

# Lecture IV:

## Evidences of Masses and Mixings - Current Status

*“Anyway, in as far as the neutrino masses are negligible compared to the charged lepton masses, the **observable effects** of leptonic mixing angles are limited to **fairly exotic** effect such as **neutrino oscillations**.”*

**Froggatt & Nielsen**

**WHAT HAVE WE ALREADY  
LEARNED FROM  
OSCILLATION EXPERIMENTS ?**

# HOW DO WE CLASSIFY OSCILLATION EXPERIMENTS?

## Four Basic Types

$$\nu_{\alpha} \longrightarrow \nu_{\alpha}$$

$$\bar{\nu}_{\alpha} \longrightarrow \bar{\nu}_{\alpha}$$

**disappearance experiments**

we talk about survival probability

$$\nu_{\alpha} \longrightarrow \nu_{\beta}$$

$$\bar{\nu}_{\alpha} \longrightarrow \bar{\nu}_{\beta}$$

**appearance experiments**

we talk about oscillation probability

# HOW DO WE COMPARE DATA WITH THEORY?

## WHAT DO EXPERIMENTS MEASURE?

The diagram illustrates the equation for the number of neutrinos detected,  $N_\alpha(L)$ , and its components:

$$N_\alpha(L) = A \int \Phi_\alpha(E) \sigma(E) P(\nu_\alpha \rightarrow \nu_\alpha; E, L) \epsilon(E) dE$$

Labels and their corresponding terms in the equation:

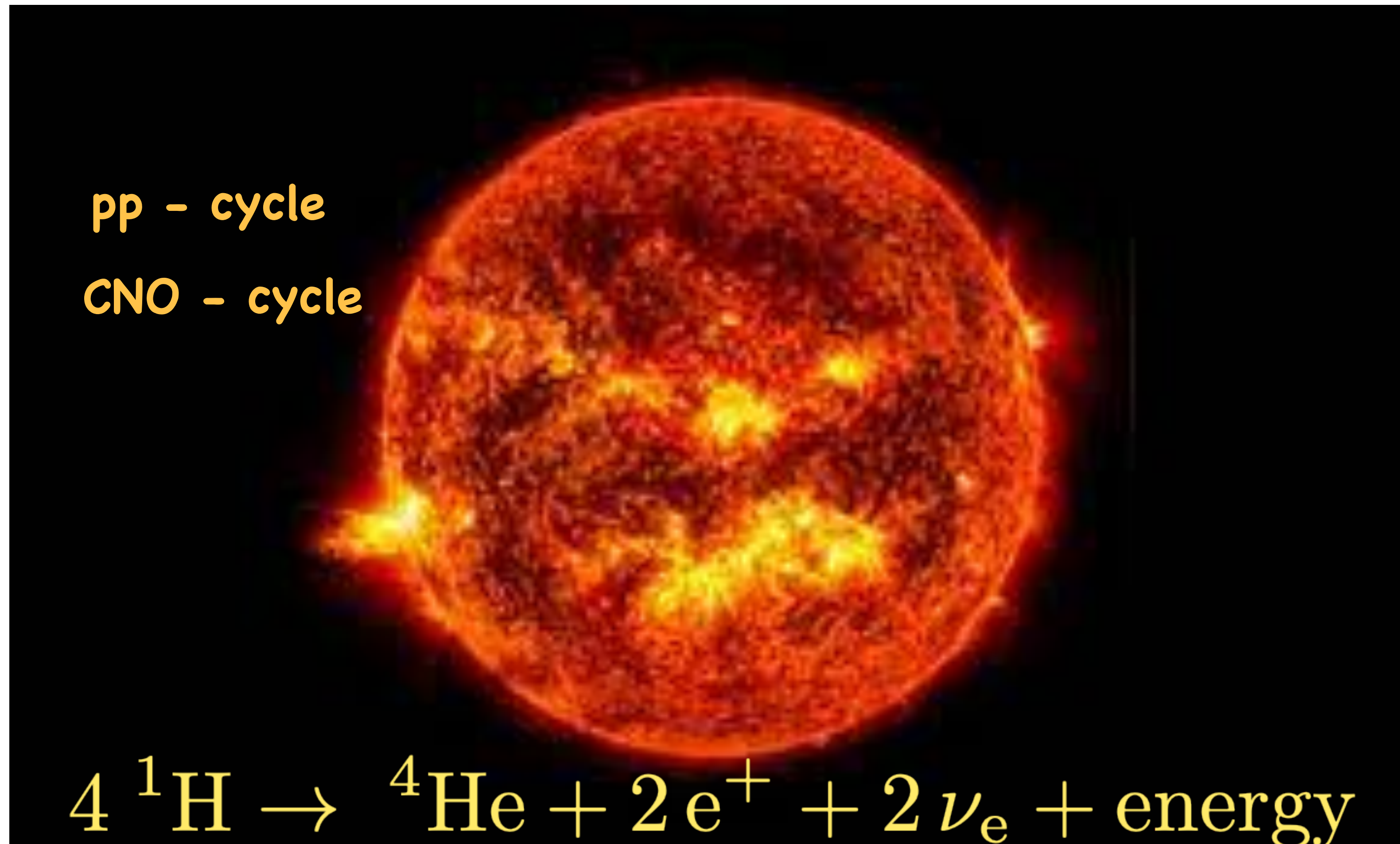
- [Experiment]** # of neutrinos:  $N_\alpha(L)$
- [Experiment]** number of targets x time:  $A$
- [Type of Source]**  $\nu$  Flux:  $\Phi_\alpha(E)$
- [Theory]** x-section:  $\sigma(E)$
- [Theory]** survival probability:  $P(\nu_\alpha \rightarrow \nu_\alpha; E, L)$
- [Experiment]** detector efficiency:  $\epsilon(E)$

# Solar Neutrinos

# Solar Neutrinos

## Standard Solar Model

[H. A. Bethe, "Energy production in stars" (1939)]



[J. Bahcall et al. (2001)]

[Vinyoles et al. (2017)]

[@NASA]

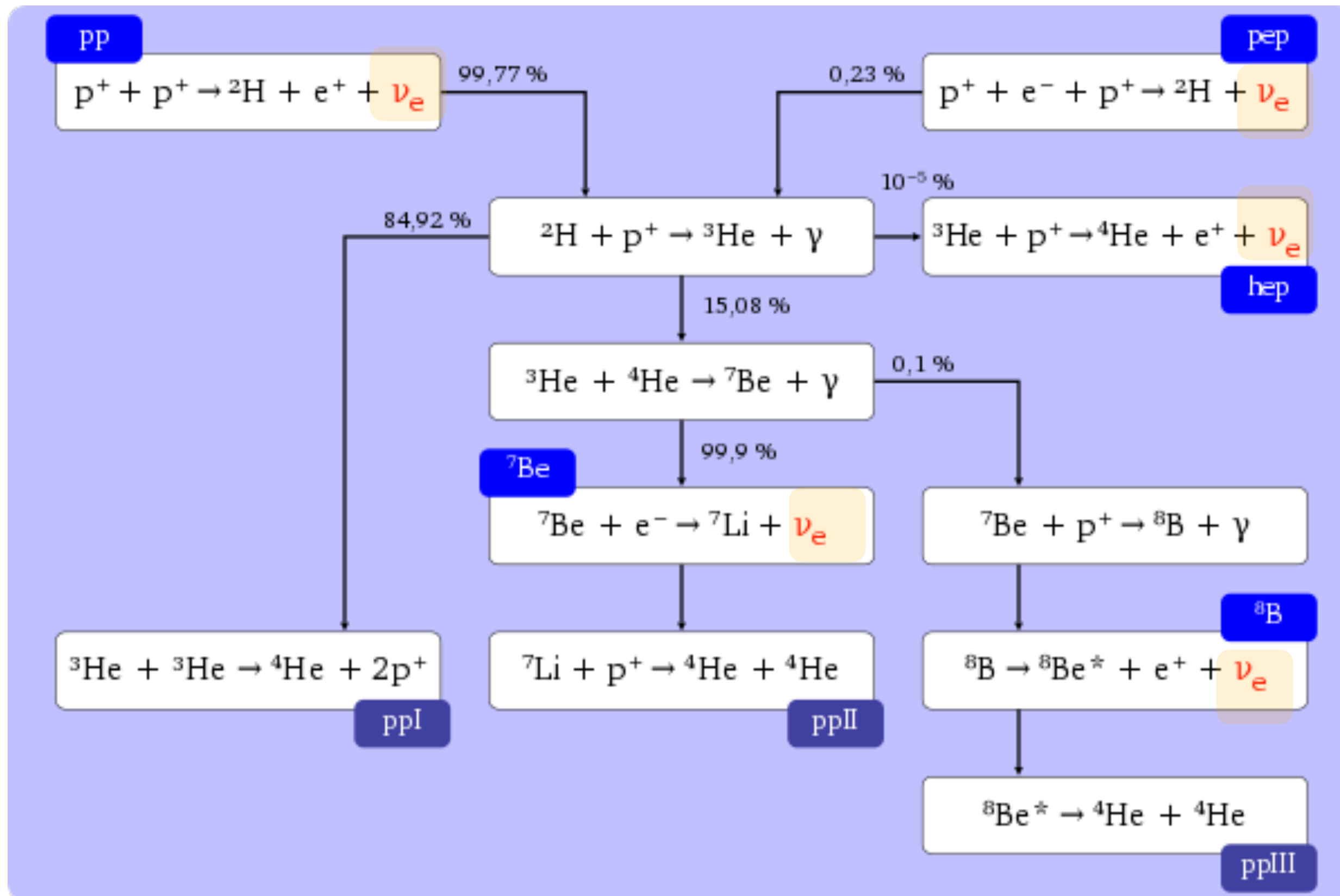
only electron neutrinos are produced in the Sun

# Solar Neutrinos

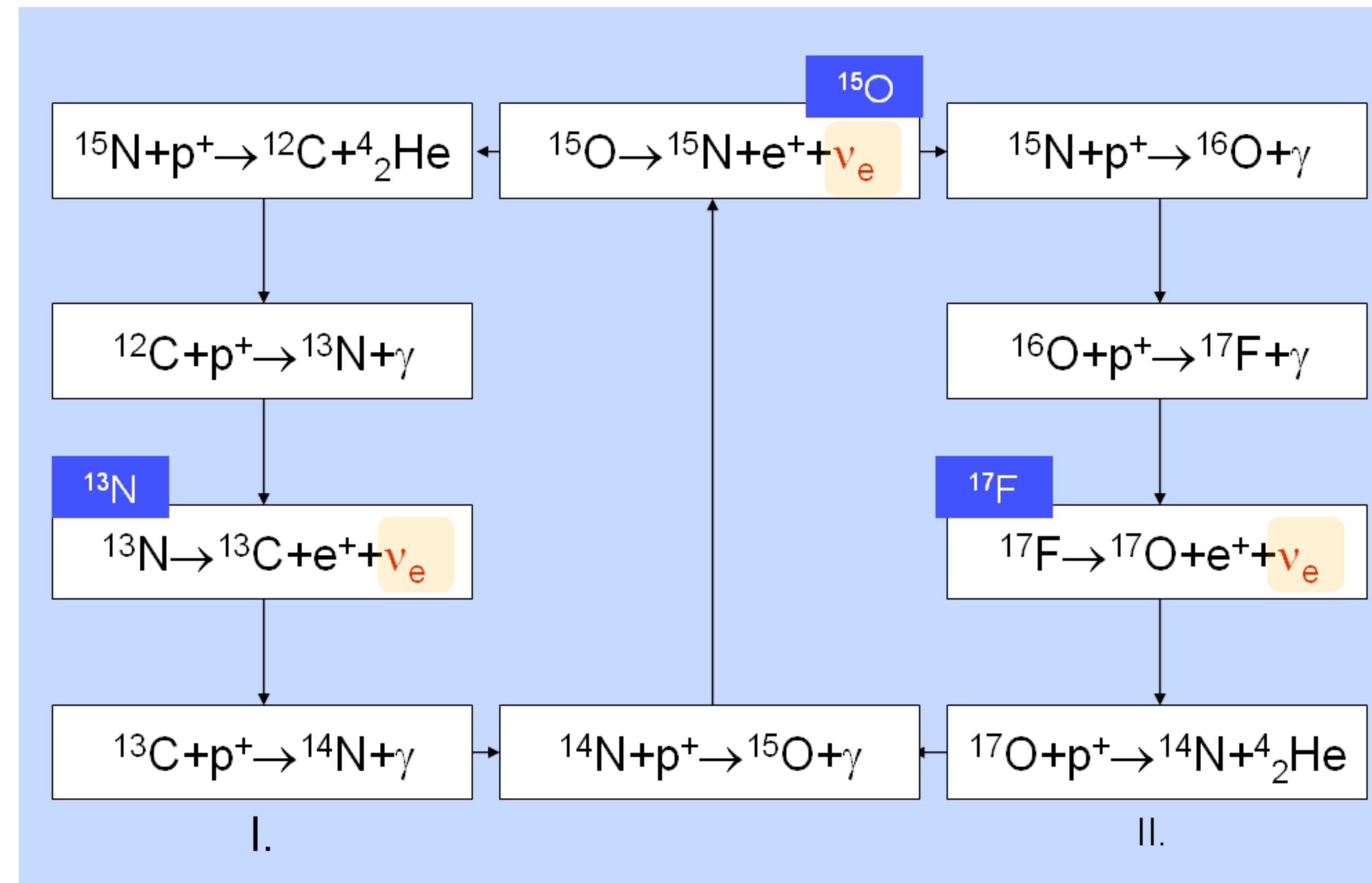
## Standard Solar Model

chains of thermonuclear reactions

pp-cycle (99% of energy output of the Sun)



CNO-cycle

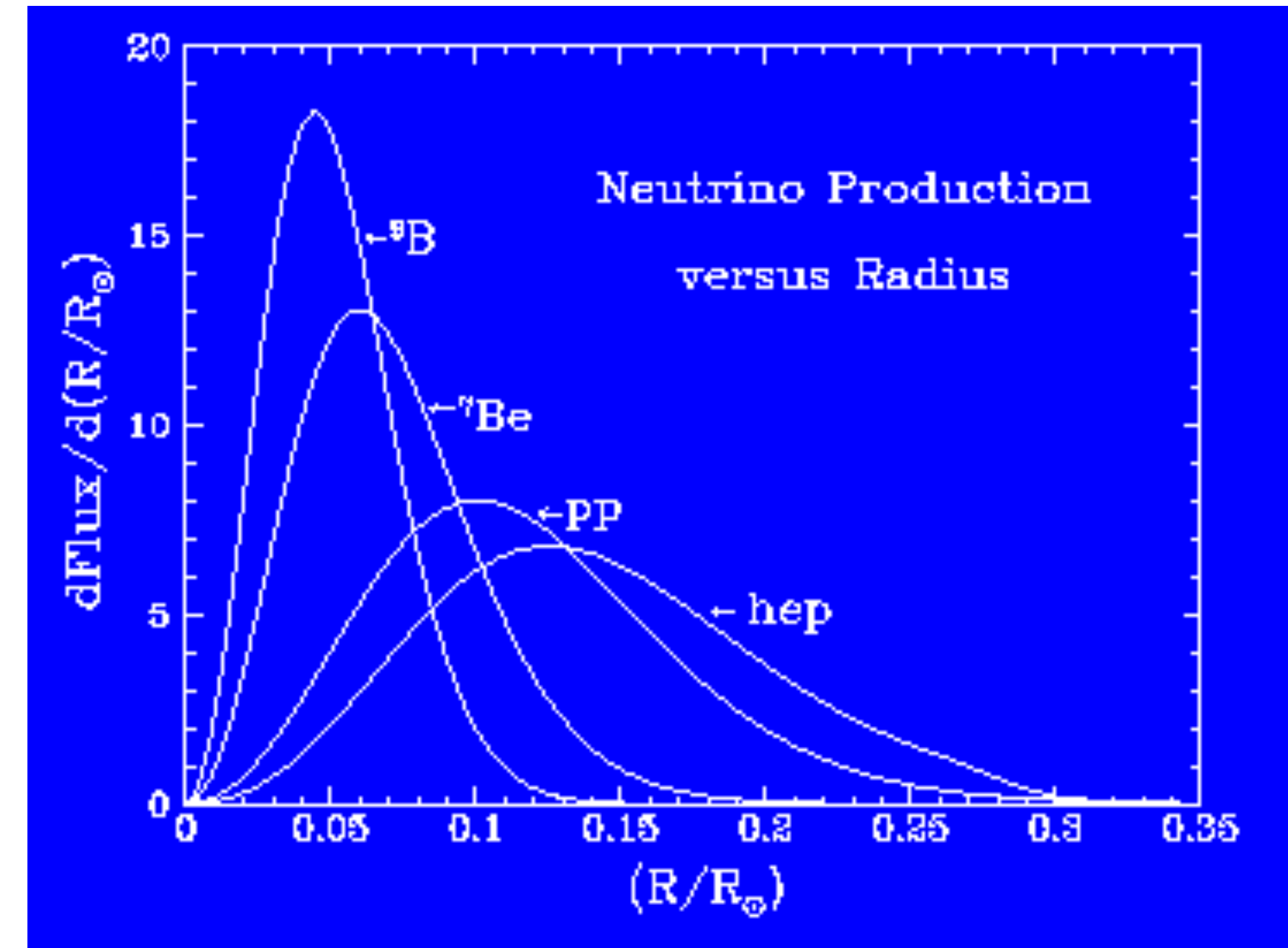
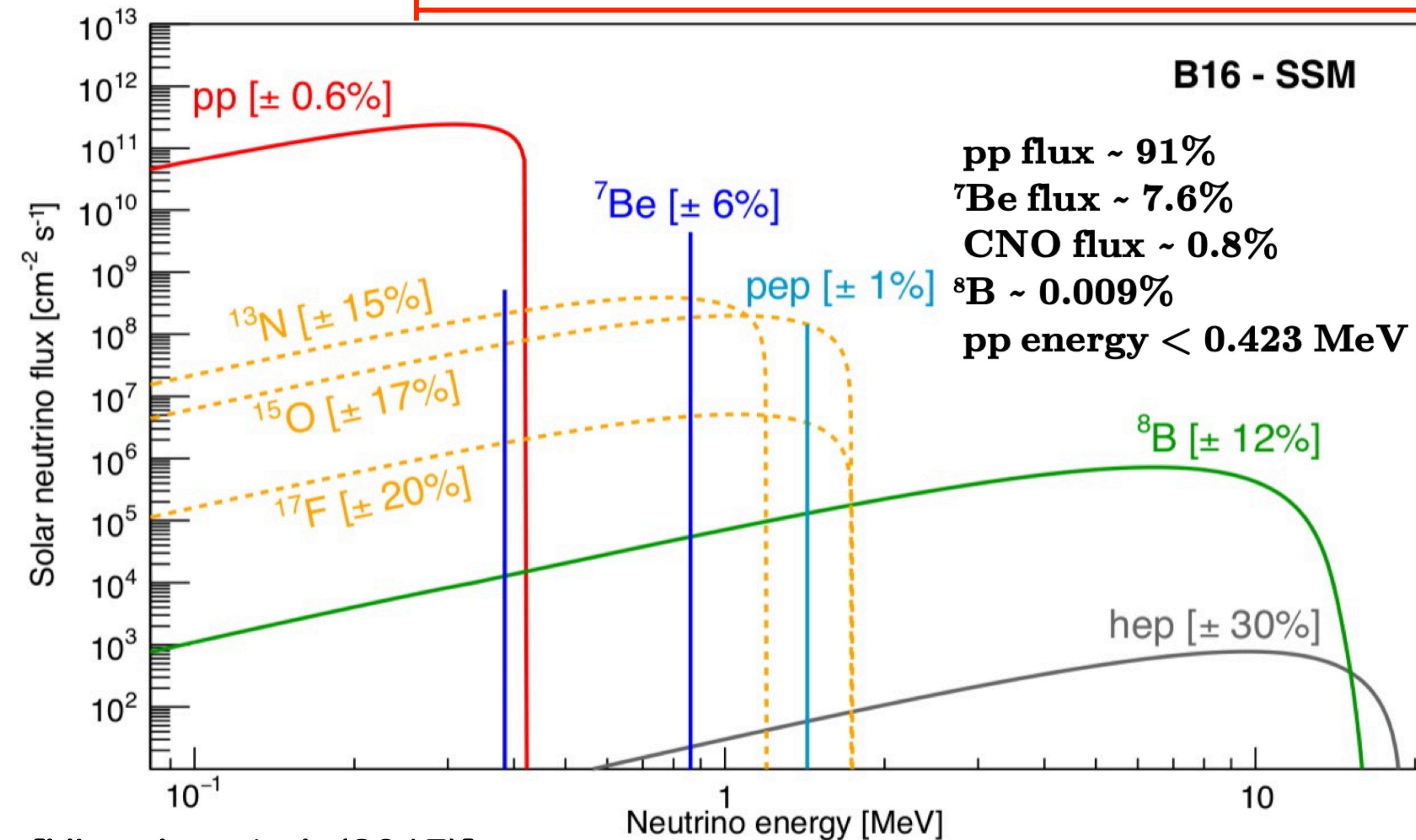


# Solar Neutrino Flux

## Standard Solar Model

GALLIUM  
CHLORINE  
WATER  
LIQUID SCINTILLATOR

GALLEX/GNO/SAGE  
HOMESTAKE  
KAMIOKANDE/SuperK/SNO  
BOREXINO



Production Point Distribution

[Vinyoles et al. (2017)]

Energy Distribution

[@J.Bahcall]

# Solar Neutrinos

## $\nu_e$ Survival Probability

Solar neutrinos undergo matter effects inside the Sun and also when they travel through the Earth (ignored here)  
In the Sun the problem reduces to an effective 2 flavor problem

[show this]

$$P_{3\nu}(\nu_e \rightarrow \nu_e) = s_{13}^4 + c_{13}^4 P_{2\nu}(\nu_e \rightarrow \nu_e; V_{CC} \rightarrow c_{13}^2 V_{CC})$$

$$P_{2\nu}(\nu_e \rightarrow \nu_e; V_{CC} \rightarrow c_{13}^2 V_{CC}) = |\mathcal{A}_{ee}(R_\odot)|^2$$

Survival Probability at the surface

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Survival Probability at the surface

finally we have to take into account the energy distribution and the distribution of the production point

$$P_{2\nu}^X = \overline{\cos^2 \theta_m} \cos^2 \theta_{12} + \overline{\sin^2 \theta_m} \sin^2 \theta_{12} \quad X = \text{pp}, {}^7\text{Be}, {}^8\text{B}, \text{etc.}$$

averaged over production point and energy distribution

# Solar Neutrinos

## Adiabatic Transition

in our current notation

$$\Delta m_{21}^2 \equiv \delta m_{\odot}^2$$

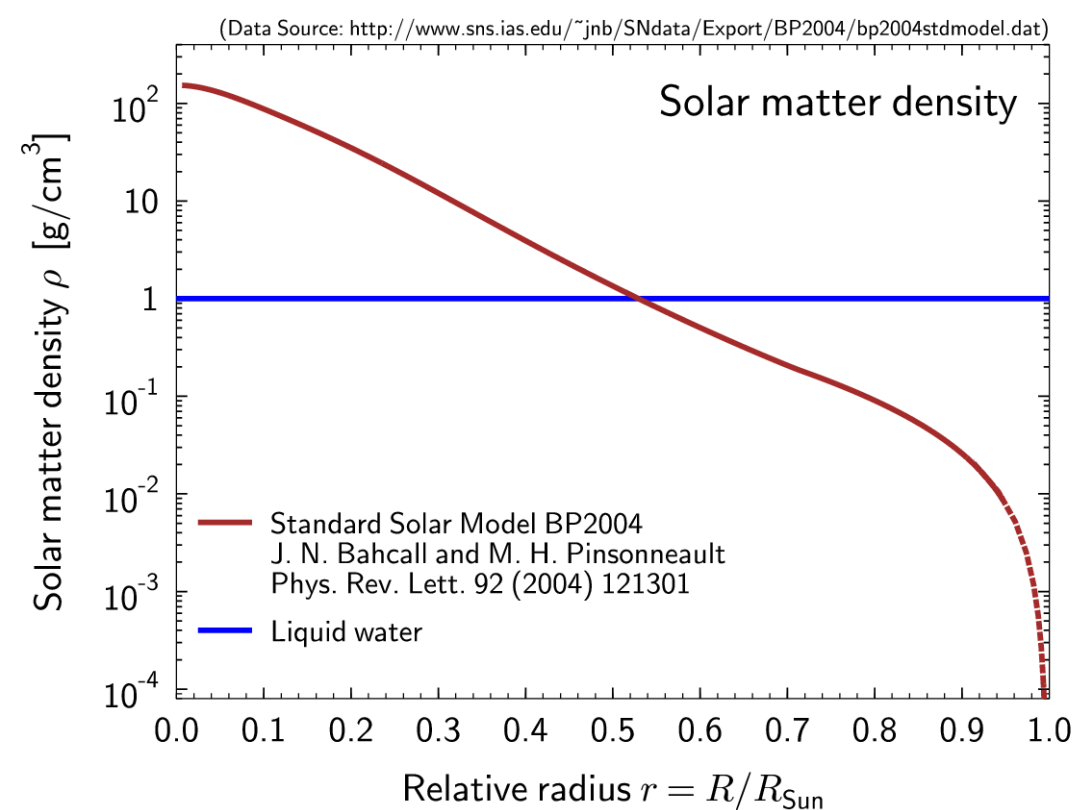
$$\sin^2 \theta_{12} \equiv \sin^2 \theta_{\odot}$$

$$\sin^2 \theta_m \equiv \sin^2 \theta_{\odot}^N$$

Solar neutrinos

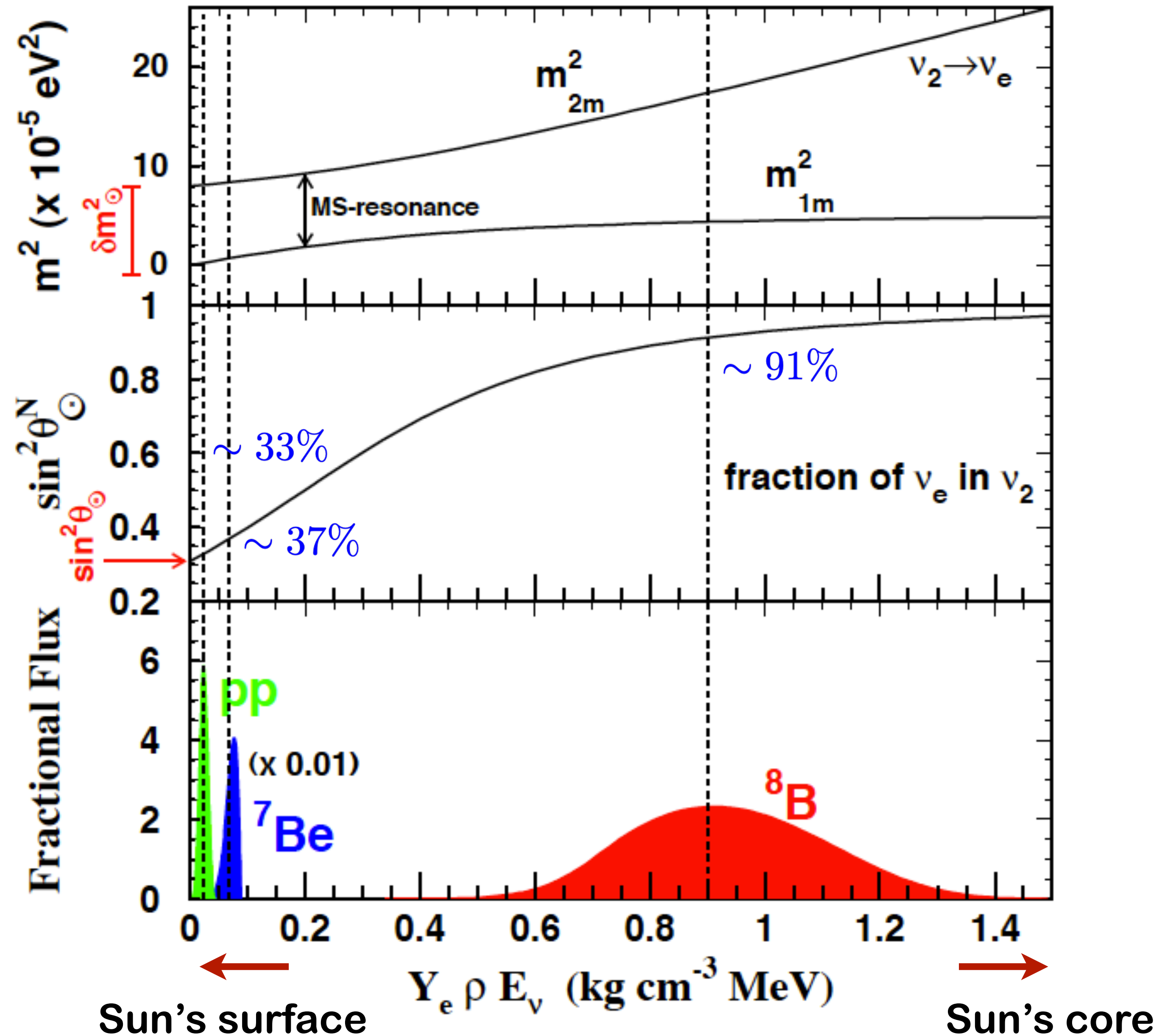
tell us that

$$\Delta m_{21}^2 > 0$$



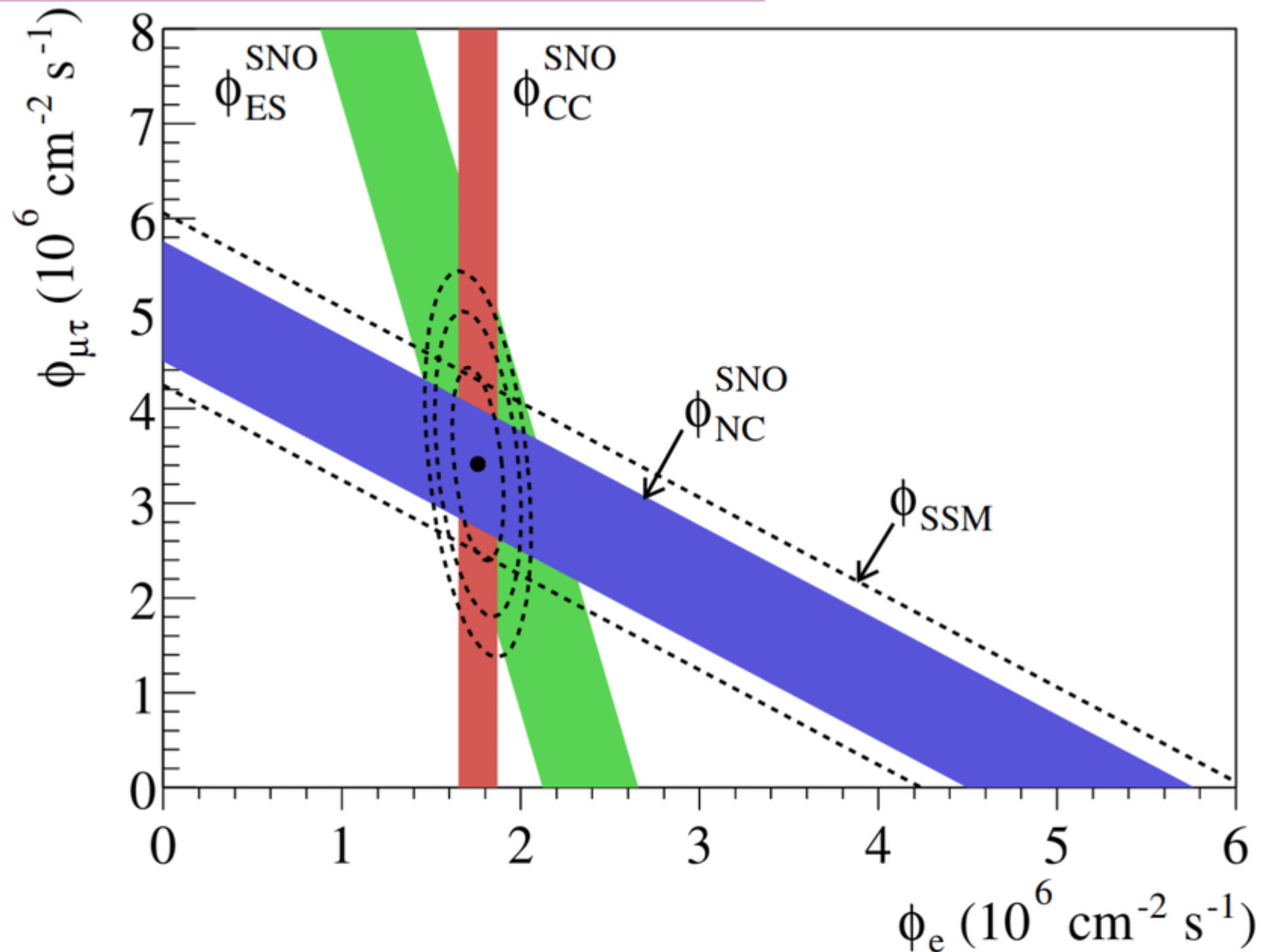
$$\nu_e \equiv \nu_2$$

Sun's core

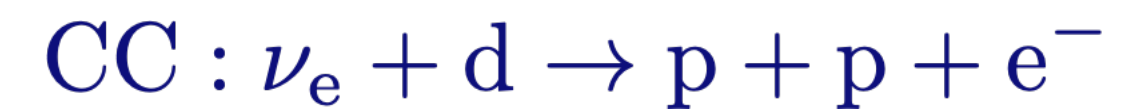


# Solar Neutrinos

## SNO Historical Results



[Taken from SNO Collab. (2003)]



$$E_{\text{threshold}} = 1.4 \text{ MeV}$$



$$E_{\text{threshold}} = 2.2 \text{ MeV}$$



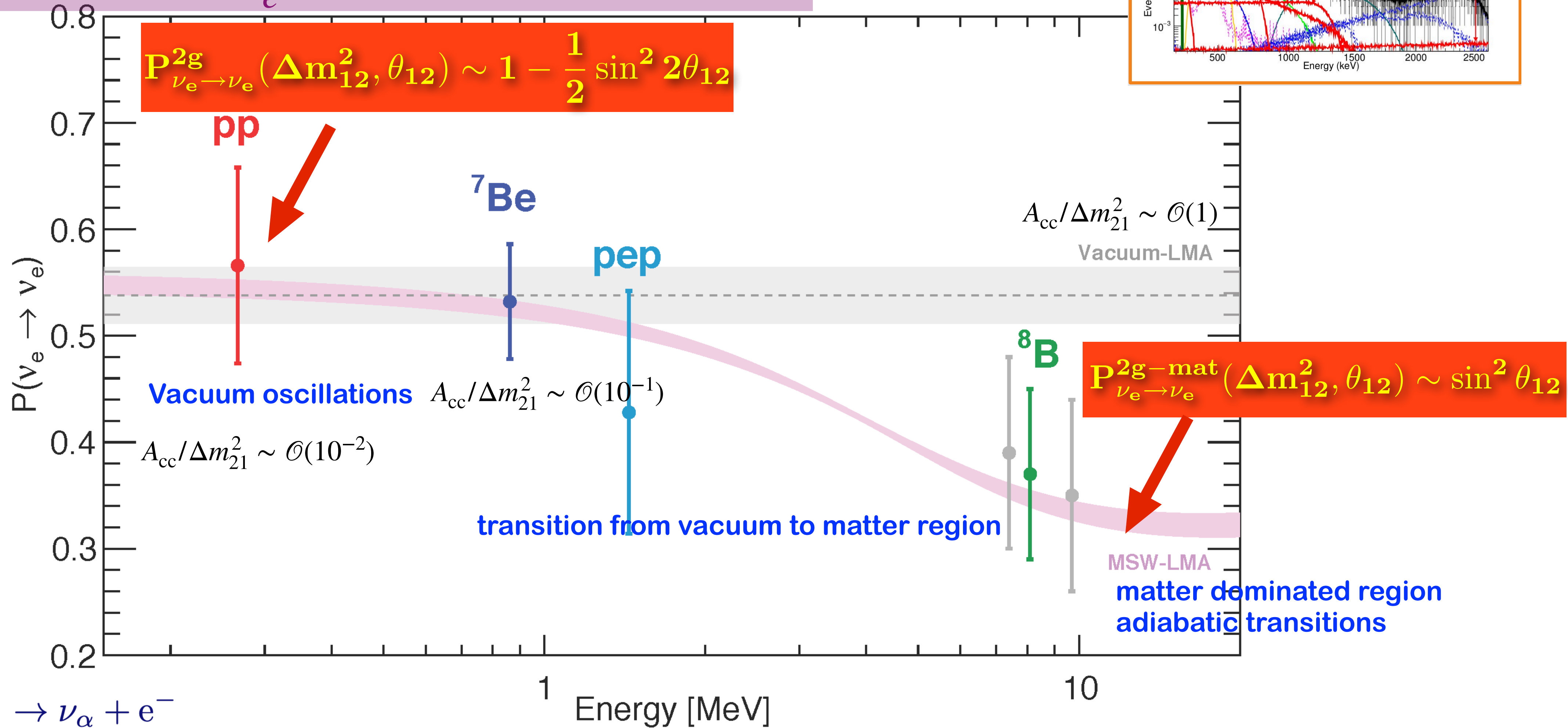
$$E_{\text{threshold}} = 0$$



# Solar Neutrinos

## General Picture of $\nu_e$ transitions in the Sun

$$A_{cc} = 2EV_{cc}$$



[Taken from Borexino Collab. (2018)]

$$P_{\nu_e \rightarrow \nu_e}^{3g} = \sin^4 \theta_{13} + \cos^4 \theta_{13} P_{\nu_e \rightarrow \nu_e}^{2g-mat}(\Delta m_{12}^2, \theta_{12})$$

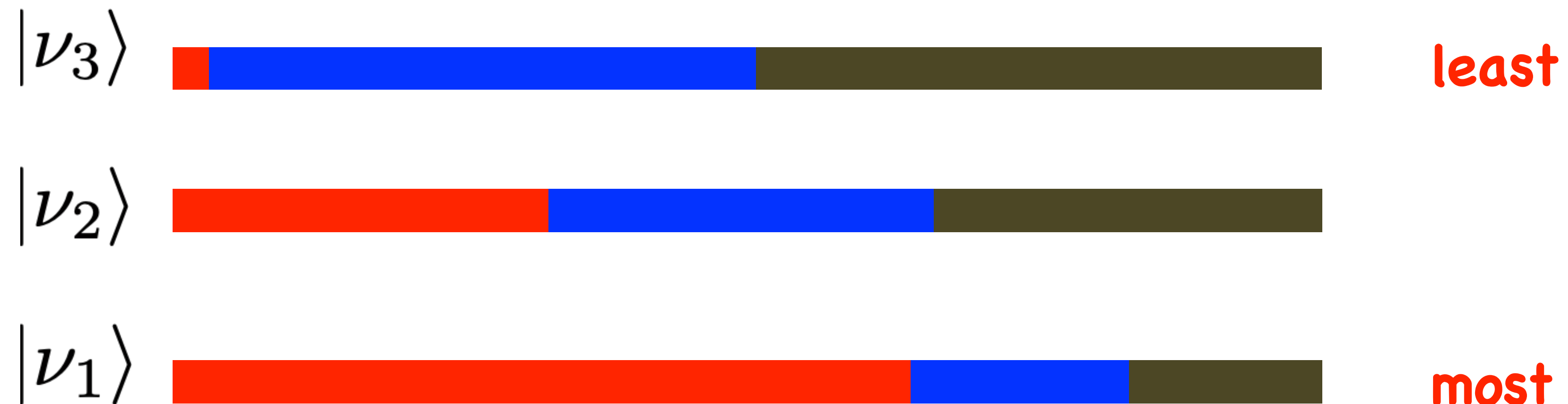
# Allows us to Label the Mass Eigentates

Using data results

using  $|\nu_e\rangle$  content


since SNO we know that

$$|U_{e1}| > |U_{e2}| > |U_{e3}| \quad [\sin^2 \theta_{12} \simeq 0.3]$$



$\nu_e$  

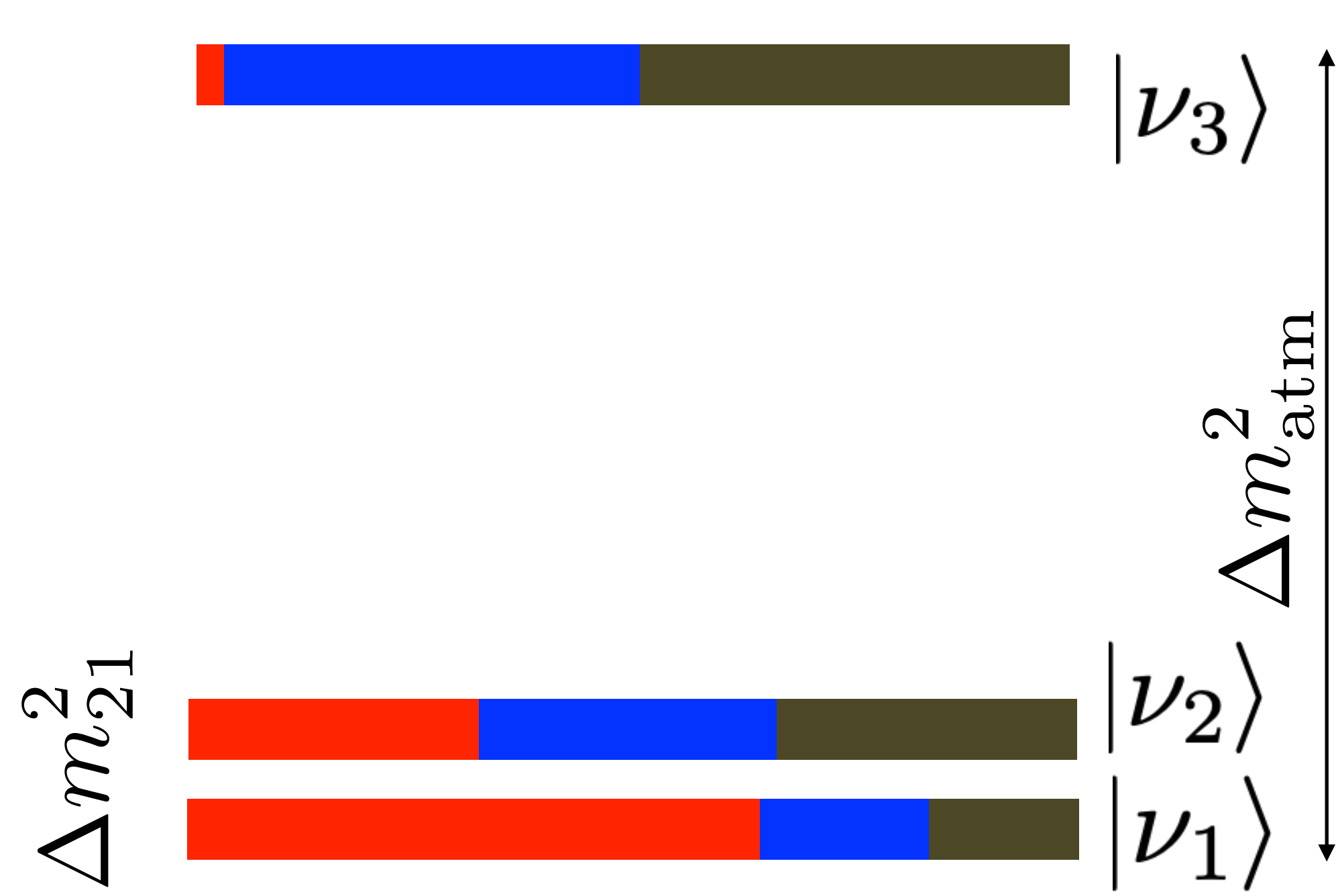
$\nu_\mu$  

$\nu_\tau$  

# What is the neutrino mass Ordering?

We currently have two possibilities

normal ordering

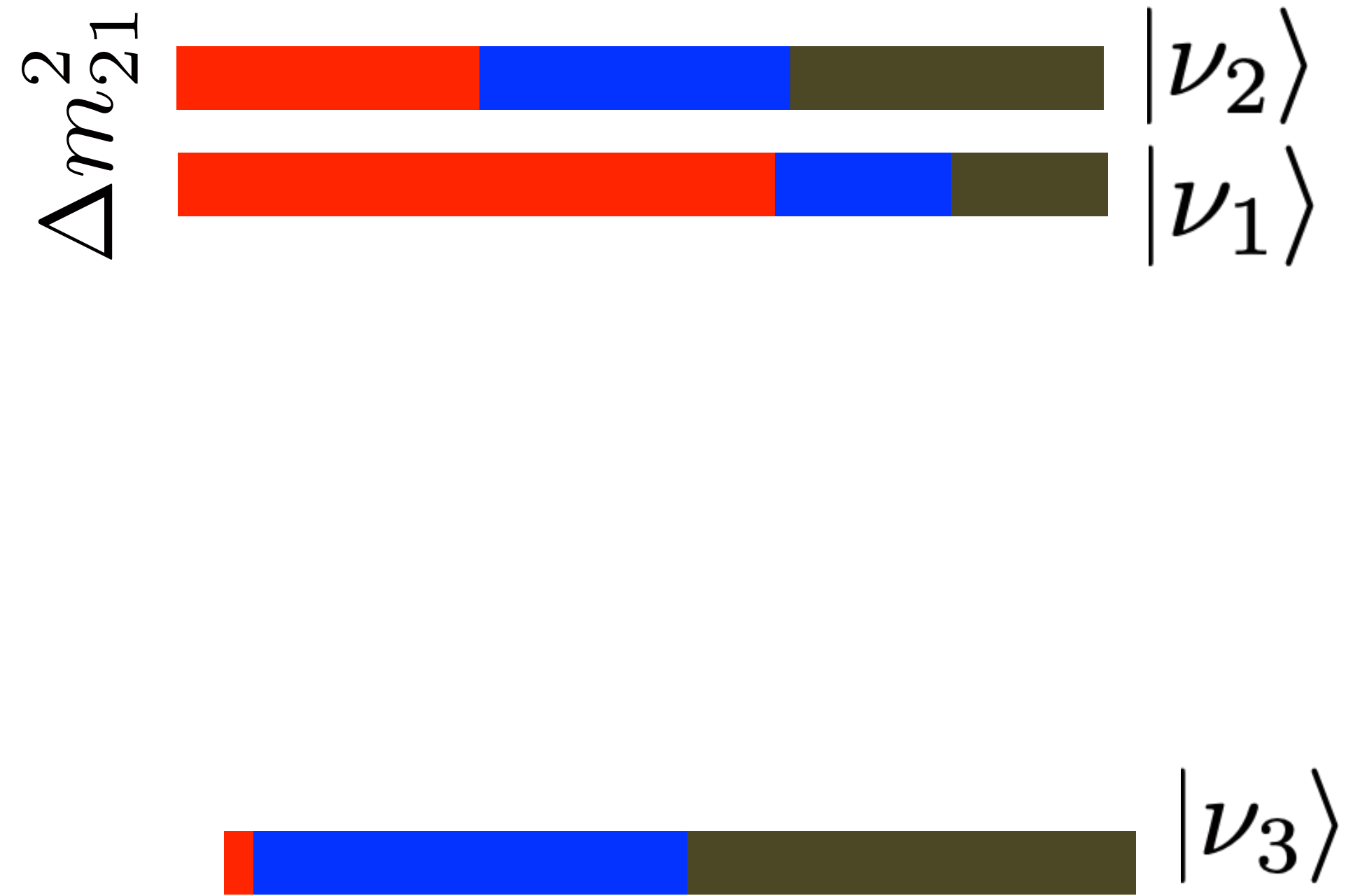


$\nu_e$  ■

$\nu_\mu$  ■

$\nu_\tau$  ■

inverted ordering



# Reactor Neutrinos

# Reactor Neutrinos

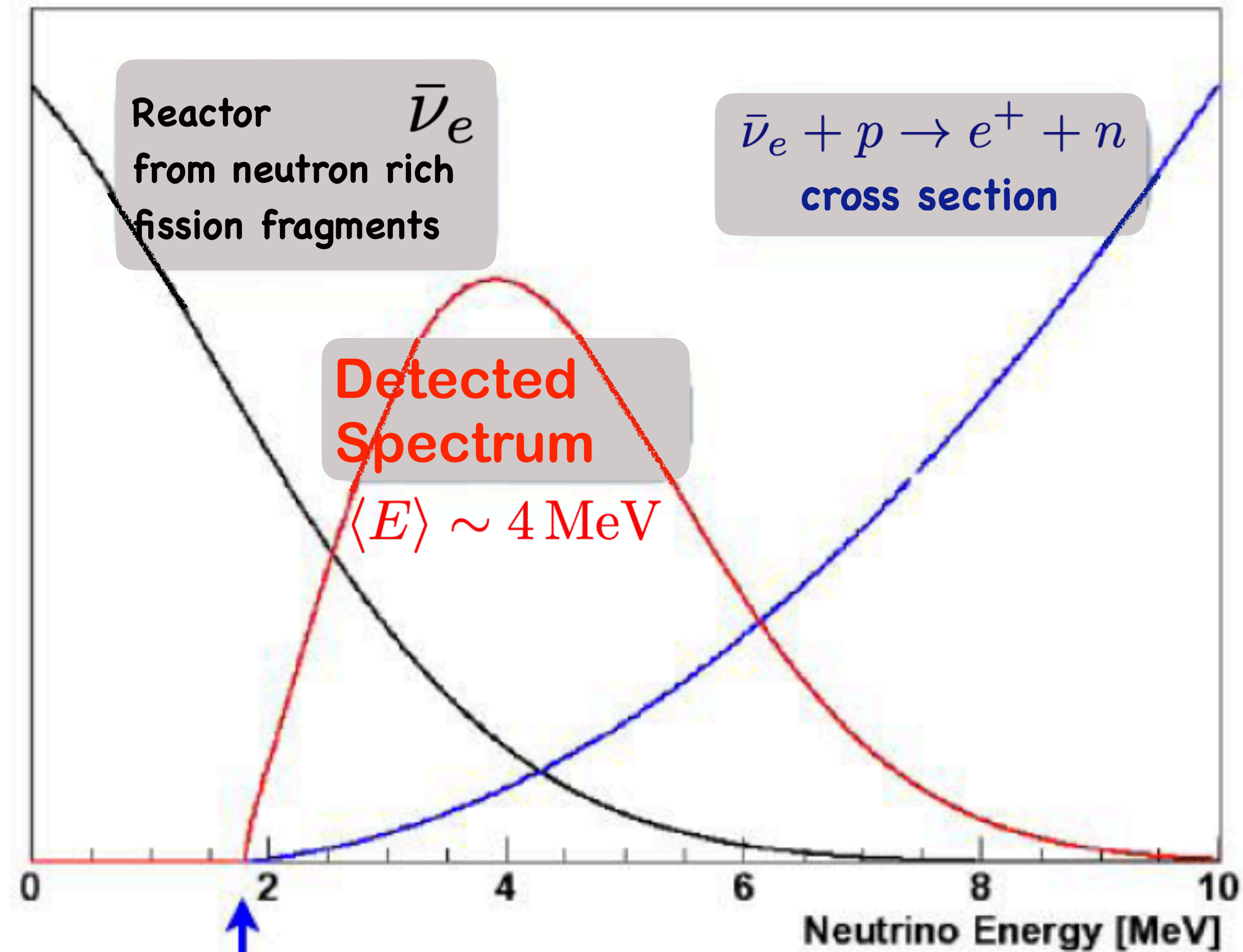
## Disappearance of antineutrinos

commercial reactors fuel

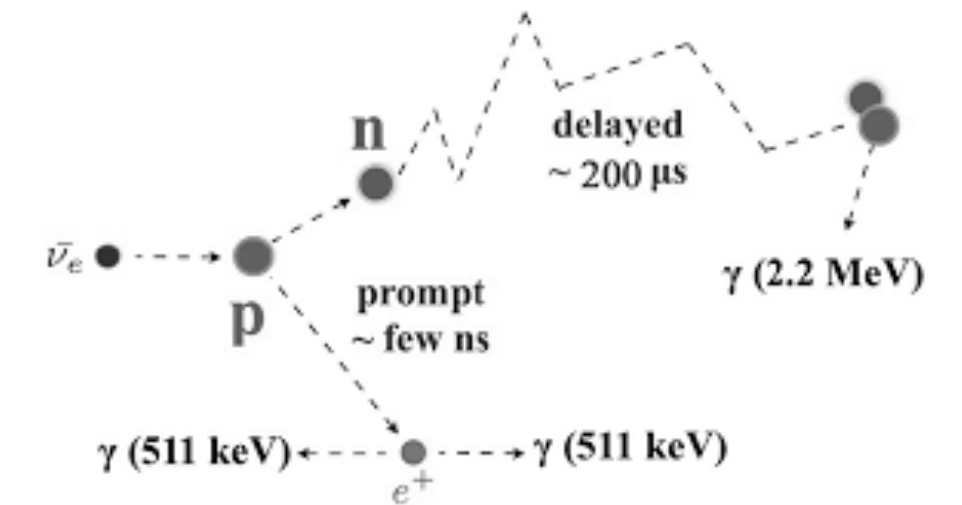
$^{235}\text{U}$  ( $\sim 50 - 60\%$ ),  $^{239}\text{Pu}$  ( $\sim 25 - 35\%$ ),  $^{238}\text{U}$  ( $\lesssim 8\%$ ),  $^{241}\text{Pu}$  ( $\lesssim 6\%$ )

