

Lecture I:

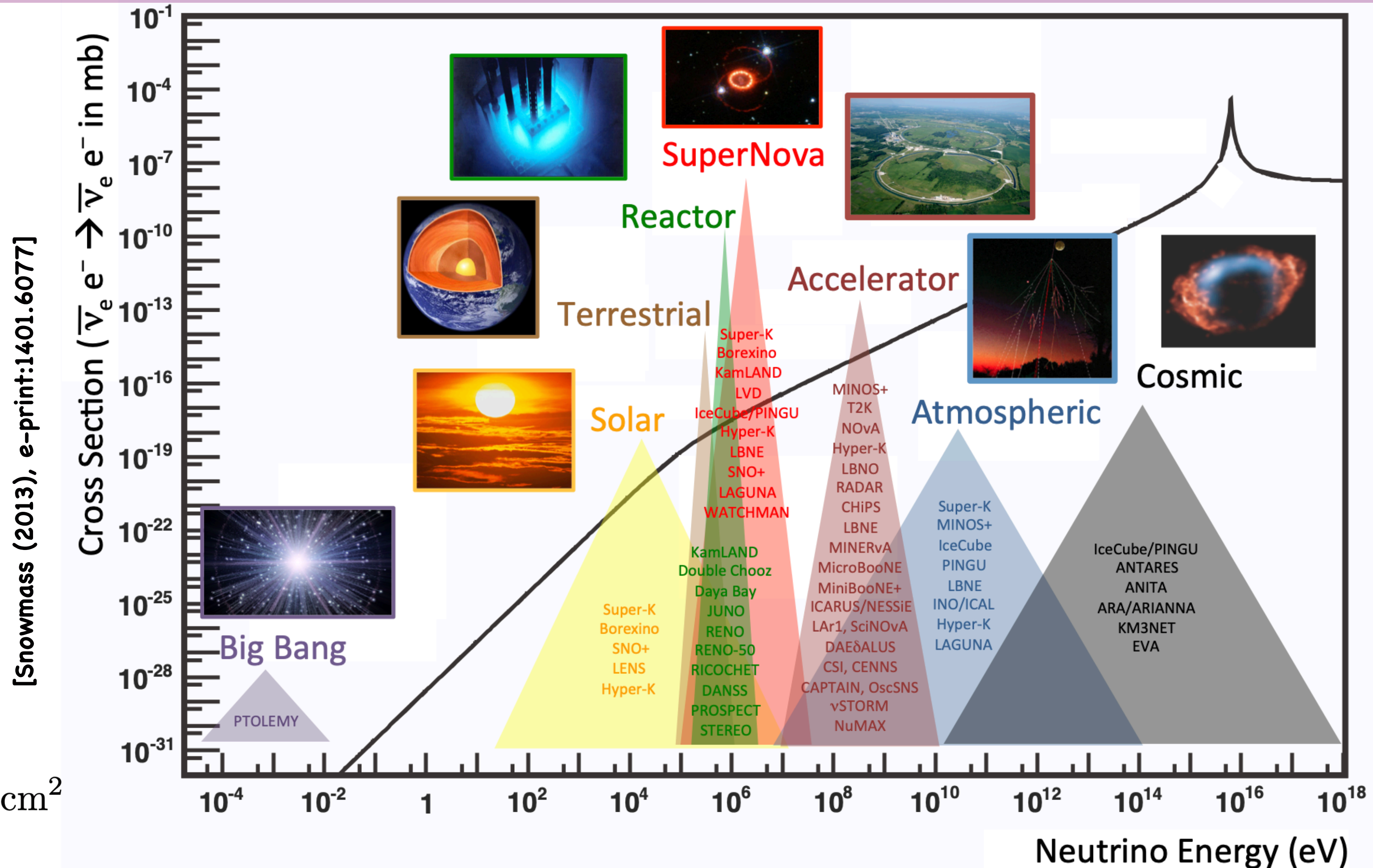
A century of surprises from β -decay to neutrinos in the SM

“Nature uses only the longest threads to weave her patterns, so each small piece of her fabric reveals the organization of the entire tapestry.”

Richard Feynman

Neutrinos have a Ubiquitous Presence

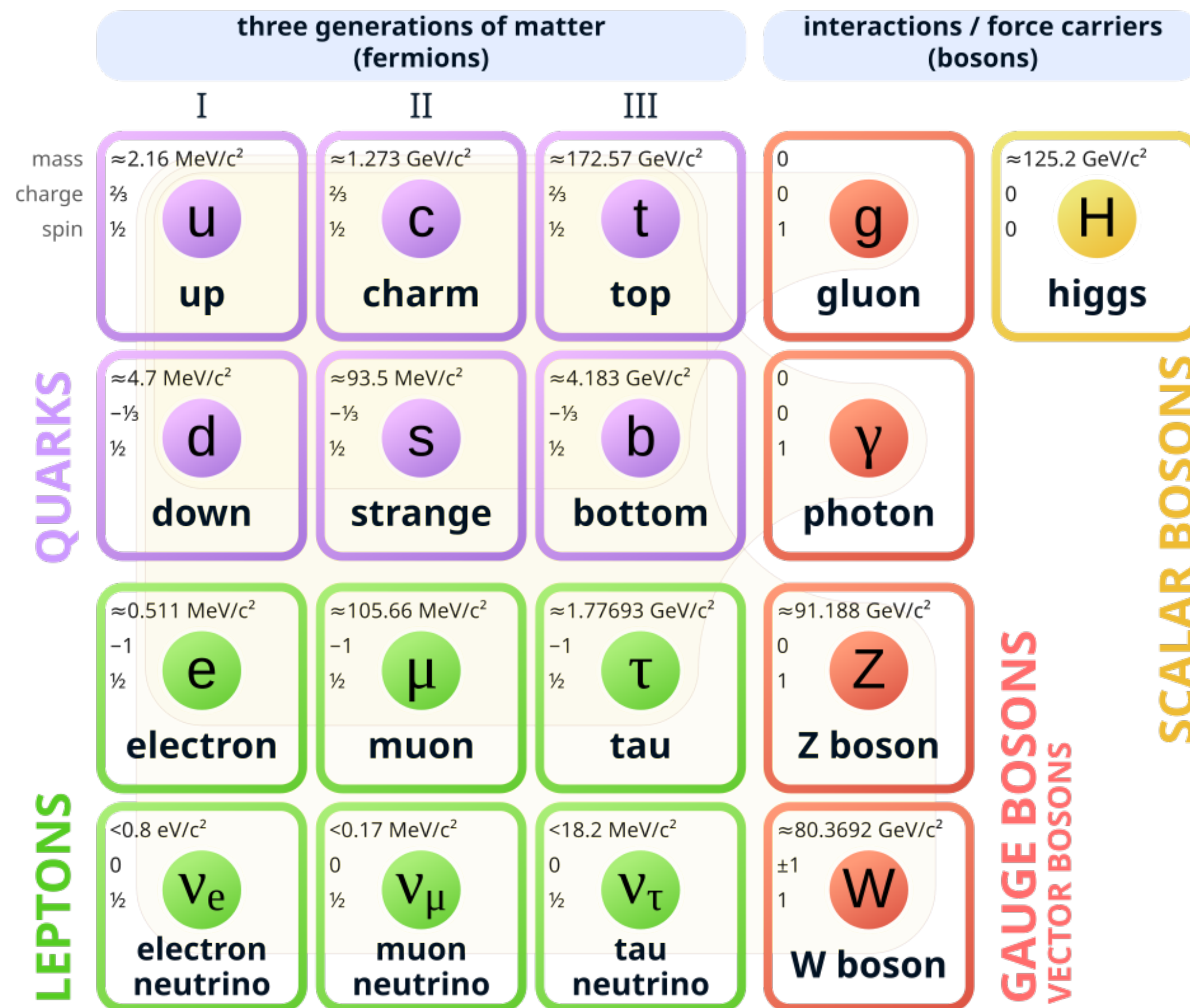
Span many orders of magnitude in energy and x-section



The Neutrino Paved The Way

The best description we have of fundamental particles and interactions

Standard Model of Elementary Particles



$$SU(3)_c \times SU(2)_L \times U(1)_Y$$

Based on:

Lorentz Invariance

Gauge Invariance

With:

spontaneous symmetry breaking

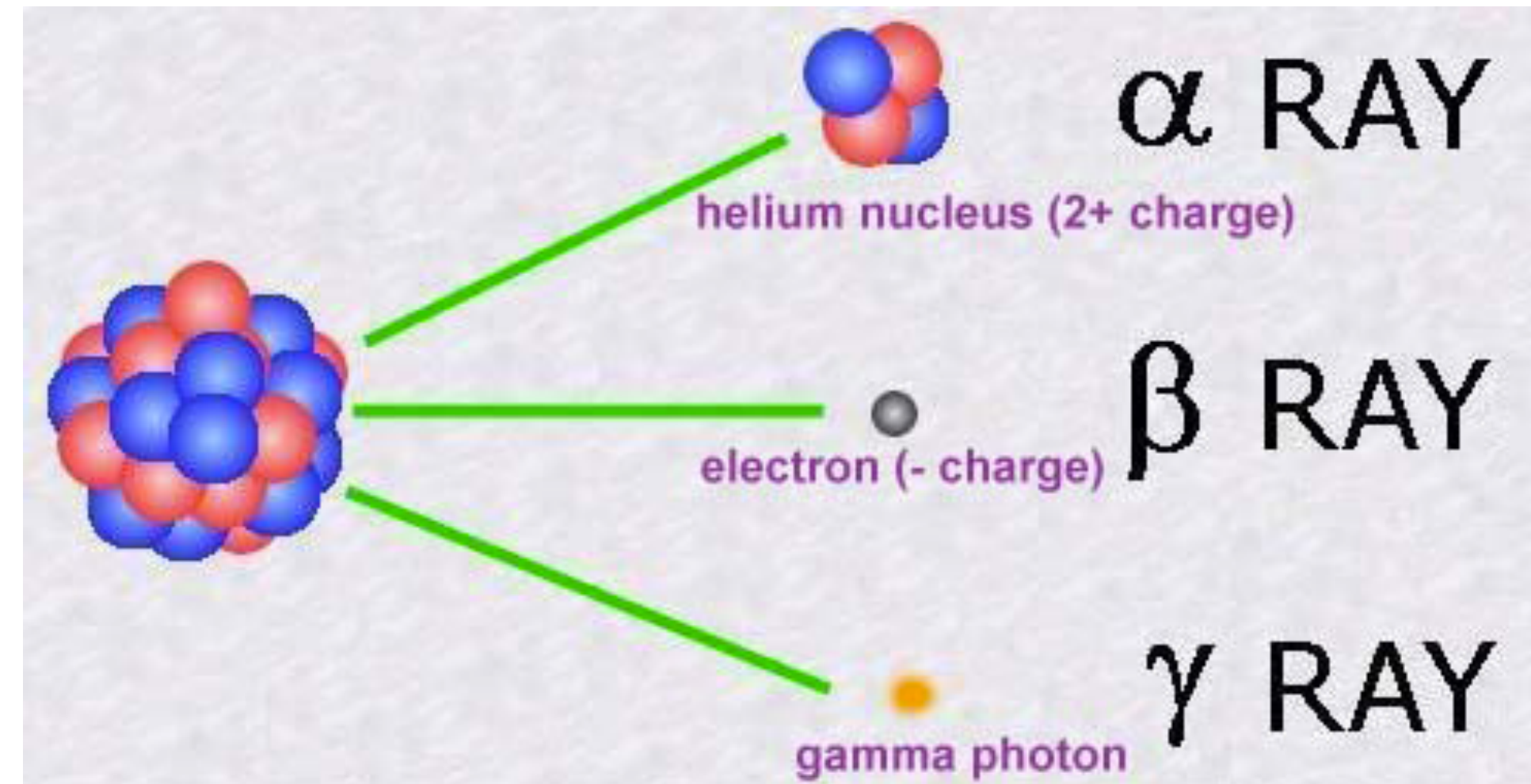
$$SU(2)_L \times U(1)_Y \rightarrow U(1)_{em}$$

How the Neutrino Was Born

A puzzling question regarding β decays



Ernest Rutherford

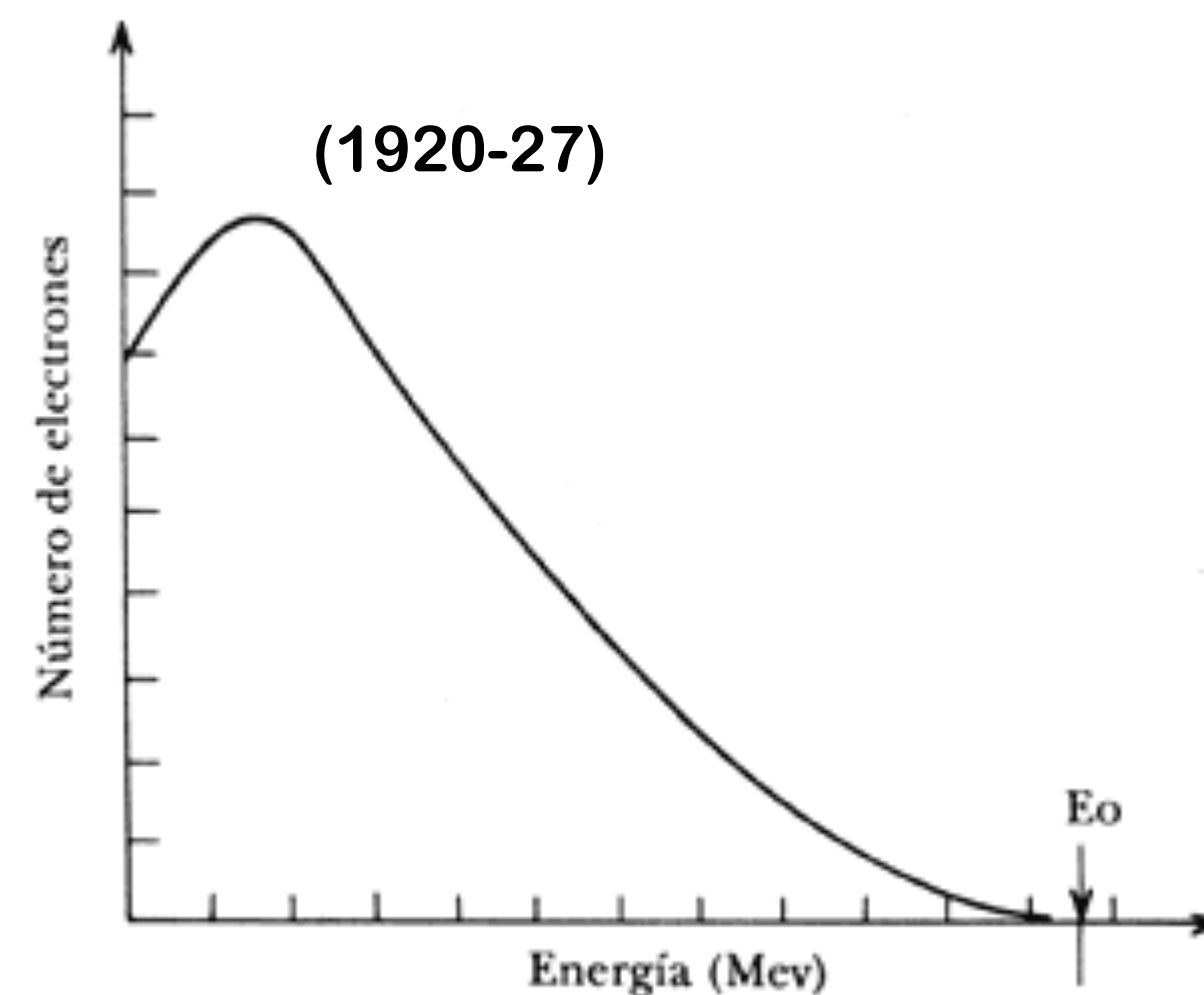


discovered in 1899

electron energy spectrum should be monochromatic ... but was not !



James Chadwick



How the Neutrino Was Born

“I have done a terrible thing”

“It is difficult to find a case where the word 'intuition' characterizes a human achievement better than in the case of the neutrino invention by Pauli”

Bruno Pontecorvo



Wolfgang Pauli

My friend - Pontecorvo of Dec 6 1930
Abschrift/15.12.56 FN

Offener Brief an die Gruppe der Radioaktiven bei der
Gauvereins-Tagung zu Tübingen.

Abschrift

Physikalisches Institut
der Eidg. Technischen Hochschule
Zürich

Zürich, 4. Dec. 1930
Gloriastrasse

Liebe Radioaktive Damen und Herren,

Wie der Ueberbringer dieser Zeilen, den ich kuldvollst
anzuhören bitte, Ihnen das näherem auseinandersetzen wird, bin ich
angesichts der "falschen" Statistik der N- und Li-6 Kerne, sowie
des kontinuierlichen beta-Spektrums auf einen verzweifelten Ausweg
verfallen um den "Wechselwitz" (1) der Statistik und den Energiesatz
zu retten. Nämlich die Möglichkeit, es könnten elektrisch neutrale
Teilchen, die ich Neutronen nennen will, in den Kernen existieren,
welche den Spin 1/2 haben und das Ausschliessungsprinzip befolgen und
sich von Lichtquanten ausserdem noch dadurch unterscheiden, dass sie
nicht mit Lichtgeschwindigkeit laufen. Die Masse der Neutronen
müsste von derselben Grössenordnung wie die Elektronenmasse sein und
jedenfalls nicht grösser als 0,01 Protonenmasse.- Das kontinuierliche
beta-Spektrum wäre dann verständlich unter der Annahme, dass beim
beta-Zerfall mit dem Elektron jeweils noch ein Neutron emittiert
wird, derart, dass die Summe der Energien von Neutron und Elektron
konstant ist.

