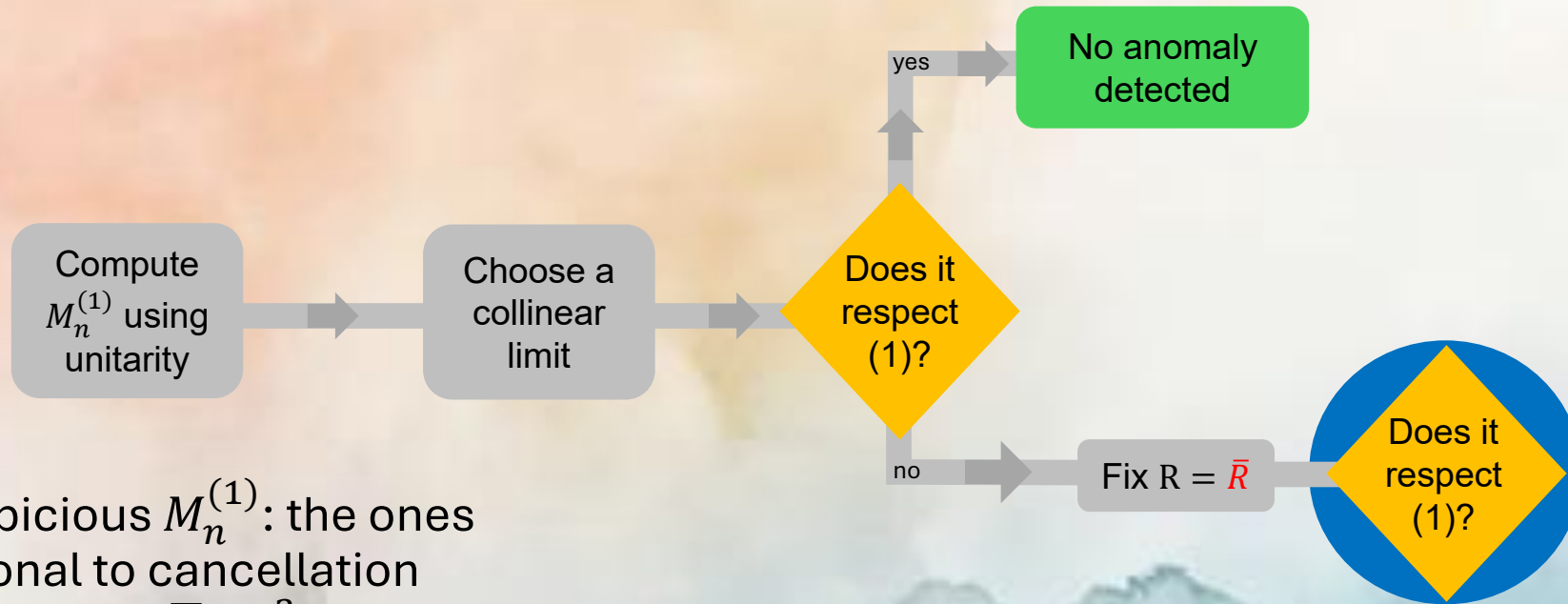


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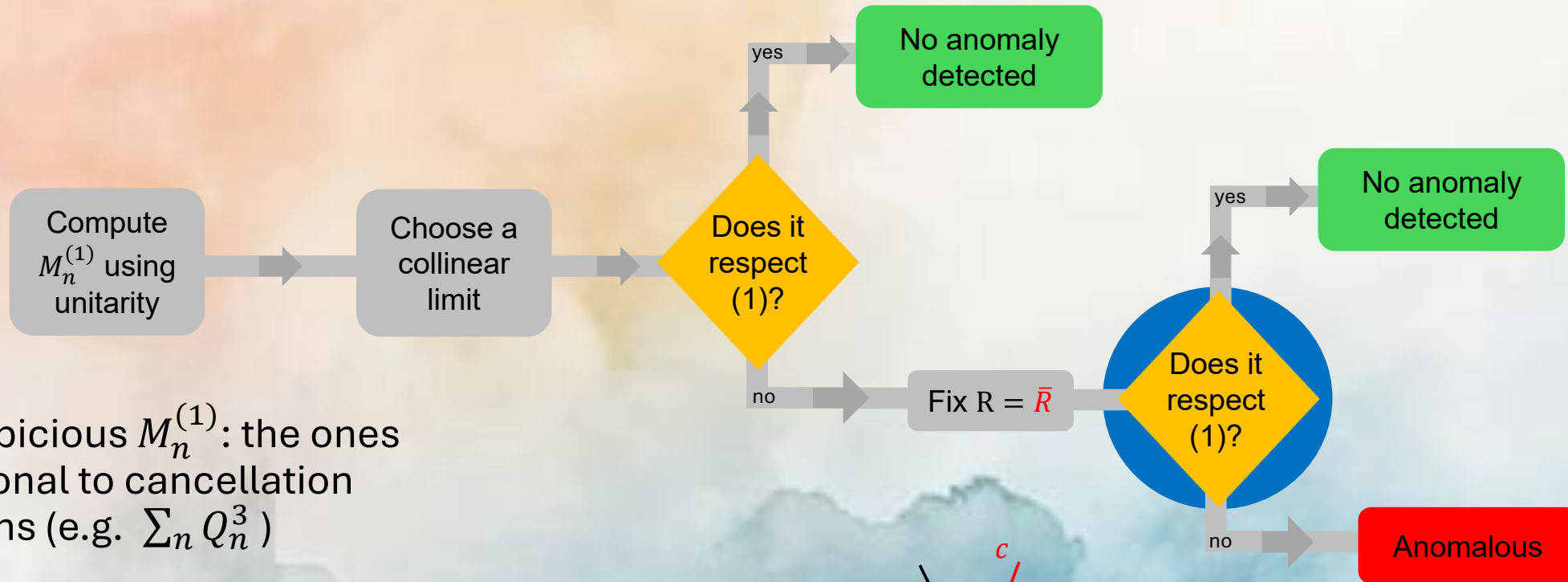
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A Feynman diagram showing a triangle loop with three external lines labeled a , b , and c . The loop is shaded with diagonal lines. To the right of the diagram is the equation:

$$+ \bar{R} \sim \frac{1}{s_{13}}$$

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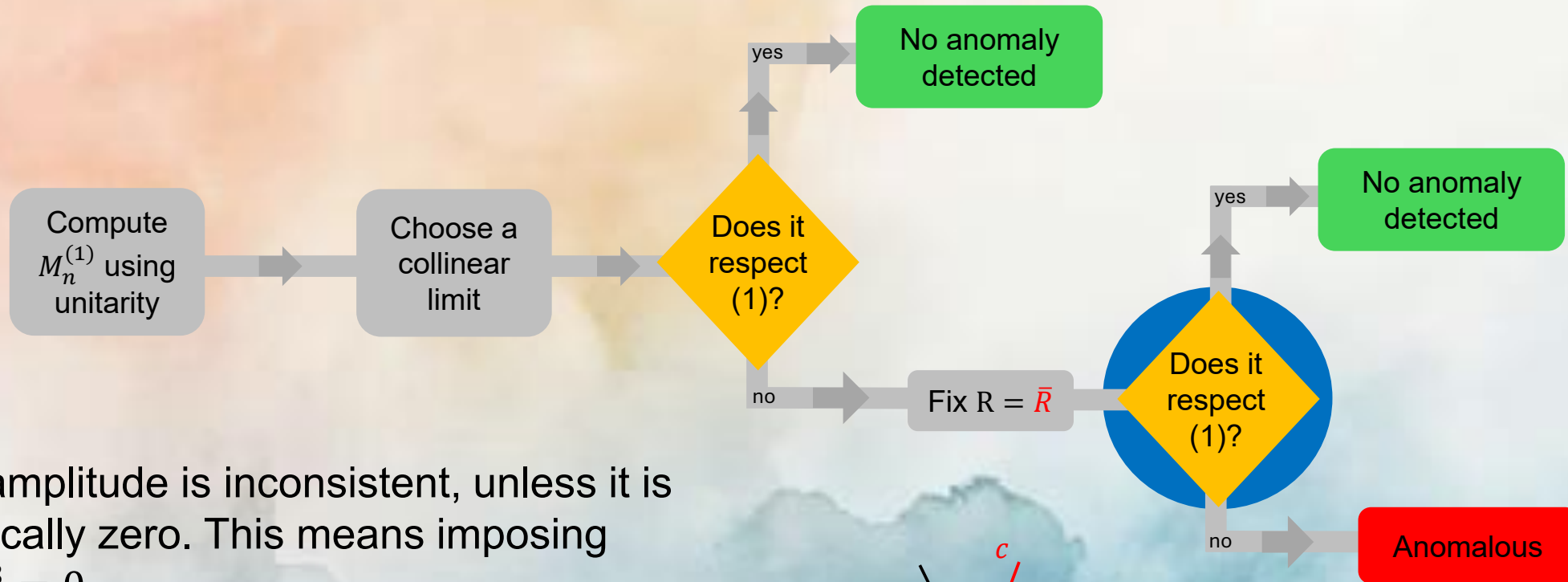


