

# Developments in gravitational particle production

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**– cosmological gravitational particle production:**

*- always there / dark matter production*

*- nuisance for model building*

**– complicated in realistic settings**

*- time dependent masses*

*- initial conditions*

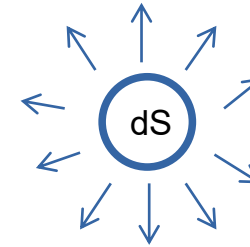
*- Bogolyubov vs Starobinsky*

*- fermion mixing*

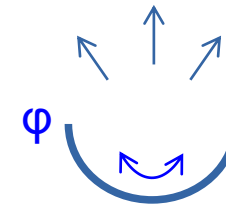
*- ...*

## Particle production in the Early Universe:

- during inflation



- via inflaton oscillations



- inflaton decay



- thermal emission (freeze-in)



***Stable (very) weakly coupled particles accumulate!***

## **Earlier work:**

Parker '69

Grib, Mamaev '69

Zeldovich, Starobinsky '71

Ford, ...

...

...

Chung, Kolb, Riotto

(superheavy DM, isocurvature)

Ema, Jinno, Mukaida, Nakayama

(metric oscillations)

Mambrini, Olive

(graviton exchange approximation)



# Bogolyubov vs Starobinsky

$|\text{in}\rangle, |\text{out}\rangle$  states

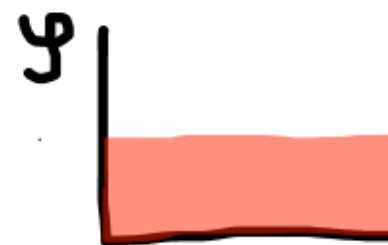
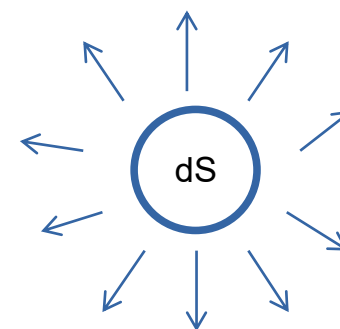
EOM:  $\chi_k'' + \omega_k^2 \chi_k = 0$



$$\beta_k = i(\chi_k^{\text{out}'} \chi_k^{\text{in}} - \chi_k^{\text{out}} \chi_k^{\text{in}'})$$



$$\langle 0^{\text{in}} | N^{\text{out}} | 0^{\text{in}} \rangle = V \int \frac{d^3 \mathbf{k}}{(2\pi)^3} |\beta_k|^2$$



evolve the condensate after inflation

## Particle production via classical gravity

Expanding Friedmann Universe :

$$g_{\mu\nu} = a^2(\eta) \text{diag}(1, -1, -1, -1)$$

(conformal time  $\eta$  :  $dt = a d\eta$  )

Free scalar field :

$$\mathcal{L} = \frac{1}{2} g^{\mu\nu} \partial_\mu \Phi \partial_\nu \Phi - V(\Phi)$$

$$V(\Phi) = \frac{1}{2} m^2 \Phi^2$$

Rescaled scalar :

$$\phi = a(\eta) \Phi$$

Mode expansion and EOM :

$$\phi(\eta, \mathbf{x}) = \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \left[ a_{\mathbf{k}} \chi_k(\eta) e^{i\mathbf{k} \cdot \mathbf{x}} + a_{\mathbf{k}}^\dagger \chi_k^*(\eta) e^{-i\mathbf{k} \cdot \mathbf{x}} \right]$$