Dear radioactive ladies and gentlemen,

As the bearer of these lines [...] will explain more exactly, considering the 'false' statistics of [N-14](#) and [Li-6](#) nuclei, as well as the continuous β -spectrum, **I have hit upon a desperate remedy** to save the "exchange theorem" of statistics and the energy theorem. Namely [there is] **the possibility that there could exist in the nuclei electrically neutral particles that I wish to call neutrons, which have spin 1/2 and obey the [exclusion principle](#), and additionally differ from [light quanta](#) in that they do not travel with the velocity of light: The mass of the neutron must be of the same order of magnitude as the electron mass and, in any case, not larger than 0.01 proton mass. The continuous β -spectrum would then become understandable by the assumption that in β decay a neutron is emitted together with the electron, in such a way that the sum of the energies of neutron and electron is constant. [...]**

But I don't feel secure enough to publish anything about this idea, so I first turn confidently to you, dear radioactives, with a question as to the situation concerning experimental proof of such a neutron, if it has something like about 10 times the penetrating capacity of a [y ray](#).

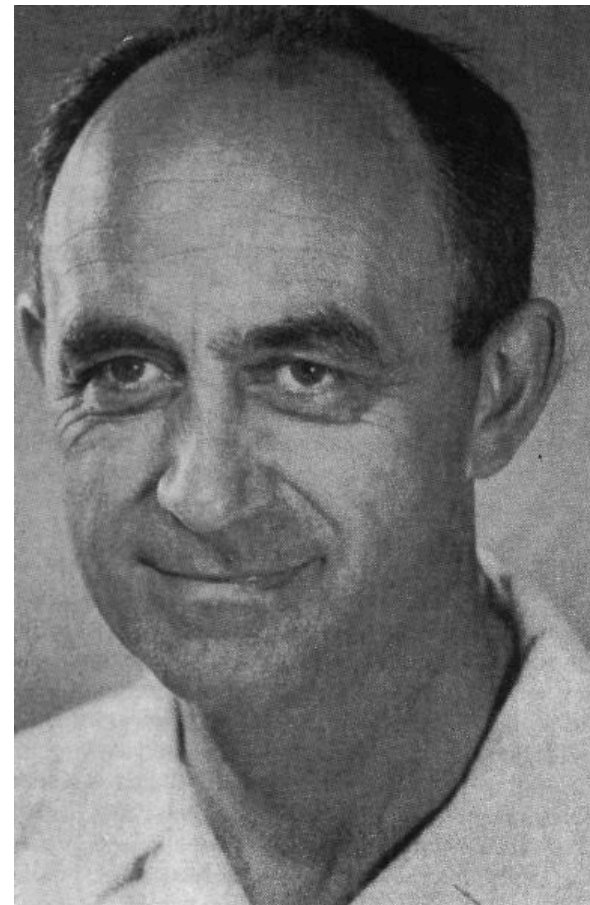
I admit that my remedy may appear to have a small a priori probability because neutrons, if they exist, would probably have long ago been seen. However, only those who wager can win, and the seriousness of the situation of the continuous β -spectrum can be made clear by the saying of my honored predecessor in office, [Mr. Debye](#), [...] "One does best not to think about that at all, like the new taxes." [...] So, dear radioactives, put it to test and set it right. [...]

Unfortunately, I cannot personally appear in Tübingen since I am indispensable here in Zürich because of a ball on the night from December 6 to 7. With many greetings to you, also to [Mr. Back](#), your devoted servant,

W. Pauli

How the Neutrino was Born

Fermi's "too speculative" idea



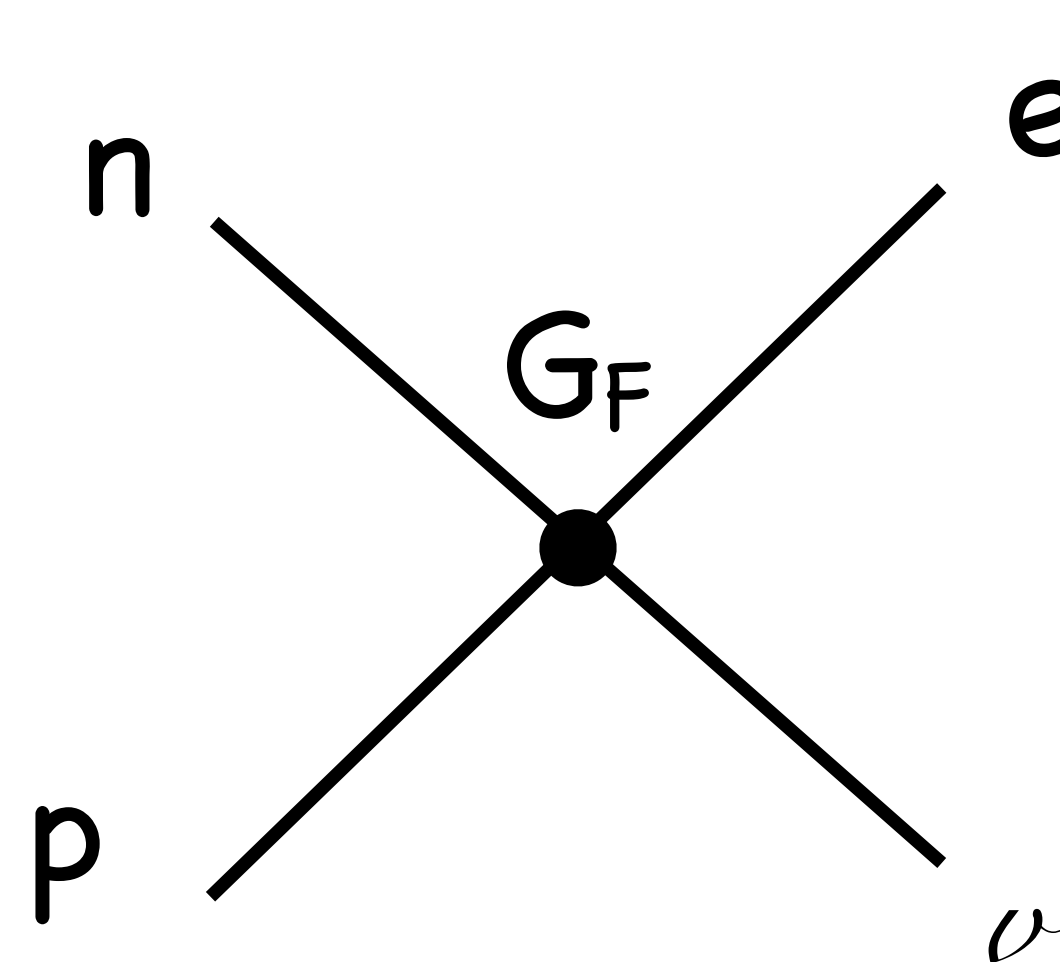
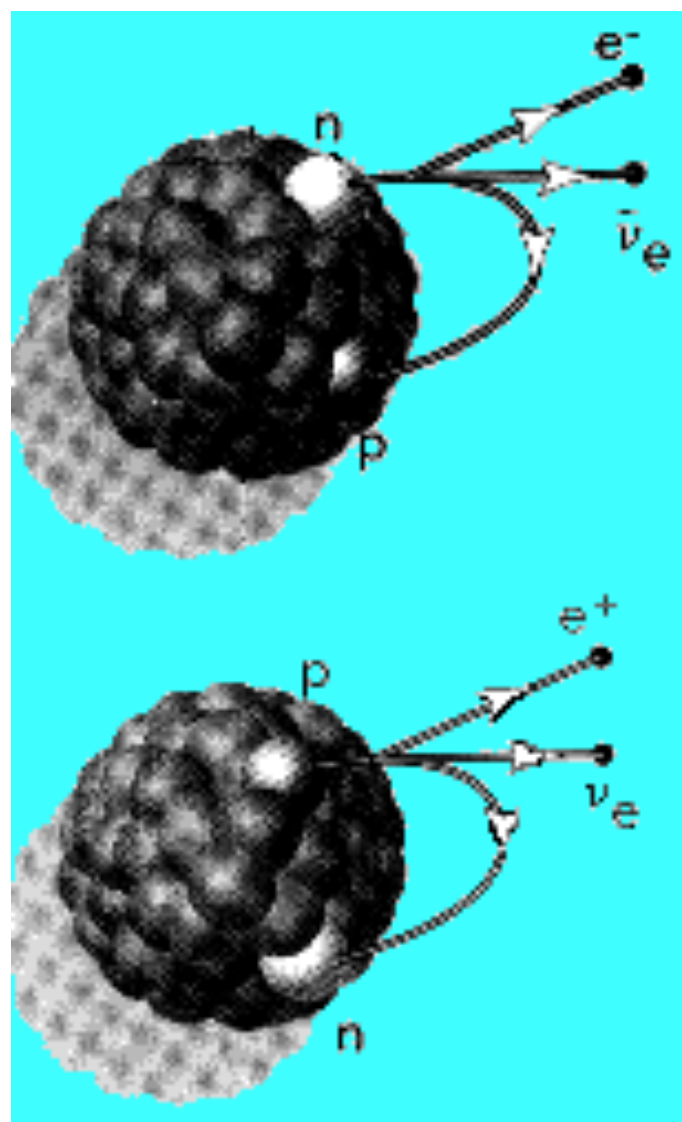
Enrico Fermi

1932 - discovery of the neutron by Chadwick

1934 - discovery of β^+ decay by Joliot-Curie

- Fermi starts to call Pauli's particle neutrino ("little neutron") and proposes his theory for the "beta ray emission"

"contained speculations too remote from reality to be of interest to the reader" (Nature refusal)



$$e^- \quad G_F = 1.166 \times 10^{-5} \text{ GeV}^{-2}$$

$$\frac{1}{\sqrt{G_F}} \sim \mathcal{O}(\text{few } 10^2 \text{ GeV})$$

sets the energy scale of weak interactions (Z, W in the SM have to be massive)

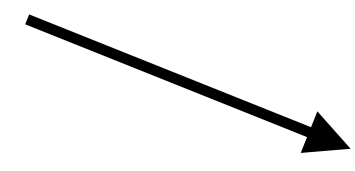
How the Neutrino was Born

“There is no practically possible way of observing the neutrino”



H. Bethe and R. Peierls

$$n \rightarrow e^{-} + p + \nu$$



$$\nu + p \rightarrow e^{+} + n$$

inverse beta decay (IBD)

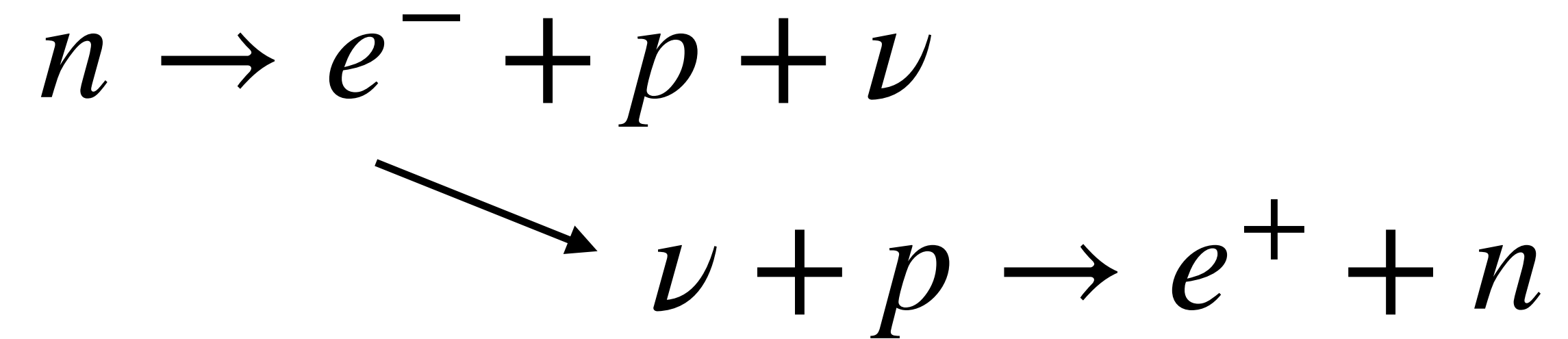
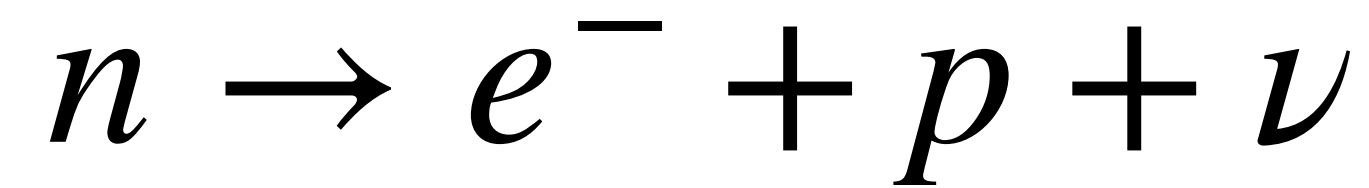
$$\sigma(\nu + p \rightarrow e^{+} + n) \sim 10^{-38} \text{ cm}^2 \quad @ 1 \text{ GeV}$$

How the Neutrino was Born

“There is no practically possible way of observing the neutrino”



H. Bethe and R. Peierls



inverse beta decay (IBD)

$$\sigma(\nu + p \rightarrow e^{+} + n) \sim 10^{-38} \text{ cm}^2 \quad @ 1 \text{ GeV}$$

$$\lambda \sim \frac{1}{n\sigma}$$

mean free path

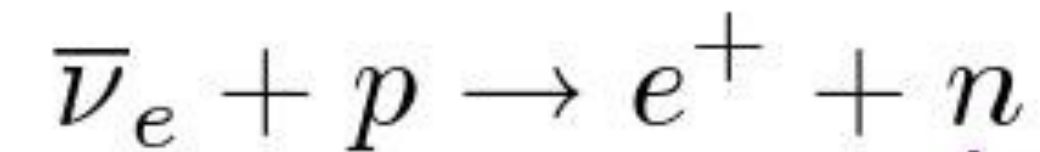
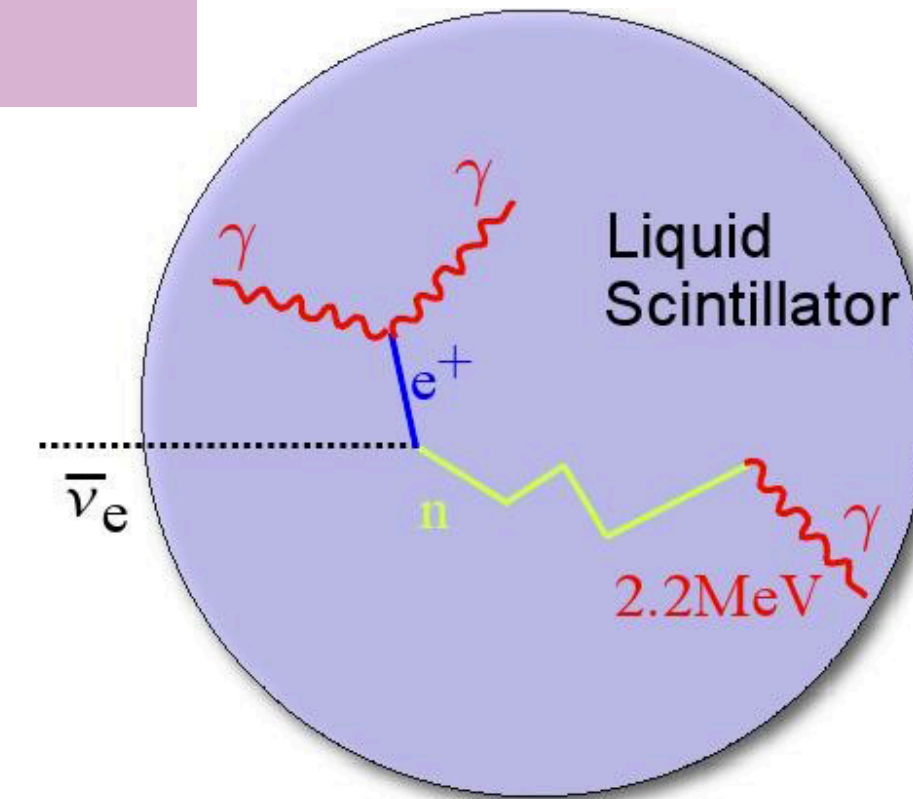
$$n \sim 10^{23} \text{ protons/cm}^3 \quad \lambda_{\text{H}_2\text{O}} \sim 10^{15} \text{ cm} \quad \sim 10^{-3} \text{ light - years}$$

$$n \sim \text{proton/cm}^3 \quad \lambda_{\text{interstellar}} \sim 10^{38} \text{ cm} \quad \sim 10^{20} \text{ light - years}$$

