

# QCD at the LHC

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Many thanks to Guenther Dissertori, Rikkert Frederix, Fabio Maltoni, Paolo Nason, Gavin Salam, Maria Ubiali, and many others, from whose talks/lectures I have drawn inspiration, as well as extracted many slides

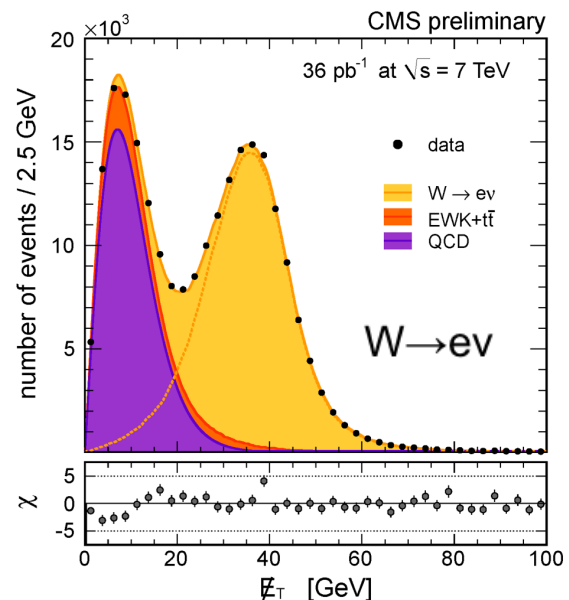
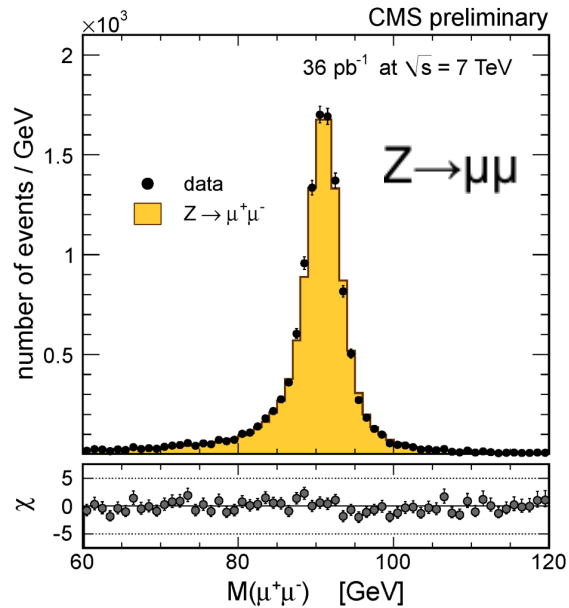
- ▶ Show some LHC experimental results (many of them possibly already outdated by now) and their (mostly successful) comparison with theory
- ▶ Briefly discuss the theoretical results, advances, tools that have allowed such good comparisons

*By no means a set of systematic lectures.  
You'll mainly see **what** exists, rather than how and why*

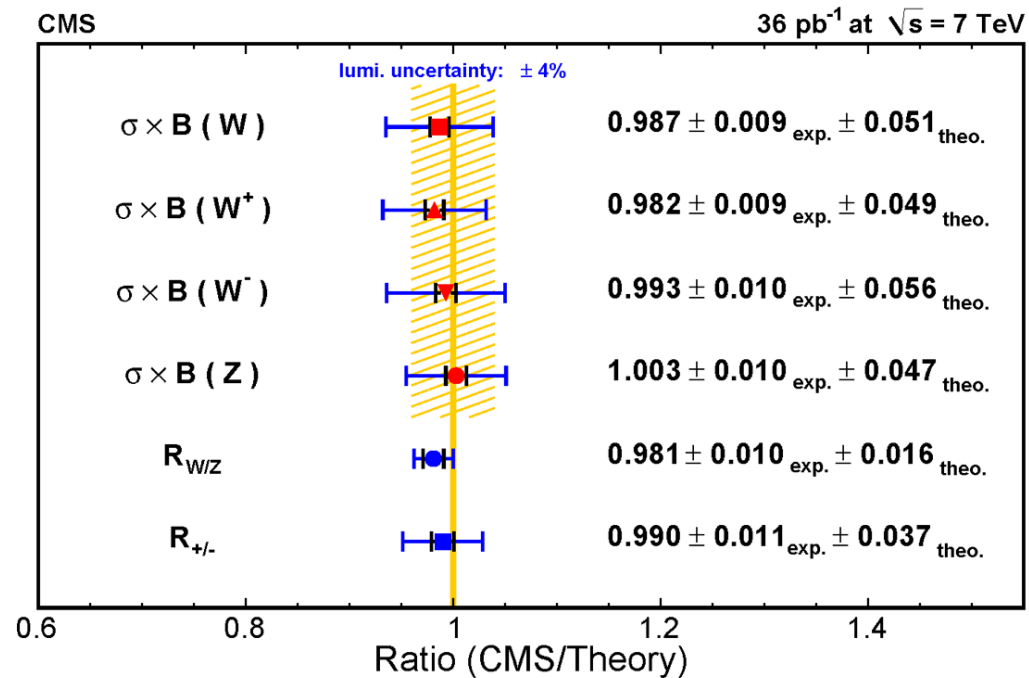


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## Inclusive W and Z production



- Z important tool : data-driven methods for controlling lepton eff, scale, resolution,  $E_{T\text{miss}}$  (hadronic recoil).
- In general excellent data-MC agreement



Amazing precision reached ( ~1% experimental ! )  
Start to put important constraints on theory (NNLO, PDFs)

CMS arXiv:1107.4789

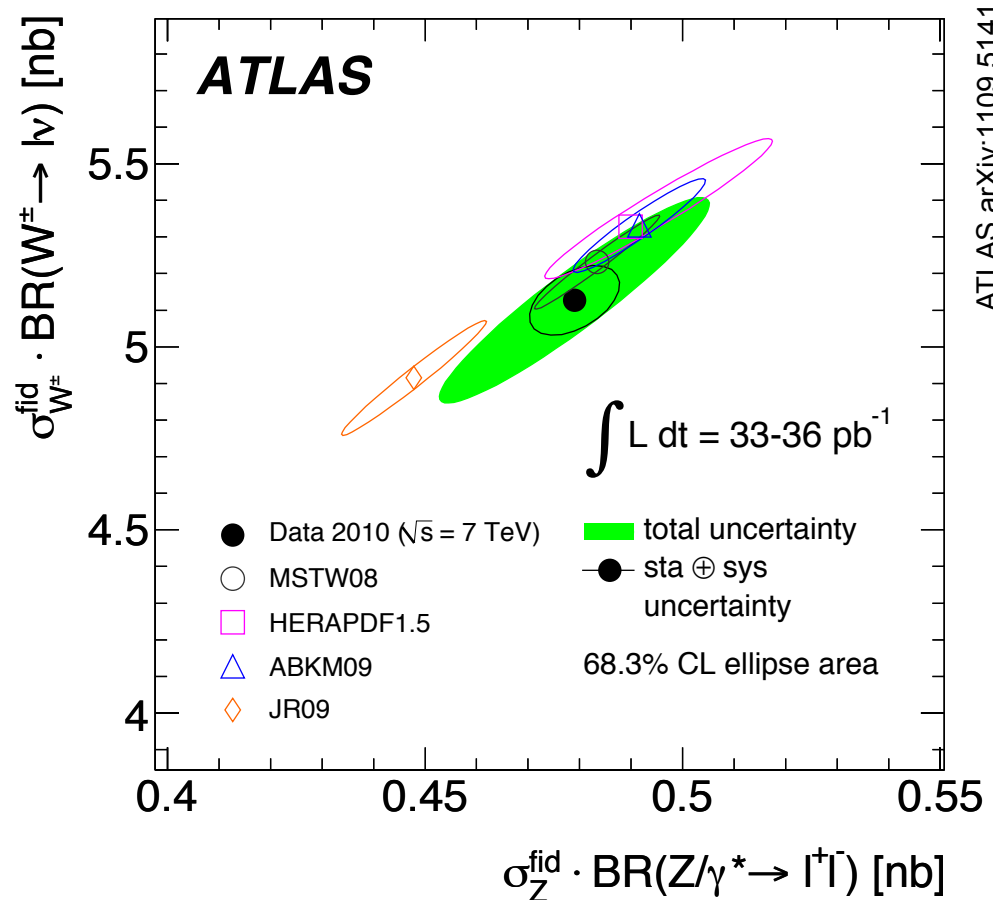


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## Inclusive W and Z production



- Since differential NNLO predictions available, also possible to compare measurements within exp. acceptance only, ie. no extrapolations!



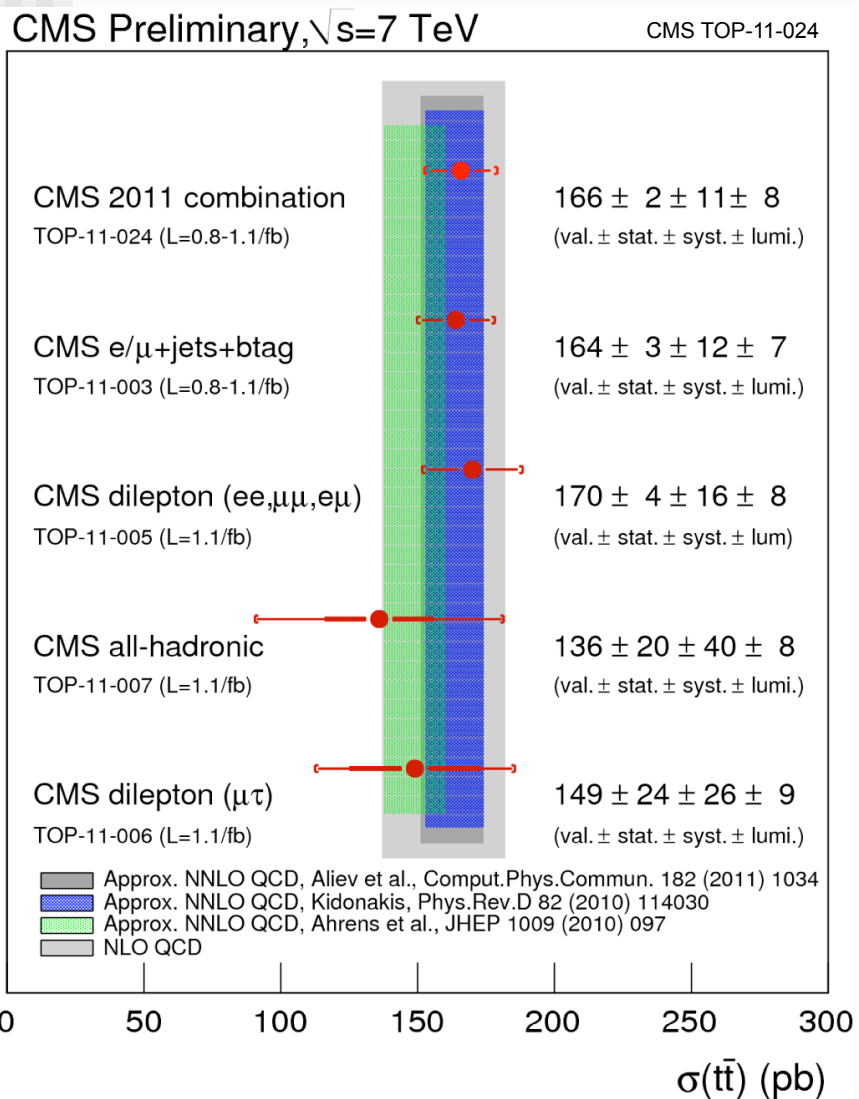
Sensitivity to PDFs





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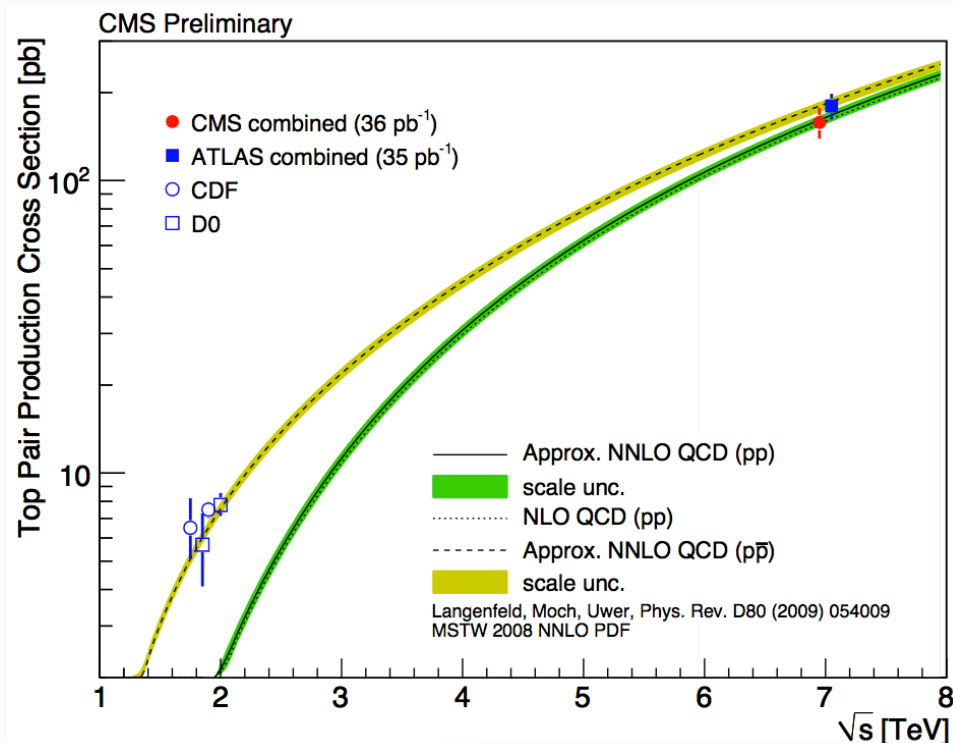
## Top cross section



also tau channels included by now!

Similar results by ATLAS.

Excellent agreement with theoretical calculations so far...



### Other measurements

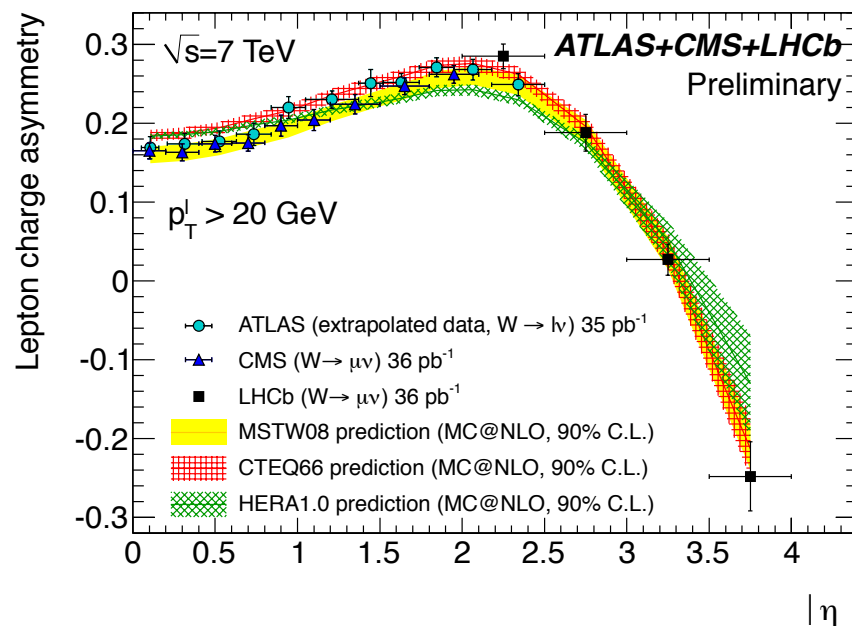
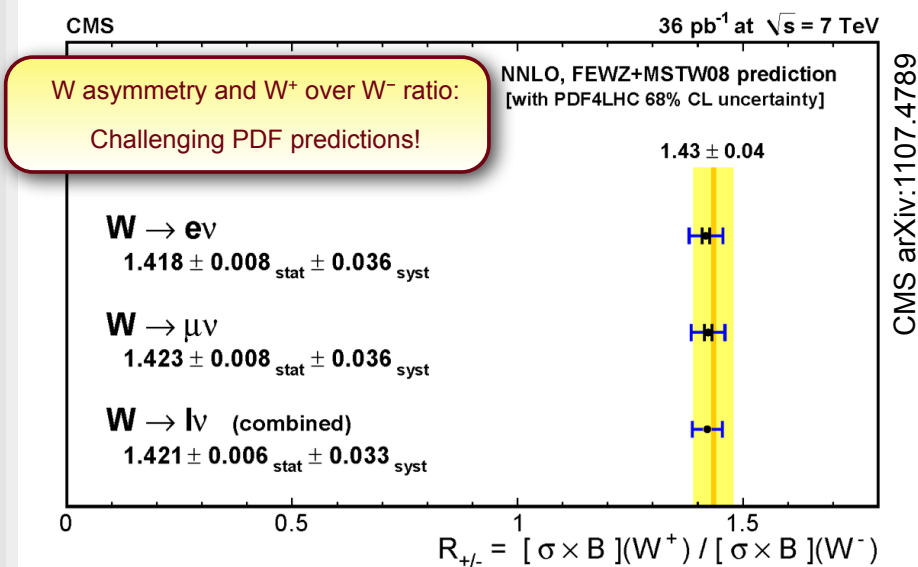
- Top Charge asymmetry at ppbar collider
- Top-AntiTop ratio
- single top production

LHC did in 1 year what the Tevatron did in 10. Theory keeping up...

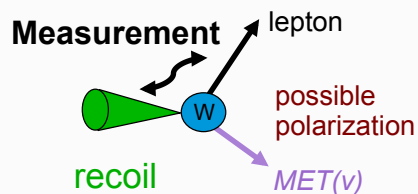


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## W properties, constraining PDFs

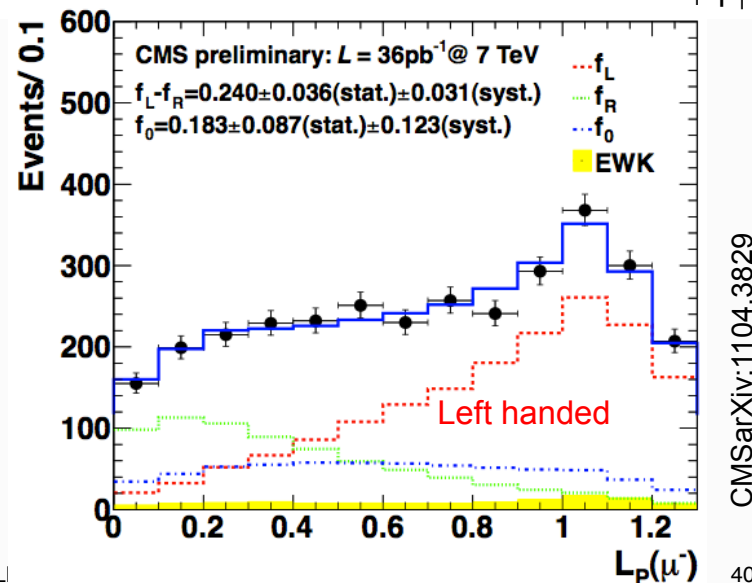


Measurement of W polarization:  
both W<sup>+</sup> and W<sup>-</sup> preferred left-handed



$$LP = \frac{\vec{p}_T(\ell) \cdot \vec{p}_T(W)}{|\vec{p}_T(W)|^2}$$

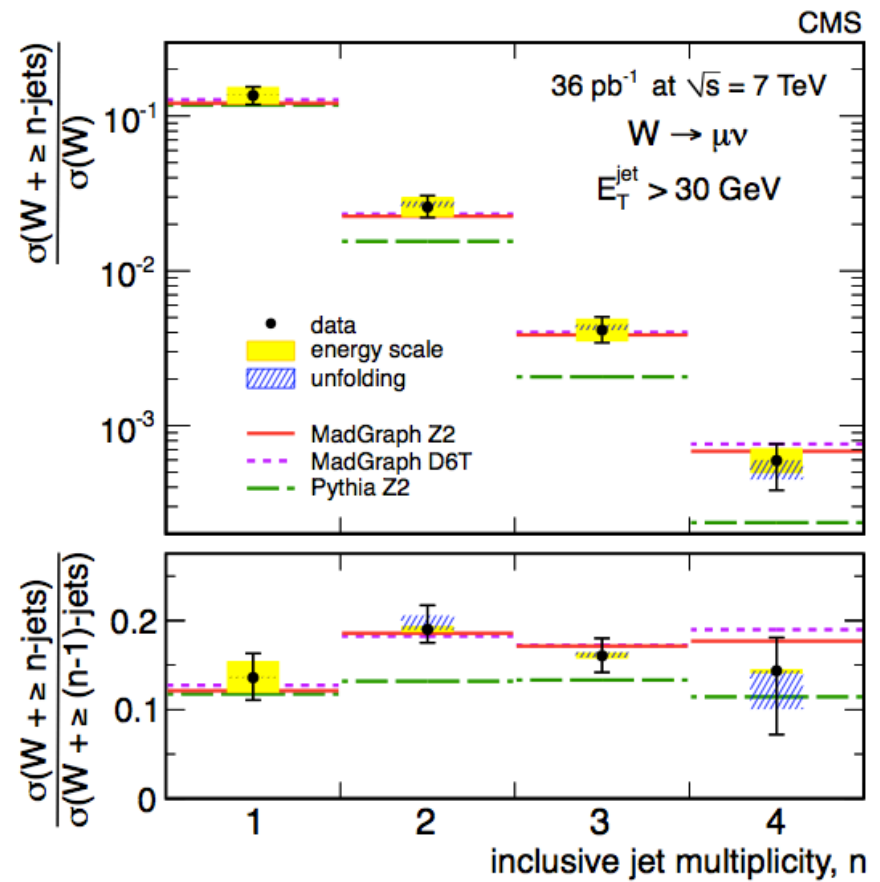
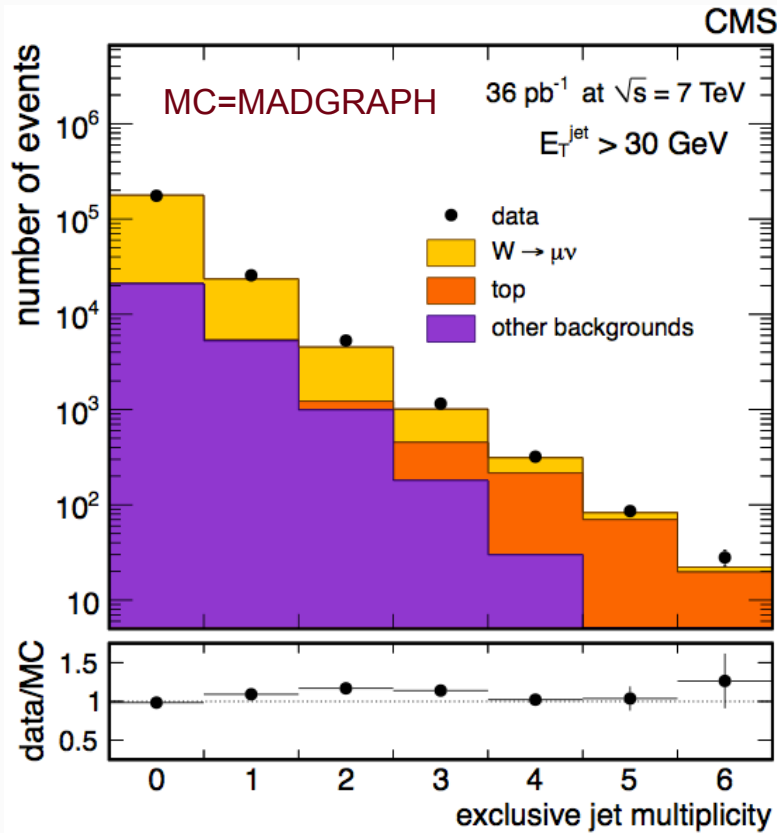
$$p_T(W) > 50 \text{ GeV}$$





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## W+jets



- **simultaneous** extraction of W signal and top background
- final distributions: **unfolded to particle level**
- presented for experimental lepton and jet acceptance, eg.  $p_{T\text{jet}} > 30$  GeV

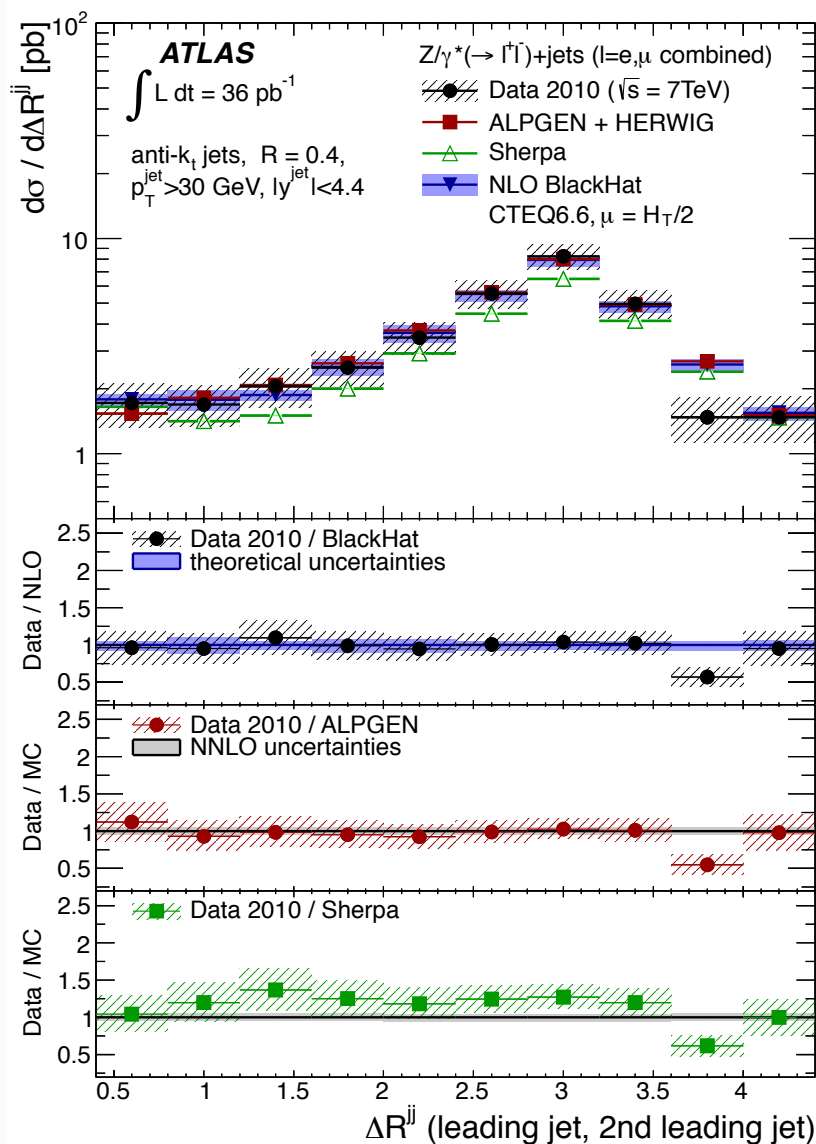
An additional jets “costs” ~1  $\alpha_s$   
 Excellent agreement with ME+PS matched Monte Carlo model.