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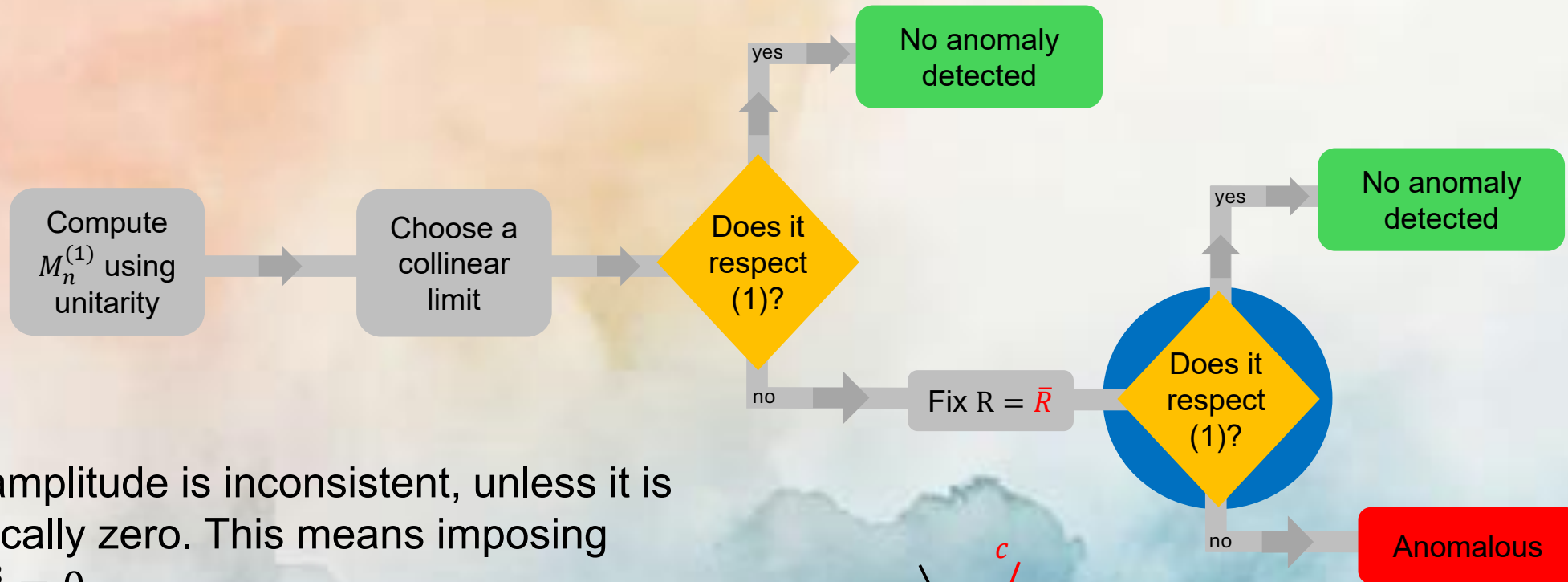
The amplitude is inconsistent, unless it is identically zero. This means imposing $\sum_n Q_n^3 = 0$.

A Feynman diagram showing a bubble (loop) with three external lines labeled a , b , and c . The bubble is shaded with diagonal lines. To the right of the diagram is the equation:

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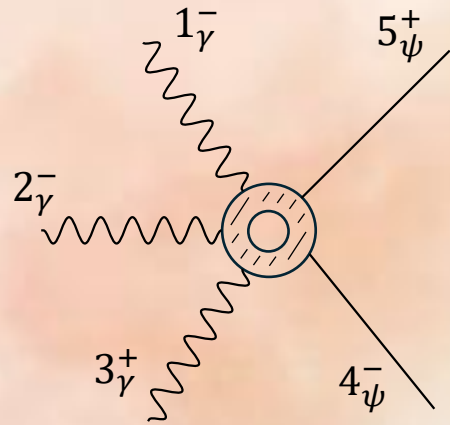


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Anomaly cancellation conditions follow from restoring collinear factorization.

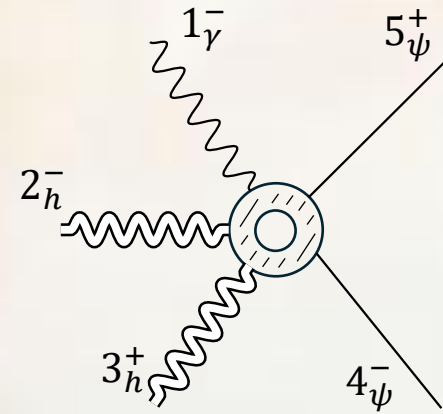
A Feynman diagram showing a central circle with multiple external lines. Three lines are labeled a , b , and c in red. The diagram is followed by the equation $+ \bar{R} \sim \frac{1}{s_{13}}$.

