

Transverse Single-Spin Asymmetries at RHIC

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I. → pre-RHIC puzzle to modern QCD framework

II. → The Relativistic Heavy Ion Collider (RHIC) and its experiments

III. → Single-Spin Asymmetries at RHIC

Transverse Single Spin Asymmetries

What spin-dependence in the $p^\uparrow p \rightarrow hX$ cross section?
(or any other product, photon, jet, onium ...)

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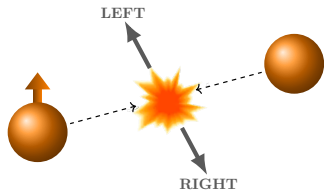
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→ or equivalently **Left-Right asymmetry**: $\epsilon(\phi) = PA_N \sin \phi$



Standard

$$\epsilon(\phi) = \frac{N_L - N_R}{N_L + N_R}$$

$$N_L, N_R = N(\phi), N(\phi + \pi)$$

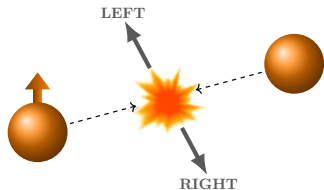
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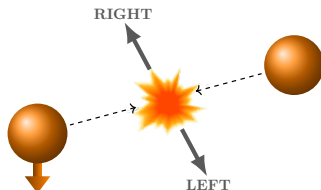
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Relative Luminosity

$$\epsilon(\phi) = \frac{N_L^\uparrow/\mathcal{L}^\uparrow - N_L^\downarrow/\mathcal{L}^\downarrow}{N_L^\uparrow/\mathcal{L}^\uparrow + N_L^\downarrow/\mathcal{L}^\downarrow}$$

Geometric formula

$$\epsilon(\phi) = \frac{\sqrt{N_L^\uparrow N_R^\downarrow} - \sqrt{N_L^\downarrow N_R^\uparrow}}{\sqrt{N_L^\uparrow N_R^\downarrow} + \sqrt{N_L^\downarrow N_R^\uparrow}}$$

$$N_L, N_R = N(\phi), N(\phi + \pi)$$

pre-RHIC puzzle

→ In collinear leading-twist framework, A_N heavily suppressed:

- $A_N \propto \alpha_S \frac{m_q}{E_{CM}} \rightarrow 0$ (chirality conservation)

Kane, Pumplin, Repko, PRL 41 (1978), 1689

pre-RHIC puzzle

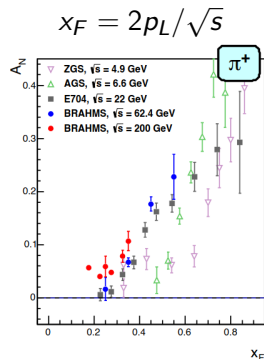
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→ In sharp contrast,
large experimental asymmetries are measured

- **Mid-1970s:** Large pion A_N (ZGS 76)
- **1980s-2000s:** Large A_N at higher energies (FNAL, AGS)
- **2000s-:** A_N at collider energies 50-500 GeV (RHIC)



EPJA 52:156 (Aschenauer, D'Alesio, Murgia)
PRL 36 (1976) 929 (ZGS)
PLB 264 (1991) 462 (E704)
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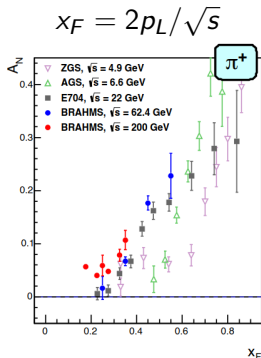
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→ Beyond the collinear leading-twist picture

- Higher-Twist multi-parton correlations (Collinear Twist-3)
- Intrinsic parton's k_T (Transverse Momentum Dependent)



EPJA 52:156 (Aschenauer, D'Alesio, Murgia)
PRL 36 (1976) 929 (ZGS)
PLB 264 (1991) 462 (E704)
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Collinear-Twist 3 (CT3) correlations

Multi-parton correlations in Collinear Twist-3 factorization

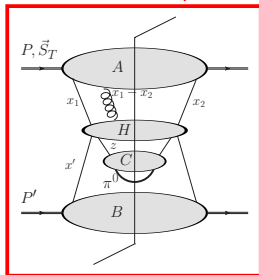
Qiu, Sterman PRD 59.014004 (1998)

Initial-/Final-state collinear correlators: A , B , C

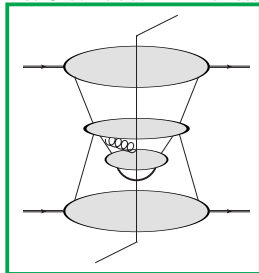
H : Hard perturbative part

$$\begin{aligned}
 d\sigma(\vec{S}_T) \propto & \sum_{a,b,c} \underbrace{\phi_{a/A}^{(3)}(x_1, x_2, \vec{S}_T) \otimes \phi_{b/B}(x') \otimes \hat{\sigma} \otimes D_{c \rightarrow \pi}(z)}_{\text{"Sivers-like"}} \\
 & + \sum_{a,b,c} \underbrace{h_{a/A}(x, \vec{S}_T) \otimes \phi_{b/B}^{(3)}(x'_1, x'_2) \otimes \hat{\sigma}'' \otimes D_{c \rightarrow \pi}(z)}_{\text{"Boer-Mulders"-like (small)}} \\
 & + \sum_{a,b,c} \underbrace{h_{a/A}(x, \vec{S}_T) \otimes \phi_{b/B}(x') \otimes \hat{\sigma}' \otimes D_{c \rightarrow \pi}^{(3)}(z_1, z_2)}_{\text{"Collins"-like}}
 \end{aligned}$$