**Great predictivity up to large multiplicities**

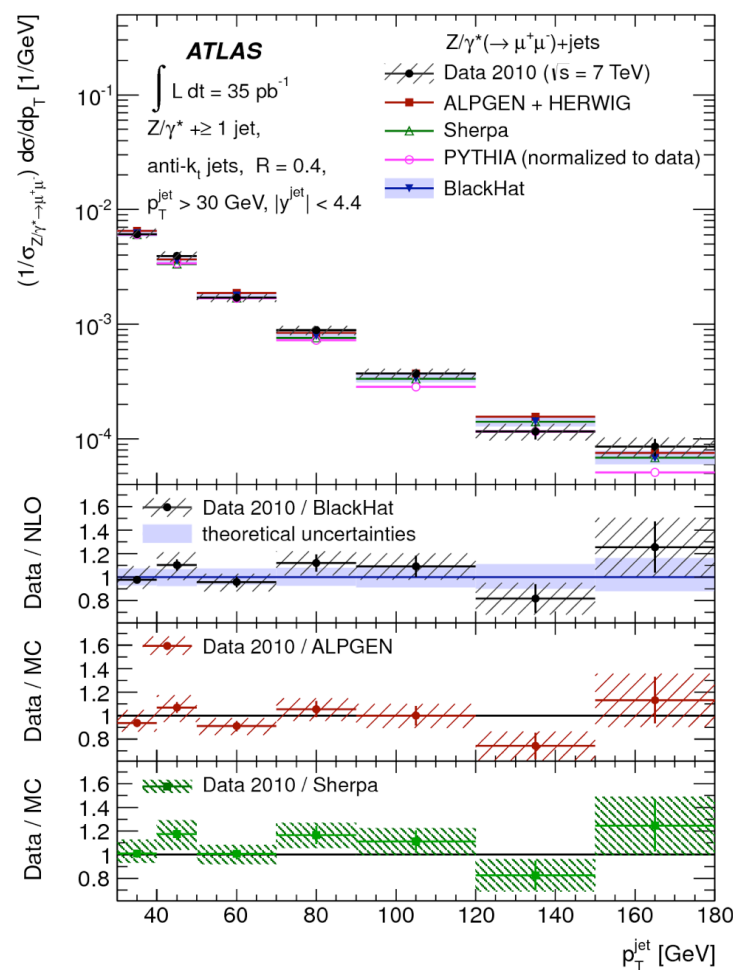


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## Z+jets: more differential



ATLAS arXiv:1111.2690

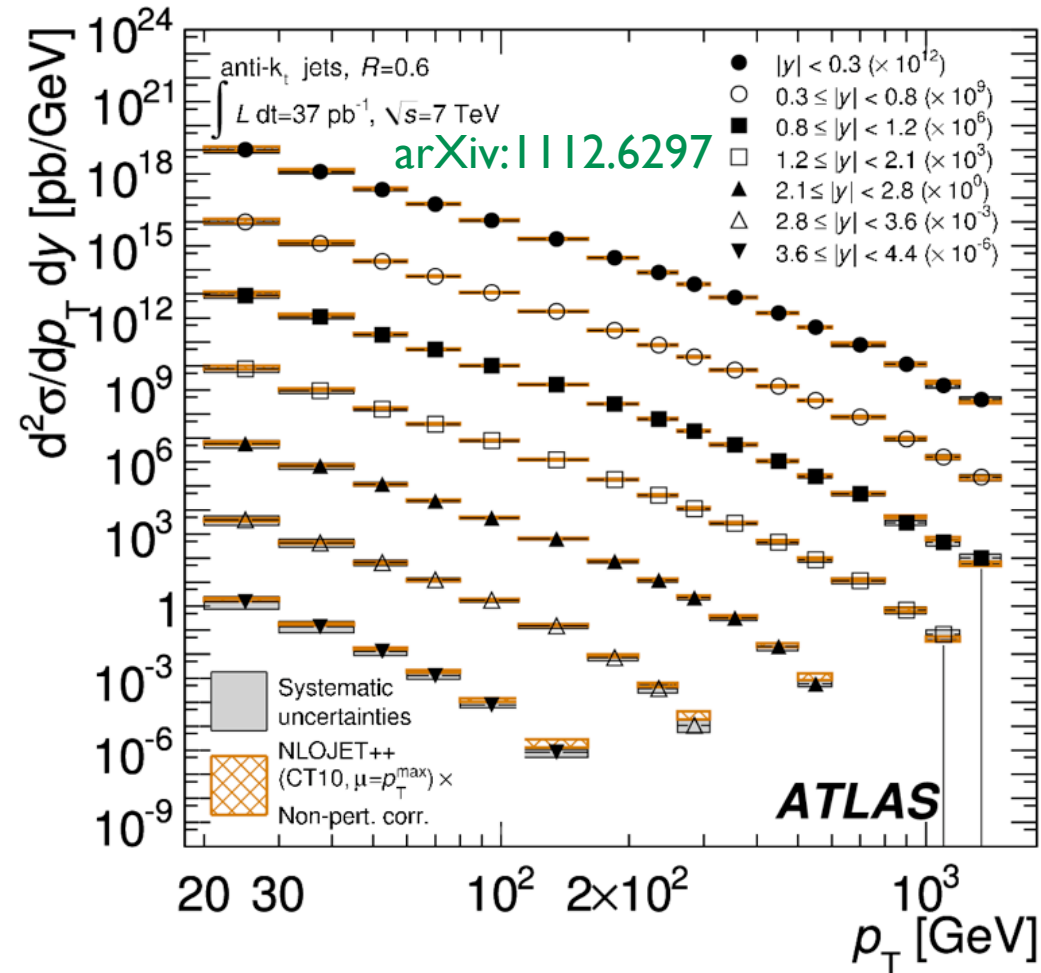
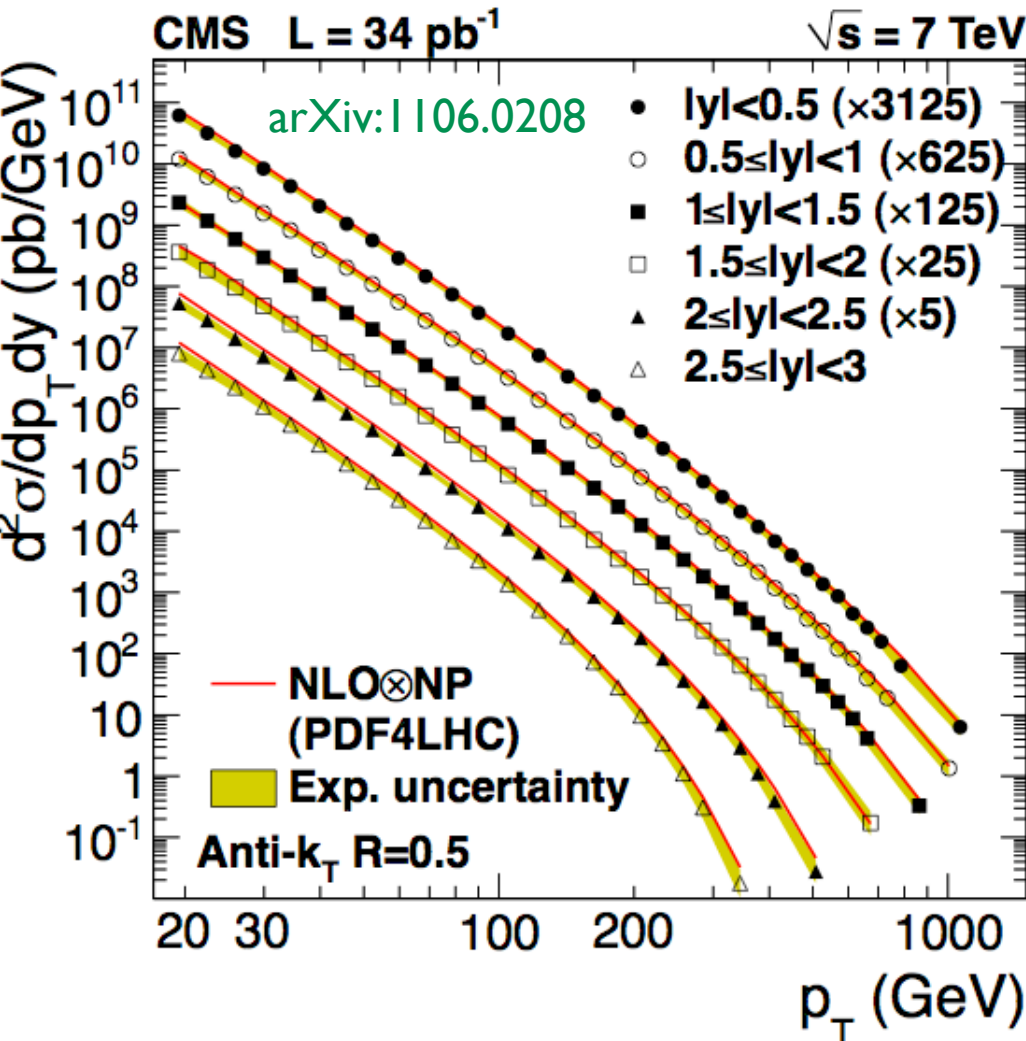


Again: a success for ME+PS matched Monte Carlo models and NLO calc. !

# LHC physics results

## Jet inclusive cross section

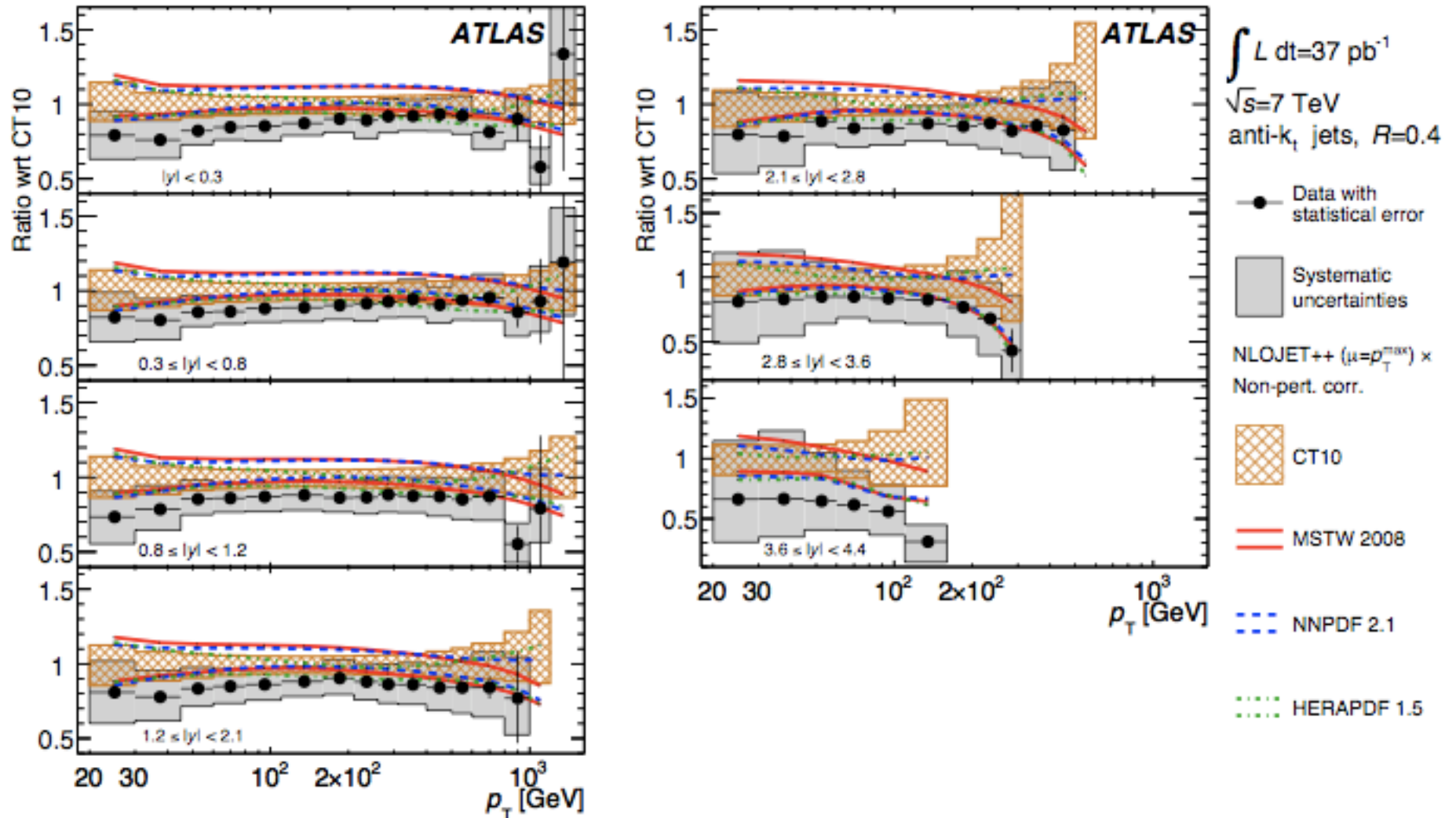
Jets extensively measured in hadronic collisions.  
One of the most basic observables.



Very good agreement with pQCD predictions over 10 orders of magnitude



## Jet inclusive cross section Ratio to theory, **sensitivity to PDFs**

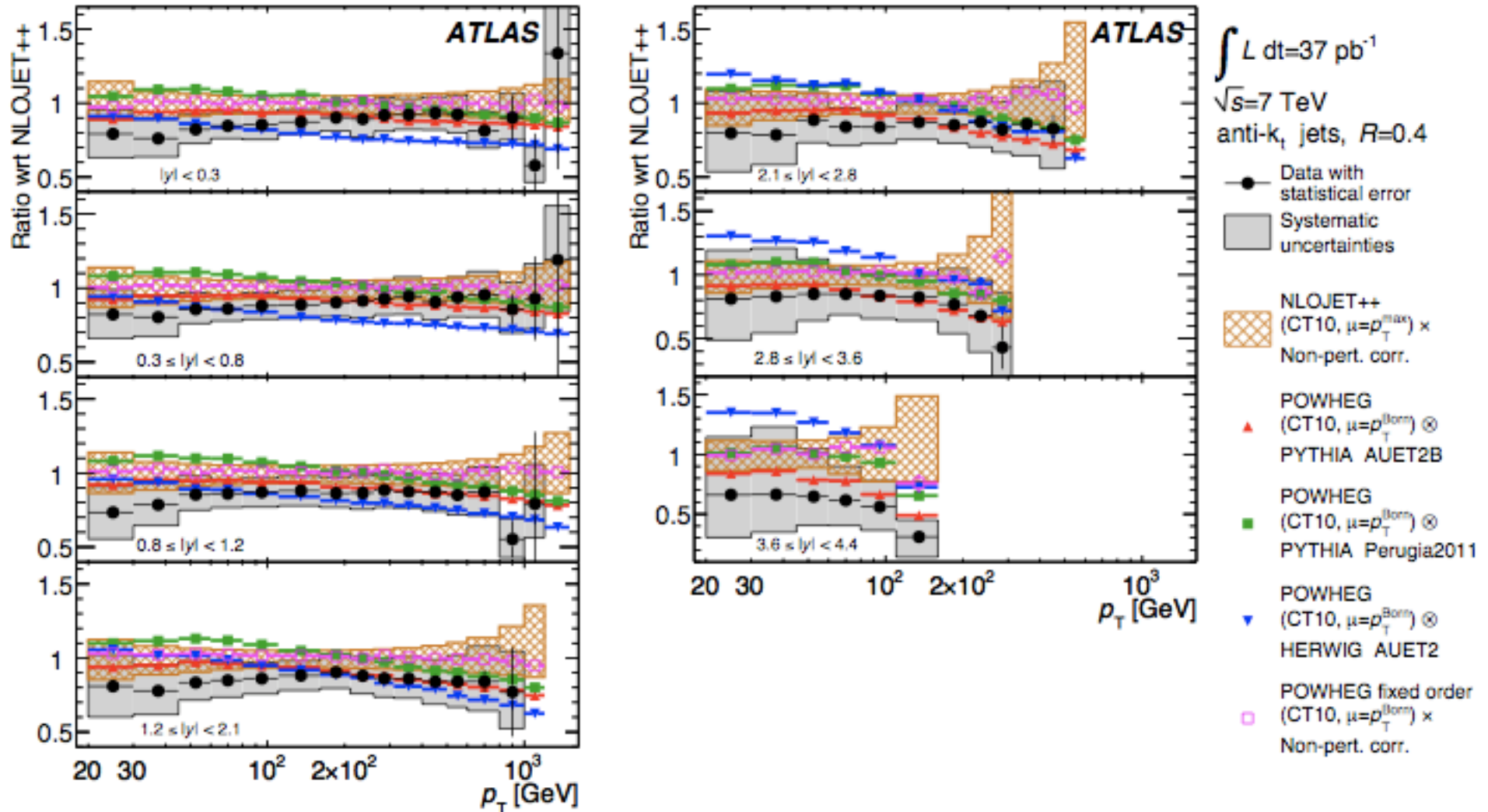


# LHC physics results

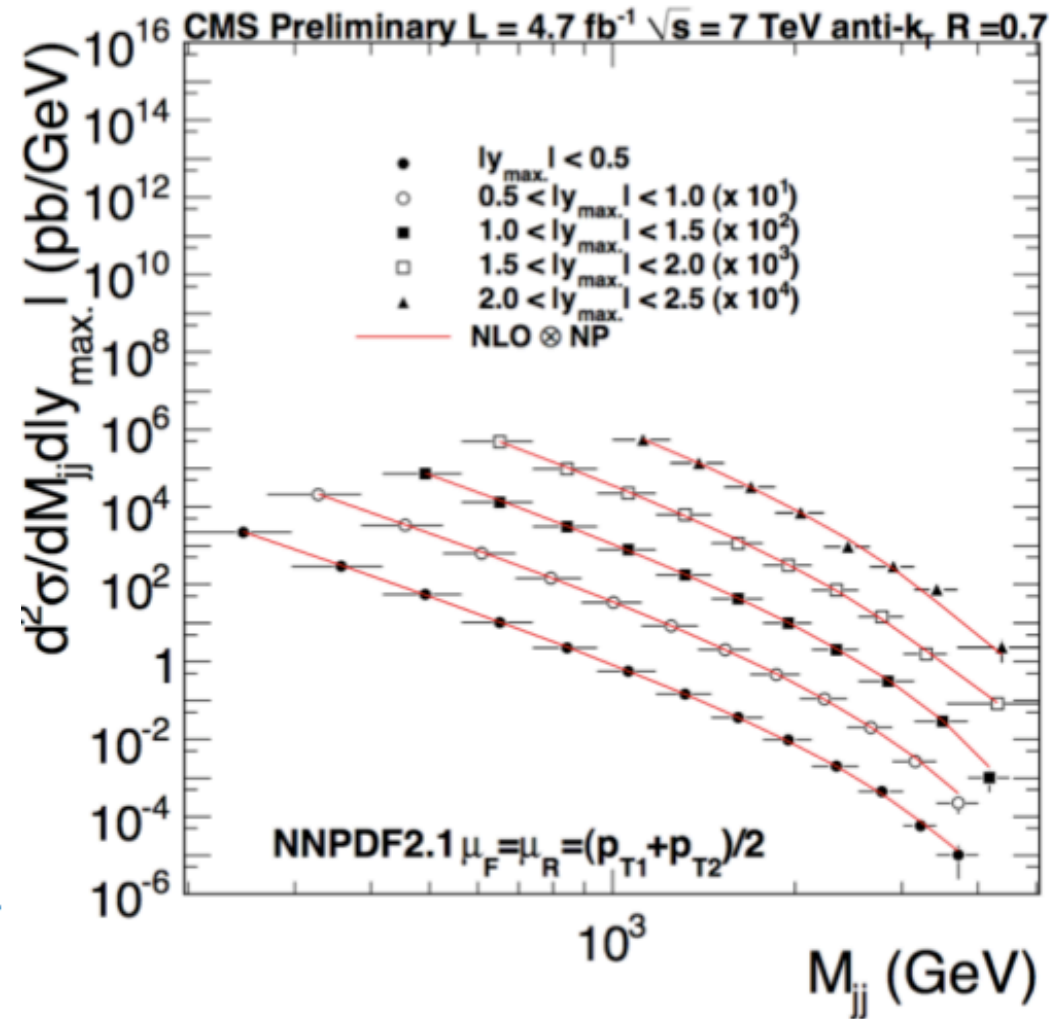
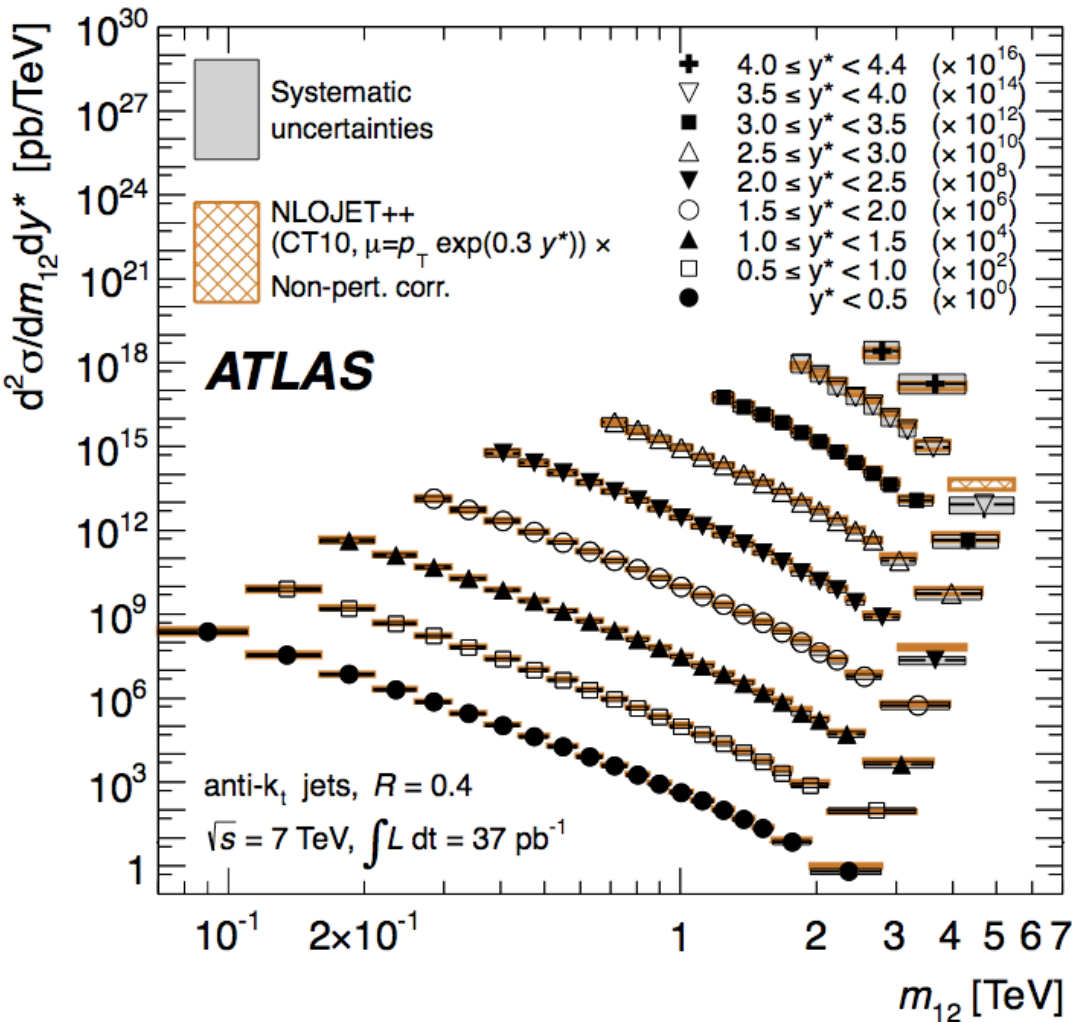
## Jet inclusive cross section

Ratio to theory,

**sensitivity to parton shower and non-perturbative physics**



## Dijet mass

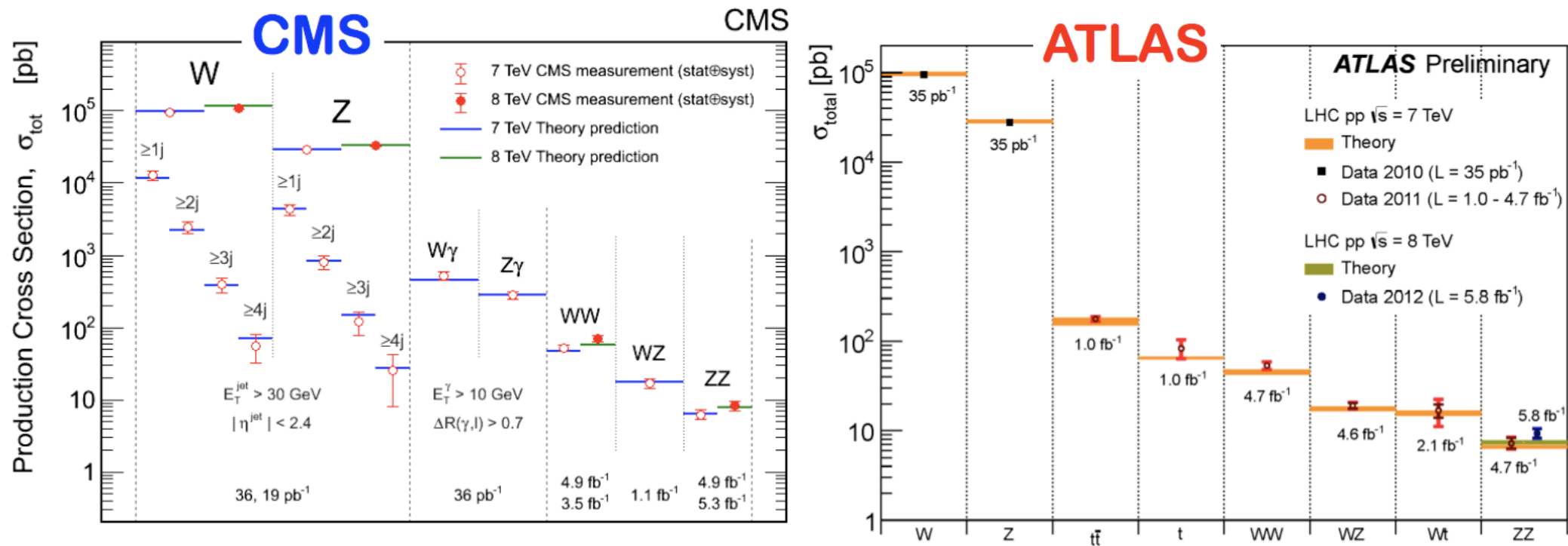


Good agreement with theory up to 4-5 TeV



# LHC physics results

The mother of all data/theory comparisons



Mostly excellent agreement

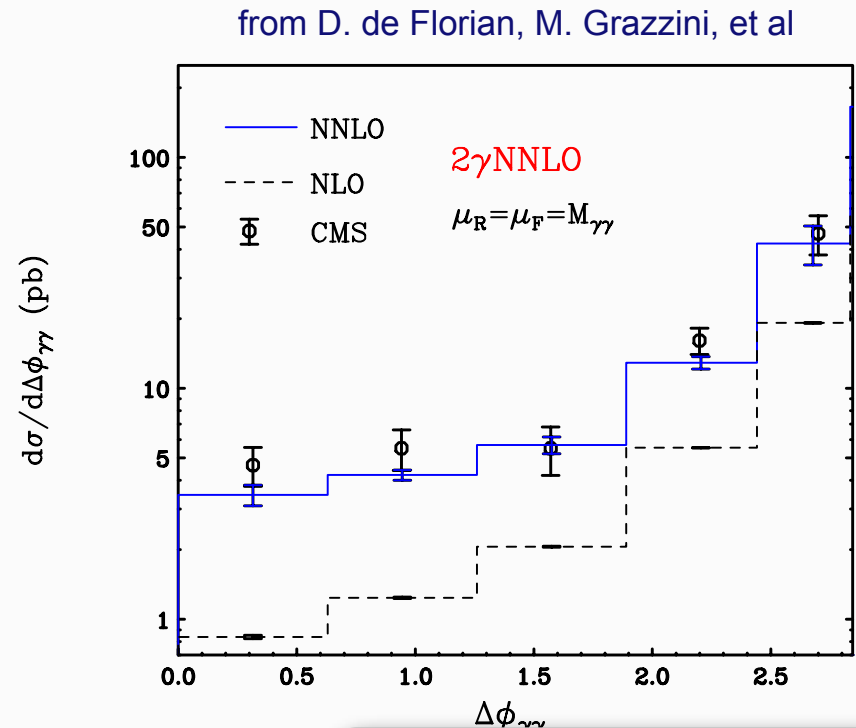
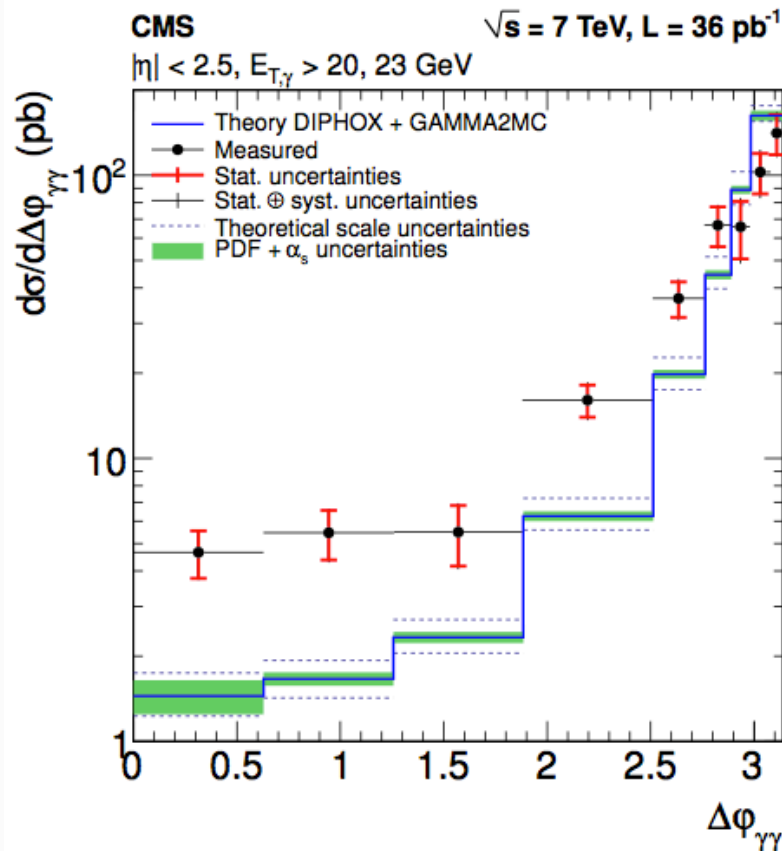
It is worth noting that the data/theory comparison does not (yet) **always** work perfectly.