Neutrino Flux from commercial nuclear reactors

$$2 \times 10^{20} \quad \bar{\nu}_e / \text{GW}_{\text{th}}$$



### Liquid Scintillator Detectors



1.8 MeV threshold in Inverse Beta Decay

# Reactor Neutrino Oscillations

Disappearance of antineutrinos

$$\Delta_{ij} \equiv \frac{\Delta m_{ij}^2 L}{4E}$$

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} = 1 - \frac{1}{2} \sin^2 2\theta_{13} \left[ 1 - \sqrt{1 - \sin^2 2\theta_{12} \sin^2 \Delta_{21}} \cos(2|\Delta_{ee}| \pm \Phi_{\odot}) \right] - P_{\odot}$$

in vacuum

$$P_{\odot} = \sin^2 2\theta_{12} \cos^4 \theta_{13} \sin^2 \Delta_{21} \quad \text{solar term}$$

effective atmospheric  $\Delta m_{\text{atm}}^2$  for reactor experiments

$$\Delta_{ee} \equiv \frac{\Delta m_{ee}^2 L}{4E}$$

$$\Delta m_{ee}^2 \equiv \cos^2 \theta_{12} \Delta m_{31}^2 + \sin^2 \theta_{12} \Delta m_{32}^2$$

+NO | -IO

retardation/advancement of the phase

$$\Phi_{\odot} = \arctan(\cos 2\theta_{12} \tan \Delta_{21}) - \Delta_{21} \cos 2\theta_{12}$$

Very useful for JUNO

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in vacuum

since we know

$$\Delta m_{ee}^2 \sim 3 \times 10^{-3} \text{ eV}^2$$

$$L_{\odot}^{ee} \sim 3 \text{ km}$$

$$\Delta m_{21}^2 \sim 7 \times 10^{-5} \text{ eV}^2$$

$$L_{\odot}^{12} \sim 140 \text{ km}$$

$$\langle E \rangle \sim 4 \text{ MeV}$$

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averaged out

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in vacuum

KamLAND has a baseline about 180 km

SNO+ has a baseline > 240 km

$$L \approx L_{\odot}^{12} \gg L_{\odot}^{ee}$$

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the formula reduces to

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} = s_{13}^4 + c_{13}^4 P_{2\nu}(\bar{\nu}_e \rightarrow \bar{\nu}_e)$$

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negligible

negligible

negligible

in vacuum

Daya Bay/Reno/Double Chooz have a baseline of 1 km

$$L \approx L_{\odot}^{ee} \ll L_{\odot}^{12}$$

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Daya Bay/Reno/Double Chooz have a baseline of 1 km

effective atmospheric scale for  $\nu_e$  disappearance

$$L \approx L_{\odot}^{ee} \ll L_{\odot}^{12}$$

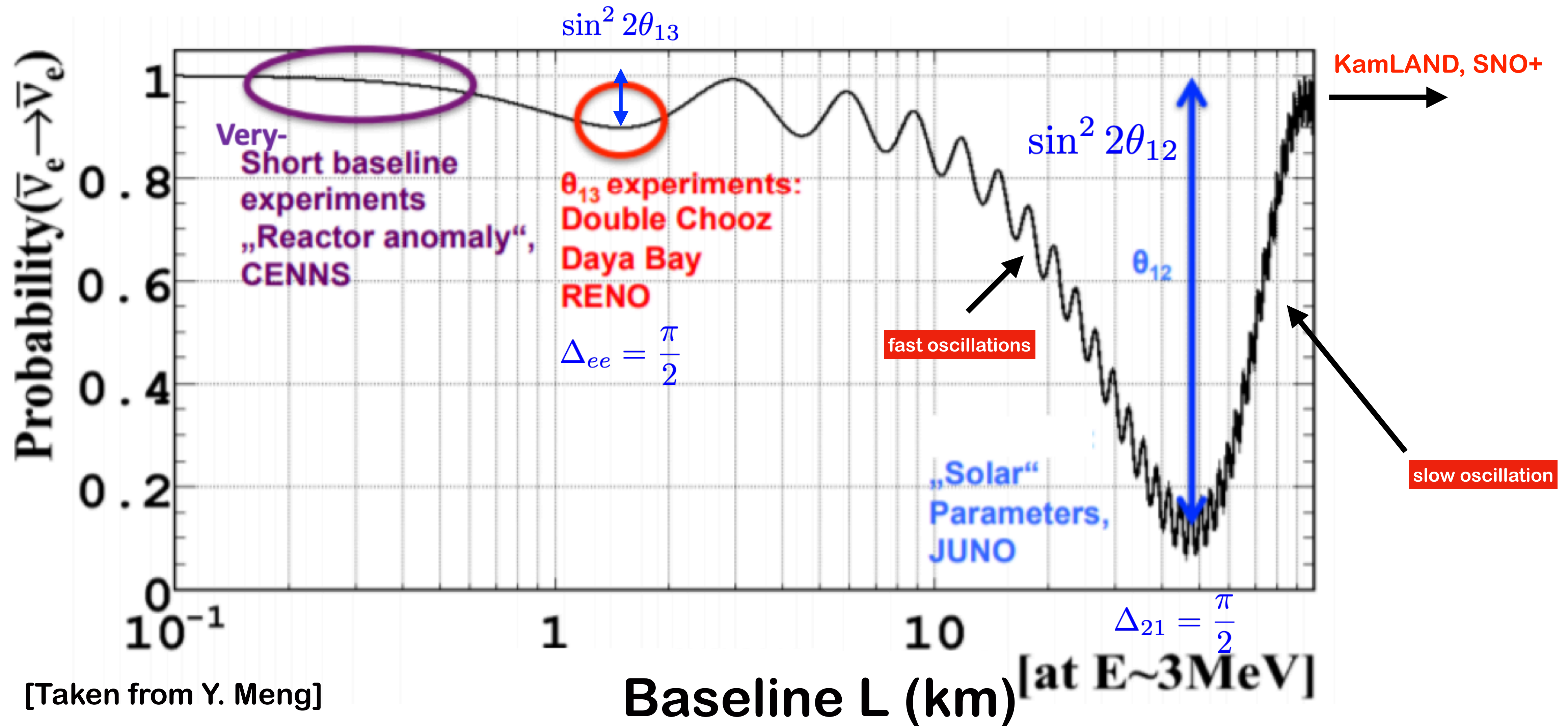
the formula reduces to

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} = 1 - \sin^2 2\theta_{13} \sin^2 \Delta_{ee}$$

+ matter effects are negligible

# Reactor Neutrino Oscillations

Disappearance of antineutrinos



[Taken from Y. Meng]

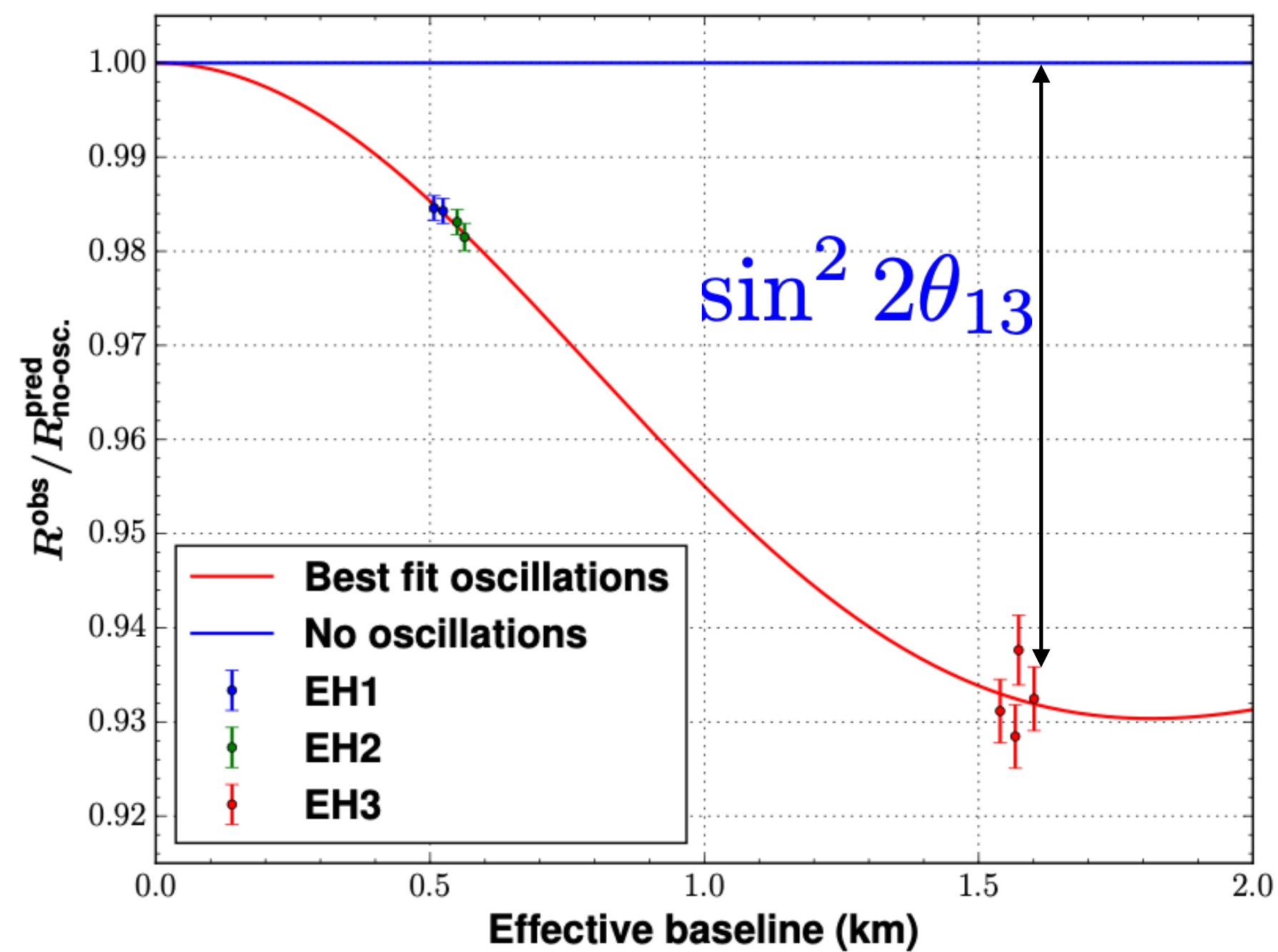


# Reactor Neutrinos

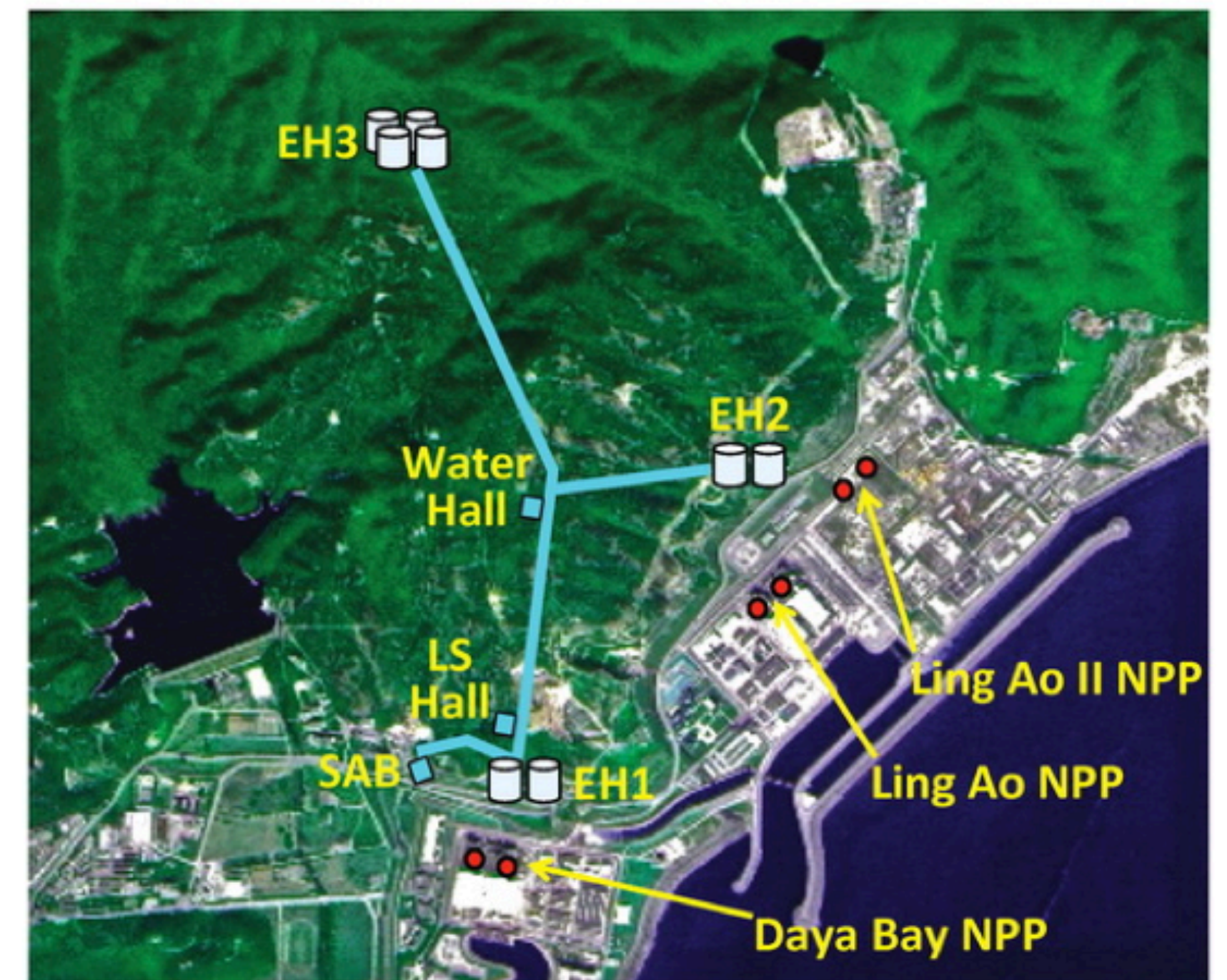
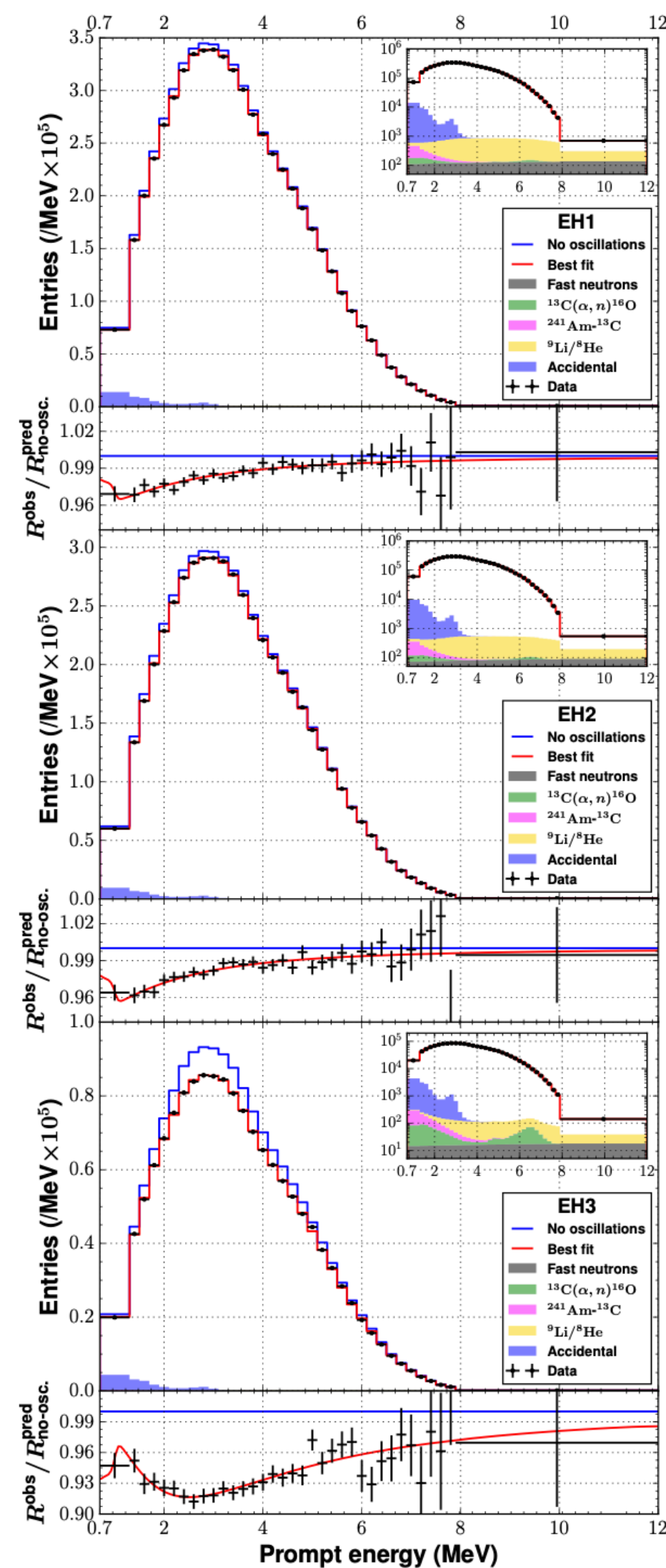
## Results from Daya Bay

Dominates the measurement of  $\sin^2 \theta_{13}$

2.5%



[Taken from Daya Bay Collab. (2017)]



# JUNO's Concept

$$\Delta_{ij} \equiv \frac{\Delta m_{ij}^2 L}{4E} \sim \frac{\pi}{2}$$

A medium baseline reactor neutrino oscillation experiment

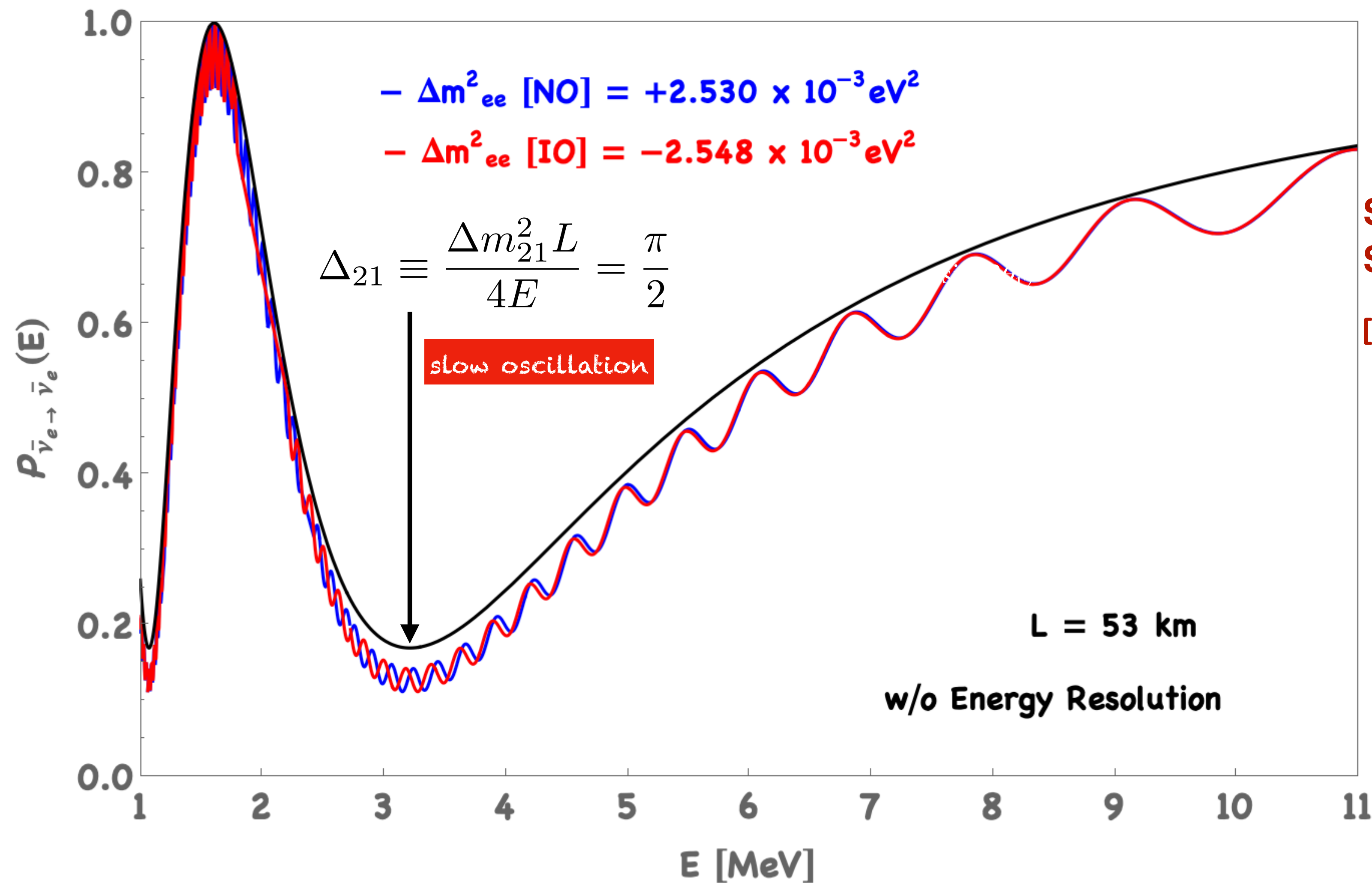
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solar term

$$P_{\odot} = \sin^2 2\theta_{12} \cos^4 \theta_{13} \sin^2 \Delta_{21}$$

# JUNO's Concept

## A medium baseline reactor neutrino oscillation experiment

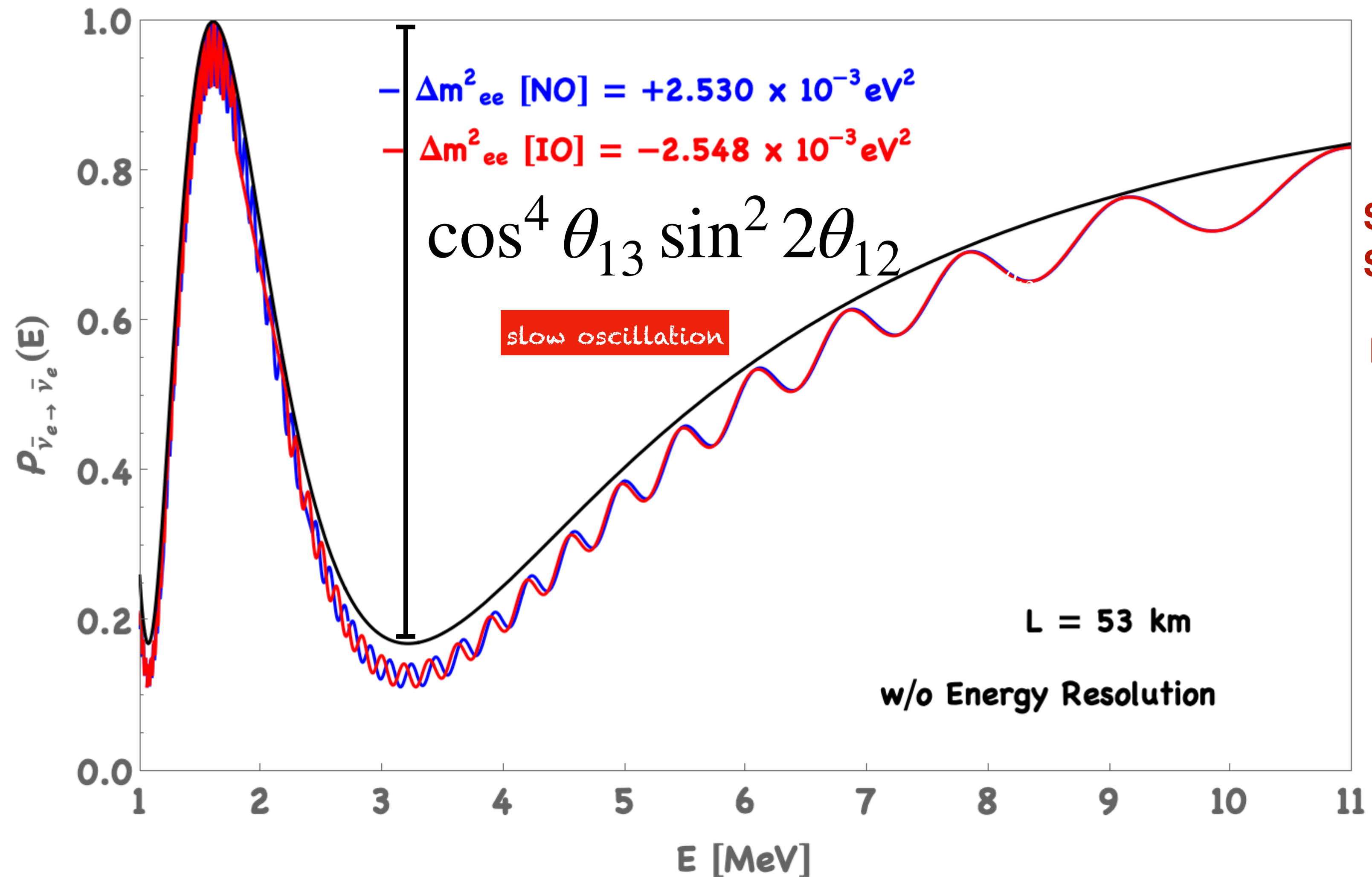


**SADO**  $\equiv$   
**Several-tens of km Antineutrino Detector**

[H.Minakata, H. Nunokawa, W.Teves,  
RZF (2005)]

# JUNO's Concept

A medium baseline reactor neutrino oscillation experiment



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Several-tens of km Antineutrino DetectOr

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solar term

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100 days

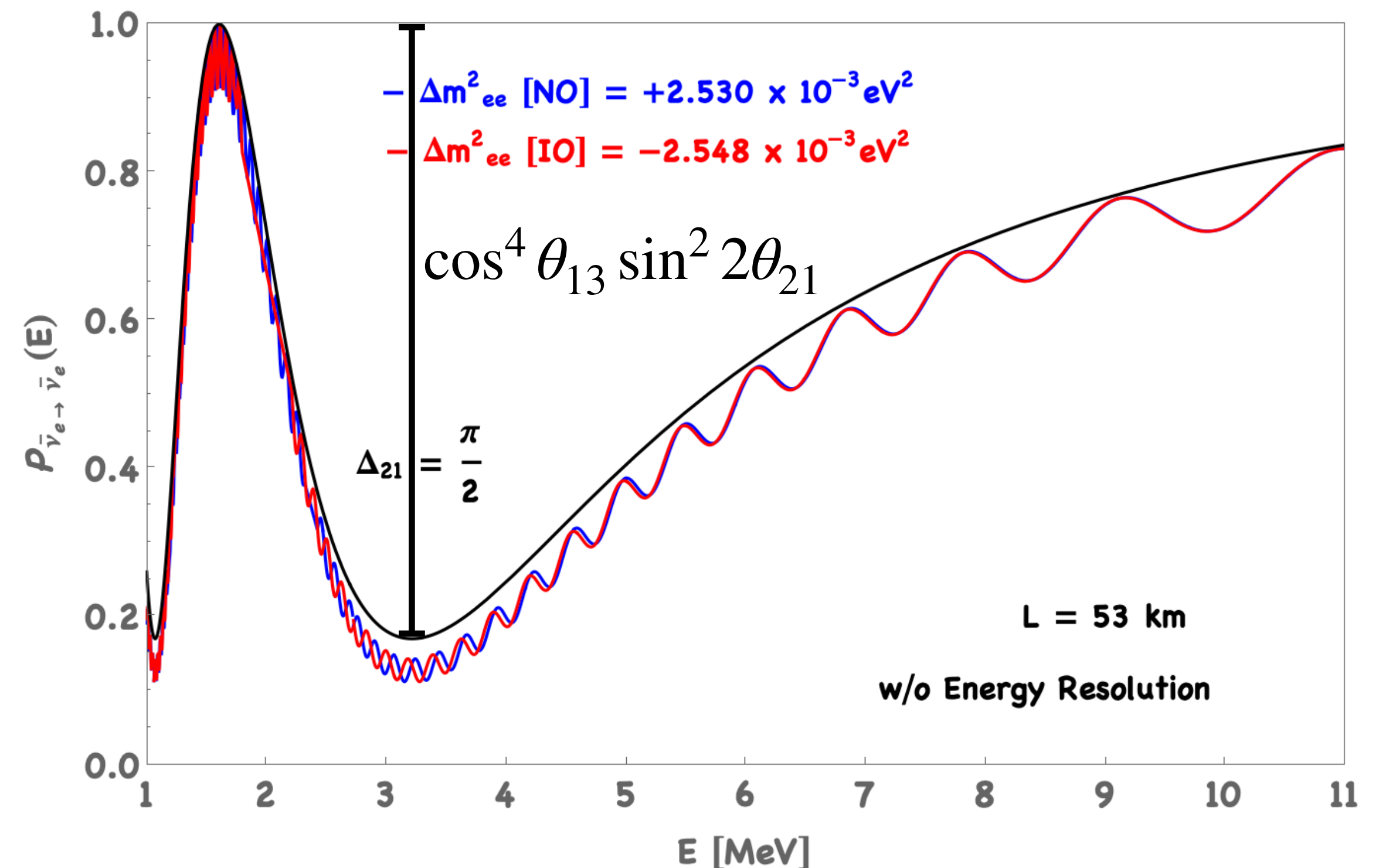
6 years

$$\Delta m_{21}^2 \sim 1.0 \% (2.5\%)$$

$$\sim 0.3 \%$$

$$\sin^2 \theta_{12} \sim 1.9 \% (3.9\%)$$

$$\sim 0.5 \%$$



# JUNO's Concept

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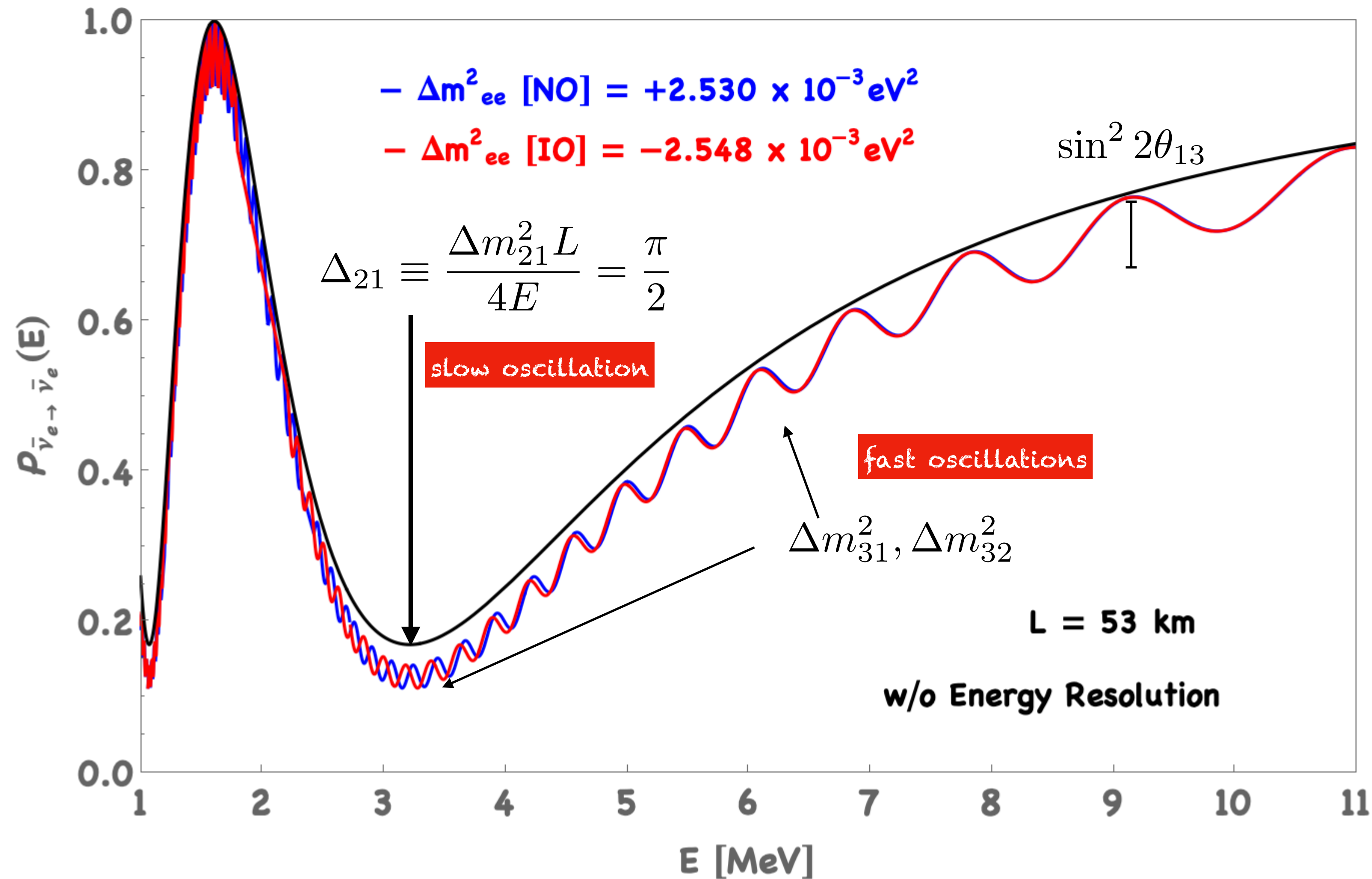
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# JUNO's Concept

## A medium baseline reactor neutrino oscillation experiment

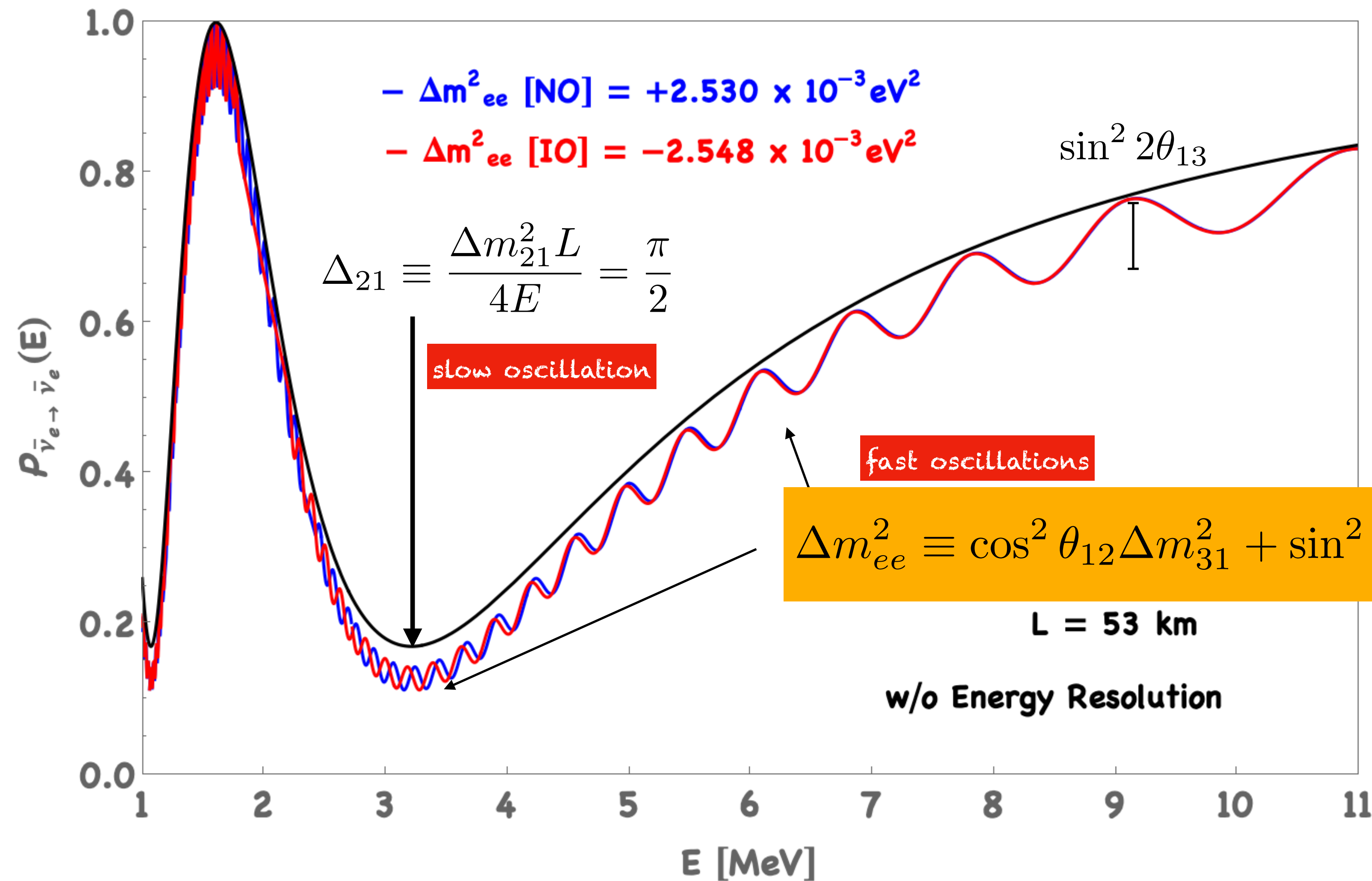


low and high frequency  
modes present

[S.T.Petcov, M Piai (2002)  
&  
S. Choubey+ (2003)]

# JUNO's Concept

## A medium baseline reactor neutrino oscillation experiment



effective atmospheric

$$\Delta m^2_{\text{atm}}$$

for reactor experiments

# JUNO's Concept

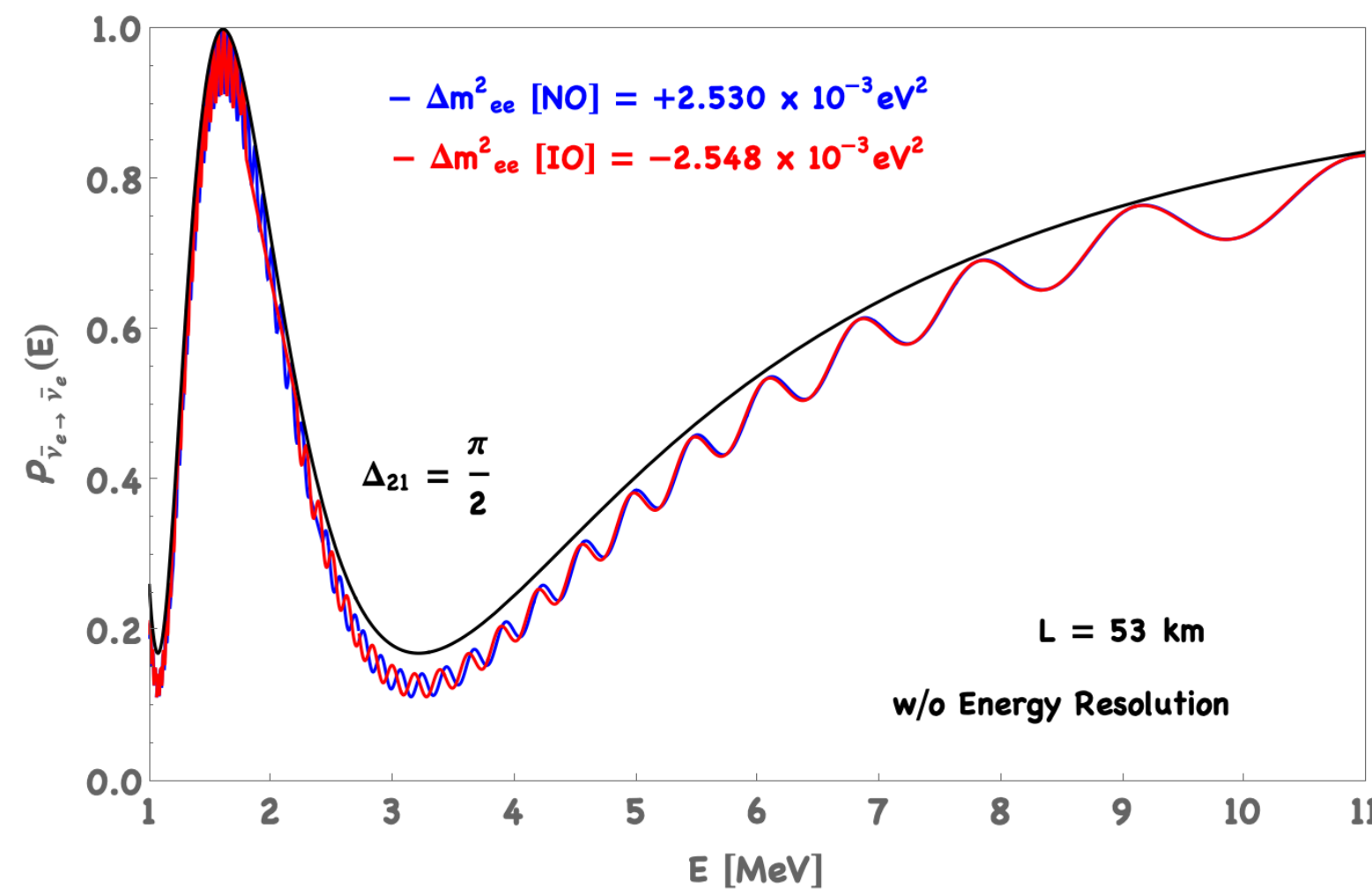
## Flagship Measurement - The Neutrino Mass Ordering