Twist-3 correlator in polarized p



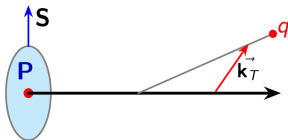
Twist-3 correlator in final state



Transverse-Momentum-Dependent (TMD) Factorization

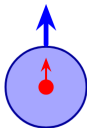
→ At least two mechanisms involved

→ **Sivers Mechanism** (Initial State)

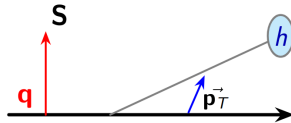


Proton spin and quark \vec{k}_T correlation

→ **Collins Mechanism** with transversity (Final State)



Proton spin and quark spin correlation



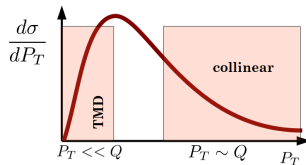
Hadron \vec{p}_T and quark spin correlation

TMD \iff CT3 framework

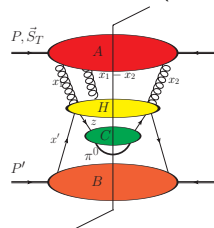
- Non-perturbative effects involved:
 - Interference with CT3 correlators (qqq, ggg)
 - $P_T \sim Q > \Lambda_{\text{QCD}}$
 - TMD effects (Sivers and Collins)
 - $Q \gg P_T > \Lambda_{\text{QCD}}$
- Intermediate p_T : frameworks overlap
e.g Quark Sivers TMD & twist-3 ETQS function

$$-\int d^2\mathbf{k}_\perp \frac{\mathbf{k}_\perp^2}{M} \underbrace{f_{1T}^{\perp,q}(x, \mathbf{k}_\perp^2)|_{\text{SIDIS}}}_{\text{Sivers TMD PDF}} = \underbrace{T_{q,F}(x, x)}_{\text{twist-3 ETQS function}}$$

- Higher energy at RHIC:
 - Expand (x_F, p_T) reach (and overlap region)
 - Primary probe of gluon mechanisms

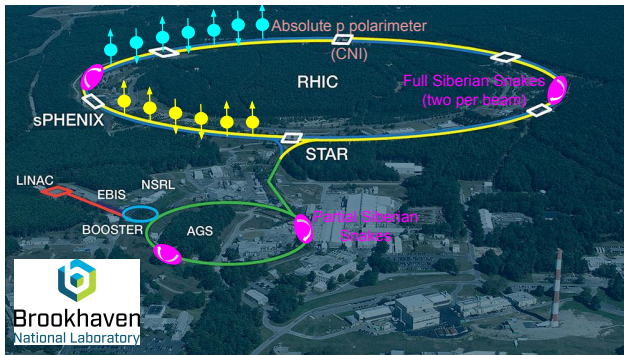


Ji, Qiu, Vogelsang, Yuan
PRL 97, 082002 (2006)



Twist-3 ggg correlator
 \leftrightarrow gluon Sivers TMD
 C-odd/C-even
 less known
 more details (R. Boussarie)

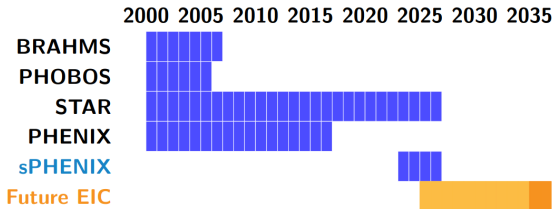
Relativistic Heavy Ion Collider



- RHIC
(June 12, 2000 → February 6, 2026)
- Conversion to EIC

RHIC (First collisions in 2000)

- First and only polarized proton collider
- Example for physics $p^\uparrow p^\uparrow$ run 2024:
 - $E_{CM} = 200 \text{ GeV}$
 - $2.1 \cdot 10^{11} p/\text{bunch}$ (2×111)
 - Average Polarization 55%/58%

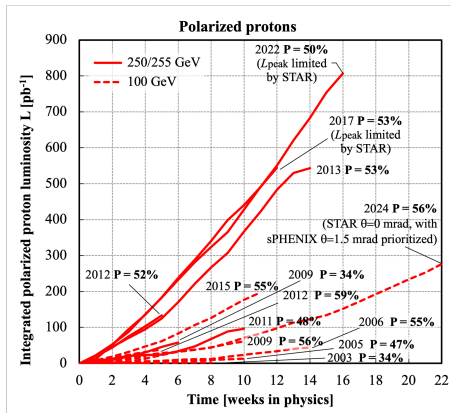
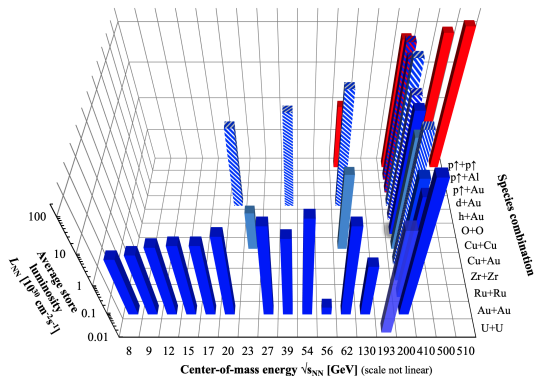