On the other hand, theoretical progress continues to be made, and often wrongs are righted



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## Di-Photon Production: Results



Very recent NNLO calculation seems to eliminate the discrepancy

● **Big discrepancy at small angles???**

- But note: at very small angles, the NLO calculation is actually a “LO” calculation
- confirmed by very recent calculation (see plot on the right)

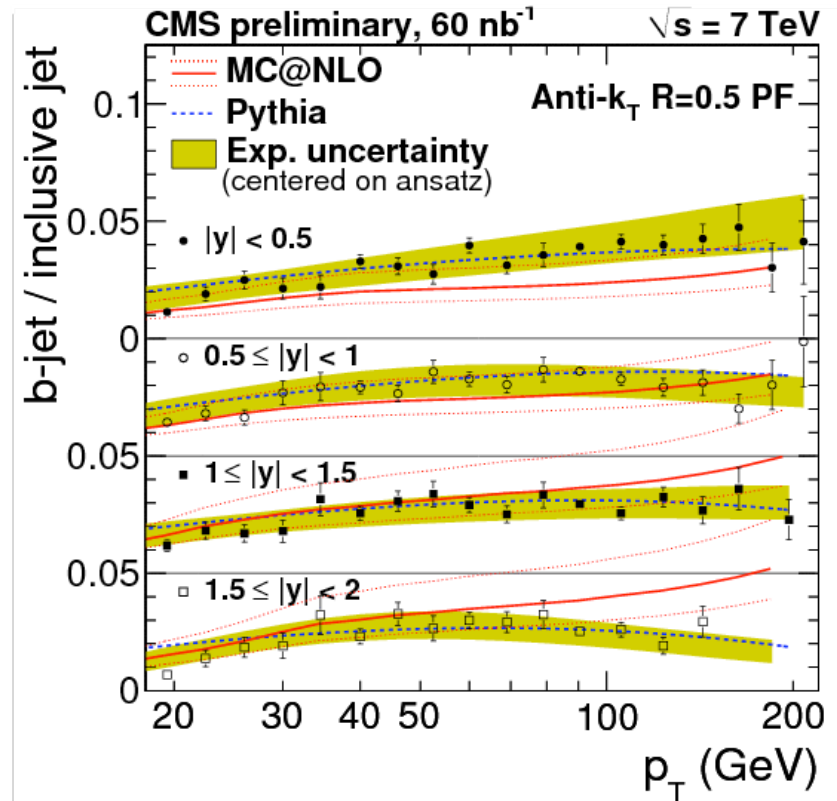
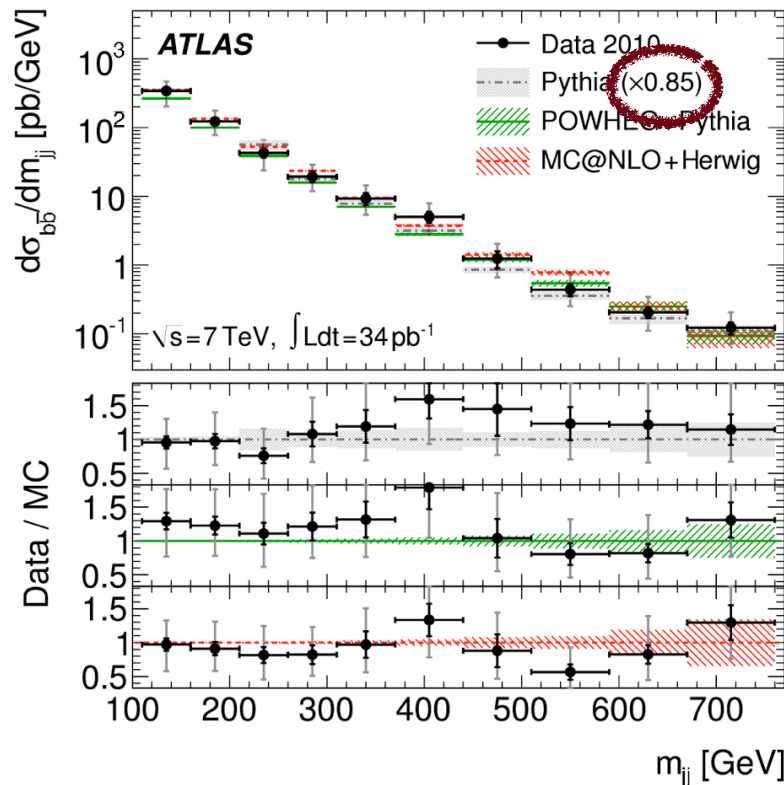


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## b-jet production: Results



ATLAS arXiv:1109.6833



CMS PAS BPH-10-009

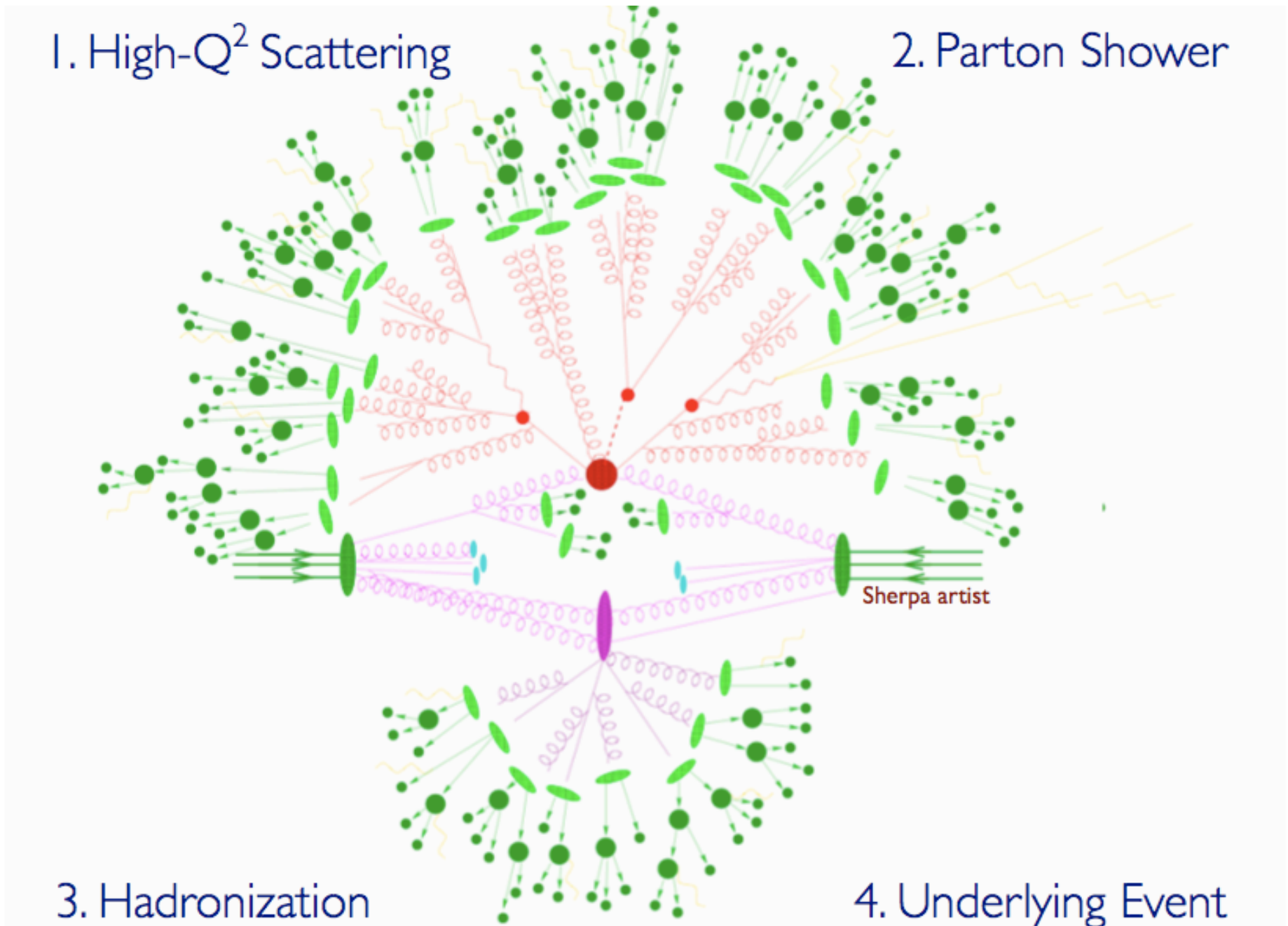
- Also discrepancies seen with MC@NLO, for inclusive cross section
- ratio to inclusive jet cross section helps to eliminate some of the

Something still wrong at very large  $p_T$ ?

With just a few months of operation, the LHC is largely a **sub-10% accuracy** machine (possibly on its way to a 1% level)

In what kind of environment have these measurements and calculations taken place?

# A hadronic process



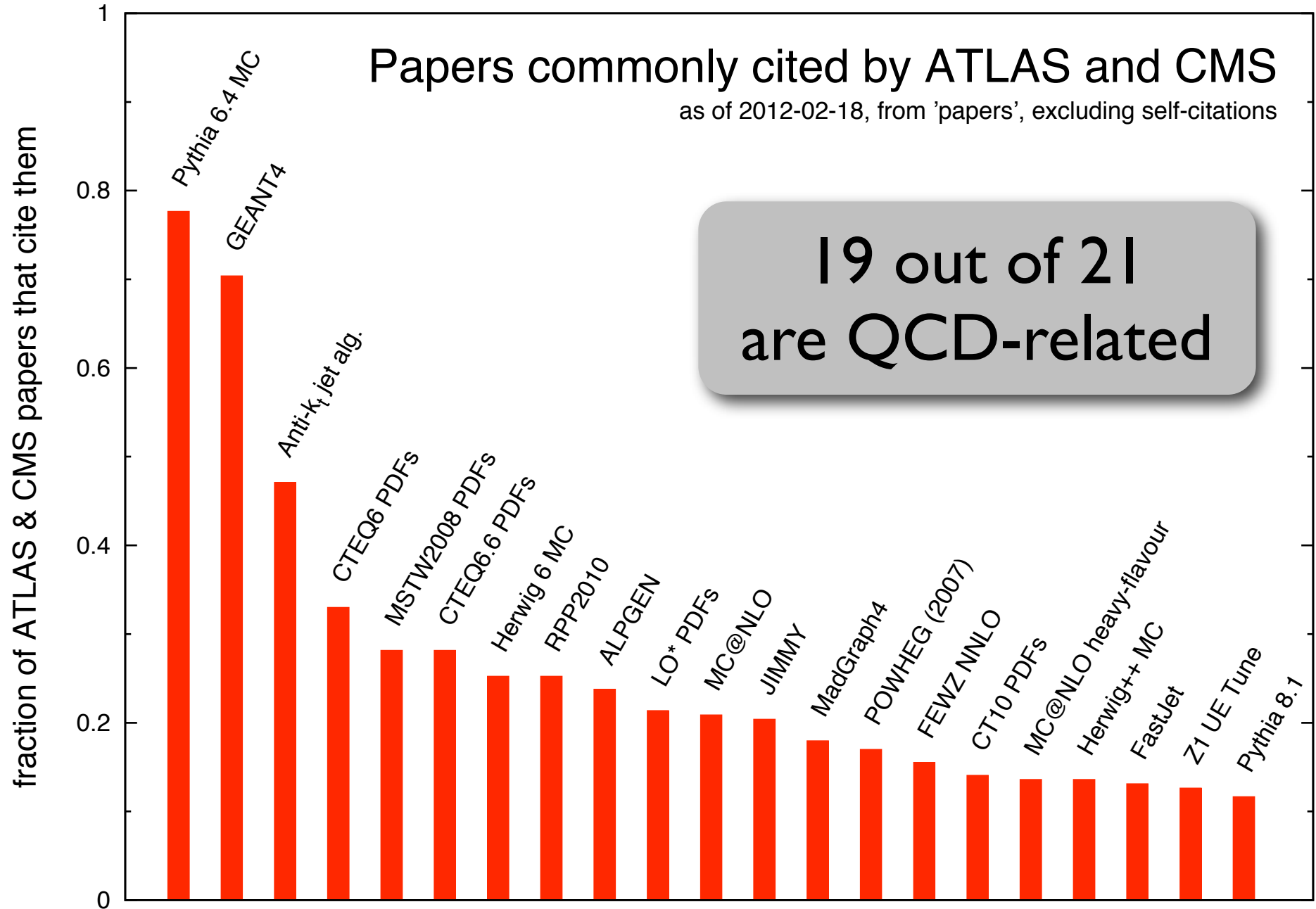
# Describing complexity

A large part of the success of LHC physics (and the speed with which it has come) must be due to the excellent quality of the simulation tools for detectors and physics employed there.

Tevatron did not have such good tools, especially at the beginning of its 25 years run. It took a lot longer to understand the detector and to extract physics.

[I think it was at LEP that the need/usefulness of high-precision simulations/predictions became evident]

# Role of tools in ATLAS and CMS





# Evolution of (physics) tools

## ▶ 10 years ago we had

- ▶ PYTHIA, HERWIG (parton shower MCs)
- ▶ GRV, CTEQ, MRST (NLL PDFs)
- ▶ first automated tools for tree level (CompHEP,...)
- ▶ dedicated NLO codes, for fairly simple processes
- ▶ Infrared and collinear unsafe (and/or slow) jet algorithms

# Evolution of (physics) tools

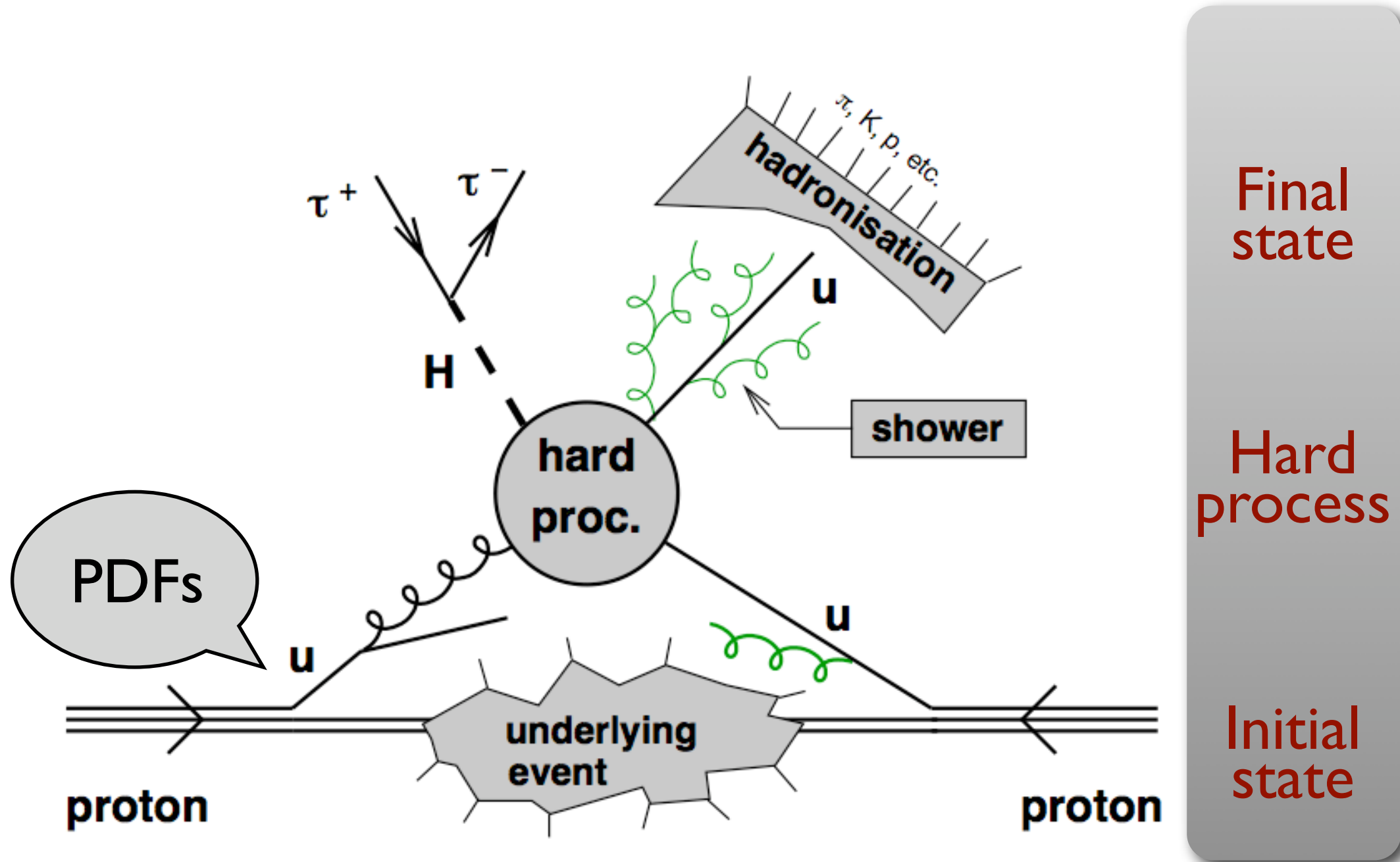
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## ▶ now we also have

- ▶ PYTHIA8, HERWIG++, SHERPA
- ▶ MC@NLO, POWHEG (matching of NLO with PS)
- ▶ matching of PS with matrix elements (CKKW, MLM)
- ▶ more PDFs sets, some at NNLL (NNPDF, HERAPDF, ABKM, JR,...)
- ▶ many more NLO calculations, including for complex processes
- ▶ automated tools for LO and NLO (MadGraph, aMC@NLO,...)
- ▶ dedicated NNLO codes, for fairly simple processes
- ▶ Infrared and collinear safe and fast jet algorithms

# A hadronic process



# The template for an hadronic process

$$H_1 H_2 \rightarrow H_3 + X$$

$$\frac{d\sigma}{d^3p}(Q) \sim F(\mu_F) \times F(\mu_F) \times \frac{d\hat{\sigma}}{d^3\hat{p}}(\mu_f, \mu_R, \alpha_s(\mu_R)) \times D(\mu_F) + O\left(\frac{\Lambda}{Q}\right)^p$$

# The template for an hadronic process

$$H_1 H_2 \rightarrow H_3 + X$$

$$\frac{d\sigma}{d^3p}(Q) \sim F(\mu_F) \times F(\mu_F) \times \frac{d\hat{\sigma}}{d^3\hat{p}}(\mu_f, \mu_R, \alpha_s(\mu_R)) \times D(\mu_F) + O\left(\frac{\Lambda}{Q}\right)^p$$

short-distance,  
calculable  
in pQCD

# The template for an hadronic process

$$H_1 H_2 \rightarrow H_3 + X$$

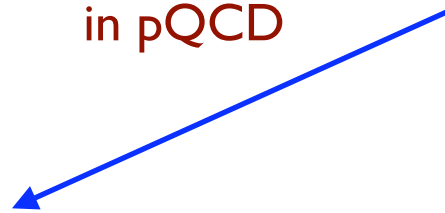
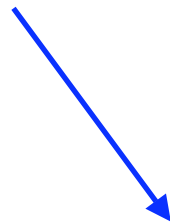
$$\frac{d\sigma}{d^3p}(Q) \sim F(\mu_F) \times F(\mu_F) \times \frac{d\hat{\sigma}}{d^3\hat{p}}(\mu_f, \mu_R, \alpha_s(\mu_R)) \times D(\mu_F) + O\left(\frac{\Lambda}{Q}\right)^p$$

fit from data,  
use in other predictions

short-distance,  
calculable  
in pQCD

fit from data,  
use in other predictions

'leading twist' long-distance non-  
perturbative contributions

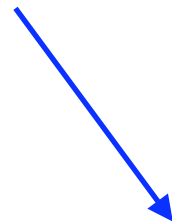


# The template for an hadronic process

$$H_1 H_2 \rightarrow H_3 + X$$

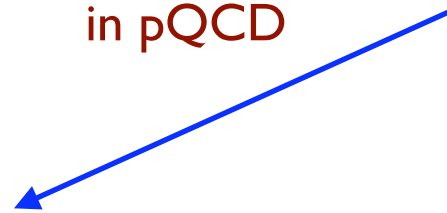
$$\frac{d\sigma}{d^3p}(Q) \sim F(\mu_F) \times F(\mu_F) \times \frac{d\hat{\sigma}}{d^3\hat{p}}(\mu_f, \mu_R, \alpha_s(\mu_R)) \times D(\mu_F) + O\left(\frac{\Lambda}{Q}\right)^p$$

fit from data,  
use in other predictions



'*leading twist*' long-distance non-perturbative contributions

short-distance,  
calculable  
in pQCD



fit from data,  
use in other predictions



'*higher twist*' non-perturbative power corrections. Can be neglected to some extent

# The template for an hadronic process

$$H_1 H_2 \rightarrow H_3 + X$$

$$\frac{d\sigma}{d^3p}(Q) \sim F(\mu_F) \times F(\mu_F) \times \frac{d\hat{\sigma}}{d^3\hat{p}}(\mu_f, \mu_R, \alpha_s(\mu_R)) \times D(\mu_F) + O\left(\frac{\Lambda}{Q}\right)^p$$

fit from data,  
use in other predictions

short-distance,  
calculable  
in pQCD

fit from data,  
use in other predictions

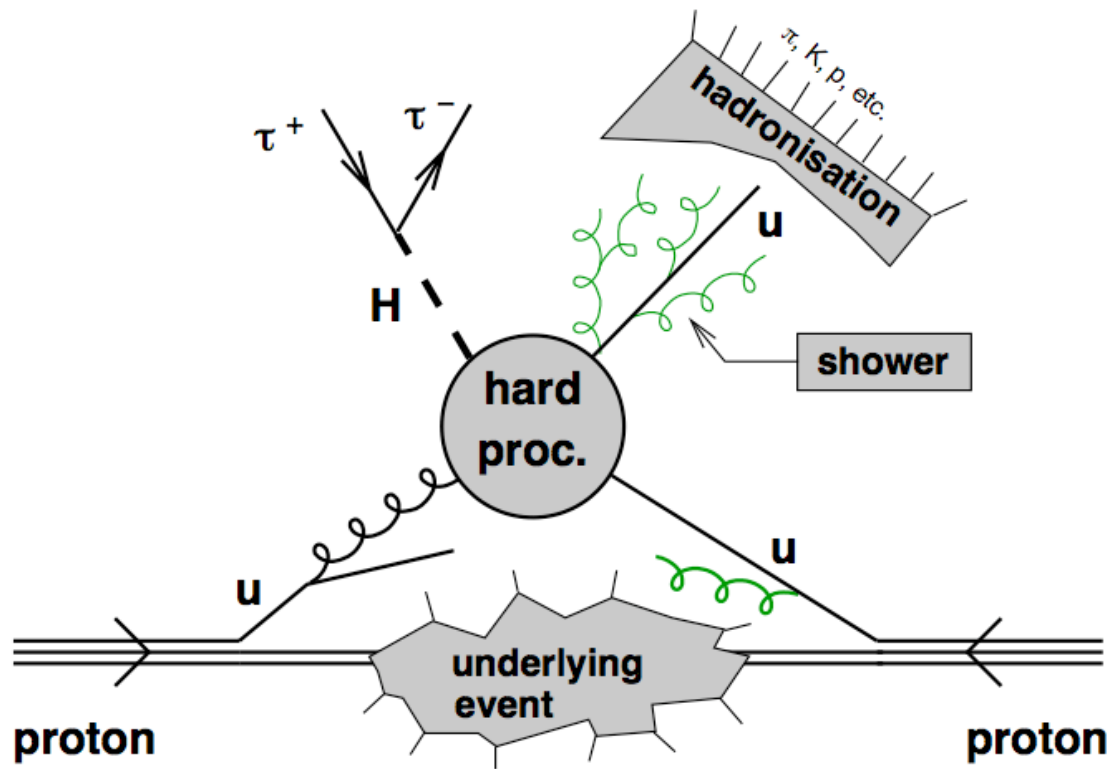
'*leading twist*' long-distance non-  
perturbative contributions

'*higher twist*' non-  
perturbative power  
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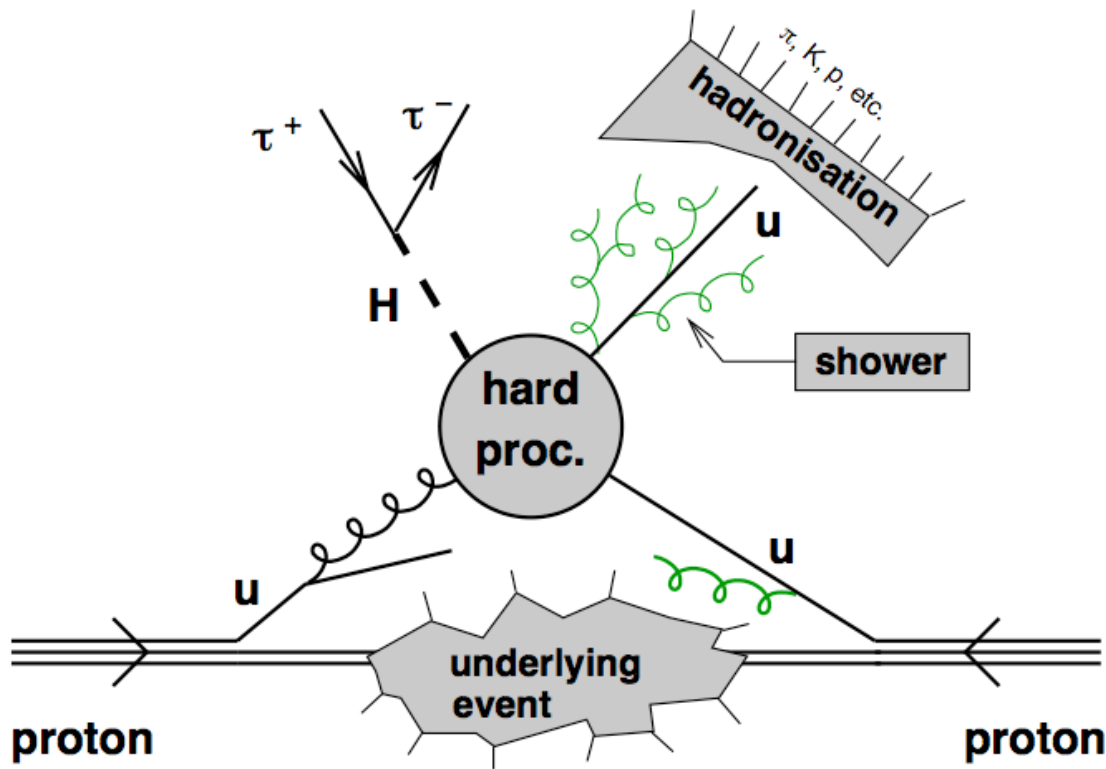
Testing (and using) QCD is essentially an iterative procedure which amounts to running an equation like this one through many sets of data, extracting ingredients and using them for predictions, always checking for consistency



# Ingredients and tools



# Ingredients and tools



- ▶ PDFs
- ▶ Hard scattering
- ▶ Final state tools

## Extracting PDFs from data has become a favourite pastime

- ▶ Then: CTEQ, MRST, GRV, ...
- ▶ Today: CTEQ, MSTW, NNPDF, HERAPDF, ABKM, GJR, ...

pdfs	authors	arXiv
<b>ABKM</b>	S. Alekhin, J. Blümlein, S. Klein, S. Moch	1105.5349, 1101.5261, 1107.3657, 0908.3128, 0908.2766, ...
<b>CTEQ/TEA</b>	H.-L. Lai, M. Guzzi, J. Huston, Z. Li, P. Nadolsky, J. Pumplin, C.-P. Yuan, and others	1108.5112, 1101.0561, 1007.2241, 1004.4624, 0910.4183, 0904.2424, 0802.0007, ...
<b>GJR</b>	M. Glück, P. Jimenez-Delgado, E. Reya	1003.3168, 0909.1711, 0810.4274, ...
<b>HERAPDF</b>	H1 and ZEUS collaborations	1107.4193, 1006.4471, 0906.1108, ...
<b>MSTW</b>	A.D. Martin, W.J. Stirling, R.S. Thorne, G. Watt	1107.2624, 1006.2753, 0905.3531, 0901.0002, ...
<b>NNPDF</b>	R. Ball, V. Bertone, F. Cerutti, L. Del Debbio, S. Forte, A. Guffanti, N. Hartland, J. I. Latorre, J. Rojo, M. Ubiali	1110.2483, 1108.2758, 1107.2652, 1103.2369, 1102.3182, 1101.1300, 1005.0397, 1002.4407, 0912.2276, 0906.1958, ...

## Is the abundance of PDF sets redundant?

Only up to a point, since many different choices can be made

- ▶ What data to fit? Everything? A more limited and more consistent set?
- ▶ What technique to use to describe the PDFs? Parametric form? Neural network?
- ▶ Fit  $\alpha_s$  with PDFs, or use external value?
- ▶ What treatment for heavy quark masses?
- ▶ How to exploit higher order calculations? K-factors or exact results?
- ▶ .....

There is value in having (a reasonable number of) independently obtained PDF sets

## The actual parton fits

---

### Global analyses (CTEQ-TEA, MSTW, NNPDF)

- 😊 ▪ Try to get most of the available information and focus on completeness
- 😊 ▪ Reliable flavor separation
- 😞 ▪ Must face issue of possible incompatibilities among different data

### Restricted analyses (HERAPDF, AB(K)M, JR)

- 😊 ▪ Focus on the most precise dataset(s)
- 😊 ▪ Avoid possible incompatibilities
- 😞 ▪ Neglect some important constraint
- 😞 ▪ Limited flavor separation

## Choice of parametrization

### The standard approach

- Introduce a simple functional form with enough free parameters

$$f_i(x, Q_0^2) = a_0 x^{a_1} (1 - x)^{a_2} P(x, a_3, a_4, \dots),$$

- Usually one parametrizes independently the gluon, light quarks and anti-quarks (if enough information on sea separation is provided), strange and anti-strange (not everybody), while heavy quarks are generated at threshold
- The functional form is phenomenologically motivated by
  - Regge-like behavior at small  $x$
  - Quark counting rules at large  $x$
  - The function  $P(x)$  affects medium- $x$
- This parametrization is adopted by most of the existing parton fits (MSTW08, CTEQ, ABKM, HERAPDF, JR)

$$\begin{aligned} x \rightarrow 0 &: q \propto x^{a_1} \\ x \rightarrow 1 &: q \propto (1 - x)^{a_2} \end{aligned}$$

56

## Choice of parametrization

- MSTW 2008 (28 free parameters, 20 parameter variations)

$$xu_v(x, Q_0^2) = A_u x^{\eta_1} (1-x)^{\eta_2} (1 + \epsilon_u \sqrt{x} + \gamma_u x),$$

$$xd_v(x, Q_0^2) = A_d x^{\eta_3} (1-x)^{\eta_4} (1 + \epsilon_d \sqrt{x} + \gamma_d x),$$

$$xS(x, Q_0^2) = A_S x^{\delta_S} (1-x)^{\eta_S} (1 + \epsilon_S \sqrt{x} + \gamma_S x),$$

$$x\Delta(x, Q_0^2) = A_\Delta x^{\eta_\Delta} (1-x)^{\eta_S+2} (1 + \gamma_\Delta x + \delta_\Delta x^2),$$

$$xg(x, Q_0^2) = A_g x^{\delta_g} (1-x)^{\eta_g} (1 + \epsilon_g \sqrt{x} + \gamma_g x) + A_{g'} x^{\delta_{g'}} (1-x)^{\eta_{g'}},$$

$$x(s + \bar{s})(x, Q_0^2) = A_+ x^{\delta_S} (1-x)^{\eta_+} (1 + \epsilon_S \sqrt{x} + \gamma_S x),$$

$$x(s - \bar{s})(x, Q_0^2) = A_- x^{\delta_-} (1-x)^{\eta_-} (1 - x/x_0),$$

- HERAPDF (9 free parameters for the central fit)

$$xg(x) = A_g x^{B_g} (1-x)^{C_g},$$

$$xu_v(x) = A_{u_v} x^{B_{u_v}} (1-x)^{C_{u_v}} (1 + E_{u_v} x^2)$$

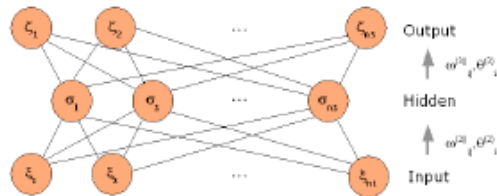
$$xd_v(x) = A_{d_v} x^{B_{d_v}} (1-x)^{C_{d_v}},$$

$$x\bar{U}(x) = A_{\bar{U}} x^{B_{\bar{U}}} (1-x)^{C_{\bar{U}}},$$

$$x\bar{D}(x) = A_{\bar{D}} x^{B_{\bar{D}}} (1-x)^{C_{\bar{D}}}.$$

## Choice of parametrization

### An alternative approach: Neural Networks



- \* Each neuron receives input from neurons in preceding layer.
- \* Activation determined by weights and thresholds according to a non linear function:

$$\xi_i = g\left(\sum_j \omega_{ij}\xi_j - \theta_i\right), \quad g(x) = \frac{1}{1 + e^{-x}}$$

- NN are non-linear statistical tools
- Any continuous function can be approximated with a neural network with one internal layer and a non-linear activation function
- They are just another basis of functions!