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in vacuum

solar term

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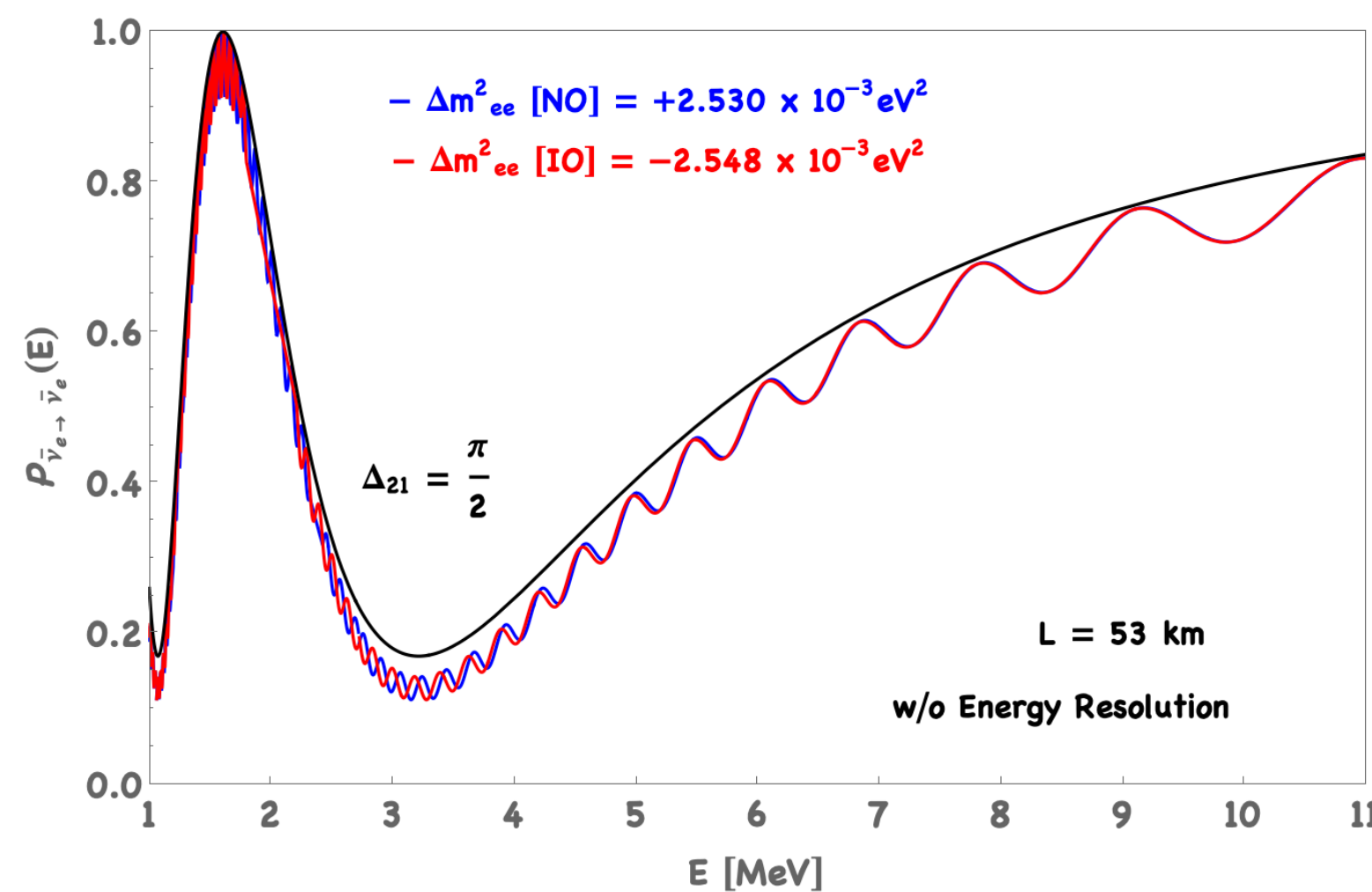
in vacuum

solar term

$$P_{\odot} = \sin^2 2\theta_{12} \cos^4 \theta_{13} \sin^2 \Delta_{21}$$

phase

$$\Phi_{\odot} = \arctan(\cos 2\theta_{12} \tan \Delta_{21}) - \Delta_{21} \cos 2\theta_{12}$$



$$\Delta m_{ee}^2 \equiv \Delta m_{31}^2 \cos^2 \theta_{12} + \Delta m_{32}^2 \sin^2 \theta_{21}$$

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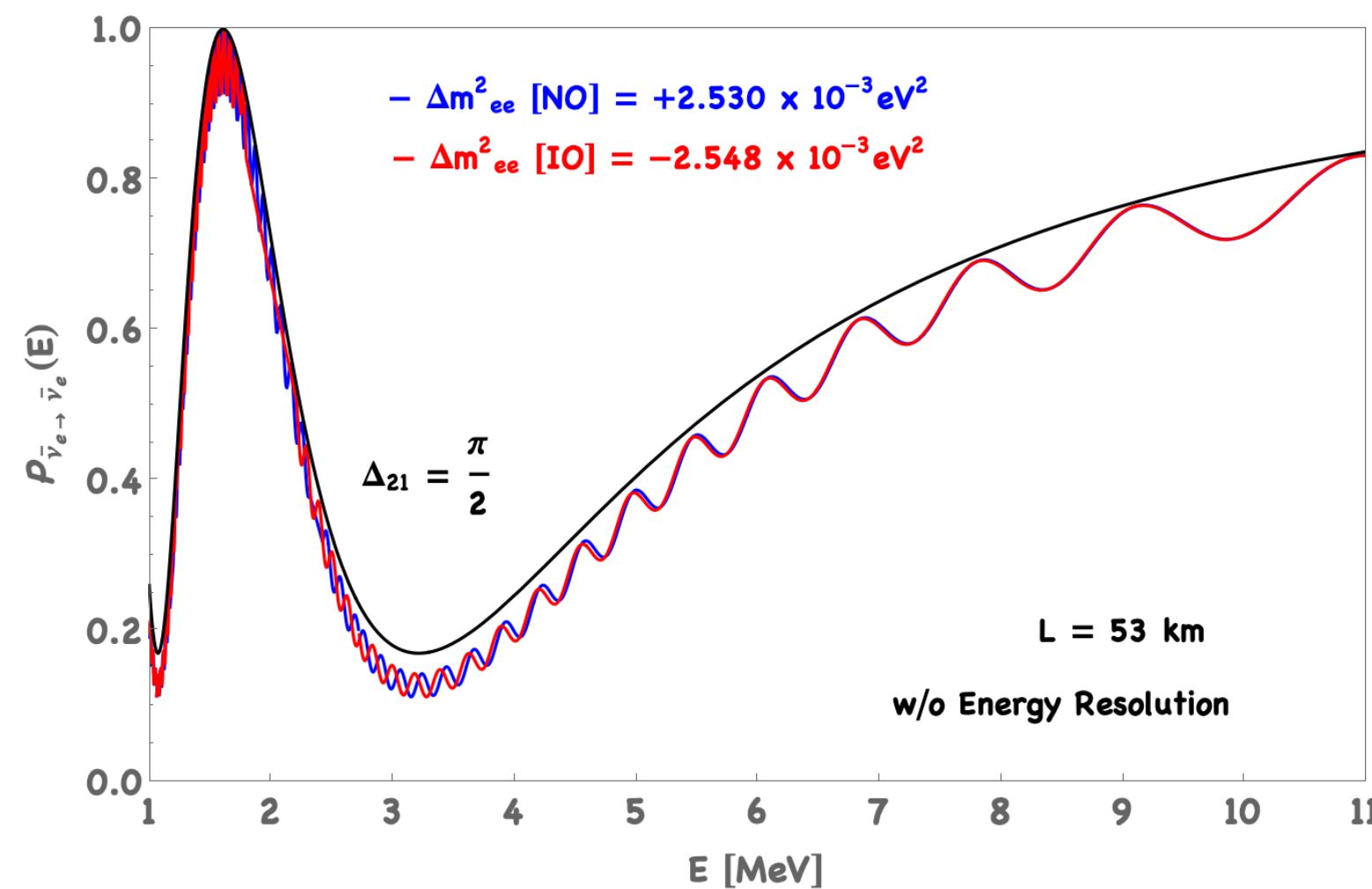
in vacuum

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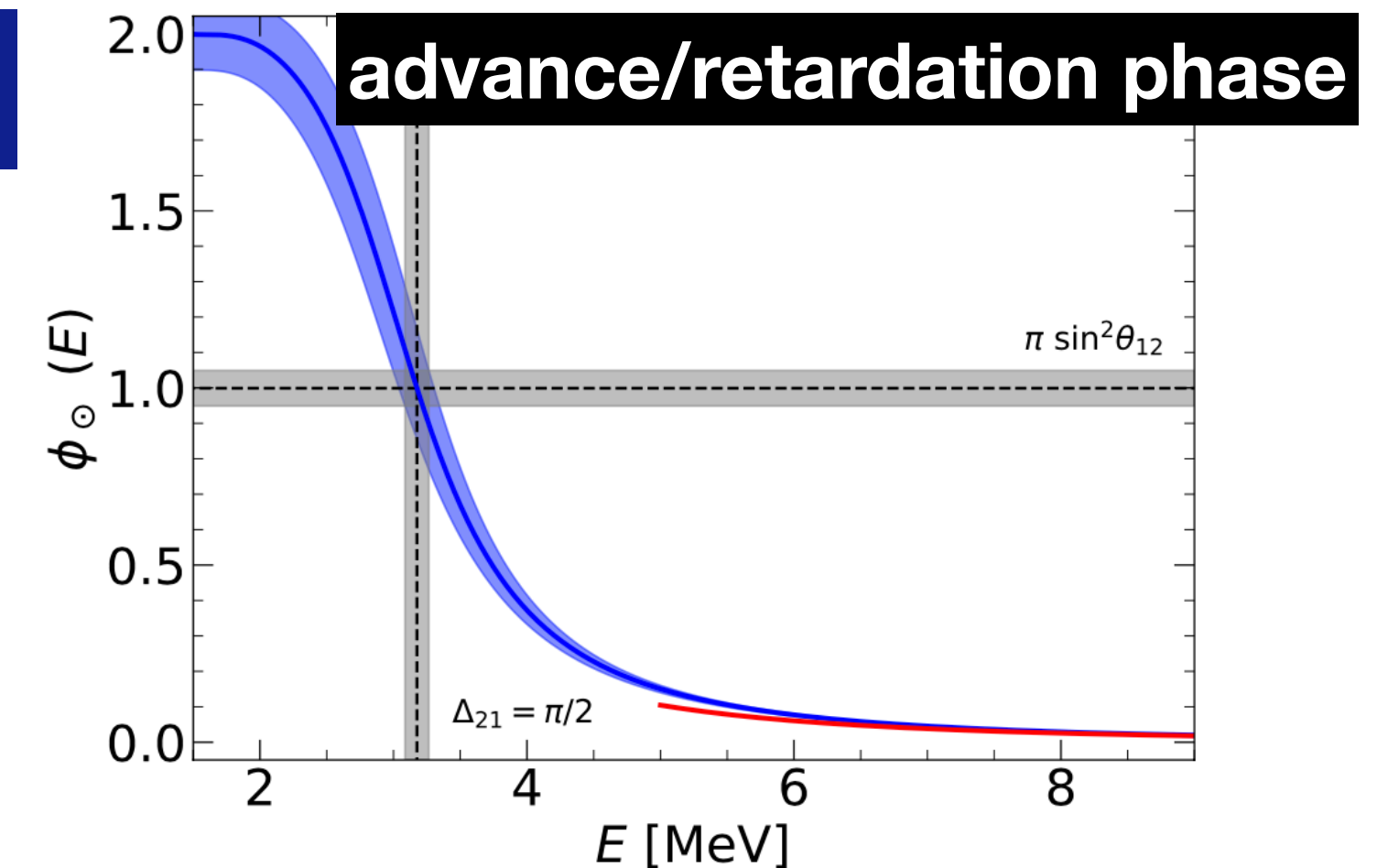
phase

$$\Phi_{\odot} = \arctan(\cos 2\theta_{12} \tan \Delta_{21}) - \Delta_{21} \cos 2\theta_{12}$$



retardation/advancement of the phase result in a change of the "effective fast oscillation scale"

$$|\Delta m_{ee}^2|_{\text{IO}} > |\Delta m_{ee}^2|_{\text{NO}}$$



# JUNO's Concept

## Flagship Measurement - The Neutrino Mass Ordering

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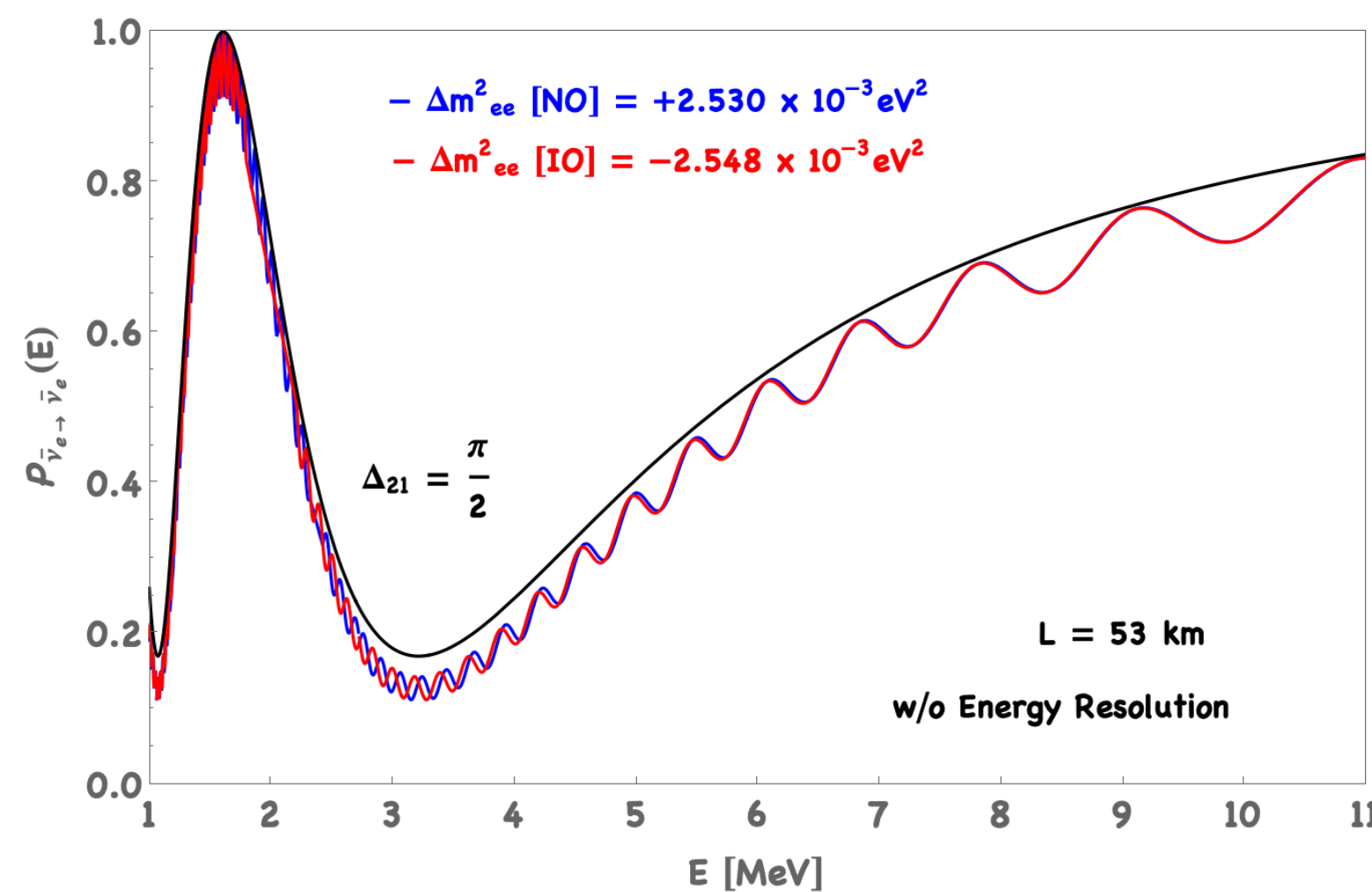
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phase

$$\Phi_{\odot} = \arctan(\cos 2\theta_{12} \tan \Delta_{21}) - \Delta_{21} \cos 2\theta_{12}$$

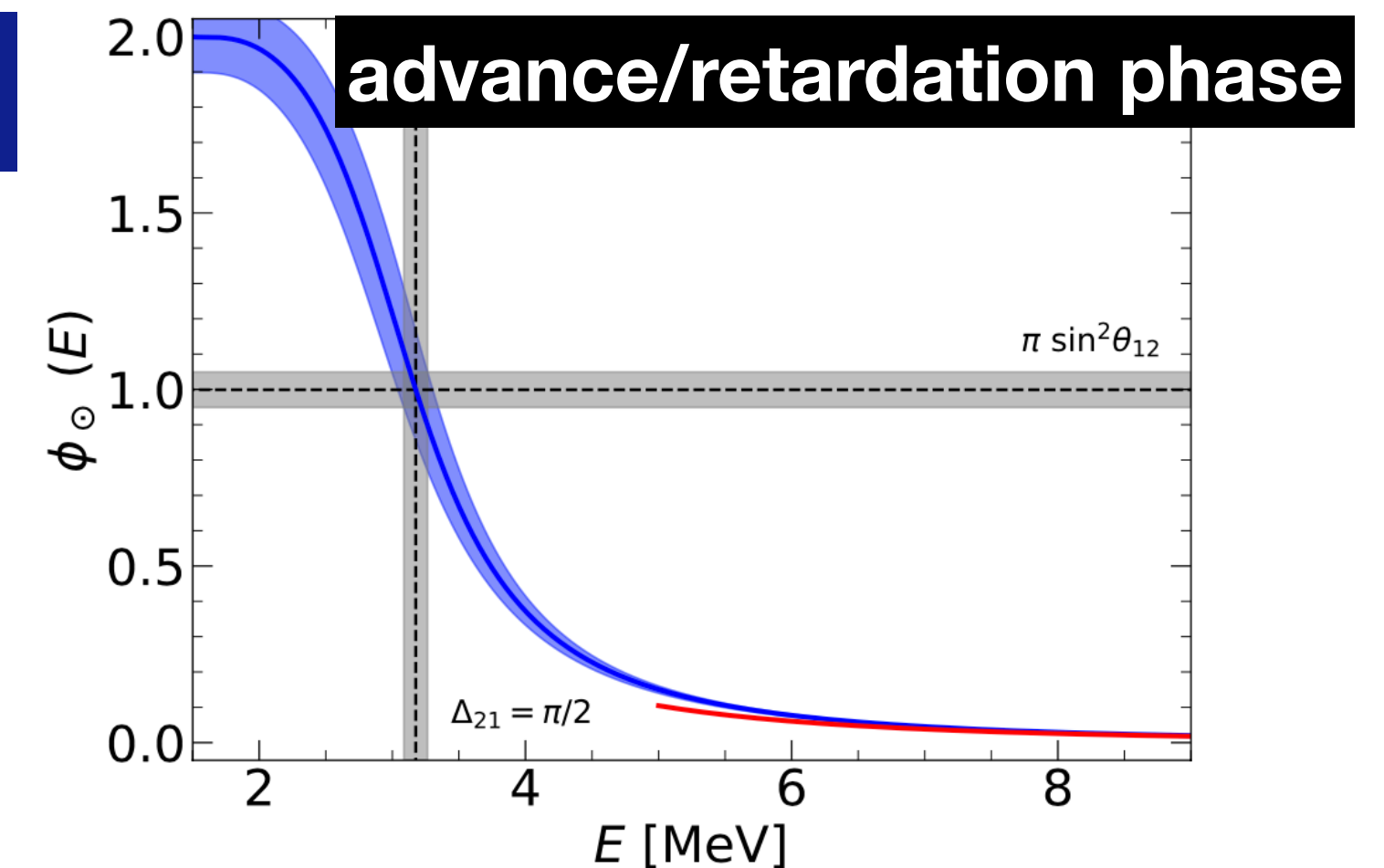


retardation/advancement of the phase result in a change of the "effective fast oscillation scale"

$$|\Delta m_{ee}^2|_{\text{NO}} \neq |\Delta m_{ee}^2|_{\text{IO}}$$

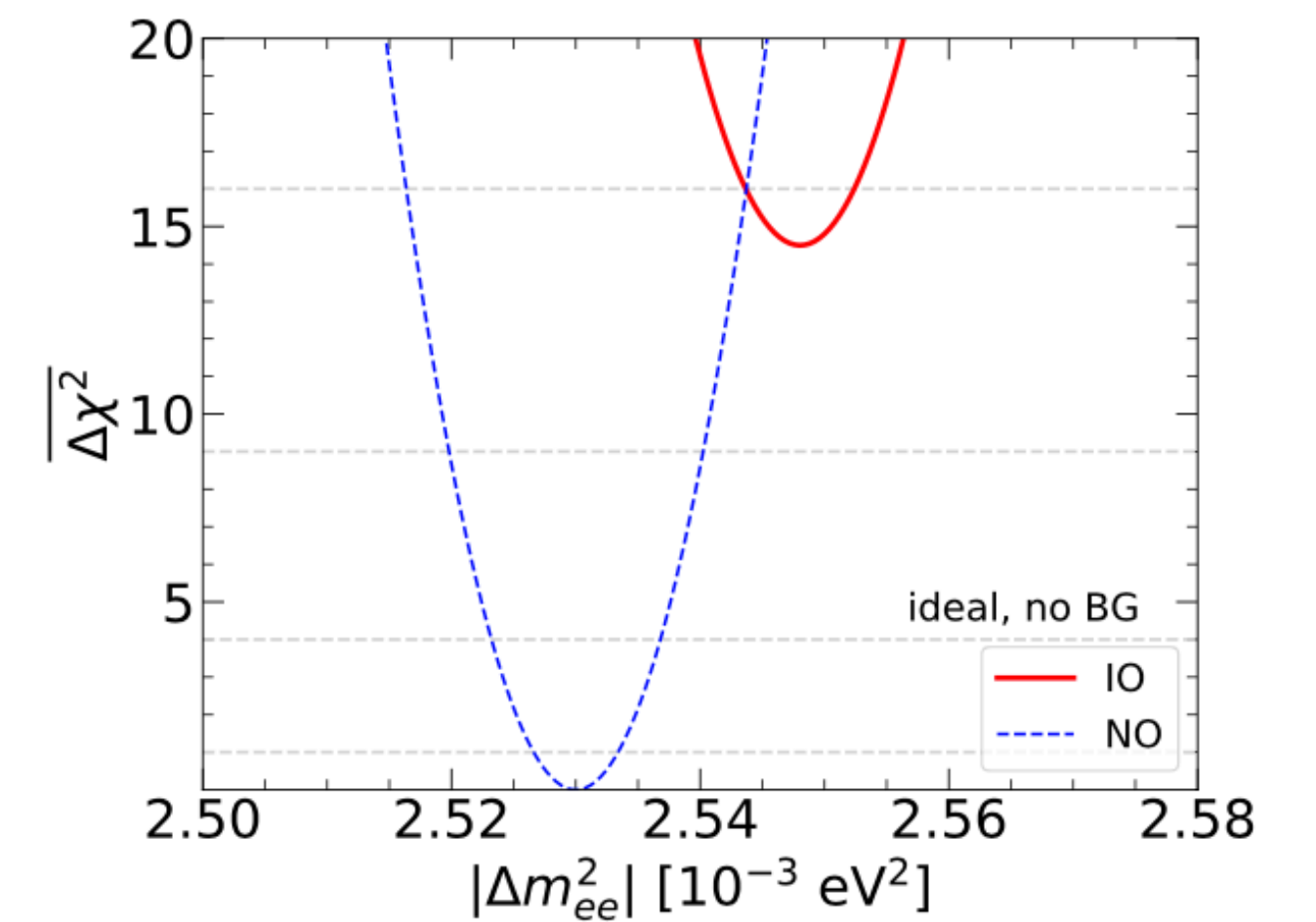
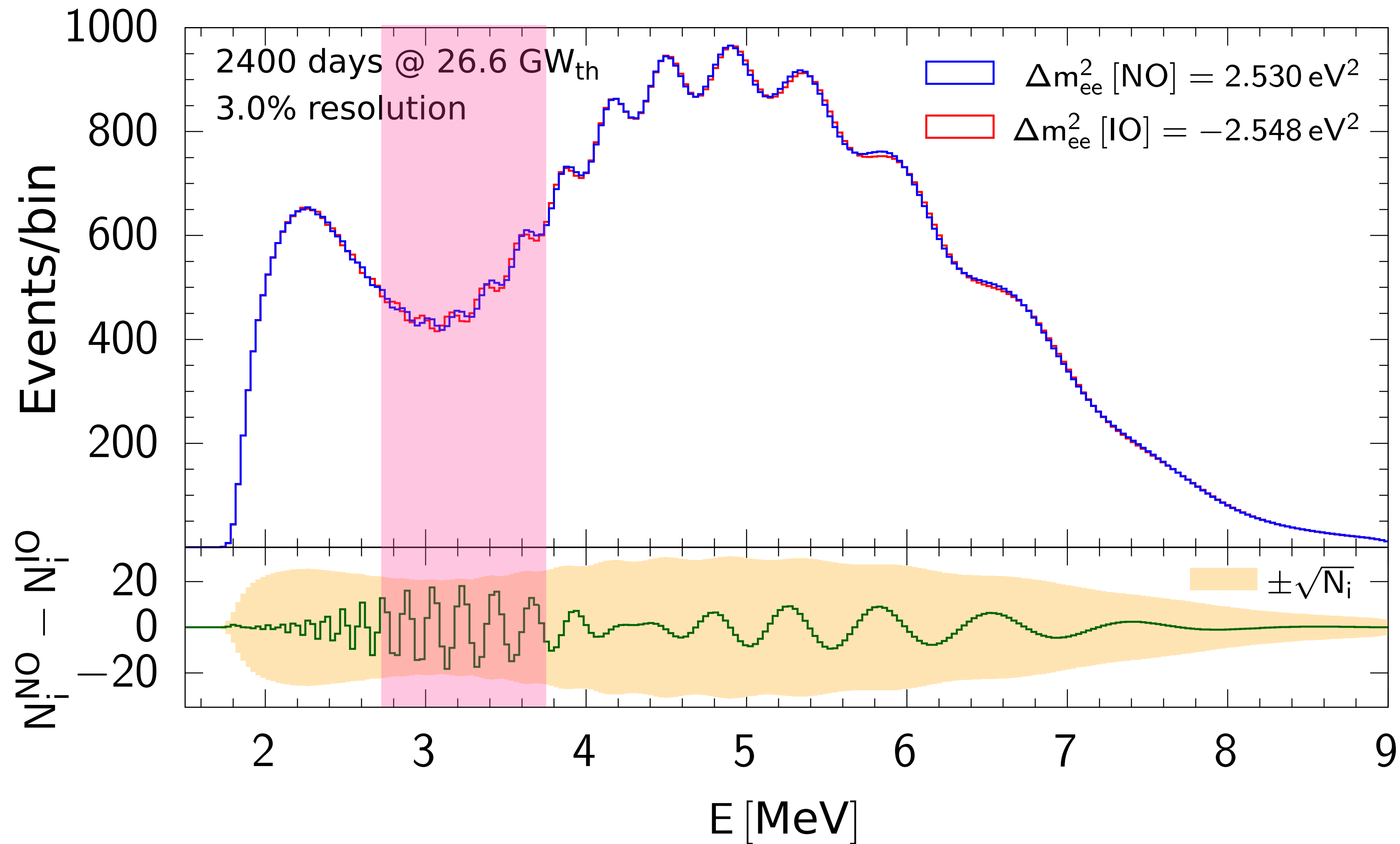
100 days  
~ 0.8 %

6 years  
~ 0.2 %



# JUNO's Determination of the Ordering

## How challenging is this?



single core  
no other systematics  
no background events

every single effect counts !

# Solar Neutrino Parameters

Current status: combined results for the 12-sector

## EXPERIMENT

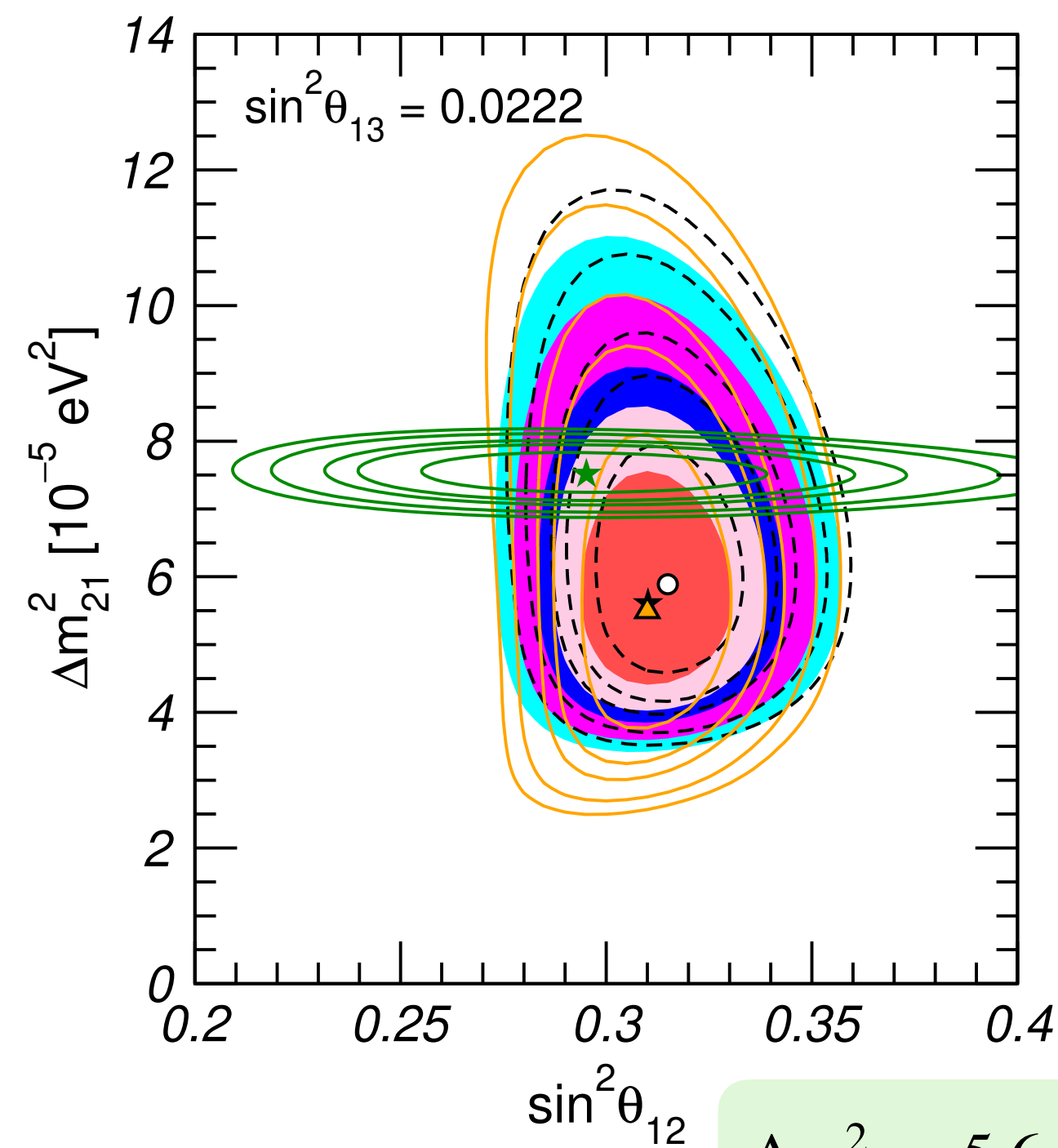
Solar (Cl, Ga, SK, SNO, Borexino)

Reactor LBL (KamLAND, SNO+)

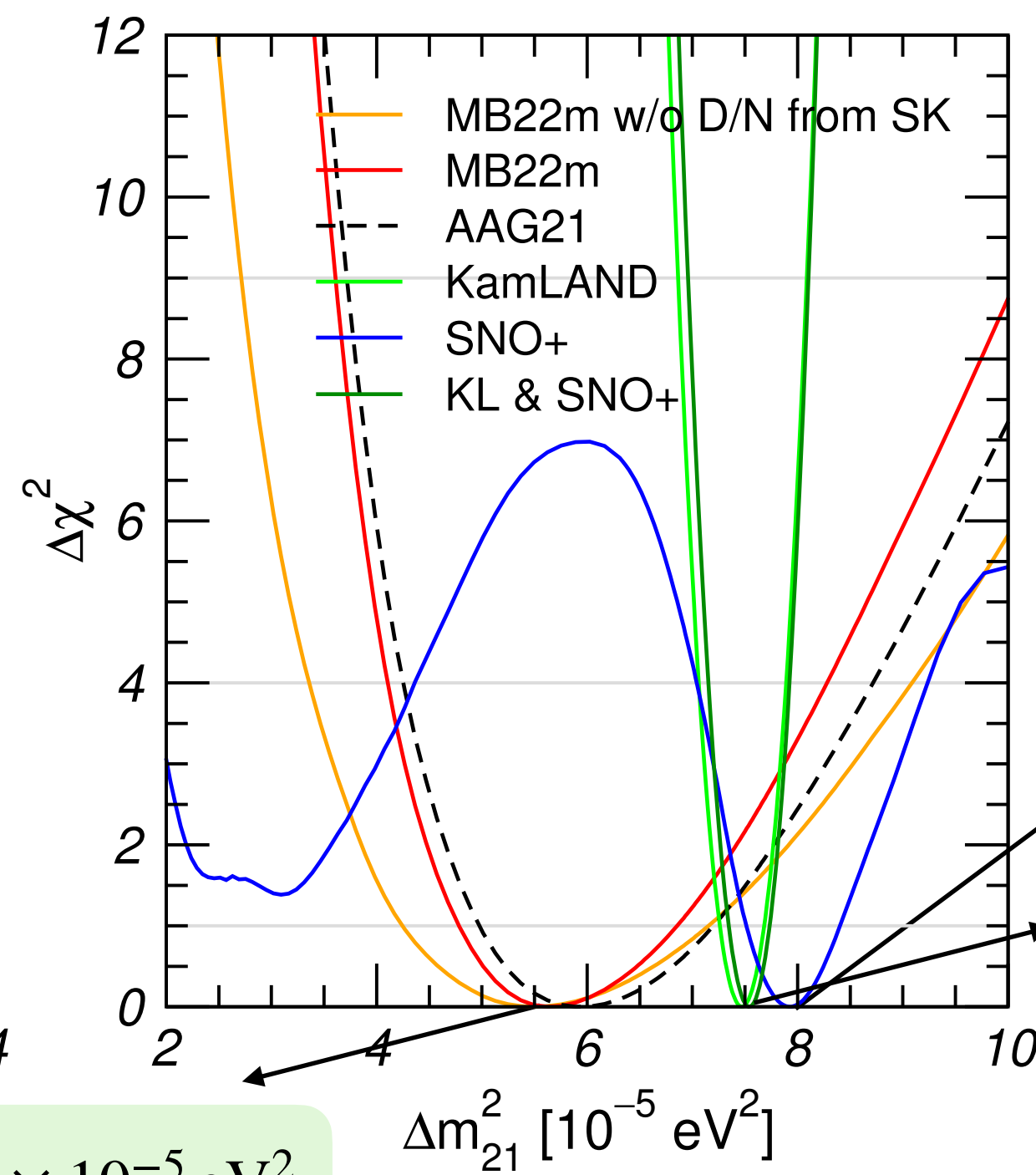
## Dominant Dependence

$\theta_{12}$   
 $\Delta m_{21}^2$

NuFIT 6.0 (2024)



$\Delta m_{21}^2 \sim 5.6 \times 10^{-5} \text{ eV}^2$



## Sub-dominant Dependence

$\Delta m_{21}^2, \theta_{13}$   
 $\theta_{12}, \theta_{13}$



tension on  $\Delta m_{21}^2$

$\Delta m_{21}^2 \sim 7.96 \times 10^{-5} \text{ eV}^2$

$\Delta m_{21}^2 \sim 7.5 \times 10^{-5} \text{ eV}^2$

MB22m = high metallicity  
AAG21 = low metallicity

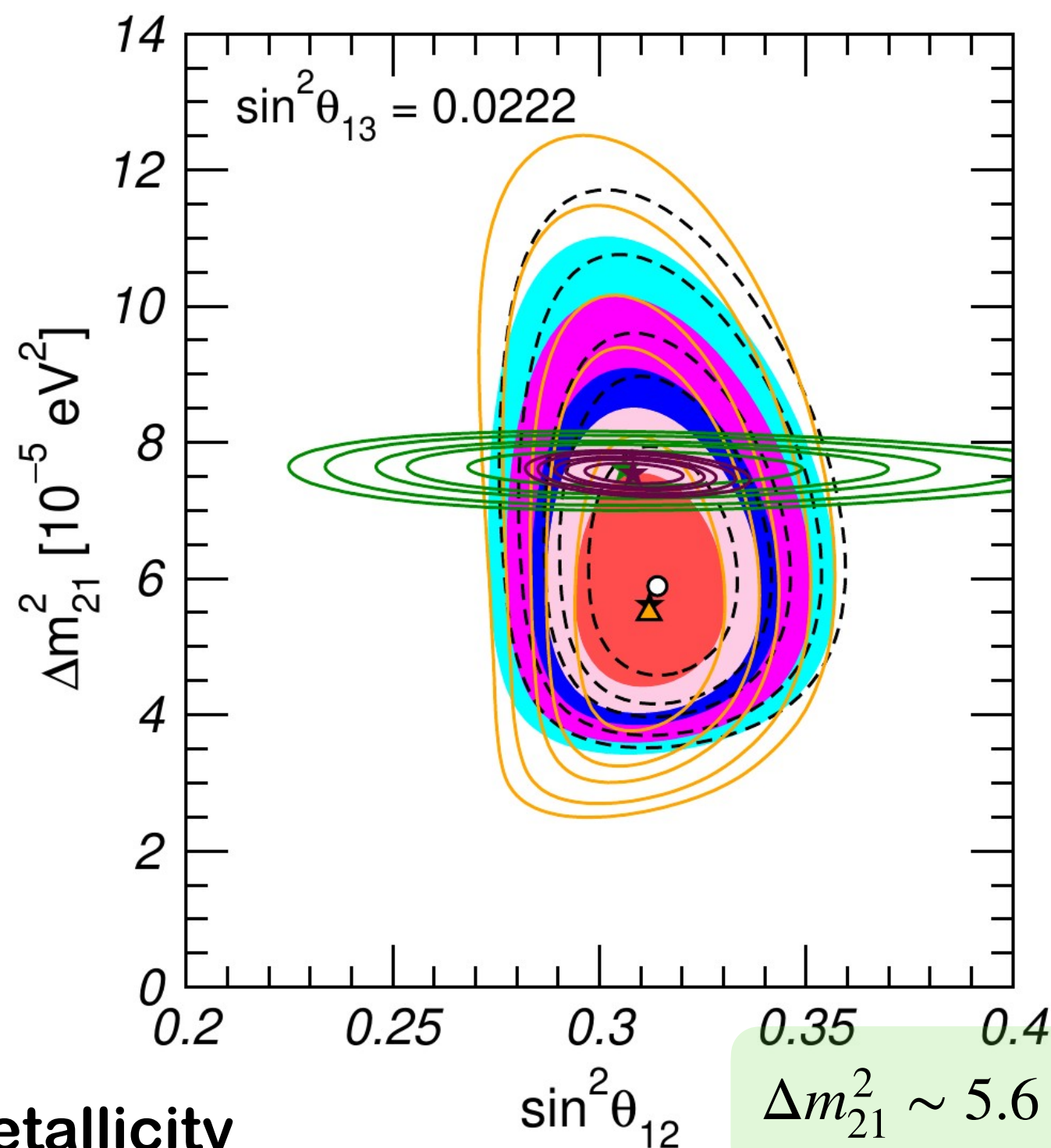
# Solar Neutrino Parameters

Current status: combined results for the 12-sector

## EXPERIMENT

Solar (Cl, Ga, SK, SNO, Borexino)

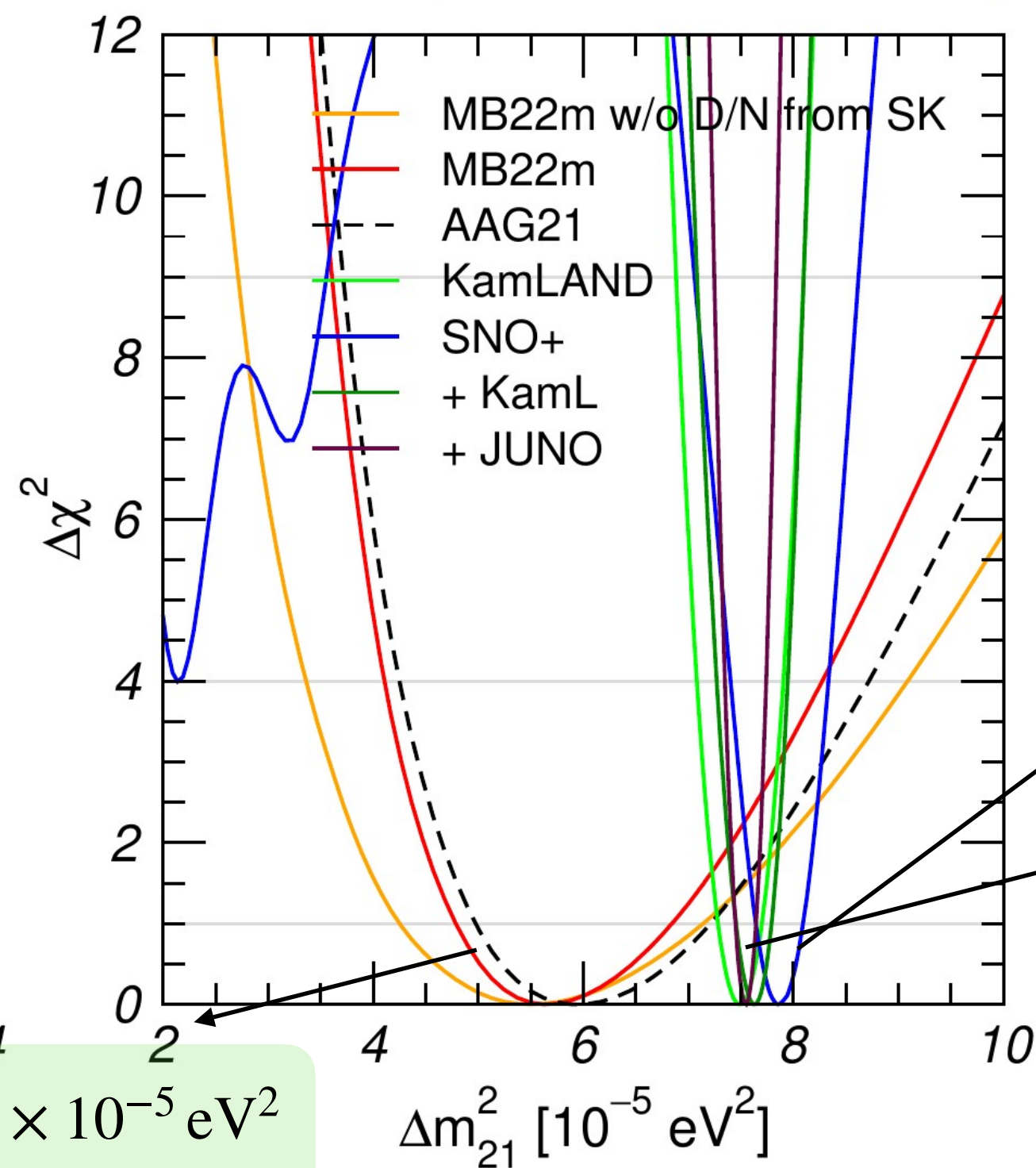
Reactor LBL (KamLAND, SNO+)



## Dominant Dependence

$\theta_{12}$   
 $\Delta m_{21}^2$

NuFIT 6.1 (2025)



## Sub-dominant Dependence

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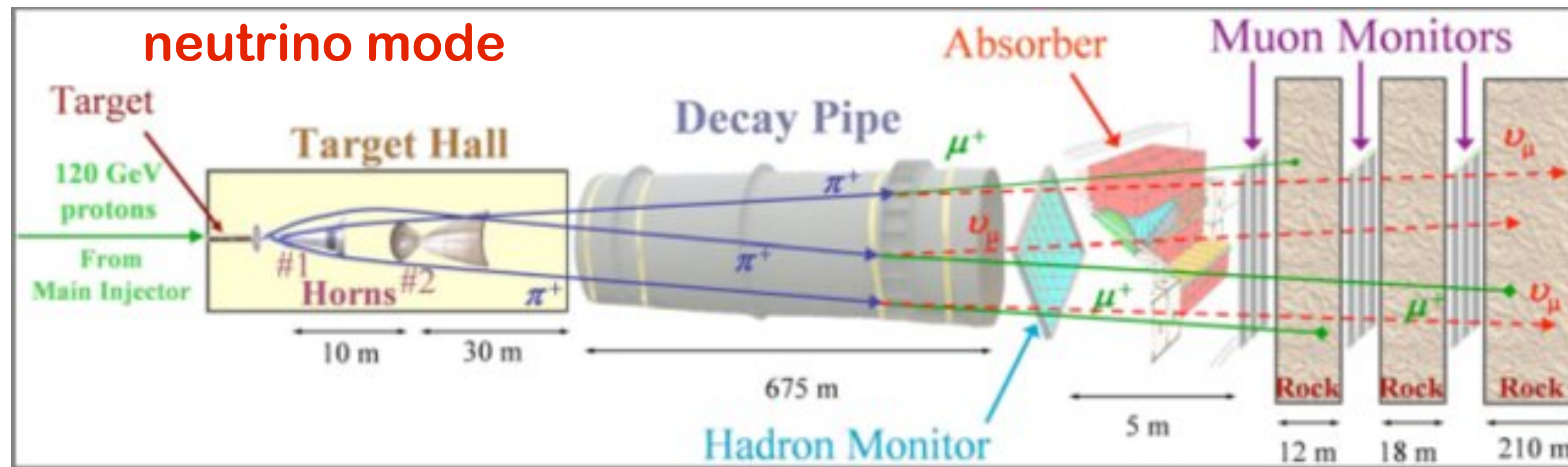
MB22m = high metallicity  
AAG21 = low metallicity