$p^\uparrow p^\uparrow$ collisions at RHIC

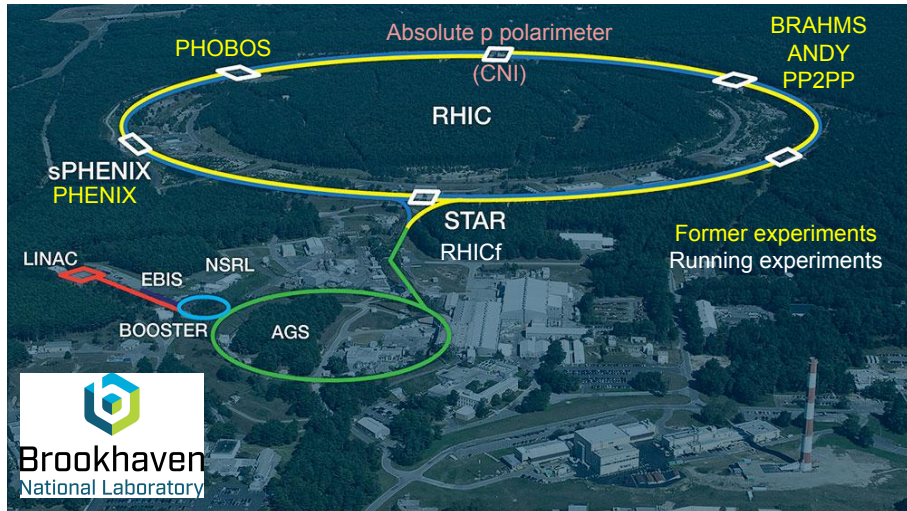
→ Large versatility:

- 10 types of accelerated nuclei
- $p^\uparrow p^\uparrow$ collisions at 62, 200, 500 and 510 GeV

RHIC energies, species combinations and luminosities (Run-1 to 24)

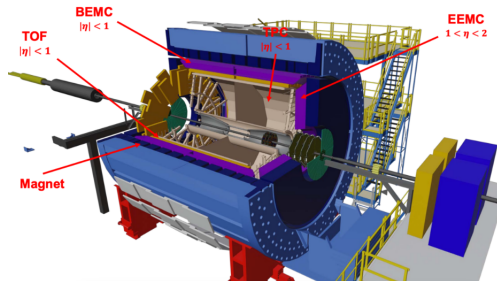


Experiments at RHIC

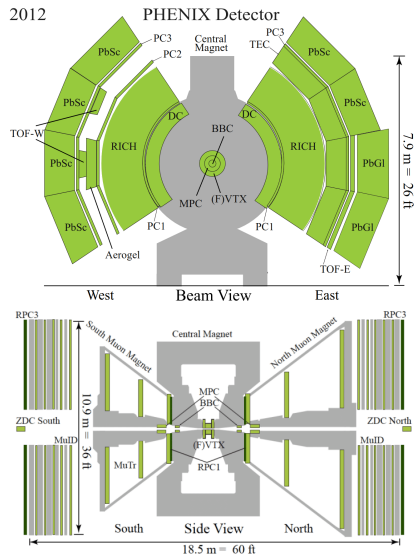




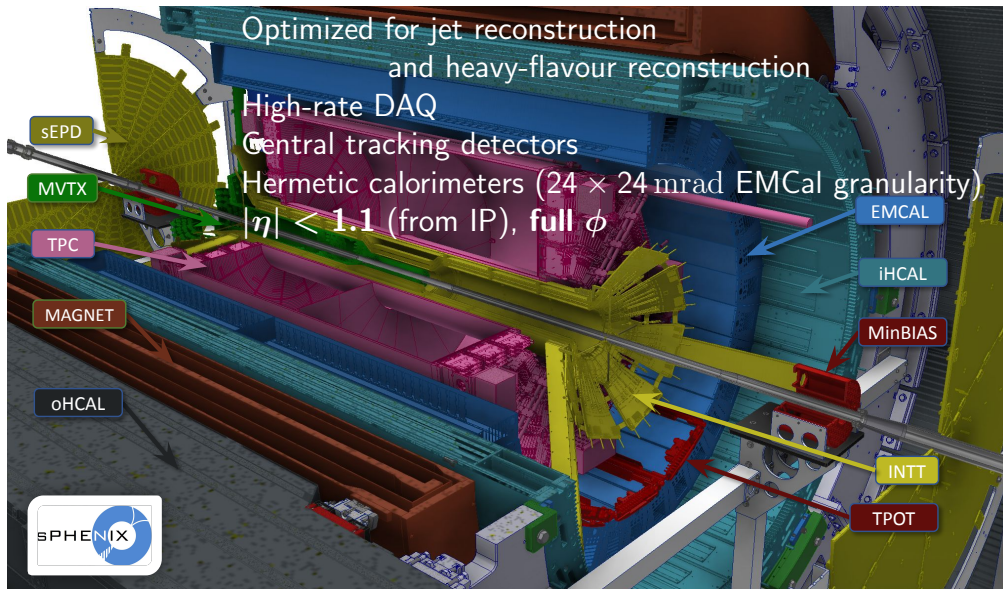
- ElectroMagnetic Calorimeter (EMCal) (full ϕ)
 - Central BEMC: $|\eta| < 1$
 - Forward EEMC: $1.08 < \eta < 2.0$
 - Angular Resolution $\sim 50 \times 50$ mrad
- TimeOf Flight (TOF) (full ϕ , $|\eta| < 1$)
 - Improves track Particle Identification (PID)
- TimeProjectionChamber (TPC) (full ϕ , $|\eta| < 1$)
 - Main detector for tracking and PID



- High precision studies of photons/leptons
- Central arms ($|\eta| < 0.35$, **Half-azimuth**)
- Fine-granularity EMCal
 - Radius of 5m
 - Half azimuthal coverage ($\pi/2$ on each side)
 - Spatial resolution 11×11 (8×8) mrad PbSc (PbGl)
- Dedicated muon arms (**full ϕ** , $1.4 < |\eta| < 2.4$)
 - Charged track momentum, muon identification
 - Clean separation: J/ψ from Ψ' , $\Upsilon(1S)$ from $\Upsilon(2S, 3S)$



sPHENIX experiment



Single Spin Asymmetries

1. → Neutral Meson A_N

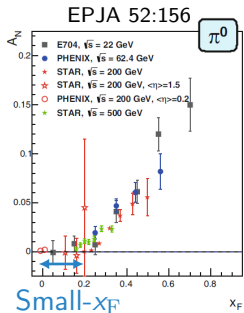
2. → Direct Photon A_N

3. → Quarkonia A_N

4. → Hadron-in-jet A_N

5. → Di-hadron A_N

Neutral Meson $A_N: p^\uparrow p \rightarrow \pi^0 X$ (My Thesis)



→ Early-measured asymmetries at large x_F .