1 - 2 - 1

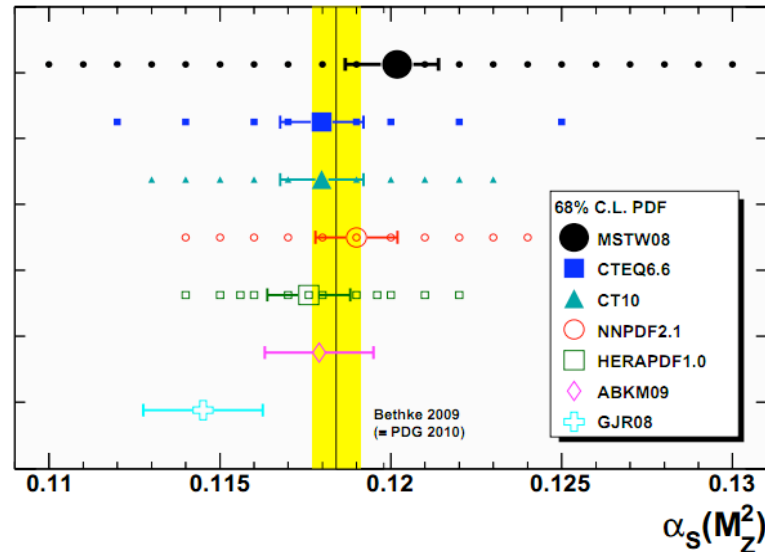
$$\xi_1^{(3)}(\xi_1^{(1)}) = \frac{1}{1 + e^{\theta_1^{(3)} - \frac{\omega_{11}^{(2)}}{1 + e^{\theta_1^{(2)} - \xi_1^{(1)}\omega_{11}^{(1)}}} - \frac{\omega_{12}^{(2)}}{1 + e^{\theta_2^{(2)} - \xi_1^{(1)}\omega_{21}^{(1)}}}}}$$

- Provide a parametrization which is redundant and robust against variations

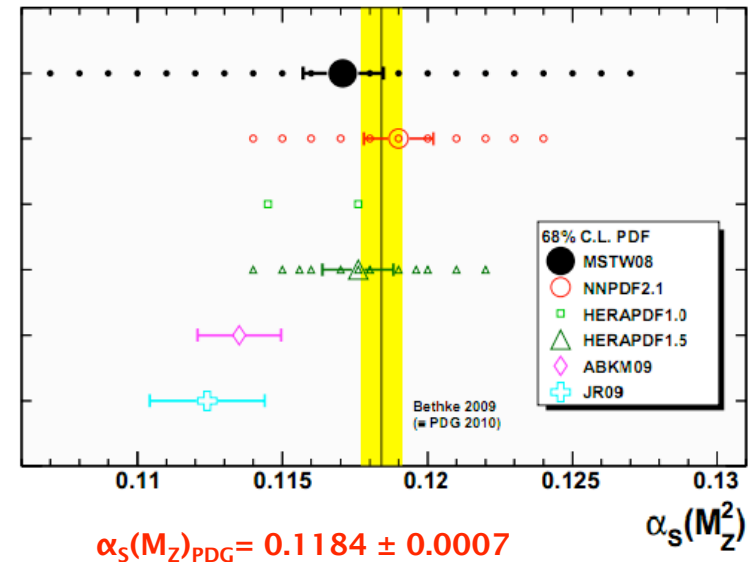


## The $\alpha_s$ issue

NLO  $\alpha_s(M_Z^2)$  values used by different PDF groups



NNLO  $\alpha_s(M_Z^2)$  values used by different PDF groups



Several philosophies in treating  $\alpha_s$ :

- **ABKM, MSTW08** (\*see next slide) and **JR09** fit  $\alpha_s$  as one of the parameters of global fit
- In this case it is impossible to disentangle PDF and  $\alpha_s$  uncertainties! The PDF error band always represents (PDF+  $\alpha_s$ ) uncertainty
- The  $\alpha_s$  values extracted from these fits are very different from each others and at NNLO,  $\alpha_s$  obtained from non global fits (ABKM and JR) is far from PDG average
- Why? Jet data? Parametrization? Other effects?

# PDFs: state of the art

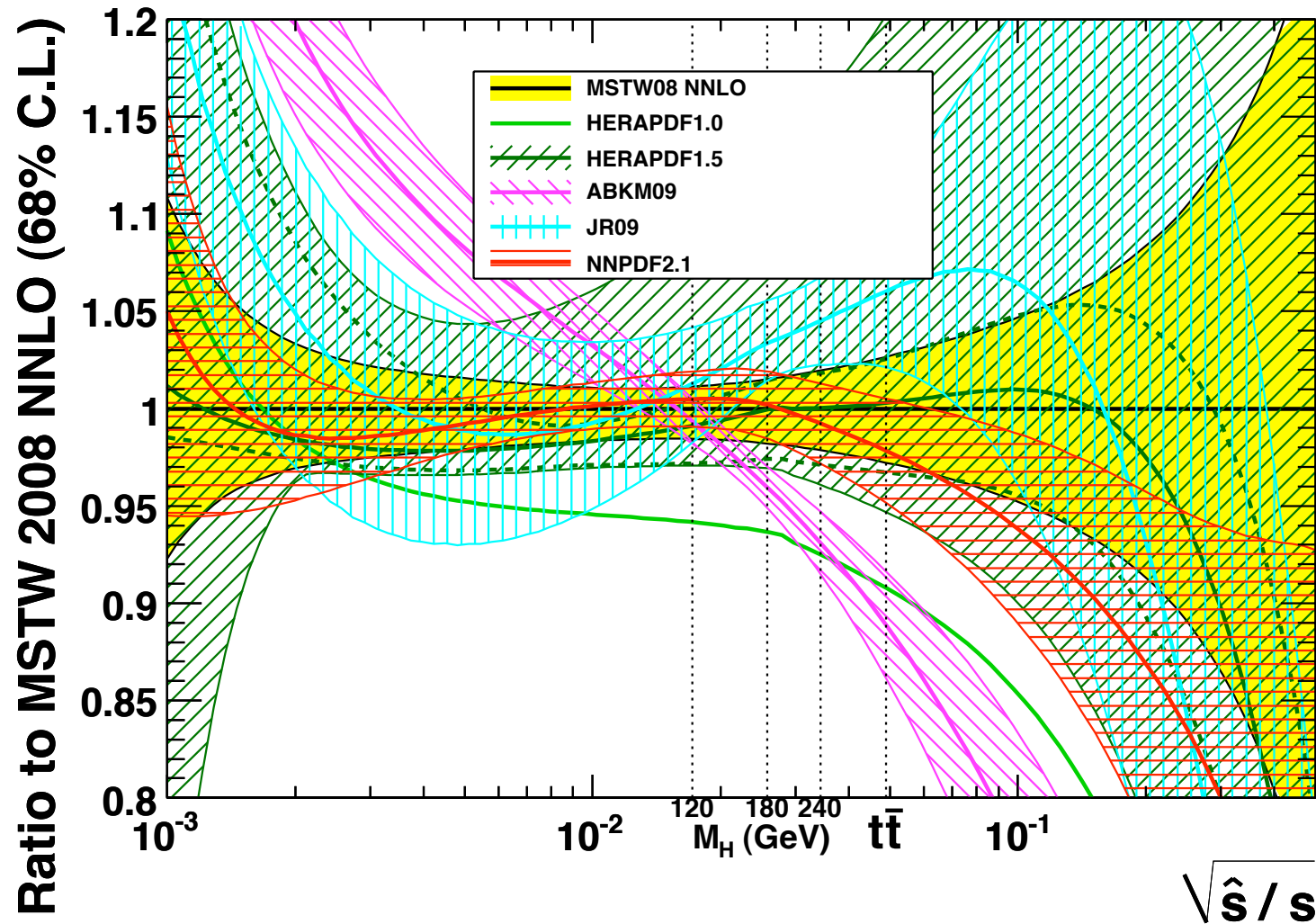
The most commonly used PDF sets (MSTW, CTEQ, NNPDF) use

- ▶ global fits to many data sets
- ▶ NNLO evolution
- ▶ proper matching at heavy quark thresholds
- ▶ external  $\alpha_s$ , or many sets provided
- ▶ error estimate

The resulting PDF sets are in fairly good agreement

# Comparison between PDFs

gg luminosity at LHC ( $\sqrt{s} = 7$  TeV)

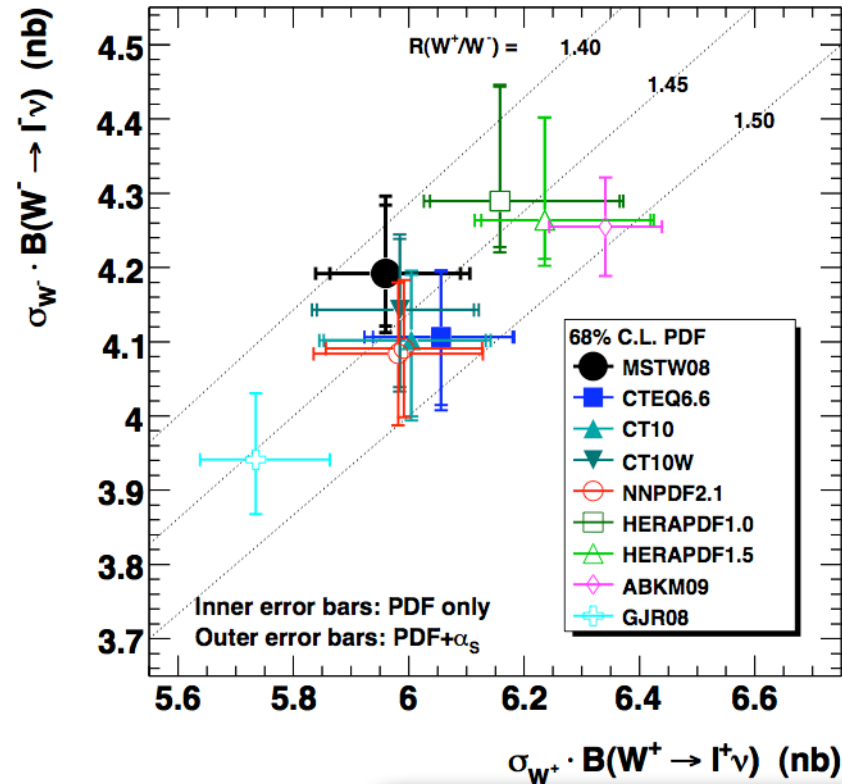
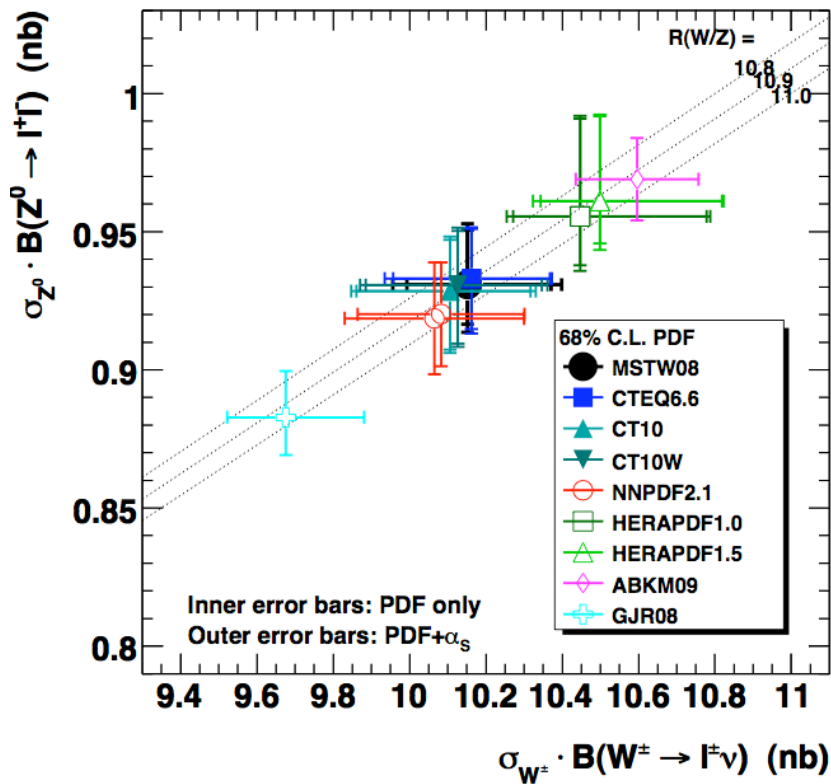


G. Watt (September 2011)

Two global fits (MSTW and NNPDF) show the best agreement within  $O(5-10\%)$  uncertainty at the 68% CL level

## LHC phenomenology

NLO W and Z cross sections at the LHC ( $\sqrt{s} = 7$  TeV)    NLO  $W^+$  and  $W^-$  cross sections at the LHC ( $\sqrt{s} = 7$  TeV)



G. Watt (April 2011)

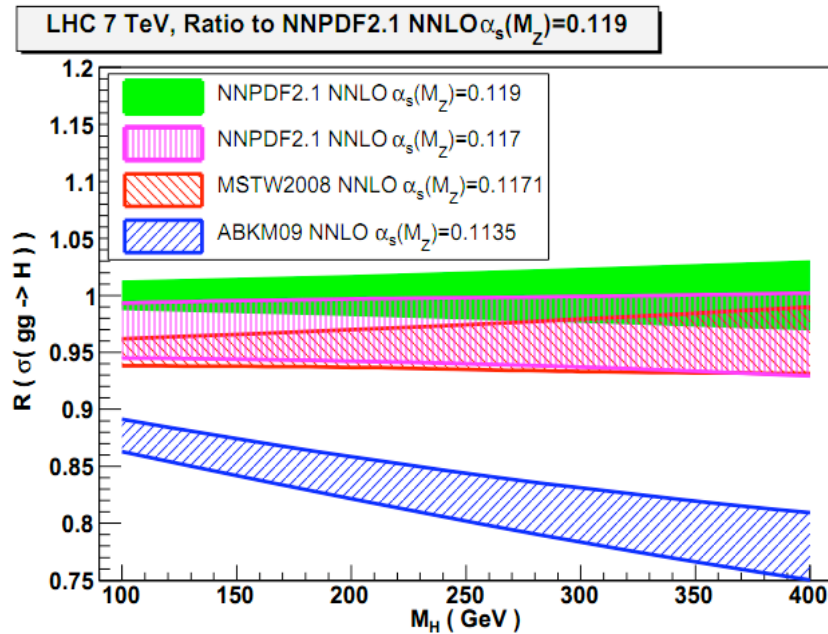
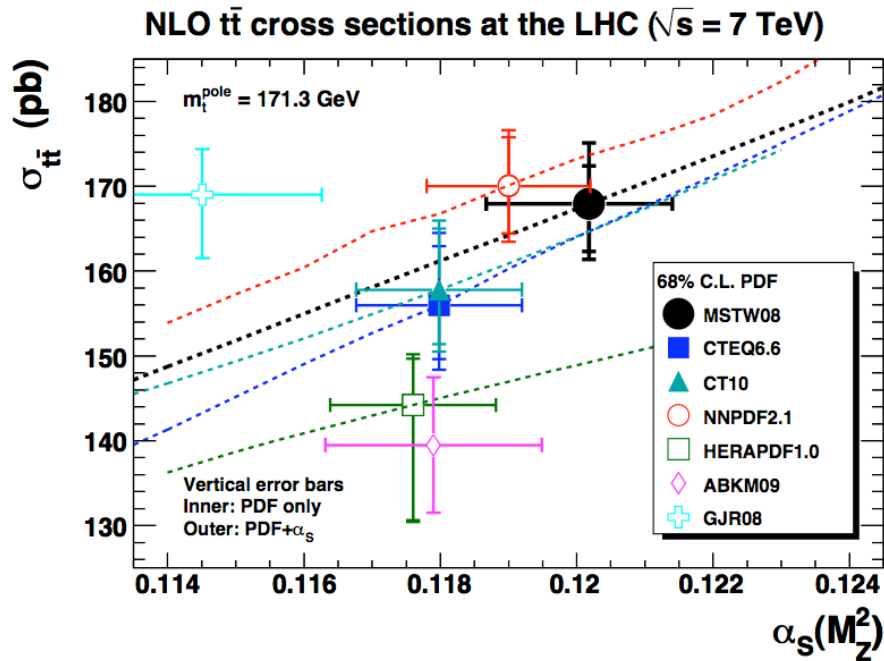
G. Watt, <http://projects.hepforge.org/~mstwpdf/pdf4lh/>

**W and Z production  
Dominated by qq  
luminosities**

## LHC phenomenology

G. Watt, <http://projects.hepforge.org/~mstwpdf/pdf4lhc/>

Ball et al, arXiv:1110.2483



LHC precise measurements will soon discriminate among PDF sets and provide stronger constraints

**$t\bar{t}$  production  
Dominated by gg  
luminosity**

# Theory uncertainty in PDFs

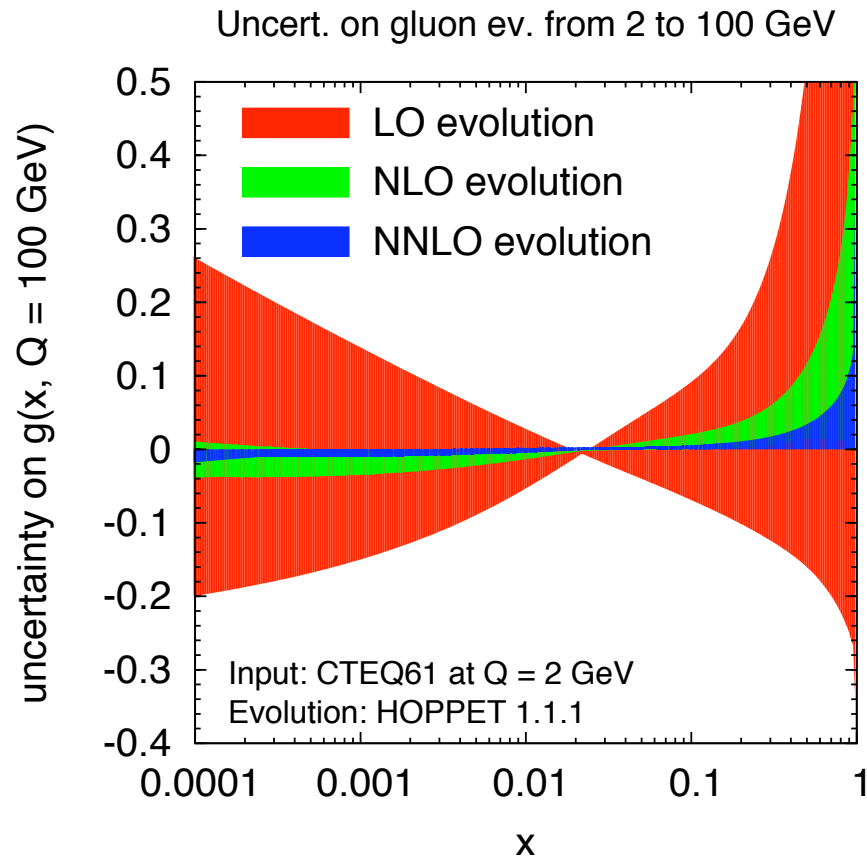
The 'error bands' returned by the PDF sets only include the experimental uncertainties of the data used in the fits. What is the theoretical uncertainty?

The kernels used in the evolution can be written as a series expansion in  $\alpha_s(\mu_R)$

$$P(z, \mu_F) = \left( \frac{\alpha_s(\mu_R)}{2\pi} \right) P^{(0)}(z) + \left( \frac{\alpha_s(\mu_R)}{2\pi} \right)^2 P^{(1)}(z, \mu_R/\mu_F) + \left( \frac{\alpha_s(\mu_R)}{2\pi} \right)^3 P^{(2)}(z, \mu_R/\mu_F)$$

**Usually one takes  $\mu_R = \mu_F$ , and promptly forgets that he may do otherwise.**

**Taking the two scales different is an uncertainty in the *evolution* of the PDFs.**



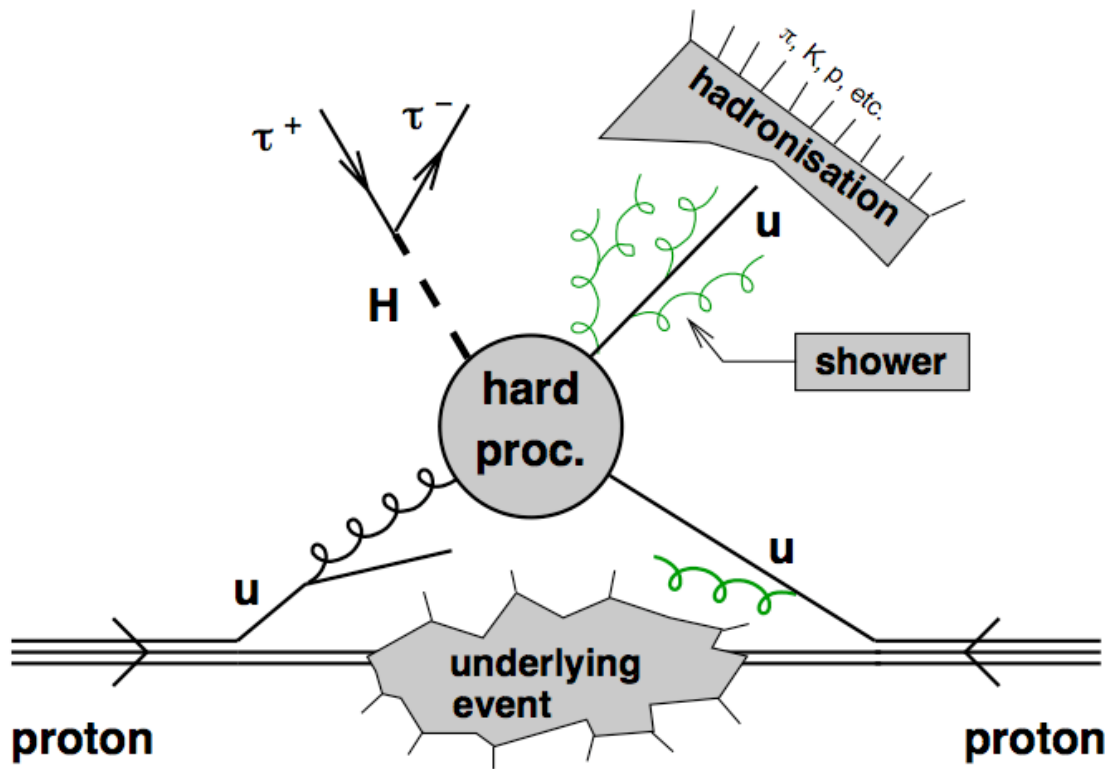
Estimate uncertainties on evolution by changing the scale used for  $\alpha_s$  inside the splitting functions

Talk more about such tricks in next lecture

- ▶ with LO evolution, uncertainty is  $\sim 30\%$
- ▶ NLO brings it down to  $\sim 5\%$
- ▶ NNLO  $\rightarrow 2\%$  Commensurate with data uncertainties

One of the main practical advantages of knowing the NNLO AP kernels is that at this accuracy level the uncertainty due to the scales being potentially different is quite reduced

# Ingredients and tools



► PDFs

► Hard scattering

► Final state tools





# THE “NLO REVOLUTION”

One indicator of NLO progress

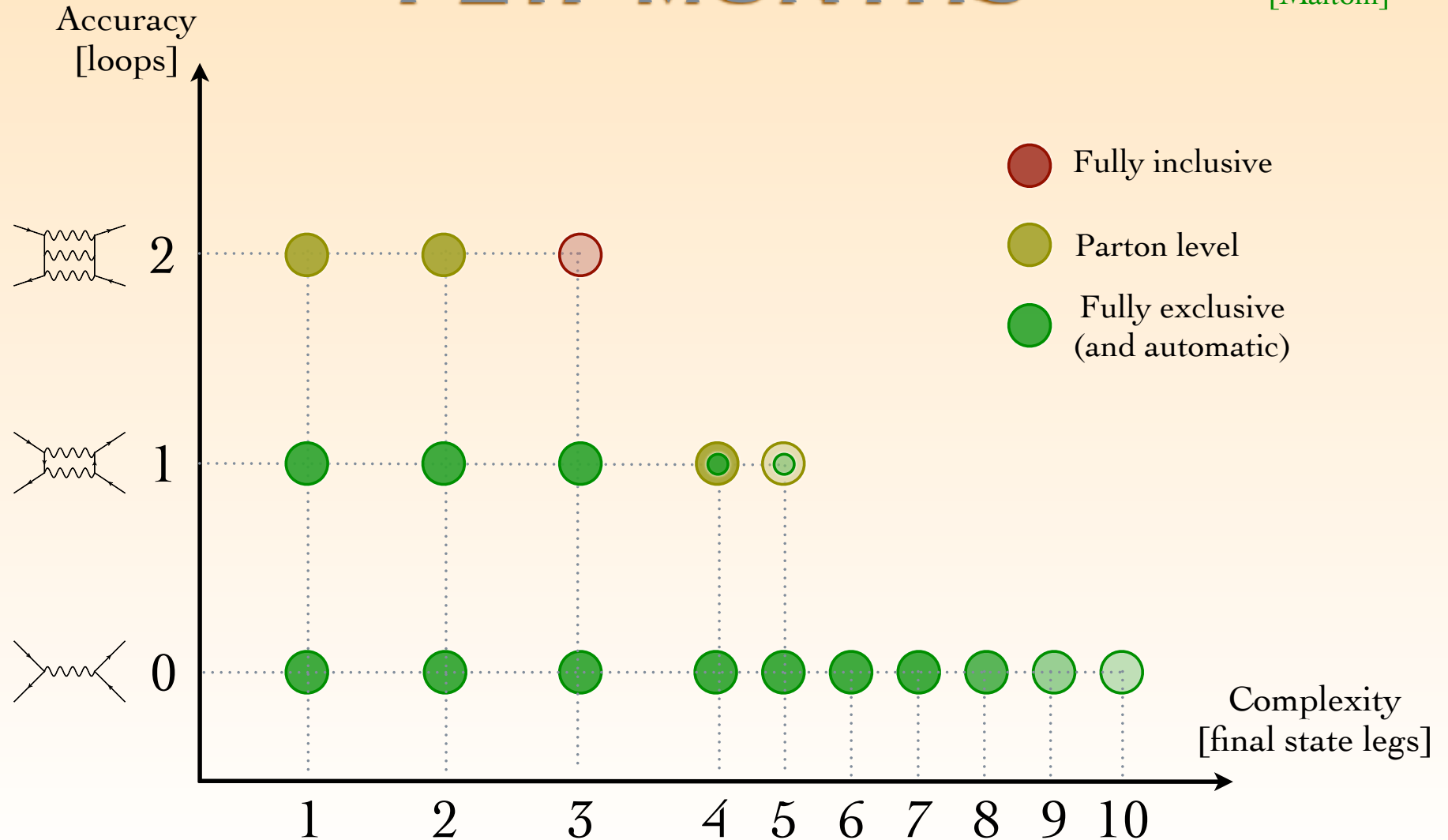
$pp \rightarrow W + 0 \text{ jet}$	1978	Altarelli, Ellis, Martinelli
$pp \rightarrow W + 1 \text{ jet}$	1989	Arnold, Ellis, Reno
$pp \rightarrow W + 2 \text{ jets}$	2002	Campbell, Ellis
$pp \rightarrow W + 3 \text{ jets}$	2009	BH+Sherpa Ellis, Melnikov, Zanderighi
$pp \rightarrow W + 4 \text{ jets}$	2010	BH+Sherpa

Slide from Lance Dixon



## SM STATUS: SINCE A FEW MONTHS

[Maltoni]



# Tools for the hard scattering

Can be divided in

## ▶ **Integrators**

- ▶ evaluate the (differential) cross section by integrating the calculation over the phase space, yielding (partly) inclusive quantities
- ▶ Produce weighted events (the weight being the value of the cross section)
- ▶ Calculations exist at LO, NLO, NNLO

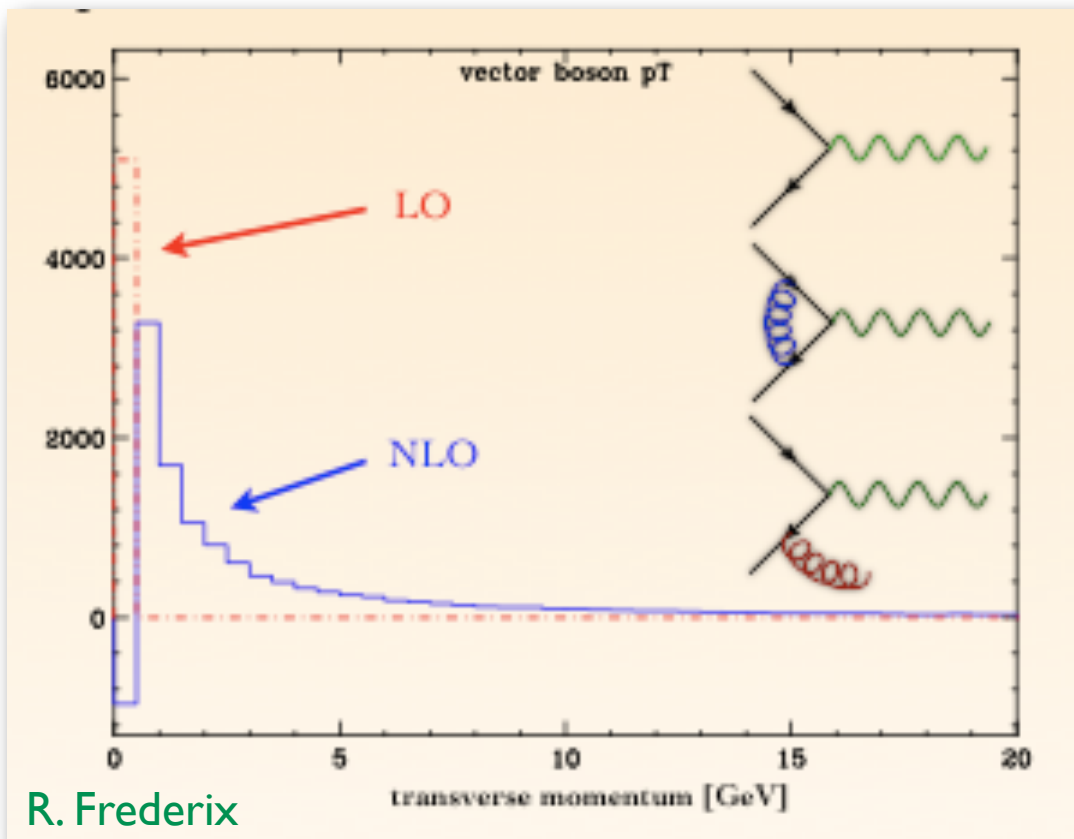
## ▶ **Generators**

- ▶ generate fully exclusive configurations
- ▶ Events are unweighted (i.e. produced with the frequency nature would produce them)
- ▶ Easy at LO, get complicated when dealing with higher orders

# It's easy to say 'NLO'...

Even if a calculation yields an NLO-accurate result for a quantity, not all distributions that can be returned by the same code have necessarily NLO accuracy

## Example: vector boson production in Drell-Yan



R. Frederix

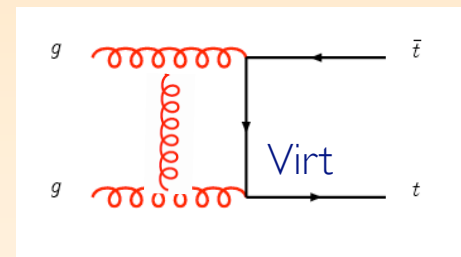
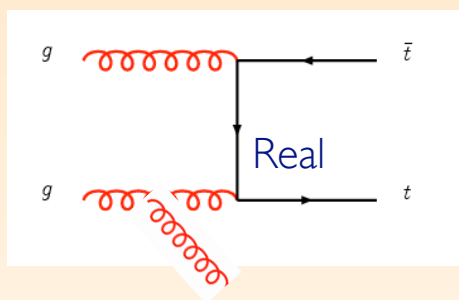
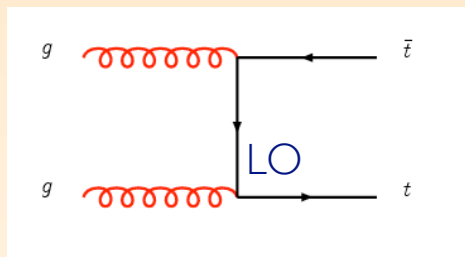
- ▶ At  $O(\alpha_s^0)$ , the total rate is LO, the  $p_T$  is always zero
- ▶ at  $O(\alpha_s^1)$  (1 gluon emission + virtual) the total rate is NLO, but the  $p_T$  distribution is only LO

You only get NLO when you calculate something that was not trivially zero at the lower order



# NLO...?

- Another example: we have a NLO code for  $pp \rightarrow t\bar{t}$

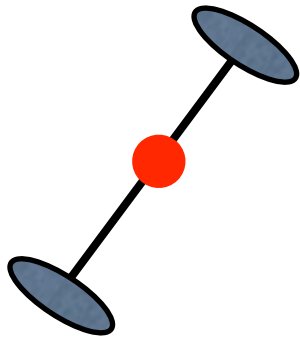


NLO?