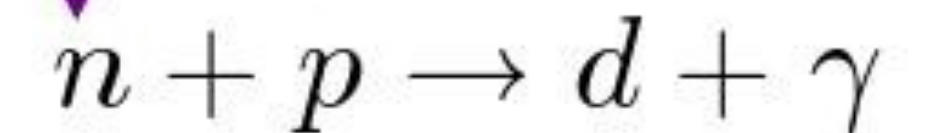
Detecting the First Neutrino

The electron neutrino

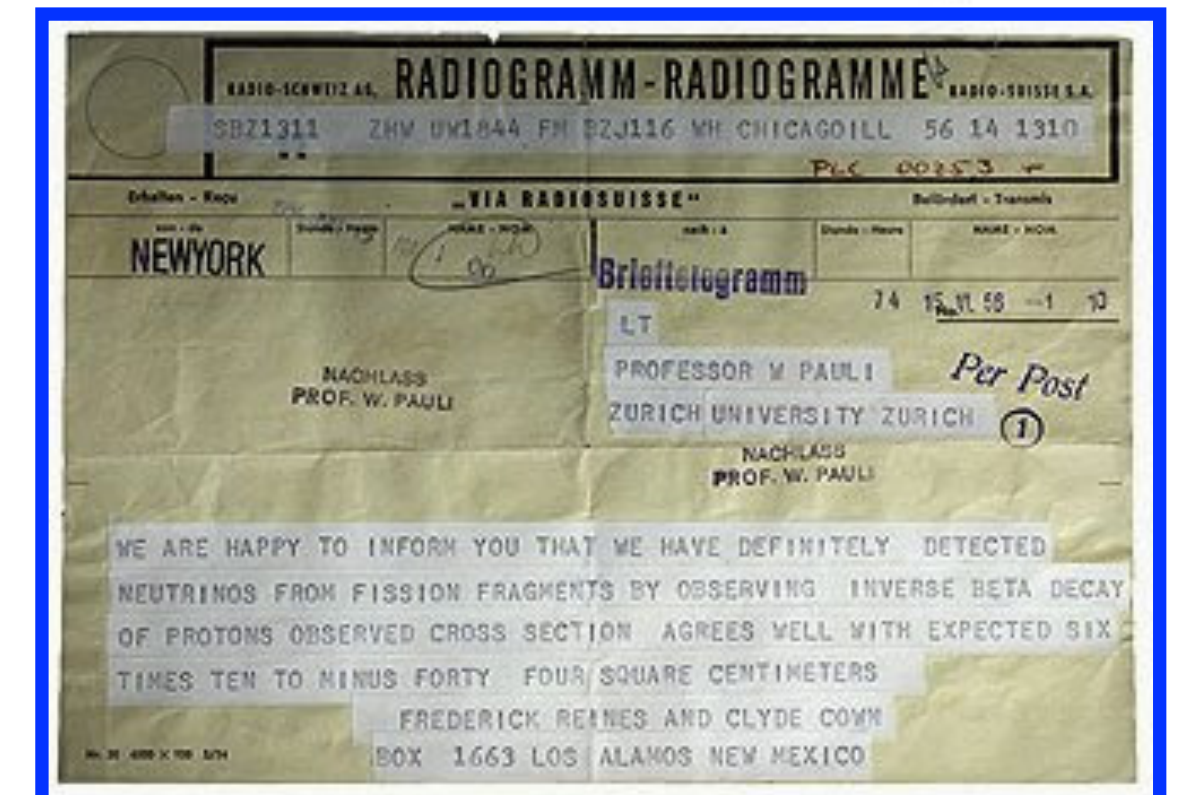
Savannah River, South Carolina, EUA



↓ 207 μs



C.L. Cowan Jr, et al. Science 124, 103 (1956)
F. Reines and C.L. Cowan Jr, Nature 178, 446 (1956)



Start of Digression :

**Lorentz Transformations
&
Fermion Quantum Fields**

using natural units ($c = \hbar = 1$)

Free Fermion Fields

Dirac equation

mass of the fermion

$$(i\gamma_\mu \partial^\mu - \textcircled{m})\psi = 0 \quad - \psi \text{ is a 4 component Dirac spinor}$$

General Lorentz transformation : **Rotation + Boost**

$$x \rightarrow \Lambda x \quad \psi(x) \rightarrow S(\Lambda) \psi(\Lambda^{-1}x)$$

Free Fermion Fields

Dirac equation

mass of the fermion

$$(i\gamma_\mu \partial^\mu - m)\psi = 0 \quad - \psi \text{ is a 4 component Dirac spinor}$$

General Lorentz transformation : **Rotation + Boost**

$$x \rightarrow \Lambda x \quad \psi(x) \rightarrow S(\Lambda) \psi(\Lambda^{-1}x)$$

Using the Chiral representation :

$$\gamma^0 = \begin{pmatrix} 0 & I_2 \\ I_2 & 0 \end{pmatrix} \quad \gamma^i = \begin{pmatrix} 0 & \sigma_i \\ -\sigma_i & 0 \end{pmatrix} \quad \gamma^5 = i\gamma^0\gamma^1\gamma^2\gamma^3 = \begin{pmatrix} -I_2 & 0 \\ 0 & I_2 \end{pmatrix}$$

$$\sigma^1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma^2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \sigma^3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \text{Pauli matrices}$$

Free Fermion Fields

Dirac equation

$$S(\Lambda_{\text{rot}}) = \begin{pmatrix} e^{i\vec{\phi}\cdot\vec{\sigma}/2} & 0 \\ 0 & e^{i\vec{\phi}\cdot\vec{\sigma}/2} \end{pmatrix} \quad \vec{\phi} = \phi \hat{n}$$

angle of rotation

General rotation

$$S(\Lambda_{\text{boost}}) = \begin{pmatrix} e^{\vec{\chi}\cdot\vec{\sigma}/2} & 0 \\ 0 & e^{-\vec{\chi}\cdot\vec{\sigma}/2} \end{pmatrix} \quad \vec{\chi} = \chi \hat{\beta}$$

$\chi = \tanh(\beta)$

General boost

rapidity

Free Fermion Fields

Dirac equation

$$S(\Lambda_{\text{rot}}) = \begin{pmatrix} e^{i\vec{\phi}\cdot\vec{\sigma}/2} & 0 \\ 0 & e^{i\vec{\phi}\cdot\vec{\sigma}/2} \end{pmatrix} \quad \vec{\phi} = \phi \hat{n}$$

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$\chi = \tanh(\beta)$

General boost

rapidity

$$P_L = \frac{1}{2}(I - \gamma^5) = \begin{pmatrix} I_2 & 0 \\ 0 & 0 \end{pmatrix} \quad P_R = \frac{1}{2}(I + \gamma^5) = \begin{pmatrix} 0 & 0 \\ 0 & I_2 \end{pmatrix} \quad \text{chiral projectors}$$

chiral components

irreducible representations of the Lorentz group - 2 component Weyl spinors

$$\psi = \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix}$$

$$\psi_L = P_L \psi$$

$$\psi_R = P_R \psi$$

4 component Dirac spinor is reducible

Free Fermion Fields

Dirac equation

$$S(\Lambda_{\text{rot}}) = \begin{pmatrix} e^{i\vec{\phi}\cdot\vec{\sigma}/2} & 0 \\ 0 & e^{i\vec{\phi}\cdot\vec{\sigma}/2} \end{pmatrix} \quad \vec{\phi} = \phi \hat{n}$$

angle of rotation

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$\chi = \tanh(\beta)$

General boost

rapidity

$$\psi = \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix}$$

chiral components

$$\psi_L \rightarrow e^{i\vec{\phi}\cdot\vec{\sigma}/2} \psi_L$$

$$\psi_R \rightarrow e^{i\vec{\phi}\cdot\vec{\sigma}/2} \psi_R$$

rotate the same way

$$\psi_L \rightarrow e^{\vec{\chi}\cdot\vec{\sigma}/2} \psi_L$$

$$\psi_R \rightarrow e^{-\vec{\chi}\cdot\vec{\sigma}/2} \psi_R$$

boost differently

Free Fermion Fields

Dirac equation

$$S(\Lambda_{\text{rot}}) = \begin{pmatrix} e^{i\vec{\phi}\cdot\vec{\sigma}/2} & 0 \\ 0 & e^{i\vec{\phi}\cdot\vec{\sigma}/2} \end{pmatrix} \quad \vec{\phi} = \phi \hat{n}$$

angle of rotation

General rotation

$$S(\Lambda_{\text{boost}}) = \begin{pmatrix} e^{\vec{\chi}\cdot\vec{\sigma}/2} & 0 \\ 0 & e^{-\vec{\chi}\cdot\vec{\sigma}/2} \end{pmatrix} \quad \vec{\chi} = \chi \hat{\beta}$$

$\chi = \tanh(\beta)$

General boost

rapidity

$$\psi = \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix}$$

chiral components

$$\psi_L \rightarrow e^{i\vec{\phi}\cdot\vec{\sigma}/2} \psi_L$$

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rotate the same way

$$\psi_L \rightarrow e^{\vec{\chi}\cdot\vec{\sigma}/2} \psi_L$$

$$\psi_R \rightarrow e^{-\vec{\chi}\cdot\vec{\sigma}/2} \psi_R$$

boost differently

but $H = \gamma^0(-i\gamma^i\partial_i + m)$ $[H, \gamma^5] \neq 0$ **chirality is not a good quantum number !**

Free Fermion Fields

Bilinear Covariants

most general form of currents consistent with Lorentz covariance

$$\bar{\psi} (4 \times 4) \psi$$

$$\bar{\psi} \equiv \psi^\dagger \gamma^0 \quad \text{Adjoint spinor (undergoes inverse Lorentz Transformation)}$$

Free Fermion Fields

Bilinear Covariants

most general form of currents consistent with Lorentz covariance

$$\bar{\psi} (4 \times 4) \psi$$

Space Inversion (Parity)

$$\mathbf{x} \rightarrow -\mathbf{x}$$

$\bar{\psi}\psi$	Scalar	unchanged
$\bar{\psi}\gamma^\mu\psi$	Vector	space components change sign
$\bar{\psi}\sigma^{\mu\nu}\psi$	Tensor	
$\bar{\psi}\gamma^5\psi$	Pseudo-scalar	change sign
$\bar{\psi}\gamma^5\gamma^\mu\psi$	Axial-vector	space components don't change sign

Free Fermion Fields

Dirac equation

$$(i\gamma_\mu \partial^\mu - m)\psi = 0 \qquad H = \gamma^0(-i\gamma^i \partial_i + m)$$

4 independent states with $p_\mu = (E, \vec{p}) \qquad E = \sqrt{\vec{p}^2 + m^2}$

$$(\gamma^\mu p_\mu - m) u_s(\vec{p}) = 0 \qquad (\gamma^\mu p_\mu + m) v_s(\vec{p}) = 0 \qquad s = 1,2$$

Do we have another good quantum number to describe these states?

Free Fermion Fields

Dirac equation

$$(i\gamma_\mu \partial^\mu - m)\psi = 0 \quad H = \gamma^0(-i\gamma^i \partial_i + m)$$

4 independent states with $p_\mu = (E, \vec{p}) \quad E = \sqrt{\vec{p}^2 + m^2}$

$$(\gamma^\mu p_\mu - m) u_s(\vec{p}) = 0 \quad (\gamma^\mu p_\mu + m) v_s(\vec{p}) = 0 \quad s = 1, 2$$

we can choose them to be eigenstates of the helicity projector operator

helicity

$$P_\pm = \frac{1}{2} \left(I_\pm \frac{\vec{\Sigma} \cdot \vec{p}}{|\vec{p}|} \right) = \frac{1}{2} \begin{pmatrix} I_2 \pm \frac{\vec{\sigma} \cdot \vec{p}}{|\vec{p}|} & 0 \\ 0 & I_2 \pm \frac{\vec{\sigma} \cdot \vec{p}}{|\vec{p}|} \end{pmatrix} = \frac{1}{2} \begin{pmatrix} I_2 \pm h & 0 \\ 0 & I_2 \pm h \end{pmatrix}$$

Free Fermion Fields

Dirac equation

$$(i\gamma_\mu \partial^\mu - m)\psi = 0 \quad H = \gamma^0(-i\gamma^i \partial_i + m)$$

4 independent states with $p_\mu = (E, \vec{p}) \quad E = \sqrt{\vec{p}^2 + m^2}$

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we can choose them to be eigenstates of the helicity projector operator

$$P_\pm = \frac{1}{2} \left(I \pm \frac{\vec{\Sigma} \cdot \vec{p}}{|\vec{p}|} \right) = \frac{1}{2} \begin{pmatrix} I_2 \pm h & 0 \\ 0 & I_2 \pm h \end{pmatrix} \quad \begin{aligned} hu_\pm &= \pm u_\pm \\ hv_\pm &= \mp v_\pm \end{aligned}$$

$$[H, \vec{\Sigma} \cdot \vec{p}] = [\vec{p}, \vec{\Sigma} \cdot \vec{p}] = 0 \quad \text{helicity is a good quantum number but it is not Lorentz invariant !}$$

Free Fermion Fields

Dirac equation

$$(i\gamma_\mu \partial^\mu - m)\psi = 0$$

$$\Sigma^i \equiv -\gamma^0 \gamma^5 \gamma^i$$

if $m = 0$ (massless particle)

$$\frac{\vec{\Sigma} \cdot \vec{p}}{|\vec{p}|} \psi = \frac{\Sigma^i p_i}{|\vec{p}|} \psi = -\gamma^0 \gamma^5 \frac{\gamma^i p_i}{|\vec{p}|} \psi = -\gamma^0 \gamma^5 \frac{\gamma^0 E}{|\vec{p}|} \psi = \gamma^5 \psi$$

**chirality and helicity
are equivalent**

in this case helicity is Lorentz invariant!

Free Fermion Fields

Dirac equation

$$(i\gamma_\mu \partial^\mu - m)\psi = 0$$

$$\Sigma^i \equiv -\gamma^0 \gamma^5 \gamma^i$$

if $m = 0$ (massless particle)

$$\frac{\vec{\Sigma} \cdot \vec{p}}{|\vec{p}|} = \frac{\Sigma^i p_i}{|\vec{p}|} \psi = -\gamma^0 \gamma^5 \frac{\gamma^i p_i}{|\vec{p}|} \psi = -\gamma^0 \gamma^5 \frac{\gamma^0 E}{|\vec{p}|} \psi = \gamma^5 \psi$$

**chirality and helicity
are equivalent**

But in general ($m \neq 0$)

in this case helicity is Lorentz invariant!

$$P_{\mp} = P_{L/R} + \mathcal{O}\left(\frac{m}{E}\right) P_{R/L}$$

Free Fermion Fields

Dirac equation

if $m = 0$ (massless particle)

$$P_{\mp} = P_{L/R}$$

only 2 independent states now with $p_{\mu} = (|\vec{p}|, \vec{p})$

$$\gamma^{\mu} p_{\mu} u_{+}(\vec{p}) = 0$$

$$\gamma^{\mu} p_{\mu} v_{+}(\vec{p}) = 0$$

OR

$$\gamma^{\mu} p_{\mu} u_{-}(\vec{p}) = 0$$

$$\gamma^{\mu} p_{\mu} v_{-}(\vec{p}) = 0$$

Fermion Fields

Dirac Fields

$$\psi(x) = \sum_{s, \vec{p}} \left[a_s(\vec{p}) u_s(\vec{p}) e^{-ipx} + b_s^\dagger(\vec{p}) v_s(\vec{p}) e^{ipx} \right]$$

$$\psi(x)_L = \sum_{\vec{p}} \left[a_L(\vec{p}) u_L(\vec{p}) e^{-ipx} + b_L^\dagger(\vec{p}) v_L(\vec{p}) e^{ipx} \right]$$

creates antifermion with helicity $h = +1$ with amplitude ~ 1

creates antifermion with helicity $h = -1$ with amplitude $\sim m/E$

Fermion Fields

Dirac Fields

$$\psi(x) = \sum_{s, \vec{p}} \left[a_s(\vec{p}) u_s(\vec{p}) e^{-ipx} + b_s^\dagger(\vec{p}) v_s(\vec{p}) e^{ipx} \right]$$

$$\psi(x)_L = \sum_{\vec{p}} \left[a_L(\vec{p}) u_L(\vec{p}) e^{-ipx} + b_L^\dagger(\vec{p}) v_L(\vec{p}) e^{ipx} \right]$$

creates antifermion with helicity $h = +1$ with amplitude ~ 1

creates antifermion with helicity $h = -1$ with amplitude $\sim m/E$

$$\overline{\psi(x)}_L = \sum_{\vec{p}} \left[a_L^\dagger(\vec{p}) \bar{u}_L(\vec{p}) e^{+ipx} + b_L(\vec{p}) \bar{v}_L(\vec{p}) e^{-ipx} \right]$$

creates fermion with helicity $h = -1$ with amplitude ~ 1

creates fermion with helicity $h = +1$ with amplitude $\sim m/E$

Fermion Fields

Dirac Fields

$$\begin{aligned}\psi(x)^c &= \mathcal{C}\psi(x)\mathcal{C} = \sum_{s,\vec{p}} \left[\mathcal{C}a_s(\vec{p})\mathcal{C} u_s(\vec{p})e^{-ipx} + \mathcal{C}b_s^\dagger(\vec{p})\mathcal{C} v_s(\vec{p})e^{ipx} \right] \\ &= \sum_{s,\vec{p}} \left[b_s(\vec{p}) u_s(\vec{p})e^{-ipx} + a_s^\dagger(\vec{p}) v_s(\vec{p})e^{ipx} \right] \quad \text{charge conjugate spinor} \\ &= C\bar{\psi}^T = i\gamma^2\psi^* \\ C &= i\gamma^2\gamma^0\end{aligned}$$

End of Digression

Neutrino Helicity

Is the neutrino left- or right-handed?

