

# Physics at the International Linear Collider

Physics Chapter of the ILC Detailed Baseline Design Report

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# 133 **1 Introduction**

## 134 **1.1 Physics at the ILC**

135 For more than twenty years, an advanced electron-positron collider has been put  
136 forward as a key component of the future program of elementary particle physics.  
137 We have a well-established Standard Model of particle physics, but it is known to be  
138 incomplete. Among the many questions that this model leaves open, there are two  
139 — the origin of the masses of elementary particles and the particle identity of cosmic  
140 dark matter — that should be addressed at energy scales below 1 TeV. It has been  
141 appreciated for a long time that a next-generation electron-positron collider would  
142 give us the ability to make precision measurements that would shed light on these  
143 mysteries.

144 Now the technology to build this electron-positron collider has come of age. This  
145 report is a volume of the Detailed Baseline Design report for the International Linear  
146 Collider (ILC). The accompanying volumes of this report lay out the technical design  
147 of a high-luminosity  $e^+e^-$  collider at 500 GeV in the center of mass and of detectors  
148 that could make use of the collisions to perform high-precision measurements. In  
149 this volume, we summarize the physics arguments for building this collider and their  
150 appropriate relation to the situation of particle physics as of August 2012. The  
151 discussion in this volume supplements the presentation of the physics opportunities  
152 for a 500 GeV  $e^+e^-$  collider given in the review articles [1,2,3], the 2001 regional  
153 study reports [4,5,6], and the 2007 ILC Reference Design Report [7].

154 There are two important reasons to review the physics arguments for the ILC now.  
155 First, the Large Hadron Collider (LHC) has now begun to explore the energy region  
156 up to 1 TeV in proton-proton collisions. The LHC experiments have discovered a  
157 resonance that is a strong candidate for a Higgs boson similar to that of the Standard  
158 Model and have measured the mass of this resonance to be about 125 GeV [8]. It has  
159 been understood for a long time that there are intrinsic limitations to the ability of  
160 hadron colliders to study color-singlet scalar particles, and that precision measure-  
161 ments, to the few percent level, are needed to place a new scalar particle correctly  
162 within our model of particle physics. The ILC is an ideal machine to address this  
163 question. In this report, we will describe the system of measurements that will be  
164 needed to probe the identity of the Higgs boson and present new estimates of the  
165 capability of the ILC to make those measurements.

166 We will also describe many other opportunities that the ILC provides to probe  
167 for and study new physics, both through the production of new particle predicted  
168 by models of physics beyond the Standard Model and through the study of indirect  
169 effects of new physics on the  $W$  and  $Z$  bosons, the top quark, and other systems that

170 can be studied with precision at the ILC. It is important to re-evaluate the merits of  
171 these experiments in view of new constraints from the LHC, and we will do that in  
172 this report.

173 The experience of operating the LHC and its detectors also allows us to make  
174 more concrete projections of the long-term capabilities of the LHC experiments and  
175 the complementarity of the measurements from the ILC experiments. We have tried  
176 to incorporate the best available information into this report.

177 A second reason to revisit to physics case for the ILC is that the studies for the  
178 technical design and benchmarking of the ILC detectors have given us a more precise  
179 understanding of their eventual capabilities. In many cases, the performance of the  
180 detectors found in full-simulation studies exceeds the capabilities claimed from studies  
181 done at earlier stages of the conceptual detector design process. Our estimates here  
182 will be based on these new results.

183 To support a major accelerator project such as the ILC, it should be a criterion  
184 that this project will advance our knowledge of particle physics *qualitatively* beyond  
185 the information that will be available from currently operating accelerators, including  
186 the results expected from the future stages of the LHC. In this report, we will address  
187 this question. We will demonstrate the profound advances that the ILC will make in  
188 our understanding of fundamental physics.

## 189 1.2 Advantages of $e^+e^-$ Colliders

190 Over the past forty years, experiments at proton and electron colliders have played  
191 complementary roles in illuminating the properties of elementary particles. For ex-  
192 ample, the bottom quark was first discovered in 1977 through the observation of  
193 the  $\Upsilon$  resonances in proton-proton collisions. However, many of the most reveal-  
194 ing properties of the  $b$  quark, from its unexpectedly long lifetime to its decays with  
195 time-dependent CP violation, were discovered at  $e^+e^-$  colliders.