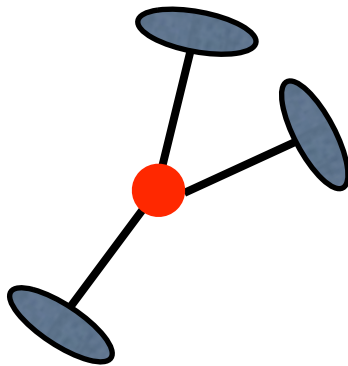
- Total cross section ✓
- Transverse momentum of the top quark ✓
- Transverse momentum of the top-antitop pair ✗
- Transverse momentum of the jet ✗
- Top-antitop invariant mass ✓
- Azimuthal distance between the top and anti-top ✗

# It's easy to say 'NLO'...

Yet another example: jet production

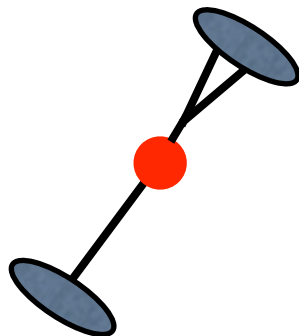


2 partons, 2 jets: **LO**



3 partons, 3 jets: **LO**

[Well separated hard jets. Real corrections only needed]



3 partons, 2 jets: **NLO**

The jet has internal structure

[Partons can become collinear and soft. **Virtual** corrections needed]

# Fixed order calculation

## Born

$$d\sigma^{Born} = B(\Phi_B) d\Phi_B$$

## NLO

$$d\sigma^{NLO} = [B(\Phi_B) + V(\Phi_B)] d\Phi_B + R(\Phi_R) d\Phi_R$$

$$d\Phi_R = d\Phi_B d\Phi_{rad}$$

$$d\Phi_{rad} = d\cos\theta dE d\phi$$

**Problem:**  
 $V(\Phi_B)$  and  $\int R d\Phi_R$  are divergent

# Subtraction terms

An observable  $O$  is  
**infrared and collinear safe** if

$$O(\Phi_R(\Phi_B, \Phi_{\text{rad}})) \rightarrow O(\Phi_B)$$

Soft or collinear limit

One can then write

$$\langle O \rangle = \int \left[ B(\Phi_B) + V(\Phi_B) + \int C(\Phi_R) d\Phi_{\text{rad}} \right] O(\Phi_B) d\Phi_B$$
$$+ [R(\Phi_R)O(\phi_R) - C(\Phi_R)O(\Phi_B)] d\Phi_R$$

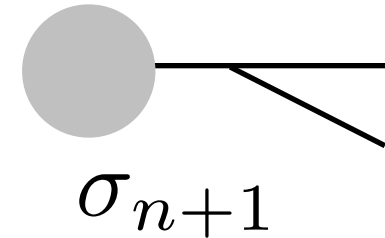
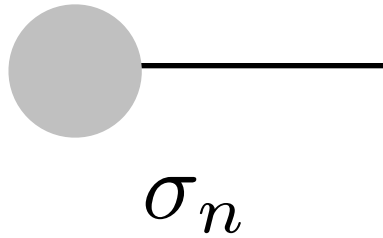
This integration  
performed analytically

Separately finite

This (or a similar) cancellation will always be implicit in all subsequent equations



# Sudakov form factor



Factorisation

$$d\sigma_{n+1}(\Phi_{n+1}) = \mathcal{P}(\Phi_{\text{rad}}) d\sigma_n(\Phi_n) d\Phi_{\text{rad}}$$

Emission probability

$$\mathcal{P}(\Phi_{\text{rad}}) d\Phi_{\text{rad}} \approx \frac{\alpha_S(q)}{\pi} \frac{dq}{q} P(z, \phi) dz \frac{d\phi}{2\pi}$$

**Sudakov form factor** = probability of **no emission**  
from large scale  $q_1$  to smaller scale  $q_2$

$$\Delta_S(q_1, q_2) = \exp \left[ - \int_{q_2}^{q_1} \frac{\alpha_S(q)}{\pi} \frac{dq}{q} \int_{z_0}^1 P(z) dz \right]$$

Based on the **iterative emission of radiation**  
described in the **soft-collinear limit**

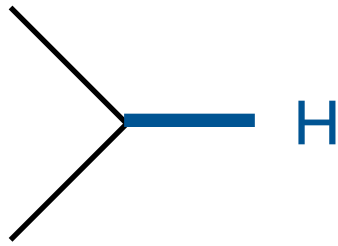
$$d\sigma^{(MC)}(\Phi_R)d\Phi_R = B(\Phi_B)d\Phi_B\mathcal{P}(\Phi_{rad})d\Phi_{rad}$$

**Pros:** soft-collinear radiation is resummed to all orders in pQCD

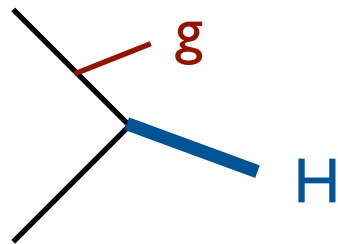
**Cons:** hard large-angle radiation is missing

Overall accuracy will be leading log (LL) for the radiation,  
and leading order (i.e. Born) for the integrated cross sections

# PS example: Higgs plus radiation



Leading order.  
No radiation, Higgs  $p_T = 0$



With emission of radiation  
Higgs  $p_T \neq 0$

Description of hardest emission in PS MC (either event is generated)

$$\frac{d\sigma^{(\text{MC})}}{dy dp_T} = \frac{d\sigma^{(\text{B})}}{dy} \delta(p_T) \Delta(Q_0) + \Delta(p_T) \frac{d\sigma^{(\text{MC})}}{dy dp_T}$$

$$\Delta(p_T) = \exp \left[ - \int_{p_T}^Q \frac{\frac{d\sigma^{(\text{MC})}}{dy dp'_T}}{\frac{d\sigma^{(\text{B})}}{dy}} dp'_T \right]$$

Sudakov form factor

x-sect for  
no emission

prob. of  
**no emission**  
(down to the  
PS cutoff)

prob. of  
no emission  
down to  $p_T$

x-sect for  
**emission at  $p_T$** ,  
as described by the MC

# Shower unitarity

It holds

$$\int_0^Q \left[ \delta(p_T) \Delta(Q_0) + \frac{\Delta(p_T) \frac{d\sigma^{(MC)}}{dy dp_T}}{\frac{d\sigma^{(B)}}{dy}} \right] dp_T = \Delta(Q_0) + \int_{Q_0}^Q \frac{d\Delta(p_T)}{dp_T} dp_T = \Delta(Q) = 1$$

**Shower  
unitarity**

so that

$$\int_0^Q dp_T \frac{d\sigma^{(MC)}}{dy dp_T} = \int_0^Q \left[ \delta(p_T) \Delta(Q_0) + \frac{\Delta(p_T) \frac{d\sigma^{(MC)}}{dy dp_T}}{\frac{d\sigma^{(B)}}{dy}} \right] dp_T = \frac{d\sigma^{(B)}}{dy}$$

A parton shower MC correctly reproduces the Born cross section for integrated quantities

# PS MC in different notation

Writing the real cross section as described by the Monte Carlo (i.e. with the parton shower) simply as  $R^{MC}$ , we can rewrite

$$d\sigma^{MC} = Bd\Phi_B \left[ \Delta_{MC}(Q_0) + \Delta_{MC}(p_T) \frac{R^{MC}}{B} d\Phi_{rad} \right]$$

**with** 
$$\Delta_{MC}(p_T) = \exp \left[ - \int_{p_T} \frac{R^{MC}}{B} d\Phi_{rad} \right]$$

as our Master Formula for a Parton Shower Monte Carlo.

Thanks to the shower unitarity, it holds

$$\Rightarrow \int d\sigma^{MC} = \int Bd\Phi_B = \sigma^{LO}$$

# Matrix Element corrections

In a PS Monte Carlo  $R^{(MC)}(\Phi_R) = B(\Phi_B)\mathcal{P}(\Phi_{rad})$

soft-collinear  
approximation

Replace the MC description of radiation with the **correct** one:

$$\mathcal{P}(\Phi_{rad}) \rightarrow \frac{R}{B}$$

The Sudakov becomes

$$\Delta(p_T) = \exp \left[ - \int_{p_T}^Q \frac{\frac{d\sigma^{(MC)}}{dy dp'_T}}{\frac{d\sigma^{(B)}}{dy}} dp'_T \right] \longrightarrow \Delta_R(p_T) = \exp \left[ - \int \frac{R}{B} \Theta(k_T(\Phi_R) - p_T) d\Phi_{rad} \right]$$

and the x-sect formula for the hardest emission

$$d\sigma^{MEC} = B d\Phi_B \left[ \Delta_R(Q_0) + \Delta_R(p_T) \frac{R}{B} d\Phi_{rad} \right]$$

# Conventions for Sudakov form factor

$$\Delta_S(q_1, q_2) = \exp \left[ - \int_{q_2}^{q_1} \frac{\alpha_S(q)}{\pi} \frac{dq}{q} \int_{z_0}^1 P(z) dz \right]$$

Full expression, with details of soft-collinear radiation probability

$$\Delta(p_T) = \exp \left[ - \int_{p_T}^Q \frac{\frac{d\sigma^{(MC)}}{dy dp'_T}}{\frac{d\sigma^{(B)}}{dy}} dp'_T \right]$$

Dropped upper limit, taken implicitly to be the hard scale  $Q$

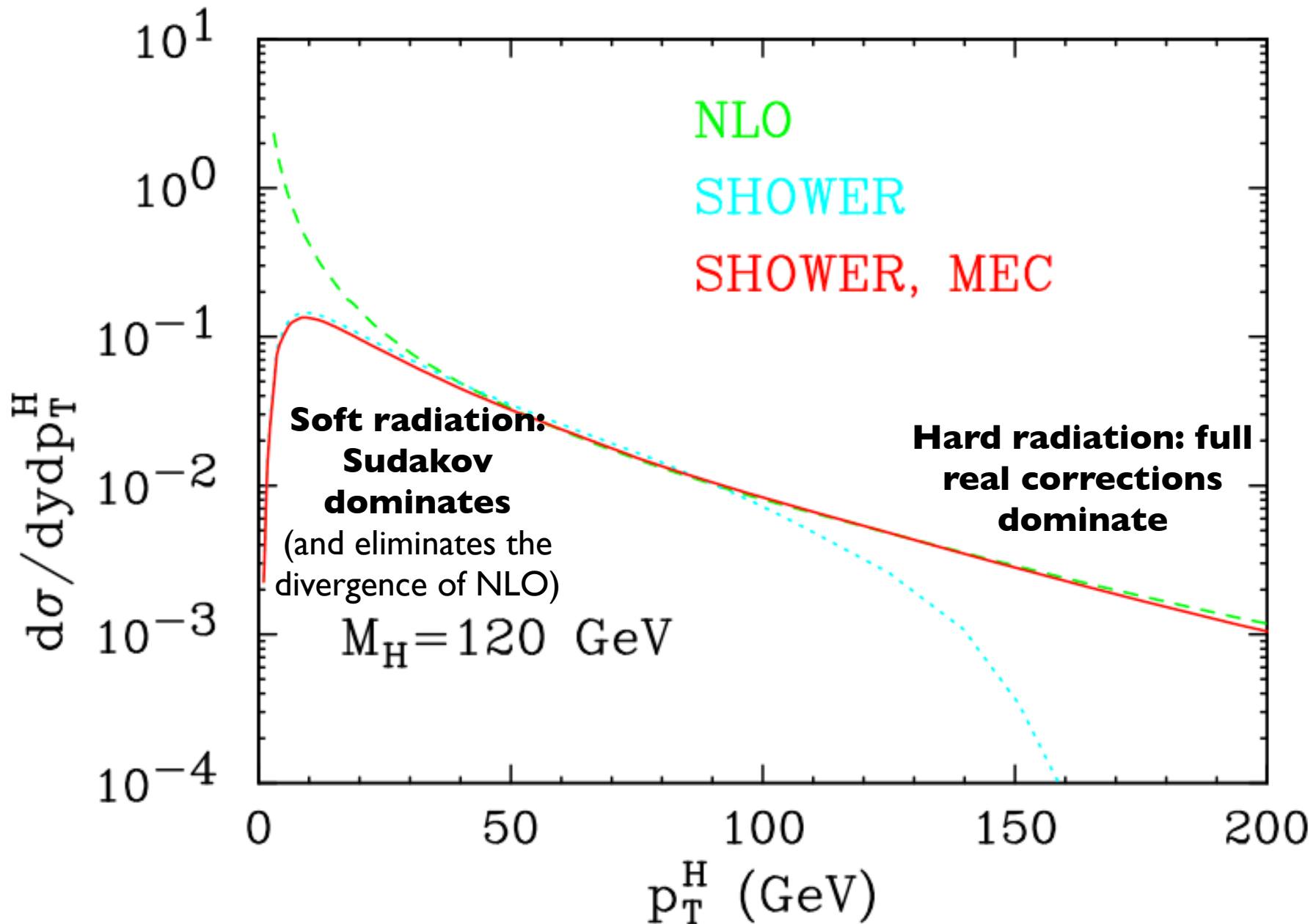
$$\Delta_R(p_T) = \exp \left[ - \int \frac{R}{B} \Theta(k_T(\Phi_R) - p_T) d\Phi_{rad} \right]$$

Introduced suffix (R in this case) to indicate expression used to describe radiation

$$\Delta_R(p_T) = \exp \left[ - \int_{p_T} \frac{R}{B} d\Phi_{rad} \right]$$

Integration boundaries only implicitly indicated

# Matrix Element corrections





We wish to go beyond a Parton Shower (+MEC) Monte Carlo, so that

- ▶ we can successfully interface **matrix elements for multi-parton production with a parton shower**
- ▶ we can successfully interface a **parton shower with a NLO calculation**

## The quest for exactness

**E** exact      **PS**

G. Salam, ICHEP10

	1	2	3	4	5	...	Final state QCD particles
1	<b>E</b>						
2	<b>PS</b>	<b>PS</b>					
3	<b>PS</b>	<b>PS</b>	<b>PS</b>				
4	<b>PS</b>	<b>PS</b>	<b>PS</b>	<b>PS</b>			
5	<b>PS</b>	<b>PS</b>	<b>PS</b>	<b>PS</b>	<b>PS</b>		
...							
















Powers of coupling

Parton shower (PS+MEC)  
Montecarlo (PYTHIA, HERWIG...)

## The quest for exactness

 exact       PS

G. Salam, ICHEP10

	1	2	3	4	5	...	Final state QCD particles
1							1
2							2
3							3
4							4
5							5
...							...

Powers of coupling
















Parton shower (PS+MEC)  
Montecarlo (PYTHIA, HERWIG...)

**PS + Matrix Element (ME)**  
(using CKKW/MLM)

## The quest for exactness

 exact       PS

G. Salam, ICHEP10

	1	2	3	4	5	...	Final state QCD particles
1							
2							
3							
4							
5							
...							

Powers of coupling

Parton shower (PS+MEC)  
Montecarlo (PYTHIA, HERWIG...)

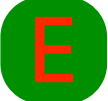














PS + Matrix Element (ME)  
(using CKKW/MLM)

**PS + NLO**  
(MC@NLO, POWHEG)

## The quest for exactness

 exact       PS

G. Salam, ICHEP10

	1	2	3	4	5	...	Final state QCD particles
1							
2							
3							
4							
5							
...							

Powers of coupling

Parton shower (PS+MEC)  
Montecarlo (PYTHIA, HERWIG...)

PS + Matrix Element (ME)  
(using CKKW/MLM)
















PS + NLO  
(MC@NLO, POWHEG)

PS + NLO + ME  
(MENLOPS)

[Hamilton, Nason '10]

## The quest for exactness

G. Salam, ICHEP10

	1	2	3	4	5	...	Final state QCD particles
1							
2							
3							
4							
5							
...							

Powers of coupling

 exact       PS

Parton shower (PS+MEC)  
Montecarlo (PYTHIA, HERWIG...)

PS + Matrix Element (ME)  
(using CKKW/MLM)

PS + NLO  
(MC@NLO, POWHEG)

PS + NLO + ME  
(MENLOPS)

[Hamilton, Nason '10]

**The future**  
PS + NLO + ME<sub>NLO</sub>  
(aMC@NLO)

We wish to go beyond a Parton Shower (+MEC) Monte Carlo, so that

- ▶ we can successfully interface **matrix elements for multi-parton production with a parton shower**

- ▶ we can successfully interface a **parton shower with a NLO calculation**

Matrix-element corrected shower Monte Carlos still have leading order accuracy for the total rates

$$d\sigma^{MEC} = B d\Phi_B \left[ \Delta_R(Q_0) + \Delta_R(p_T) \frac{R}{B} d\Phi_{rad} \right] \quad \text{and} \quad \Delta_R(Q_0) + \int \Delta_R(p_T) \frac{R}{B} d\Phi_{rad} = 1$$
$$\Rightarrow \int d\sigma^{MEC} = \int B d\Phi_B = \sigma^{LO}$$

We want to do better, and **merge** PS and NLO, so that

$$\int d\sigma^{PS+NLO} = \int (B + V) d\Phi_B + \int R d\Phi_R = \sigma^{NLO}$$



## Existing 'MonteCarlos at NLO':

▶ **MC@NLO** [Frixione and Webber, 2002]

▶ **POWHEG** [Nason, 2004]

NB. MC@NLO is a **code**, POWHEG is a **method**

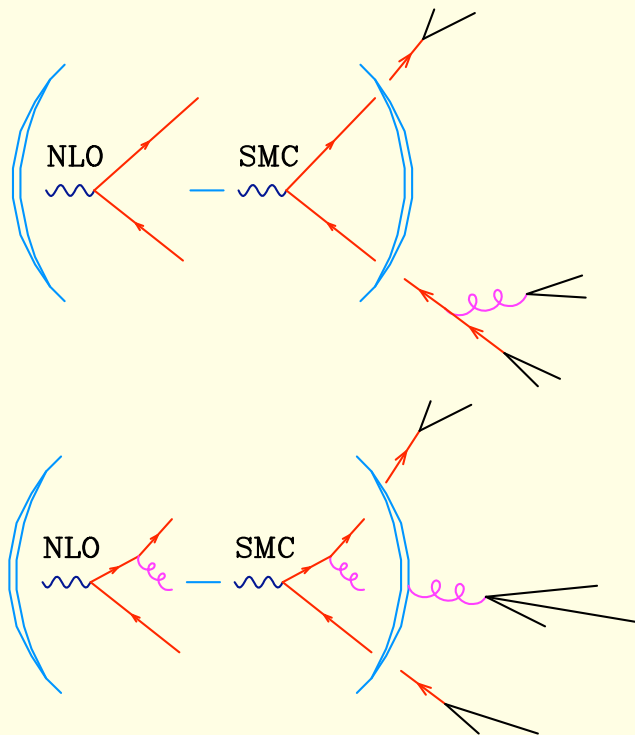
## Evolving into (semi)automated forms:

▶ **The POWHEG BOX** [powhegbox.mib.infn.it 2010]

▶ **POWHEL** (HELAC + POWHEG BOX) [Trocsanyi et al 2012]

▶ **aMC@NLO** [amcatnlo.cern.ch 2011]

First solution: MC@NLO (2002, Frixione+Webber)



Add difference between **exact NLO** and **approximate (MC) NLO**.

- Must use MC kinematics
- Difference should be regular (if the MC is OK)
- Difference may be **negative**

Several collider processes already there:  
 Vector Bosons, Vector Bosons pairs,  
 Higgs, Single Top (also with  $W$ ),  
 Heavy Quarks, Higgs+ $W/Z$ .

Idea: remove from the NLO the terms that are already generated by the parton shower (NB. MC-specific)

$$d\sigma^{MC@NLO} = \bar{B}_{MC} d\Phi_B \left[ \Delta_{MC}(Q_0) + \Delta_{MC}(p_T) \frac{R^{MC}}{B} d\Phi_{rad} \right] + \frac{[R - R^{MC}] d\Phi_R}{1}$$

$$\bar{B}_{MC} = B + \left[ V + \int R^{MC} d\Phi_{rad} \right]$$

‘soft’ event                      MC shower                      ‘hard’ event

It is easy to see that, as desired,

$$\int d\sigma^{MC@NLO} = \int d\sigma^{NLO}$$

Idea: generated hardest radiation first, then pass event to MC for generation of subsequent, softer radiation

$$d\sigma^{POWHEG} = \bar{B} d\Phi_B \left[ \Delta_R(Q_0) + \Delta_R(p_T) \frac{R}{B} d\Phi_{rad} \right]$$

$$\bar{B} = B + \left[ V + \int R d\Phi_{rad} \right]$$

NLO x-sect
MC shower

It is easy to see that, as desired,

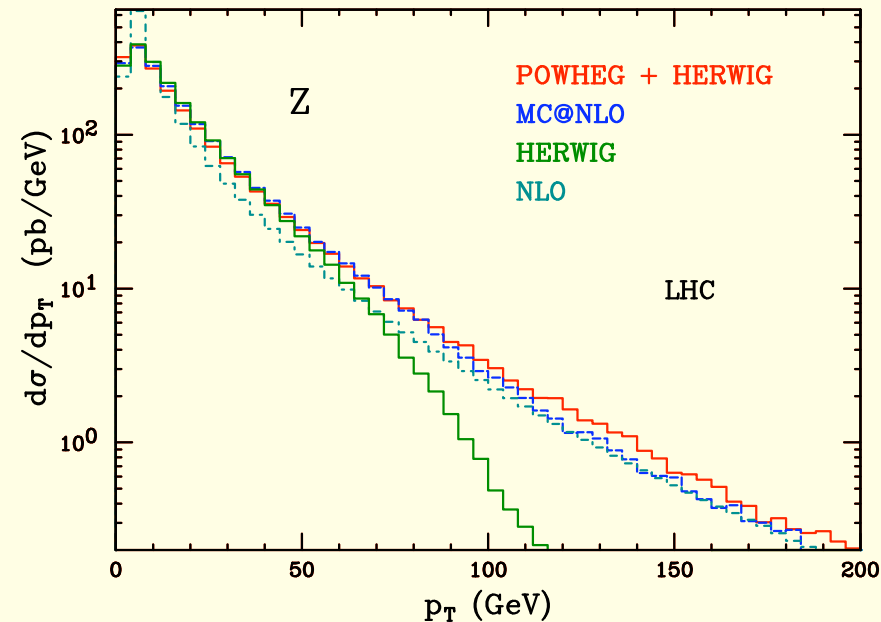
$$\int d\sigma^{POWHEG} = \int d\sigma^{NLO}$$

## Examples: Z production

HERWIG alone fails at large  $p_T$ ;  
NLO alone fails at small  $p_T$ ;  
MC@NLO and POWHEG work  
in both regions;

Notice:

HERWIG with ME corrections  
or any ME program, give the  
same NLO shape at large  $p_T$   
However: Normalization around  
small  $p_T$  region is incorrect  
(i.e. only LO).



The essence of the improvement with respect to standard shower and ME  
matched programs is summarized in this plot.

Be careful with the misleading language:  $Z$  at LO  $\mathcal{O}(1)$ , NLO  $\mathcal{O}(\alpha_s)$ ;

At  $\mathcal{O}(1)$  there is no  $Z$  transverse momentum. Thus, the  $p_T$  distribution  $p_T > 0$   
is of  $\mathcal{O}(\alpha_s)$ , i.e. has leading order accuracy!

# MC@NLO v. POWHEG

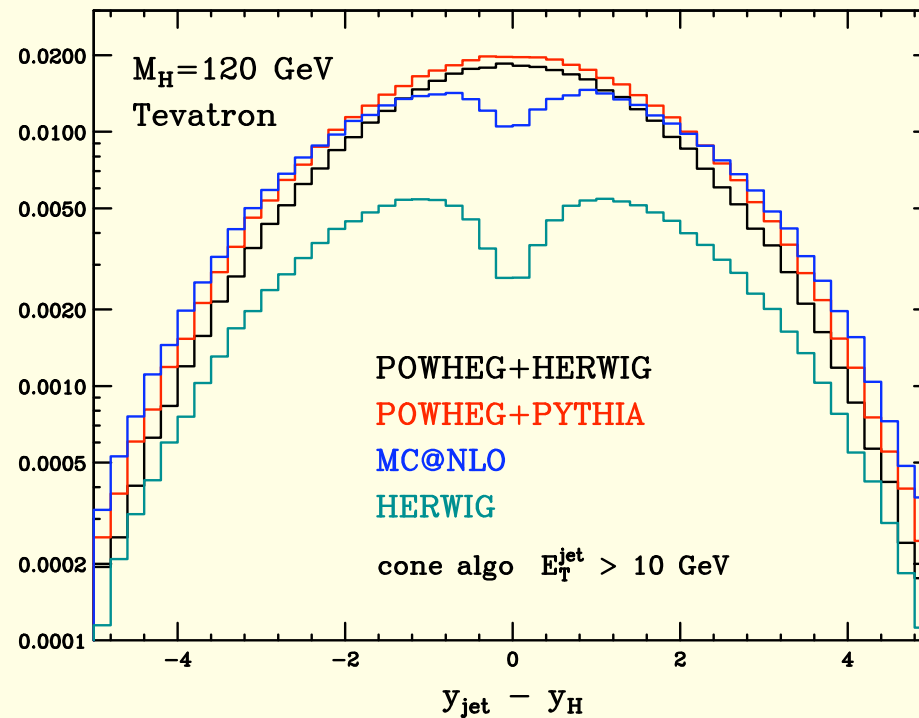
The two methods are largely equivalent.  
They do, however, have separate **pros** and **cons**.

## MC@NLO

- ▶ can have negative weights
- ▶ needs specific implementation for each PS MonteCarlo (but now exists for both HERWIG and PYTHIA)
- ▶ ‘rapidity dip’ in some distributions
- ▶ Distributions from NLO codes rigorously reproduced
- ▶ fully automated in aMC@NLO

## POWHEG

- ▶ weights always positive
- ▶ interfaces naturally to any PS MonteCarlo
- ▶ can generate large (NNLO) K-factors in some distributions (but a practical solution is available)
- ▶ not yet fully automated (but the POWEG BOX is a step in this direction, and it is being exploited by POWHEL in this direction)

Jet rapidity in  $h$  production

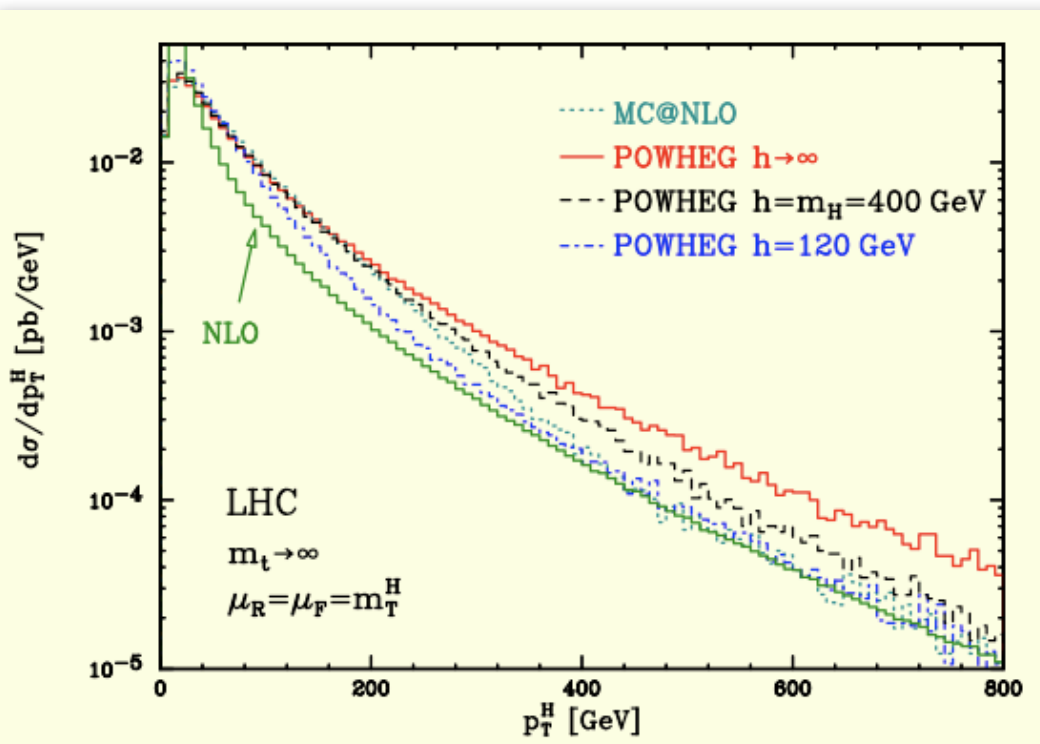
Dip in MC@NLO inherited from even deeper dip in HERWIG  
 (MC@NLO tries to fill dead regions in HERWIG, a mismatch remains).

# Large $p_T$ enhancement in POWHEG

The 'naive' formulation for POWHEG is

$$d\sigma^{POWHEG} = \bar{B}d\Phi_B \left[ \Delta_R(Q_0) + \Delta_R(p_T) \frac{R}{B} d\Phi_{rad} \right]$$

In this form  $\bar{B}d\Phi_B$  provides the NLO K-factor (order  $1 + \mathcal{O}(\alpha_s)$ ), but also associates it to large  $p_T$  radiation, where the calculation is already  $\mathcal{O}(\alpha_s)$  (but only LO accuracy).