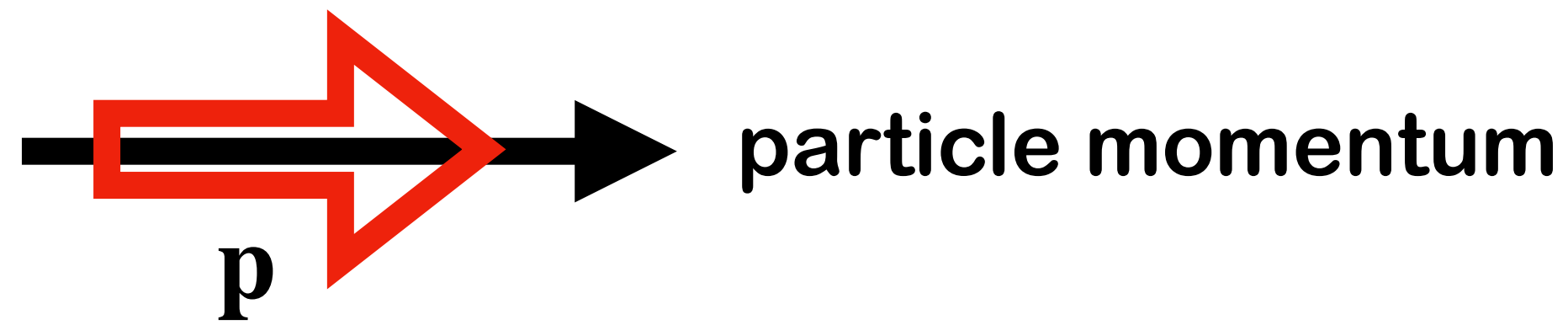
1958: Experiment by M. Goldhaber, L. Grodzins and A.W. Sunyar



Maurice Goldhaber

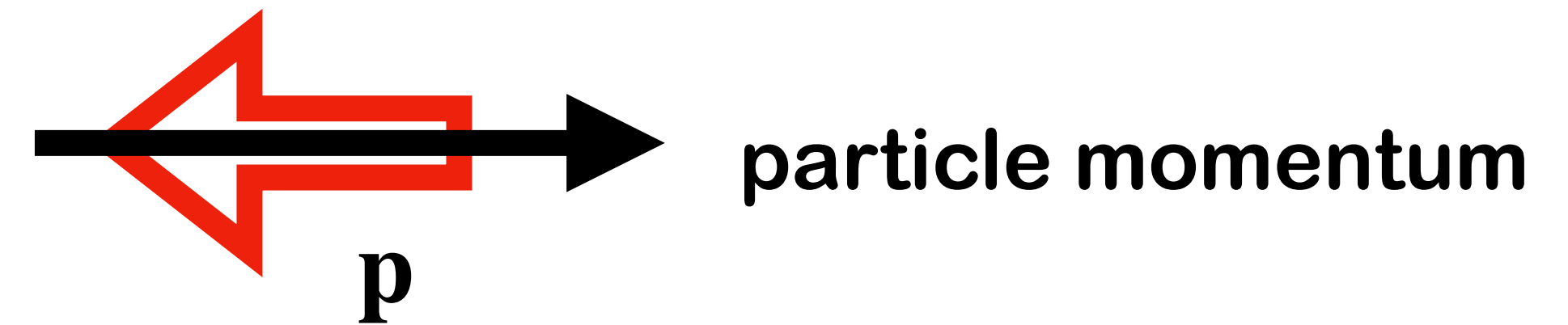
Lee Grodzins

Andrew Sunyar



$\sigma \cdot \hat{p}$ parallel

OR



$\sigma \cdot \hat{p}$ anti-parallel

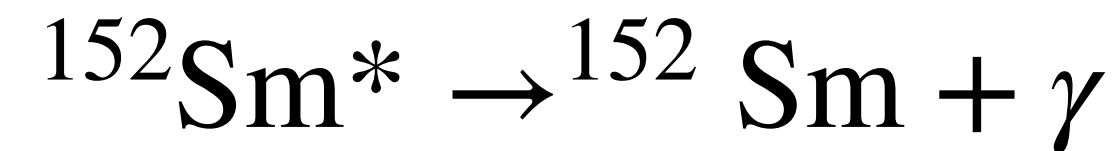
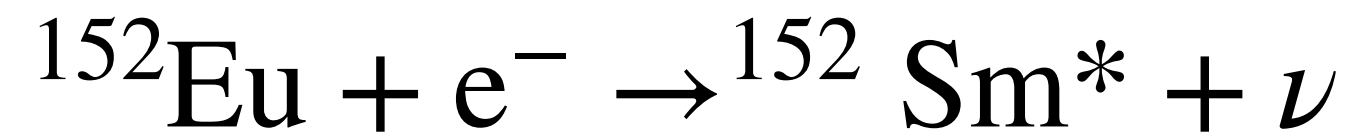
right-handed = positive helicity ($h=+1$)

free spin 1/2 particle

left-handed = negative helicity ($h=-1$)

Helicity of Neutrinos

The neutrino is left-handed



$$J(^{152}\text{Eu}) = J(^{152}\text{Sm}) = 0 \quad L(e^-) = 0$$

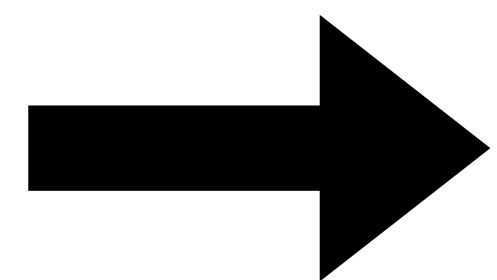
angular momentum conservation

momentum conservation

$$S_z(e^-) = S_z(\nu) + S_z(\gamma) \quad S_z(\nu) = -\frac{1}{2}S_z(\gamma)$$

$$\vec{p}(^{152}\text{Eu}) \simeq \vec{p}(^{152}\text{Sm}^{(*)}) \simeq 0$$

$$\vec{p}_\nu = -\vec{p}_\gamma$$



$$\vec{S}_\nu \cdot \vec{p}_\nu = \frac{1}{2}\vec{S}_\gamma \cdot \vec{p}_\gamma$$

helicity of $\nu = 1/2$ helicity of γ

leads to a V-A current

Helicity of Neutrinos*

M. GOLDHABER, L. GRODZINS, AND A. W. SUNYAR

Brookhaven National Laboratory, Upton, New York

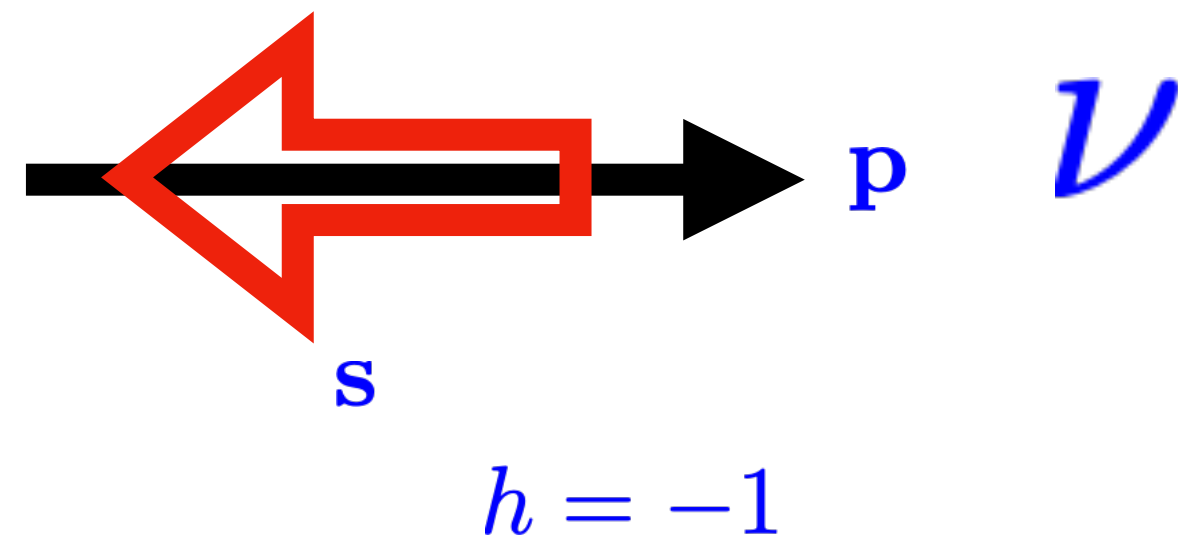
(Received December 11, 1957)

A COMBINED analysis of circular polarization and resonant scattering of γ rays following orbital electron capture measures the helicity of the neutrino. We have carried out such a measurement with Eu^{152m} , which decays by orbital electron capture. If we assume the most plausible spin-parity assignment for this isomer compatible with its decay scheme,¹ 0^- , we find that the neutrino is "left-handed," i.e., $\sigma_\nu \cdot \hat{p}_\nu = -1$ (negative helicity).

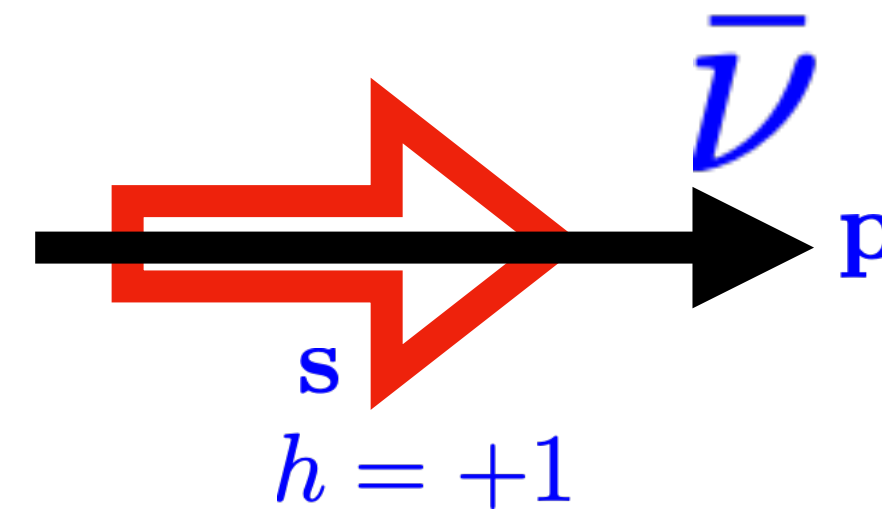
Helicity and Chirality

Some Consequences for pion decay

neutrinos have negative helicity



antineutrinos have positive helicity



if massless only need

$$\nu_L = \frac{1}{2}(1 - \gamma_5)\nu$$

negative chirality field

Dirac Equation

particle

antiparticle

Chirality

L = negative chirality

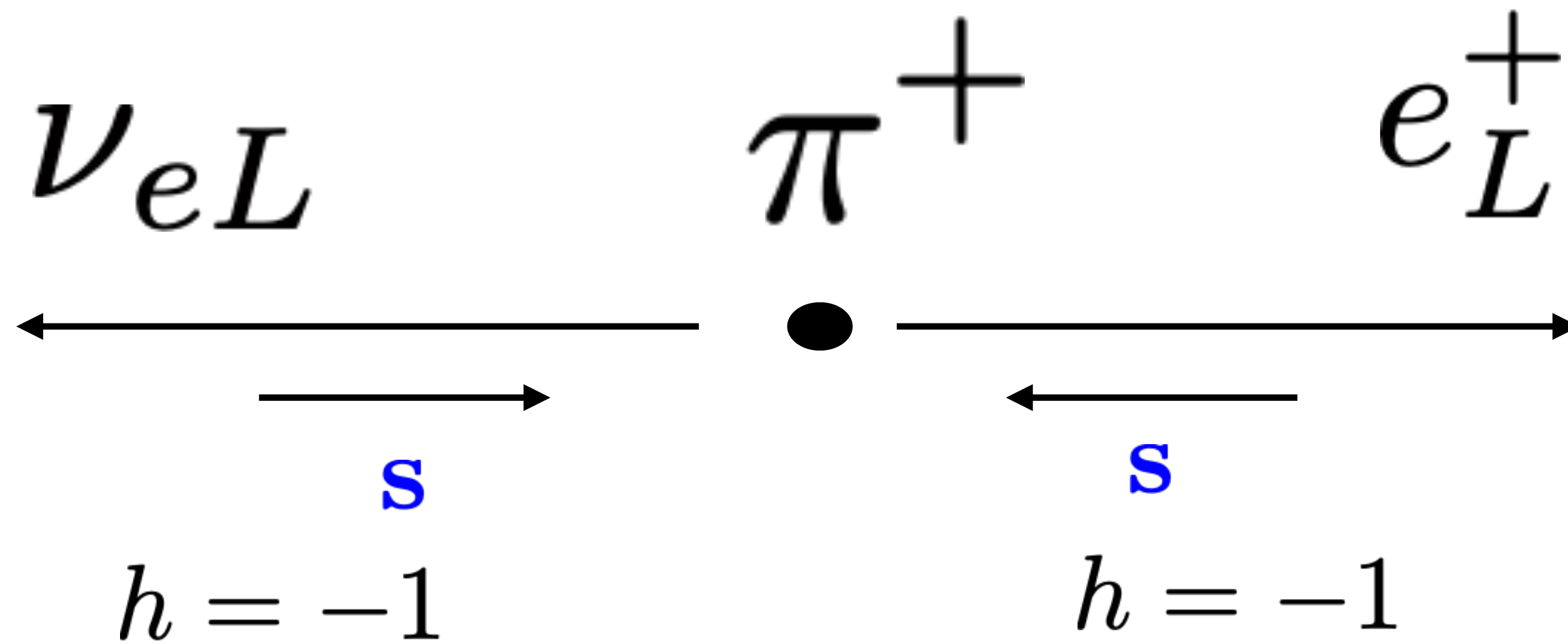
Helicity

Amplitude

$h = -1$	~ 1
$h = +1$	$\sim \frac{m}{E}$
$h = -1$	$\sim \frac{m}{E}$
$h = +1$	~ 1

Helicity and Chirality

Some Consequences for pion decay

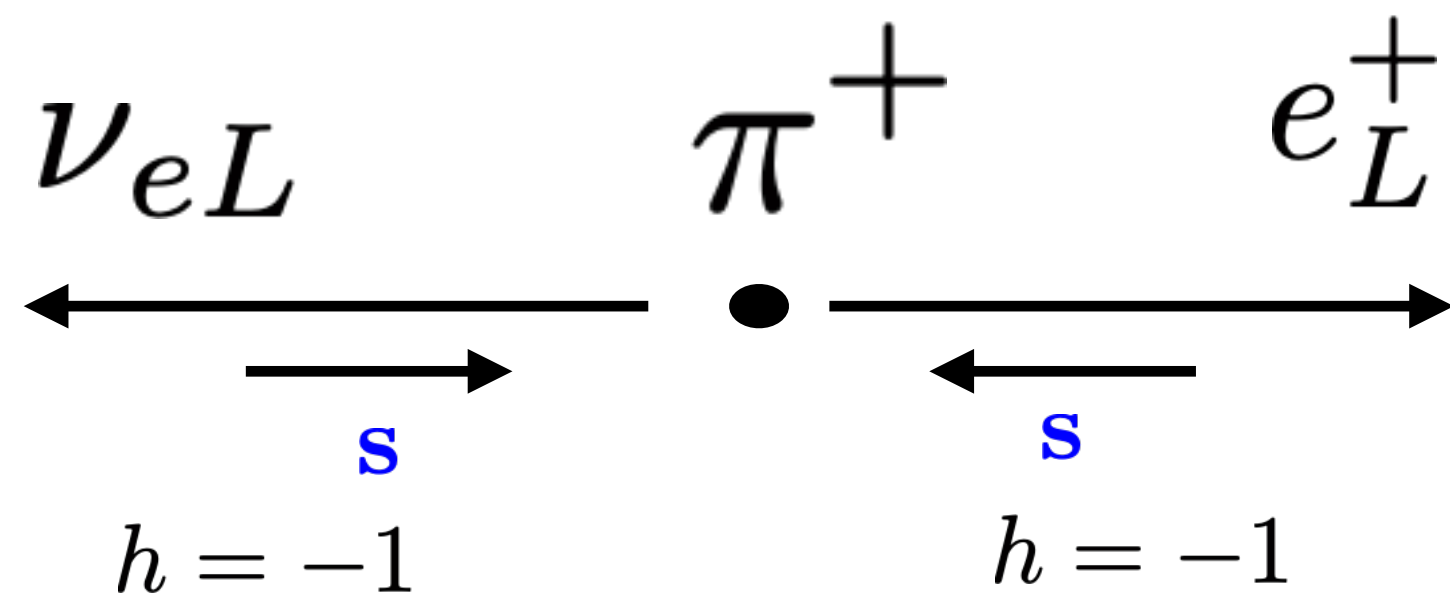


by angular momentum conservation

$$\mathcal{A}(\pi^+ \rightarrow \nu_{eL} + e_L^+) \propto m_e$$

Helicity and Chirality

Some Consequences for pion decay



by angular momentum conservation

$$\mathcal{A}(\pi^+ \rightarrow \nu_{eL} + e_L^+) \propto m_e$$

$$R_\pi \equiv \frac{\Gamma(\pi^+ \rightarrow \nu_{eL} + e_L^+)}{\Gamma(\pi^+ \rightarrow \nu_{\mu L} + \mu_L^+)} = \left(\frac{m_e}{m_\mu}\right)^2 \left(\frac{m_\pi^2 - m_e^2}{m_\pi^2 - m_\mu^2}\right)^2 \sim 10^{-4}$$