196 Today, the LHC offers obvious advantages for experimenters in providing very  
197 high energy and very high rates in typical reactions. The advantages of the ILC are  
198 different and perhaps more subtle to appreciate. In this section, we will review these  
199 advantages in general terms. We will revisit these points again and again in our  
200 discussions of specific processes that will be studied at the ILC.

### 201 1.2.1 Cleanliness

202 An elementary difference between hadron and electron collisions is apparent in the  
203 design of detectors: The environment for electron-positron collisions is much more



Figure 1: Material depth in units of interaction length (a) for the CMS detector at the LHC as a function of pseudorapidity  $\eta$ , (b) for the SiD detector at the ILC as a function of the polar angle.

204 benign. At LHC energies, the proton-proton total cross section is roughly 100 mb. In  
205 the current scheme for running the LHC, proton-proton bunch collisions occur every  
206 50 nsec, each bunch crossing leads to about 30 proton-proton collisions, and each  
207 of these produces hundreds of energetic particles. At the ILC, the most important  
208 chronic background source comes from photon-photon collisions, for which the cross  
209 section is hundreds of nb. Bunch crossings are spaced by about 300 nsec; at each  
210 bunch crossing we expect about 1 photon-photon collision, producing a few hadrons  
211 in the final state. Each  $e^+e^-$  bunch crossing does produce a large number of secondary  
212 electron-positron pairs, but these are mainly confined to a small volume within 1 cm  
213 of the beam.

214 The difference between hadron-hadron and  $e^+e^-$  collisions has profound implica-  
215 tions for the detectors and for experimentation. The LHC detectors must be made  
216 of radiation-hard materials to handle a high occupancy rate. They must have thick  
217 calorimeters to contain particles with a wide range of energies, requiring also the  
218 placement of solenoids inside the calorimeter volume. They must have complex trig-  
219 ger systems that cut down rates to focus on the most interesting events. At the ILC,  
220 tracking detectors can be made as thin as technically feasible. All elements, from the  
221 vertex detector to the calorimeter, can be brought much closer to the interaction point  
222 and contained inside the solenoid. Figure 1 shows a comparison of the CMS detector  
223 for the LHC and the SiD detector for ILC, in terms of the material depths in unit of  
224 interaction length of each detector component. The ILC detectors are projected to  
225 improve the momentum resolution from tracking by a factor of 10 and the jet energy  
226 resolution of the detector by a factor of 3 or better. The very close placement of  
227 the innermost pixel vertex detector layer leads to excellent  $b$ , charm and  $\tau$  tagging  
228 capabilities. In addition, the complications in analyzing LHC events due to hadrons  
229 from the underlying-event and pileup from multiple collisions in each beam crossing  
230 are essentially removed at the ILC. The  $e^+e^-$  environment thus provides a setting in  
231 which the basic high-energy collision can be measured with high precision.

233 The elementary coupling  $e$  of the photon is the same for all species of quarks and  
234 leptons, and the same also for new particles from beyond the Standard Model. Thus,  
235  $e^+e^-$  annihilation produces pairs of all species, new and exotic, at similar rates.

236 At the LHC, the gluon couples equally to all quarks and to new colored parti-  
237 cles. However, here, this democracy is hardly evident experimentally. Soft, non-  
238 perturbative strong interactions are the dominant mechanism for particle production  
239 and involve only the light quarks and gluons. Further, because the proton is a com-  
240 posite object with parton distributions that fall steeply, the production cross sections  
241 are much lower for heavy particles than for light ones. At the LHC, the cross section  
242 for producing bottom quarks is of the order of 1 mb, already much lower than the  
243 total inelastic cross section. The cross section for top quark pair production at the 14  
244 TeV LHC is expected to be about 1 nb. Production cross sections for new particles  
245 will be 1 pb or smaller. Thus, interesting events occur at rates of  $10^{-7}$  to  $10^{-13}$  of  
246 the total event rate. This implies, first, that a trigger system is needed to exclude  
247 all events but 1 in  $10^6$  before any data analysis is possible. Beyond this, only events  
248 with unusual and striking properties can be recognized in the much larger sample of  
249 background QCD events. A new particle or process can be studied only if its signals  
250 can be clearly discriminated from those of QCD reactions.