This generates an effective (but not necessarily correct)  $\mathcal{O}(\alpha_s^2)$  term (i.e. NNLO for the total cross section)

OK because beyond nominal accuracy, but one may feel uncomfortable with such large numerical factors



# Modified POWHEG

The 'problem' with the naive POWHEG comes from the hard radiation being enhanced by spurious higher orders. In order to suppress this effect, we split

$$R = R^S + R^F \quad R^S \equiv \frac{h^2}{h^2 + p_T^2} R \quad R^F \equiv \frac{p_T^2}{h^2 + p_T^2} R$$

Contains  
singularities

Regular in  
small  $p_T$  region

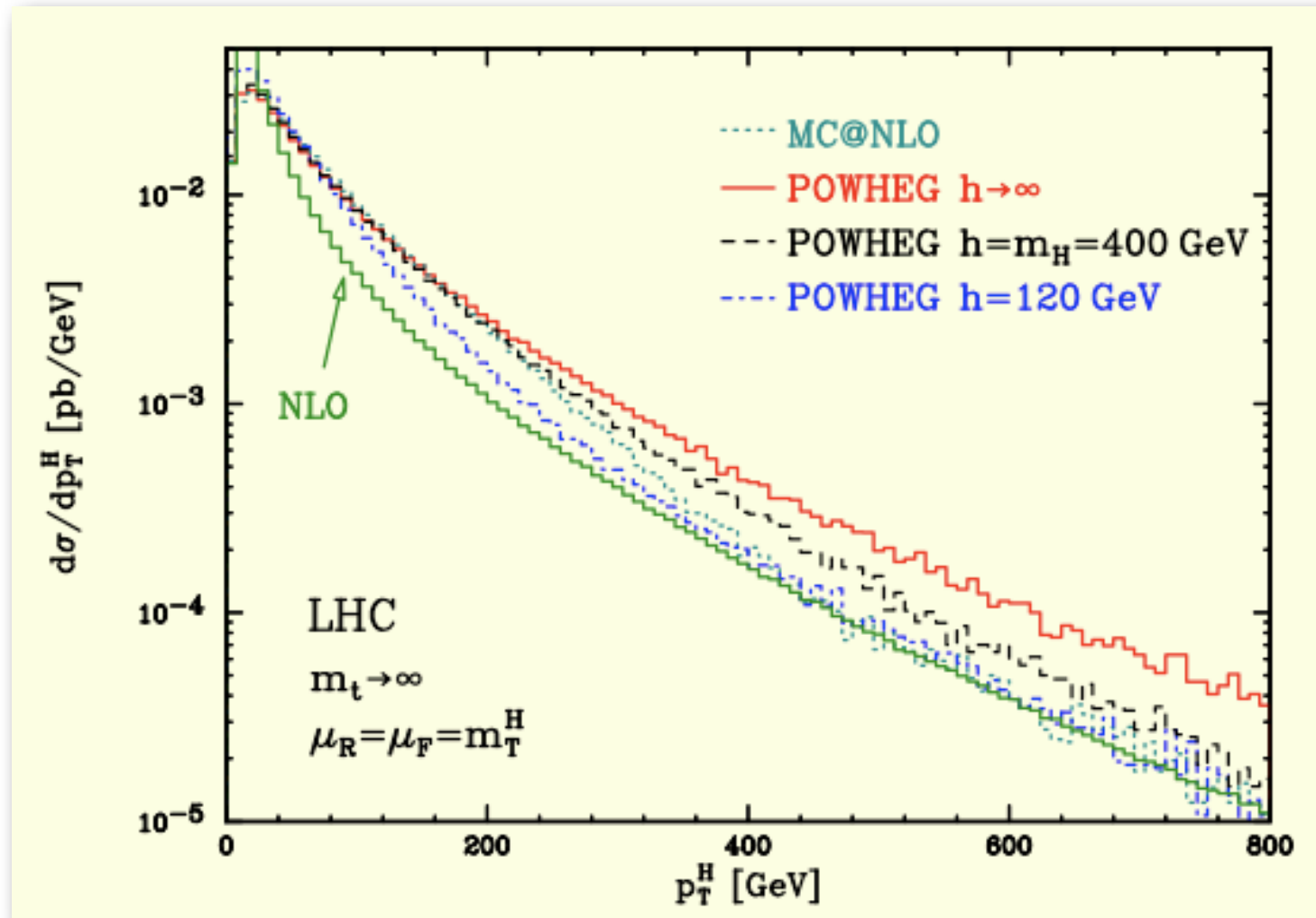
$$d\sigma^{POWHEG} = \bar{B}^S d\Phi_B \left[ \Delta_S(Q_0) + \Delta_S(p_T) \frac{R^S}{B} d\Phi_{rad} \right] + R^F d\Phi_R$$

$$\bar{B}^S = B + \left[ V + \int R^S d\Phi_{rad} \right]$$

$$\Delta_S(p_T) = \exp \left[ - \int_{p_T} \frac{R^S}{B} d\Phi_{rad} \right]$$

# Modified POWHEG

In the  $h \rightarrow \infty$  limit the exact NLO result is recovered



# Comparisons

$$d\sigma^{MC} = Bd\Phi_B \left[ \Delta(Q_0) + \Delta(p_T) \frac{R^{MC}}{B} d\Phi_{rad} \right]$$

$$d\sigma^{MEC} = Bd\Phi_B \left[ \Delta_R(Q_0) + \Delta_R(p_T) \frac{R}{B} d\Phi_{rad} \right]$$

$$d\sigma^{NLO} = [B + V] d\Phi_B + R d\Phi_R$$

$$d\sigma^{MC@NLO} = \bar{B}_{MC} d\Phi_B \left[ \Delta_{MC}(Q_0) + \Delta_{MC}(p_T) \frac{R^{MC}}{B} d\Phi_{rad} \right] + [R - R^{MC}] d\Phi_R$$

$$d\sigma^{POWHEG} = \bar{B}^S d\Phi_B \left[ \Delta_S(Q_0) + \Delta_S(p_T) \frac{R^S}{B} d\Phi_{rad} \right] + R^F d\Phi_R$$

POWHEG approaches MC@NLO if  $R^S \rightarrow R^{MC}$

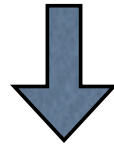
We wish to go beyond a Parton Shower (+MEC) Monte Carlo, so that

- ▶ we can successfully interface **matrix elements for multi-parton production with a parton shower**
- ▶ we can successfully interface a **parton shower with a NLO calculation**



## MATRIX ELEMENTS VS. PARTON SHOWERS

ME

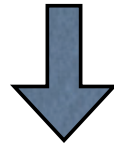


1. Fixed order calculation
2. Computationally expensive
3. Limited number of particles
4. Valid when partons are **hard and well separated**
5. Quantum interference correct
6. Needed for multi-jet description



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Shower MC

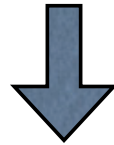


1. Resums logs to all orders
2. Computationally cheap
3. No limit on particle multiplicity
4. Valid when partons are **collinear and/or soft**
5. Partial interference through angular ordering
6. Needed for hadronization



## MATRIX ELEMENTS VS. PARTON SHOWERS

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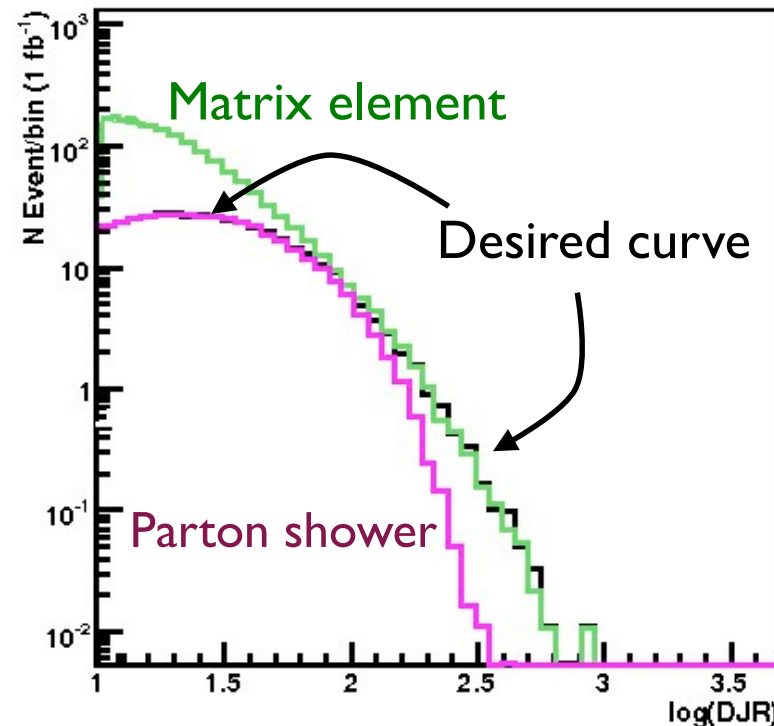
**Approaches are complementary: merge them!**

**Difficulty: avoid double counting, ensure smooth distributions**



## GOAL FOR ME-PS MERGING/MATCHING

- Regularization of matrix element divergence
- Correction of the parton shower for large momenta
- Smooth jet distributions



2nd QCD radiation jet in  
top pair production at  
the LHC



# MEPS

- Objective: merge n-jet MEs with PSMC such that
  - ✦ Multijet rates for  $k_t$ -resolution  $> Q_{\text{cut}}$  are correct to LO
  - ✦ PSMC generates jet structure below  $Q_{\text{cut}}$
  - ✦  $Q_{\text{cut}}$  dependence cancels to NLL accuracy

CKKW: Catani et al., JHEP 11(2001)

-L: Lonnblad, JHEP 05(2002)063

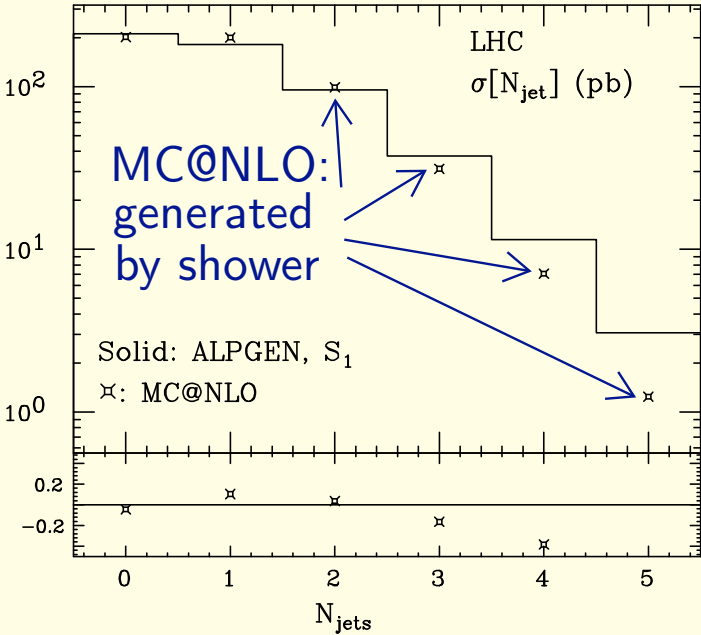
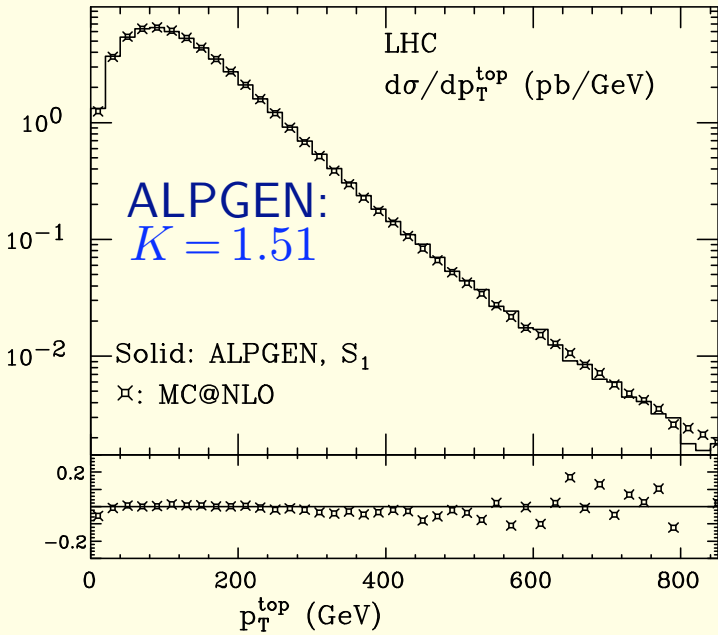
MLM: Mangano et al., NP B632(2002)343

# MCs at NLO v. ME+PS

NLO+PS compared with ME programs: ALPGEN and MC@NLO in  $t\bar{t}$  production

- expect:
- Disadvantage: worse normalization (no NLO)
  - Advantage: better high jet multiplicities (exact ME)

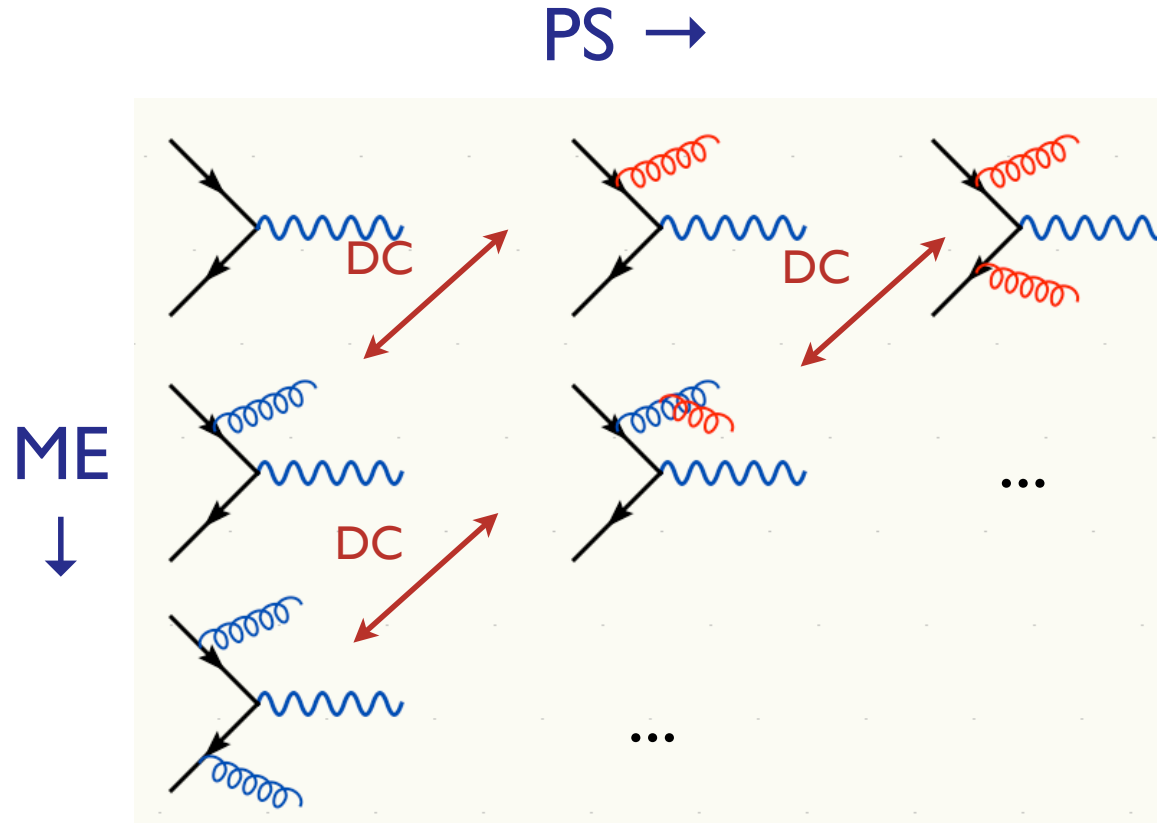
(Mangano, Moretti, Piccinini, Treccani, Nov.06)





## MERGING ME WITH PS

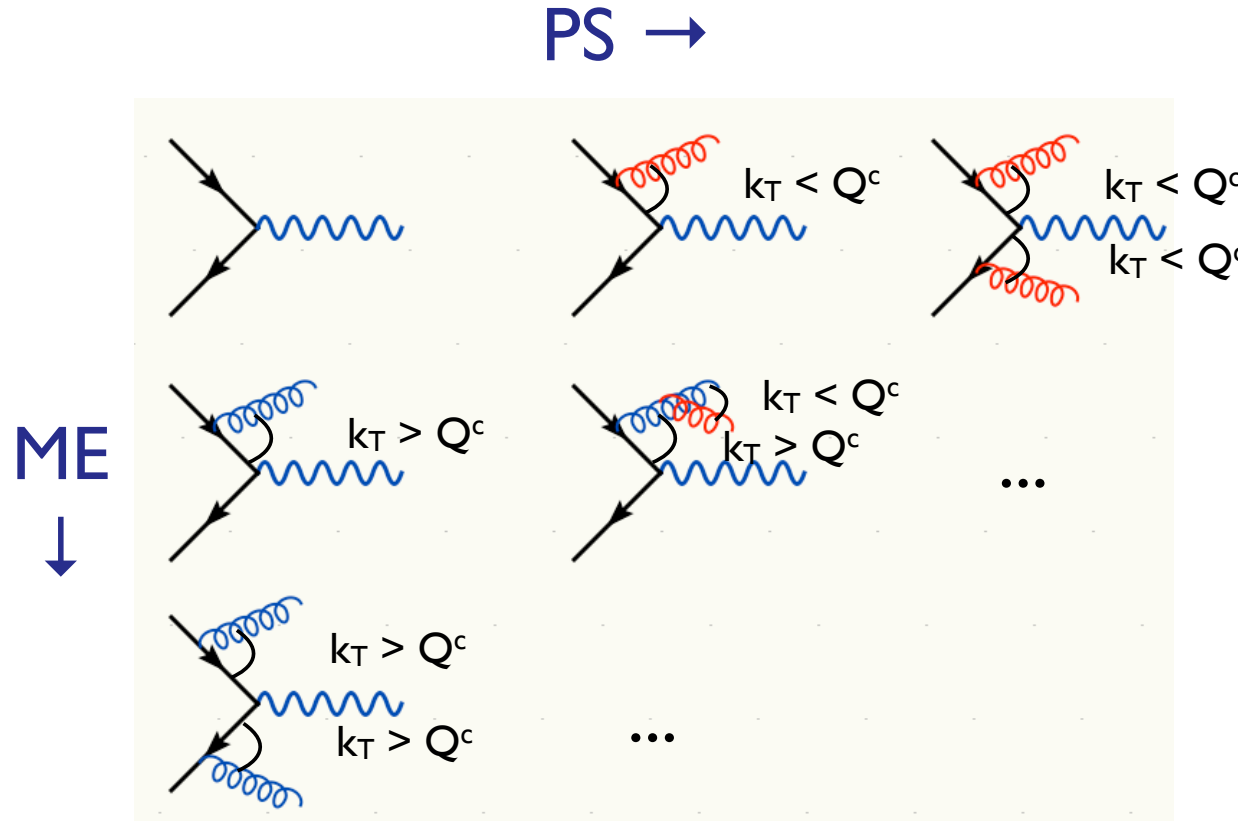
[Mangano]  
[Catani, Krauss, Kuhn, Webber]





## MERGING ME WITH PS

[Mangano]  
[Catani, Krauss, Kuhn, Webber]

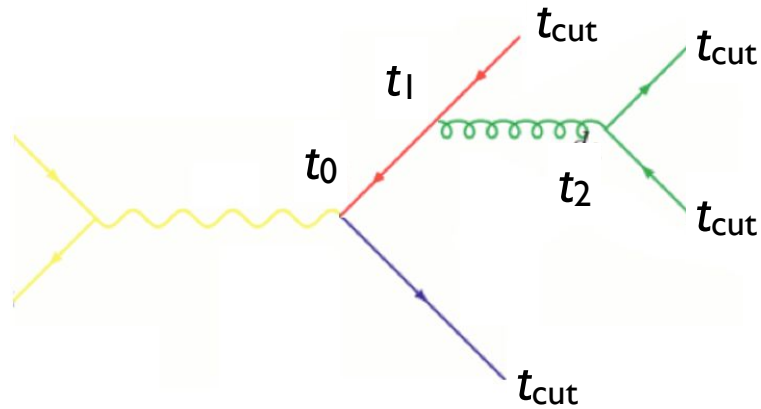


Double counting between ME and PS easily avoided using phase space cut between the two: PS below cutoff, ME above cutoff.

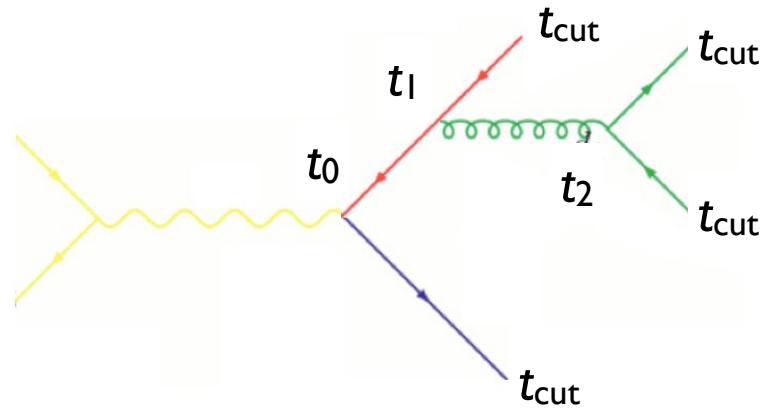
## MERGING ME WITH PS

- So double counting no problem, but what about getting smooth distributions that are independent of the precise value of  $Q^c$ ?
- Below cutoff, distribution is given by PS
  - need to make ME look like PS near cutoff
- Let's take another look at the PS!

# MERGING ME WITH PS

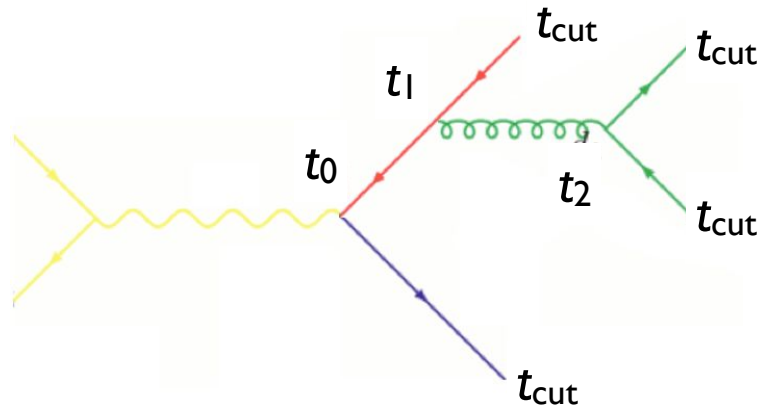


# MERGING ME WITH PS



- How does the PS generate the configuration above?

# MERGING ME WITH PS

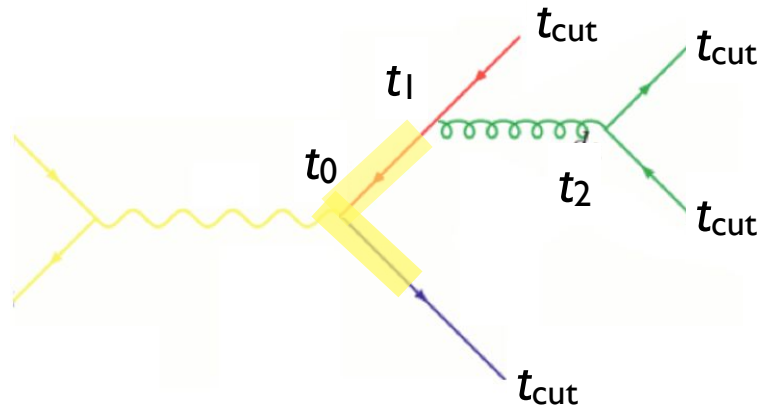


- How does the PS generate the configuration above?
- Probability for the splitting at  $t_1$  is given by

$$(\Delta_q(t_1, t_0))^2 \frac{\alpha_s(t_1)}{2\pi} P_{gq}(z)$$



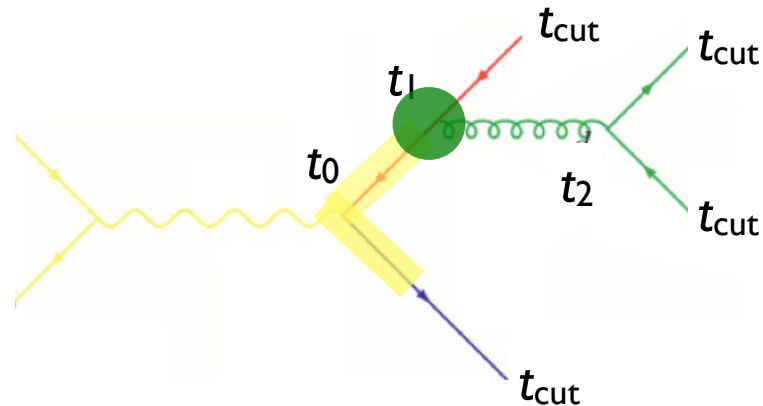
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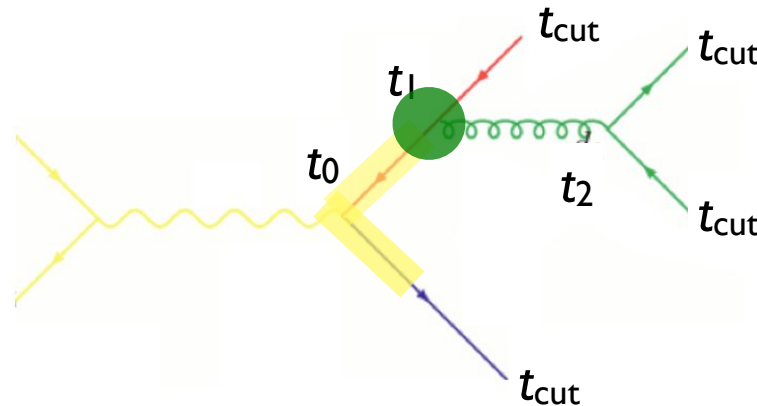
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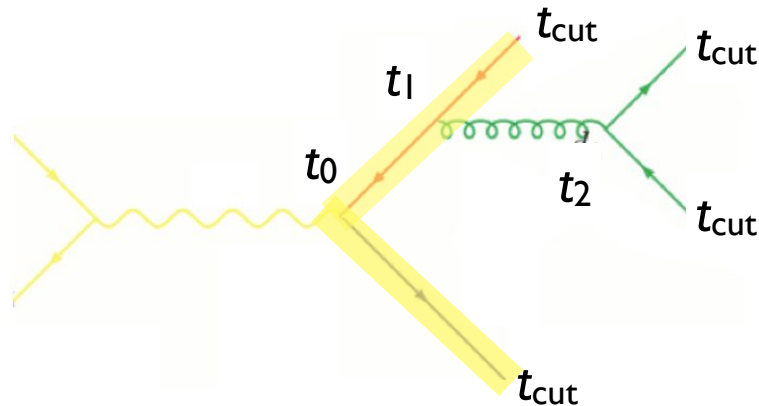
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and for the whole tree

$$(\Delta_q(t_{\text{cut}}, t_0))^2 \Delta_g(t_2, t_1) (\Delta_q(\text{cut}, t_2))^2 \frac{\alpha_s(t_1) P_{gq}(z)}{2\pi} \frac{\alpha_s(t_2) P_{qg}(z')}{2\pi}$$

# MERGING ME WITH PS



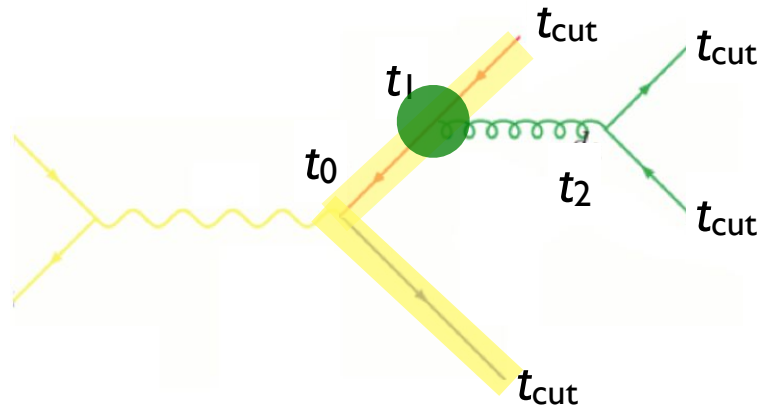
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# MERGING ME WITH PS