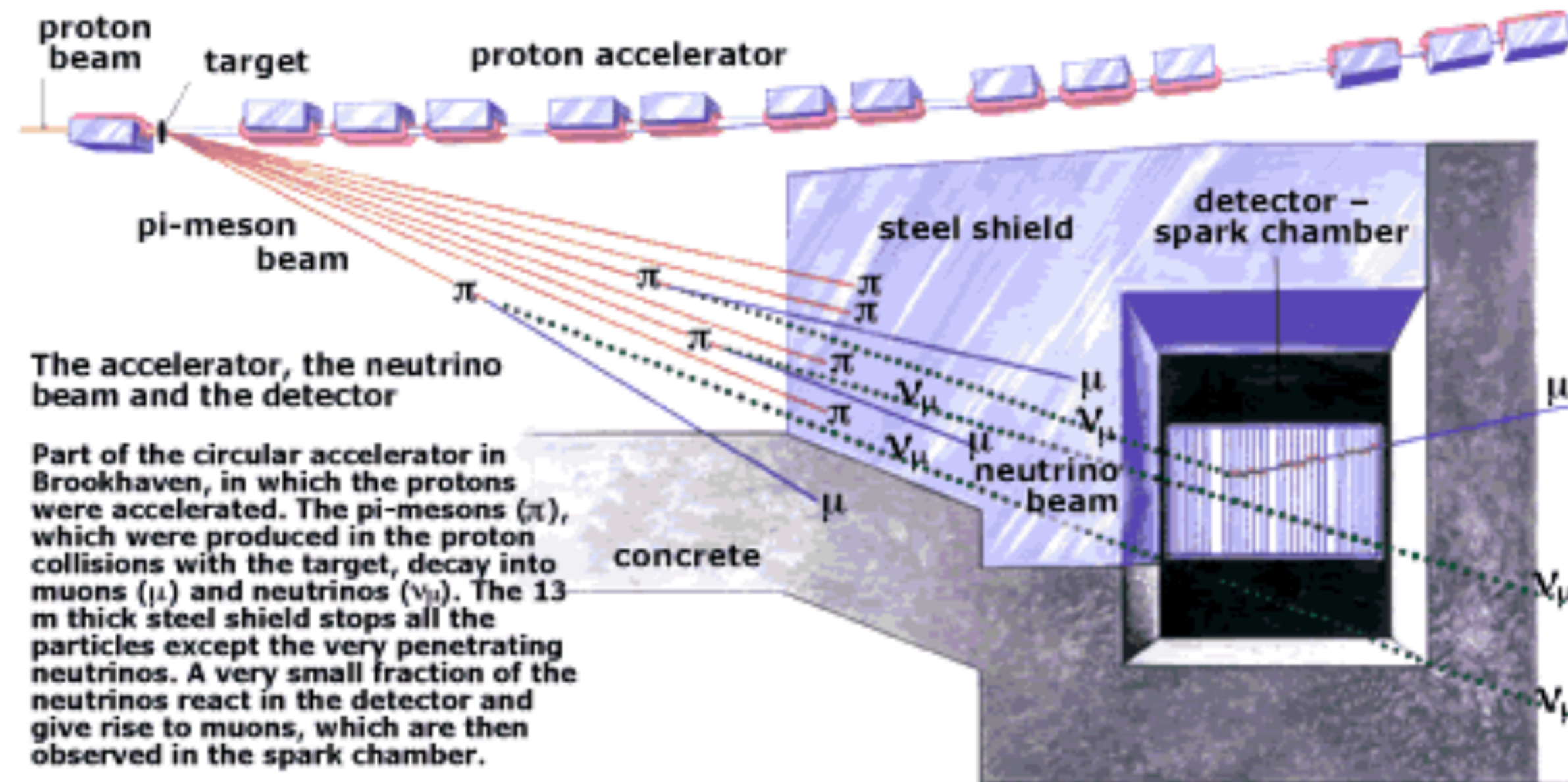
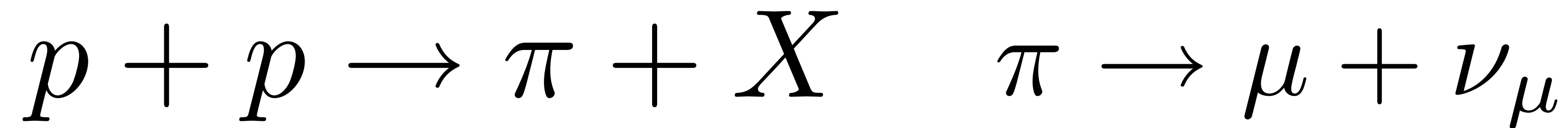
helicity suppressed

phase space factor

There is a Second Flavor

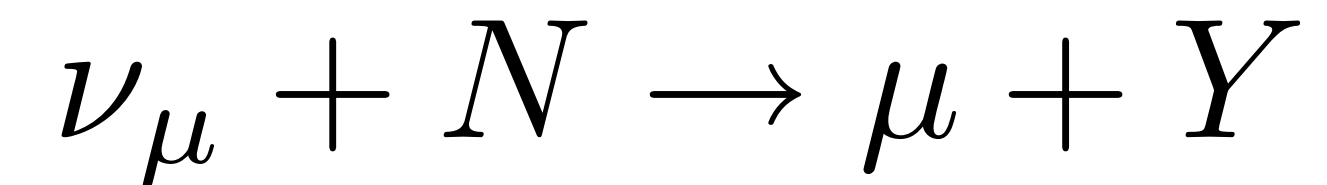
The muon neutrino

1962 : J. Steinberger, L. Lederman & M. Schwartz



Based on a drawing in Scientific American, March 1963.

neutrinos produced together with a muon give rise to muons not electrons

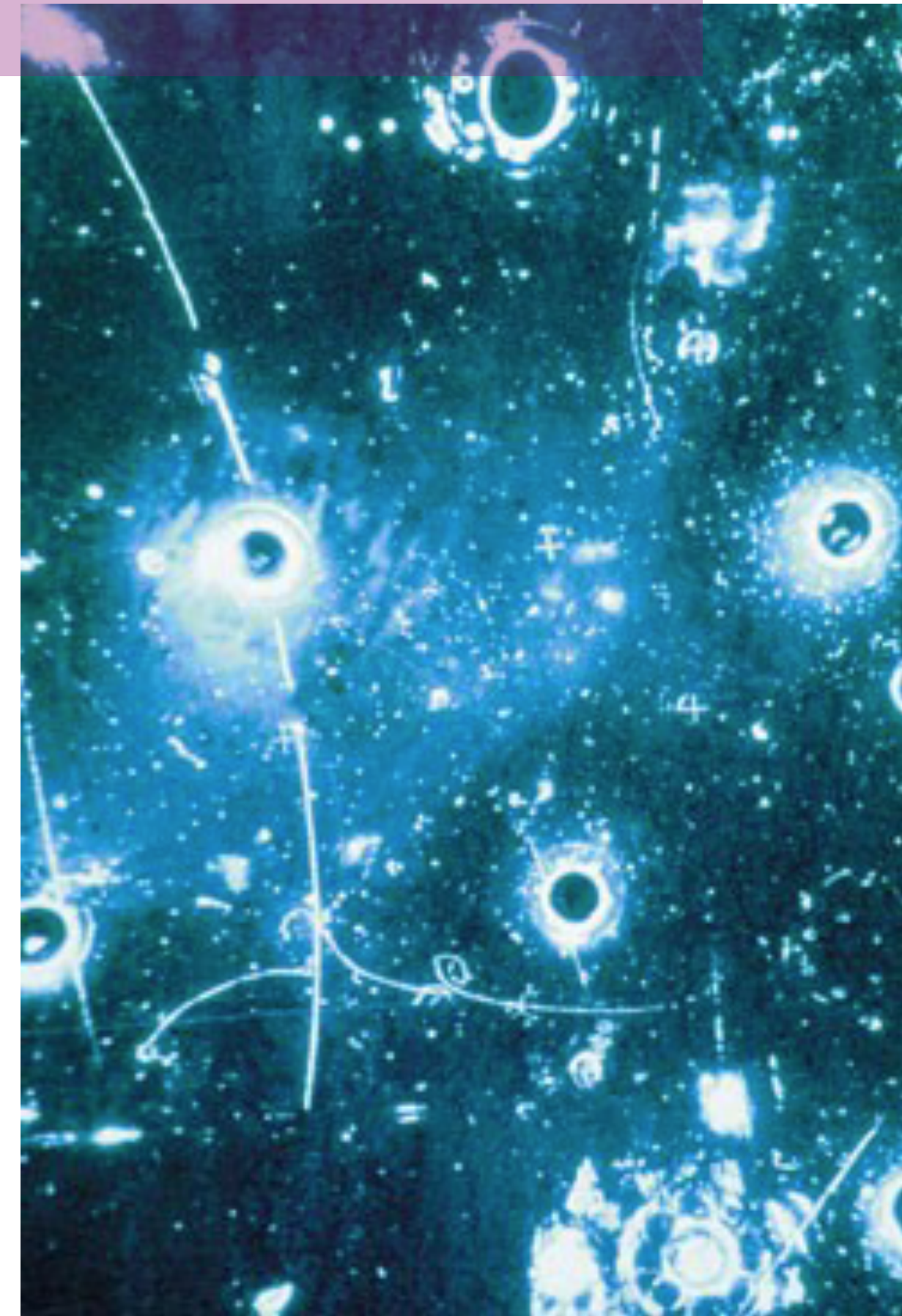
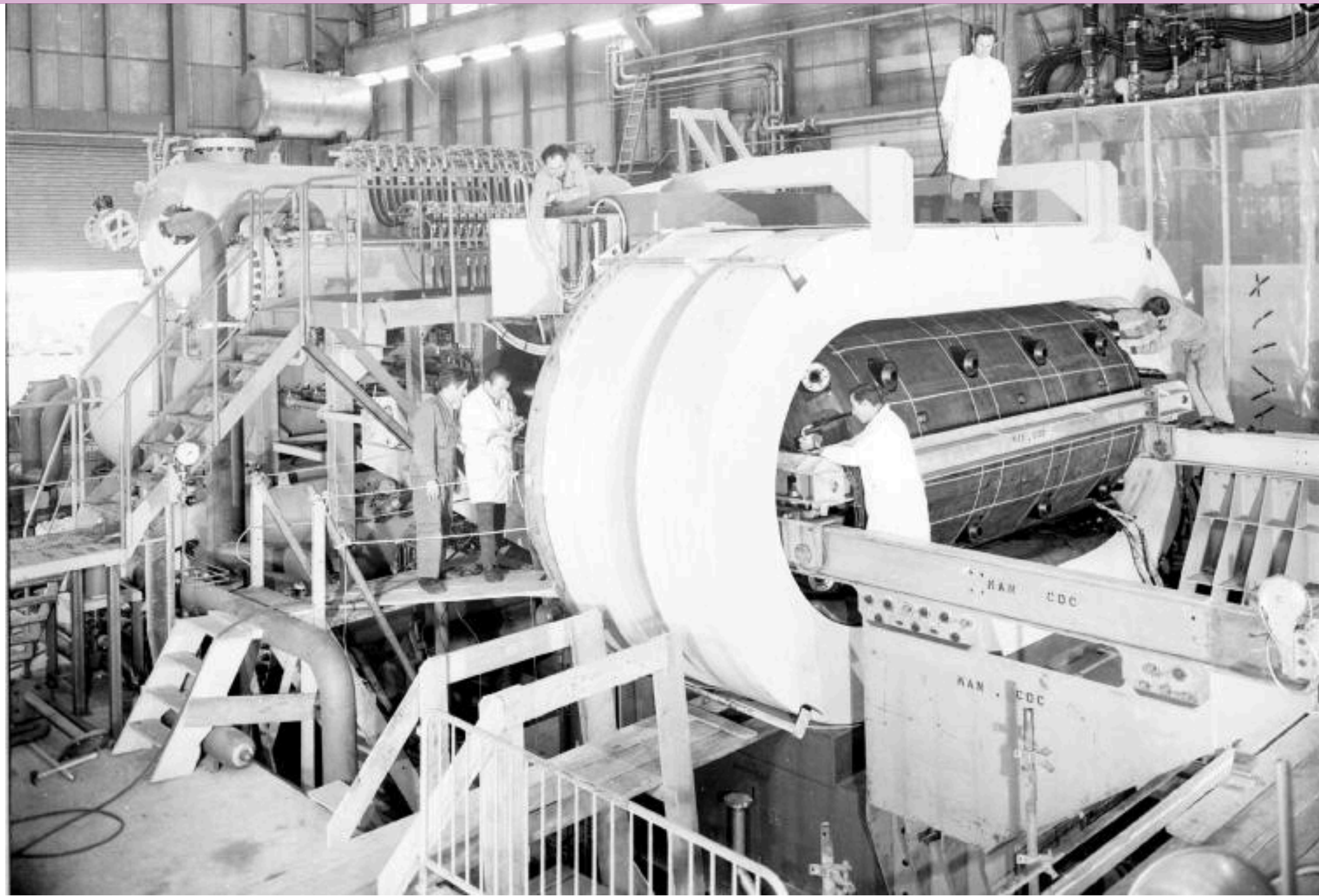


51 neutrino interactions were registered with a muon observed in the spark chamber

$$\nu_{\mu} \neq \nu_e$$

The Discovery of Neutral Currents

Neutrinos as probes



1973 : Gargamelle Bubble Chamber (CERN)