# Accelerator Neutrinos

# Accelerator Neutrinos

[see Chris Marshall & Matthew Toups]

Disappearance and appearance for neutrinos and antineutrinos

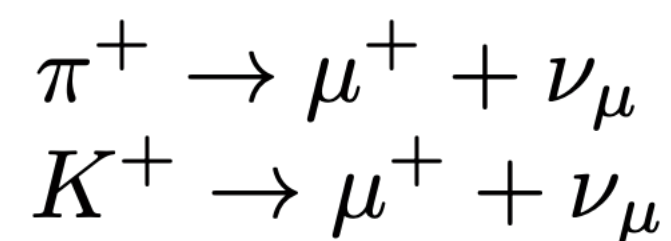


Off-axis : the beam is collimated in energy

## NuMI neutrino beam @ FNAL

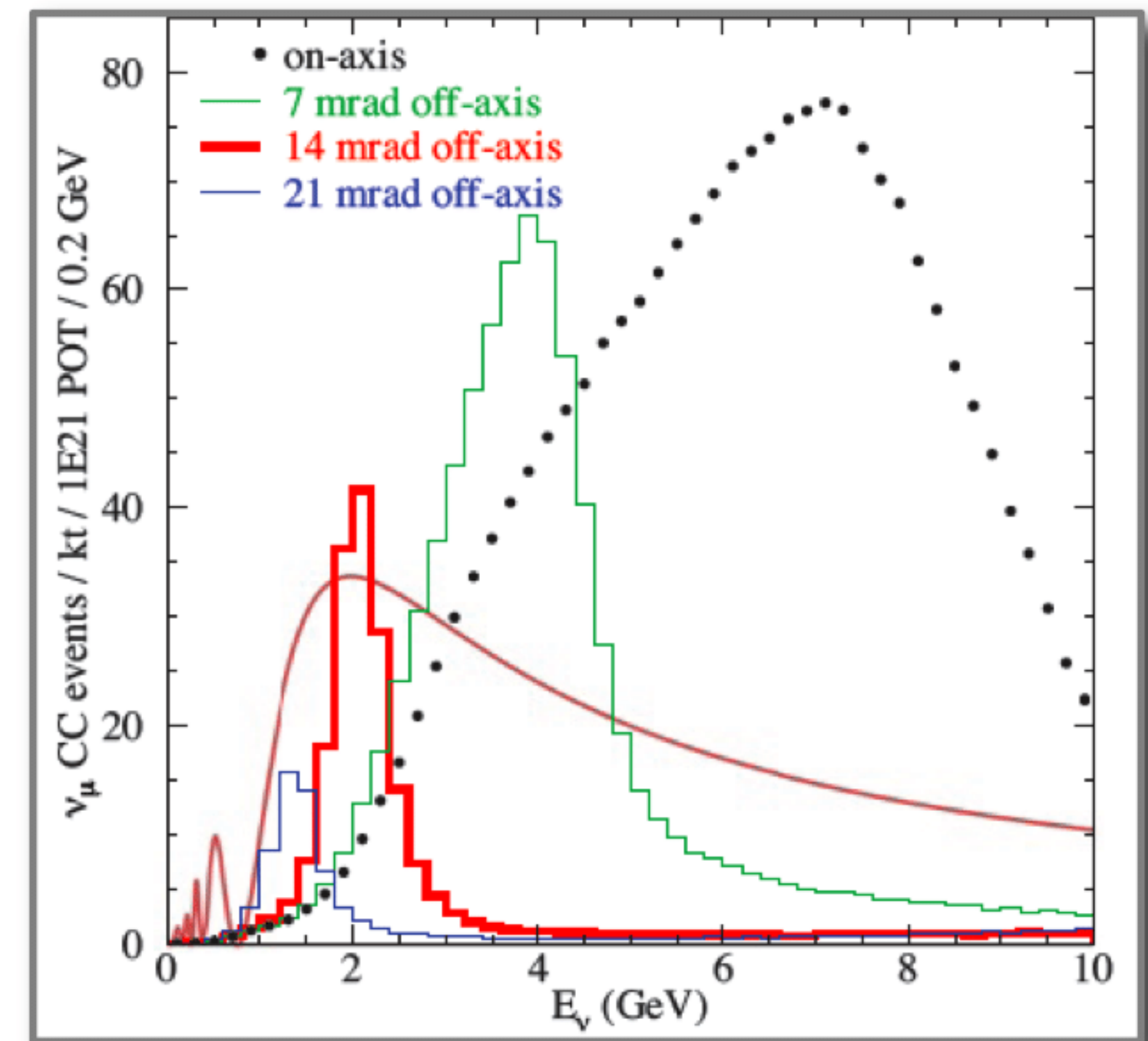
$\nu_\mu \rightarrow \nu_\mu$   
 $\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu$       **disappearance modes**

$\nu_\mu \rightarrow \nu_e$   
 $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$       **appearance modes**



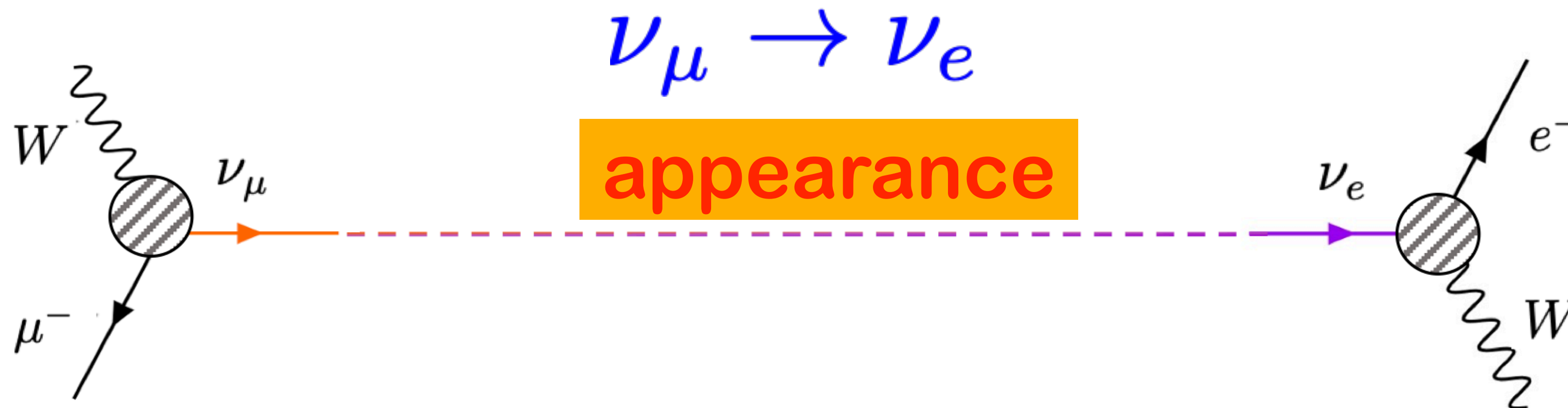
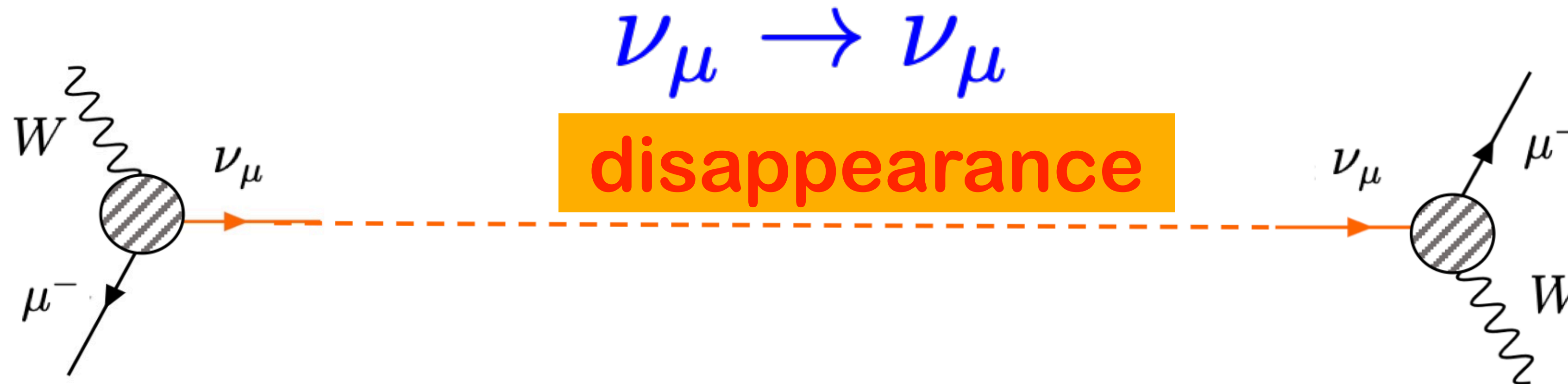
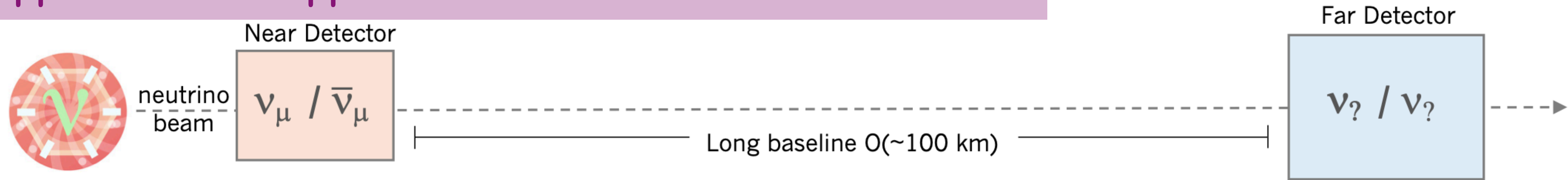
are the main processes for the neutrino flux

[taken from NOvA Collab.]



# Accelerator Neutrinos

Disappearance and appearance for neutrinos and antineutrinos



# Accelerator Disappearance Probability

Sensitivity to the 32/31-sectors

$$\nu_\mu \rightarrow \nu_\mu \quad \& \quad \bar{\nu}_\mu \rightarrow \bar{\nu}_\mu$$

$$\Delta_{ij} \equiv \frac{\Delta m_{ij}^2 L}{4E}$$

$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - 4|U_{\mu 3}|^2(1 - |U_{\mu 3}|^2) \sin^2 \Delta_{\mu\mu} + 4|U_{\mu 2}|^2|U_{\mu 1}|^2 \sin^2 \Delta_{21} + \mathcal{O}(\Delta_{21}^2)$$

vacuum

# Accelerator Disappearance Probability

Sensitivity to the 32/31-sectors

$$\nu_\mu \rightarrow \nu_\mu \quad \& \quad \bar{\nu}_\mu \rightarrow \bar{\nu}_\mu$$
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$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - 4|U_{\mu 3}|^2(1 - |U_{\mu 3}|^2) \sin^2 \Delta_{\mu\mu} + 4|U_{\mu 2}|^2|U_{\mu 1}|^2 \sin^2 \Delta_{21} + \mathcal{O}(\Delta_{21}^2)$$

effective atmospheric  $\Delta m_{\text{atm}}^2$  for accelerator experiments

negligible

vacuum

$$\Delta m_{\mu\mu}^2 = s_{12}^2 \Delta m_{31}^2 + c_{12}^2 \Delta m_{32}^2 + s_{13} \Delta m_{21}^2 \sin 2\theta_{12} \tan \theta_{23} \cos \delta \approx s_{12}^2 \Delta m_{31}^2 + c_{12}^2 \Delta m_{32}^2$$

$$\text{MINOS baseline } L = 735 \text{ km} \quad \langle E \rangle \sim 3 \text{ GeV} \quad \Delta_{21} \sim 0.02$$

$$\text{T2K baseline } L = 295 \text{ km} \quad \langle E \rangle \sim 0.6 \text{ GeV} \quad \Delta_{21} \sim 0.05$$

$$\text{NOvA baseline } L = 810 \text{ km} \quad \langle E \rangle \sim 2 \text{ GeV} \quad \Delta_{21} \sim 0.04$$

# Accelerator Disappearance Probability

Sensitivity to the 32/31-sector

$$\nu_\mu \rightarrow \nu_\mu \quad \& \quad \bar{\nu}_\mu \rightarrow \bar{\nu}_\mu \quad \Delta_{ij} \equiv \frac{\Delta m_{ij}^2 L}{4E}$$

$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - 4|U_{\mu 3}|^2(1 - |U_{\mu 3}|^2) \sin^2 \Delta_{\mu\mu} + 4|U_{\mu 2}|^2|U_{\mu 1}|^2 \sin^2 \Delta_{21} + \mathcal{O}(\Delta_{21}^2)$$

vacuum

effective atmospheric  $\Delta m_{\text{atm}}^2$  for accelerator experiments

$$\Delta m_{\mu\mu}^2 = s_{12}^2 \Delta m_{31}^2 + c_{12}^2 \Delta m_{32}^2 + s_{13} \Delta m_{21}^2 \sin 2\theta_{12} \tan \theta_{23} \cos \delta \approx s_{12}^2 \Delta m_{31}^2 + c_{12}^2 \Delta m_{32}^2$$

so for these experiments to very good approximation we can write

$$P_{\nu_\mu \rightarrow \nu_\mu} \approx 1 - 4s_{23}^2 c_{13}^2 (1 - s_{23}^2 c_{13}^2) \sin^2 \Delta_{\mu\mu} = 1 - \sin^2 2\theta_{\text{eff}} \sin^2 \Delta_{\mu\mu}$$

+ matter effects are negligible

# Accelerator Appearance Probability

Sensitivity to the 32/31-sector: exploring matter effects

$$\nu_\mu \rightarrow \nu_e \text{ \& \ } \bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

$$P_{\nu_\mu \rightarrow \nu_e} \approx P_{\text{atm}} + 2\sqrt{P_{\text{atm}}}\sqrt{P_{\text{sol}}}\cos(\Delta_{32} + \delta) + P_{\text{sol}}$$

in vacuum

$$\sqrt{P_{\text{sol}}} \equiv c_{23}c_{13}\sin 2\theta_{12}\sin \Delta_{21}$$

sensitive to  $\delta$

$$\sqrt{P_{\text{atm}}} \equiv s_{23}\sin 2\theta_{13}\sin \Delta_{31}$$

**matter effects are important because they increase the difference between neutrino & antineutrinos**

# Accelerator Appearance Probability

Sensitivity to the 32/31-sector: exploring matter effects

$$\nu_\mu \rightarrow \nu_e$$

$$P_{\nu_\mu \rightarrow \nu_e} \approx 4s_{23}^2 s_{13}^2 c_{13}^2 \frac{\sin^2(\Delta_{31} - aL)}{(\Delta_{31} - aL)^2} \Delta_{31}^2 + 4s_{12}^2 c_{12}^2 c_{13}^2 c_{23}^2 \frac{\sin^2(aL)}{(aL)^2} \Delta_{21}^2$$

atmospheric term solar term

$$+ 8 \frac{J}{\sin \delta} \frac{\sin(\Delta_{31} - aL)}{(\Delta_{31} - aL)} \Delta_{31} \frac{\sin(aL)}{(aL)} \Delta_{21} \cos(\Delta_{31} + \delta)$$

interference term CP phase

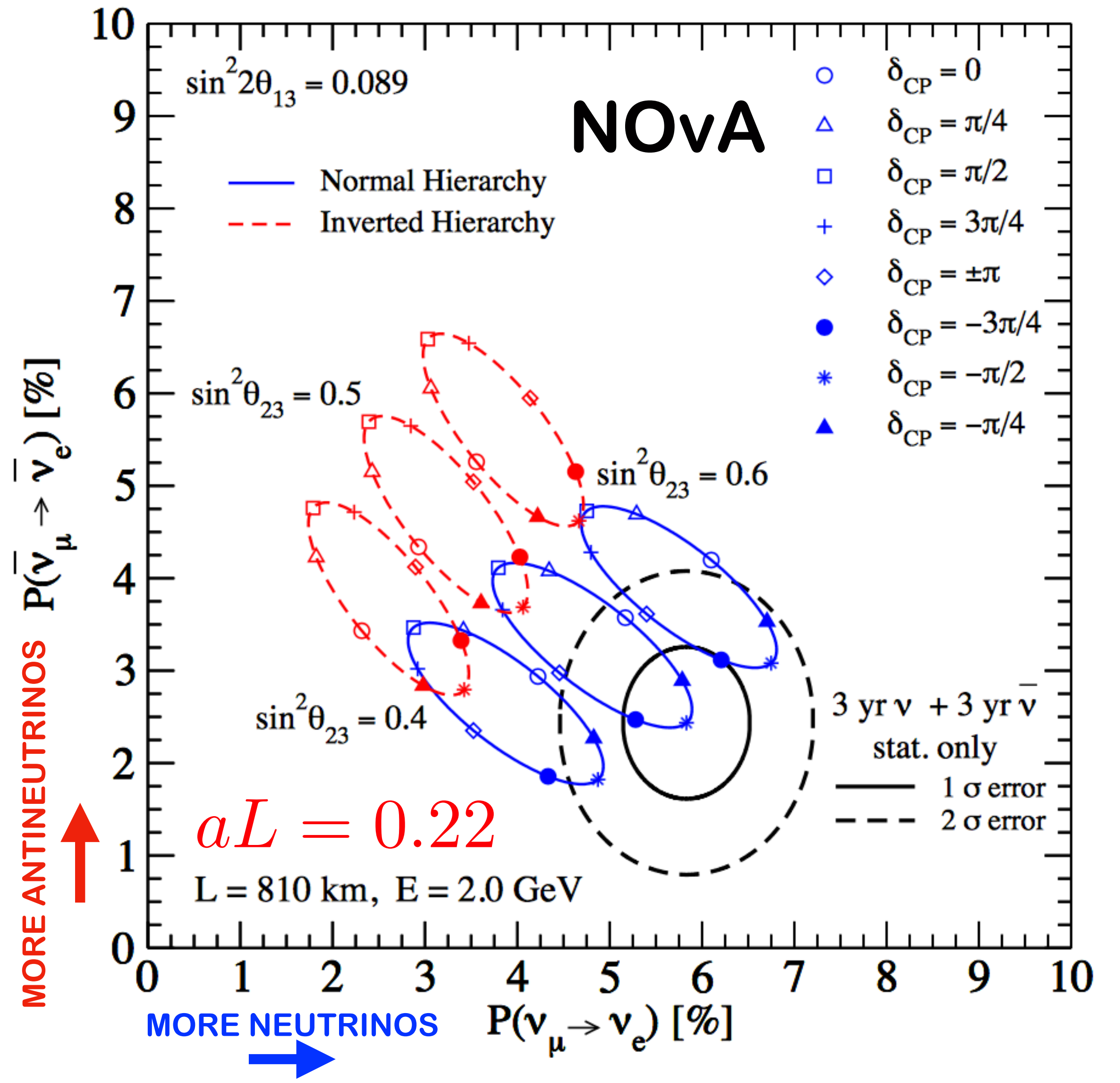
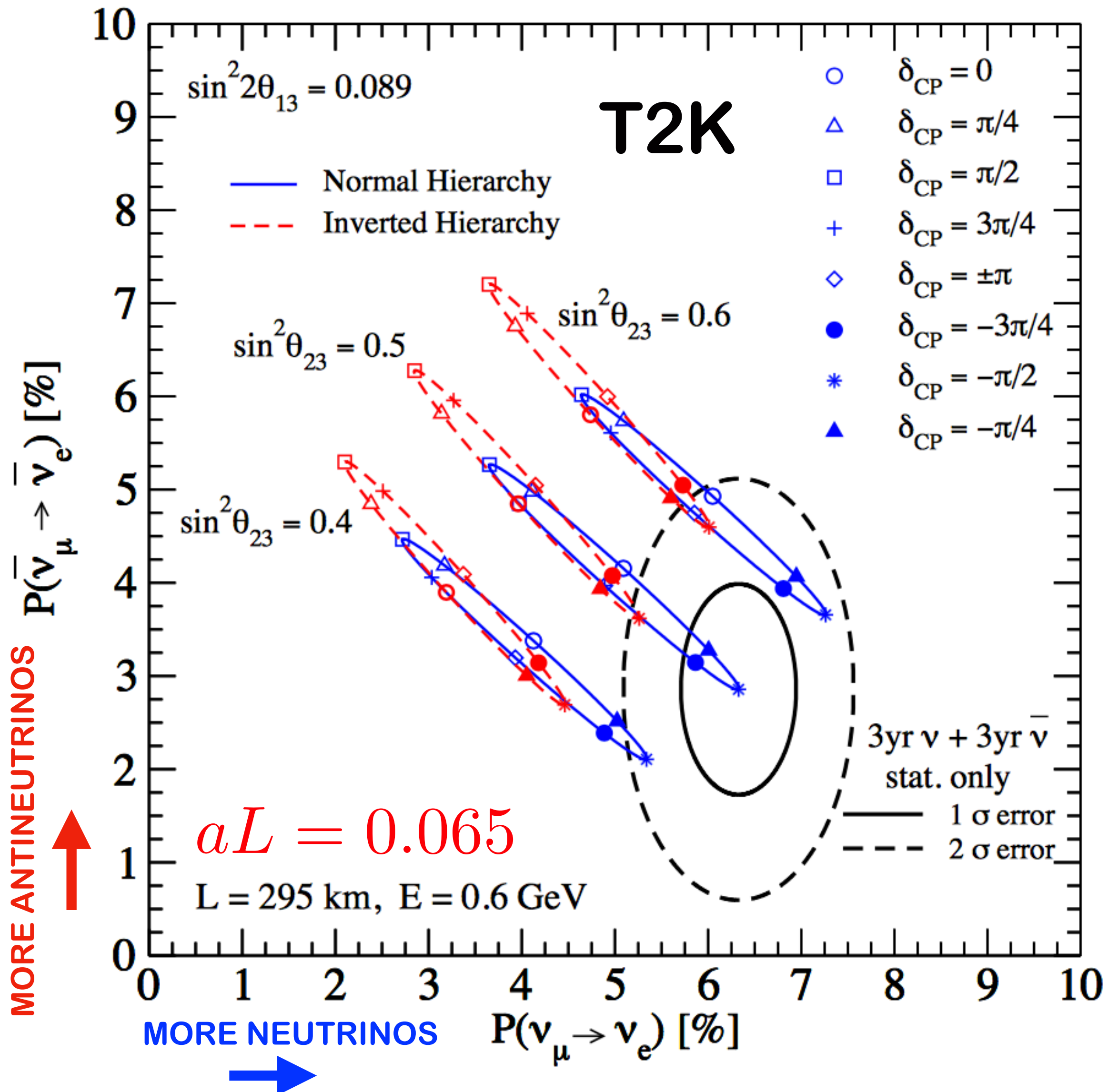
$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

$$P_{\bar{\nu}_\mu \rightarrow \bar{\nu}_e} = P_{\nu_\mu \rightarrow \nu_e}(\delta \rightarrow -\delta, aL \rightarrow -aL)$$

effect of the matter potential

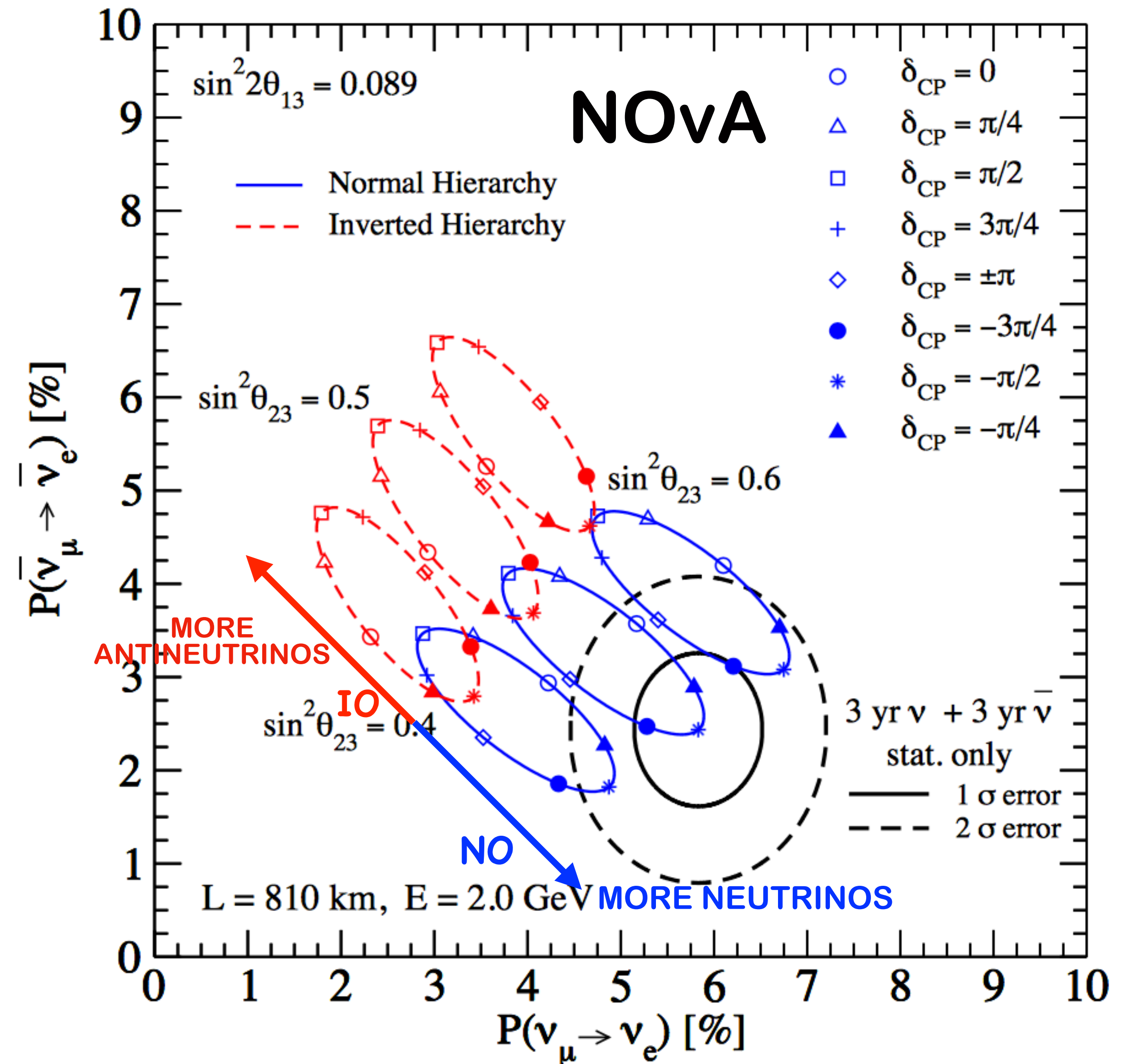
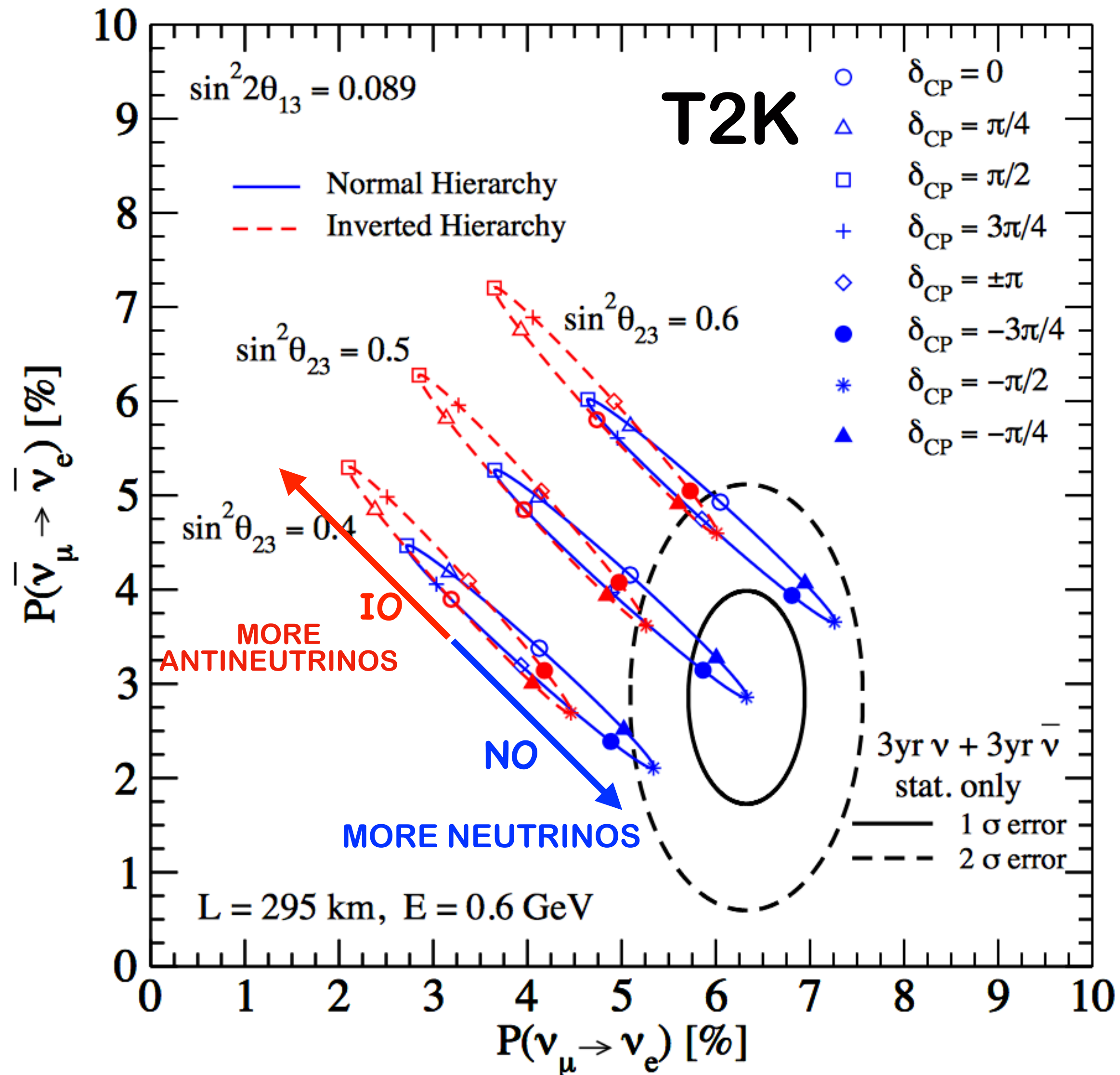
$$a \equiv \frac{G_F n_e}{\sqrt{2}} \approx \frac{1}{3500 \text{ km}} \left( \frac{\rho}{3 \text{ g/cm}^3} \right)$$

# Bi-probability plots



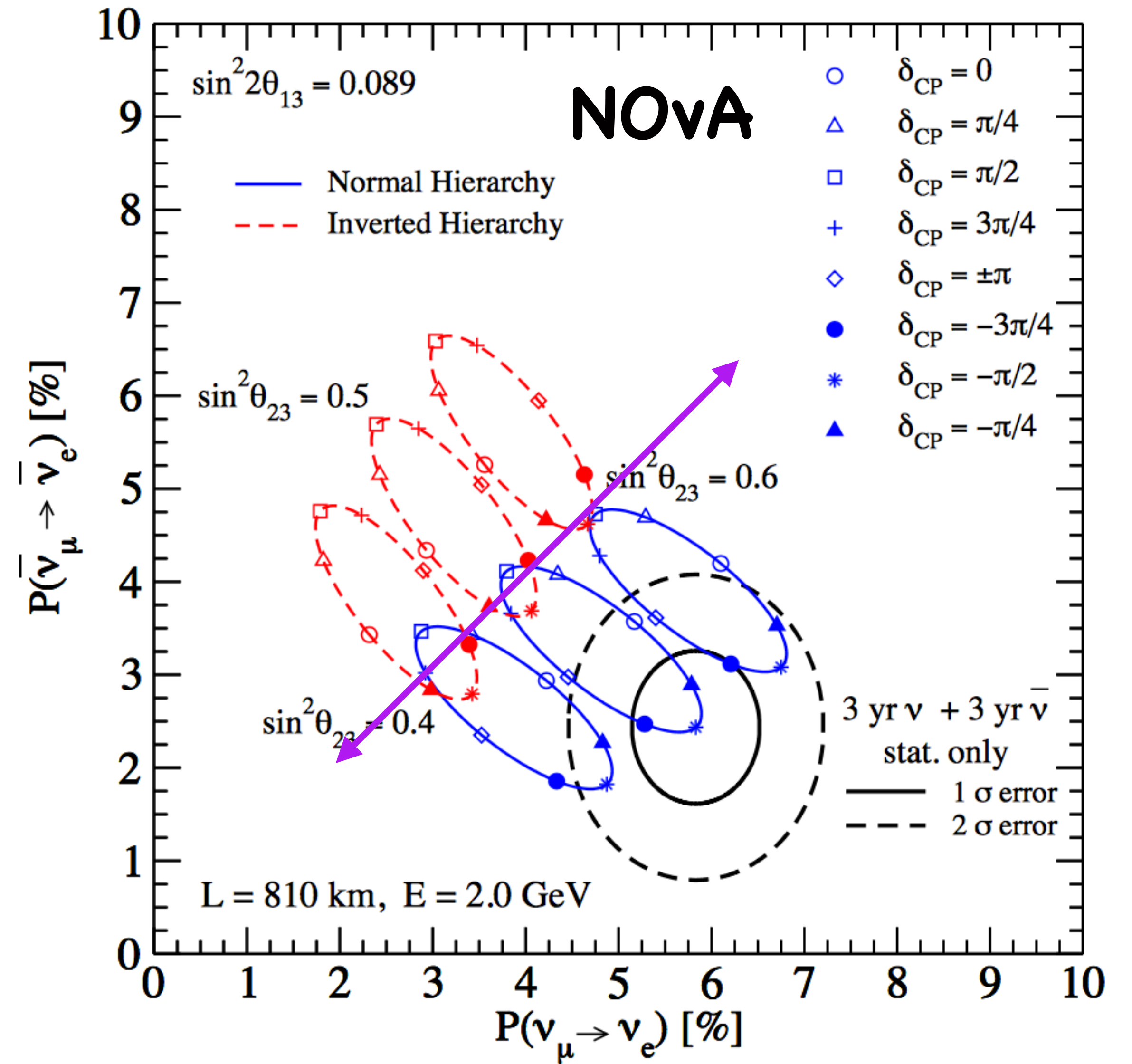
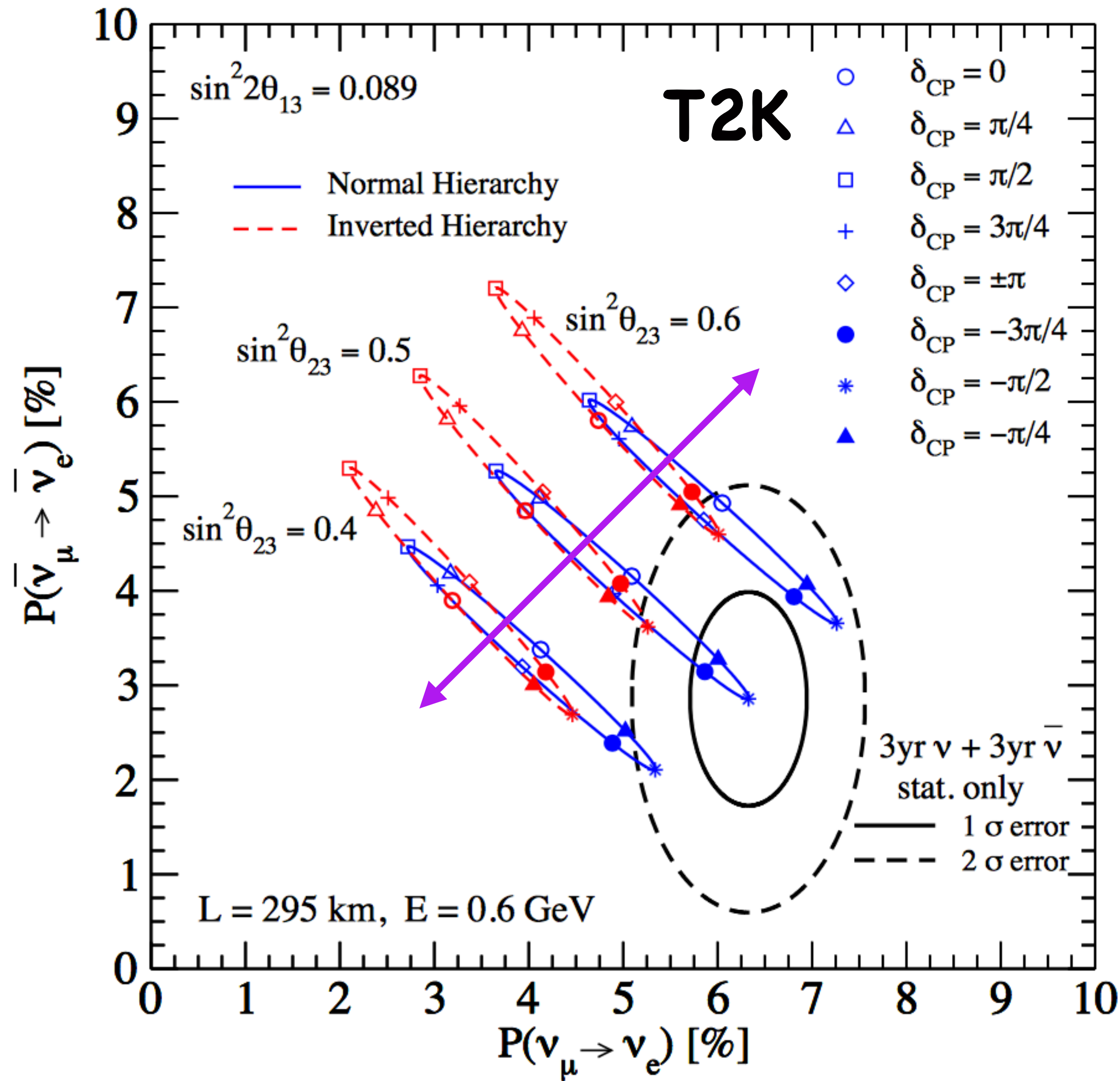
# Bi-probability plots

## Mass Ordering



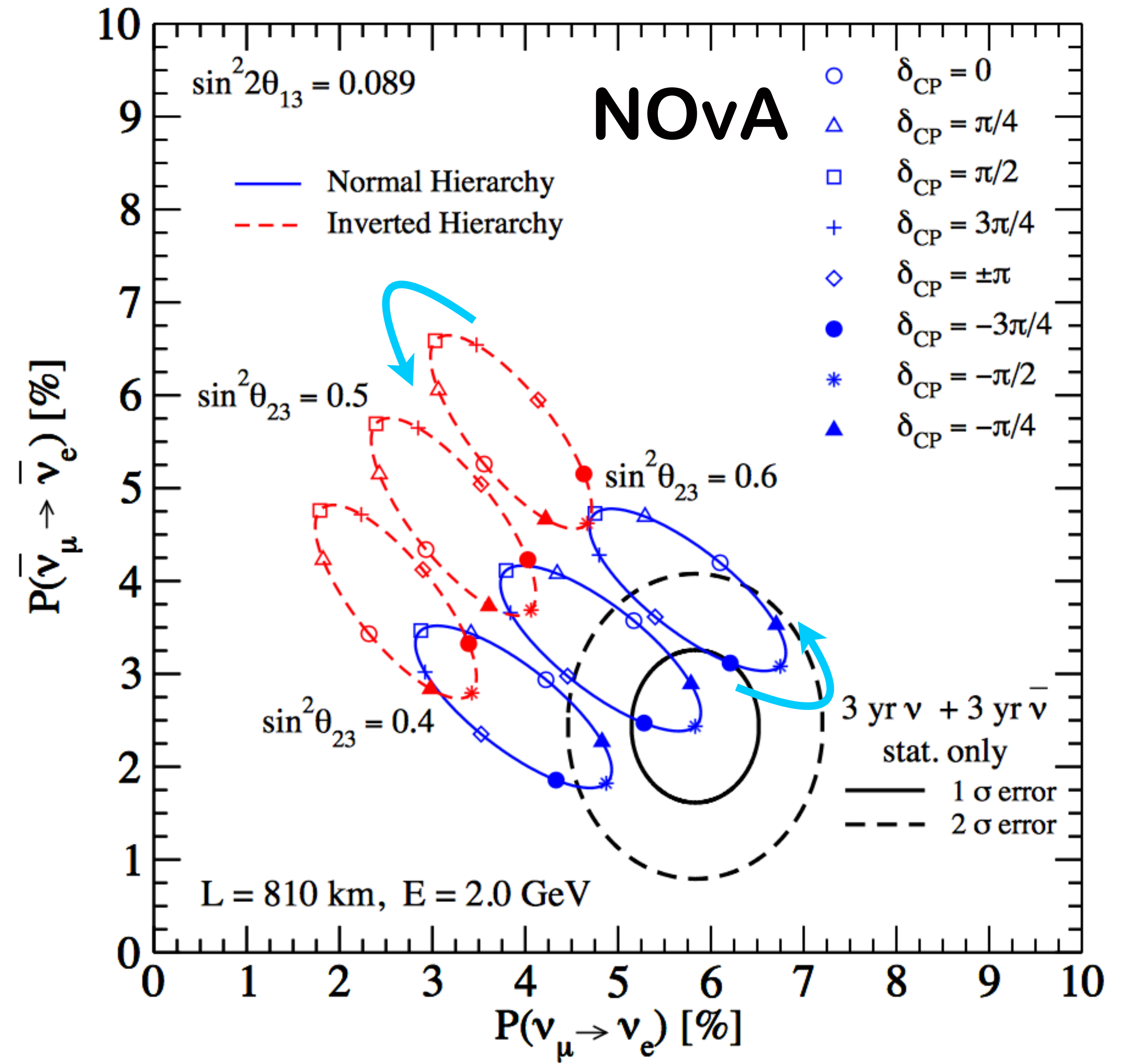
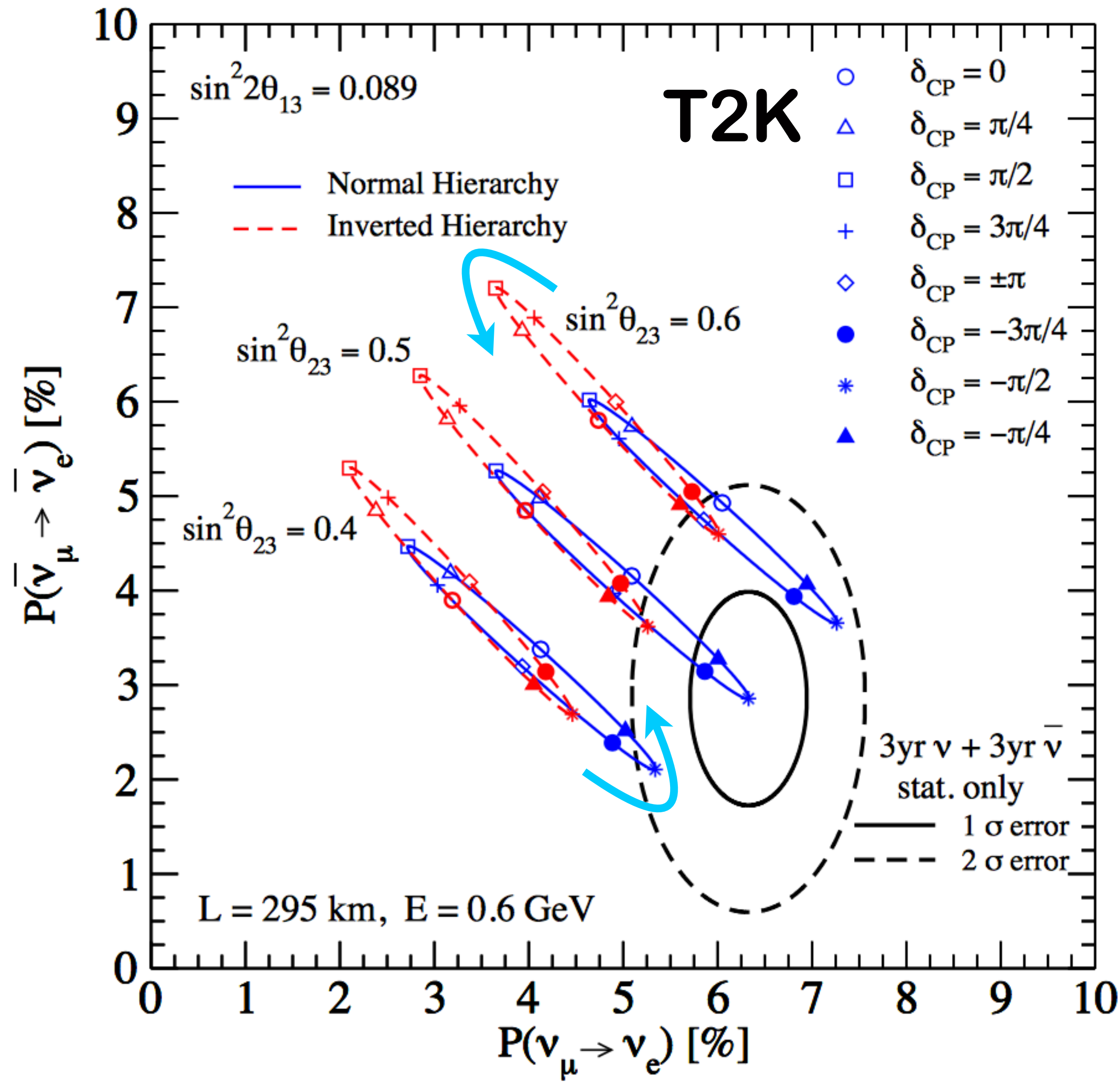
# Bi-probability plots

octant  $\theta_{23}$



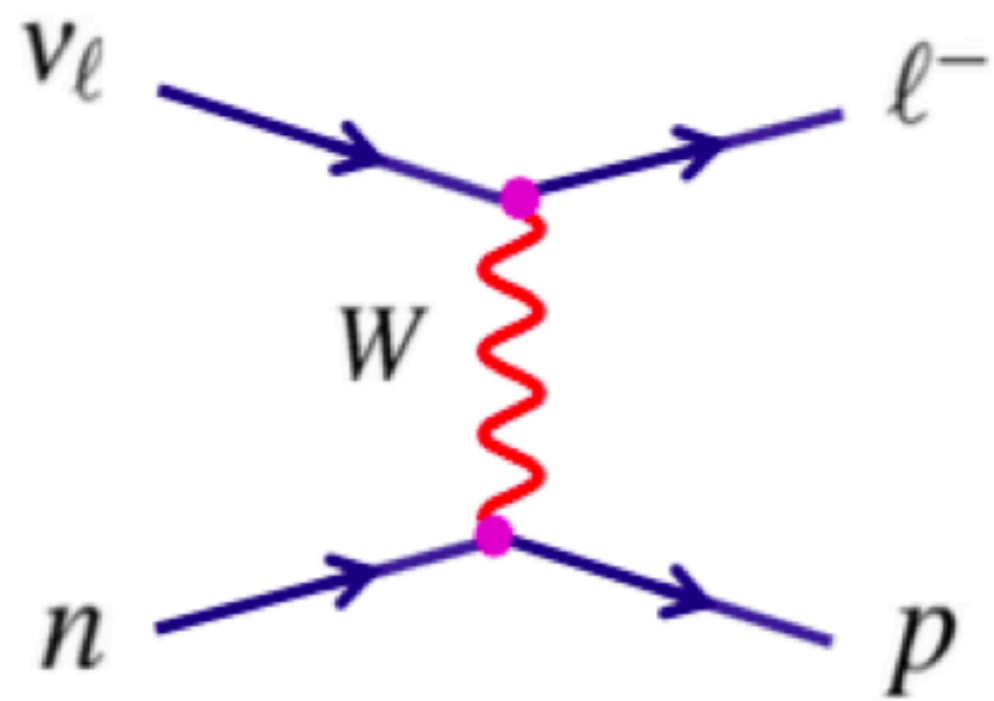
# Bi-probability plots

CP Phase

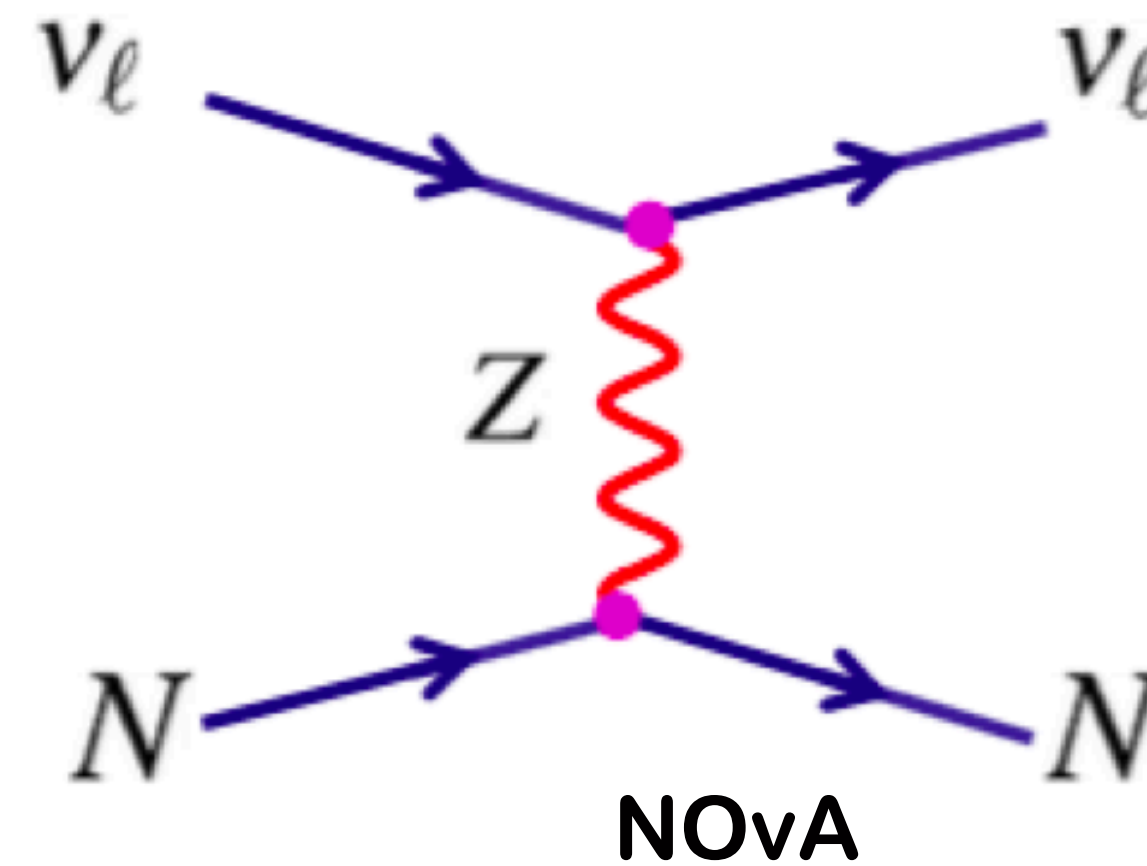


# Accelerator (& Atmospheric) Neutrinos

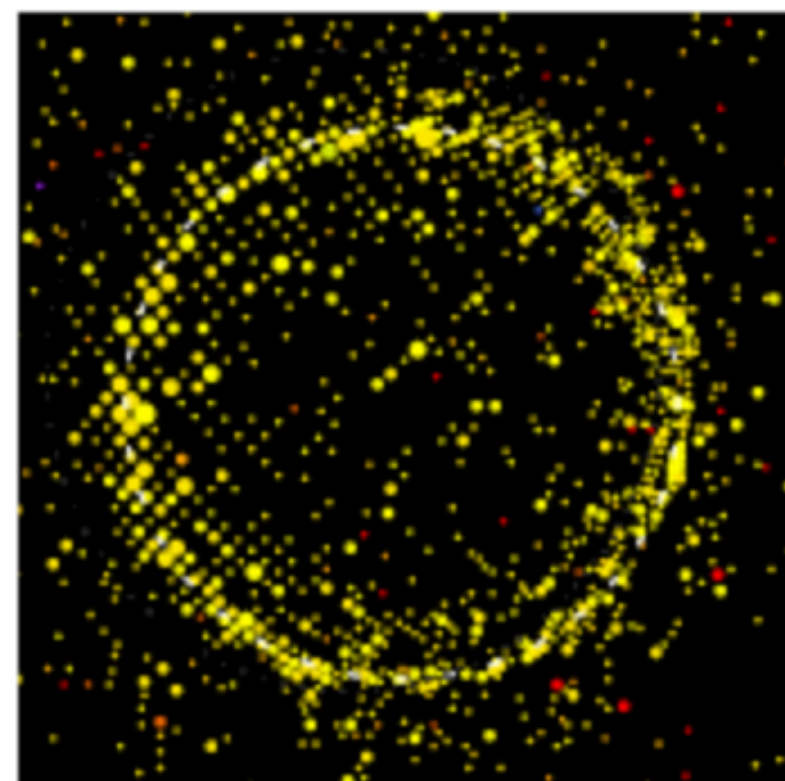
How neutrinos are measured?