Small x_F less explored (gluon-dominated)

→ RHIC with PHENIX, STAR shows A_N mostly independent of energy

→ Complex phenomenological description:

- Quark- and Gluon- initiated subprocesses
- Initial-State Effects (Sivers TMD PDF, CT3)
- Final-State Effects (Collins TMD function, CT3)

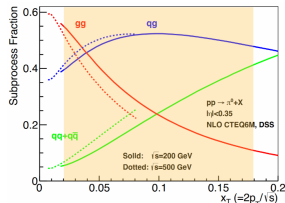
$\pi^0 \rightarrow$ mix of partonic processes

- Low p_T : $qg \approx gg > qq$
- Mid- p_T : $qg > gg \approx qq$

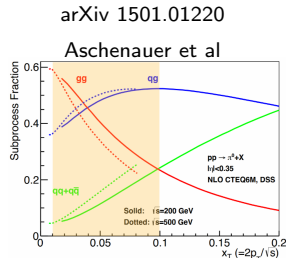
PHENIX \neq sPHENIX

Upper p_T limit from cluster merging

$$(\theta_{\gamma\gamma, \min} = 2m_\pi / E_\pi)$$



PHENIX range ($|\eta| < 0.35$)
Run $p^\uparrow p$ 2015 (200 GeV)

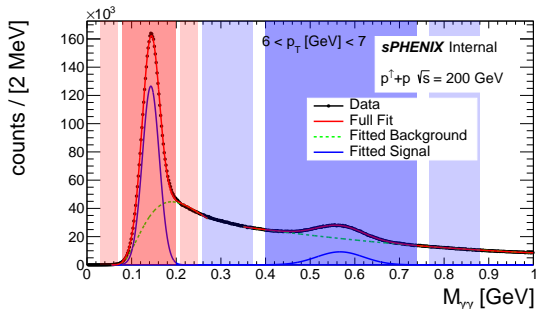


sPHENIX range ($|\eta| < 1.5$)
Run $p^\uparrow p$ 2024 (200 GeV)

Neutral Meson $A_N: p^\uparrow p \rightarrow \pi^0 X$ (My Thesis)

→ First small- x_F $p^\uparrow p \rightarrow \pi^0 X$ asymmetry A_N at sPHENIX

- Minimum Bias Detector (collisions)
- EMCal: $\Delta E/E = 3.5\% \oplus 13.3\%/\sqrt{E}$



$$A_N^{\text{total}} = (1 - r) A_N + r A_N^{\text{bkg}}$$

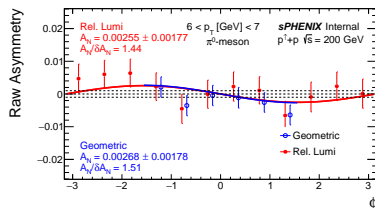
$$A_N(\phi) = \frac{1}{P} \frac{N^\uparrow(\phi)/\mathcal{L}^\uparrow - N^\downarrow(\phi)/\mathcal{L}^\downarrow}{N^\uparrow(\phi)/\mathcal{L}^\uparrow + N^\downarrow(\phi)/\mathcal{L}^\downarrow} \quad (\text{Rel. Lumi})$$

(Geometric)

$$A_N(\phi) = \frac{1}{P} \frac{\sqrt{N^\uparrow(\phi)N^\downarrow(\phi + \pi)} - \sqrt{N^\downarrow(\phi)N^\uparrow(\phi + \pi)}}{\sqrt{N^\uparrow(\phi)N^\downarrow(\phi + \pi)} + \sqrt{N^\downarrow(\phi)N^\uparrow(\phi + \pi)}}$$

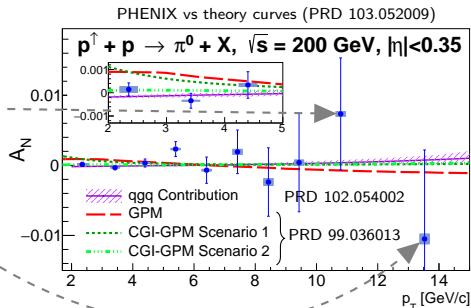
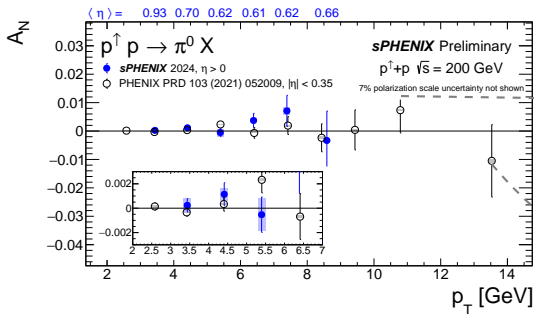
$\phi \in [-\pi/2, \pi/2]$ (full azimuth)

Fit performed for bins in p_T , η and x_F



Neutral Meson A_N : $p^\uparrow p \rightarrow \pi^0 X$ (My Thesis)

Preliminary results on $\pi^0 A_N$ (sPHENIX March 2025) alongside PHENIX results 2021



- \neq acceptance: $|\eta| < 0.35, \phi \in [0, \pi]$ (PHENIX), $0 < \eta < 1.5, \phi \in [0, 2\pi]$ (sPHENIX)
- Compared with theory predictions:
 Color-Gauge Invariant Generalized Parton Model (PRD 99 (2019) 036013 U. D'Alesio et al)
 twist-3 qqq correlator (PRD 102 (2020) 054002 JAM, J. Cammarota et al.)