251 At the ILC, the cross sections for light quark and lepton pair production are much  
252 smaller, but also more comparable to the cross sections for interesting new physics  
253 processes. The main Standard Model processes in  $e^+e^-$  annihilation — annihilation  
254 to quark and lepton pairs, annihilation to  $W^+W^-$ , and single  $W$  and  $Z$  production  
255 — all have cross sections at the pb level at 500 GeV. New particle production pro-  
256 cesses typically have cross sections of order 10–100 fb and result in events clearly  
257 distinguishable from the basic Standard Model reactions.

258 This has a number of important implications for  $e^+e^-$  experimentation. First, no  
259 trigger is needed. The ILC detectors can record all bunch crossings and performed any  
260 needed event reduction offline. Second, no special selection is needed in classifying  
261 events. That is, all final states of a decaying particle, not only the most characteristic  
262 ones, can be used for physics analyses. At the LHC, it is not possible to measure  
263 absolute branching ratios or total widths; at the ILC, these quantities are directly  
264 accessible. Third and perhaps most importantly, at the ILC, it is much easier to  
265 recognize  $W$  and  $Z$  bosons in their hadronic decay modes than at the LHC. Since  
266 most  $W$  and  $Z$  decays are to hadronic modes, this is a tremendous advantage in the  
267 systematic study of heavy particles whose decay products typically include the weak  
268 bosons. We will see that this advantage applies not only to exotic particles but also  
269 in the study of the top quark and the Higgs boson.

270 *1.2.3 Calculability*

271 At the LHC, all cross section calculations rely on QCD. Any theoretical calculation of  
272 signal or background has systematic uncertainties from the proton structure functions,  
273 from unknown higher-order perturbative QCD corrections, and from nonperturbative  
274 QCD effects. NLO QCD corrections to cross section calculations are typically at  
275 the 30-50% level. For the Higgs boson cross section, the first correction is +100%.  
276 To achieve theoretical errors smaller than 10% requires computations to NNLO or  
277 beyond, a level that is not feasible now except for the simplest reactions.

278 At the ILC, the initial-state  $e^-$  and  $e^+$  are pointlike elementary particles, coupling  
279 only to the electroweak interactions. The first radiative corrections to cross sections  
280 are at the few-percent level. With effort, one can reach the part-per-mil level of  
281 theoretical precision, a level already achieved in the theoretical calculations for the  
282 LEP program.

283 Thus, it is possible to study heavy particles through their effects in perturbing  
284 the Standard Model at lower energies. For example, the LHC will be able to detect  
285  $Z'$  bosons up to 4-5 TeV by searches for production of high-mass  $\mu^+\mu^-$  pairs. The  
286 ILC at 500 GeV is sensitive to the presence of bosons with comparably high masses  
287 by searching for deviations from the precise Standard Model predictions for  $e^+e^- \rightarrow$   
288  $f\bar{f}$  cross sections. By studying the dependence of these deviations on flavor and  
289 polarization, the ILC experiments can reconstruct the complete phenomenological  
290 profile of the heavy boson. Similar precision measurements can give new information  
291 about heavy particles that couple to the top quark and the Higgs boson.

292 Beyond this, the high precision theoretical understanding of Standard Model signal  
293 and background processes available at the ILC can make it possible to find elusive  
294 new physics interactions, and to characterize these interactions fully.

295 *1.2.4 Detail*

296 Because of the simplicity of event selections at the ILC and the absence of a compli-  
297 cating underlying event, physics analyses at the ILC can be done by reconstructing  
298 complete events and determining quark and lepton momenta by kinematic fitting.  
299 Such an analysis reveals the spin-dependence of production and decay processes. The  
300 ILC will also provide polarized electron and positron beams, and so the processes  
301 studied there can be completely characterized for each initial and final polarization  
302 state.