4. Gauge anomalies on-shell: general



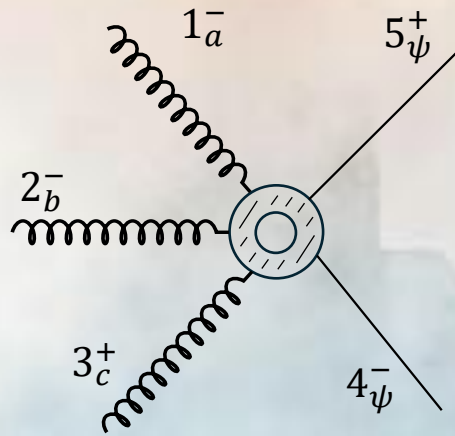
$U(1)^3$

$$\sum_n Q_n^3 = 0$$



$U(1)$ -gravitational

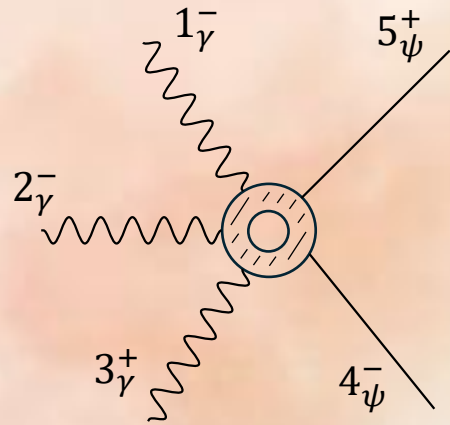
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$SU(3)^3$

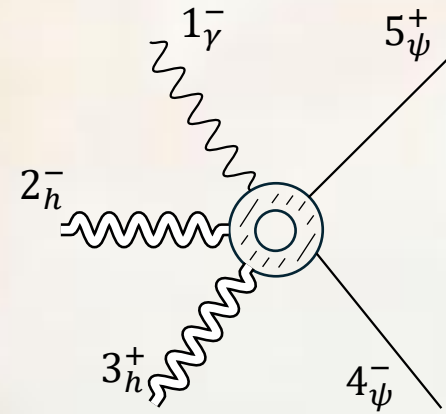
$$\sum_{\mathcal{R}} n_{\mathcal{R}} d_{\mathcal{R}}^{abc} = 0$$

4. Gauge anomalies on-shell: general



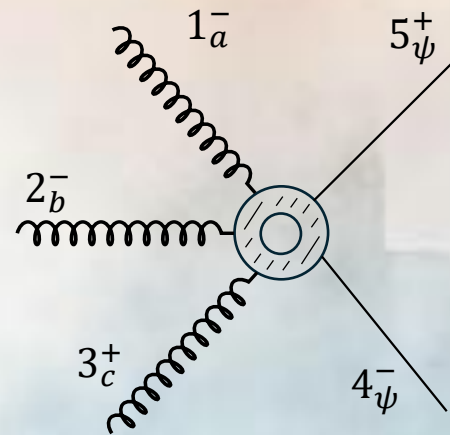
$U(1)^3$

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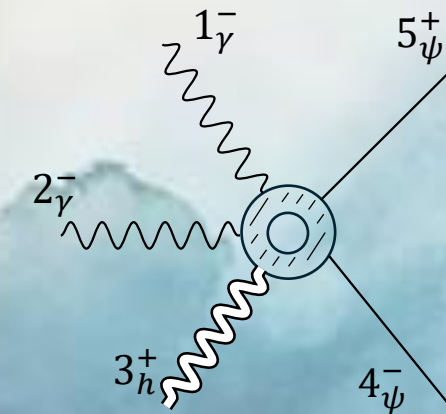
$U(1)$ -gravitational

$$\sum_n Q_n = 0$$



$SU(3)^3$

$$\sum_{\mathcal{R}} n_{\mathcal{R}} d_{\mathcal{R}}^{abc} = 0$$



$$\sum_n Q_n^2 \neq 0$$

5. Outlook

In this work we showed how:

- Gauge anomalies appear on shell as breakdown of collinear factorization
- Restoring the factorization yields gauge anomaly cancellation conditions

For the future:

- Include Green-Schwarz formalism: how new DOF restore factorization
- Study global anomalies via a gravity probe
- Possible on-shell proof of the Adler-Bardeen theorem

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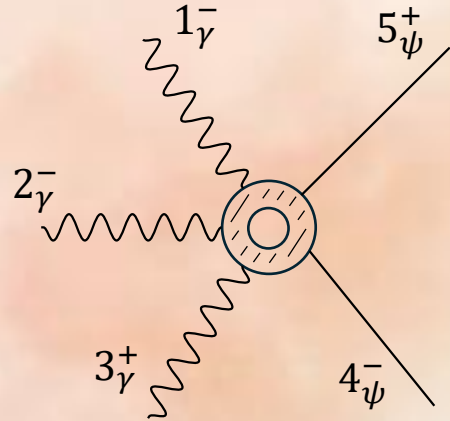
- Include Green-Schwarz formalism: how new DOF restore factorization
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- Possible on-shell proof of the Adler-Bardeen theorem

And I thank you!