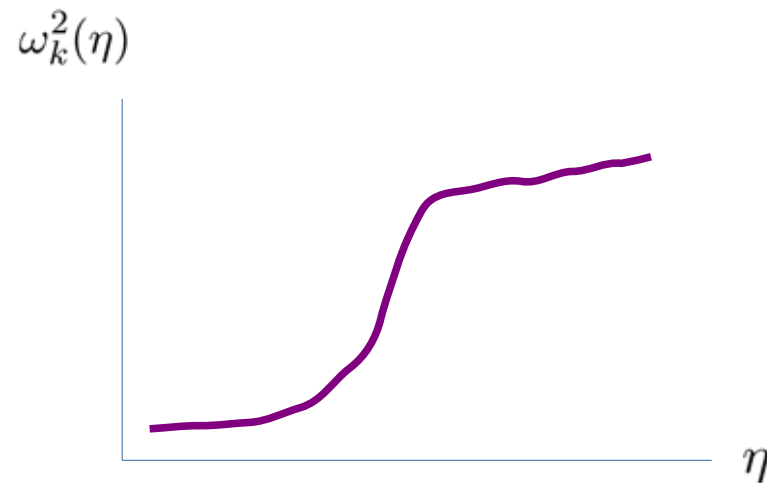
$$\chi_k'' + \omega_k^2 \chi_k = 0 \quad , \quad \omega_k^2(\eta) = k^2 + \boxed{a^2(\eta)} m^2 + \left( \frac{1}{6} - \xi \right) \boxed{a^2(\eta)} R(\eta)$$

**particle production!**

To define a particle, need adiabatically flat space-time and Fock space vacuum

$$\chi_k'' + \omega_k^2 \chi_k = 0$$

$$\begin{array}{ll} \eta \rightarrow -\infty & : \quad \omega_k^2(\eta) \rightarrow k^2 \\ \eta \rightarrow +\infty & : \quad \omega_k^2(\eta) \rightarrow a^2(\eta) m^2 \end{array}$$



**Asymptotic solutions :**  
(“Minkowski-like”)

$$\begin{aligned} \chi_k^{\text{in}}(\eta \rightarrow -\infty) &\rightarrow \frac{e^{-i \int^\eta d\eta' \omega_k(\eta')}}{\sqrt{2\omega_k(\eta)}} , \\ \chi_k^{\text{out}}(\eta \rightarrow +\infty) &\rightarrow \frac{e^{-i \int^\eta d\eta' \omega_k(\eta')}}{\sqrt{2\omega_k(\eta)}} . \end{aligned}$$

**Bunch-Davies vacuum :**  
(no particles initially)

$$a_{\mathbf{k}}^{\text{in}} |0^{\text{in}}\rangle = 0$$

Keeping the field intact, can choose different expansion modes,

$$\phi(\eta, \mathbf{x}) = \int \frac{d^3\mathbf{k}}{(2\pi)^3} \left[ a_{\mathbf{k}} \chi_k(\eta) e^{i\mathbf{k}\cdot\mathbf{x}} + a_{\mathbf{k}}^\dagger \chi_k^*(\eta) e^{-i\mathbf{k}\cdot\mathbf{x}} \right]$$

*Bogolyubov transform :*

$$\left\{ \begin{array}{l} \chi_k^{\text{in}}(\eta) = \alpha_k \chi_k^{\text{out}}(\eta) + \beta_k \chi_k^{\text{out}*}(\eta) \\ a_{\mathbf{k}}^{\text{in}} = \alpha_k^* a_{\mathbf{k}}^{\text{out}} - \beta_k^* a_{-\mathbf{k}}^{\text{out}\dagger} \end{array} \right. \quad \Rightarrow \quad a_{\mathbf{k}}^{\text{out}} |0^{\text{in}}\rangle \neq 0$$

*Particle number at late times:*

$$\langle 0^{\text{in}} | N^{\text{out}} | 0^{\text{in}} \rangle = V \int \frac{d^3\mathbf{k}}{(2\pi)^3} |\beta_k|^2$$

$$\beta_k = i(\chi_k^{\text{out}\prime} \chi_k^{\text{in}} - \chi_k^{\text{out}} \chi_k^{\text{in}\prime})$$