Direct Photon $A_N: p^\uparrow p \rightarrow \gamma X$

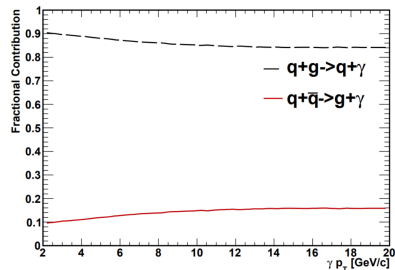
→ Direct Photon Production:

- Compton scattering: $d\hat{\sigma} : qg \rightarrow q\gamma$ (90% at mid- η)
- Annihilation: $d\hat{\sigma} : q\bar{q} \rightarrow g\gamma$ (10% at mid- η)

→ Cleaner probe:

- Mostly sensitive to initial-state effects (no fragmentation)
- Good power to constrain gluon-gluon correlations

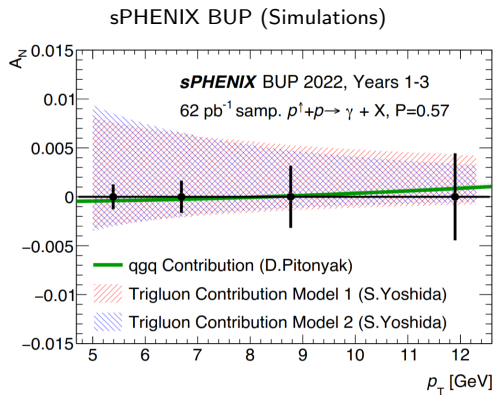
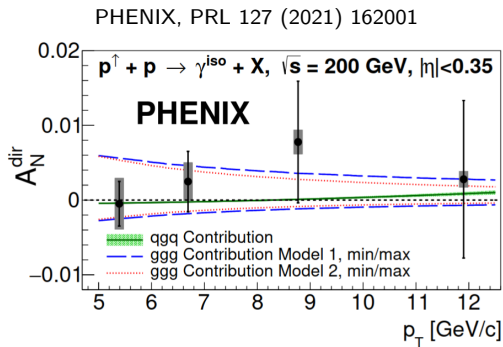
Suppression of non-prompt photons (fragmentation, decay)
through isolation cuts and shape variables
→ experimentally challenging



PHENIX, PRD 82 (2010) 072001

Direct Photon A_N : $p^\uparrow p \rightarrow \gamma X$

First Direct Photon A_N extracted at RHIC by the PHENIX Collaboration



→ Ongoing work from sPHENIX towards Direct- γ A_N with more statistics
Already results for the prompt- γ cross section (J. Hwang, Spin 2025)

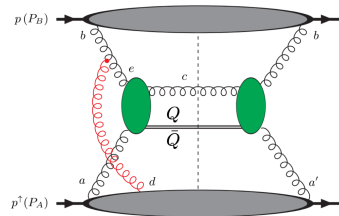
Quarkonia A_N , J/Ψ : $p^\uparrow p \rightarrow J/\Psi X$

→ J/Ψ **production** (only quarkonia A_N measured at RHIC)

- Dominated by gluon fusion: $g + g \rightarrow Q\bar{Q}[^3S_1^{(1)}] + g$ $Q = c, b$
- Almost no quark-initiated process
- Cleaner probe to C-even gluon Sivers TMD (and ggg correlator)
- Almost no Collins effect. Described in the framework of the Color Singlet Model (CSM)

→ Challenges specific to the J/Ψ

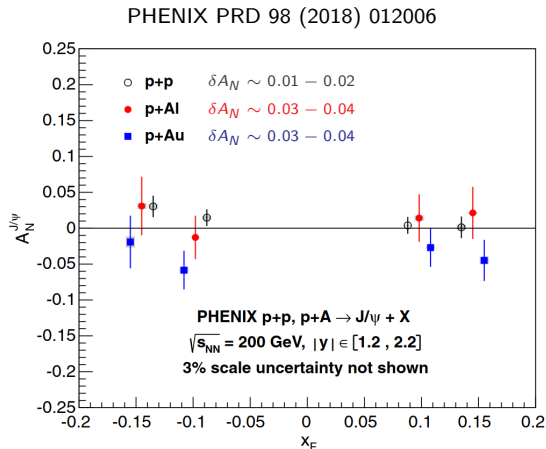
- Contributions from the color octet channel don't yield A_N
- Feed-down from $\chi_c, \Psi', B \sim 40\%$
(PHENIX, PRD 85 (2012) 092004)
(All strong decays included in
Distribution/Fragmentation Functions)



(PRD 96 (2017) 036011)

U. D'Alesio, F. Murgia, C. Pisano,
P. Tael

Quarkonia A_N , J/ψ : $p^\uparrow p \rightarrow J/\psi X$



→2015 $p^\uparrow + p$, $p^\uparrow + \text{Al}$, $p^\uparrow + \text{Au}$: $p^\uparrow + \text{Al}$ consistent with zero
slight negative $p^\uparrow + \text{Au}$ A_N , positive backward $p^\uparrow + p$ (2σ)

Quarkonia A_N , J/ψ : $p^\uparrow p \rightarrow J/\psi X$

J/ψ transverse single spin asymmetries A_N (PHENIX)