303 We are used to thinking of quarks and leptons at low energy as single massive

Figure 2: Spin asymmetries in  $e^+e^- \rightarrow t\bar{t}$ .

304 objects. However, at energies above the  $Z^0$  mass, the left- and right-handed compo-  
305 nents of quarks and leptons behave as distinct particles with different  $SU(2) \times U(1)$   
306 quantum numbers. The weak-interaction decays of heavy particles, including the top  
307 quark and the  $W$  and  $Z$  bosons, have order-1 spin asymmetries. These spin effects are  
308 typically small and subtle at hadron colliders, but at the ILC they are obvious aspects  
309 of the physics. In Fig. 2, we present an array of different spin asymmetries visible  
310 in the process  $e^+e^- \rightarrow t\bar{t}$ . In every process studied at the ILC, polarization effects  
311 provide a crucial new handle on the physics, allowing us to make interpretations at  
312 the basic level of the underlying weak-interaction quantum numbers.

### 313 1.3 Key Physics Explorations at the ILC

314 In the following sections of this volume, we will present the major aspects of the  
315 physics program of the ILC. We will see explicitly how the key features of  $e^+e^-$  exper-  
316 imentation outlined above translate into measurements with direct and illuminating  
317 physical interpretation.

318 We will begin by discussing the ILC program on the Higgs boson. There is now  
319 great excitement over the discovery of a bosonic resonance at the LHC whose prop-  
320 erties are consistent with those of the Higgs boson. This particle might indeed be  
321 the Higgs boson predicted by the Standard Model, a similar particle arising from a  
322 different model of electroweak symmetry breaking, or a particle of totally different  
323 origin that happens to be a scalar resonance. To choose among these options, detailed  
324 precision measurements of this particle are needed.

325 In Section 2, we will present the program of precision measurements of the prop-  
326 erties of this new boson that would be made by the ILC experiments. Since the new  
327 boson is observed to decay to  $WW$  and  $ZZ$  at rates comparable to the predictions for  
328 the Standard Model Higgs boson, we already know that its production cross section at  
329 the ILC will be sufficient to carry out this program. We will first set out the require-  
330 ments for an experimental program that has sufficient sensitivity to distinguish the  
331 various hypotheses for the nature of the new scalar. Very high precision—at the level

332 of several percent accuracy in the new coupling constants—is needed. It is unlikely  
333 that the LHC experiments will reach this level of performance. We will then describe  
334 the variety of measurements that the ILC experiment would be expected to carry  
335 out for this particle at the various stage of ILC operation. We will show that these  
336 measurements will be extremely powerful probes. They will definitively settle the  
337 question of the nature of the new boson and will give insight into any larger theory  
338 of which it might be a part.

339 The LHC has not yet provided evidence for signals of new physics beyond the  
340 Standard Model from its early running at 7 and 8 TeV. There are two distinct atti-  
341 tudes to take toward the current situation. The first is that it is premature to draw  
342 any conclusions at the present time. The LHC experimental program is still in its  
343 early stages. The accelerator has not yet reached its design energy and has so far  
344 accumulated only 1% of its eventual data set. The second is that the discovery of the  
345 new scalar boson—especially if turns out to have the properties similar to the Stan-  
346 dard Model Higgs boson—and the deep exclusions already made for supersymmetry  
347 and other new physics models have already changed our ideas about new physics at  
348 the TeV energy scale. Our information from the LHC is certainly incomplete. We  
349 look forward to new information and new discoveries in the LHC run at 14 TeV that  
350 will take place in the latter years of this decade. And, yet, we must take seriously  
351 the implications of what we have already learned.

352 Though the Standard Model of particle physics is internally consistent and, so far,  
353 is not significantly challenged experimentally, it is incomplete in many respects. We  
354 have reviewed the problems earlier in this section. What are the solutions?

355 Traditionally, there have been three classes of models of new physics beyond the  
356 Standard Model. The first class postulates that electroweak symmetry is broken by  
357 new strong interactions at the TeV energy scale. In these models, the key observables  
358 are the parameters of weak vector boson scattering at TeV energies. The discovery  
359 of a new light scalar, especially if its couplings to  $W$  and  $Z$  are seen to be those  
360 characteristic of a Higgs boson with a nonzero vacuum expectation value, deals a  
361 significant blow to this whole set of models.