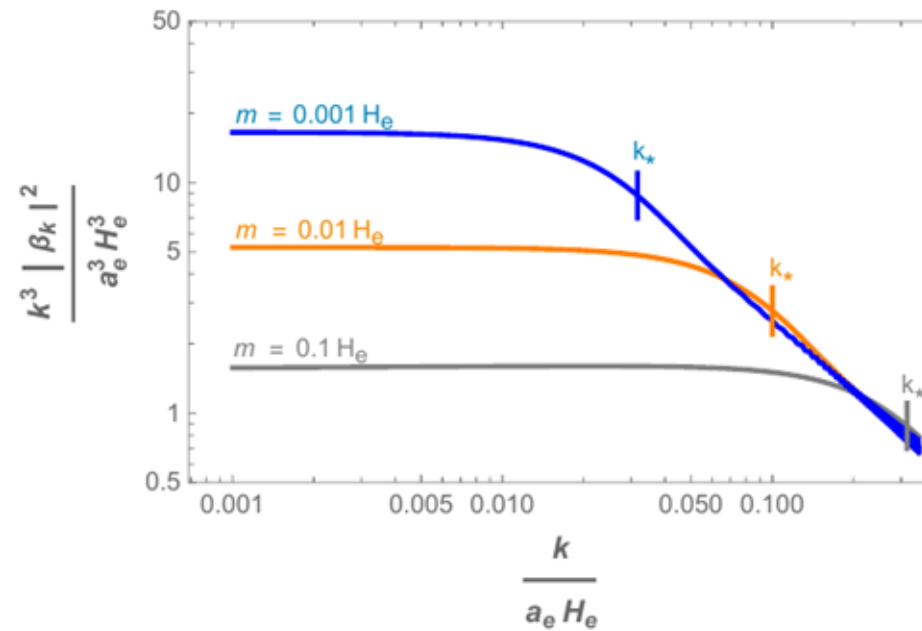
Light scalar:

$$n \sim H^3 / \text{scale factor}^3 \quad (?)$$

Light scalar:

$10^{30}$

$$n \sim H^3 / \text{scale factor}^3 \times (H / m)^{5/2}$$



Feiteira, OL' 25

WHY ?

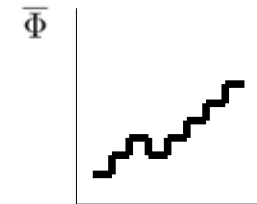
# Starobinsky

$$\Phi = \overline{\Phi}(t, \mathbf{r}) + \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \theta(k - \epsilon a(t) H) \left( a_{\mathbf{k}} \chi_k(t) e^{i\mathbf{k} \cdot \mathbf{r}} + a_{\mathbf{k}}^\dagger \chi_k^*(t) e^{-i\mathbf{k} \cdot \mathbf{r}} \right)$$

$\uparrow$   
long wavelength
 $\uparrow$   
short wavelength

*Random walk:*

$$\frac{d}{dt} \langle \overline{\Phi}^2 \rangle = -\frac{2}{3} \frac{m^2}{H} \langle \overline{\Phi}^2 \rangle + \frac{H^3}{4\pi^2}$$