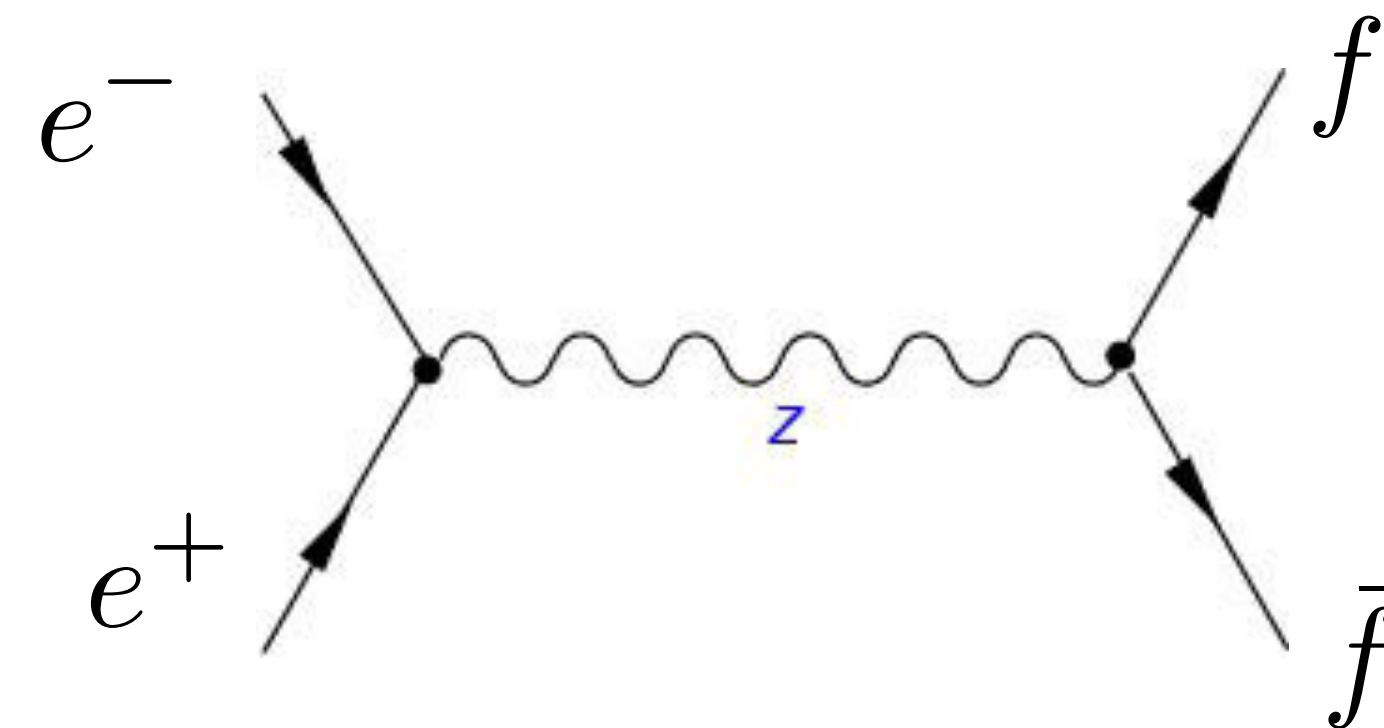
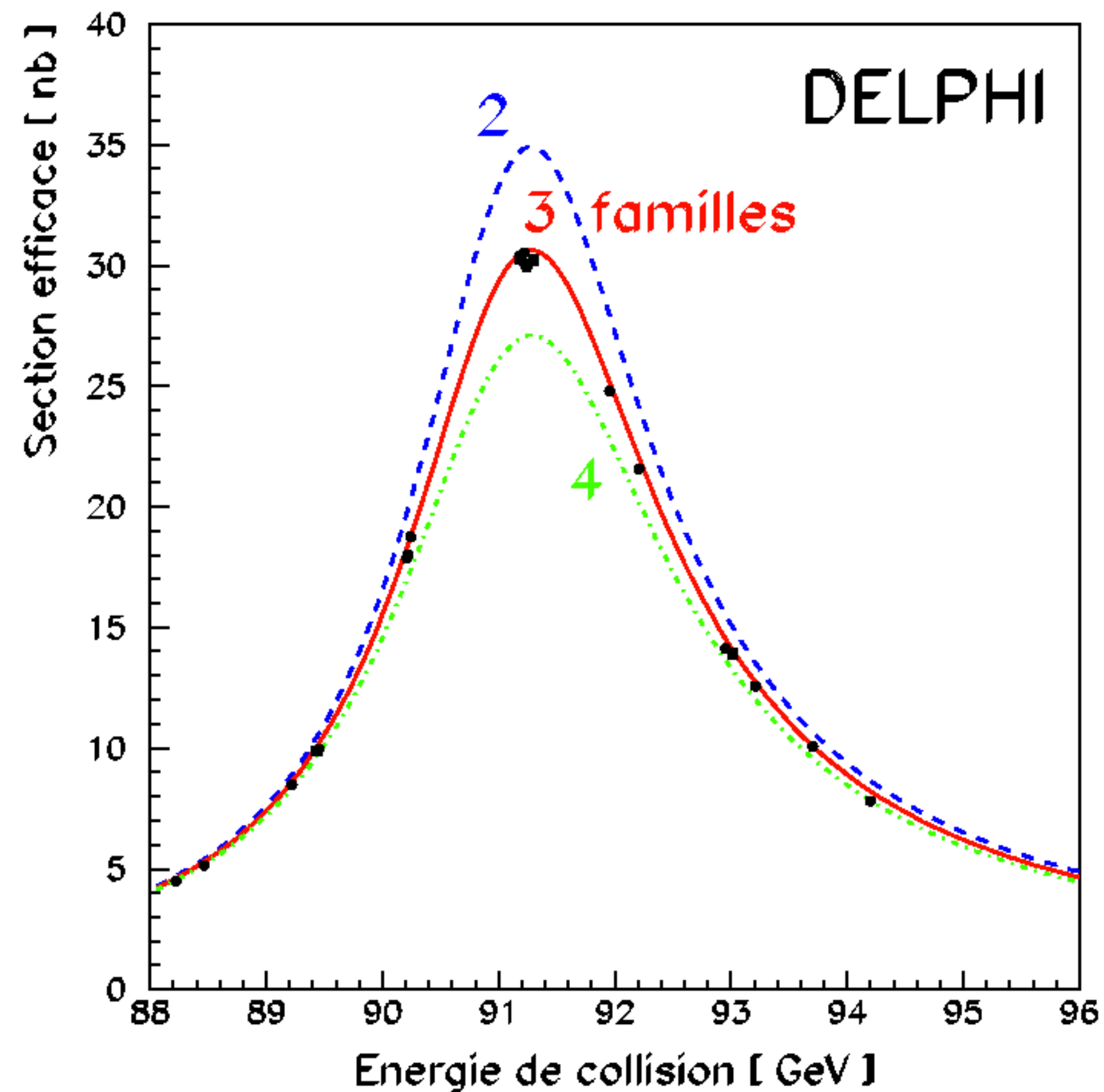
$$\nu_{\mu} + N \rightarrow \nu_{\mu} + \text{hadrons}$$

$$\bar{\nu}_{\mu} + N \rightarrow \bar{\nu}_{\mu} + \text{hadrons}$$

There is a Third Flavor

The tau neutrino

1989: LEP I experiments @ CERN determined the number of light neutrino species



$$N_\nu = \frac{\Gamma_{\text{inv}}}{\Gamma_Z} = 3.10 \pm 0.10$$

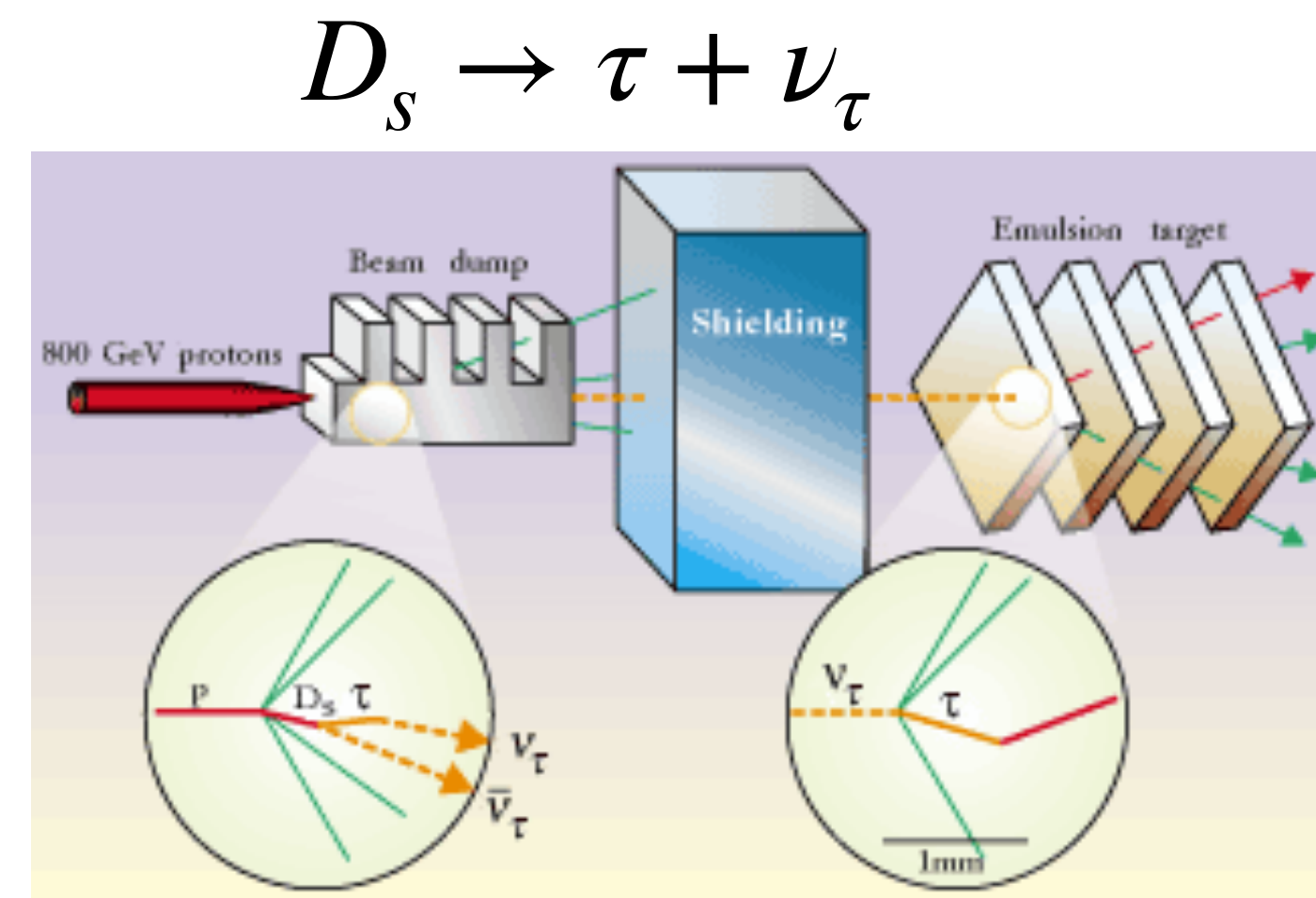
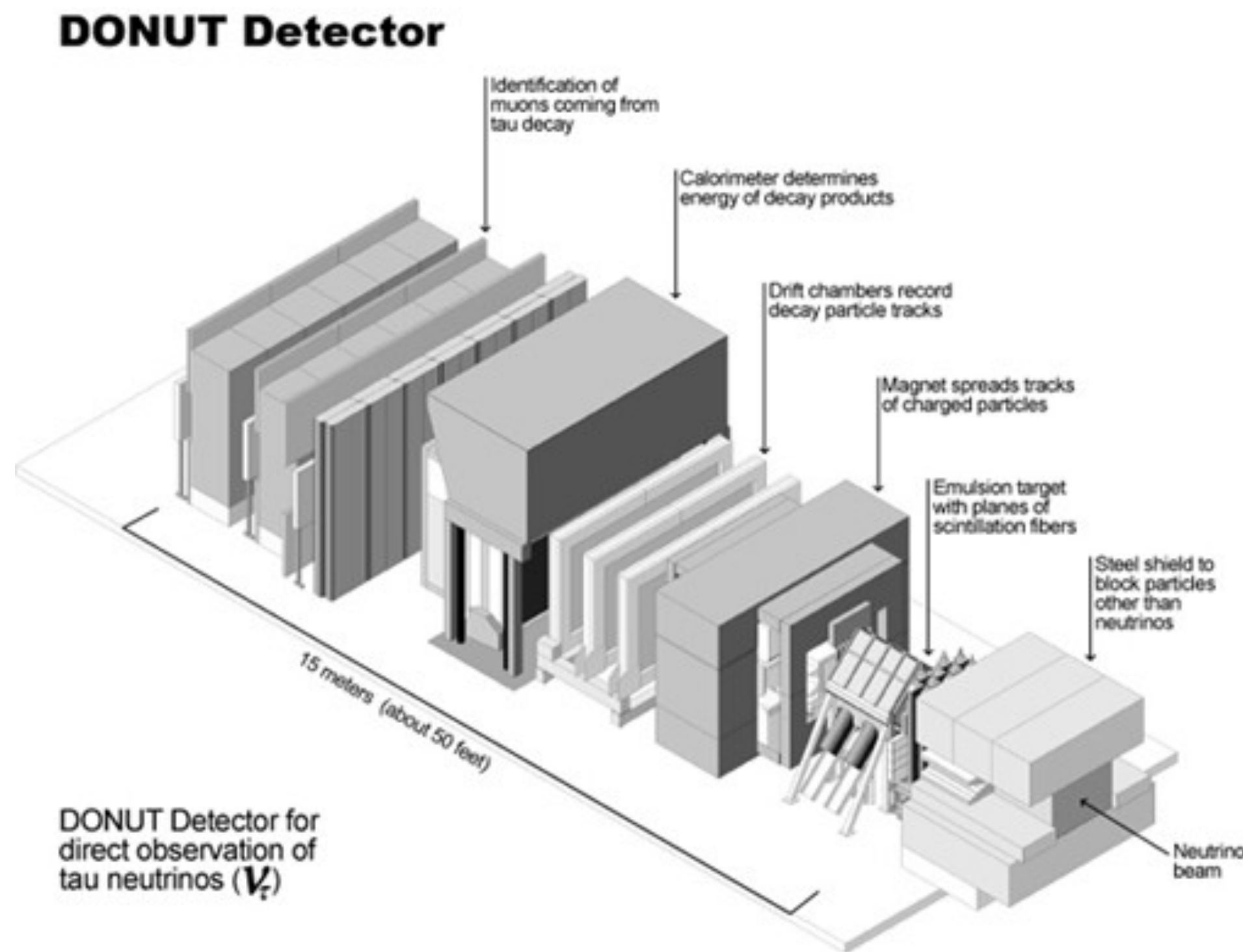
Today $N_\nu = 2.9963 \pm 0.0074$

Z⁰ invisible decay width

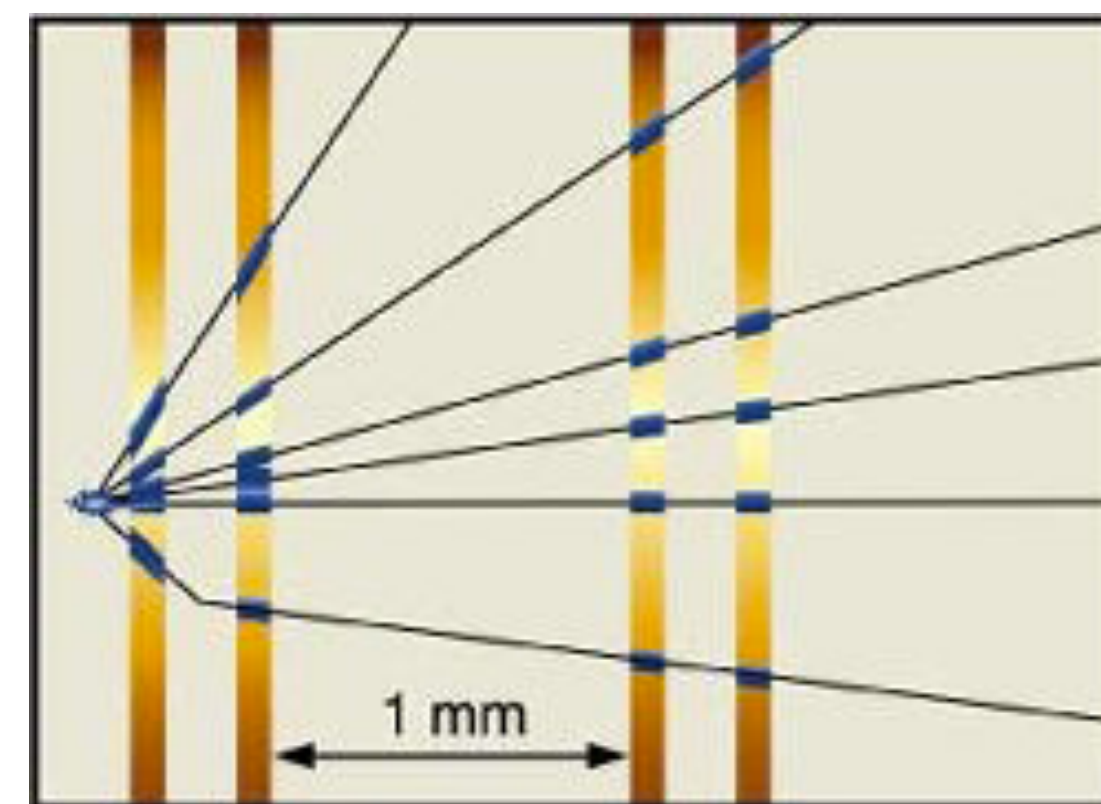
There is a Third Flavor

The tau neutrino

2000 : DONUT Collaboration @ Fermilab



$$\tau \rightarrow \nu_\tau + \pi$$



$$\nu_e \neq \nu_\mu \neq \nu_\tau$$

$$\nu_\tau + N \rightarrow \tau + X$$

neutrinos produced together with a tau give rise to taus

Neutrinos in the Standard Model

Symmetry Transformations

SM is based on the gauge symmetry group

$$\mathbf{SU(3)}_c \times \mathbf{SU(2)}_L \times \mathbf{U(1)}_Y \rightarrow \mathbf{SU(3)}_c \times \mathbf{U(1)}_{em}$$

$$I_{1L}, I_{2L}, I_{3L} \quad [I_{iL}, I_{jL}] = i\epsilon_{ij}I_{kL} \quad \text{generators of } \mathbf{SU(2)}_L \text{ (weak isospin)}$$

$$Y \quad \text{generator of } \mathbf{U(1)}_Y \text{ (hypercharge)}$$

$$Q_{em} = Y + I_{3L}$$

Left chiral fermion fields transform as weak isospin doublets

$$\begin{pmatrix} \nu_{eL} \\ e_L \end{pmatrix} \begin{array}{l} \longrightarrow Q_{em}(\nu_e) = 0 \quad I_{3L} = 1/2 \\ \longrightarrow Q_{em}(e) = -1 \quad I_{3L} = -1/2 \end{array} \quad (\mathbf{1, 2, -1/2})$$

Neutrinos in the Standard Model

Symmetry Transformations

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$$\mathbf{SU(3)}_c \times \mathbf{SU(2)}_L \times \mathbf{U(1)}_Y \rightarrow \mathbf{SU(3)} \times \mathbf{U(1)}_{em}$$