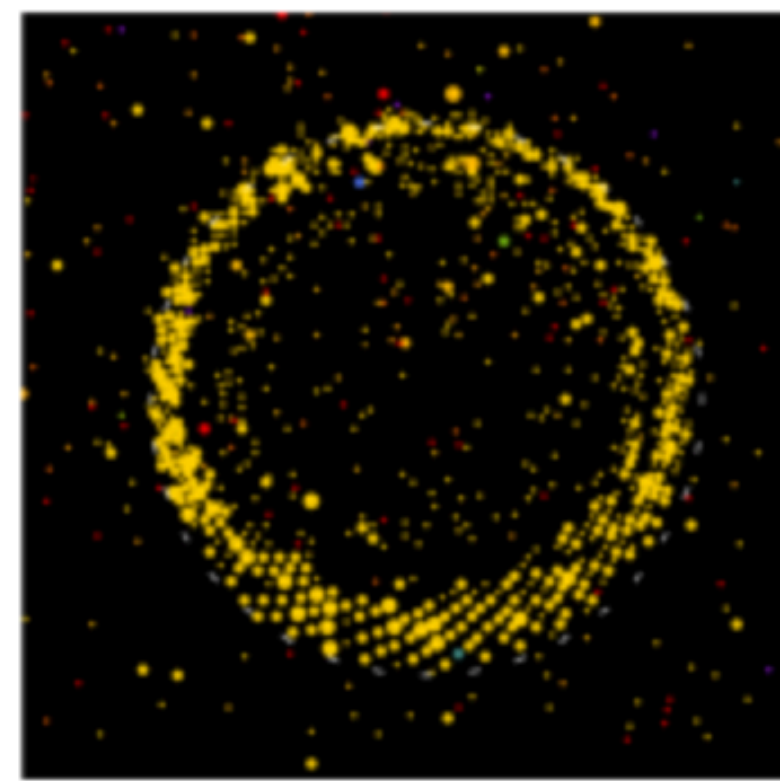
**Charged Current (CC)** interaction reveals the flavor from the outgoing charged lepton



**Neutral Current (NC)** interactions mediated by  $Z$  boson is indistinguishable for the the 3 flavors

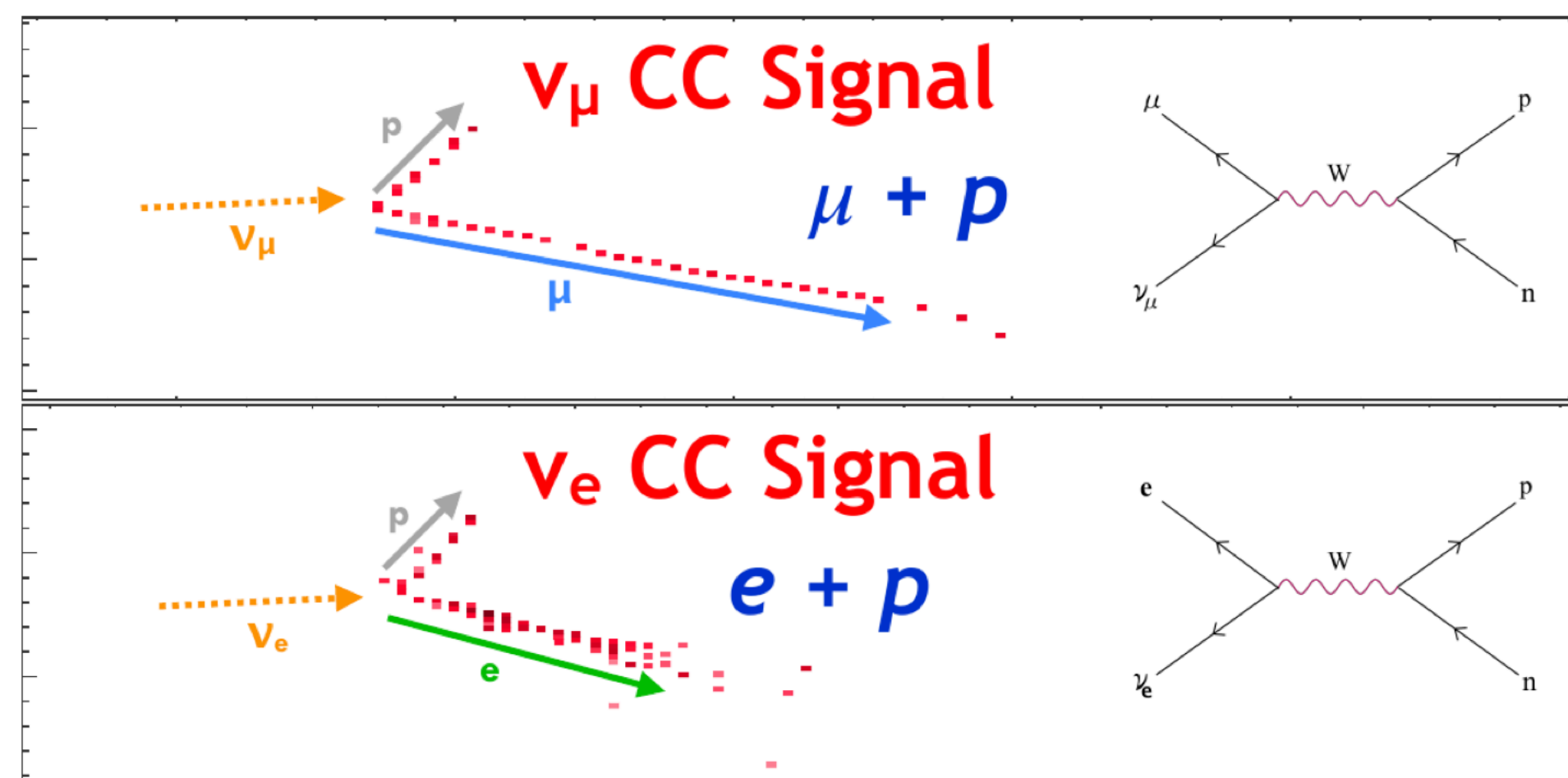


$\nu_e$ -like



$\nu_\mu$ -like

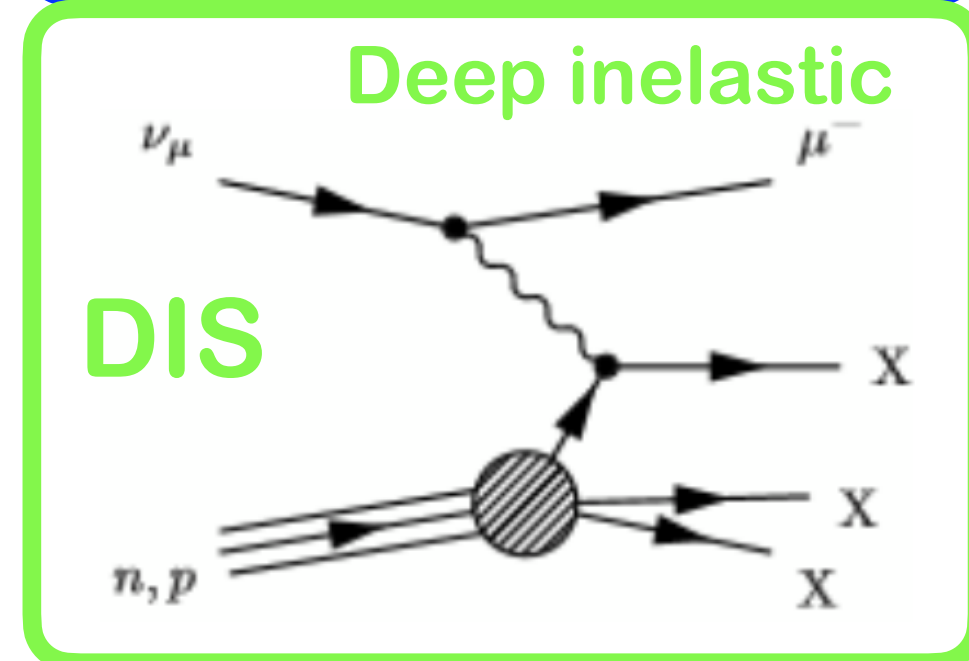
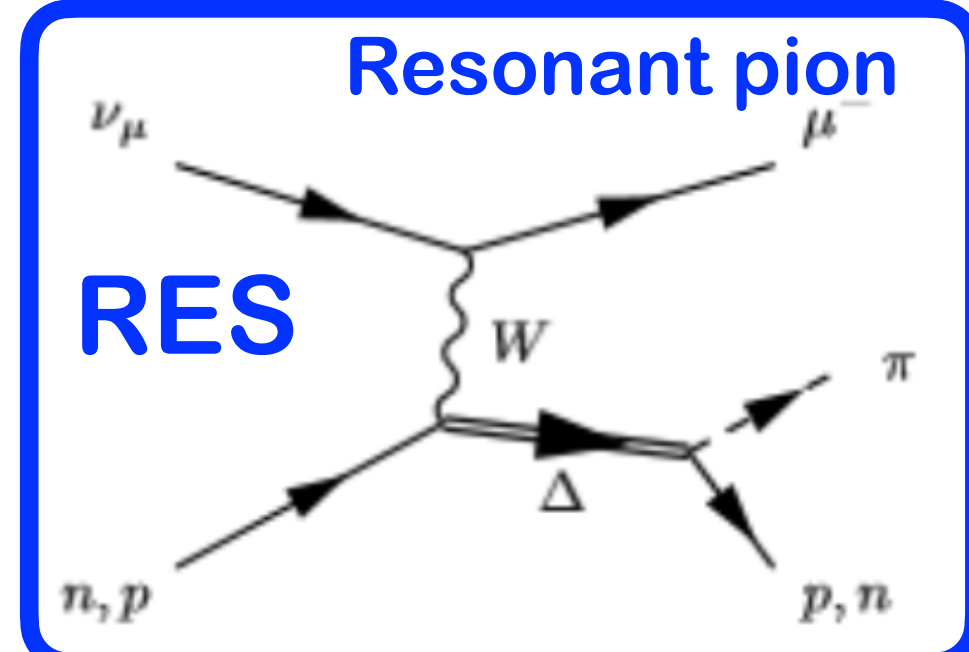
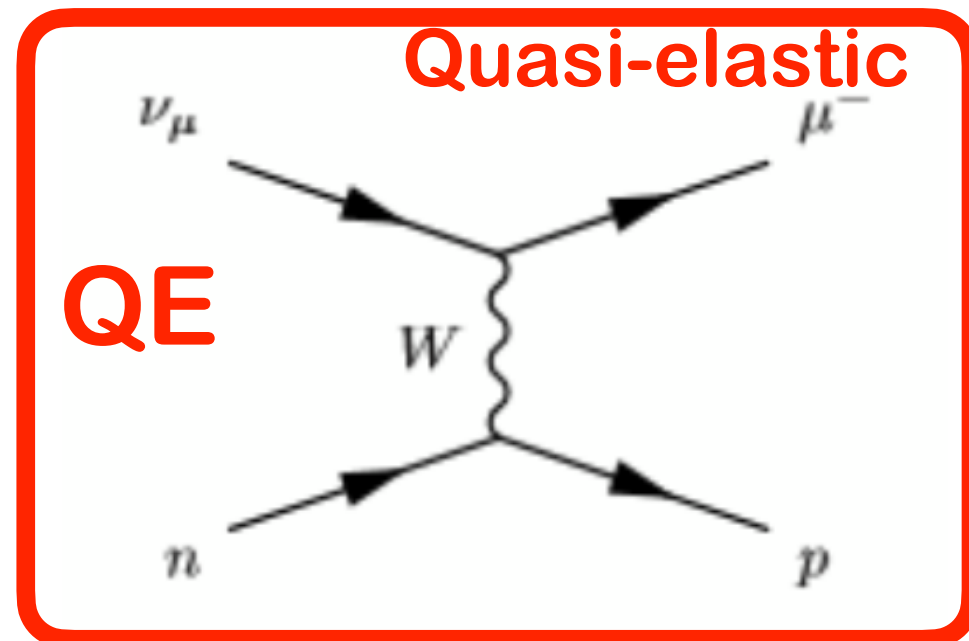
T2K/Super-Kamiokande



# Accelerator (& Atmospheric) Neutrinos

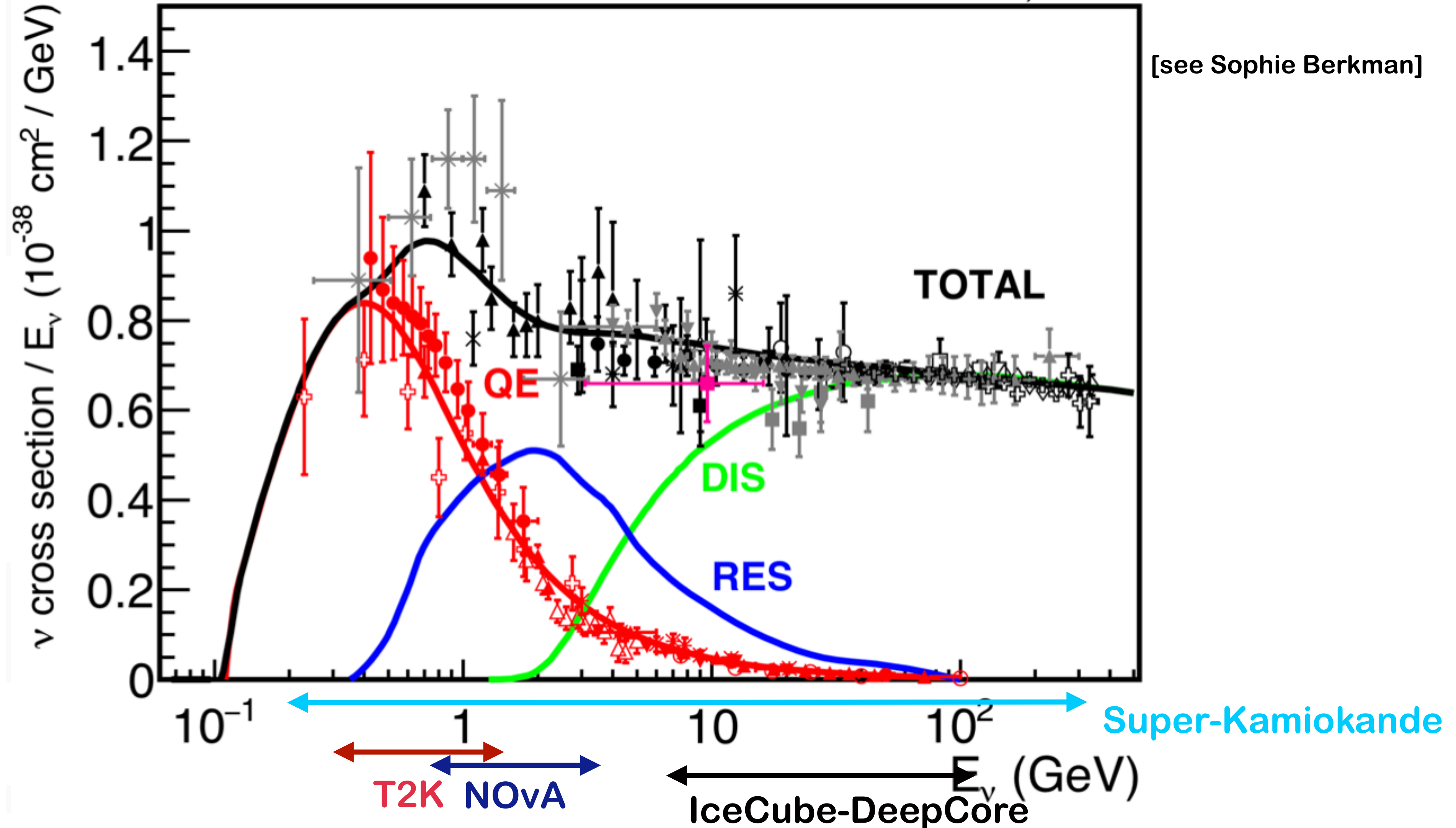
x-sections

## CC-interaction



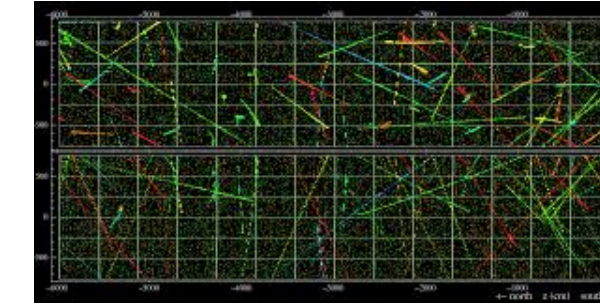
[based on P. Lipari et al. (1995)]

A. Schukraft, G. Zeller



# Accelerator Neutrinos

## Results from NOvA



211  $\nu_\mu$  CC candidates observed

$\nu_\mu \rightarrow \nu_\mu$ 201.1		$\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu$ 12.6	
NC	cosmic	other	<b>total</b>
2.6	5.0	1.0	<b>222.3<sup>+16.3</sup><sub>-15.7</sub></b>

82  $\nu_e$  CC candidates observed

Signal $\nu_\mu \rightarrow \nu_e$					<b>59.0</b>
$\bar{\nu}_e$	beam $\nu_e$	$\nu_\mu$	NC	cos.	other
1.0	14.1	1.7	6.3	3.1	0.5
total					<b>85.8<sup>+4.1</sup><sub>-4.2</sub></b> (background 26.8)

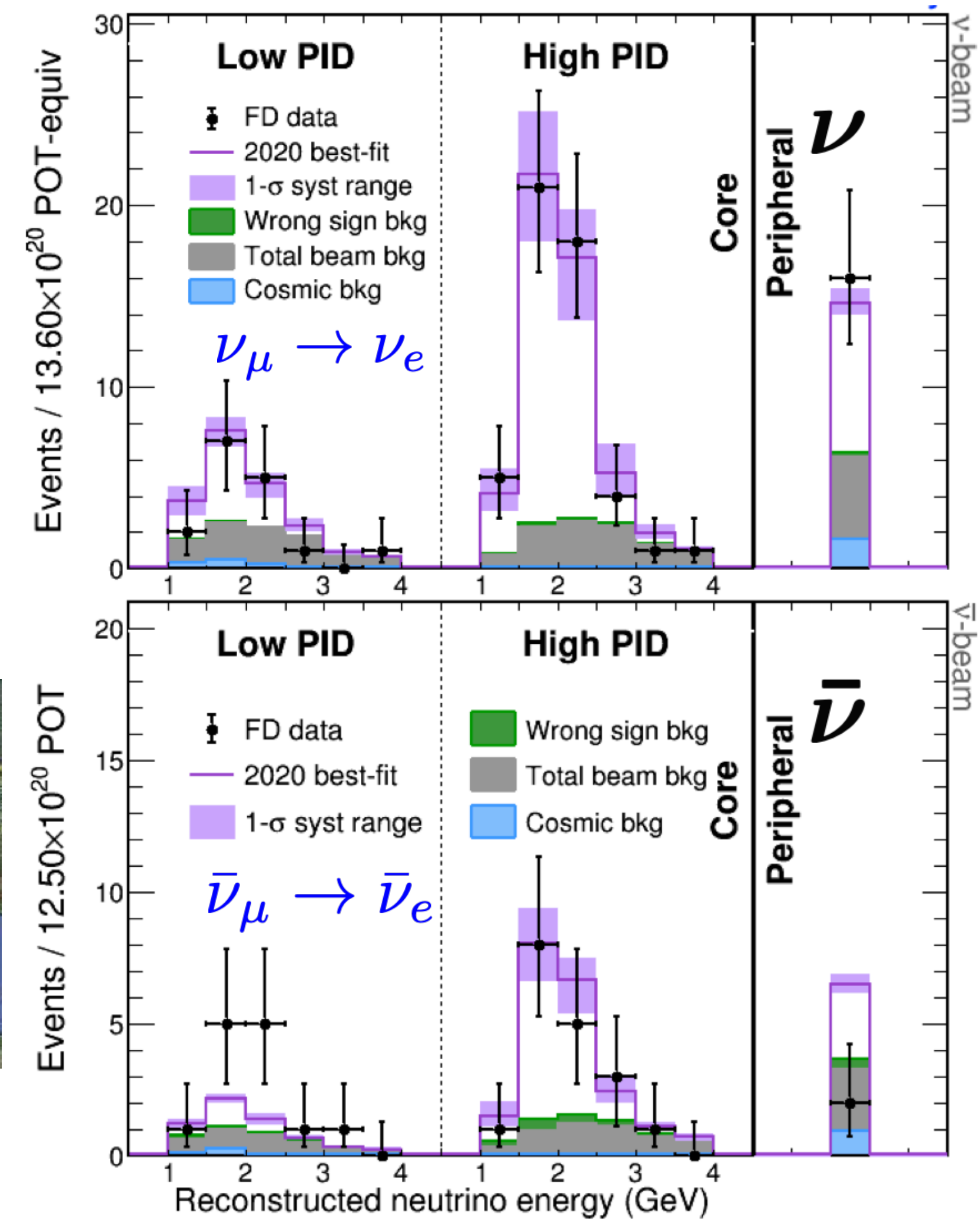
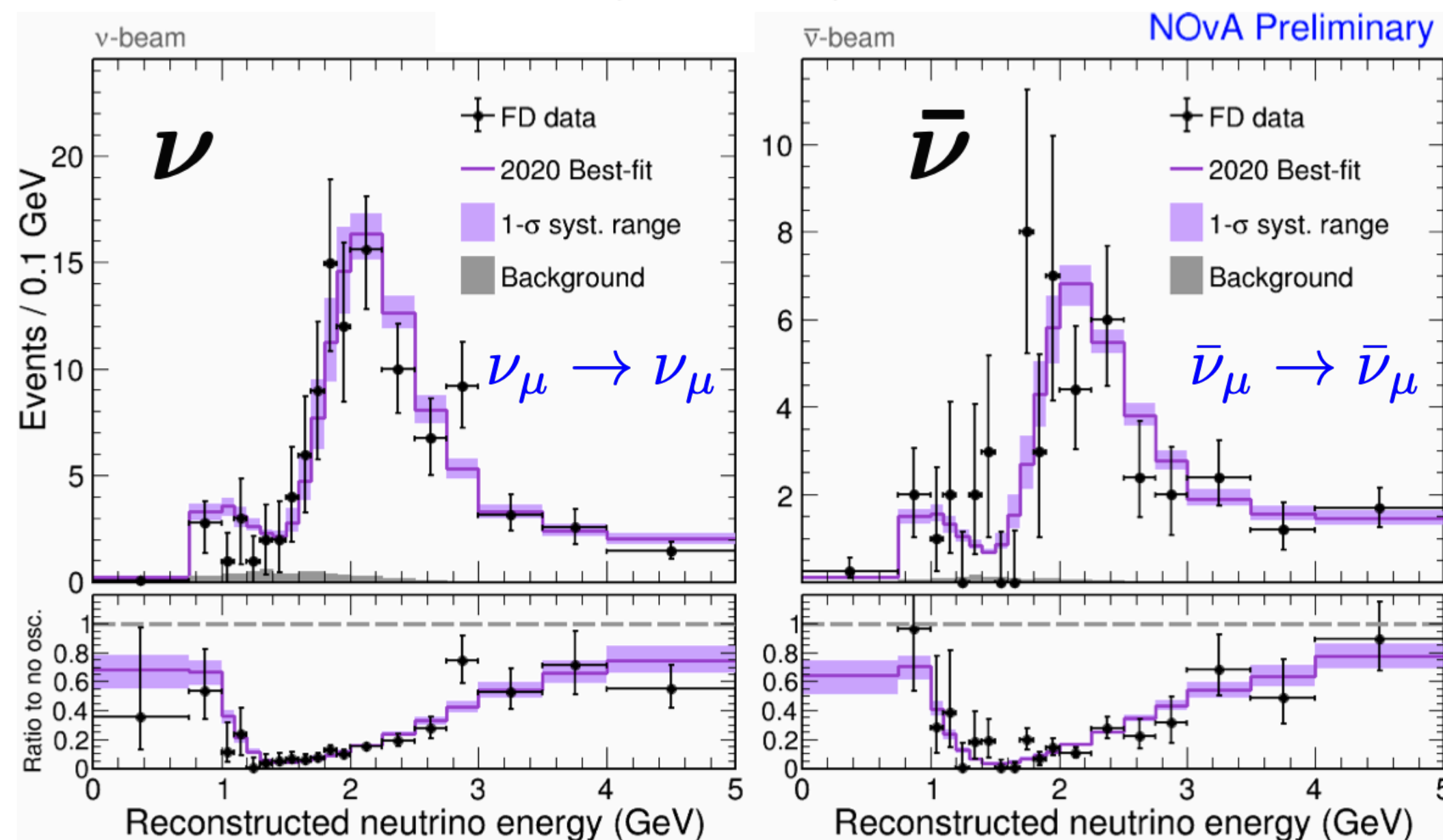
105  $\bar{\nu}_\mu$  CC candidates observed

$\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu$ 77.2		$\nu_\mu \rightarrow \nu_\mu$ 26.0	
NC	cosmic	other	<b>total</b>
0.8	0.9	0.4	<b>105.4<sup>+7.8</sup><sub>-7.7</sub></b>

33  $\bar{\nu}_e$  CC candidates observed

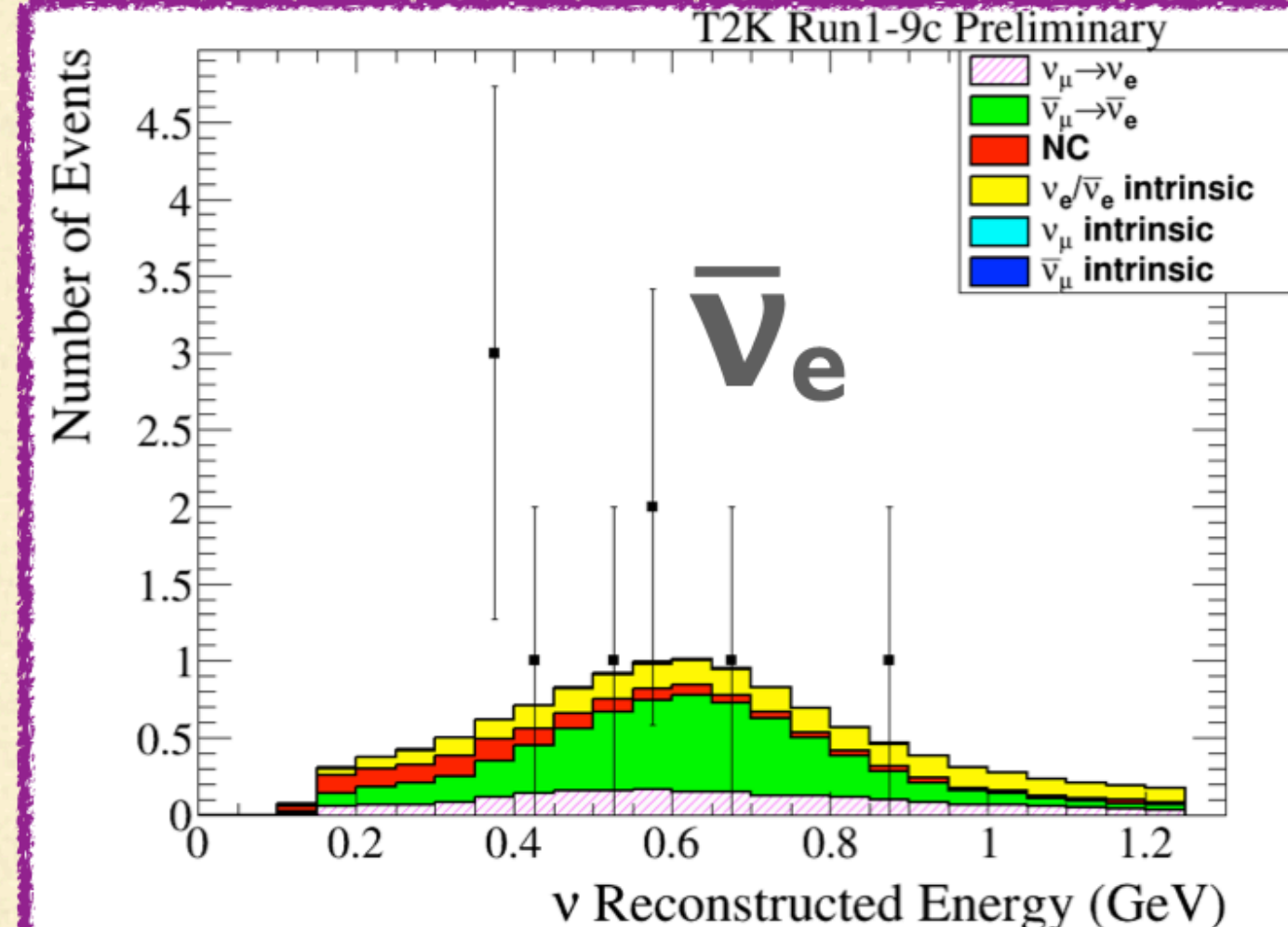
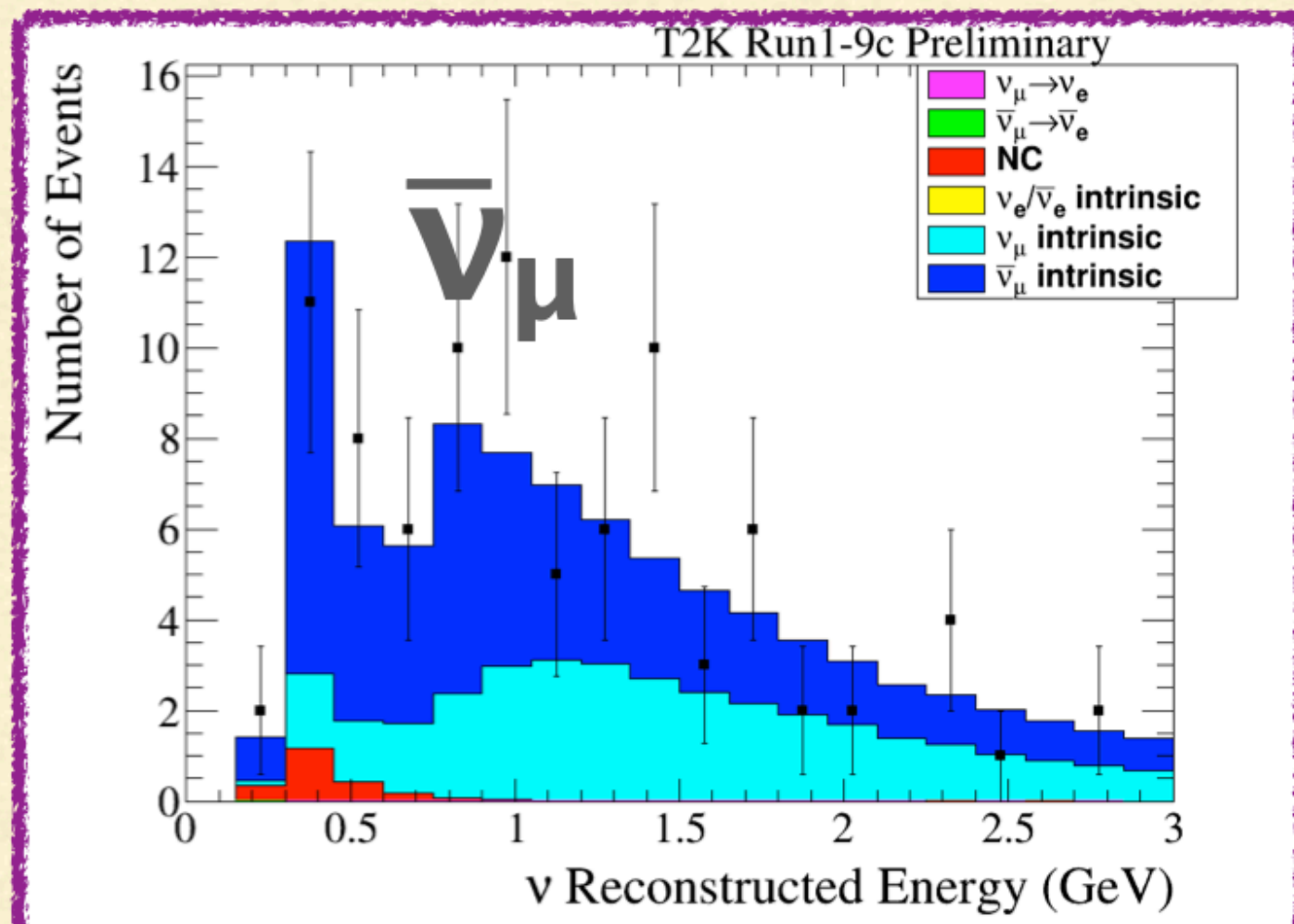
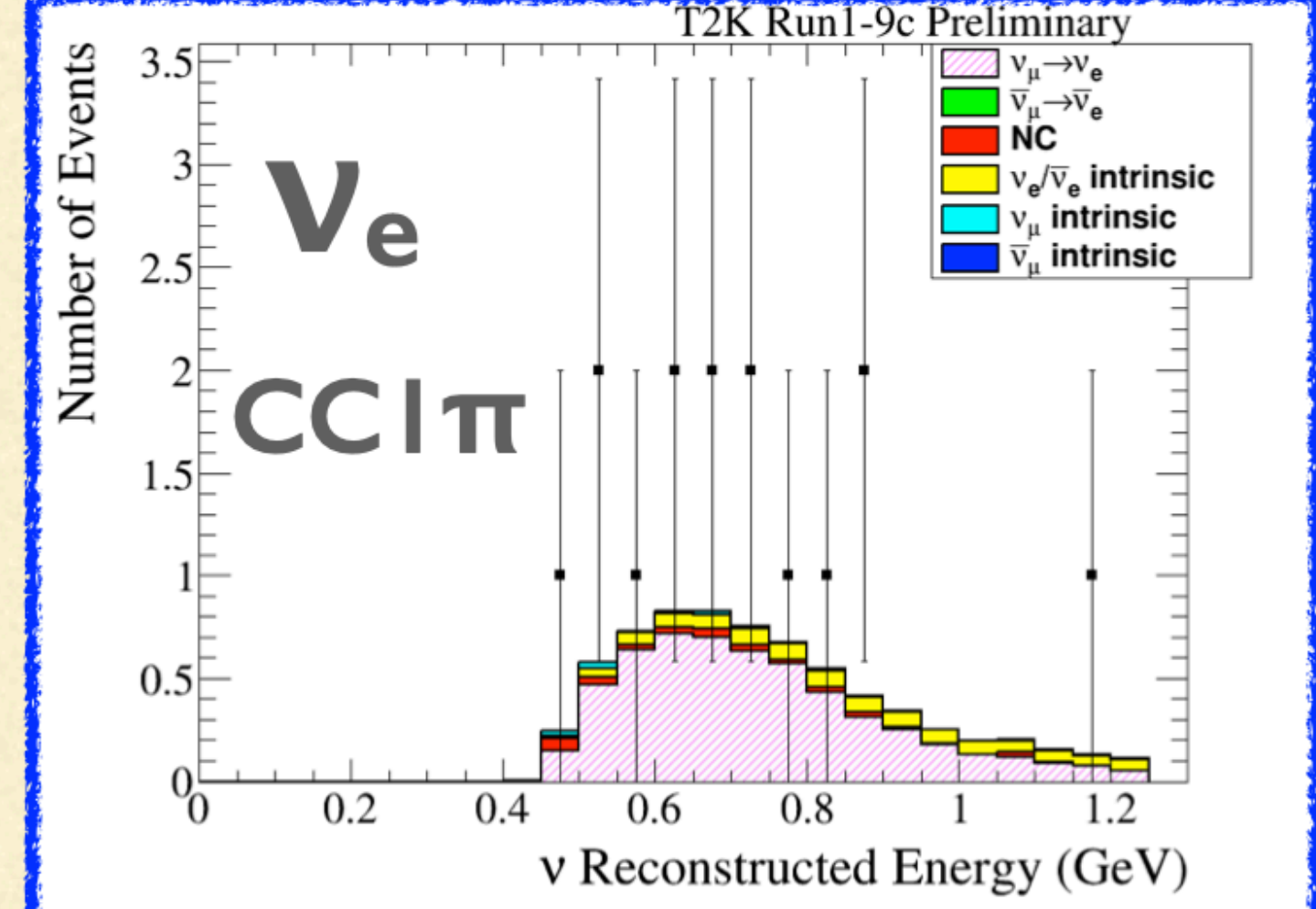
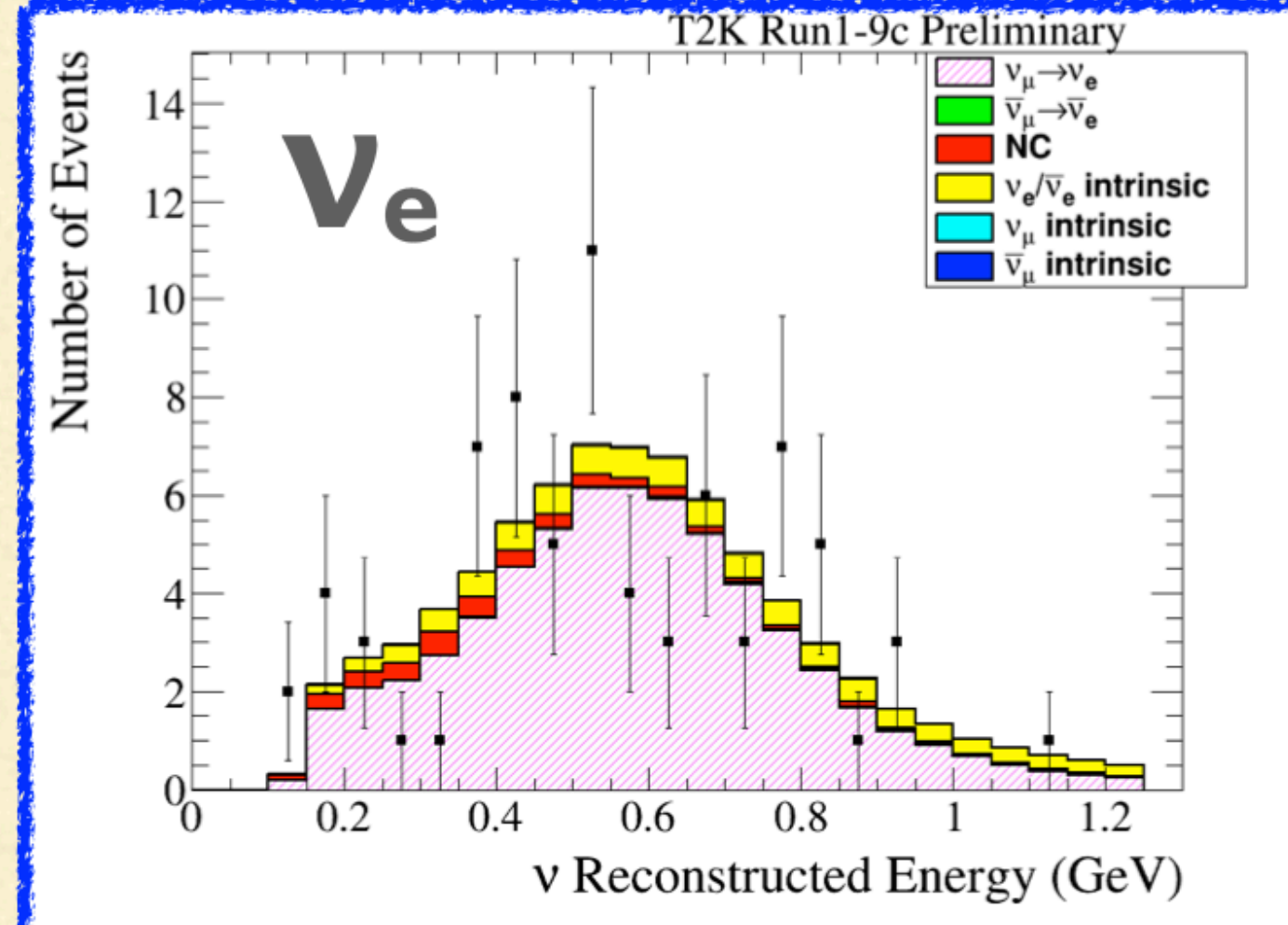
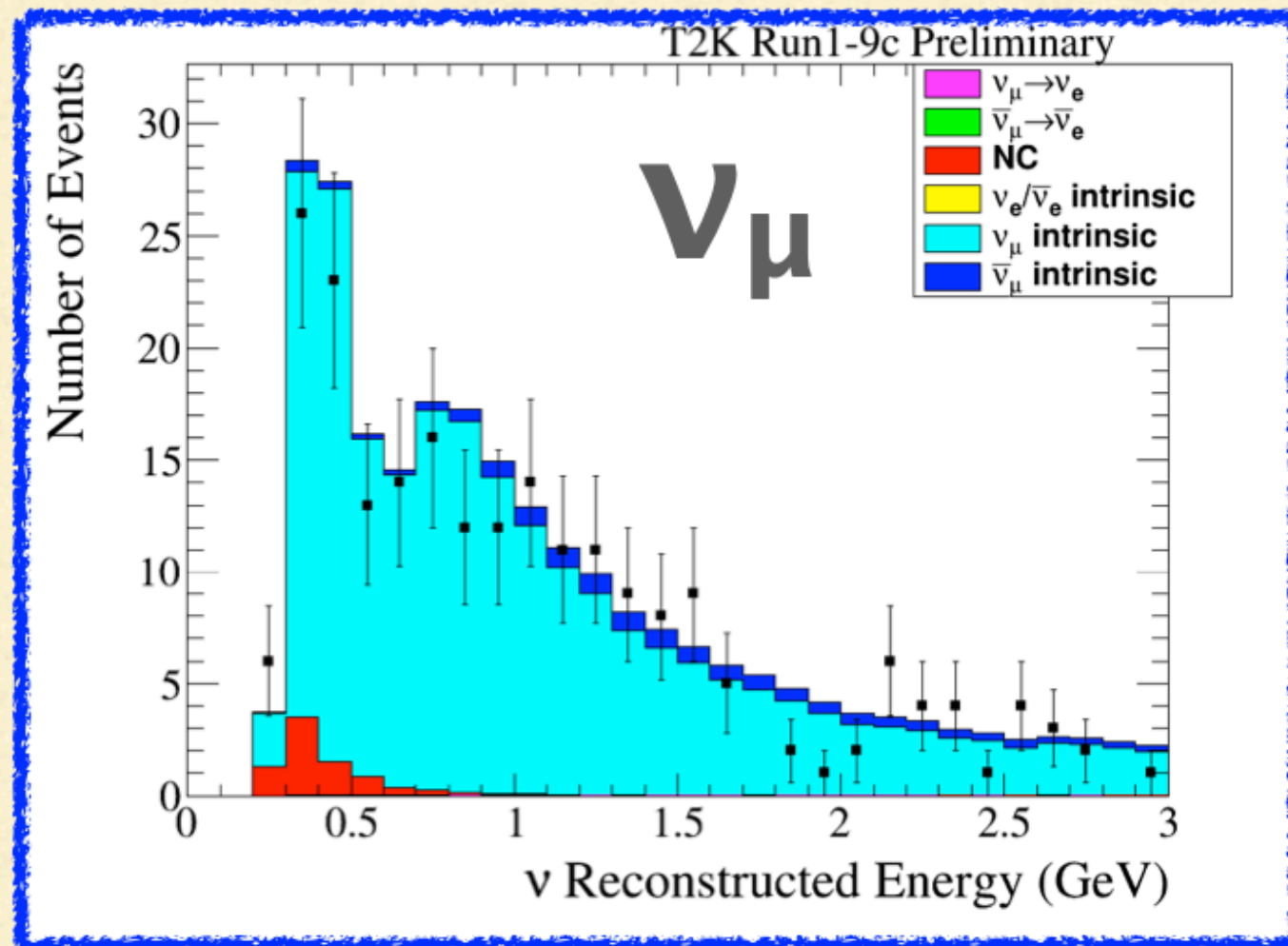
Signal $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$					<b>19.2</b>
$\nu_e$	beam $\nu_e$	$\nu_\mu$	NC	cos.	other
2.3	7.3	0.4	2.2	1.6	0.3
total					<b>33.2<sup>+1.5</sup><sub>-1.7</sub></b> (background 14.0)