The background is a soft watercolor wash. The upper left portion is dominated by warm, blended tones of orange and peach, which fade into a pale yellow and white towards the right. The lower portion of the image features cooler, blended tones of light and medium blue, creating a sense of depth and contrast with the warmer colors above.

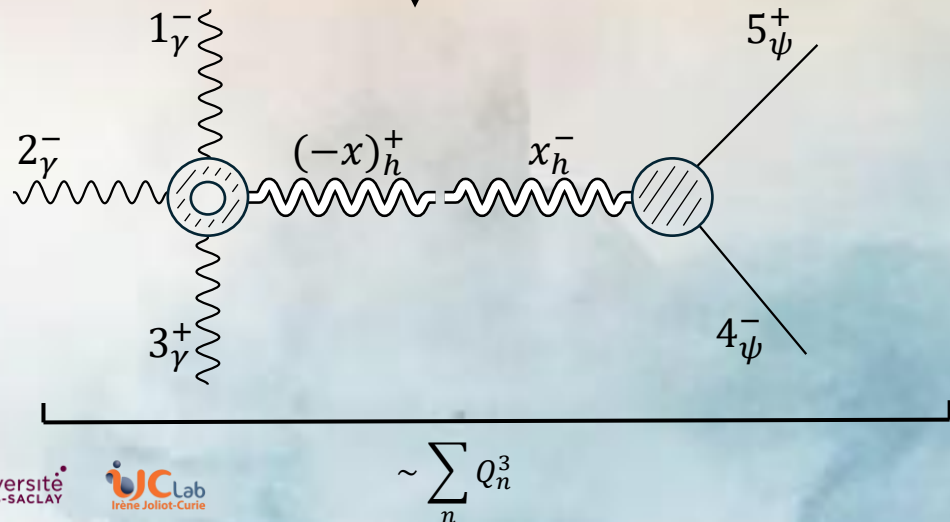
Backup slides

Gauge anomalies on-shell: $U(1)^3$

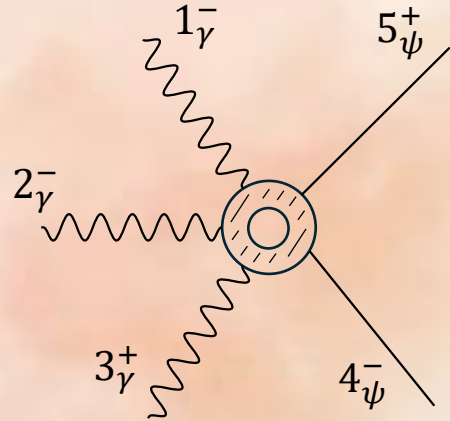


Start from a five point amplitude and take a collinear limit to expose the structure of interest.

$s_{45} \rightarrow 0$

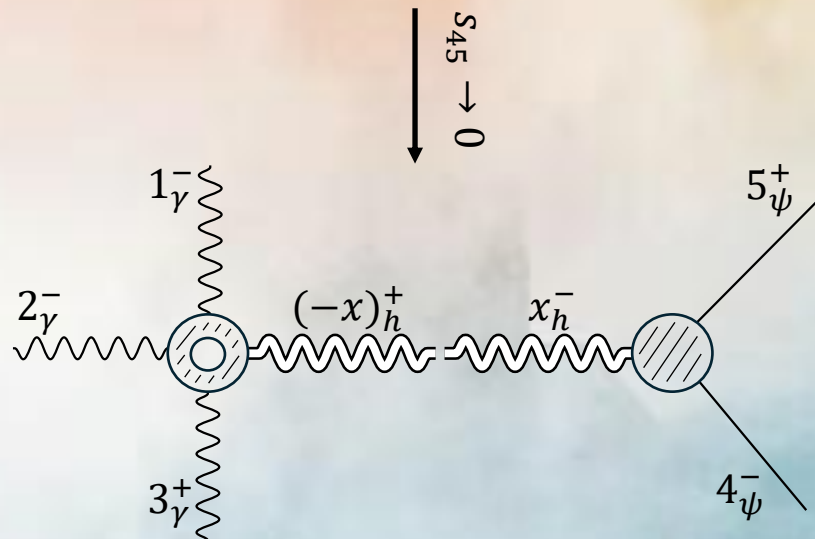


Gauge anomalies on-shell: $U(1)^3$



Take a second collinear limit to test the pole structure. Does it scale as $\frac{1}{\sqrt{s_{12}}}$ or s_{12}^0 ?

If yes, can we **interpret this as a collinear factorization** of the 5-point amplitude?