362 The second class of models posulates that electroweak symmetry breaking is due  
363 to the expectation value of an effective Higgs field that is composite at a higher mass  
364 scale. Little Higgs models, in which the Higgs boson is a Goldstone boson of a higher  
365 energy theory, and Randall-Sundrum models and other theories with new dimensions  
366 of space, are examples of theories in this class. These theories predict new particles  
367 with the quantum numbers of the top quark and the  $W$  and  $Z$  bosons, with TeV  
368 masses. These particles should eventually be discovered at the LHC in its 14 TeV  
369 program. The other crucial predictions of these models are modifications of the  
370 couplings of the heaviest particles of the Standard Model, the  $W$ ,  $Z$ , and top quark.

371 The ILC is ideally suited to observe these effects through precision measurement of  
372 the properties of  $W$ ,  $Z$ , and  $t$ . Extreme energies are not required; the ILC design  
373 center of mass energy of 500 GeV is quite sufficient.

374 The third class of models postulates the Higgs field as an elementary scalar field,  
375 requiring supersymmetry to tame its ultraviolet divergences. The LHC has now ex-  
376 cluded the constrained supersymmetric models that were considered paradigmatic in  
377 the period up to 2009 for masses low enough that supersymmetry dynamics naturally  
378 drives electroweak symmetry breaking. However, supersymmetry has a large param-  
379 eter space, and compelling regions are still consistent with the LHC exclusions. The  
380 typical property of these regions is that the lightest supersymmetric particles are the  
381 fermionic partners of the Higgs bosons. These particles are very difficult to discover  
382 or study at the LHC but are expected to be readily accessible to the ILC at 500 GeV.  
383 Models of this type are also likely to contain additional Higgs bosons at relatively low  
384 masses that would be targets of study at the ILC.

385 Thus, we argue, the exclusion of new physics at this early stage of the LHC  
386 program, combined with the observation of a new boson resembling the Standard  
387 Model Higgs boson, strengthens the case for the ILC as probe of new physics beyond  
388 the Standard Model.

389 In Sections 3–7 of this report, we will explain this viewpoint in full detail. We will  
390 begin in Section 3 with a review of the ILC program on  $e^+e^- \rightarrow f\bar{f}$  processes, where  
391  $f$  is a light quark or lepton. The precision study of these processes is sensitive to new  
392 heavy gauge bosons. These reactions also probe models with extra space dimensions,  
393 and models in which the electron is composite with a very small size. We will explain  
394 how experiments at 500 GeV can reveal the nature of any such boson or composite  
395 structure, qualitatively improving on the information that we will obtain from the  
396 LHC.

397 In Sections 4–5, we will describe the ILC program relevant to models with a light  
398 Higgs boson that is composite at a higher energy scale. In Section 4, we will review  
399 the ILC program on the  $W$  and  $Z$  bosons. We will describe the capabilities of the ILC  
400 for the measurement of  $W$  boson couplings and  $W$  boson scattering. We will show  
401 that how these measurements are capable of revealing new terms in the couplings of  
402  $W$  and  $Z$  induced by Higgs composite structure.

403 In Section 5, we will review the ILC program on the top quark. We will describe  
404 the study of top quark production at threshold and at higher energies near the max-  
405 imum of the cross section for  $e^+e^- \rightarrow t\bar{t}$ . This study gives new, nontrivial, tests of  
406 QCD and also gives access to couplings of the top quark that are extremely difficult  
407 to study at the LHC. In models in which the top quark couples to a composite Higgs  
408 boson or a strongly interacting Higgs sector, the couplings of the top quark to the  $Z$

409 boson provide crucial tests not available at the LHC. We will describe the beautiful  
410 probes of these couplings available at the 500 GeV ILC.