[Taken from NOvA Collab. (2022)]



# Accelerator Neutrinos

## Results from T2K



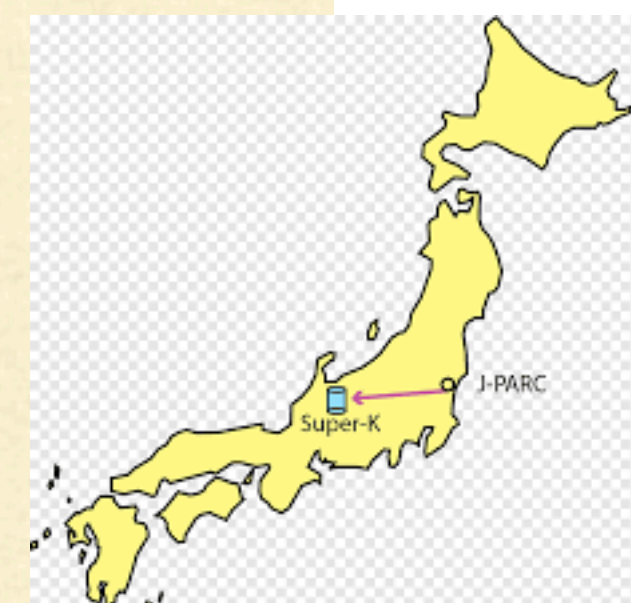
[Taken from T2K Collab. (2020)]

Energy distribution

$\nu$ -mode

anti- $\nu$ -mode

\* T2K Preliminary



# Disappearance Experiments Synergy

## Neutrino Mass Ordering

NuFIT 6.1 (2025)

$$\Delta m_{31}^2 \Big|_{\mu}^{\text{NO}} > \Big| \Delta m_{32}^2 \Big|_{\mu}^{\text{IO}}$$

**LBL**

$$\Delta m_{\mu\mu}^2 \simeq \sin^2 \theta_{12} \Delta m_{31}^2 + \cos^2 \theta_{12} \Delta m_{32}^2$$

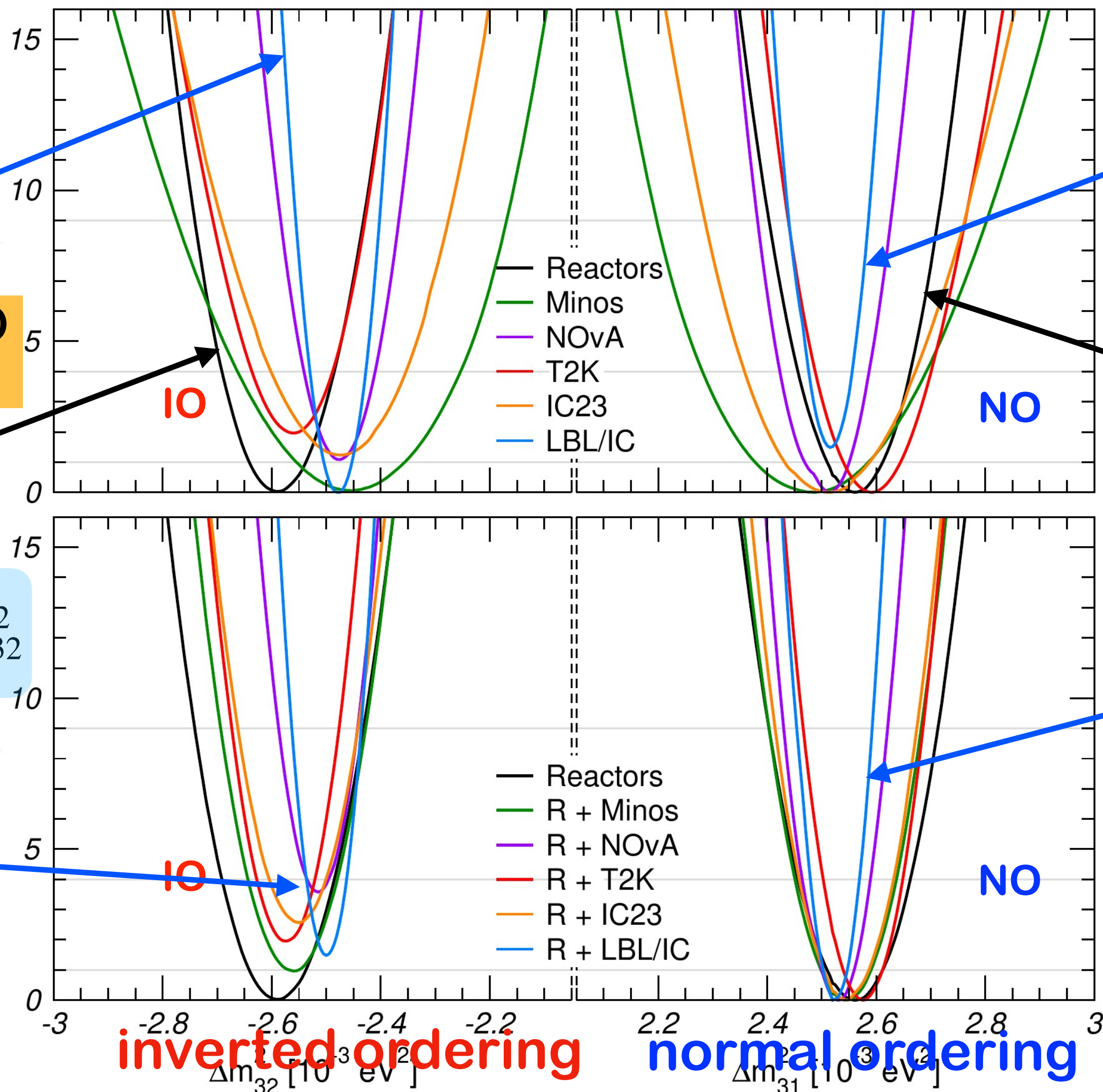
$$\Big| \Delta m_{32}^2 \Big|_e^{\text{IO}} > \Delta m_{31}^2 \Big|_e^{\text{NO}}$$

**LBL**

**Reactors Combined**

$$\Delta m_{ee}^2 \equiv \cos^2 \theta_{12} \Delta m_{31}^2 + \sin^2 \theta_{12} \Delta m_{32}^2$$

**LBL+Reactors**



**Reactors Combined**

**LBL+Reactors**

**inverted ordering**      **normal ordering**

# Disappearance Experiments Synergy

## Mass Ordering Sum Rule

@ the moment no disappearance experiment has any sensitivity to the ordering  
few %

$$(\Delta m_{31}^2 |_{\mu}^{\text{NO}} - \Delta m_{31}^2 |_{e}^{\text{NO}}) + (|\Delta m_{32}^2 |_{e}^{\text{IO}} - |\Delta m_{32}^2 |_{\mu}^{\text{IO}}) = (2 \cos 2\theta_{12} - 2 \sin \theta_{13} \overline{\cos \delta}) \Delta m_{21}^2$$

$$\Delta m_{31}^2 |_{\mu}^{\text{NO}} = \Delta m_{31}^2 |_{e}^{\text{NO}} \quad \text{if NO is true}$$

$$\Delta m_{31}^2 |_{\text{T2K+NOvA}}^{\text{NO}} = (2.516 \pm 0.031) \times 10^{-3} \text{eV}^2$$

Precision  $\sim 1.2\%$

$$|\Delta m_{32}^2 |_{e}^{\text{IO}} = |\Delta m_{32}^2 |_{\mu}^{\text{IO}} \quad \text{if IO is true}$$

$$|\Delta m_{32}^2 |_{\text{T2K+NOvA}}^{\text{IO}} = (2.485 \pm 0.031) \times 10^{-3} \text{eV}^2$$

this will change soon with JUNO

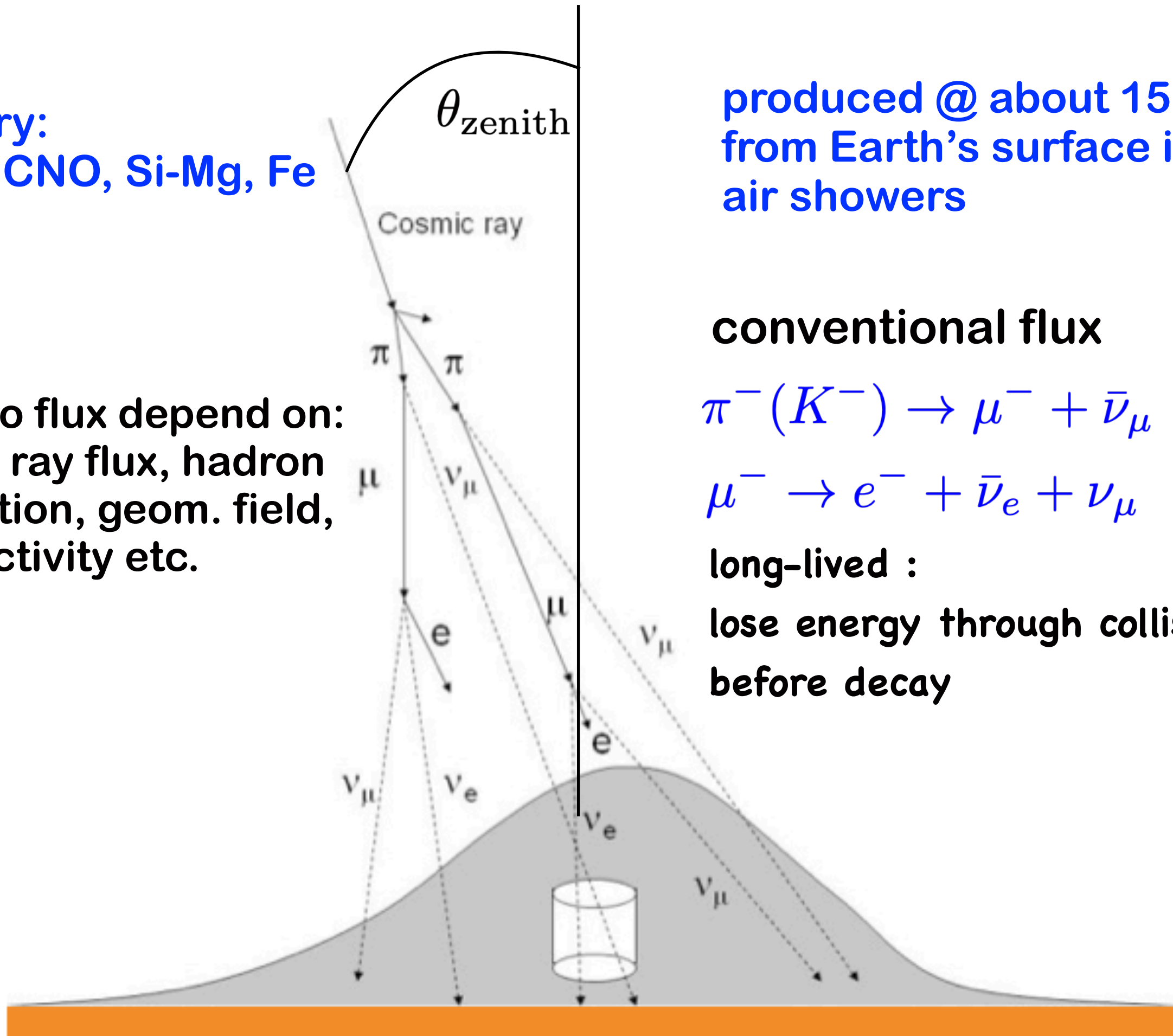
# Atmospheric Neutrinos

# Atmospheric Neutrinos

## Sources of the Flux

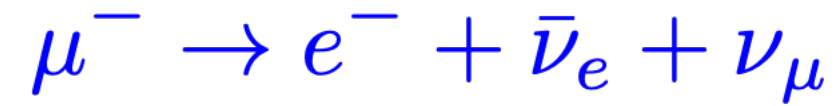
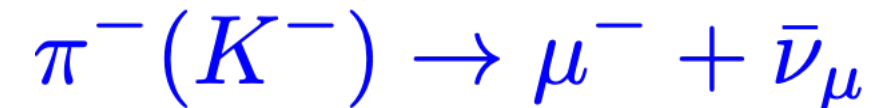
primary:  
p, He, CNO, Si-Mg, Fe

neutrino flux depend on:  
cosmic ray flux, hadron  
interaction, geom. field,  
solar activity etc.



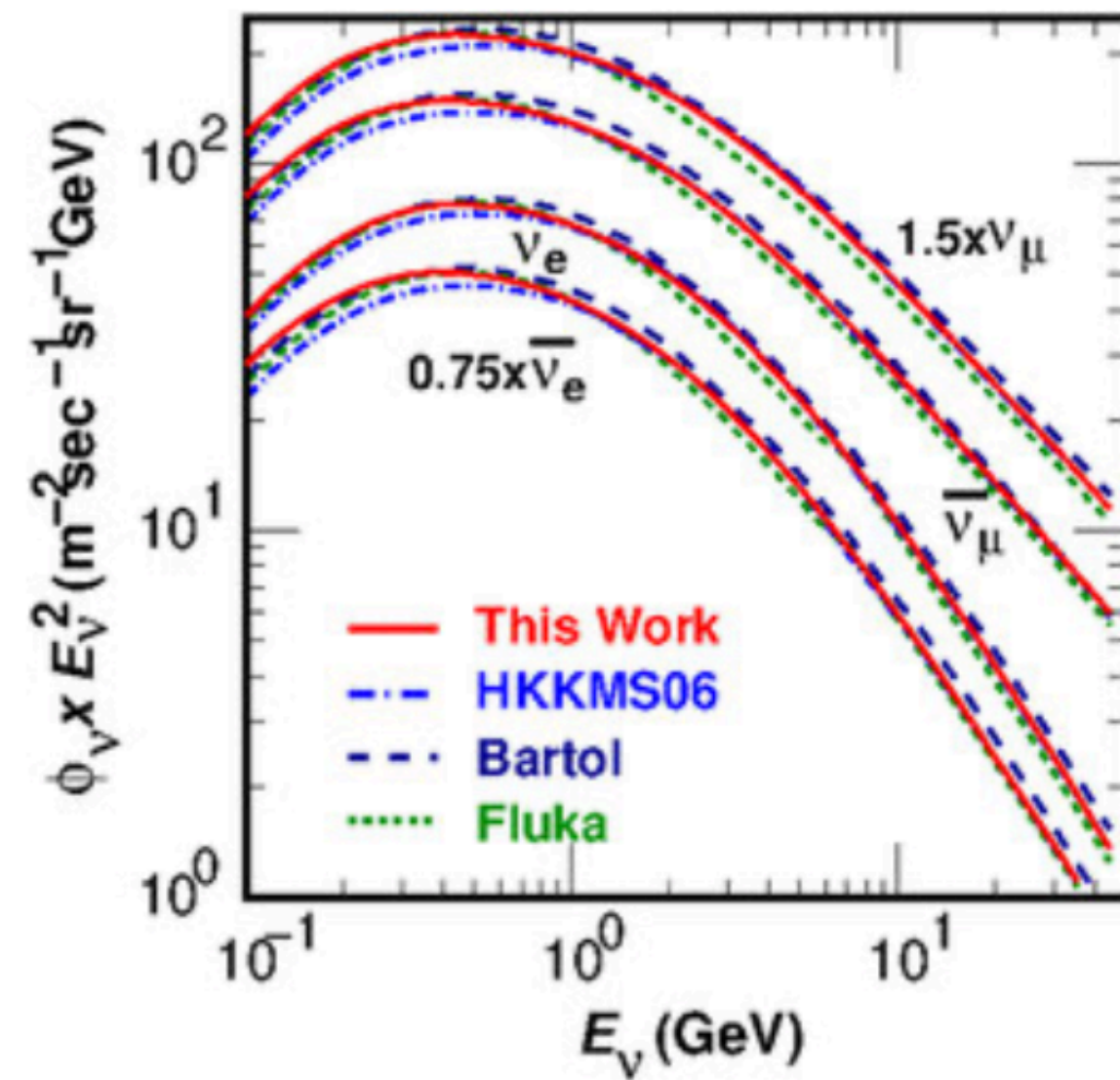
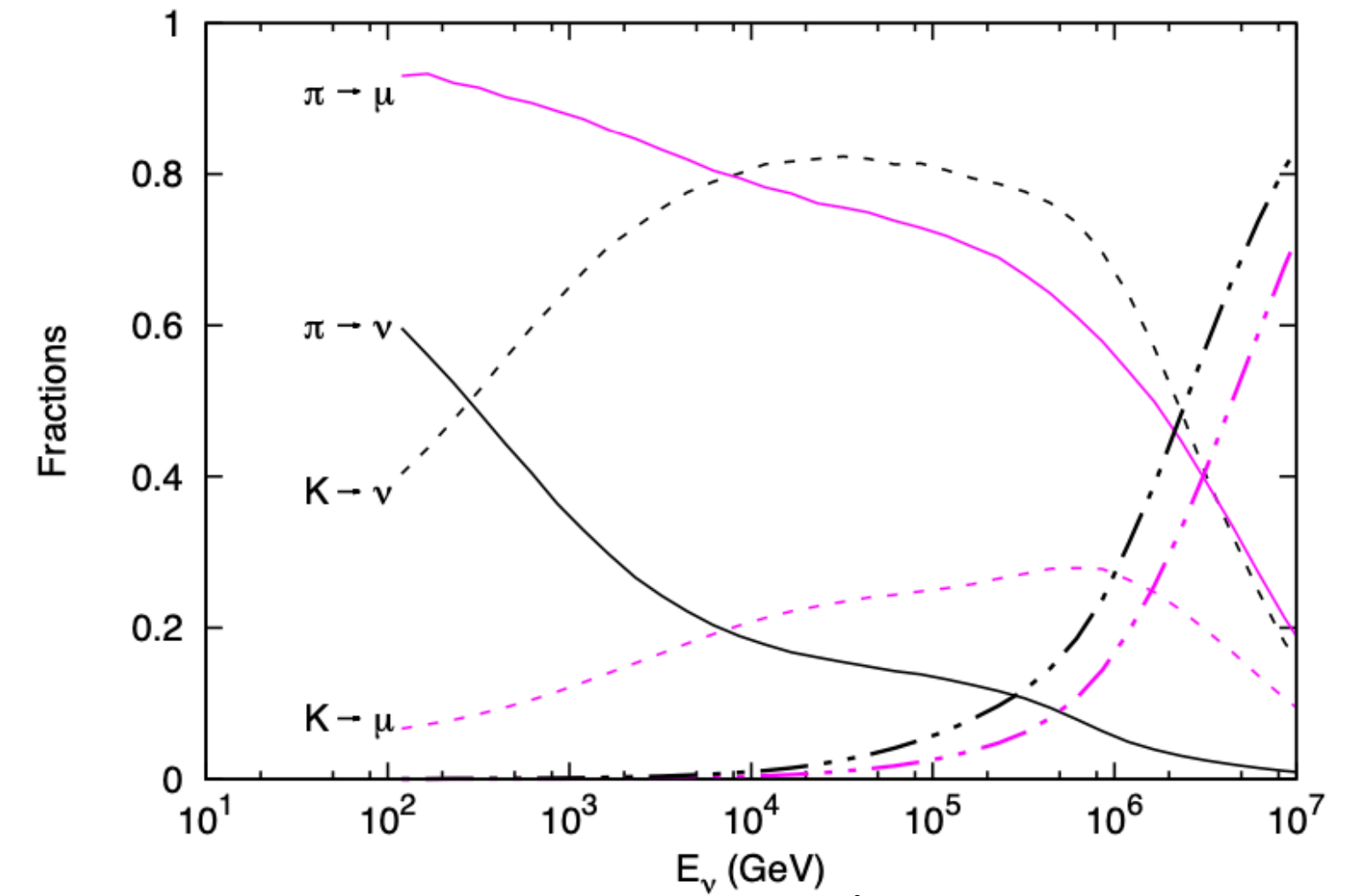
produced @ about 15 km  
from Earth's surface in  
air showers

conventional flux

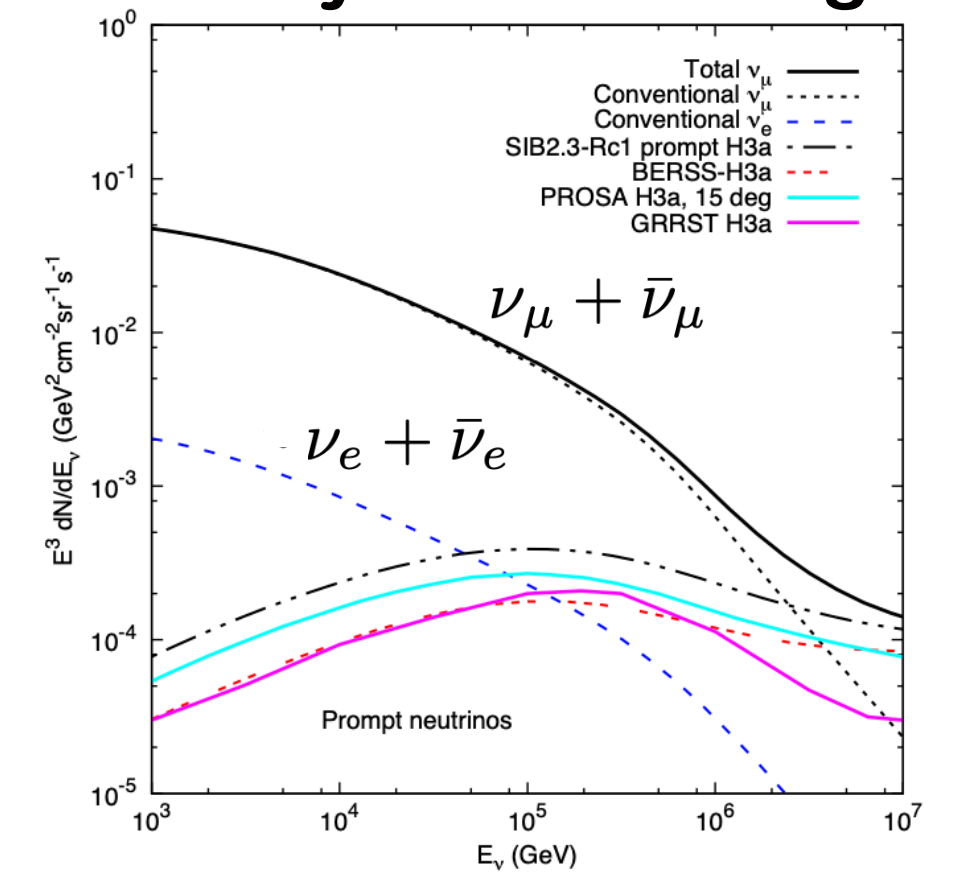


long-lived :  
lose energy through collisions  
before decay

prompt flux = charm mesons



prompt: mesons  
decay before losing energy



unoscillated flux  
averaged over zenith angle

# Atmospheric Neutrinos

## Sensitivity to the 32/31-sectors

Experiments count  $N_{\nu_e + \bar{\nu}_e}$  &  $N_{\nu_\mu + \bar{\nu}_\mu}$  as a function of the zenith angle

have to take into account matter effect for neutrinos traveling through the Earth

$$P_{\nu_e \rightarrow \nu_e}$$

$$P_{\nu_e \rightarrow \nu_\mu}$$

$$P_{\nu_e \rightarrow \nu_\tau}$$

$$P_{\nu_\mu \rightarrow \nu_\mu}$$

$$P_{\nu_\mu \rightarrow \nu_e}$$

$$P_{\nu_\mu \rightarrow \nu_\tau}$$

$\Delta m_{31}^2$  (or  $\Delta m_{32}^2$ )

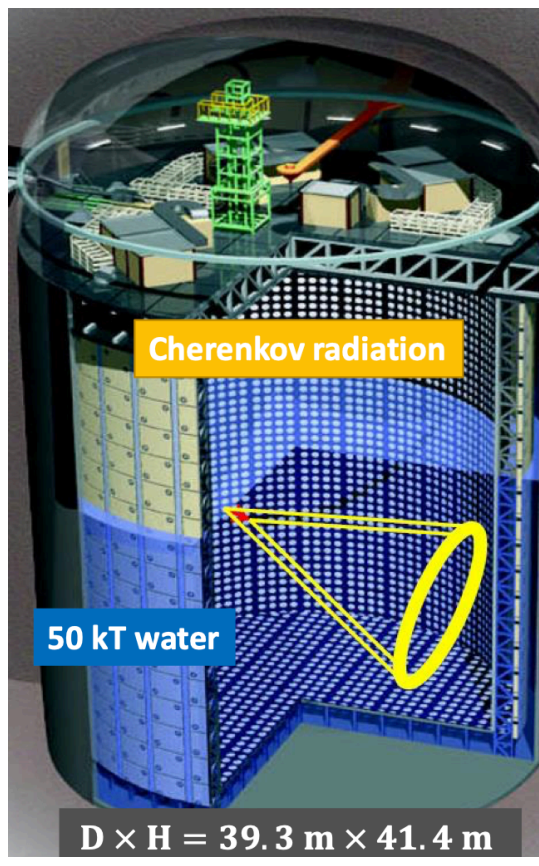
$\theta_{32}$

+ for antineutrinos

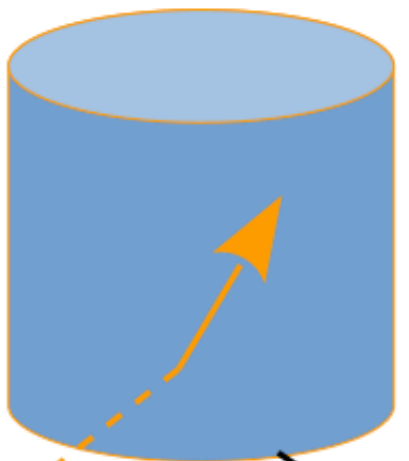
disappear

# Atmospheric Neutrinos

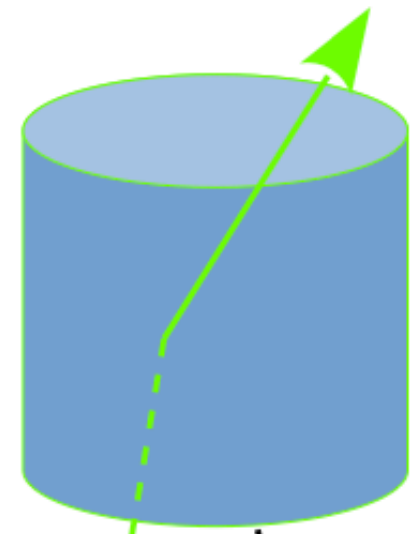
## SuperKamikande Event Classification



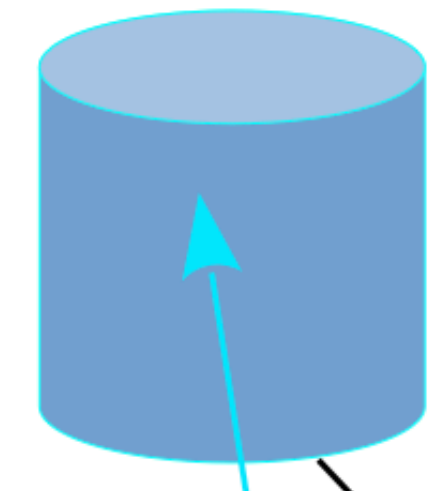
Fully contained



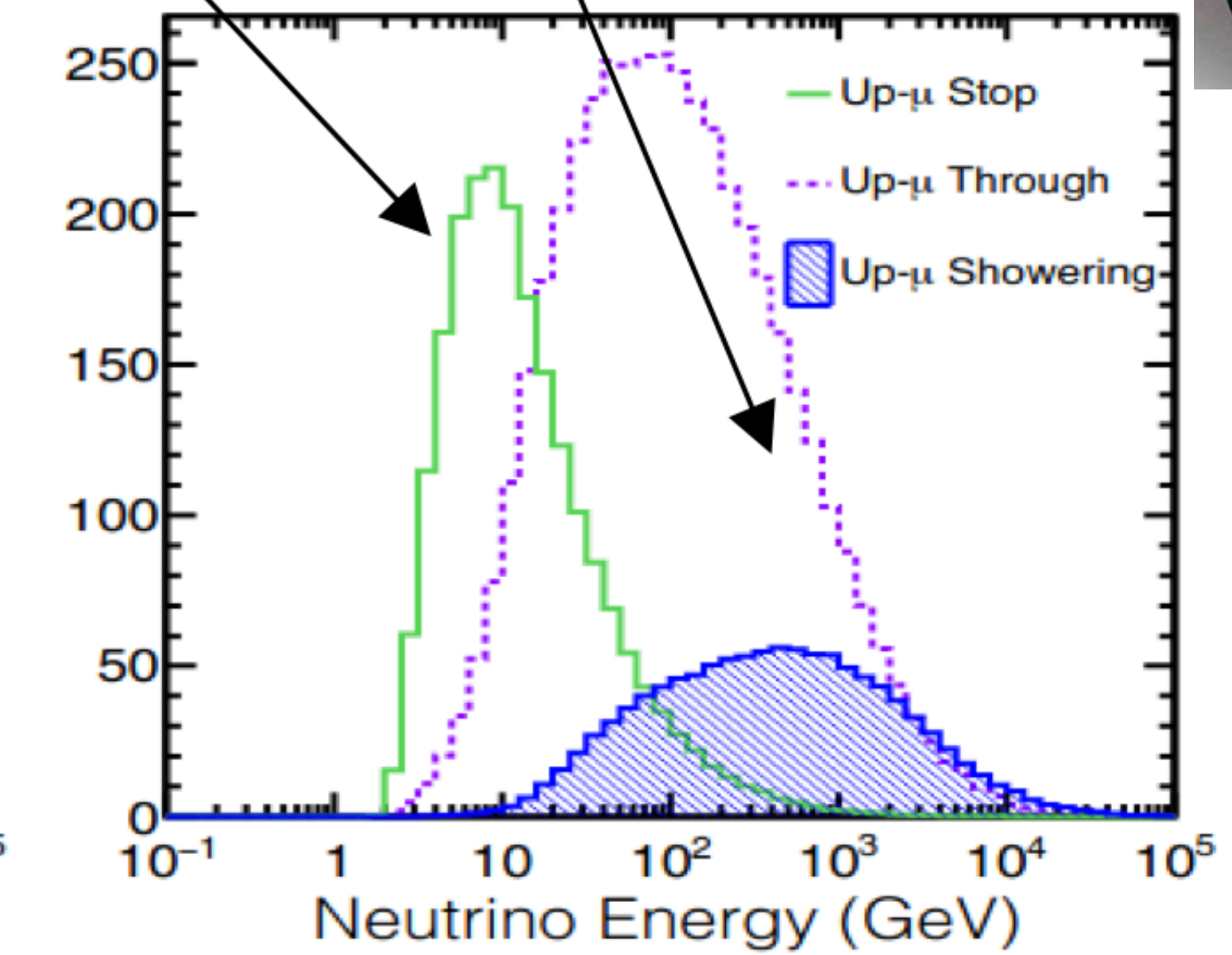
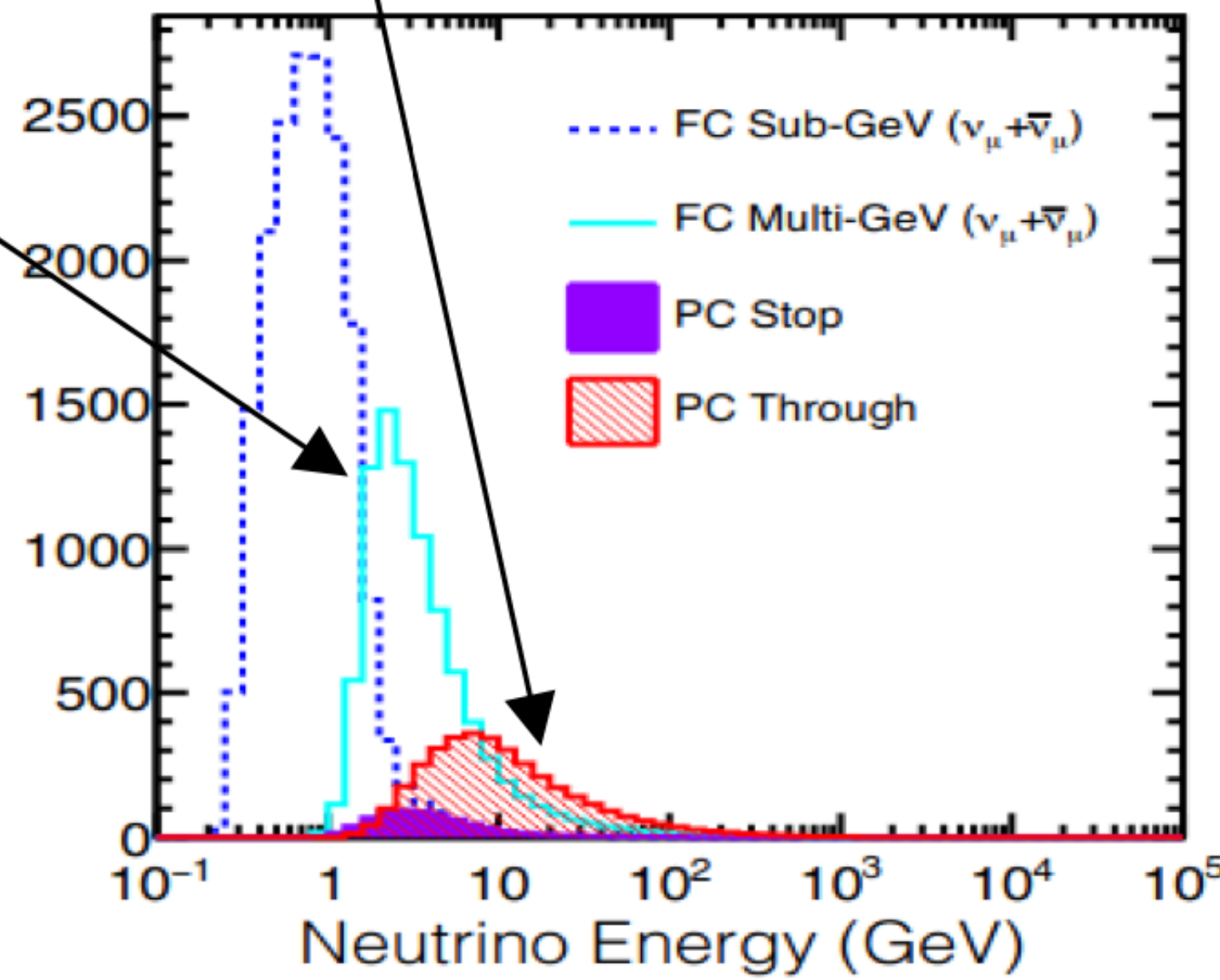
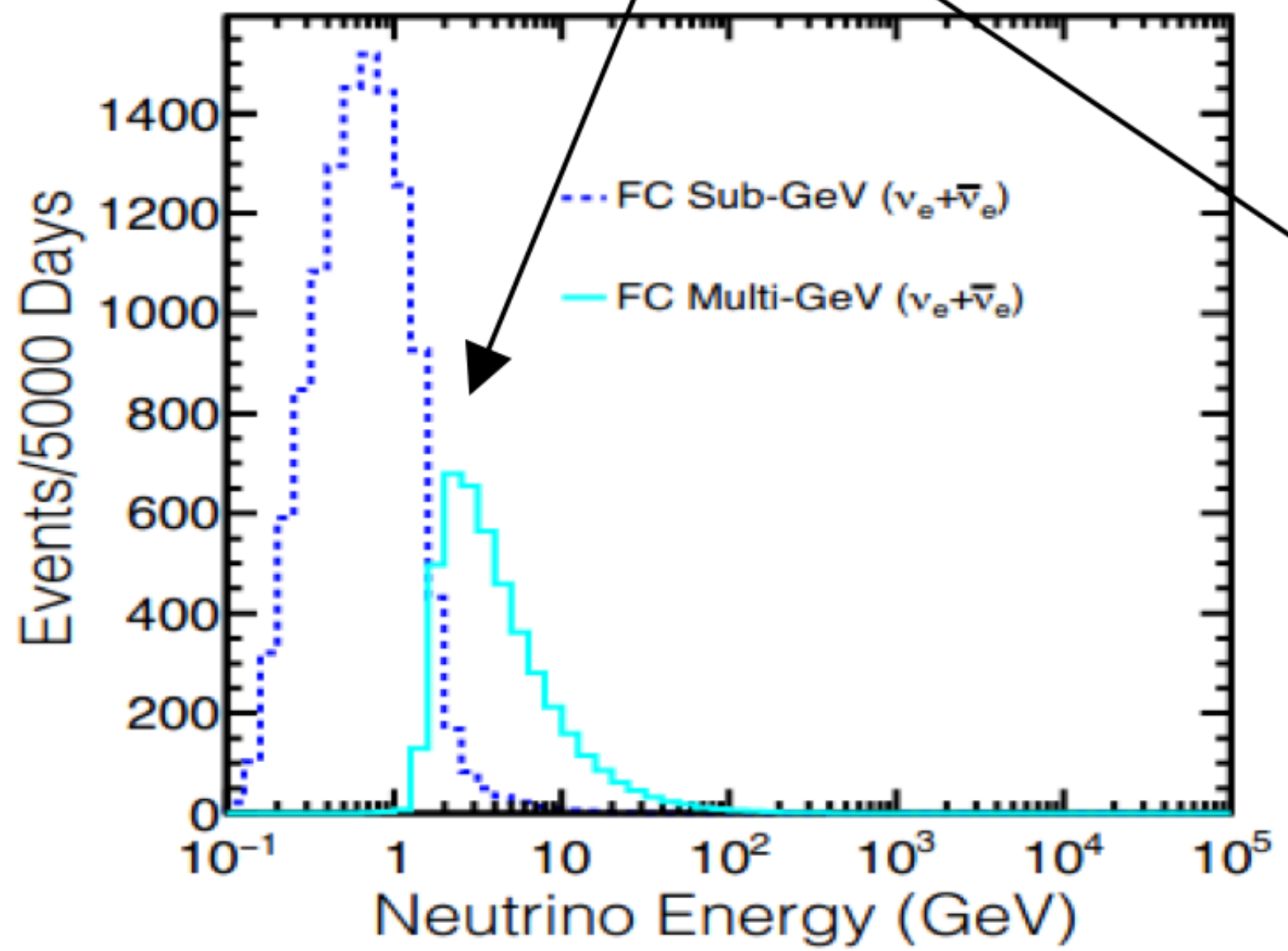
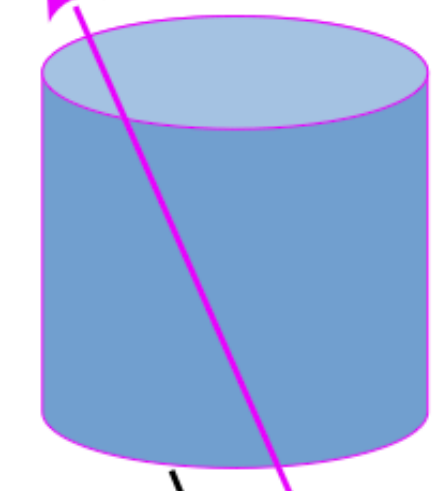
Partially contained



Upward stopping  $\mu$

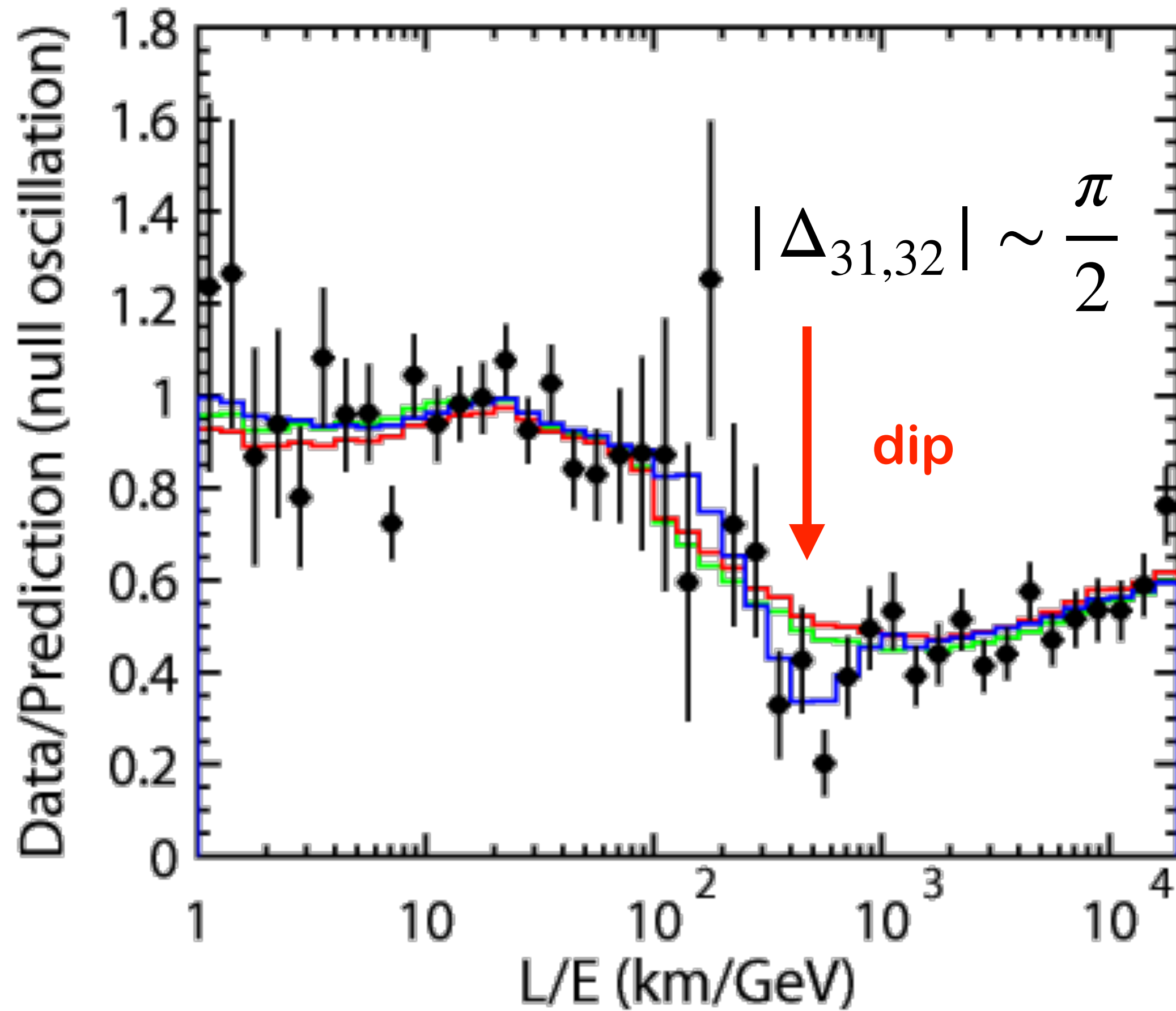


Upward through-going  $\mu$

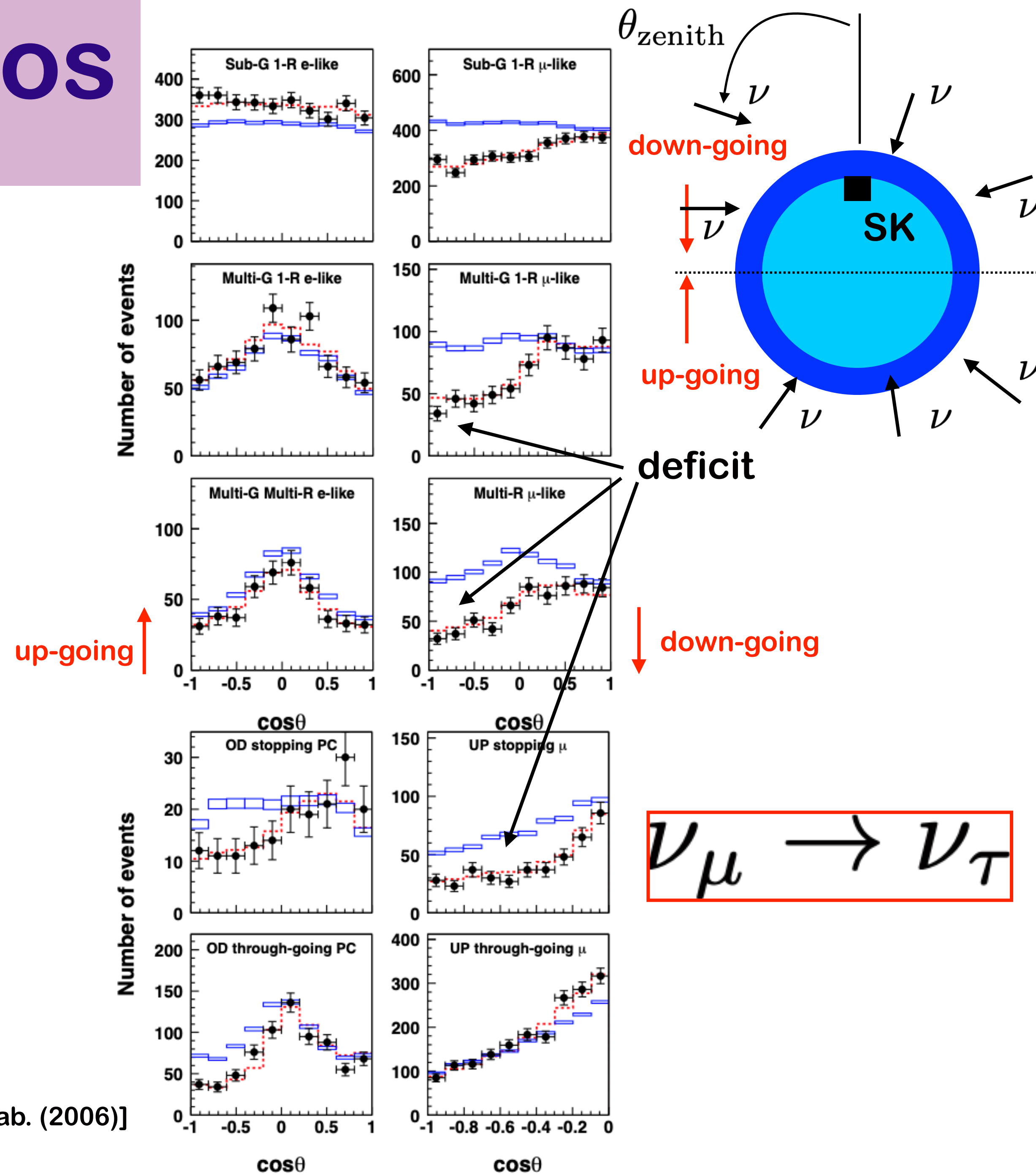


# Atmospheric Neutrinos

## SuperKamikande Observations



[Super-Kamiokande Collab. (2006)]