$s_{12} \rightarrow 0$

$$\sim \frac{1}{\sqrt{s_{12}}}$$

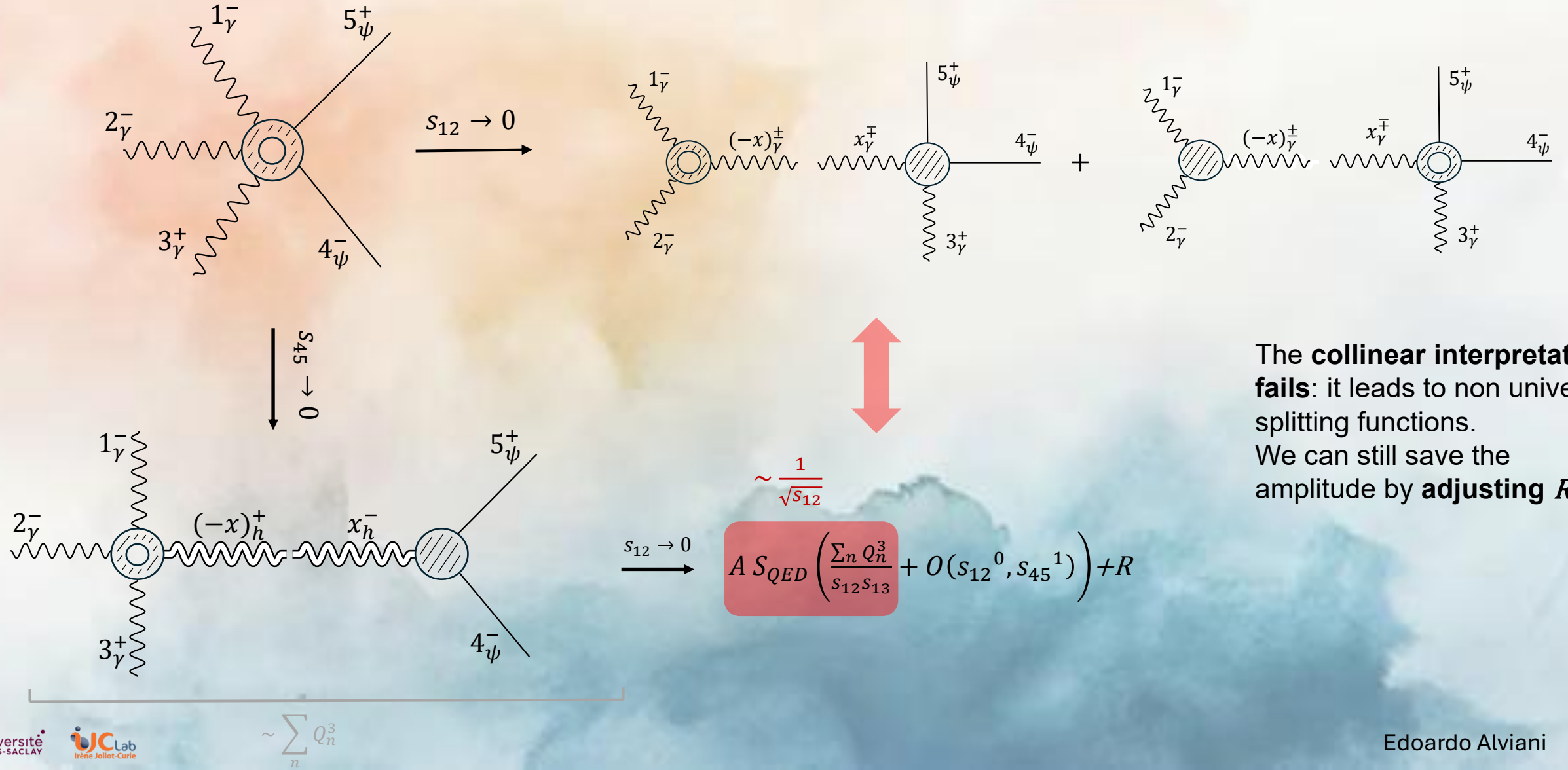
$$A S_{QED} \left(\frac{\sum_n Q_n^3}{s_{12}s_{13}} + O(s_{12}^0, s_{45}^1) \right) + R$$

$$S_{QED} = \frac{\langle 12 \rangle^2 [35]^3 \langle 3|p_2\sigma|4\rangle \langle 45 \rangle^2}{s_{12}s_{45}} \sim \sqrt{s_{12}} + O(s_{12})$$