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$$Y \quad \text{generator of } \mathbf{U(1)}_Y \quad (\text{hypercharge})$$

$$Q_{em} = Y + I_{3L}$$

Right chiral fermion fields transform as weak isospin singlets

$$e_R \longrightarrow Q_{em}(e) = -1 \quad I_{3L} = 0 \quad (\mathbf{1}, \mathbf{1}, -1)$$

neutrino fields enter only in $\mathbf{SU(2)}_L$ doublets



Neutrinos in the Standard Model

3 generations of fermions

LEPTONS

$$\begin{pmatrix} \nu_{eL} \\ e_L \\ e_R \end{pmatrix}$$

$$\begin{pmatrix} \nu_{\mu L} \\ \mu_L \\ \mu_R \end{pmatrix}$$

$$\begin{pmatrix} \nu_{\tau L} \\ \tau_L \\ \tau_R \end{pmatrix}$$

$$= L_\alpha$$

$$(\mathbf{1}, \mathbf{2}, -\mathbf{1}/2)$$

$$= E_\alpha$$

$$(\mathbf{1}, \mathbf{1}, -\mathbf{1})$$

Neutrinos in the Standard Model

3 generations of fermions

LEPTONS

$$\begin{pmatrix} \nu_{eL} \\ e_L \\ e_R \end{pmatrix}$$

$$\begin{pmatrix} \nu_{\mu L} \\ \mu_L \\ \mu_R \end{pmatrix}$$

$$\begin{pmatrix} \nu_{\tau L} \\ \tau_L \\ \tau_R \end{pmatrix} = L_\alpha$$

$(1, 2, -1/2)$

$$= E_\alpha$$

$(1, 1, -1)$

QUARKS

$$\begin{pmatrix} u_L^i \\ d_L^i \\ u_R^i \\ d_R^i \end{pmatrix}$$

$$\begin{pmatrix} c_L^i \\ s_L^i \\ c_R^i \\ s_R^i \end{pmatrix}$$

$$\begin{pmatrix} t_L^i \\ b_L^i \\ t_R^i \\ b_R^i \end{pmatrix} = Q_\alpha^i$$

$(3, 2, 1/6)$

$$= U_\alpha^i$$

$(3, 1, 2/3)$

$$= D_\alpha^i$$

$(3, 1, -1/3)$

Neutrinos in the Standard Model

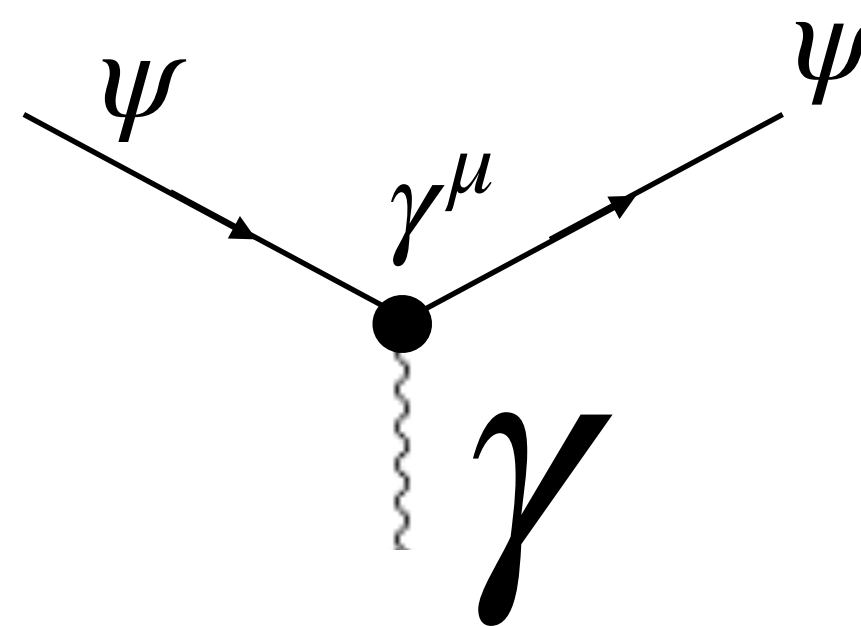
Gauge Interactions

In analogy to Quantum Electrodynamics (QED) - invariant under $U(1)_{em}$ gauge symmetry

$$\partial_\mu \rightarrow D_\mu = \partial_\mu - iqA_\mu$$

gauge field associated with $U(1)_{em}$

gauge coupling associated with $U(1)_{em}$



Neutrinos in the Standard Model

Gauge Interactions

In analogy to Quantum Electrodynamics (QED) - invariant under $U(1)_{em}$ gauge symmetry

$$\partial_\mu \rightarrow D_\mu = \partial_\mu - iqA_\mu$$

gauge field associated with $U(1)_{em}$

gauge coupling associated with $U(1)_{em}$

$$D_\mu = \partial_\mu - ig\vec{I}_L \cdot \vec{W}_\mu - ig'\frac{Y}{2}B_\mu$$

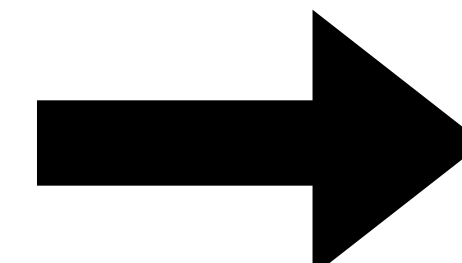
gauge fields associated with $SU(2)_L$

gauge field associated with $U(1)_Y$

gauge coupling associated with $SU(2)_L$

gauge coupling associated with $U(1)_Y$

Gauge Symmetry



Massless Fields

Neutrinos in the Standard Model

Higgs Mechanism

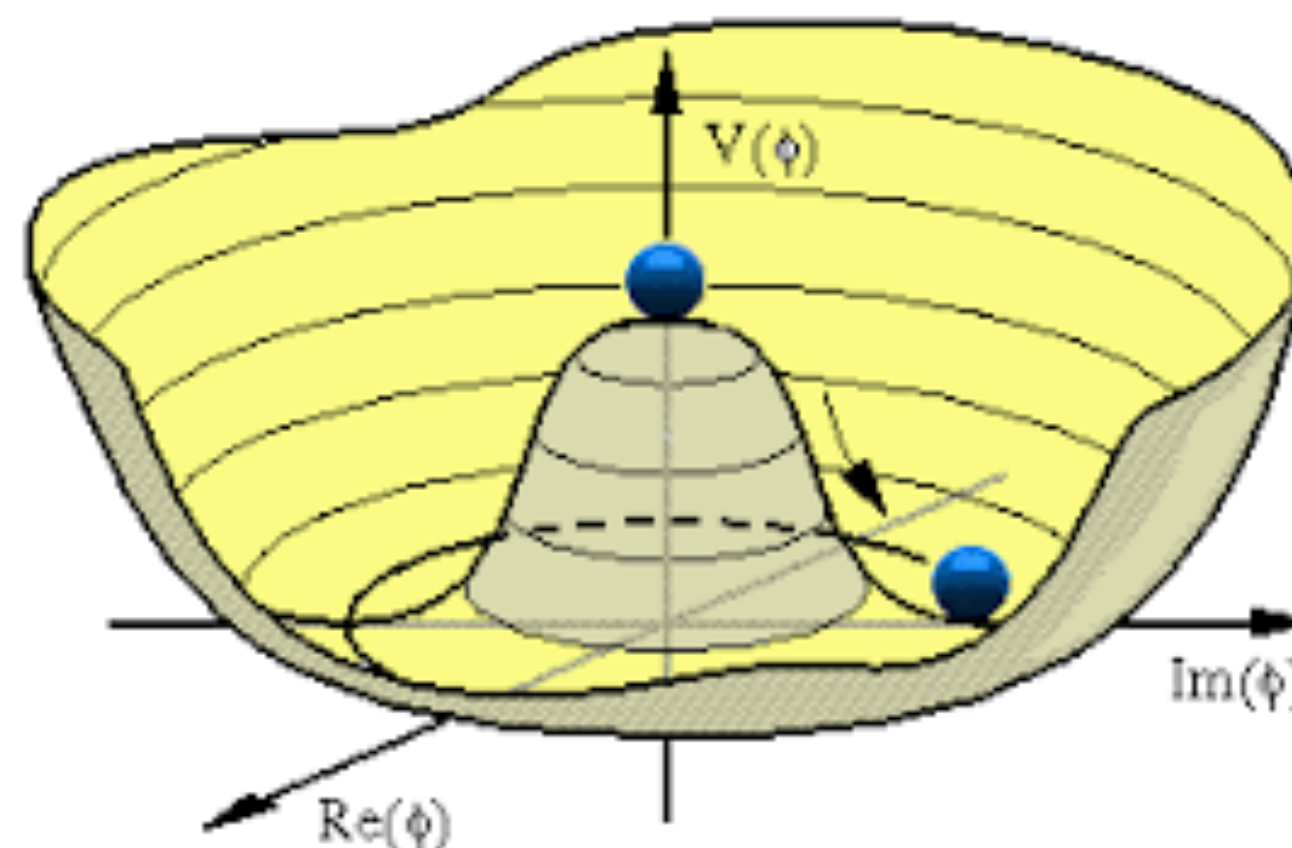
Introduce a $SU(2)_L$ of complex scalar fields

$$\phi = \begin{pmatrix} \phi^+ \\ \phi_0 \end{pmatrix} \xrightarrow{\text{EWSB}} \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H \end{pmatrix}$$

$(\mathbf{1}, \mathbf{2}, \mathbf{1}/2)$

Higgs boson
vacuum expectation value (vev)

To give mass to gauge fields through spontaneous electroweak symmetry breaking (EWSB) — Higgs mechanism



Neutrinos in the Standard Model

Electroweak Gauge Bosons

Introduce a $SU(2)_L$ of complex scalar fields

$$\phi = \begin{pmatrix} \phi^+ \\ \phi_0 \end{pmatrix} \xrightarrow{\text{EWSB}} \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H \end{pmatrix}$$

Higgs boson
vacuum expectation value (vev)

To give mass to gauge fields through spontaneous electroweak symmetry breaking (EWSB) — Higgs mechanism

The gauge bosons become linear combinations of the gauge fields

- charged W^\pm (CC, i.e. charged current interactions)
- neutral Z (NC, i.e. neutral current interactions)
- photon (EM, i.e. electromagnetic interactions)

$$m_W = \frac{v}{2}g$$
$$m_Z = \frac{v}{2}\sqrt{g^2 + g'^2}$$

remain massless

Neutrinos in the Standard Model

Fermion Masses

$$m \bar{\psi} \psi = m \bar{\psi} (P_L + P_R) \psi = m \bar{\psi}_R \psi_L + \text{h.c.}$$

chirality flipping term (needs both L & R)

This is not gauge invariant!

Neutrinos in the Standard Model

Fermion Masses

$$m \bar{\psi} \psi = m \bar{\psi} (P_L + P_R) \psi = m \bar{\psi}_R \psi_L + \text{h.c.} \quad \text{chirality flipping term (needs both L \& R)}$$

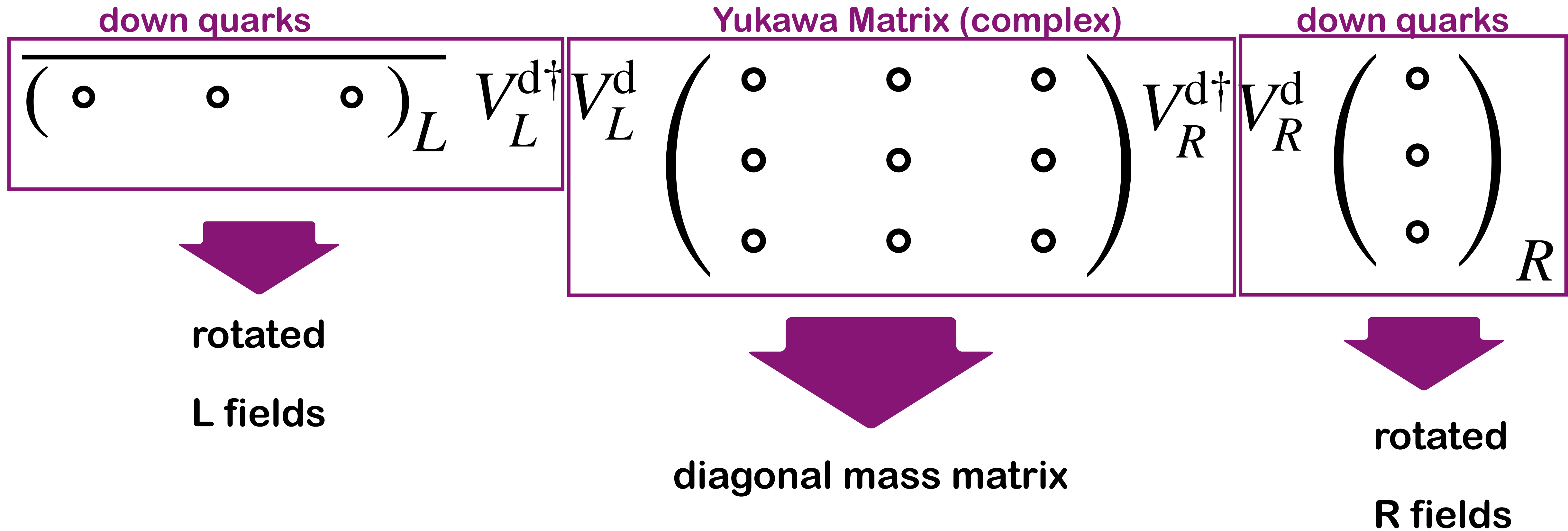
This is not gauge invariant!

Fortunately the ϕ can also fix this via Yukawa couplings to fermions

$$-\mathcal{L}_Y = Y_{\alpha\beta}^{\ell} \bar{L}_{\alpha} E_{\beta} \phi + Y_{\alpha\beta}^d \bar{Q}_{\alpha} D_{\beta} \phi + Y_{\alpha\beta}^u \bar{Q}_{\alpha} U_{\beta} \tilde{\phi} + \text{h.c.} \quad \text{This is gauge invariant!}$$

Masses & Mixing in the SM

Biunitary Transformation



and similar to up quarks

Neutrinos in the Standard Model

Fermion Masses

$$m \bar{\psi} \psi = m \bar{\psi} (P_L + P_R) \psi = m \bar{\psi}_R \psi_L + \text{h.c.} \quad \text{chirality flipping term (needs both L \& R)}$$

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$$\begin{array}{ccc} \tilde{\phi} = i\sigma_2 \phi^* & & \\ \phi = \begin{pmatrix} \phi^+ \\ \phi_0 \end{pmatrix} & \xrightarrow{\text{EWSB}} & \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H \end{pmatrix} \end{array}$$

$$m_u \bar{u}_L u_R + m_d \bar{d}_L d_R + m_c \bar{c}_L c_R + \dots + \text{h.c.}$$

$$m_{U\alpha} = \frac{v}{\sqrt{2}} y_{\alpha\alpha}^U \quad m_{D\alpha} = \frac{v}{\sqrt{2}} y_{\alpha\alpha}^D$$

after diagonalization of $Y^{u,d}$

QUARK MASSES

$$V_{CKM} = V_L^{u\dagger} V_L^d \quad \text{will impact CC interactions}$$

Neutrinos in the Standard Model

Fermion Masses

$$m \bar{\psi} \psi = m \bar{\psi} (P_L + P_R) \psi = m \bar{\psi}_R \psi_L + \text{h.c.} \quad \text{chirality flipping term (needs both L \& R)}$$

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Fortunately the ϕ can also fix this via Yukawa couplings to fermions

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$$\begin{array}{l} \tilde{\phi} = i\sigma_2 \phi^* \\ \phi = \begin{pmatrix} \phi^+ \\ \phi_0 \end{pmatrix} \end{array} \xrightarrow{\text{EWSB}} \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H \end{pmatrix}$$

$$m_e \bar{e}_L e_R + m_{\mu} \bar{\mu}_L \mu_R + m_{\tau} \bar{\tau}_L \tau_R + \text{h.c.}$$

$$m_{\alpha} = \frac{v}{\sqrt{2}} y_{\alpha\alpha}^{\ell} \quad \text{after diagonalization of } Y^{\ell}$$