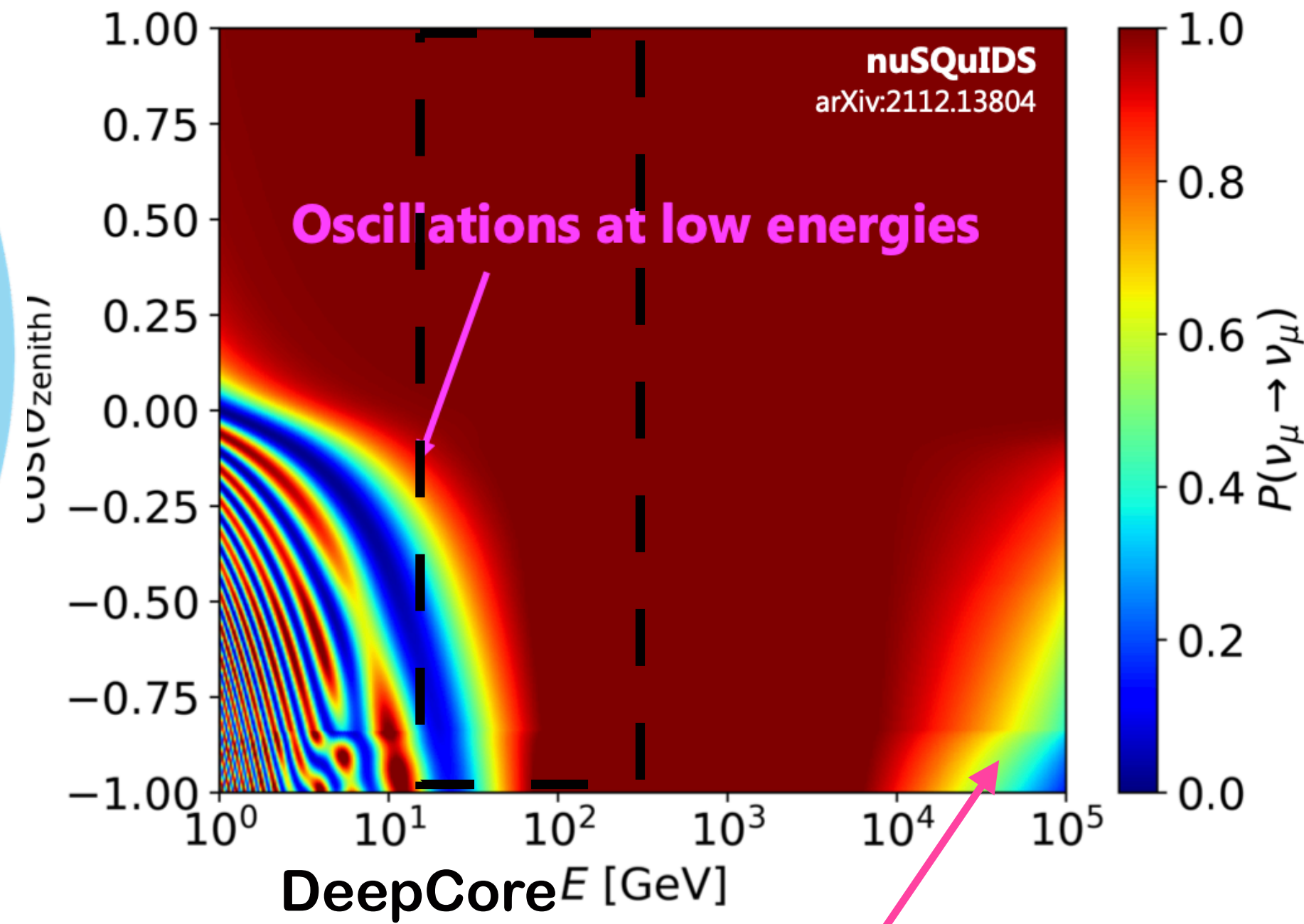


# Atmospheric Neutrinos

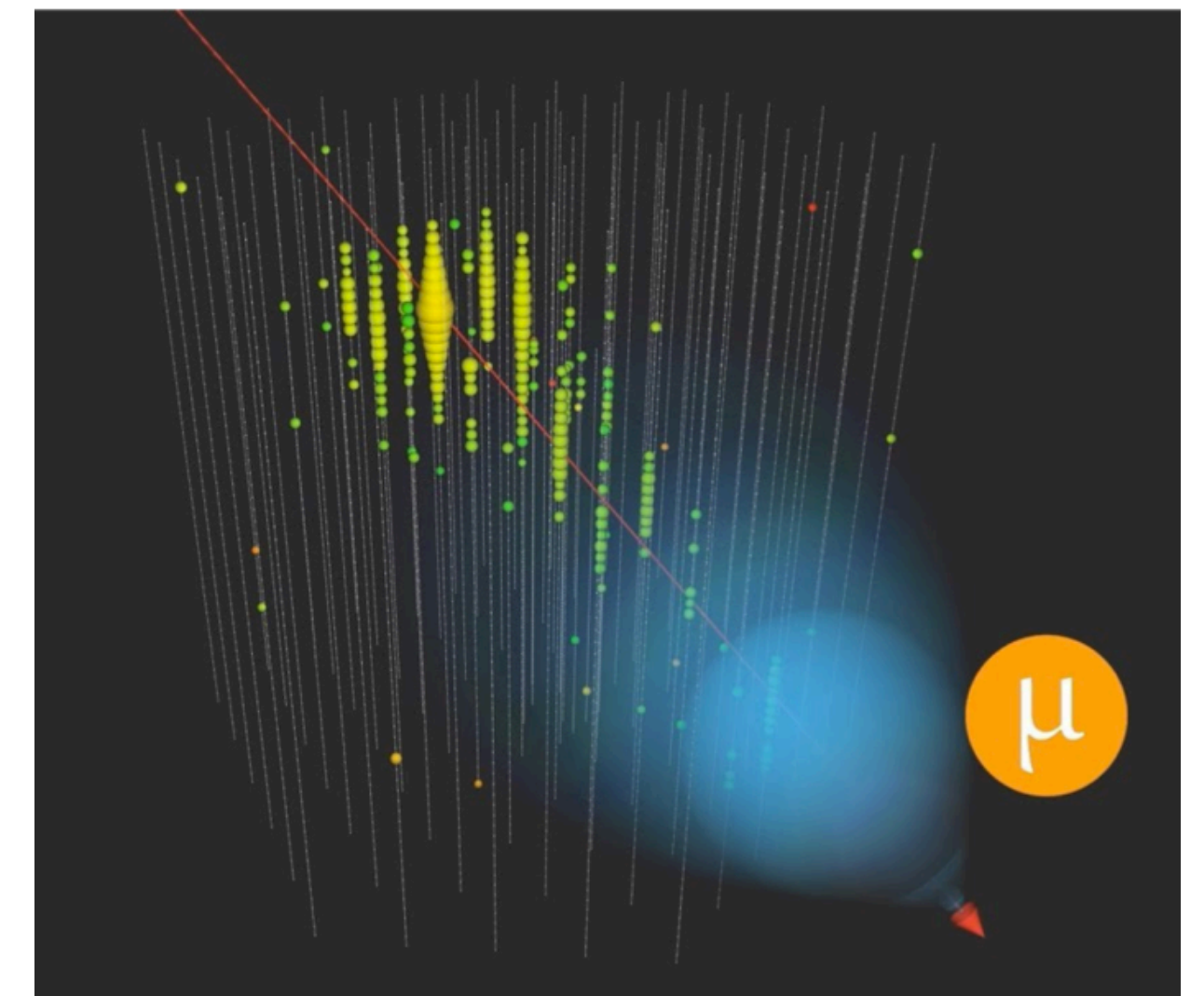
## IceCube/DeepCore Observations

Detection via Cherenkov light emission from secondary particles produced in  $\nu - N$  interactions :

### Neutrinos propagate across the Earth



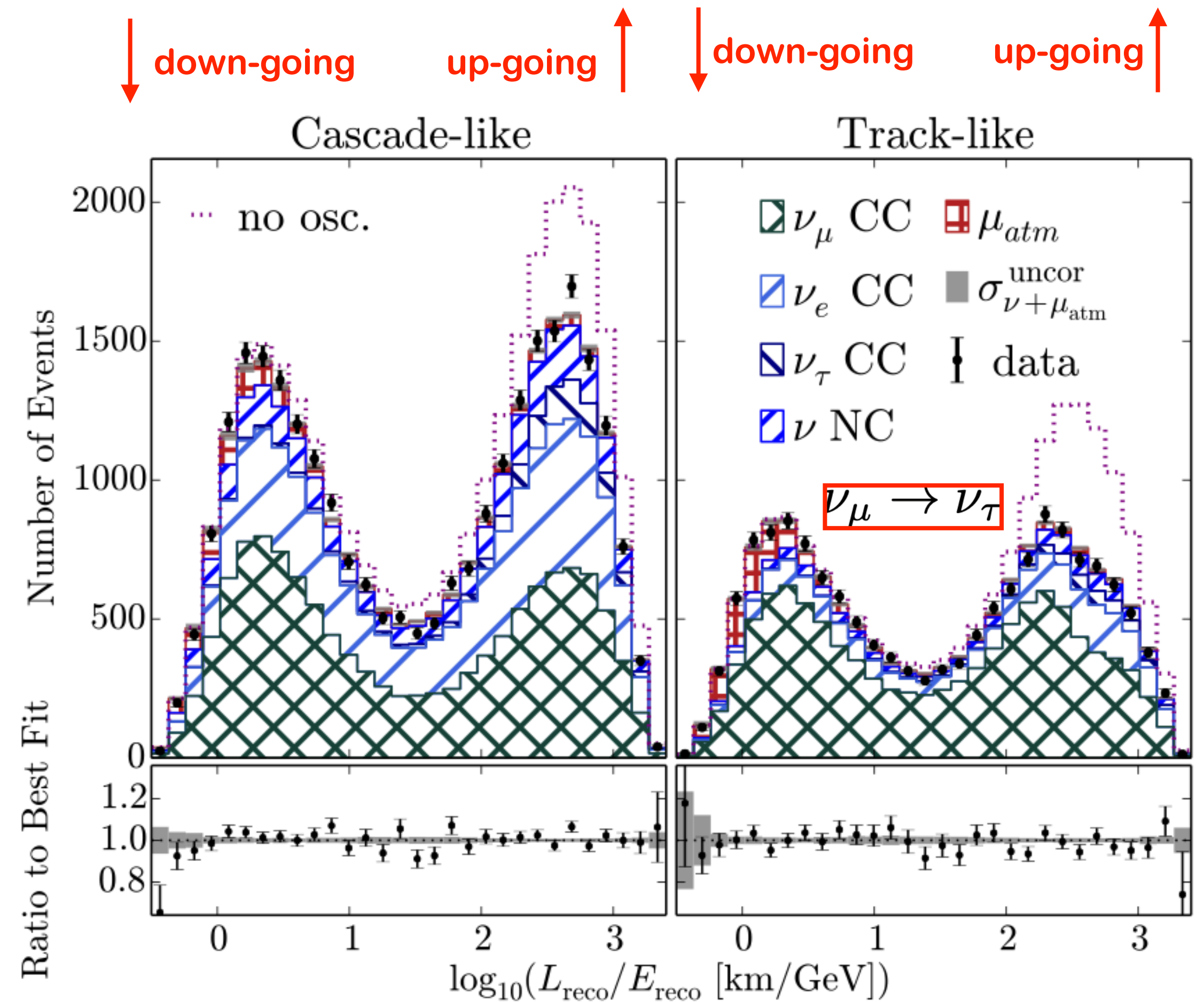
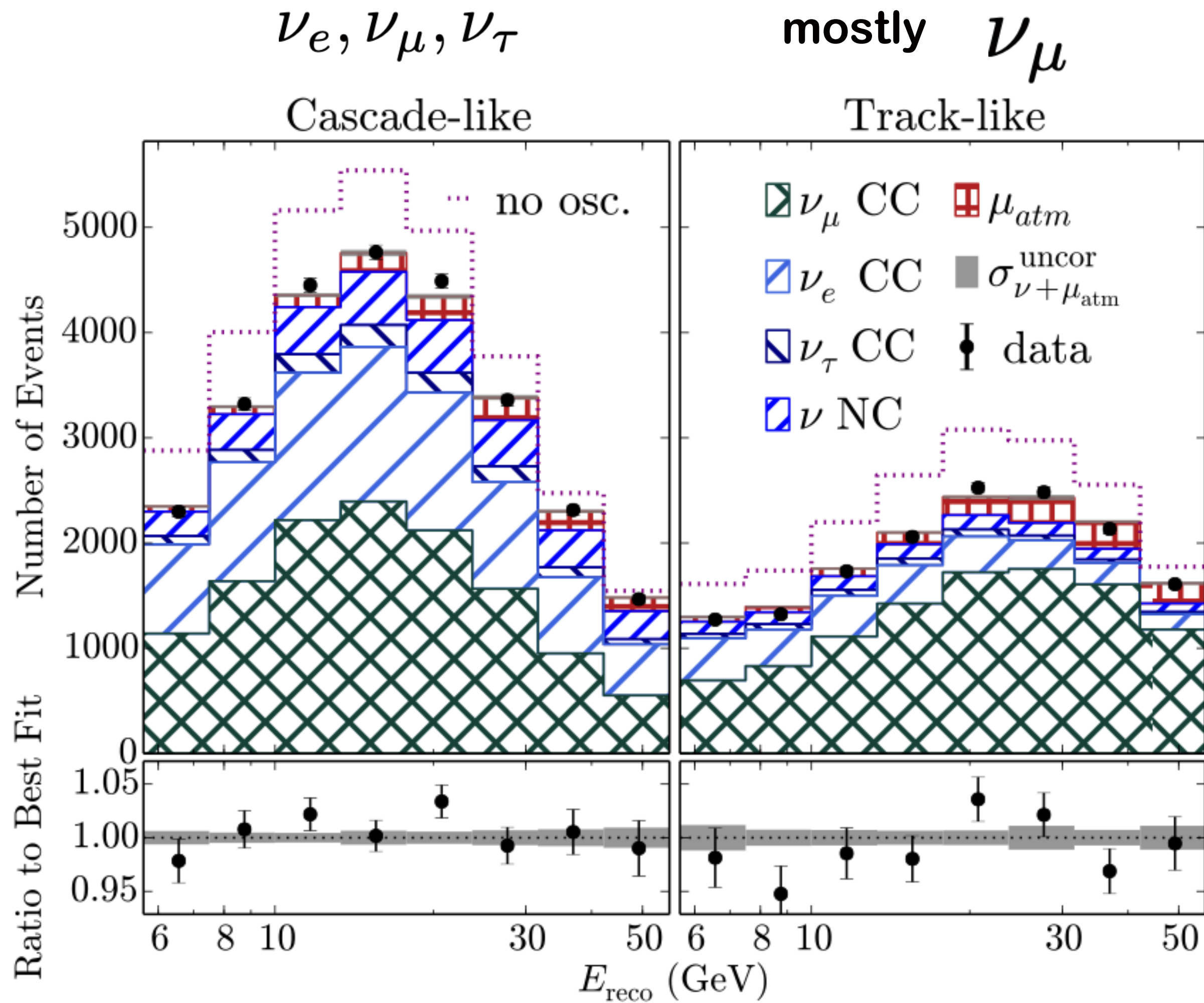
tracks :  $\mu$   
cascades:  $e, \tau, \text{hadrons}$



Absorption at high energies

# Atmospheric Neutrinos

## IceCube/DeepCore Observations



[IceCube/DeepCore Collab. (2017)]

# Atmospheric Neutrino Parameters

Current status: combined results for the 32/31-sectors

## EXPERIMENT

### Dominant Dependence

### Sub-dominant Dependence

Atmospheric

$\theta_{23}$

$\Delta m_{\text{atm}}^2, \theta_{13}, \delta$

Accelerator disappearance

$\Delta m_{\text{atm}}^2, \Delta m_{\mu\mu}^2$

$\theta_{23}$

Accelerator appearance  $\nu_{\mu} \rightarrow \nu_e$

$\theta_{13}$

$\delta, \theta_{23}$

Reactor (Daya Bay, RENO, DC)

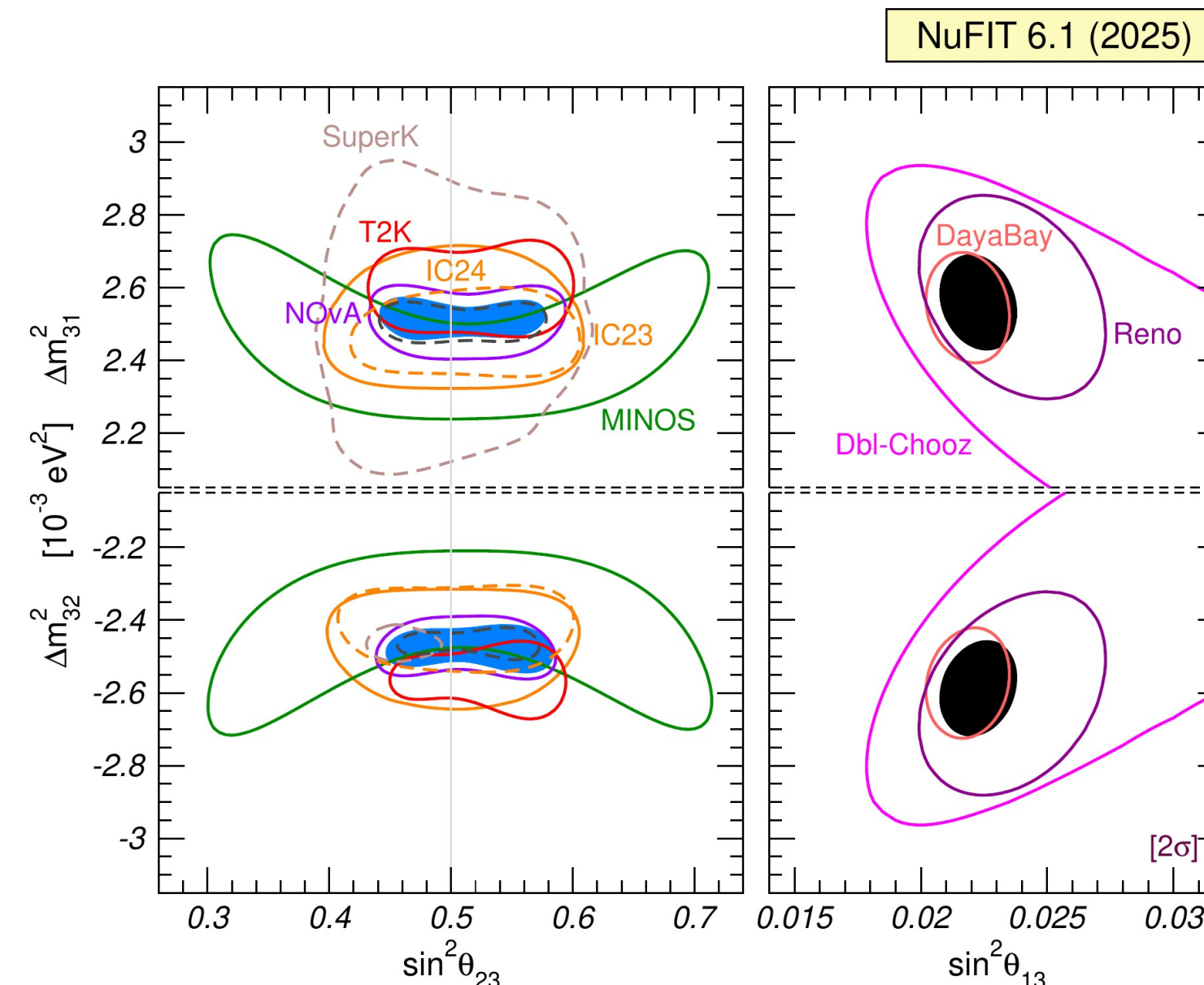
$\theta_{13}$

$\Delta m_{\text{atm}}^2$

$\Delta m_{ee}^2$

normal ordering

inverted ordering



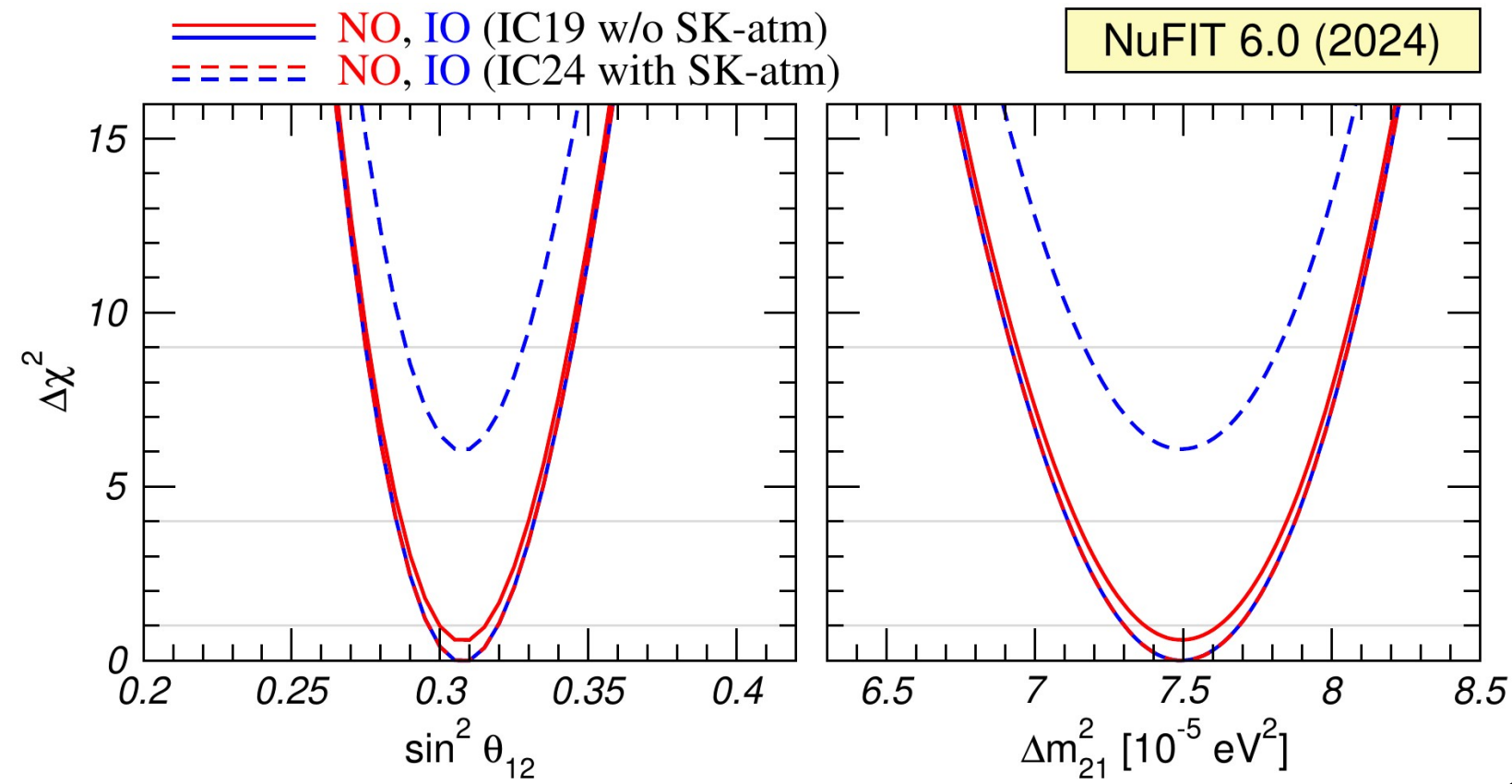
- 23/13-sector now best determined by accelerator experiments + reactors

- consistent  $\Delta m_{\text{atm}}^2$

$$\Delta m_{\text{atm}}^2 = \Delta m_{31}^2 \quad \text{or} \quad \Delta m_{32}^2$$

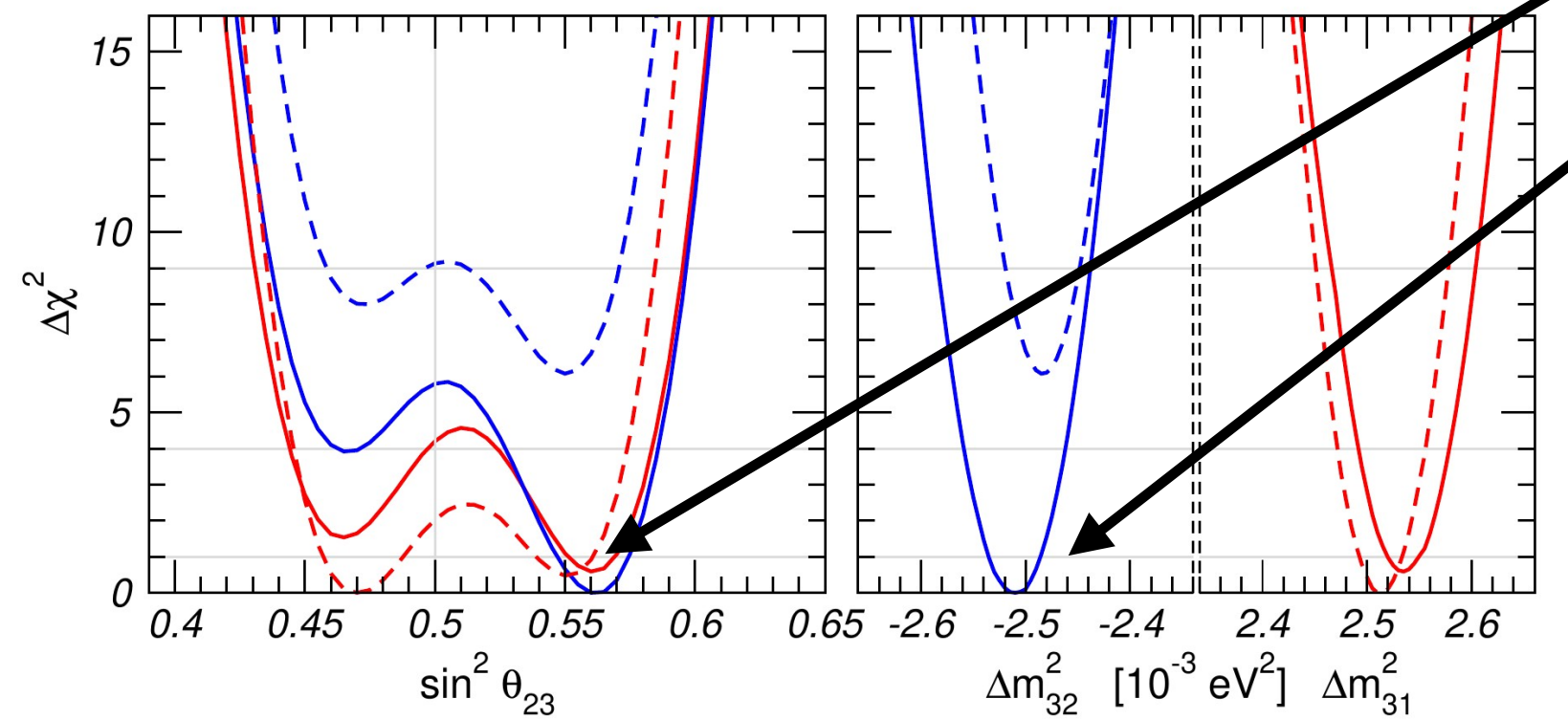
# Status of the Standard Paradigm

3.9%



2.5%

$\theta_{23}$

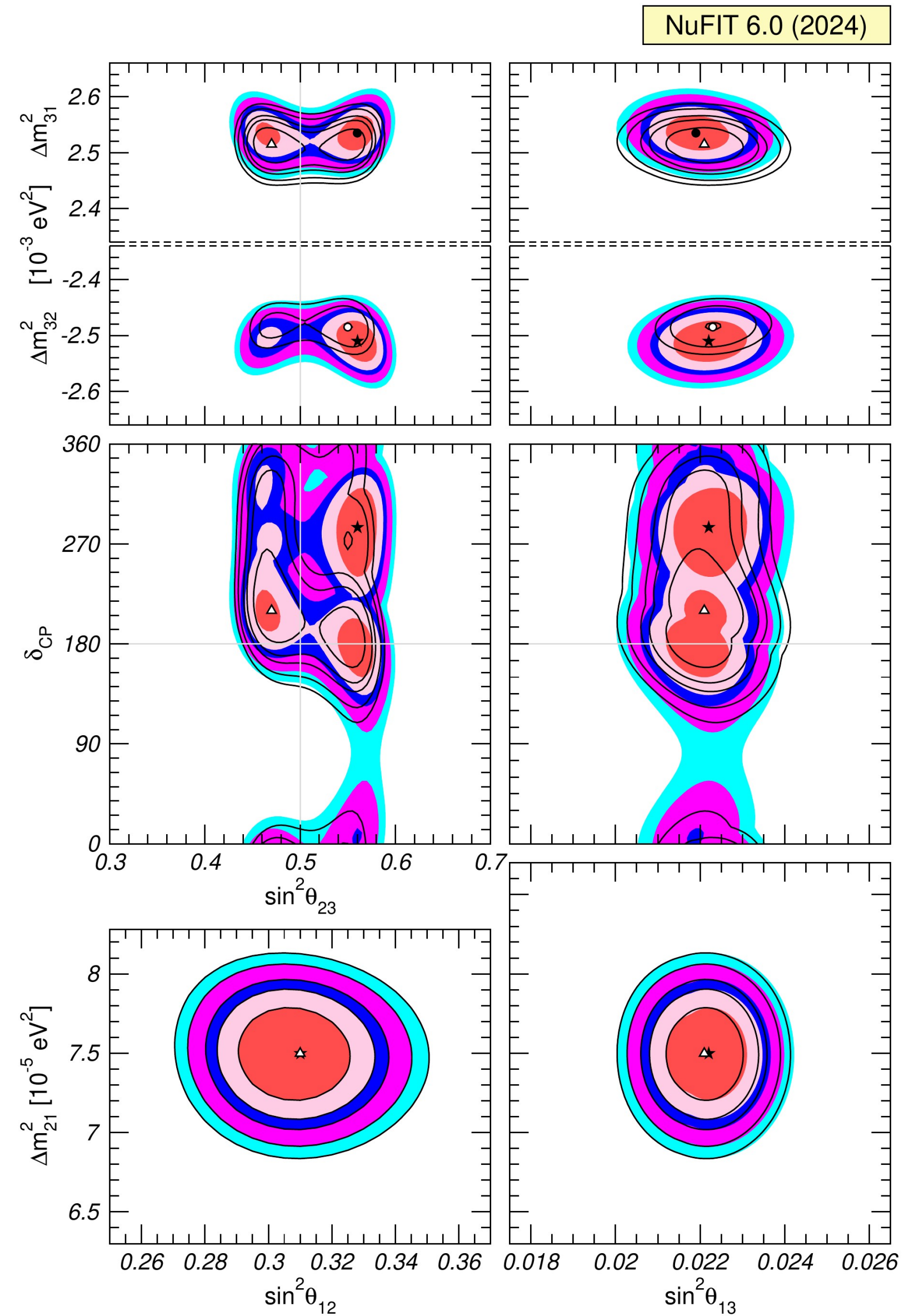
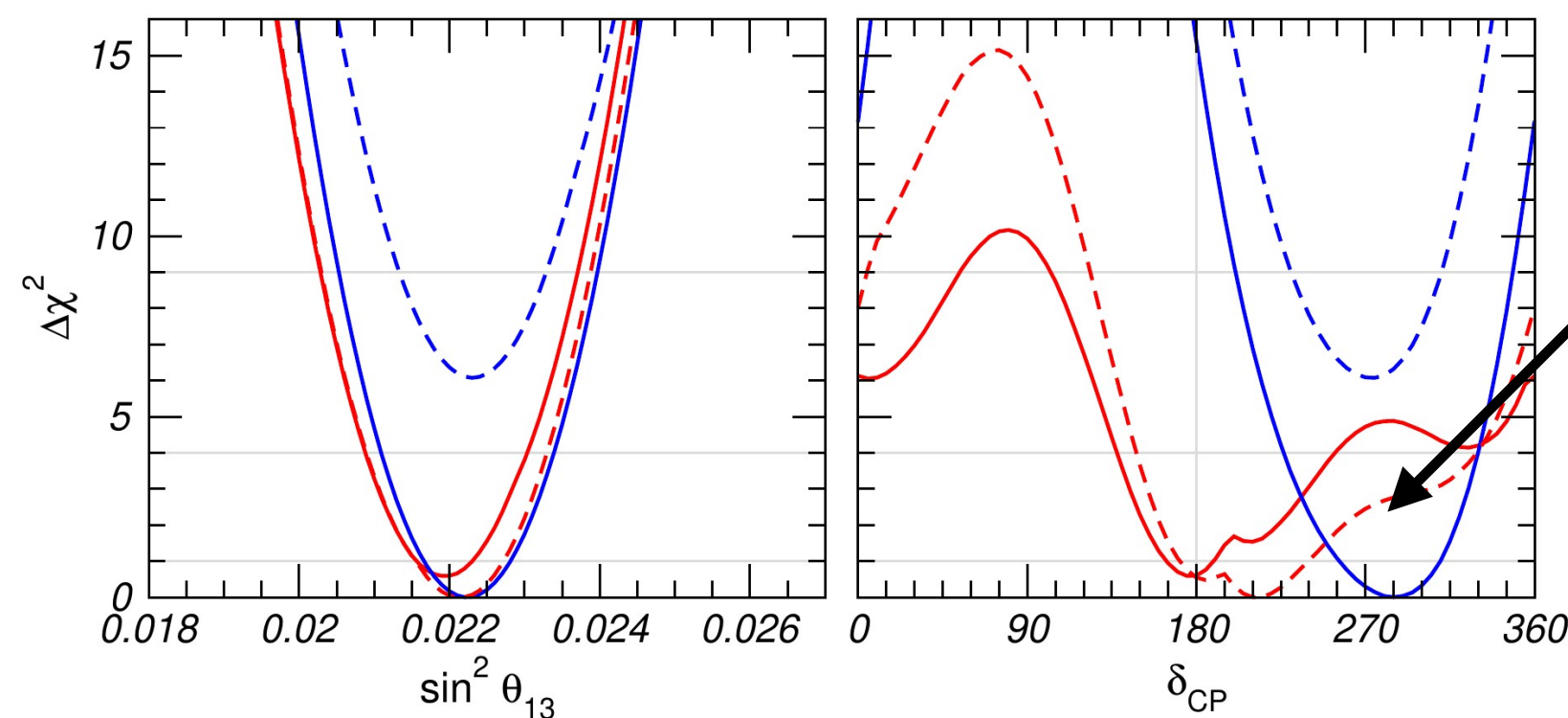


NO/IO

1%

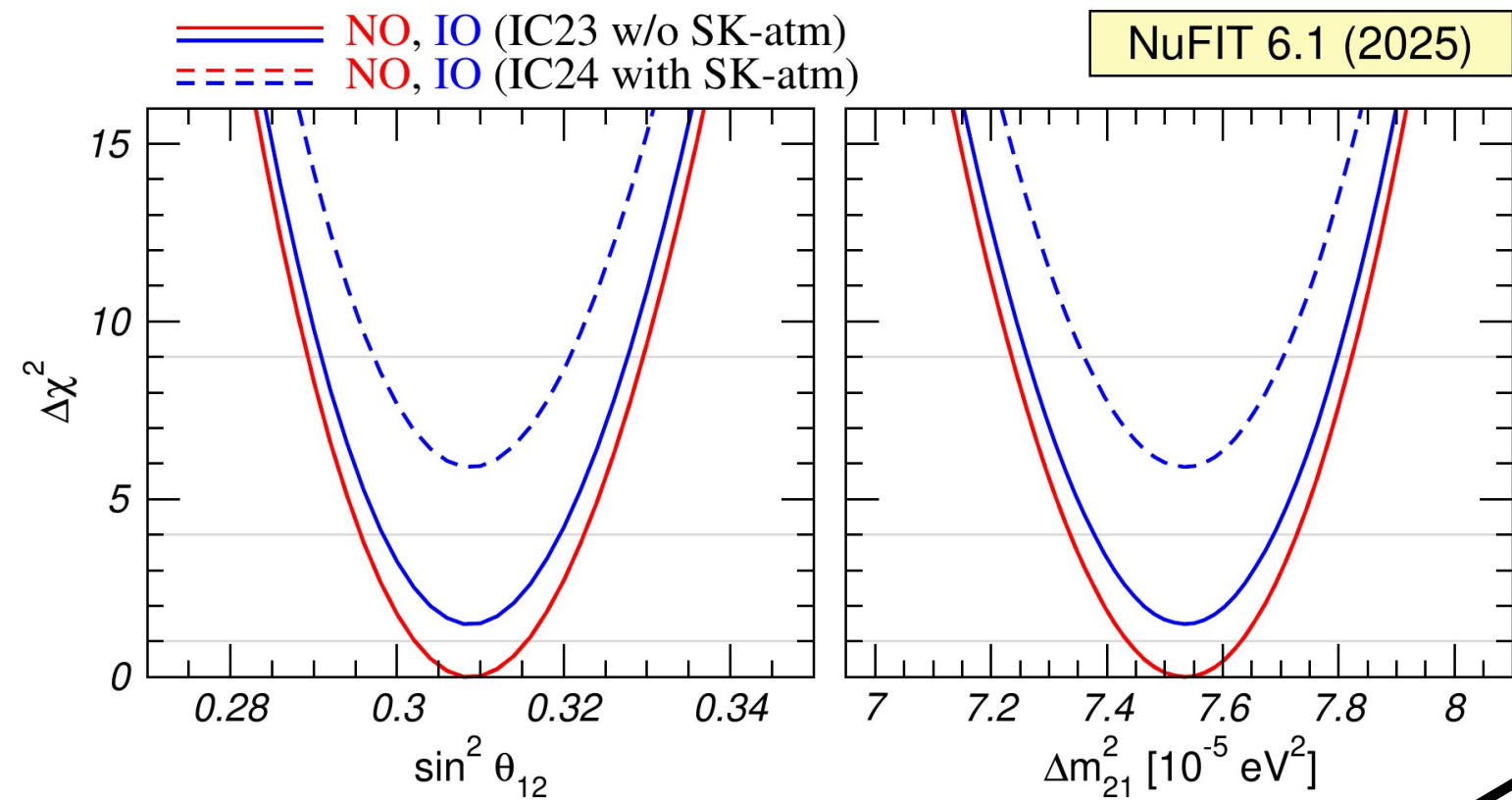
$\delta$

2.5%



# Status of the Standard Paradigm

2.2%



1.3%

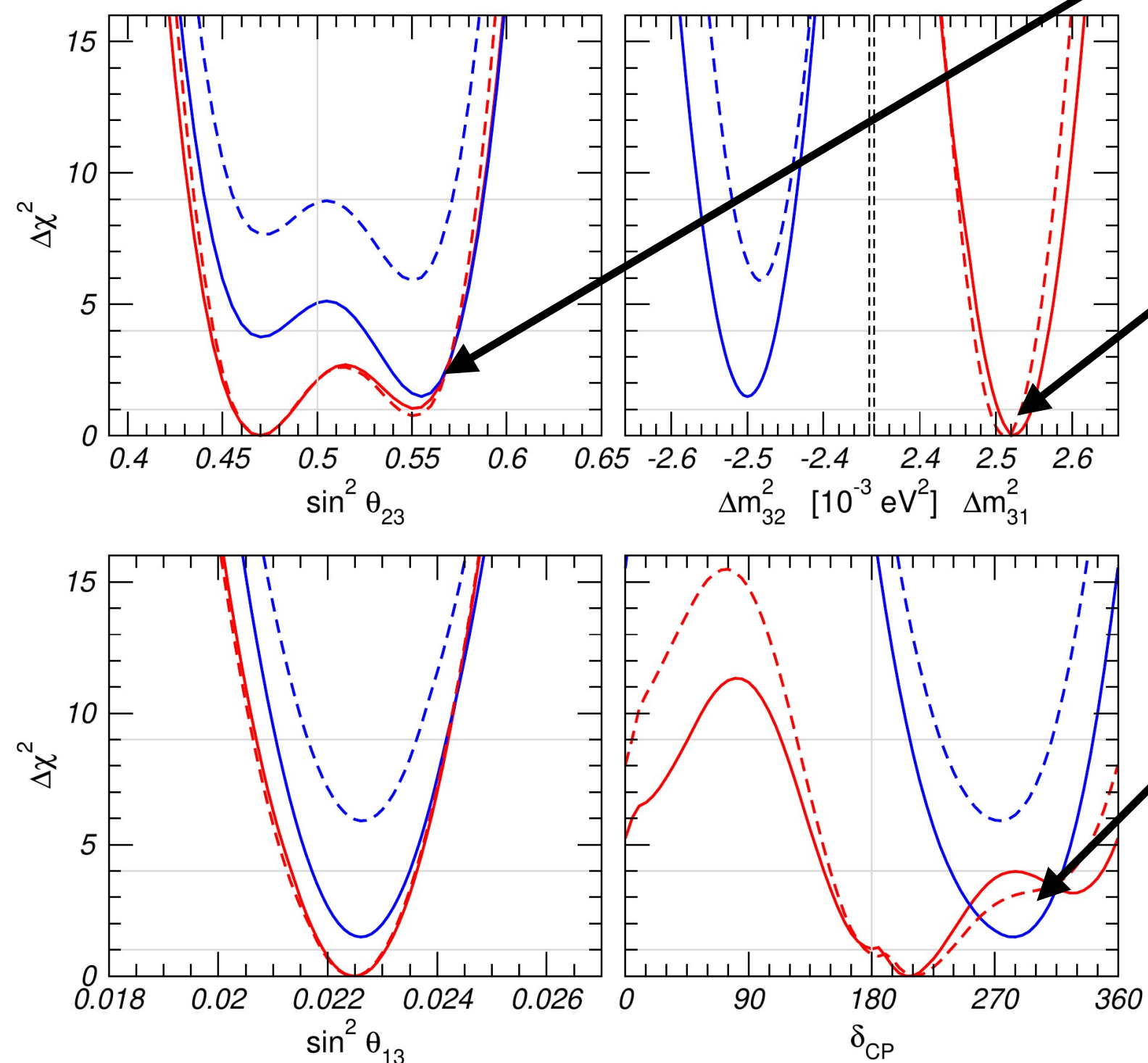
$\theta_{23}$

NO/IO

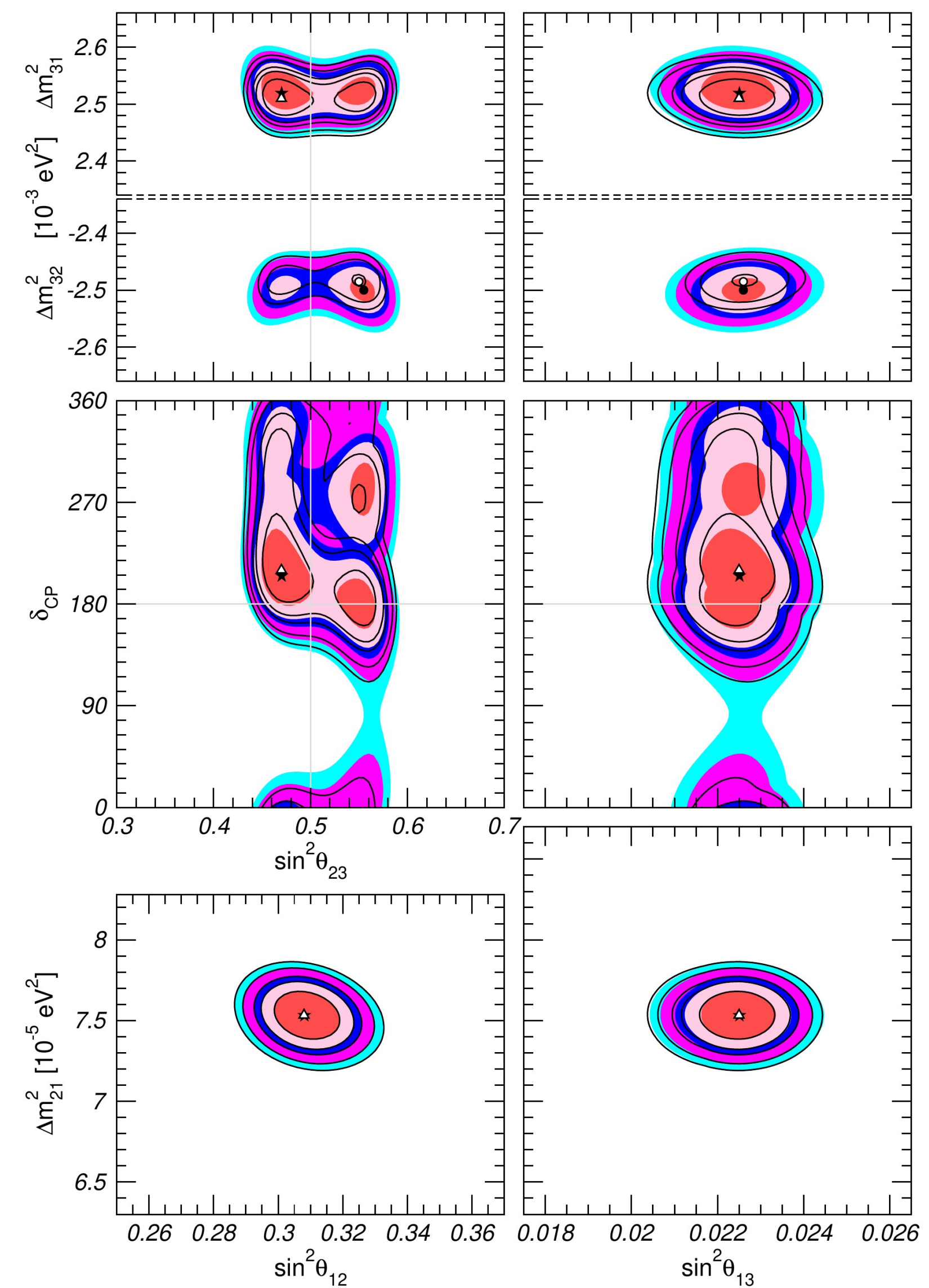
1%

$\delta$

2.5%



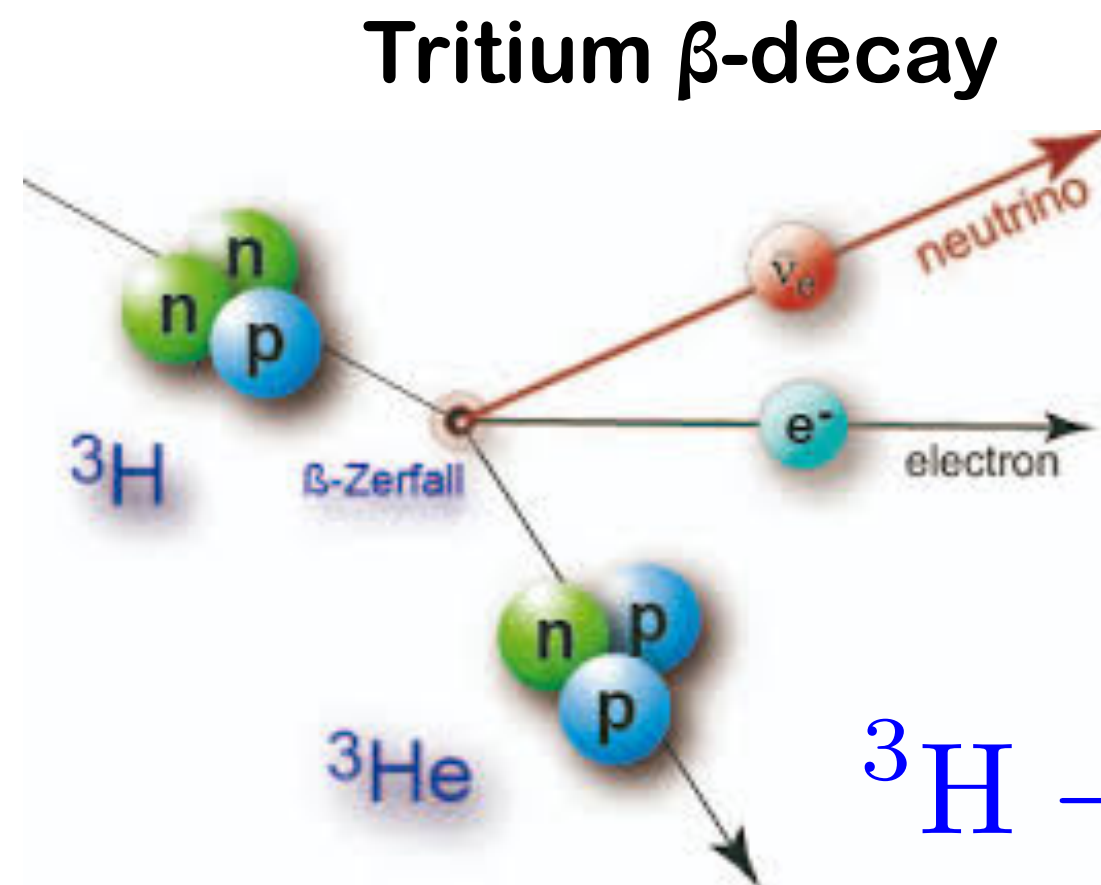
NuFIT 6.1 (2025)