$$A = \frac{-\sqrt{2}e^3}{16\pi^2 M_{Pl}^2}$$

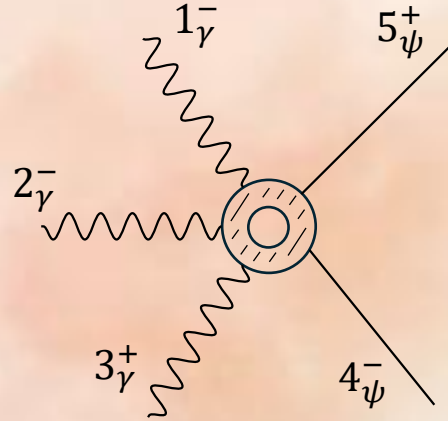
$$\sim \sum_n Q_n^3$$

Gauge anomalies on-shell: $U(1)^3$



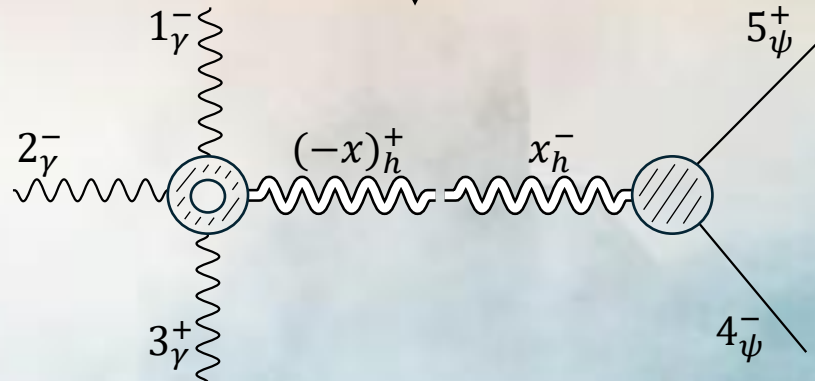
The **collinear interpretation fails**: it leads to non universal splitting functions.
We can still save the amplitude by **adjusting R** .

Gauge anomalies on-shell: $U(1)^3$



R **cancels** the s_{12} singularity

$s_{45} \rightarrow 0$



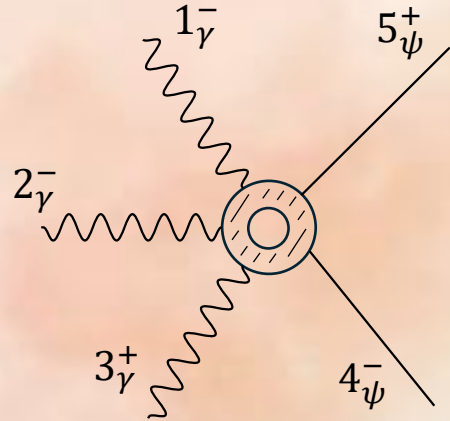
$s_{12} \rightarrow 0$

$$R = A \sum_n Q_n^3 S_{QED} \frac{1}{s_{12} s_{13}}$$

$$A S_{QED} \left(\frac{\sum_n Q_n^3}{s_{12} s_{13}} + O(s_{12}^0, s_{45}^1) \right) + R \sim O(\sqrt{s_{12}})$$

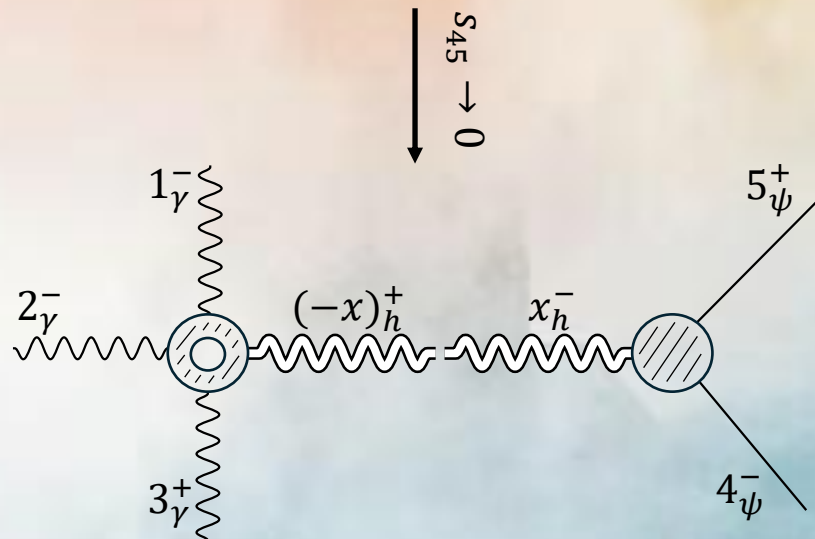
$$\sim \sum_n Q_n^3$$

Gauge anomalies on-shell: $U(1)^3$



R cancels the s_{12} singularity, but it introduces another one in s_{13} .