411 In Sections 6–7, we will discuss the ILC program in searching for and measuring  
412 the properties of new particles predicted by supersymmetry and other models in  
413 which the Higgs boson is an elementary scalar field. We will discuss particles that,  
414 although they are within the energy range of the ILC, they would not be expected  
415 to be found at the LHC at the current stage of its program. These particles might  
416 be discovered at the LHC with higher energy or luminosity, or their discovery might  
417 have to wait for the ILC. In either case, the ILC will make measurements that will  
418 be key to understanding their role in models of new physics.

419 In Section 6, we will review ILC measurements on new bosons associated with  
420 the Higgs boson within a larger theory of electroweak symmetry breaking. We will  
421 note many aspects of these more complex theories that the ILC will be able to clarify,  
422 beyond the results anticipated from the LHC.

423 In Section 7, we will review the program of ILC measurements on supersymmetric  
424 particles that might be present in the ILC mass range. In this discussion, we will re-  
425 view the current constraints on supersymmetry. We will observe that many scenarios  
426 are still open in which new particles can be found at the 500 GeV ILC. We will present  
427 the detailed program of measurements that the ILC can carry out on these particles.  
428 This discussion will also illustrate that broad capabilities that the ILC experiments  
429 provide to understand the nature of new particles discovered at the LHC, whatever  
430 their origin in terms of an underlying model.

431 As we have already noted, the current exclusions of new particles by the LHC  
432 experiments drive us, in models of supersymmetry, to models in which the lightest  
433 supersymmetric particles are the charged and neutral Higgsinos, which would natu-  
434 rally lie in the 100–200 GeV mass range. These particles are very difficult to identify  
435 at the LHC but would be easily seen and studied at the ILC. More generally, if su-  
436 persymmetry is indeed realized in nature, the ILC can be expected to directly probe  
437 those parameters of supersymmetry most intimately connected to the mechanism of  
438 electroweak symmetry breaking. We will explain this point of view in detail in Section  
439 7.

440 Finally, in Section 8, we will discuss the role of the ILC in understanding cosmology  
441 and, in particular, the unique experiments possible at the ILC that will shed light  
442 on the nature of the dark matter of the universe. Section 9 will give some general  
443 conclusions.

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## 464 2 Standard Model Higgs

465 Precision studies of the weak interactions at LEP, Tevatron, and LHC have shown  
466 that they are described by a spontaneously broken  $SU(2)_L \times U(1)_Y$  gauge theory. The  
467 quantum numbers of all fermions are verified experimentally, and the properties of the  
468 heavy vector bosons  $W$  and  $Z$  predicted by the theory are in excellent accord with  
469 the theory at the level of one-loop electroweak corrections [1]. However, the basic  
470  $SU(2) \times U(1)$  symmetry of the model forbids the generation of mass for all quarks,  
471 leptons, and vector bosons. Thus, this symmetry must be spontaneously broken. The  
472 theory of weak interactions then requires a vacuum condensate that carries charge  
473 under the  $SU(2)_L \times U(1)_Y$  gauge groups.

474 In local quantum field theory, it is not possible to simply postulate the existence  
475 of a uniform vacuum condensate. This condensate must be associated with a field  
476 that has dynamics and quantum excitations. To prove the correctness of our theory  
477 of weak interactions, it is essential to study this field directly and to prove through  
478 experiments that the field and its quantum excitations have the properties required  
479 to generate mass for all particles. We have little direct or indirect information about  
480 the nature of this field. The Standard Model postulates the simplest possibility, that  
481 the needed spontaneous symmetry breaking is generated by one  $SU(2)$  doublet scalar  
482 field, the Higgs field, with one new physical particle, the Higgs boson. The true story  
483 of electroweak spontaneous symmetry breaking could be much more complex.

484 The Higgs field, or a more general Higgs sector, couples to every type of particle.  
485 It likely plays an important role in all of the unanswered questions of elementary  
486 particle physics, including the nature of new forces and underlying symmetries, CP  
487 violation and baryogenesis, and the nature and relation of quark and lepton flavors.  
488 To make progress on these problems, we must understand the Higgs sector in detail.