**WHAT ELSE DO WE KNOW  
ABOUT  
NEUTRINO MASSES?**

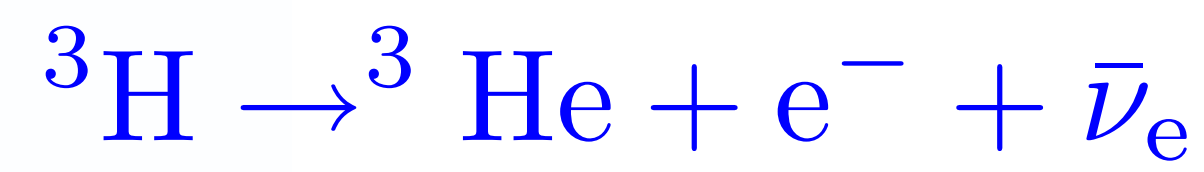
# Effective Neutrino Mass

## Absolute Neutrino Mass Scale



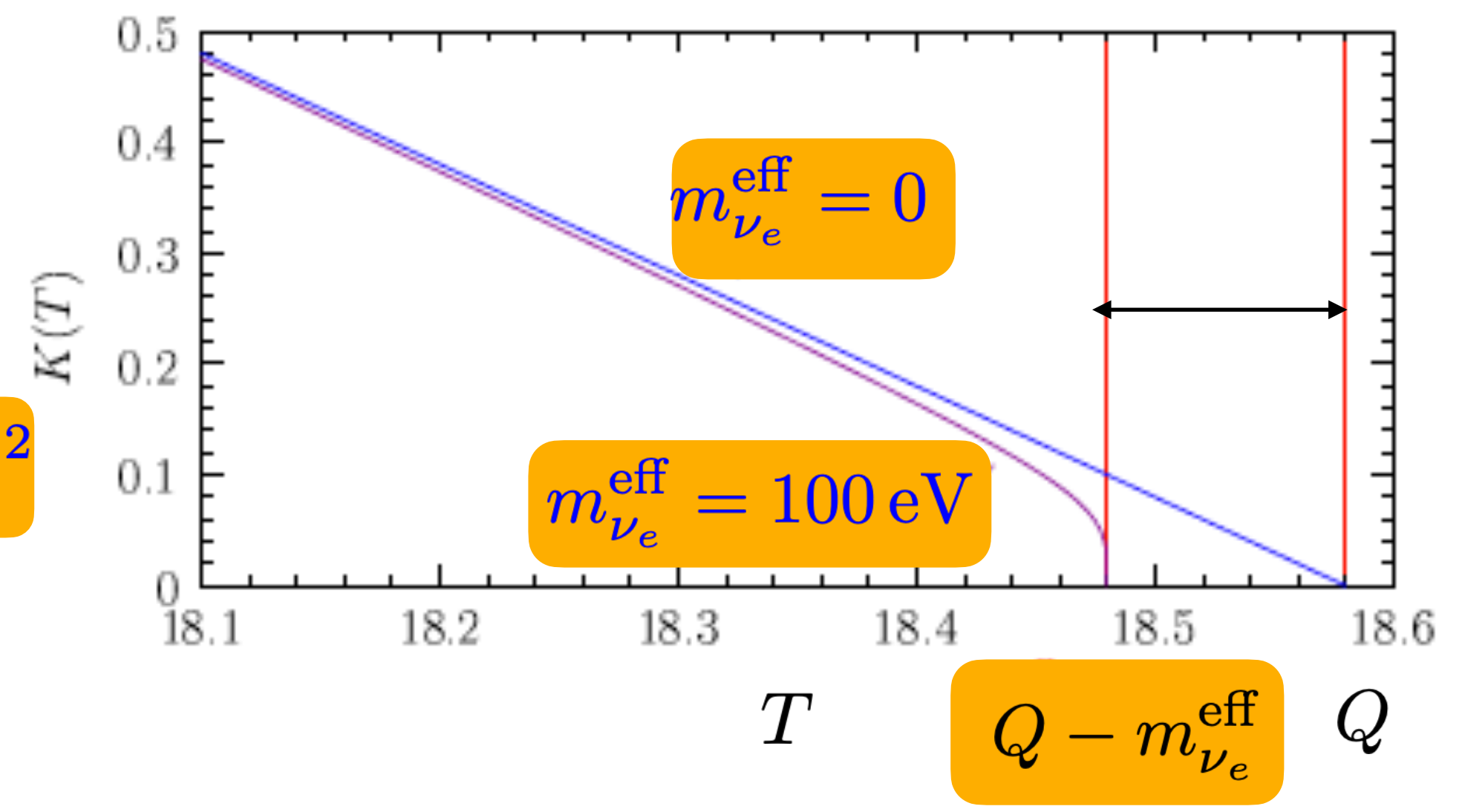
Valid for Dirac or Majorana neutrinos

$$m_{\nu_\alpha}^{\text{eff}} = \sqrt{\sum_i m_i^2 |U_{\alpha i}|^2}$$



pure kinematics  
model independent

Kurie plot:



$$\frac{d\Gamma}{dT} \propto |\mathcal{M}|^2 F(E) p E(Q - T) \sqrt{(Q - T)^2 - (m_{\nu_e}^{\text{eff}})^2}$$

$$K(T) = \sqrt{(Q - T) \sqrt{(Q - T)^2 - (m_{\nu_e}^{\text{eff}})^2}}$$

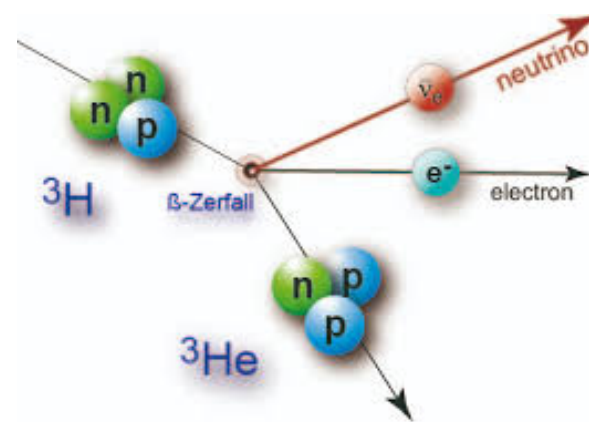
$$Q = M_{\text{H}} - M_{\text{He}} - m_e = 18.58 \text{ keV}$$

[see Elise Novitski]

# Effective Neutrino Mass

## Absolute Neutrino Mass Scale

Tritium  $\beta$ -decay



Valid for Dirac or Majorana neutrinos

pure kinematics  
model independent

$$m_{\nu_\alpha}^{\text{eff}} = \sqrt{\sum_i m_i^2 |U_{\alpha i}|^2}$$

neutrino mass scale

$$(m_{\nu_e}^{\text{eff}})^2 = \begin{cases} m_0^2 + \Delta m_{21}^2 c_{13}^2 s_{12}^2 + \Delta m_{31}^2 s_{13}^2 \\ m_0^2 + \Delta m_{21}^2 c_{13}^2 s_{12}^2 - \Delta m_{31}^2 c_{13}^2 \end{cases}$$

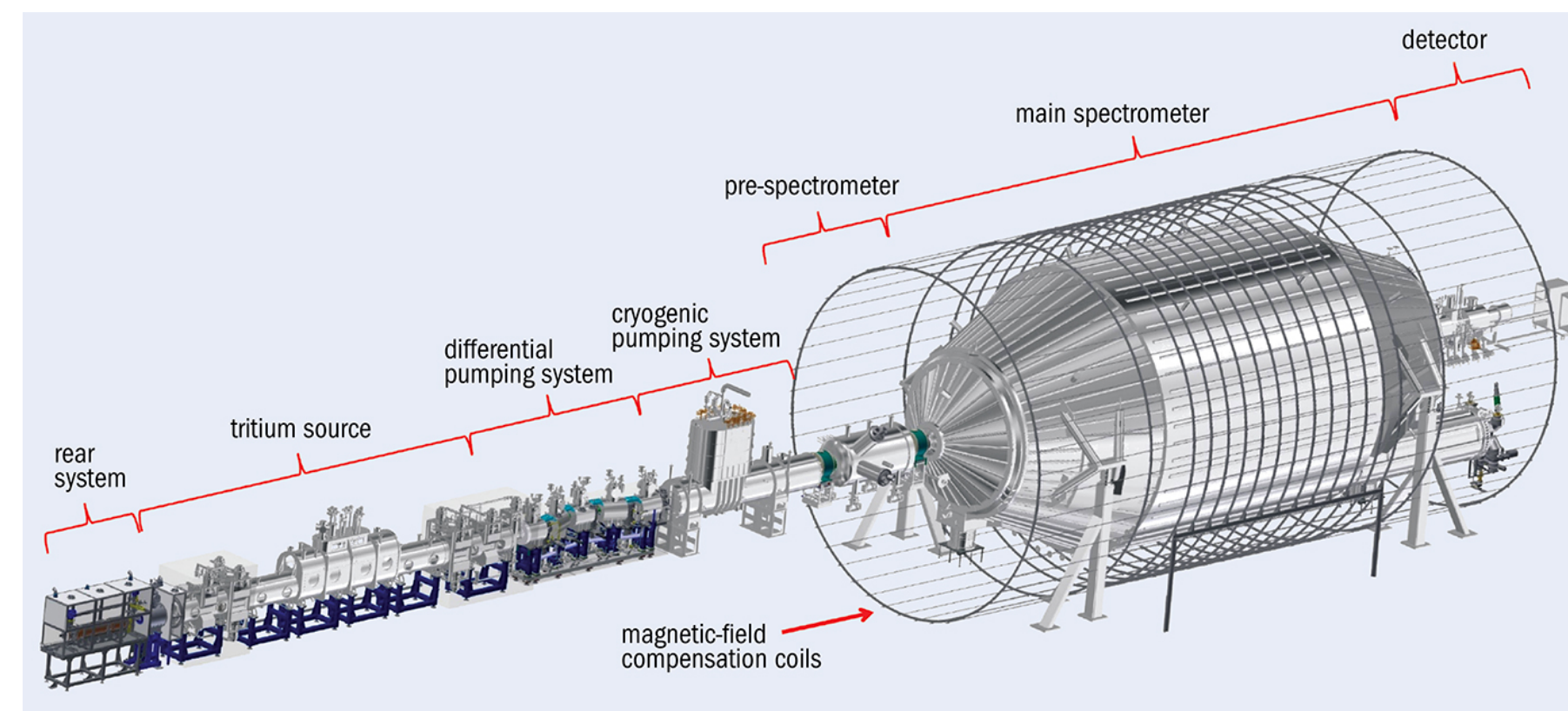
normal ordering

inverted ordering

current bound

$$m_{\nu_e}^{\text{eff}} < 0.45 \text{ @ } 90 \% \text{ CL}$$

[KATRIN Collab., Science 388 (2005) 6743]



$$m_{\nu_e}^{\text{eff}} \sim (0.2 - 0.3) \text{ eV}$$

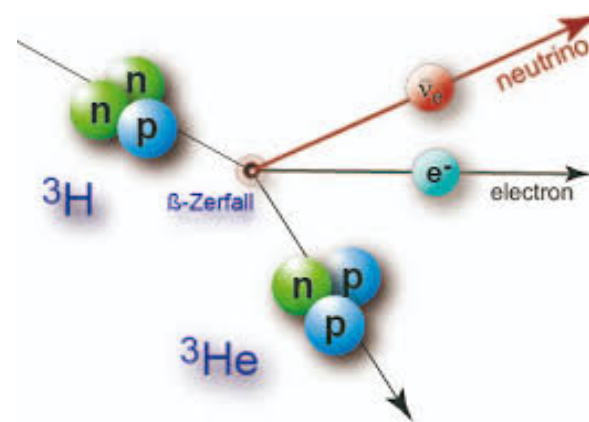
KATRIN future sensitivity

[see Elise Novitski]

# Effective Neutrino Mass

## Absolute Neutrino Mass Scale

Tritium  $\beta$ -decay



Valid for Dirac or Majorana neutrinos

pure kinematics  
model independent

$$m_{\nu_\alpha}^{\text{eff}} = \sqrt{\sum_i m_i^2 |U_{\alpha i}|^2}$$

neutrino mass scale

$$(m_{\nu_e}^{\text{eff}})^2 = \begin{cases} m_0^2 + \Delta m_{21}^2 c_{13}^2 s_{12}^2 + \Delta m_{31}^2 s_{13}^2 \\ m_0^2 + \Delta m_{21}^2 c_{13}^2 s_{12}^2 - \Delta m_{31}^2 c_{13}^2 \end{cases}$$

normal ordering

$$m_{\nu_e}^{\text{eff}} > 0.0085 \text{ eV (NO)}$$

inverted ordering

$$m_{\nu_e}^{\text{eff}} > 0.048 \text{ eV (IO)}$$

current bound

$$m_{\nu_e}^{\text{eff}} < 0.45 \text{ eV @ 90 \% CL}$$

[KATRIN Collab., Science 388 (2005) 6743]

other limits

$$m_{\nu_\mu}^{\text{eff}} < 190 \text{ keV}$$

$$\pi^- \rightarrow \mu^- + \bar{\nu}_\mu$$

$$m_{\nu_\tau}^{\text{eff}} < 18.2 \text{ MeV}$$

$$\tau \rightarrow n\pi + \nu_\tau \quad n \geq 3$$

# Effective Majorana Neutrino Mass

$0\nu\beta\beta$  - decay



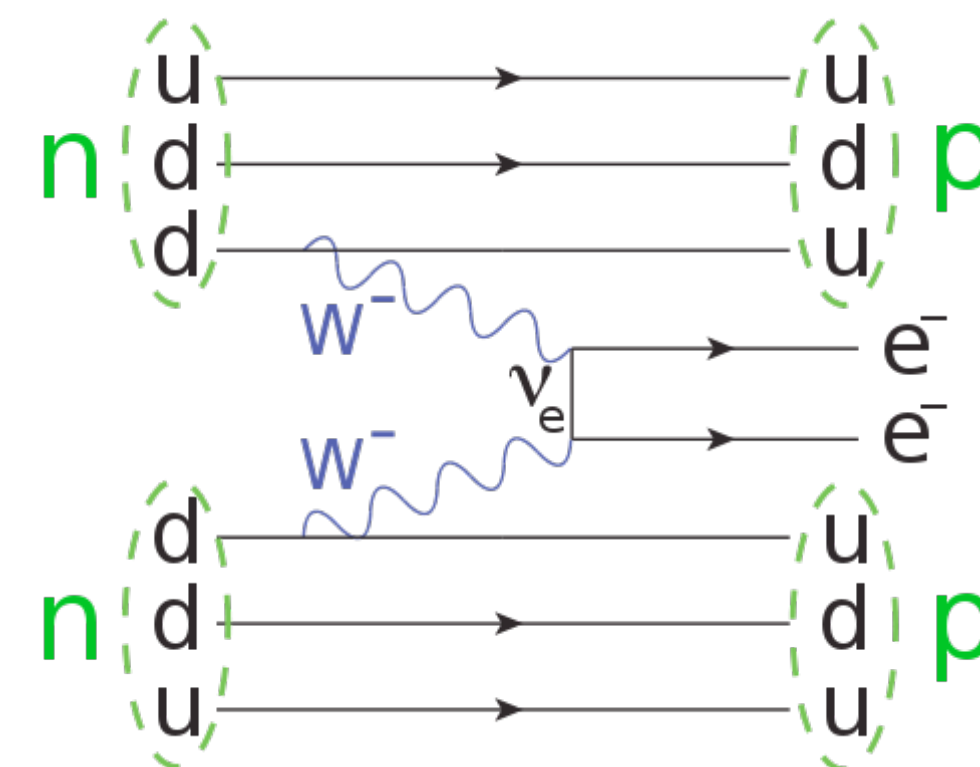
Majorana Neutrino

Dirac Neutrino

$$\nu = \bar{\nu} \quad \times \quad \nu \neq \bar{\nu}$$

Neutrinoless Double  
Beta Decay

$$(A, Z) \rightarrow (A, Z + 2) + 2e^-$$



$$|\Delta L| = 2$$

[see Benjamin Jones]

# Effective Majorana Neutrino Mass

## $0\nu\beta\beta$ - decay

Only occurs for Majorana neutrinos

phase space integral

$$[T_{1/2}^{0\nu}]^{-1} = G^{0\nu} |M_{0\nu}|^2 m_{\beta\beta}^2 \quad \text{if neutrino mass is the only source of } \mathcal{L}$$

half-life of the decay

nuclear matrix element

Normal Ordering

$$m_1 \equiv m_0$$

$$m_2 \equiv \sqrt{m_0^2 + \Delta m_{21}^2}$$

$$m_3 \equiv \sqrt{m_0^2 + \Delta m_{21}^2 + \Delta m_{32}^2}$$

$$m_{\beta\beta} = \sum_i m_i U_{ei}^2$$

Inverted Ordering

$$m_1 \equiv \sqrt{m_0^2 - \Delta m_{21}^2 - \Delta m_{32}^2}$$

$$m_2 \equiv \sqrt{m_0^2 - \Delta m_{32}^2}$$

$$m_3 \equiv m_0$$

$$m_{\beta\beta} = |m_1 c_{12}^2 c_{13}^2 e^{2i\alpha_1} + m_2 s_{12}^2 c_{13}^2 + m_3 s_{13}^2 e^{2i\alpha_3}|$$

# Effective Majorana Neutrino Mass

$0\nu\beta\beta$  - decay

$$\propto Q^5$$

Only occurs for Majorana neutrinos

$$[T_{1/2}^{0\nu}]^{-1} = G^{0\nu} |M_{0\nu}|^2 m_{\beta\beta}^2 \quad \text{if neutrino mass is the only source of } \mathcal{X}$$

$$m_{\beta\beta} = \sum_i m_i U_{ei}^2$$

NO/IO

$$m_{\beta\beta} = f(m_0, \text{order, Majorana phases})$$

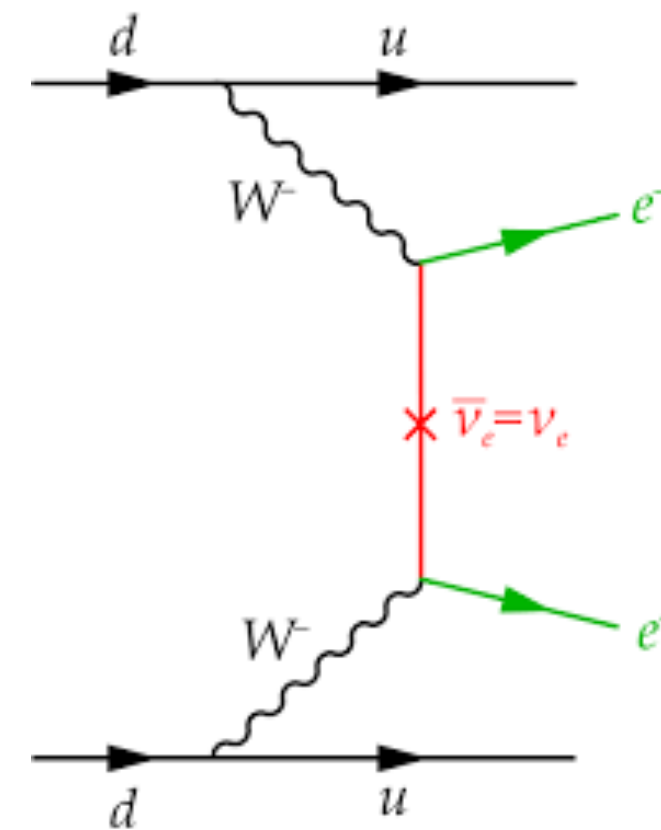
neutrino mass scale

$\alpha_1, \alpha_3$

current bound

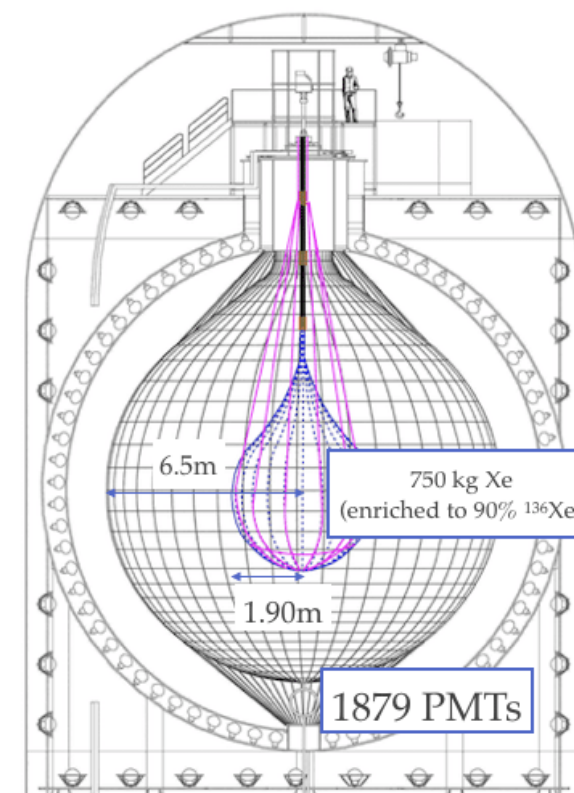
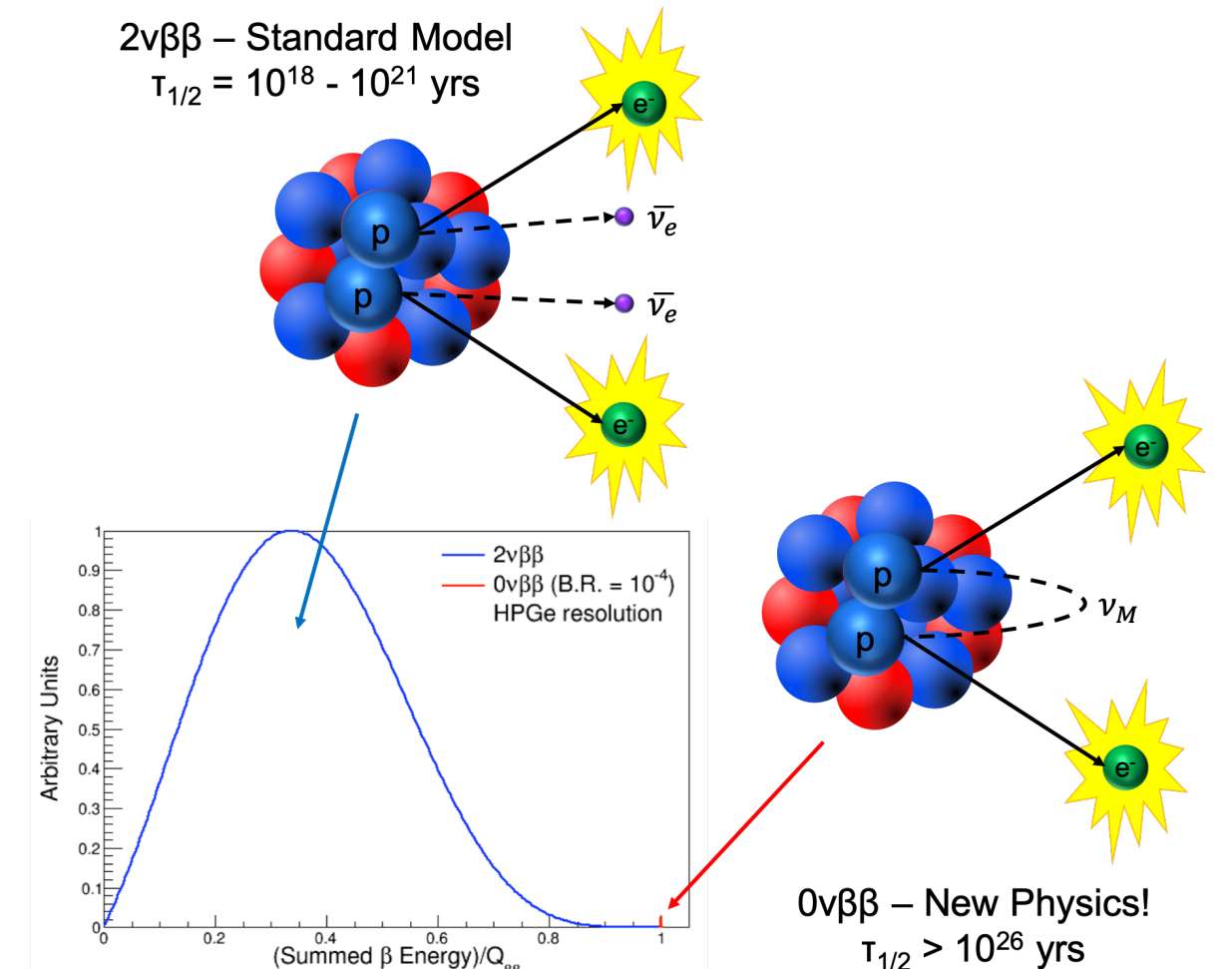
$$m_{\beta\beta} < (28 - 122) \text{ meV}$$

[KamLAND-Zen Collab., arXiv:2406.11438]



Isotope	Natural abundance (%)	$Q_{\beta\beta}$ (MeV)
$^{48}\text{Ca}$	0.187	4.263
$^{76}\text{Ge}$	7.8	2.039
$^{82}\text{Se}$	8.7	2.998
$^{96}\text{Zr}$	2.8	3.348
$^{100}\text{Mo}$	9.8	3.035
$^{116}\text{Cd}$	7.5	2.813
$^{130}\text{Te}$	34.08	2.527
$^{136}\text{Xe}$	8.9	2.459
$^{150}\text{Nd}$	5.6	3.371

$2\nu\beta\beta$  - Standard Model  
 $T_{1/2} = 10^{18} - 10^{21}$  yrs



$$T_{1/2}^{0\nu} > 3.8 \times 10^{26} \text{ yr}$$

# Sum of Neutrino Masses

## Absolute Neutrino Mass Scale

### COSMOLOGY

Valid for Dirac or Majorana neutrinos

$$\sum_i m_i$$

neutrino masses affect CMB and the growth of large scale structures

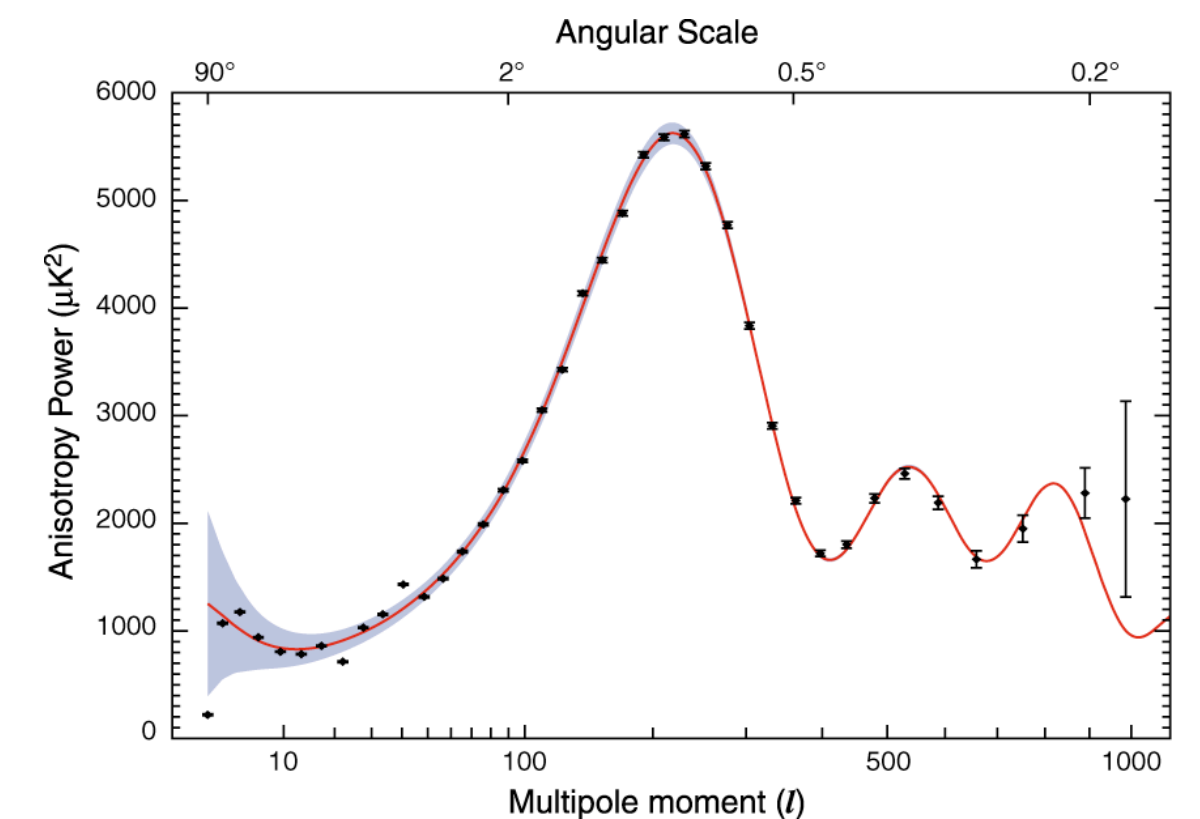
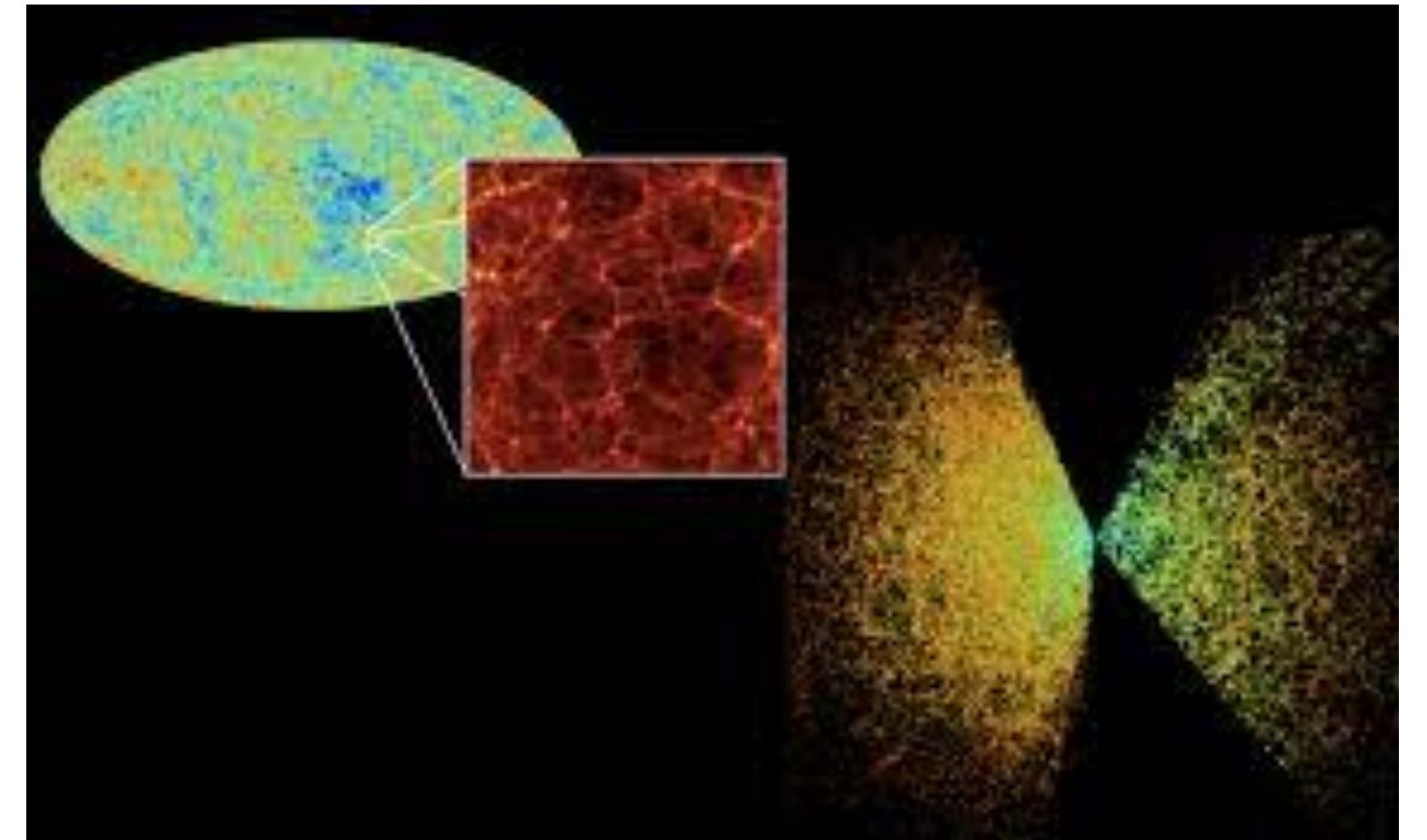
$$\sum_i m_i = f(m_0, \text{order}, \Delta m_{21}^2, \Delta m_{\text{atm}}^2)$$

$$\sum_i m_i < 0.26 \text{ eV}$$

current bound

[Planck Collab., *Astron.Astrophys.* 641 (2020)]

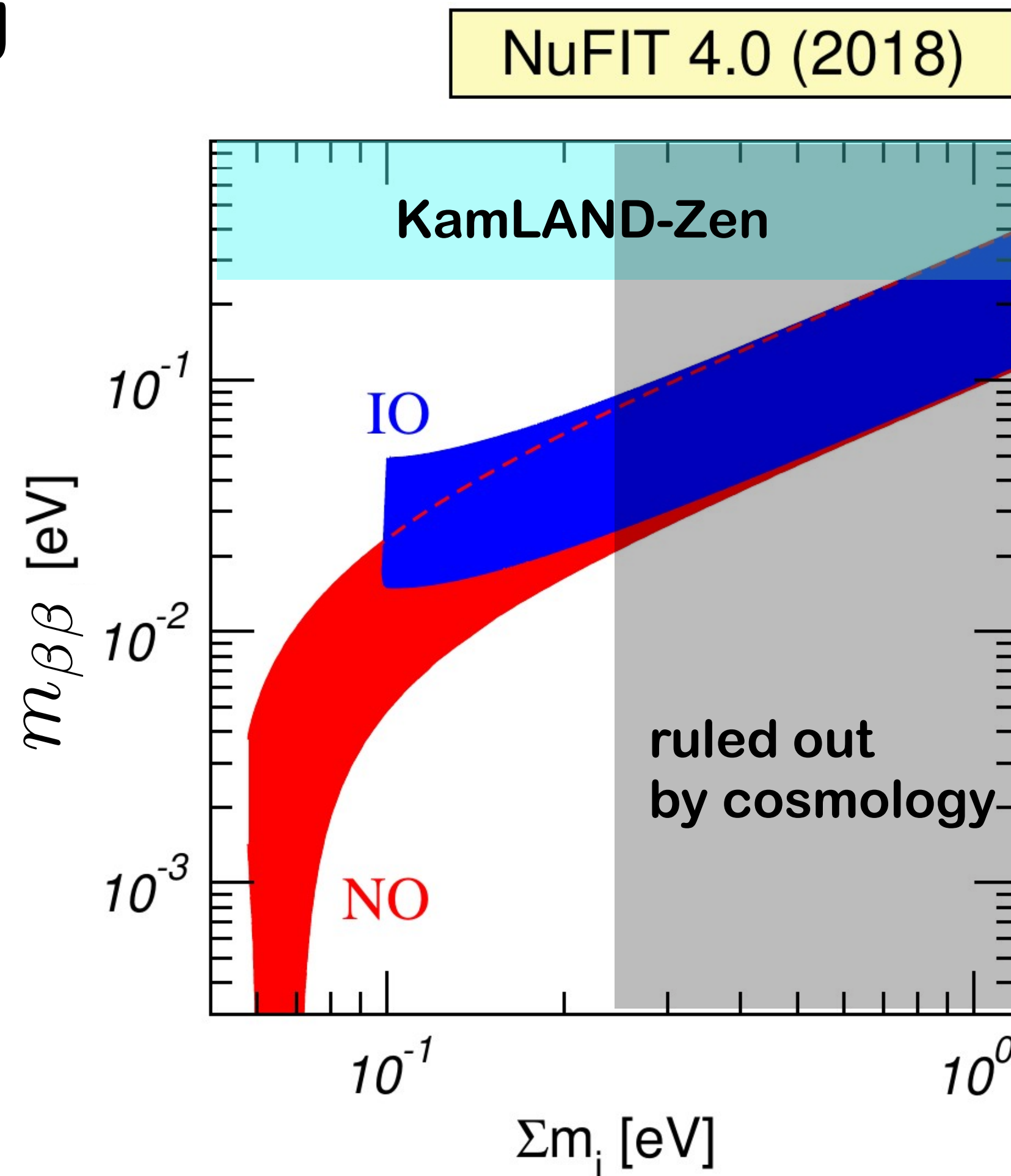
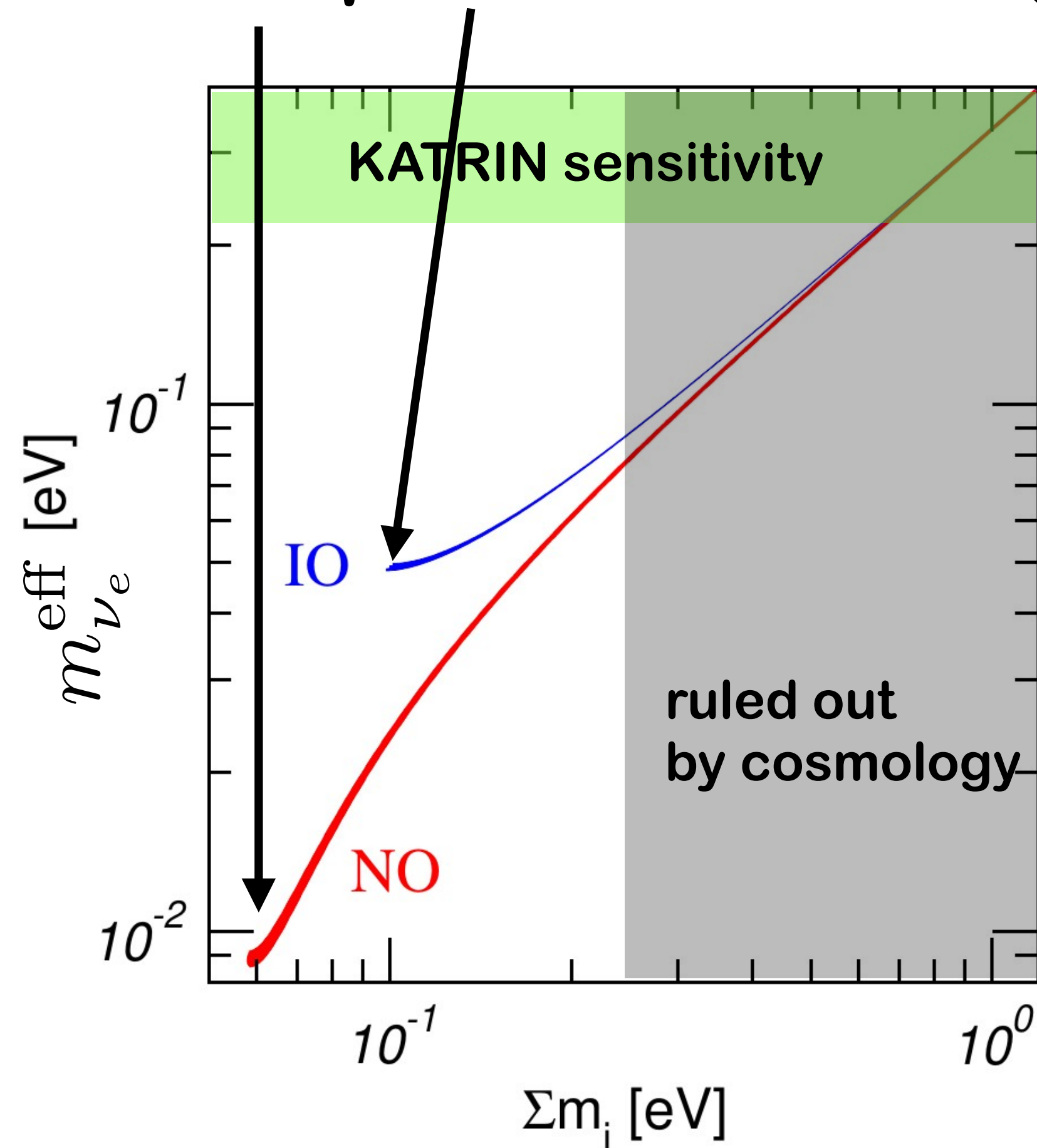
[see Yvonne Wong]



# Correlation Among Measurements

## Absolute Neutrino Mass Scale

lower bound depends on ordering



# SUMMARY OF LECTURE II

## What have we learned?

- We have a theoretical framework for neutrino flavor oscillations based on masses & mixings in vacuum and in matter
- This framework explains well the available oscillation data
- We still do not know the neutrino mass ordering
- We still do not know if we have CP violation in the leptonic sector
- We still do not know the octant of  $\theta_{23}$
- We still do not know the absolute neutrino mass scale
- We still do not know if neutrinos are Dirac or Majorana particles