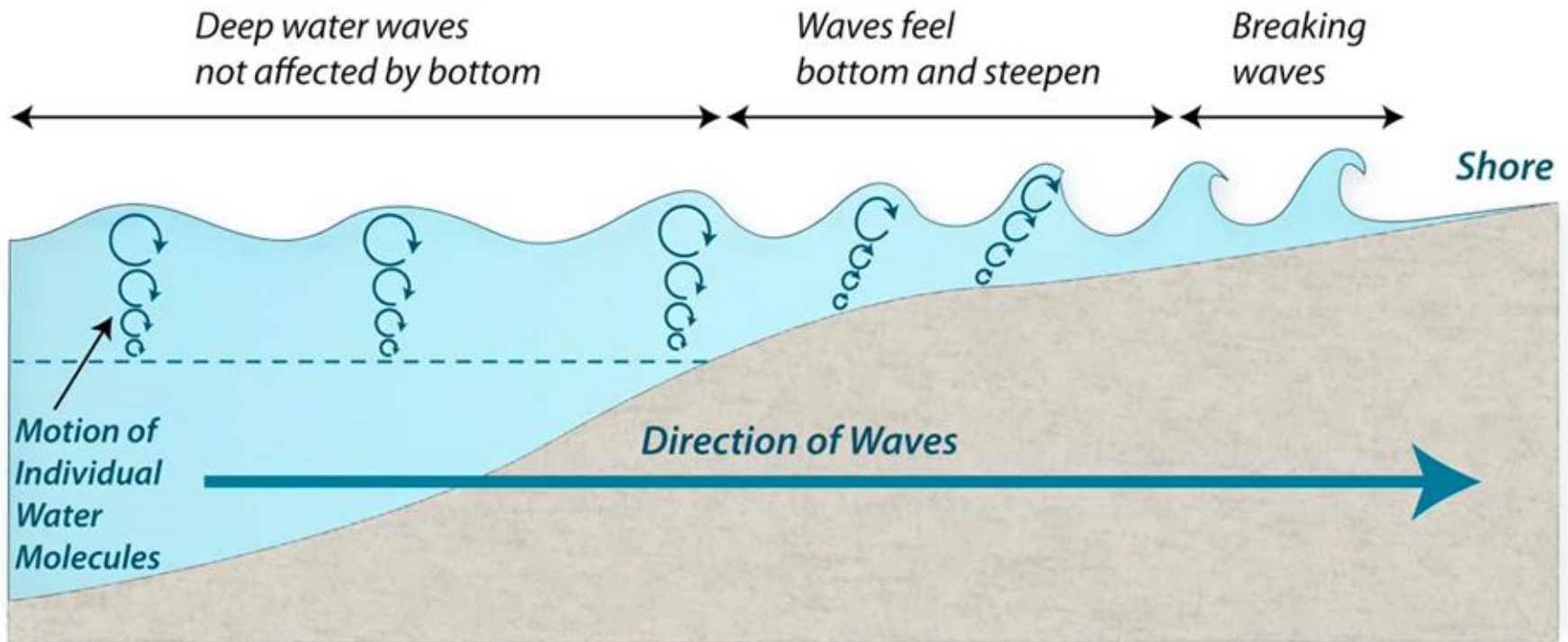
$$\langle \overline{\Phi}^2 \rangle = \frac{3H^4}{8\pi^2 m^2} + \left( \langle \overline{\Phi}^2 \rangle_0 - \frac{3H^4}{8\pi^2 m^2} \right) e^{-\frac{2m^2}{3H} (t-t_0)} \rightarrow \frac{3}{8\pi^2} \frac{H^4}{m^2}$$

***anomalously large average field at the end of inflation !***

$$\langle \Phi^2 \rangle_{\text{IR}} = \frac{1}{a^2(\eta)} \int^{\epsilon a H} \frac{d^3 \mathbf{k}}{(2\pi)^3} |\chi_k^{\text{in}}(\eta)|^2 = \frac{3}{8\pi^2} \frac{H^4}{m^2} \quad \longrightarrow \quad a^3 n = \frac{3\kappa^2 a_e^3}{4\pi^2} \frac{H_e^{11/2}}{m^{5/2}}$$

## Inflationary particle production:

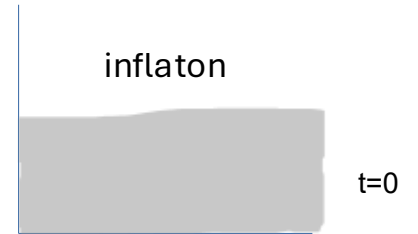
*fluctuations* → *particles*



# On initial conditions

“Standard” Bogolyubov :  $a_{\mathbf{k}}^{\text{in}} |0^{\text{in}}\rangle = 0$

Starobinsky :  $\langle \bar{\Phi}^2 \rangle_0$



*non-Bunch-Davies!*

$$\langle \bar{\Phi}^2 \rangle = \frac{3H^4}{8\pi^2 m^2} + \left( \langle \bar{\Phi}^2 \rangle_0 - \frac{3H^4}{8\pi^2 m^2} \right) e^{-\frac{2m^2}{3H}(t-t_0)} \rightarrow \frac{3}{8\pi^2} \frac{H^4}{m^2}$$

*Starobinsky  $\rightarrow$  Bogolyubov for  $t \gg H/m^2$*

- Equilibration is extremely slow for low  $m$
- **Inflation is finite, initial conditions matter !**
- correct variable = condensate at the end of inflation  $\langle \bar{\Phi}^2 \rangle$

## Particle abundance:

$\overline{\Phi} \longrightarrow$  particles when  $H \sim m$



$$Y \simeq 0.07 \times \frac{\overline{\Phi}^2}{m^{1/2} M_{\text{Pl}}^{3/2}}$$

(radiation domination)

$$H_e \lesssim \overline{\Phi} \lesssim M_{\text{Pl}}$$

### Example:

$$H \sim 10^{13} \text{ GeV} , \quad m > 1 \text{ GeV} \quad \longrightarrow \quad \mathbf{Y > 10^7 \times Y_{\text{obs}} !}$$