- Measured with **large yields** in $p^\uparrow + p$ and $p^\uparrow + A$ at $\sqrt{s_{NN}} = 200$ GeV.
- Forward / backward rapidity ($1.2 < |y| < 2.2$), $A_N(x_F, p_T)$:
 - $p^\uparrow + \text{Al}$: A_N consistent with 0
 - $p^\uparrow + p$: hint of small positive A_N (2σ)
 - $p^\uparrow + \text{Au}$: hint of small negative A_N (2σ)
- Sensitive to C-even gluon Sivers function / tri-gluon correlators

Other quarkonia: Cleaner, color-singlet dominated, isolated gluon-spin effects

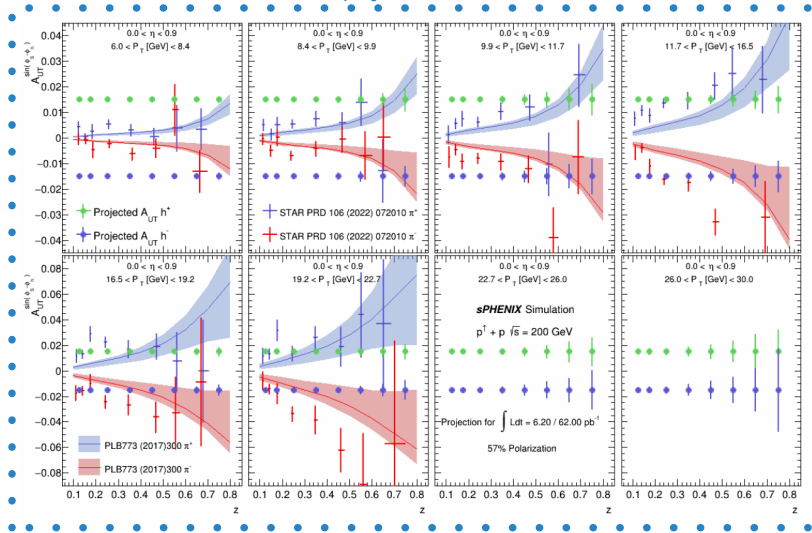
- χ_C, η_C : C-even quarkonia
(Reduced usable yields, separation $\chi_{C,1}/\chi_{C,2} \rightarrow 45$ MeV difference)
- Υ : Smaller color octet contribution
(PRL 112 (2014) 212001 W.J. den Dunnen, J.-P. Lansberg, C. Pisano, M. Schlegel)

Hadron in jet TSSA $p^\uparrow p \rightarrow (\text{jet } h) X$

Hadron in jets
 $p^\uparrow p \rightarrow (\text{jet } h) X$
 Access to Collins
 Fragmentation Function and
 transversity PDF

Ongoing analysis
 (preliminary)

Statistical projection for sPHENIX

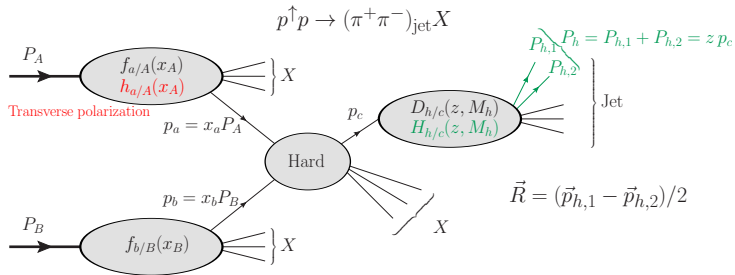
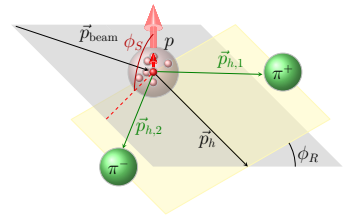


RHIC Cold QCD Plan 2024-2028

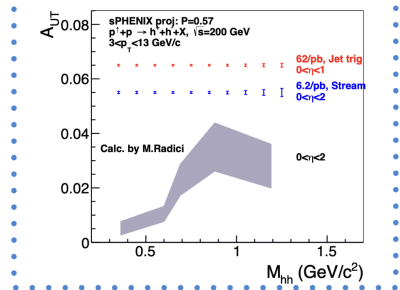
Di-hadron TSSA $p^\uparrow p \rightarrow (\pi^+ \pi^-)_{\text{jet}} X$

→ Access to **chiral-odd transversity PDF h** and **chiral-odd Interference Fragmentation Function (IFF) H_q^\triangleleft** in **leading-twist collinear factorization** (PRD 70 (2004) 094032, A. Bacchetta, M. Radici)

$$\frac{d\sigma_{UT}^{\sin(\phi_R - \phi_S)}}{d\sigma_{UU}} \sim \sum_{a,b,c} \int \frac{dx_a dx_b}{16\pi z} h_{a/A}(x_a) f_{b/B}(x_b) \frac{d\Delta\hat{\sigma}_{a^\uparrow b \rightarrow c^\uparrow}}{d\hat{t}} \frac{|R|}{M_h} H_{h/c}^\triangleleft(z, M_h)$$



Statistical projection for sPHENIX



RHIC Cold QCD Plan 2024-2028

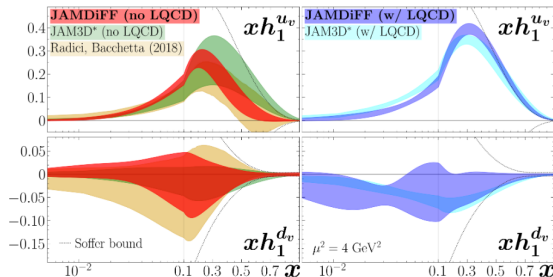
TSSA and transversity PDF and Di-hadron FF (DiFF)

→ Transversity extracted using both:

- single-hadron observables (TMD & twist-3)
- di-hadron observables

→ Some recent examples of DiFF fits

- PRL 120 (2018) 192001 (global fit $p^\uparrow p$, SIDIS)
M. Radici, A. Bacchetta
- JAM: PRD 109 (2024) 3, 034024 (global fit $p^\uparrow p$, e^+e^- , SIDIS)
C. Cocuzza, A. Metz, D. Pitonyak, A. Prokudin, N. Sato, R. Seidl
- MAP: JHEP 02 (2026) 051 (Unpolarized DiFFs at NNLO with and without NN, e^+e^- data)
V.M., L. Polano, A. Bacchetta, V. Bertone, M. Cerutti, M. Radici, L. Rossi

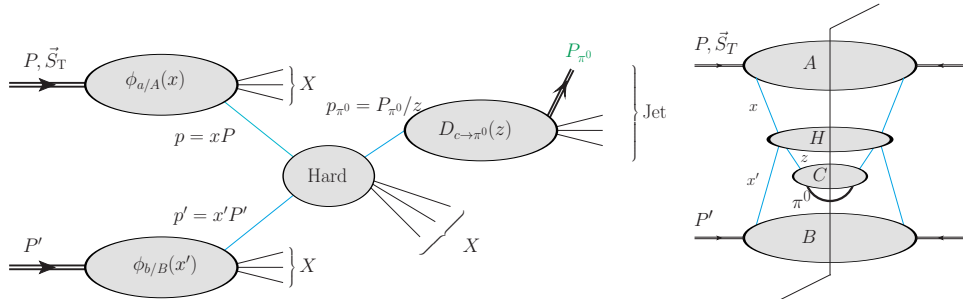


arXiv 2306.12998 (JAM) 2024
JAM3D: TMD and collinear twist-3
JAMDiFF, R. B. (2018): DiFF framework

- Large Transverse Single Spin Asymmetries A_N at large x_F :
Strong NP effects beyond collinear leading-twist and pQCD
- **RHIC**: First opportunity to measure A_N at collider energies (50 - 500 GeV)
 - Neutral Meson A_N : Historic probe for large A_N
 - Currently new statistics in underexplored kinematic domain (mid-y, low x_F , high E)
 - Progress in the phenomenological expression
 - Direct- γ A_N :
 - Most recent, isolation/ shower-shape cuts make it challenging
 - Clean probe to initial-state Sivers Gluon TMD/Twist-3
 - Quarkonia A_N
 - J/Ψ results: gg fusion dominated but CO contamination and χ_c feed-down
 - Possibly more statistics and resolution on J/Ψ , Υ with sPHENIX
 - Other channels: open charm D^0 , hadron-in-jet, di-hadrons

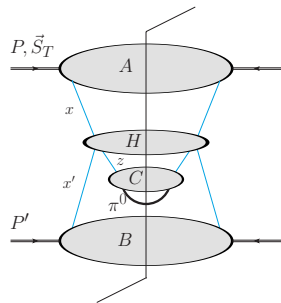
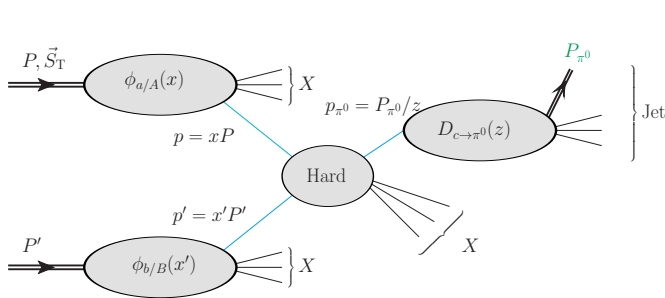
Factorization beyond leading twist

→ At leading-twist: $d\sigma = \sum_{a,b,c} \phi_{a/A}(x) \otimes \phi_{b/B}(x') \otimes d\hat{\sigma}_{ab \rightarrow c} \otimes D_{c \rightarrow \pi^0}(z)$



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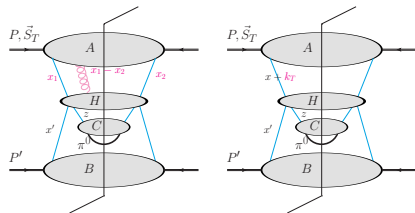
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→ Beyond leading-twist:

$$d\sigma = \sum_{a,b,c} \phi_{a/A}^{(3)}(x_1, x_2) \otimes \phi_{b/B}(x') \otimes d\hat{\sigma}_{ab \rightarrow c} \otimes D_{c \rightarrow \pi^0}(z) + \dots$$

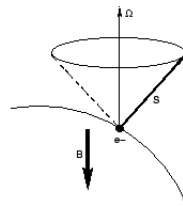
$$d\sigma = \sum_{a,b,c} \phi_{a/A}(x, k_T) \otimes \phi_{b/B}(x') \otimes d\hat{\sigma}_{ab \rightarrow c} \otimes D_{c \rightarrow \pi^0}(z) + \dots$$



Polarized protons at RHIC

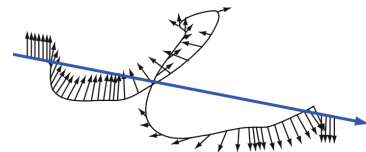
1. Polarization at the source (OPPIS)

- Pass unpolarized proton through polarized Rb vapor
- Electron polarization transferred to the proton
- Eventual proton polarization at the exit of OPPIS $\sim 80\text{-}85\%$



2. Depolarization in synchrotrons and Siberian snakes

- Spin precesses ≈ 200 times ($G\gamma = 1.79 \times 10^7$ at 100 GeV) about vertical guide field B_y in one turn
- Spin precesses about horizontal fields (e.g. focusing magnets)
- Resonance between precessions \rightarrow strong depolarization
- Solution: Partial (AGS) and **full siberian snakes** (RHIC)
- Vertical spin is unchanged, horizontal spin components flip sign each turn \rightarrow horizontal kicks cancel