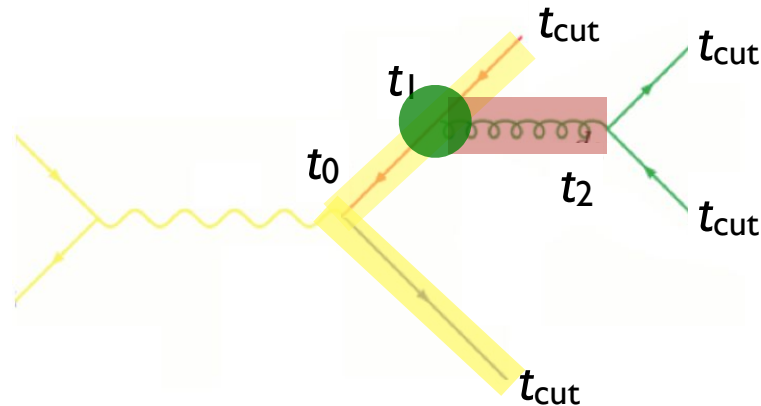
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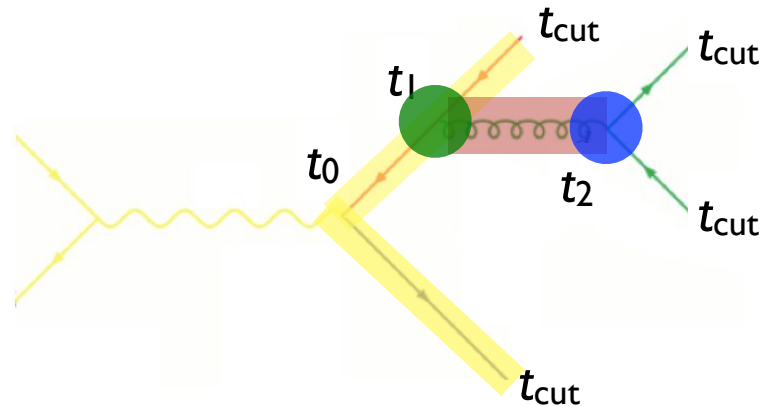
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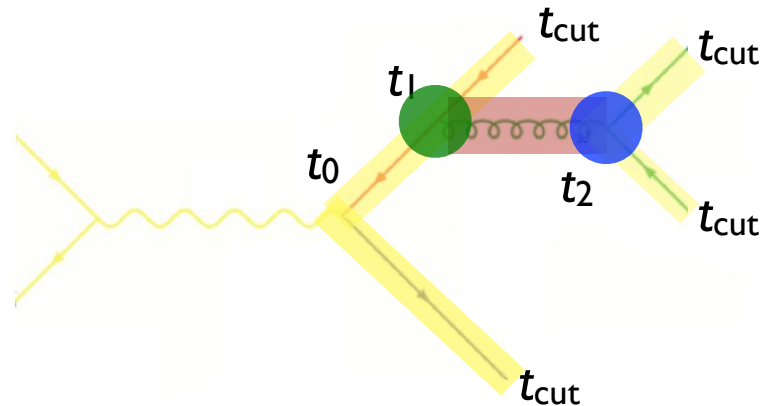
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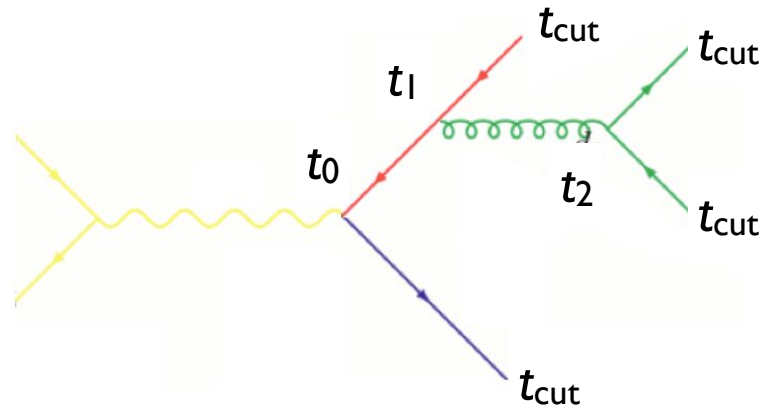
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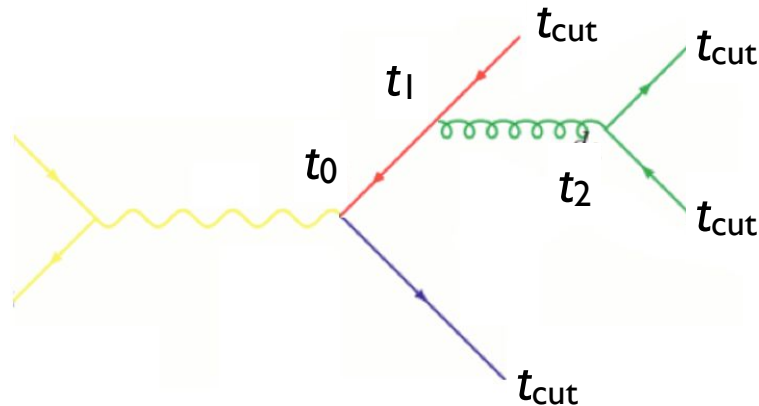


# MERGING ME WITH PS



$$(\Delta_q(t_{\text{cut}}, t_0))^2 \Delta_g(t_2, t_1) (\Delta_q(t_{\text{cut}}, t_2))^2 \frac{\alpha_s(t_1)}{2\pi} P_{gq}(z) \frac{\alpha_s(t_2)}{2\pi} P_{qg}(z')$$

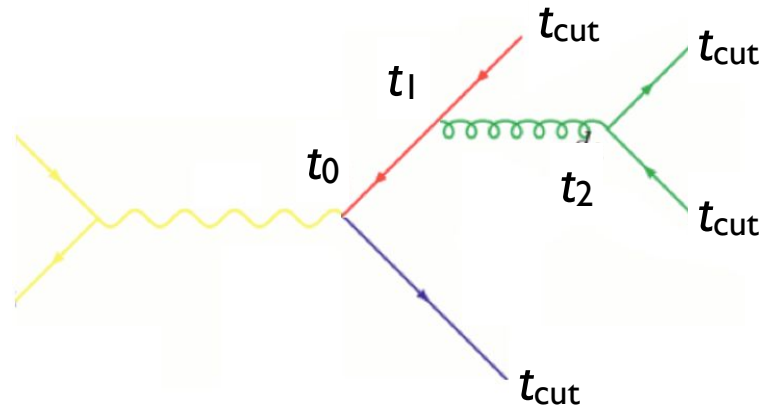
# MERGING ME WITH PS



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Corresponds to the matrix element  
 BUT with  $\alpha_s$  evaluated at the scale of each splitting

# MERGING ME WITH PS

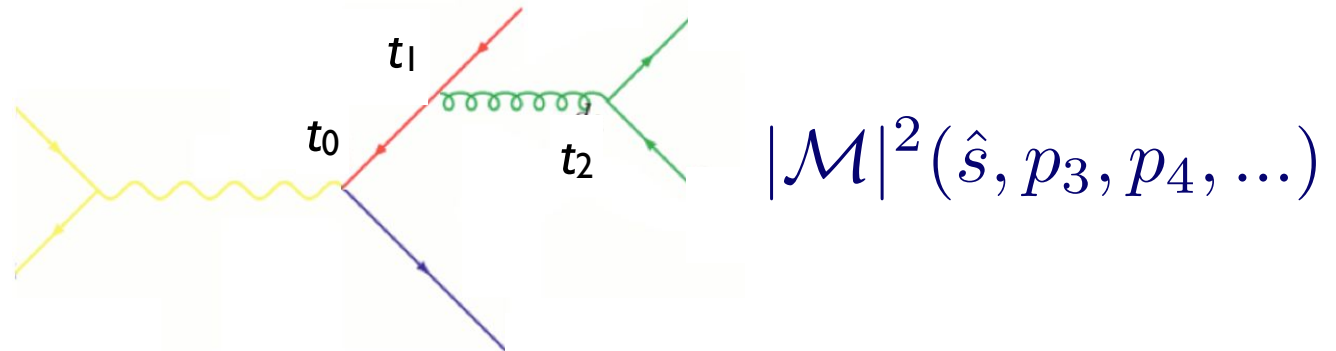


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Corresponds to the matrix element  
 BUT with  $\alpha_s$  evaluated at the scale of each splitting

Sudakov suppression due to disallowing additional radiation  
 above the scale  $t_{\text{cut}}$

# MERGING ME WITH PS



- To get an equivalent treatment of the corresponding matrix element, do as follows:

- Cluster the event using some clustering algorithm  
- this gives us a corresponding “parton shower history”
- Reweight  $\alpha_s$  in each clustering vertex with the clustering scale

$$|\mathcal{M}|^2 \rightarrow |\mathcal{M}|^2 \frac{\alpha_s(t_1)}{\alpha_s(t_0)} \frac{\alpha_s(t_2)}{\alpha_s(t_0)}$$

- Use some algorithm to apply the equivalent Sudakov suppression  $(\Delta_q(t_{\text{cut}}, t_0))^2 \Delta_g(t_2, t_1) (\Delta_q(t_{\text{cut}}, t_2))^2$

Slide from F. Maltoni

# ME+PS matching methods

# ME+PS matching methods

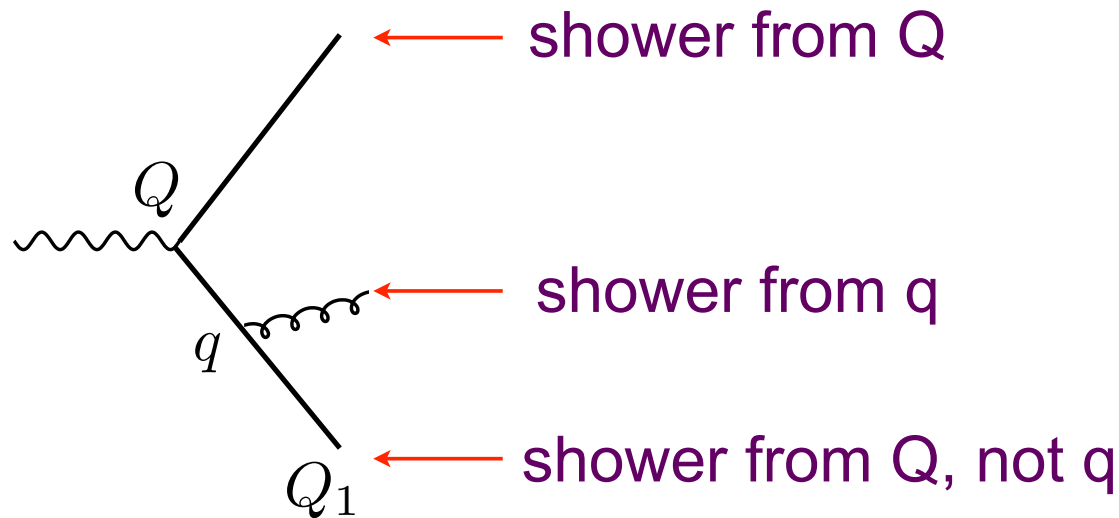
- ▶ **CKKW** [Catani, Krauss, Kuhn, Webber, 2001]
- ▶ **CKKW-L** [Lonnblad, 2002]
- ▶ **MLM** [Mangano, 2002]

# CKKW reweighting

- Choose  $n$  according to  $R_n(Q, Q_1)$  (LO)
  - ✦ use  $[\alpha_S(Q_1)]^n$
- Use exact LO ME to generate  $n$  partons
- Construct “equivalent shower history”
  - ✦ preferably using  $k_T$ -type algorithm
- Weight vertex at scale  $q$  by  $\alpha_S(q)/\alpha_S(Q_1) < 1$
- Weight parton of type  $i$  from  $Q_j$  to  $Q_k$  by
 
$$\Delta_i(Q_j, Q_1)/\Delta_i(Q_k, Q_1)$$

# CKKW shower veto

- Shower  $n$  partons from “creation scales”
  - ✦ includes coherent soft emission
- Veto emissions at scales above  $Q_1$ 
  - ✦ cancels leading (LL&NLL)  $Q_1$  dependence






# MLM Matching

- Use cone algorithm for jet definition:

$$R_{ij}^2 = (\eta_i - \eta_j)^2 + (\phi_i - \phi_j)^2$$

$$E_{Ti} > E_{Tmin}, R_{ij} > R_{min}$$

- Generate n-parton configurations with (no Sudakov weights)  $E_{Ti} > E_{Tmin}, R_{ij} > R_{min}$
- Generate showers (no vetos)
- Form jets using same jet definition
- Reject event if  $n_{jets} \neq n_{partons}$   mimics Sudakov+veto

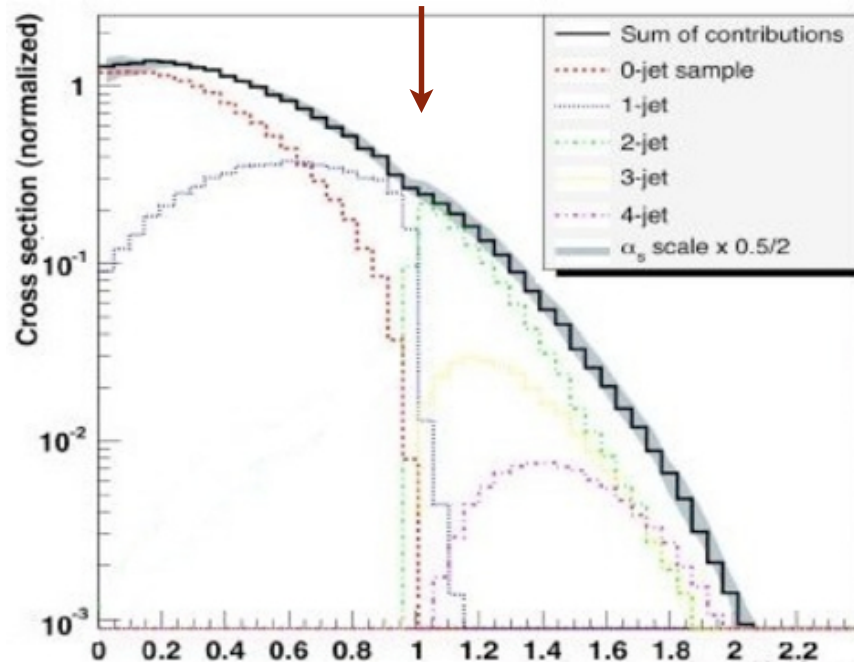
Mangano, Moretti, Piccinini,  
Treccani, JHEP01(2007)013



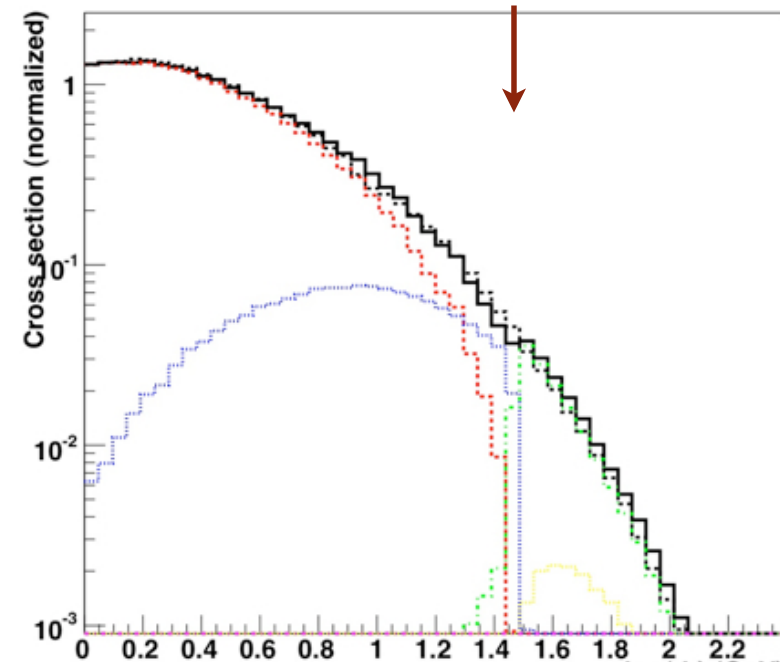
## MATCHING RESULTS

W+jets production at the Tevatron for MadGraph+Pythia  
(kT-jet MLM scheme)

$Q^{\text{match}} = 10 \text{ GeV}$



$Q^{\text{match}} = 30 \text{ GeV}$

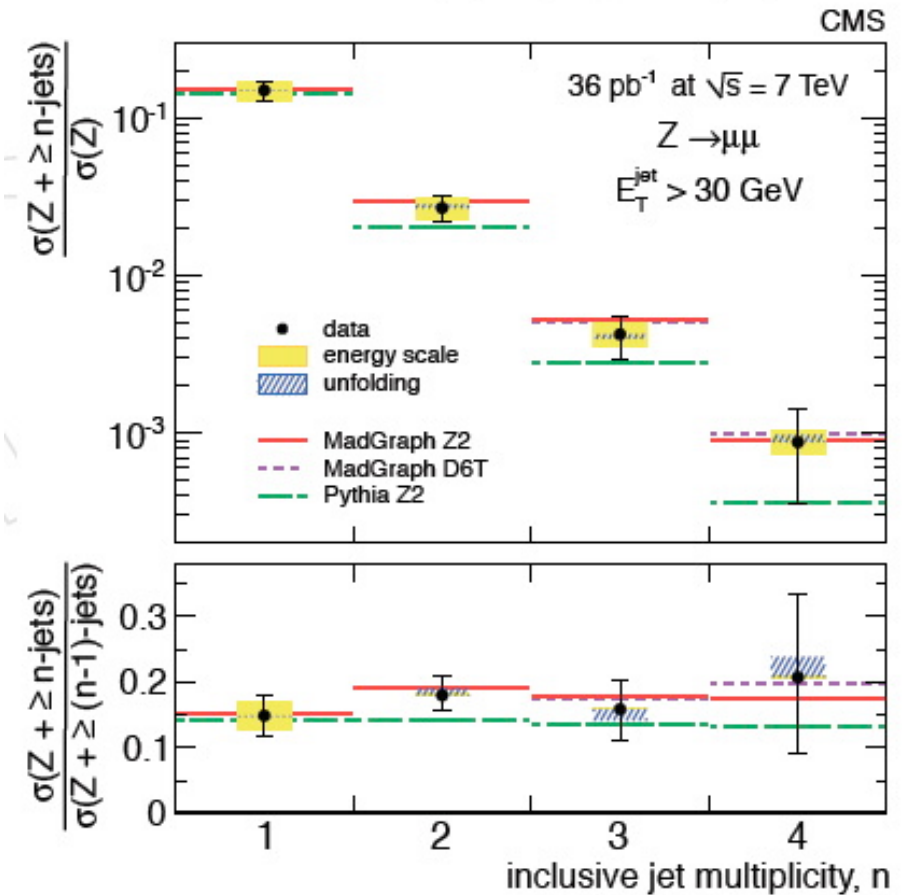
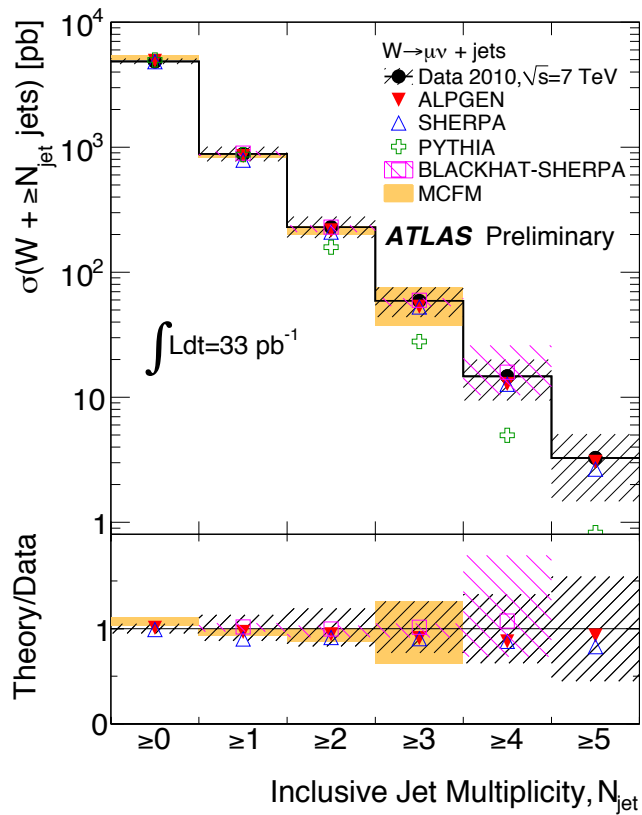


$\log(\text{Differential jet rate for } 1 \rightarrow 2 \text{ radiated jets } \sim p_T(2\text{nd jet}))$

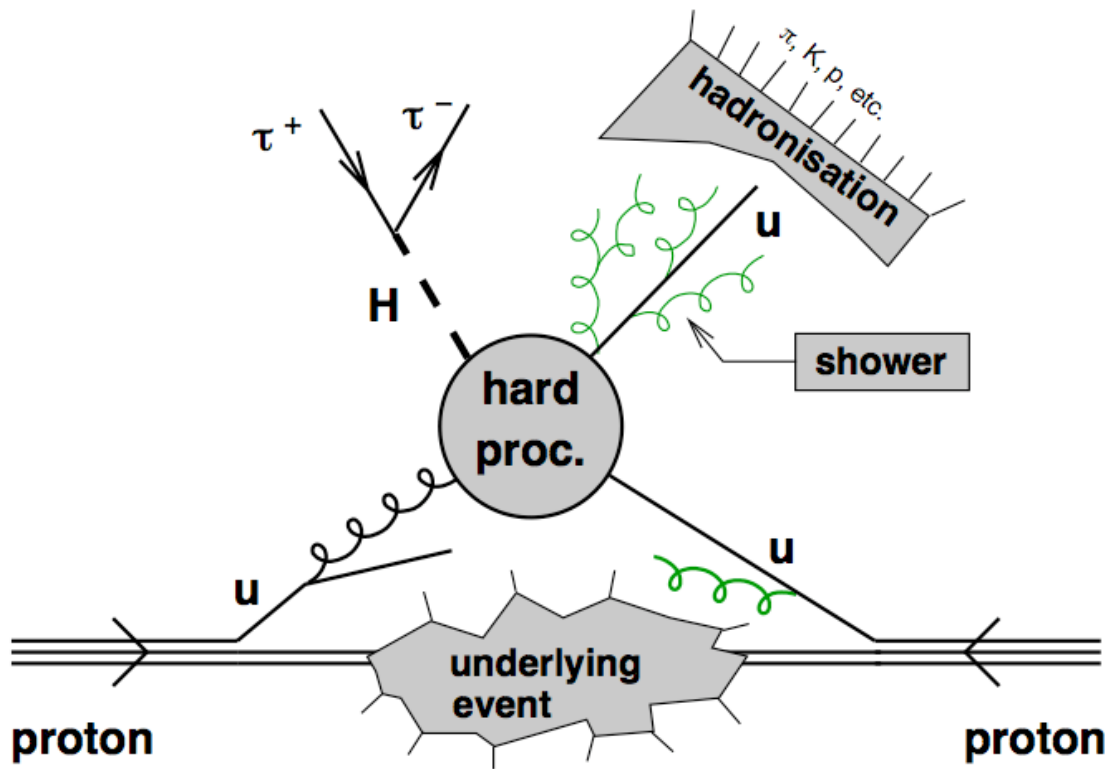
Jet distributions smooth, and stable when we vary the matching scale!



## TH/EXP COMPARISON AT THE LHC



# Ingredients and tools



- ▶ PDFs
- ▶ Hard scattering
- ▶ Final state tools

# Gluon 'discovery'

1979:

**Three-jet events** observed by TASSO, JADE, MARK J and PLUTO at PETRA in  $e^+e^-$  collisions at 27.4 GeV

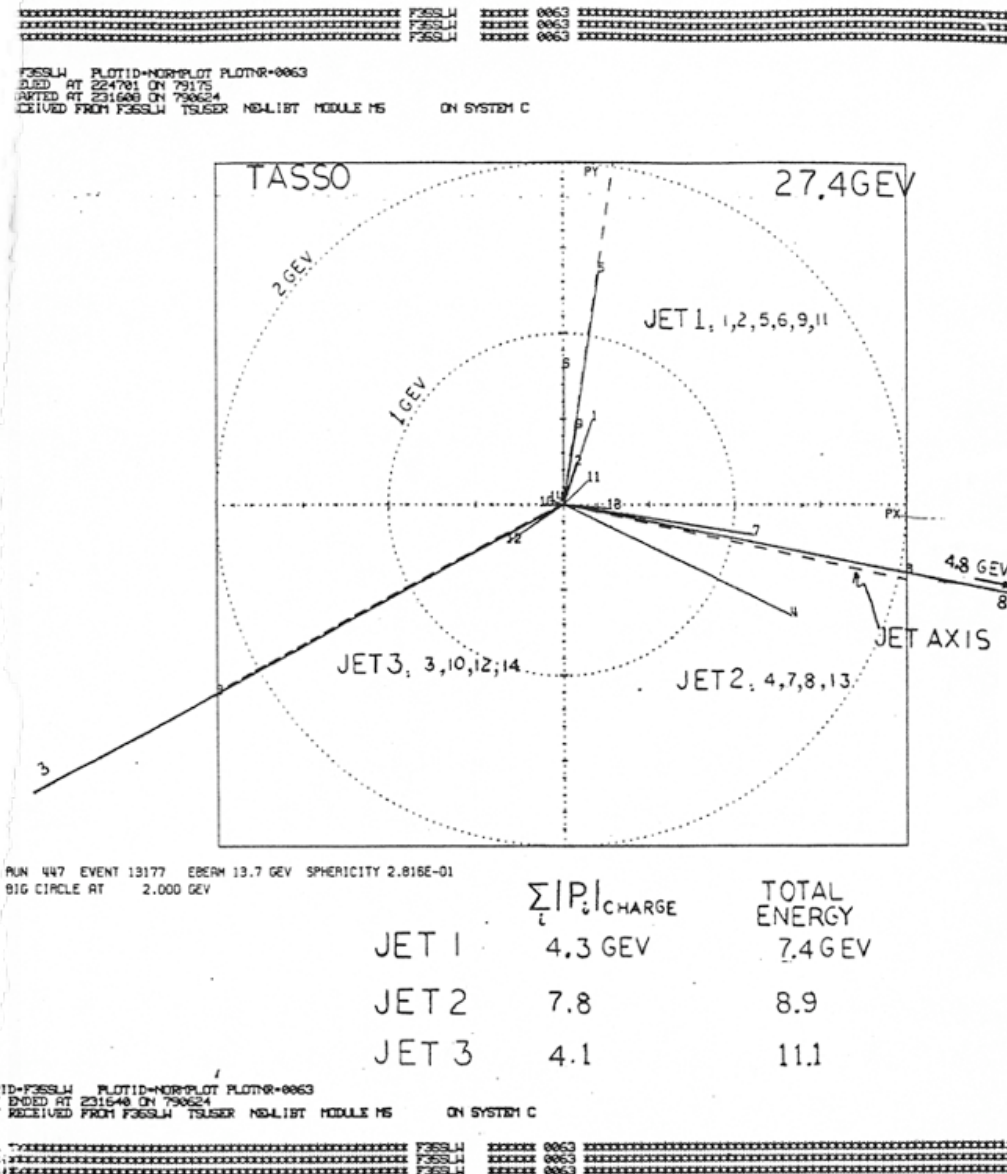


FIGURE 3

# Gluon 'discovery'

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**Interpretation:**  
large angle emission of a hard gluon