( minimal assumptions, standard cosmology, `free' scalar )



More sensible solution:

**low reheating temperature  $T_R$**

$$Y \simeq 0.07 \times \frac{1}{\Delta} \frac{H_e^{1/2} \bar{\Phi}^2}{m M_{\text{Pl}}^{3/2}} \quad (\text{matter domination})$$

$$\Delta \equiv \sqrt{\frac{H_e}{H_R}} \simeq \frac{T_{\text{inst}}}{T_R} \gg 1 \quad (\text{e.g. } T_R < \text{GeV})$$

**$T_R \sim \text{inflaton-SM coupling}$**

## General scaling result :

$$Y \propto \frac{\bar{\Phi}^2}{m_{\text{eff}}^{1/2}} \times \left( \frac{H_R}{m_{\text{eff}}} \right)^\gamma$$

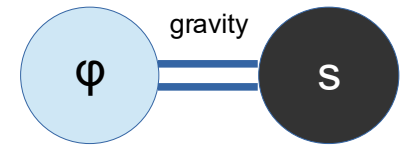
$\gamma = 0$  (radiation dom.) or  
 $\frac{1}{2}$  (matter dom.)

Includes

- weak self-coupling  $\lambda$
- small non-minimal coupling to gravity  $\xi$
- general initial conditions

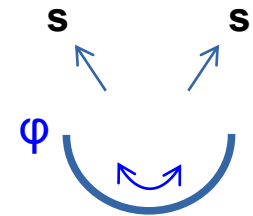
# Losing control: quantum gravity effects

Quantum gravity induces all gauge invariant operators  
(with unknown coefficients)



Effective field theory regime **after inflation**  $\varphi \lesssim M_{\text{Pl}}$  :

$$\frac{\phi^4 s^2}{M_{\text{Pl}}^2}, \quad \frac{\phi^6 s^2}{M_{\text{Pl}}^4}, \quad \frac{\phi^8 s^2}{M_{\text{Pl}}^6}, \dots$$



Example:

$$H \sim 10^{13} \text{ GeV}, \quad m > 1 \text{ GeV}, \quad \text{Wilson coef.} \sim 1 \quad \Rightarrow \quad Y > 10^{16} \times Y_{\text{obs}} !$$



***the coefficients must be tiny ( $10^{-8}$ ) or  $T_R$  low***

String theory landscape

stable / long-lived  
weakly interacting  
scalar  
( $m \gg eV$ )