This one cannot be interpreted as a collinear factorization, and there is no other way to cancel it.



$$R = A \sum_n Q_n^3 S_{QED} \frac{1}{s_{12}s_{13}} \sim \frac{1}{s_{13}}$$

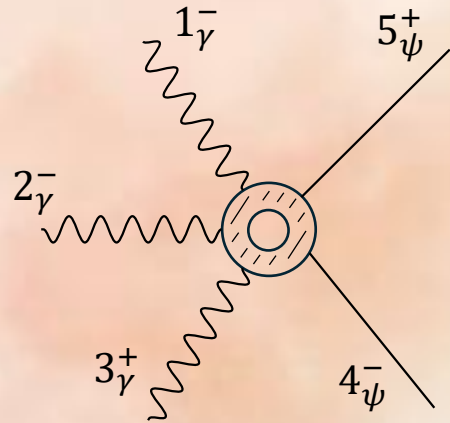
$$A S_{QED} \left(\frac{\sum_n Q_n^3}{s_{12}s_{13}} + O(s_{12}^0, s_{45}^1) \right) + R \sim O(\sqrt{s_{12}})$$

$$S_{QED} = \frac{\langle 12 \rangle^2 [35]^3 \langle 3|p_2\sigma|4 \rangle \langle 45 \rangle^2}{s_{12}s_{45}} \sim \sqrt{s_{12}} + O(s_{12})$$

$$A = \frac{-\sqrt{2}e^3}{16\pi^2 M_{Pl}^2}$$

$$\sim \sum_n Q_n^3$$

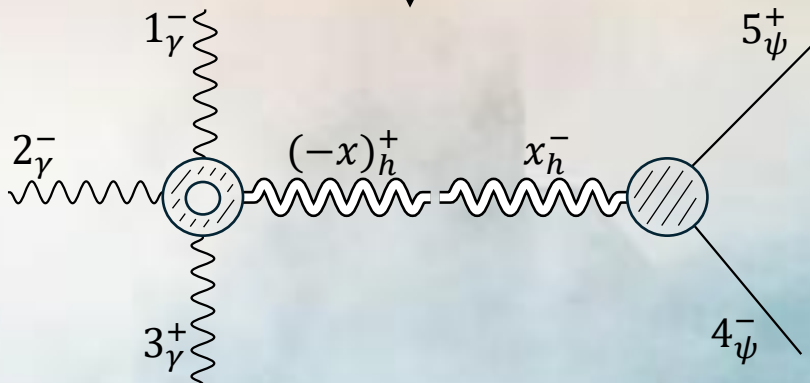
Gauge anomalies on-shell: $U(1)^3$



R cancels the s_{12} singularity, but it **introduces another one** in s_{13} .

This one cannot be interpreted as a collinear factorization, and there is no other way to cancel it.

$s_{45} \rightarrow 0$



The amplitude is inconsistent, unless this piece is identically zero. This means imposing

$$\sum_n Q_n^3 = 0$$

Anomaly cancellation conditions follow from restoring collinear factorization

$$\sim \sum_n Q_n^3$$

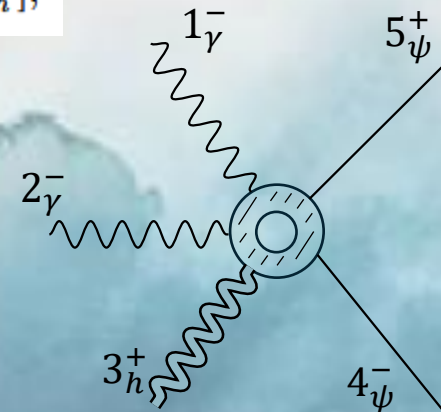
Crosscheck of collinear factorization theorem

$$\mathcal{M}^{(1)}[1_\gamma^- 2_\gamma^- 3_h^+ 4_h^+ 5_h^-] \supset - \frac{\mathcal{M}^{(1)}[1_\gamma^- 2_\gamma^- 3_h^+ K_h^+] \mathcal{M}^{(0)}[(-K)_h^- 4_h^+ 5_h^-]}{s_{45}} = M_{2\gamma 3h} + R'_{2\gamma 3h},$$

$$M_{2\gamma 3h} = \left[\sum_n Q_n^2 \right] \frac{e^2}{16\pi^2 M_{\text{Pl}}^3} \frac{\langle 45 \rangle}{[45]} \frac{[34]^5}{[12]^3 [35]^2} \frac{[13][24]}{s_{13}} F_{2\gamma 2h}(s_{12}, s_{13}),$$

$$R'_{2\gamma 3h} = \frac{R_{2\gamma 2h}}{s_{45}} \frac{\langle K5 \rangle^6}{M_{\text{Pl}} \langle K4 \rangle^2 \langle 45 \rangle^2},$$

$$R'_{2\gamma 3h} \xrightarrow{1||3} \text{Split}_{\gamma^-}^{(1)}[1_\gamma^- 3_h^+] \mathcal{M}^{(0)}[P_\gamma^+ 2_\gamma^- 4_h^+ 5_h^-] + \text{Split}_{\gamma^+}^{(0)}[1_\gamma^- 3_h^+] \mathcal{M}^{(1)}[P_\gamma^- 2_\gamma^- 4_h^+ 5_h^-],$$



$$\Rightarrow \sum_n Q_n^2 \neq 0$$