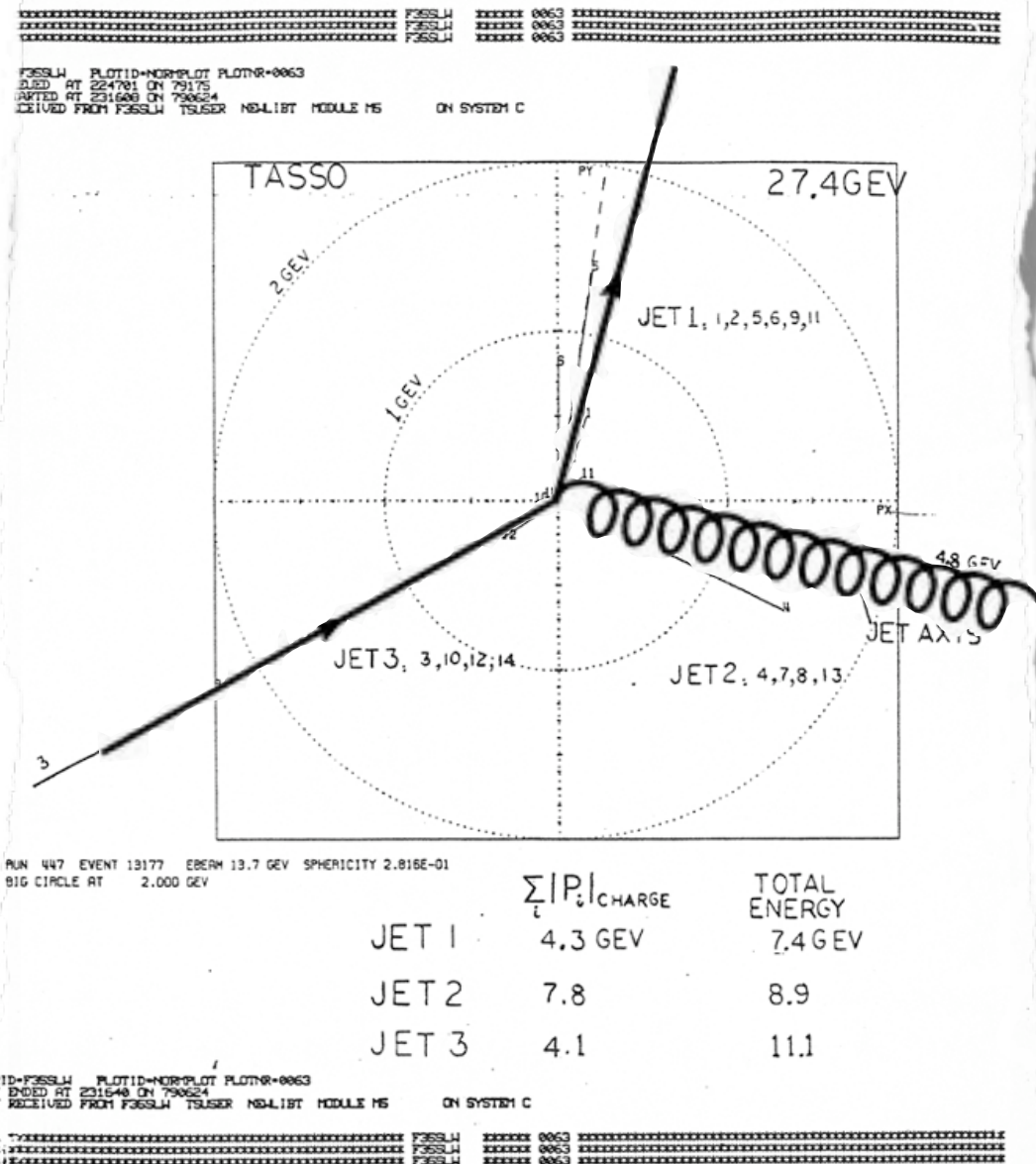


FIGURE 3



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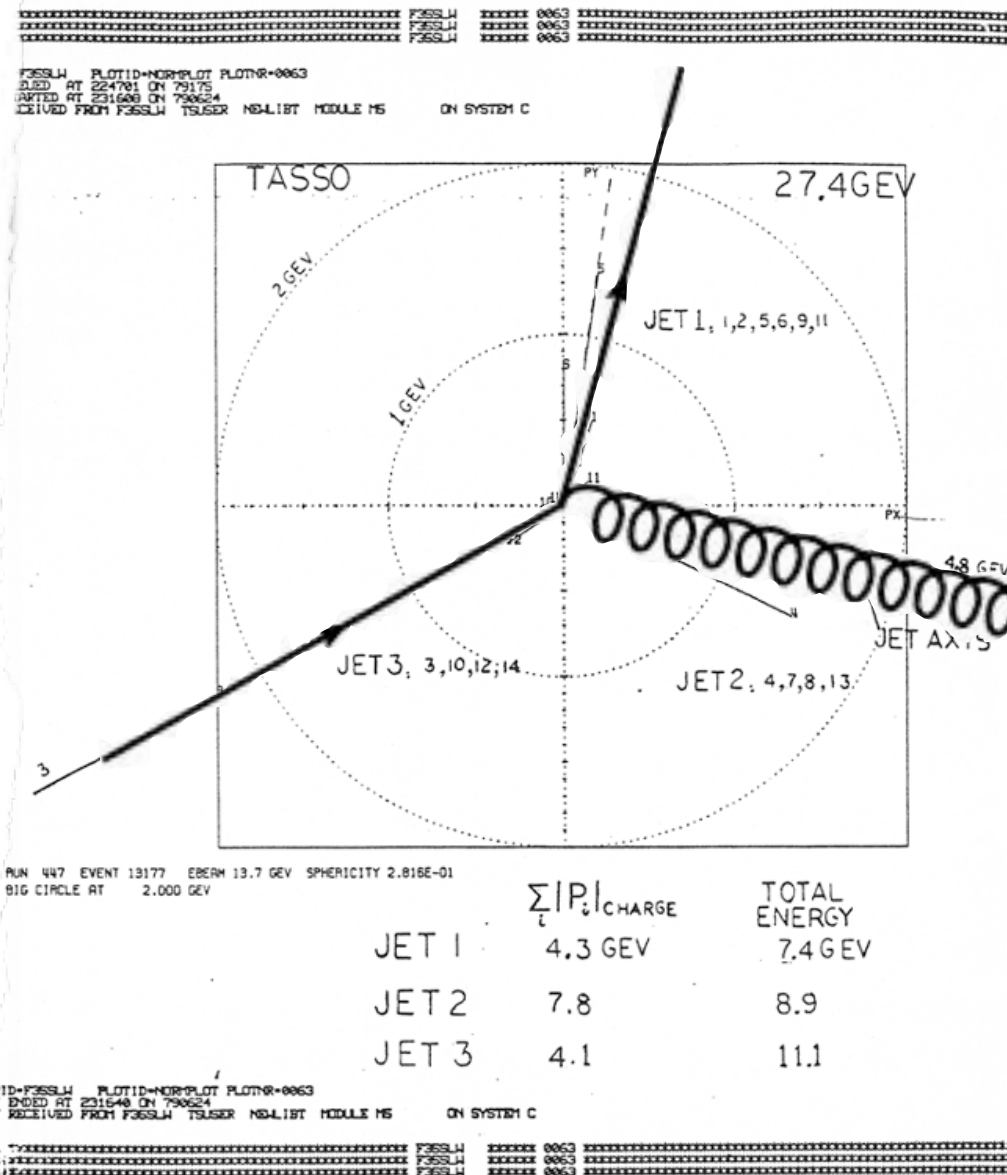
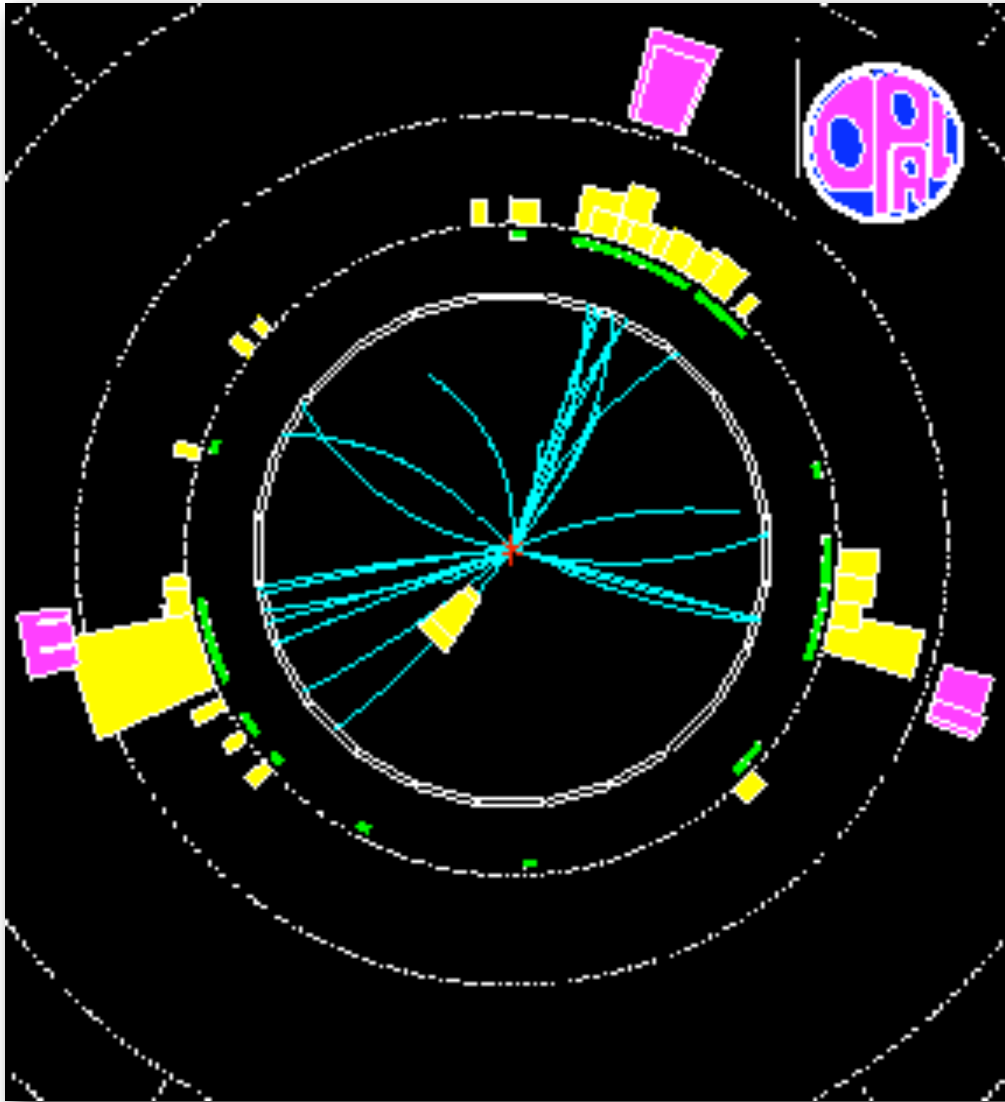


FIGURE 3

Jets viewed as a proxy to the initial partons



## From PETRA to LEP

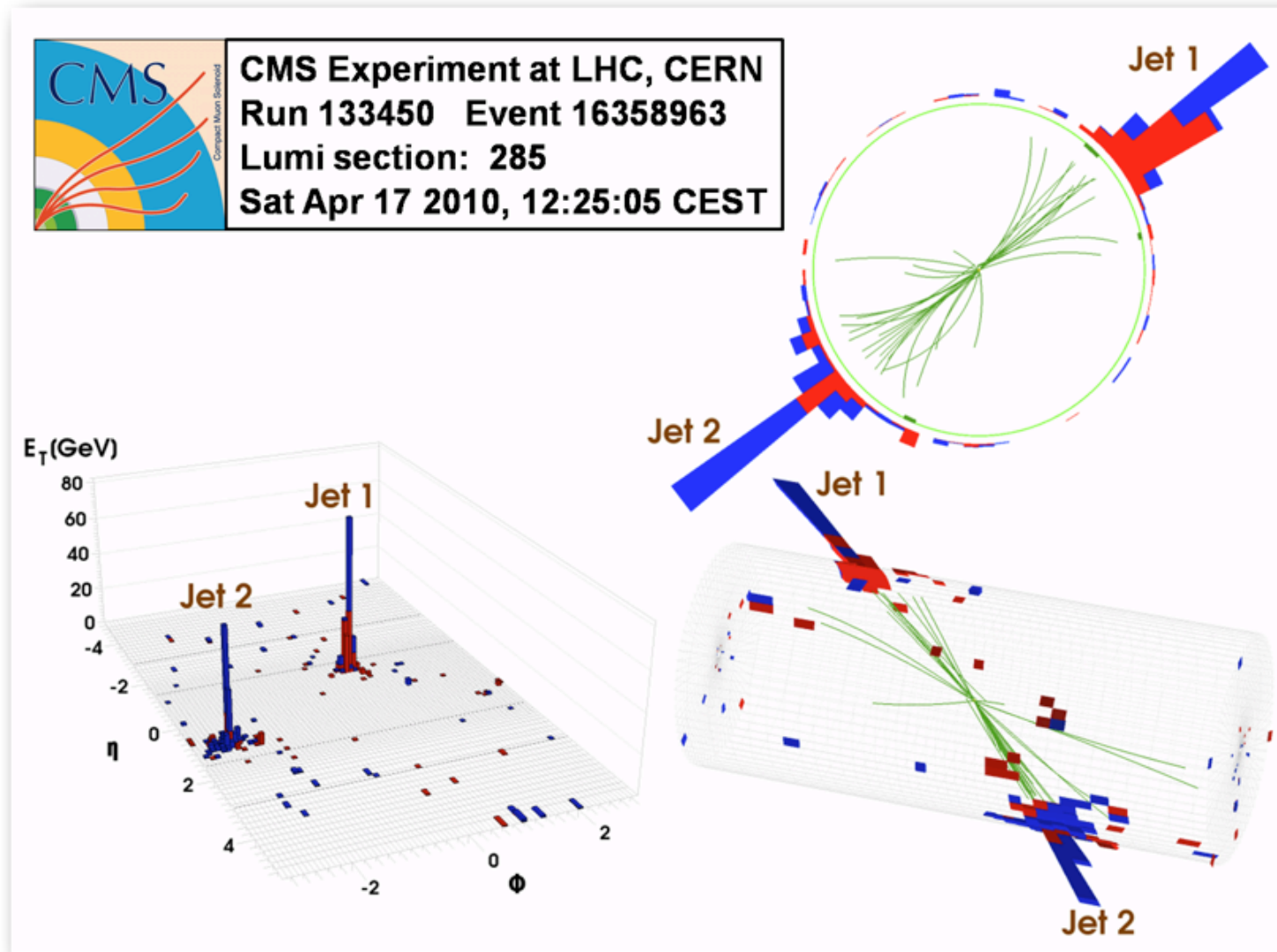
A **jet** is something that happens in high energy events: **a collimated bunch of hadrons flying roughly in the same direction**

We could eyeball the collimated bunches, but it becomes impractical with millions of events

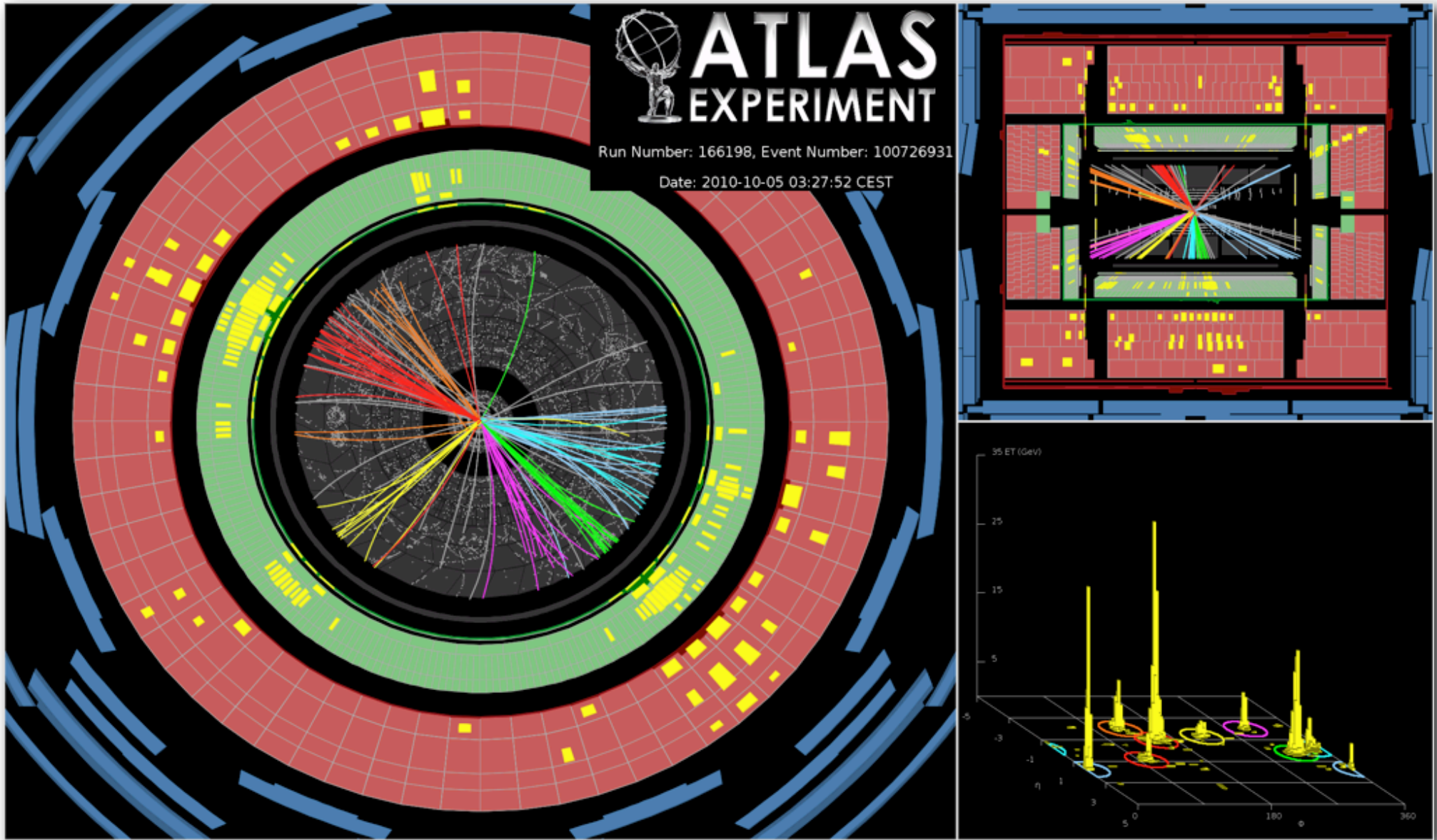
The classification of particles into jets is best done using a **clustering algorithm**



A few decades after PETRA and LEP, the event displays got prettier, but jets are still pretty much the same

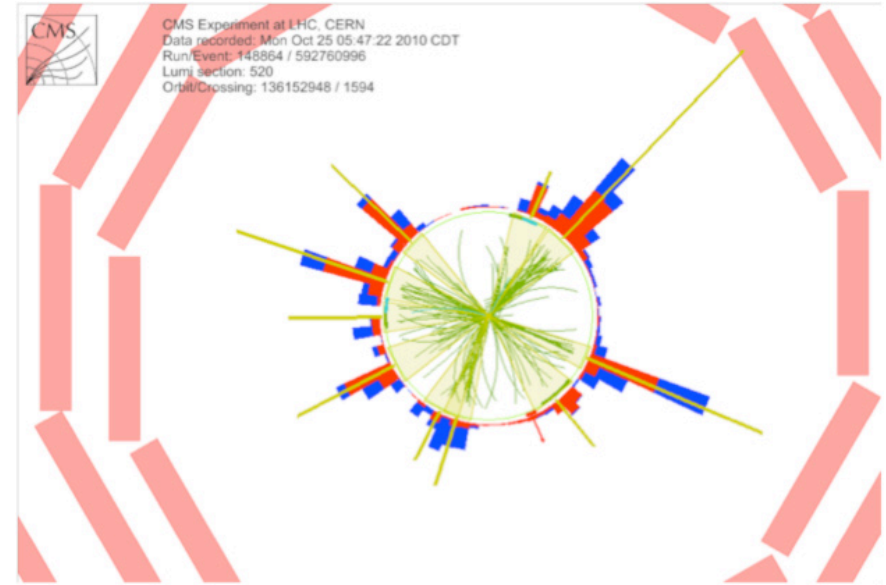
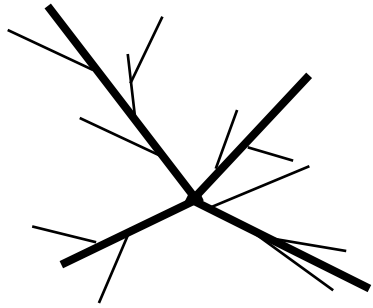


Dijet event from CMS



8(!) jets event from ATLAS

Multileg + PS

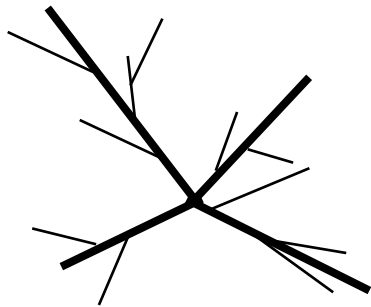


QCD predictions

Real data

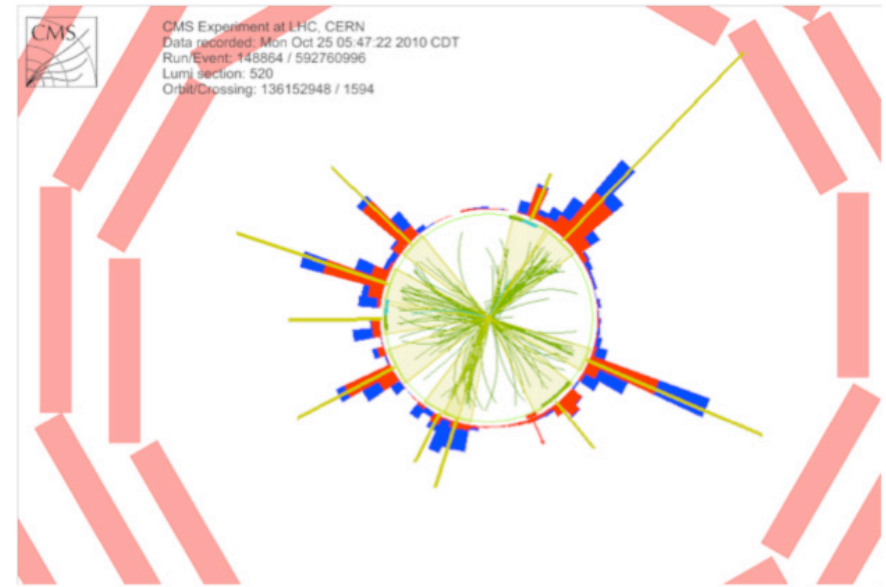
# Taming reality

Multileg + PS



QCD predictions

??



Real data

Jets

One purpose of a 'jet clustering' algorithm is to **reduce the complexity** of the final state, simplifying many hadrons to **simpler objects** that one can hope to **calculate**

- ▶ While we could take almost any clustering algorithm and, with a reasonable distance, use it to construct jets, i.e. clusters of hadrons, the result may not be particularly useful.

We must also be guided by physics, so that

- ▶ *the procedure leads to calculable results → infrared and collinear safety*
- ▶ *the results are robust with respect to dynamics that we cannot calculate in detail → resiliency to hadronisation effects*

This puts strong constraints on the distances and algorithms that we can use

An observable is **infrared and collinear safe** if, in the limit of a **collinear splitting**, or the **emission of an infinitely soft** particle, the observable remains **unchanged**:

$$O(X; p_1, \dots, p_n, p_{n+1} \rightarrow 0) \rightarrow O(X; p_1, \dots, p_n)$$

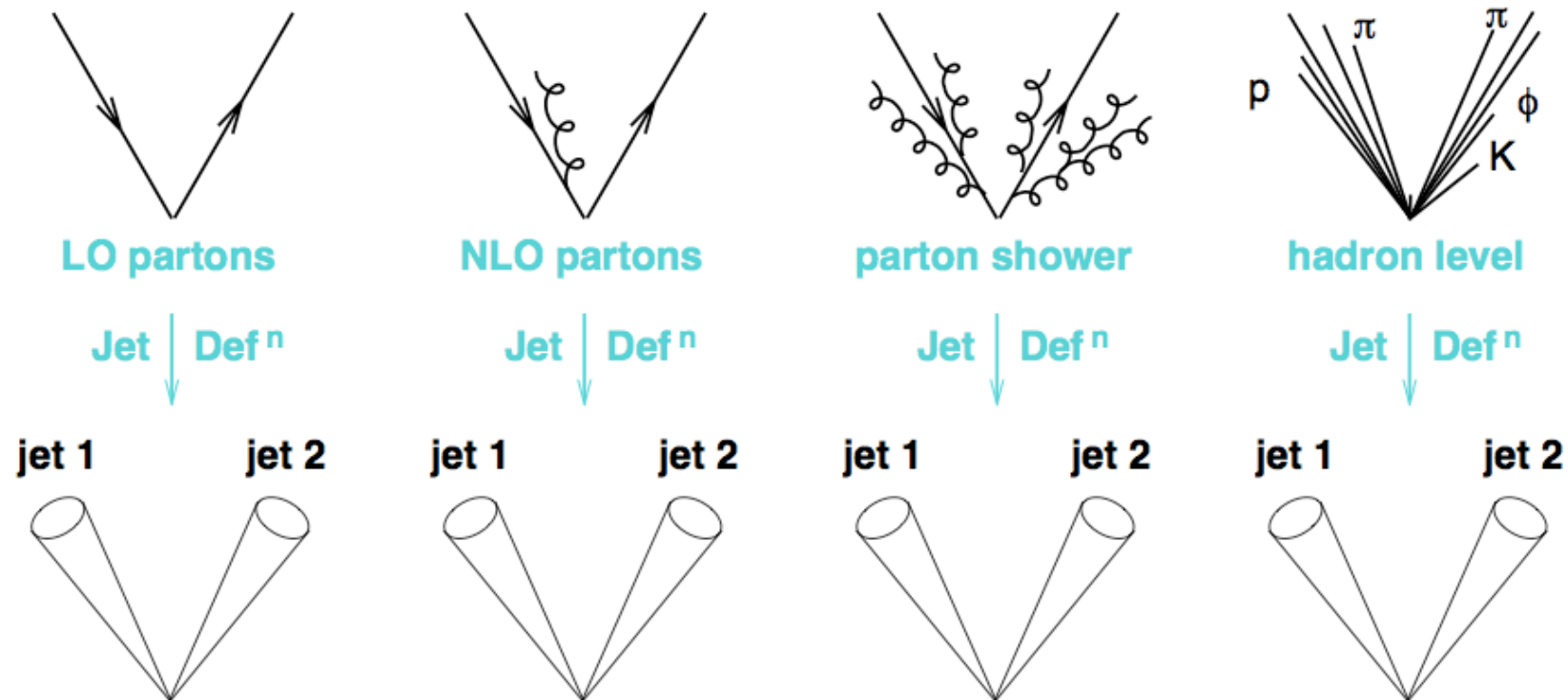
$$O(X; p_1, \dots, p_n \parallel p_{n+1}) \rightarrow O(X; p_1, \dots, p_n + p_{n+1})$$

If we wish to be able to calculate a jet rate in perturbative QCD the jet algorithm that we use must be IRC safe:  
**soft emissions and collinear splittings must not change the hard jets**



# Jets as proxies

A good jet definition should be resilient to QCD effects



**NB. 'Resiliency' does not mean 'total insensitivity'**  
A 'hadron jet' is **not** a parton

Most definitions will give very similar results (especially for inclusive observables), but it is important to be aware of potential differences, and not to compare apples with oranges.

## Jets can serve **two** purposes

- ▶ They can be **observables**, that one can measure and calculate
- ▶ They can be **tools**, that one can employ to extract specific properties of the final state



A **jet algorithm**

$$\underbrace{\{p_i\}}_{\substack{\text{particles,} \\ \text{calo cells, ...}}} \longrightarrow \underbrace{\{j_k\}}_{\text{jets}}$$

+

its **parameters** (e.g. R)

+

a **recombination  
scheme**

=

a **Jet Definition**

# Two main classes of jet algorithms

## ▶ **Sequential recombination algorithms**

Bottom-up approach: combine particles starting from **closest ones**

**How?** Choose a **distance measure**, iterate recombination until few objects left, call them jets

Works because of mapping closeness  $\Leftrightarrow$  QCD divergence

Examples: Jade,  $k_t$ , Cambridge/Aachen, anti- $k_t$ , .....

## ▶ **Cone algorithms**

Top-down approach: find coarse regions of energy flow.

**How?** Find **stable cones** (i.e. their axis coincides with sum of momenta of particles in it)

Works because QCD only modifies energy flow on small scales

Examples: JetClu, MidPoint, ATLAS cone, CMS cone, SISCone.....

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→ hierarchical clustering

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# Recombination algorithms

- ▶ First introduced in  $e^+e^-$  collisions in the '80s
- ▶ Typically they work by calculating a '**distance**' between particles, and then recombine them pairwise according to a given order, until some condition is met (e.g. no particles are left, or the distance crosses a given threshold)

IRC safety can usually be seen to be trivially guaranteed

# JADE algorithm

distance:

$$y_{ij} = \frac{2E_i E_j (1 - \cos \theta_{ij})}{Q^2}$$

- ▶ Find the minimum  $y_{\min}$  of all  $y_{ij}$
- ▶ If  $y_{\min}$  is below some jet resolution threshold  $y_{\text{cut}}$ , recombine  $i$  and  $j$  into a single new particle ('pseudojet'), and repeat
- ▶ If no  $y_{\min} < y_{\text{cut}}$  are left, all remaining particles are jets

Problem of this particular algorithm:

two soft particles emitted at large angle get easily recombined into a single jet:  
counterintuitive and perturbatively troublesome

# $e^+e^- k_t$ (Durham) algorithm

[Catani, Dokshitzer, Olsson, Turnock, Webber '91]

Identical to JADE,  
but with distance:

$$y_{ij} = \frac{2 \min(E_i^2, E_j^2) (1 - \cos \theta_{ij})}{Q^2}$$

In the collinear limit, the numerator reduces to the **relative transverse momentum** (squared) of the two particles, hence the name of the algorithm

The use of the  $\min()$  avoids the problem of recombination of back-to-back particles present in JADE: a soft and a hard particle close in angle are 'closer' than two soft ones at large angle

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One key feature of the  $k_t$  algorithm is its relation to the structure of QCD divergences:

$$\frac{dP_{k \rightarrow ij}}{dE_i d\theta_{ij}} \sim \frac{\alpha_s}{\min(E_i, E_j) \theta_{ij}}$$

The  $k_t$  algorithm inverts the QCD branching sequence (the pair which is recombined first is the one with the largest probability to have branched)

# $k_t$ algorithm in hadron collisions

(Inclusive and longitudinally invariant version)

$$d_{ij} = \min(p_{ti}^2, p_{tj}^2) \frac{\Delta R_{ij}^2}{R^2} \quad d_{iB} = p_{ti}^2$$

- ▶ Calculate the distances between the particles:  $\mathbf{d}_{ij}$
- ▶ Calculate the beam distances:  $\mathbf{d}_{iB}$
- ▶ Combine particles with **smallest distance**  $d_{ij}$  or, if  $d_{iB}$  is smallest, call it a jet
- ▶ Find again smallest distance and repeat procedure until no particles are left (this stopping criterion leads to the *inclusive* version of the  $k_t$  algorithm)

- ▶ Given  $N$  particles this is, naively, an  $O(N^3)$  algorithm: calculate  $N^2$  distances, repeat for all  $N$  iterations. 1 second to cluster 1000 particles: too slow for practical use.
- ▶ An  $N \ln N$  implementation exists: 1ms for 1000 particles. Can even use it in the trigger.



# The $k_t$ algorithm and its siblings

One can generalise the  $k_t$  distance measure:

$$d_{ij} = \min(k_{ti}^{2p}, k_{tj}^{2p}) \frac{\Delta y^2 + \Delta \phi^2}{R^2} \quad d_{iB} = k_{ti}^{2p}$$

**p = 1**  $k_t$  algorithm

S. Catani, Y. Dokshitzer, M. Seymour and B. Webber, Nucl. Phys. B406 (1993) 187  
S.D. Ellis and D.E. Soper, Phys. Rev. D48 (1993) 3160

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M. Wobisch and T. Wengler, hep-ph/9907280

**p = -1** anti- $k_t$  algorithm

MC, G. Salam and G. Soyez, arXiv:0802.1189

NB: in anti- $k_t$  pairs with a **hard** particle will cluster first: if no other hard particles are close by, the algorithm will give **perfect cones**

Quite ironically, a sequential recombination algorithm is the 'perfect' cone algorithm

# IRC safe algorithms

$k_t$	<p>SR</p> $d_{ij} = \min(k_{ti}^2, k_{tj}^2) \Delta R_{ij}^2 / R^2$ <p>hierarchical in rel <math>p_t</math></p>	<p>Catani et al '91 Ellis, Soper '93</p>	$N \ln N$
Cambridge/ Aachen	<p>SR</p> $d_{ij} = \Delta R_{ij}^2 / R^2$ <p>hierarchical in angle</p>	<p>Dokshitzer et al '97 Wengler, Wobish '98</p>	$N \ln N$
anti- $k_t$	<p>SR</p> $d_{ij} = \min(k_{ti}^{-2}, k_{tj}^{-2}) \Delta R_{ij}^2 / R^2$ <p>gives perfectly conical hard jets</p>	<p>MC, Salam, Soyez '08 (Delsart, Loch)</p>	$N^{3/2}$
SISCone	<p>Seedless iterative cone with split-merge gives 'economical' jets</p>	<p>Salam, Soyez '07</p>	$N^2 \ln N$

'second-generation' algorithms

All are available in FastJet, <http://fastjet.fr>

(As well as many IRC unsafe ones)

- ▶ Impressive progress in calculations, tools and ingredients has come together to allow seamless and accurate simulation of very complex processes
- ▶ In these lecture I only scratched the surface, and left out huge parts altogether:
  - ▶ *NNLO calculations*
  - ▶ *Improvements to parton shower in event generators*
  - ▶ *Improvements to description of Underlying Event*
  - ▶ *Jet substructure techniques for boosted particles*
  - ▶ *.....*
- ▶ All this (and further improvements) will hopefully pay off even more in LHC searches for new (unexpected?) physics

# The pervasiveness of tools

Search for exotic physics...

Search for **dark matter candidates** and **large extra dimensions** in events with a photon and missing transverse momentum in *pp* collision data at  $\sqrt{s} = 7$  TeV with the ATLAS detector

arXiv:1209.4625  
**Today!**

Background samples of simulated  $W/Z + \gamma$  events are generated using ALPGEN [21], interfaced to HERWIG [22] with JIMMY [23], and SHERPA [24], using CTEQ6L1 [25] parton distribution functions (PDFs) and requiring a minimum photon  $p_T$  of 40 GeV. Background samples of  $W/Z$ +jets and  $\gamma$ +jets processes are generated using ALPGEN plus HERWIG/JIMMY, with CTEQ6L1 PDFs. Top-quark production samples are generated using MC@NLO [26] and CT10 [27] PDFs, while diboson processes are generated using HERWIG/JIMMY normalized to next-to-leading-order (NLO) predictions with MRST2007 [28] PDFs. Finally,  $\gamma\gamma$  and multi-jet processes are generated using PYTHIA 6 [29] with MRST2007 PDFs.

Signal MC samples are generated according to the ADD model using the PYTHIA 8 leading-order (LO) perturbative QCD (pQCD) implementation with default set-

required to be less than 5 GeV. Jets are defined using the anti- $k_t$  jet algorithm [17] with the distance parameter set to  $R = 0.4$ . The measured jet  $p_T$  is corrected for detector effects, including non-compensation of hadronic showers.

1.1 as  $n$  increases.

Simulated events corresponding to the  $\chi\bar{\chi} + \gamma$  process with a minimum photon  $p_T$  of 80 GeV are generated using LO matrix elements from MADGRAPH [33] interfaced to PYTHIA 6 using CTEQ6L1 PDFs. Values for  $m_\chi$  between 1 GeV and 1.3 TeV are considered. In this analysis,

... with extensive use of very unexotic (QCD) tools