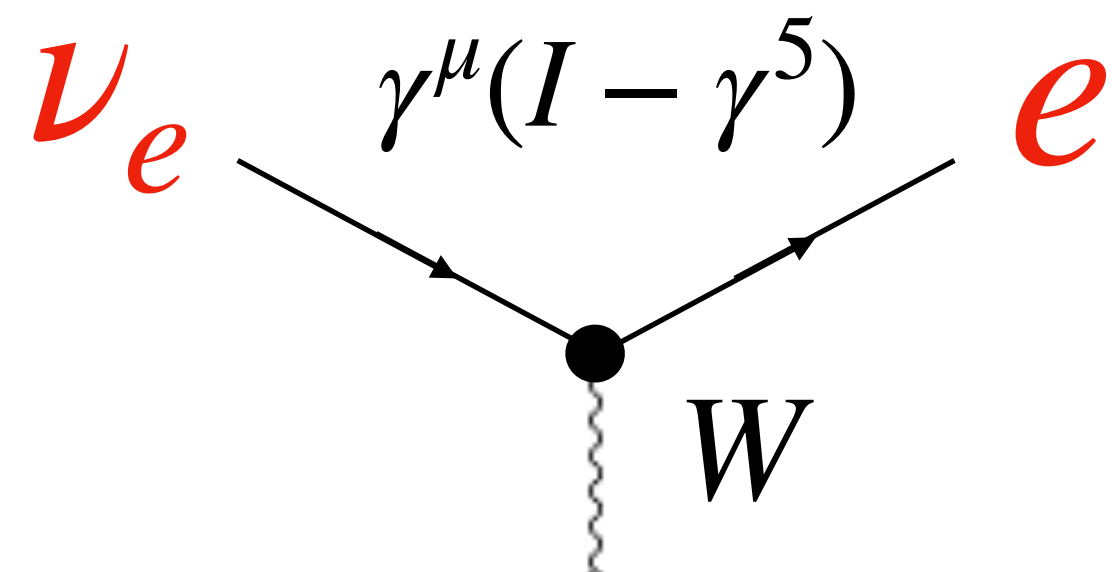
CHARGED LEPTON MASSES

Neutrinos do not have mass (no R component)

Neutrinos in the Standard Model

Neutrino Interactions with Gauge Bosons

Charged Current Interaction (CC)

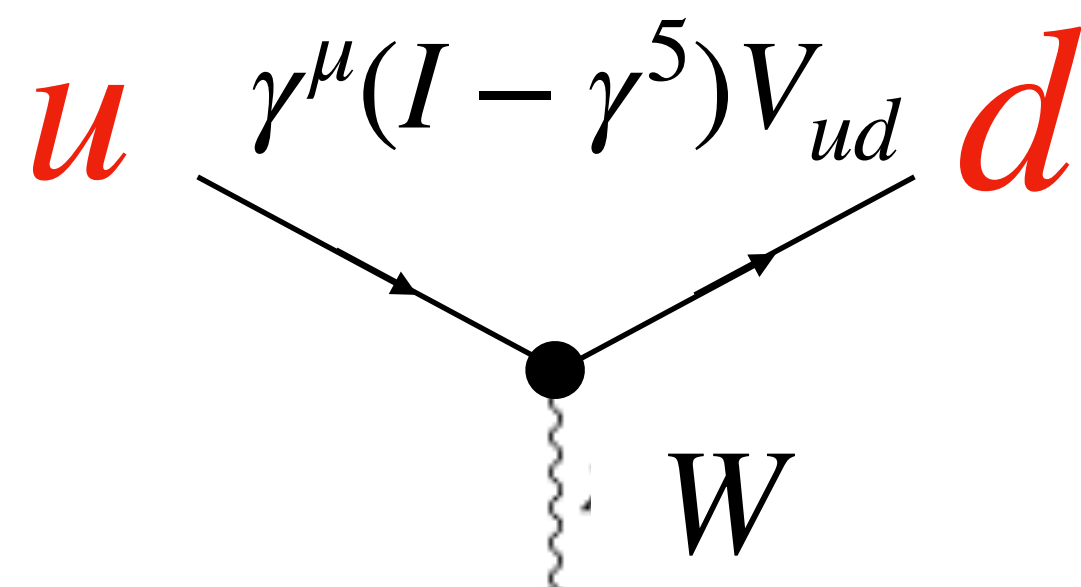


Flips up \longleftrightarrow down components of the L doublets

No mixing (massless neutrinos!)

mass basis = interaction basis

This will change with mass!



Flips up \longleftrightarrow down components of the L doublets

Via CKM mixing matrix

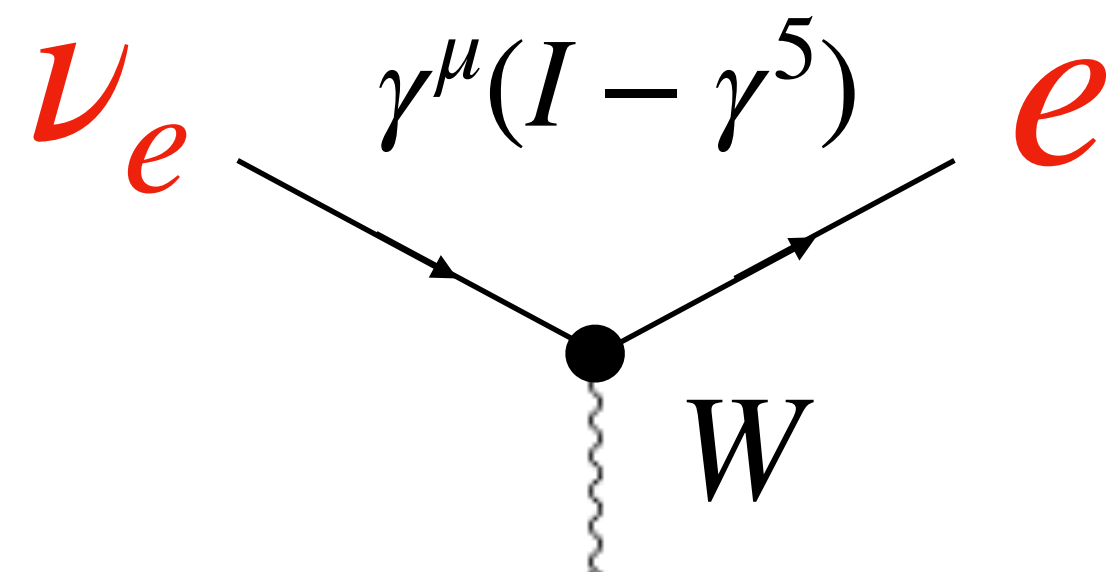
in the mass basis

mass basis \neq interaction basis

Neutrinos in the Standard Model

Neutrino Interactions with Gauge Bosons

Charged Current Interaction (CC)



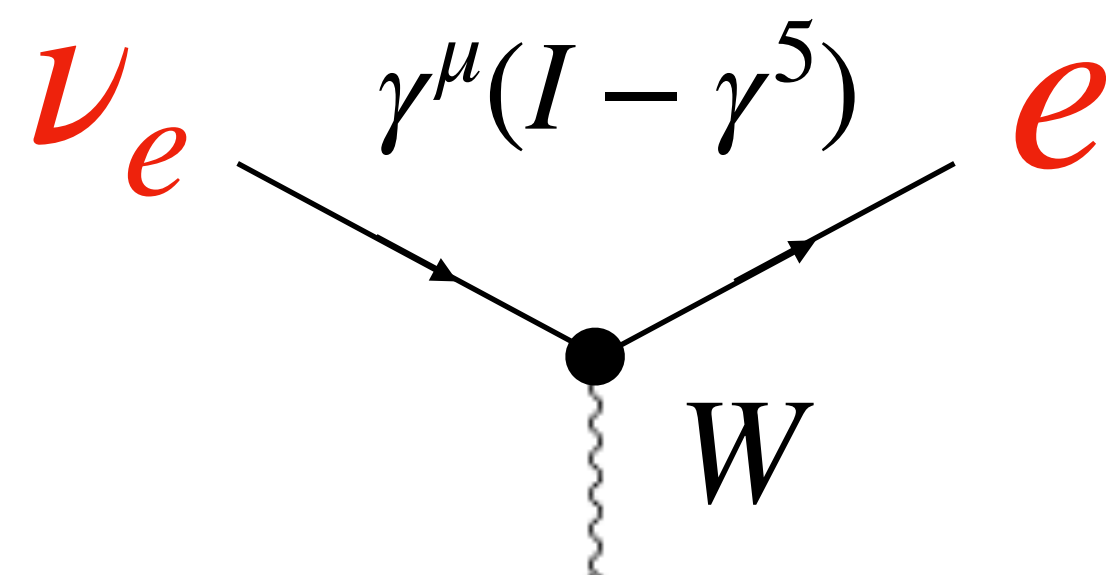
Flavor diagonal in the
interaction basis

allows the concept of neutrino flavor = flavor of the charged lepton connected to the same CC vertex

Neutrinos in the Standard Model

Neutrino Interactions with Gauge Bosons

Charged Current Interaction (CC)



Flavor diagonal in the interaction basis

$$L_\alpha \rightarrow e^{i\theta_\alpha} L_\alpha \quad E_\alpha \rightarrow e^{i\theta_\alpha} E_\alpha$$

3 accidental global $U(1)_{L_\alpha}$ symmetries of the SM

particles (antiparticles)	L_e	L_μ	L_τ
e^-, ν_e ($e^+, \bar{\nu}_e$)	-1 (+1)	0	0
μ^-, ν_μ ($\mu^+, \bar{\nu}_\mu$)	0	-1 (+1)	0
τ^-, ν_τ ($\tau^+, \bar{\nu}_\tau$)	0	0	-1 (+1)

$$L \equiv L_e + L_\mu + L_\tau$$

Total Lepton Number

define neutrino (antineutrino) according to the convention above (conserve Family Lepton Number)

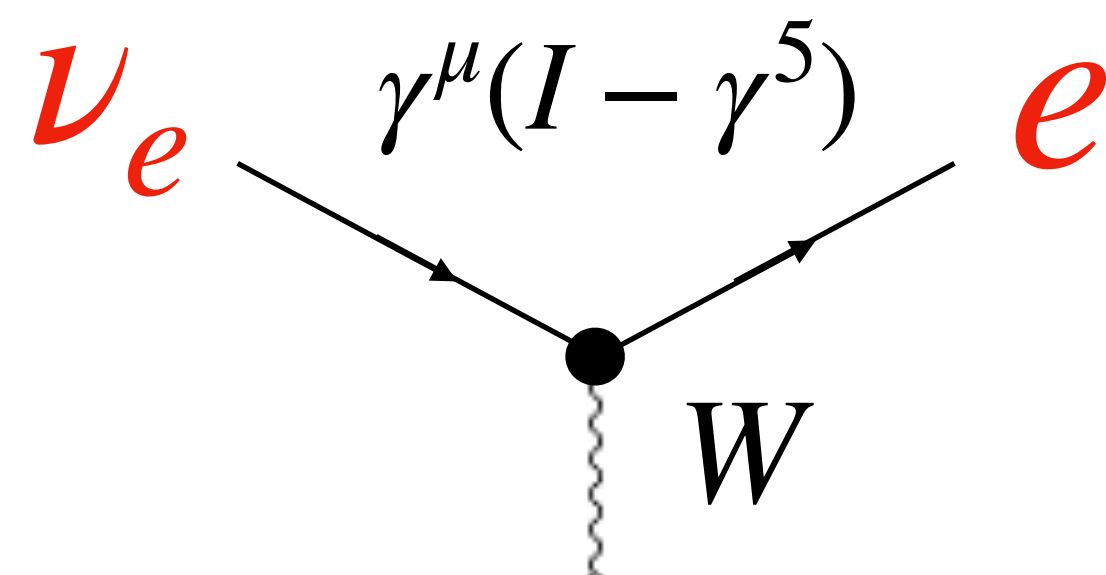
Neutrinos in the Standard Model

Neutrino Interactions with Gauge Bosons

$$L_\alpha \rightarrow e^{i\theta_\alpha} L_\alpha \quad E_\alpha \rightarrow e^{i\theta_\alpha} E_\alpha$$

3 accidental global $U(1)_{L_\alpha}$ symmetries of the SM

Charged Current Interaction (CC)



Flavor diagonal in the interaction basis

particles (antiparticles)	L_e	L_μ	L_τ
e^-, ν_e ($e^+, \bar{\nu}_e$)	-1 (+1)	0	0
μ^-, ν_μ ($\mu^+, \bar{\nu}_\mu$)	0	-1 (+1)	0
τ^-, ν_τ ($\tau^+, \bar{\nu}_\tau$)	0	0	-1 (+1)

$$L \equiv L_e + L_\mu + L_\tau$$

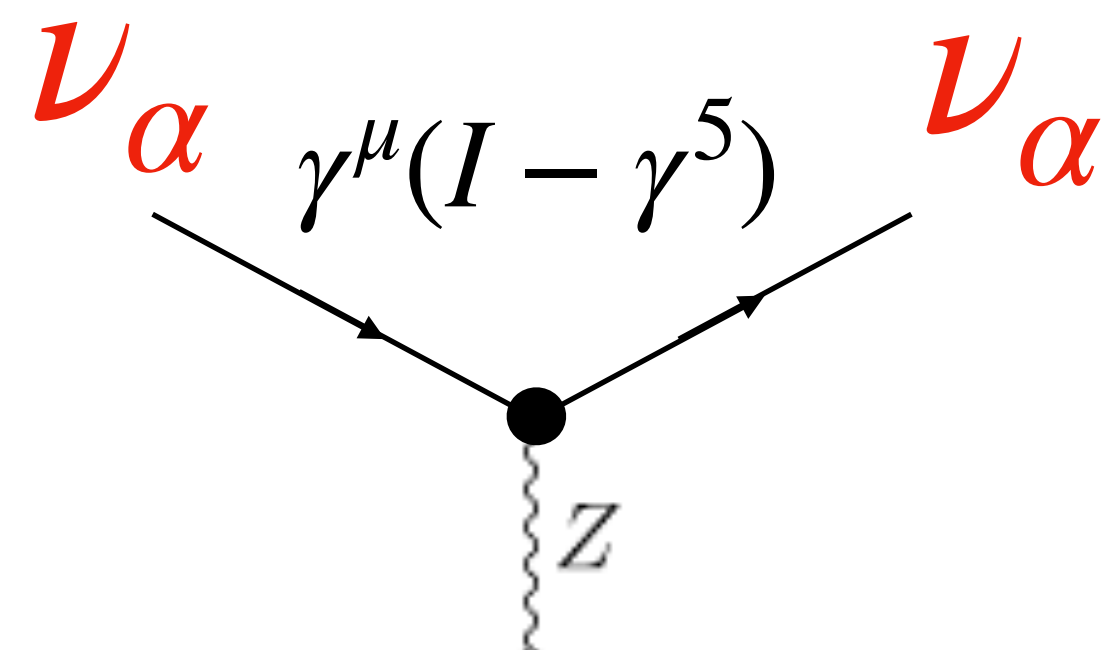
Total Lepton Number

These accidental symmetries prevents neutrinos from acquiring mass via loop corrections ...

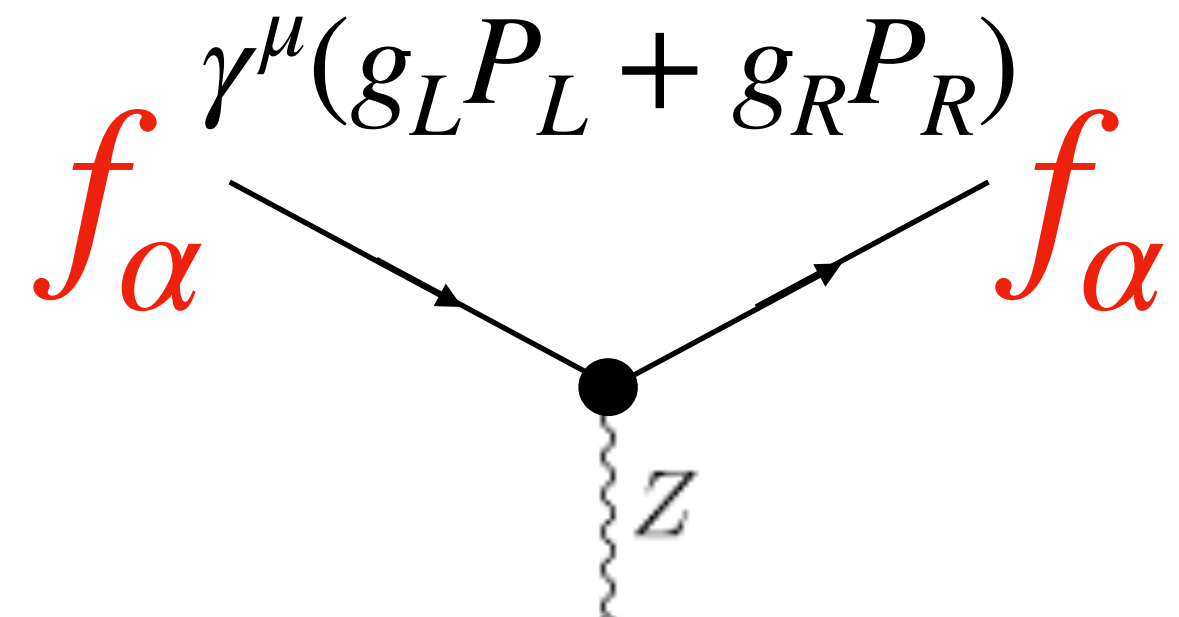
Neutrinos in the Standard Model

Neutrino Interactions with Gauge Bosons

Neutral Current (NC)



Couples only to L component



Couples only to both L & R components

$$g_L = I_{3L} - Q \sin^2 \theta_W$$
$$g_R = -Q \sin^2 \theta_W$$

No flavor changing neutral currents

only neutrinos/antineutrinos of left chirality participate of CC or NC interactions
This will not change with mass!