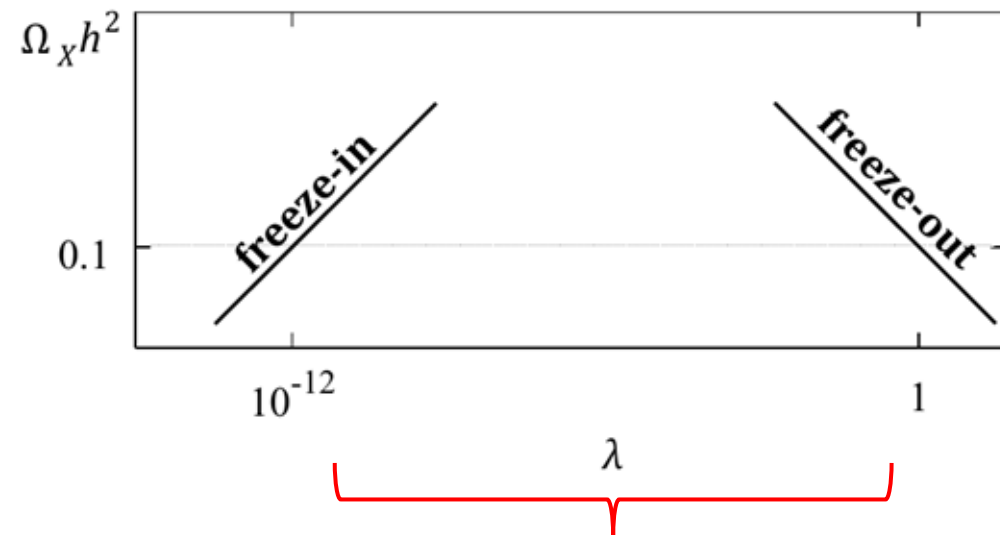
**totally dark Universe**



# Low $T_R$ benefits:

Cosme, Costa, OL '23

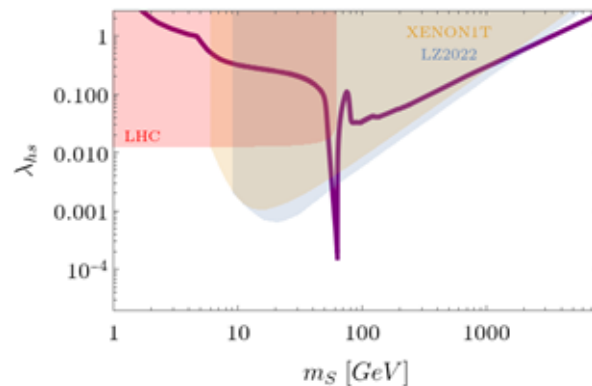
FIMP  $\rightarrow$  WIMP



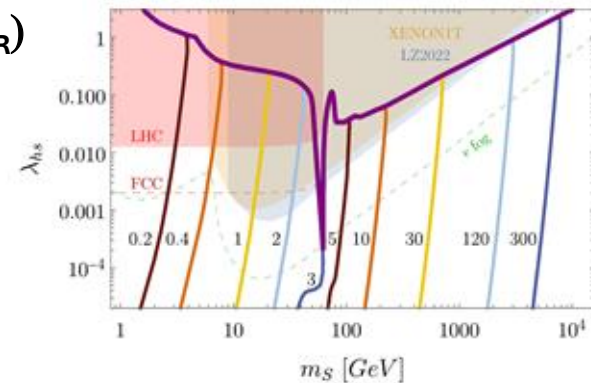
*freeze-in at low  $T_R$  (stronger coupling)*

Example:

Arcadi, Costa, Goudelis, OL '24



$(m, \lambda) \rightarrow (m, \lambda, T_R)$



*Your favorite DM model is not ruled out, just cool it...*

# Developments in fermion production

Parker '71  
Chung et al. '11

EOM:

$$(i\gamma^\mu\partial_\mu - a(\eta)M)\Psi = 0 \quad \Rightarrow \quad Y \propto \left(\frac{M}{M_{\text{Pl}}}\right)^{3/2}$$

However, in reality (SM) all masses are environment-dependent,  $M_f = \frac{1}{\sqrt{2}} Y_f \langle h \rangle$  :

$$M = M(\eta)$$

Feiteira, Koutroulis, OL, Pokorski '25



$$Y \sim Y_{\text{naive}} \times 10^{20}$$

**More: Fotis Koutroulis' talk**

# Time-dependent fermion mixing

Neutrino-like fermions:

$$\mathcal{M} = \begin{pmatrix} 0 & m(\eta) \\ m(\eta) & M \end{pmatrix}, \quad m(\eta) = Y_\nu v(\eta)/\sqrt{2}$$

EOM:

$$[\mathbb{1} \times i \not{\partial} - a(\eta) \mathcal{M}(\eta)] \begin{pmatrix} \Psi_1 \\ \Psi_2 \end{pmatrix} = 0$$

For a single fermion:

$$\Psi(x) = \sum_i \left( a_i U_i + b_i^\dagger V_i \right)$$

mode function

ladder operator

$$U_{\mathbf{k},s}(\eta, \mathbf{x}) = \frac{e^{i\mathbf{k}\cdot\mathbf{x}}}{(2\pi)^{3/2}} \underbrace{\begin{pmatrix} u_{A,k}(\eta) \\ s u_{B,k}(\eta) \end{pmatrix}}_{\text{time-dep.}} \otimes \underbrace{h_s(\hat{\mathbf{k}})}_{\text{spin}}$$