Siberian snake (spin flip)

Derbenev et al, Part. Accel., 8, 115 (1978)

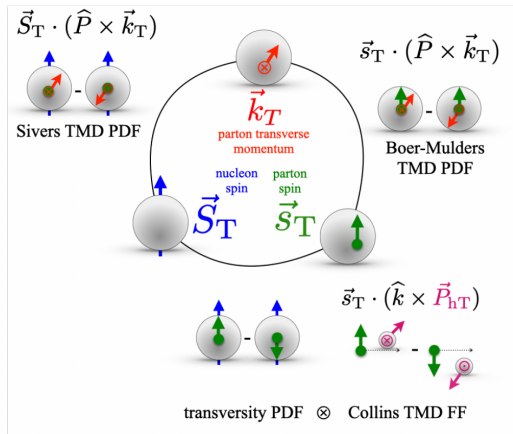
Backup - Transverse-Momentum Dependent (TMD) framework

TMD factorization

Sivers effect: Parton Distribution Functions (PDF) correlating the **ingoing proton transverse spin** and the **parton transverse momentum** (initial-state effect)

Collins effect: Chiral-odd Fragmentation Functions (FF) correlating the **transverse spin of fragmenting parton** and the **outgoing hadrons' transverse momentum** (final-state effect)

Boer-Mulders effect: Chiral-odd Parton Distribution Functions (PDF) correlating the **parton's transverse momentum** and the **parton transverse polarization** \vec{s}_T (initial-state effect)



→ **PHENIX $\chi_c \rightarrow J/\psi \gamma$ measurement ($p+p$, 200 GeV)** (PRD 85 (2012) 092004)

- Reconstruct $\chi_c \rightarrow J/\psi \gamma \rightarrow e^+ e^- \gamma$ in the central arms.
- Measured feed-down fraction: $F_{J/\psi}^{\chi_c} = 32 \pm 9\%$
- Implies (no detector effects): $\sigma_{\chi_c \rightarrow J/\psi \gamma} \approx F_{J/\psi}^{\chi_c} \sigma_{J/\psi} \sim 1.2 \mu\text{b}$.

→ $\chi_{c1,2}$ at PHENIX in practice:

- Conditional acceptance and efficiency of the $\chi_{c1,2}$ decay:

$$\frac{N_{\chi_c}}{N_{J/\psi}} = F_{J/\psi}^{\chi_c} \underbrace{\frac{\text{Acc.}\epsilon(\chi_c \rightarrow J/\psi \gamma \rightarrow \ell\ell\gamma)}{\text{Acc.}\epsilon(J/\psi \rightarrow \ell\ell)}}_{\text{conditional acc.} \times \text{eff.}}$$

- PHENIX: conditional acc. \times eff. ~ 0.1 at low p_T and 0.3 at high p_T
low $E_\gamma \sim$ EMCAL sensitivity, small S/N, strong cuts (isolation, identification)
- $N_{\chi_c}/N_{J/\psi} \approx \mathcal{O}(3 - 10\%)$

Quarkonia A_N : Prospects at sPHENIX

sPHENIX provides:

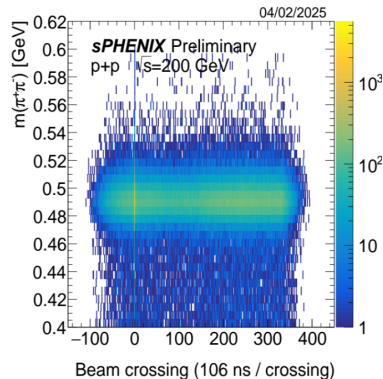
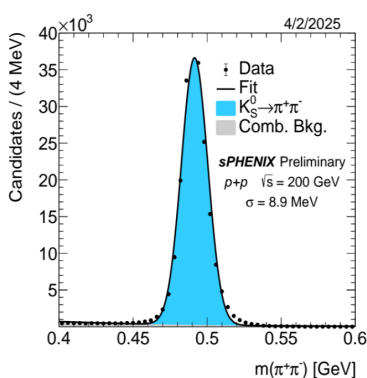
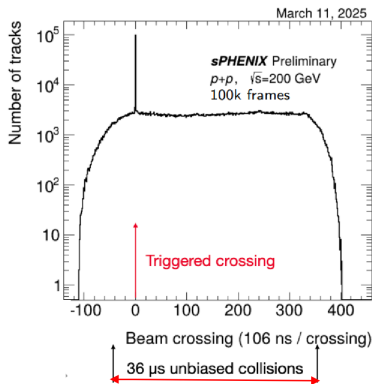
- Much larger midrapidity coverage:

$$\text{PHENIX: } |\eta| < 0.35, \Delta\phi \simeq \pi \quad \Rightarrow \quad \text{sPHENIX: } |\eta| < 1.1, \Delta\phi = 2\pi$$

\Rightarrow More J/ψ and Υ .

- Modern tracking + EMCal + HCal: precise dilepton mass resolution and better control of non-prompt / background contributions.
- Good Υ mass resolution: clean separation of $\Upsilon(1S)$, $(2S)$, $(3S)$ even in high-multiplicity environments.

Backup - Open Heavy Flavour Measurements at sPHENIX



Track bunch crossing for K_s^0

K_s^0 reconstruction (all crossings)

π^+ / π^- invariant mass vs crossing

Uniform detection of K_s^0 peak at all beam crossings: streaming works!

At 1 MHz collision rate, we increased the 15 kHz minimum-bias trigger

to more than 200 kHz recorded “unbiased pp collisions”