Define  $\Phi \equiv \begin{pmatrix} \Psi_1 \\ \Psi_2 \end{pmatrix}$

$$\Phi(x) = \sum_s \int \frac{d^3\mathbf{k}}{(2\pi)^{3/2}} \left[ e^{i\mathbf{k}\cdot\mathbf{x}} (a_{\mathbf{k}s}^1 \mathbf{u}_{\mathbf{k}s}^1 + a_{\mathbf{k}s}^2 \mathbf{u}_{\mathbf{k}s}^2) \otimes h_s(\hat{\mathbf{k}}) + e^{-i\mathbf{k}\cdot\mathbf{x}} (b_{\mathbf{k}s}^{1\dagger} \mathbf{v}_{\mathbf{k}s}^1 + b_{\mathbf{k}s}^{2\dagger} \mathbf{v}_{\mathbf{k}s}^2) \otimes h_s(-\hat{\mathbf{k}}) \right]$$

$\mathbf{u}_{\mathbf{k}s}^1, \mathbf{u}_{\mathbf{k}s}^2, \mathbf{v}_{\mathbf{k}s}^1, \mathbf{v}_{\mathbf{k}s}^2$  are 4-vectors solving the EOM :

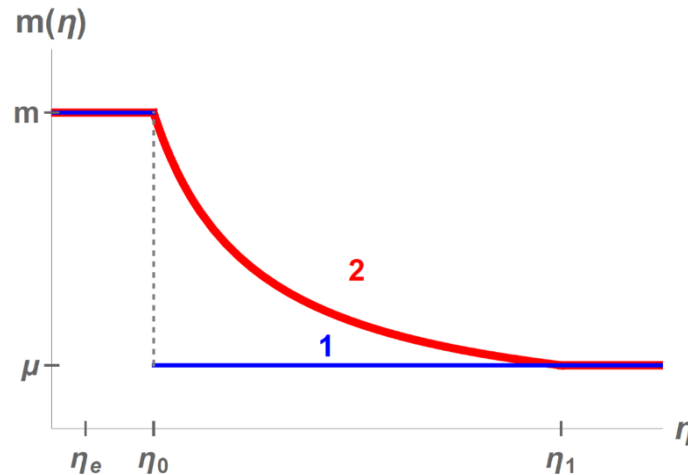
$$i\partial_\eta \mathbf{f} = \begin{pmatrix} 0 & ks & am & 0 \\ ks & 0 & 0 & -am \\ am & 0 & aM & ks \\ 0 & -am & ks & -aM \end{pmatrix} \mathbf{f} = H \mathbf{f}$$

**Flavorful** Bogolyubov transform:

$$\mathbf{a} \rightarrow \tilde{\mathbf{a}} = \mathcal{O}^* \mathbf{a} \quad \text{with} \quad \mathbf{a} = \begin{pmatrix} a^1 \\ b^{1\dagger} \\ a^2 \\ b^{2\dagger} \end{pmatrix}_\alpha$$

$$\tilde{\mathcal{N}}_{\text{tot}} = \sum_\alpha \left( \tilde{a}^{1\dagger} \tilde{a}^1 + \tilde{a}^{2\dagger} \tilde{a}^2 + \tilde{b}^{1\dagger} \tilde{b}^1 + \tilde{b}^{2\dagger} \tilde{b}^2 \right)_\alpha$$

Mass time-dependence:



production stage :  $m(0)$

present stage :  $\frac{m(\infty)^2}{M} \lll m(0)$

Features:

- Lorentz-flavor conversion
- (Currently) **massless states efficiently produced (!)**

Upper bound on abundance:

$$Y \lesssim 10^{-3} \times \left( \frac{m}{M_{\text{Pl}}} \right)^{3/2} \lesssim 10^{-11}$$

- **The gravity of gravity is underappreciated**
- ***Weighs in* on non-thermal DM models (often unfavorably)**
- **Progress on gravity effects in realistic settings**

## Back-up

Fermion production via QG ops:

$$\frac{\mathcal{C}}{M_{\text{Pl}}} \phi^2 \bar{\Psi} \Psi$$

Abundance constraint:

$$\mathcal{C} \lesssim 10^{-4} \Delta_{\text{NR}}^{1/2} \frac{M_{\text{Pl}}^{3/4} H_e^{1/4}}{\phi_0} \sqrt{\frac{\text{GeV}}{M}}$$

Example:

$$H \sim 10^{13} \text{ GeV} , \quad m > 1 \text{ GeV} \quad \Rightarrow \quad \mathcal{C} \leq 10^{-5}$$