489 In July 2012, the ATLAS and CMS experiments presented very strong evidence  
490 for a new particle whose properties are consistent with those of the Standard Model  
491 Higgs boson [2]. This gives us a definite point of entry into the exploration of the  
492 Higgs sector. It would be ideal to produce this particle in a well-controlled setting  
493 and measure its mass, quantum numbers, and couplings with high precision. The  
494 particle is at a mass, 125 GeV, that is readily accessible to a next-generation  $e^+e^-$   
495 collider. It has been observed to couple to  $ZZ$  and  $WW$ , insuring that the major  
496 production reactions in  $e^+e^-$  collisions are present. The ILC is precisely the right  
497 accelerator to make these experiments available.

498 Though there is no reason to believe that the simple picture given by the Standard  
499 Model is correct, the minimal theory of electroweak symmetry breaking given by the  
500 Standard Model is a convenient place to begin in describing the capabilities of any

501 experimental facility. This is especially true because, as we will describe in Section  
 502 2.2, most models with larger and more complex Higgs sectors contain a particle that  
 503 strongly resembles the Standard Model Higgs boson.

504 In this section, then, we will describe the capabilities of the ILC to obtain a  
 505 comprehensive understanding of the Standard Model Higgs boson. In Section 2.1, we  
 506 will review the Higgs mechanism and write its basic formulae. In Section 2.2, we will  
 507 discuss the relation of the Standard Model Higgs boson to similar particles in more  
 508 general theories of elementary particles. We will review the Decoupling Theorem  
 509 that requires a boson similar to the Standard Model Higgs boson in a wide variety of  
 510 models, and we will review the expected sizes of deviations from the simplest Standard  
 511 Model expectation. In Section 2.3, we will review the prospects for measurements on  
 512 the Higgs boson at the LHC. In Sections 2.4-2.6, we will discuss the capabilities of the  
 513 ILC to measure properties of the Higgs boson in stages of center of mass energy—250  
 514 GeV, 500 GeV, and 1 TeV.

515 The prospects for the ILC to investigate other possible states of the Higgs sector  
 516 will be discussed separately in Section 6 of this report.

## 517 2.1 The Standard Model Higgs mechanism

518 We begin by briefly reviewing the Higgs mechanism in the Standard Model (SM).  
 519 In the SM, electroweak symmetry is broken by an SU(2)-doublet scalar field,

$$\Phi = \begin{pmatrix} G^+ \\ (h + v)/\sqrt{2} + iG^0/\sqrt{2} \end{pmatrix}. \quad (1)$$

520 Here  $h$  is the physical SM Higgs boson and  $G^+$  and  $G^0$  are the Goldstone bosons eaten  
 521 by the  $W^+$  and  $Z$ . Electroweak symmetry breaking is caused by the Higgs potential,  
 522 the most general gauge-invariant renormalizable form of which is,

$$V = \mu^2 \Phi^\dagger \Phi + \lambda (\Phi^\dagger \Phi)^2. \quad (2)$$

523 A negative value of  $\mu^2$  leads to a minimum away from zero field value, causing elec-  
 524 troweak symmetry breaking. Minimizing the potential, the Higgs vacuum expectation  
 525 value (vev) and the physical Higgs mass are

$$v^2 = -\mu^2/\lambda \simeq (246 \text{ GeV})^2, \quad m_h^2 = 2\lambda v^2 = 2|\mu^2|. \quad (3)$$

526 For  $m_h \sim 125 \text{ GeV}$ , we have a weakly coupled theory with  $\lambda \sim 1/8$  and  $|\mu^2| \sim m_W^2$ .  
 527 The potential also gives rise to triple and quartic interactions of  $h$ , with Feynman  
 528 rules given by

$$hhh : -6i\lambda v = -3i \frac{m_h^2}{v}, \quad hhhh : -6i\lambda = -3i \frac{m_h^2}{v^2}. \quad (4)$$

529 The couplings of the physical Higgs boson to other SM particles are predicted  
 530 entirely in terms of  $v$  and the known particle masses via the SM Higgs mass generation  
 531 mechanism. The couplings of the  $W$  and  $Z$  bosons to the Higgs arise from the gauge-  
 532 kinetic terms,

$$\mathcal{L} \supset (\mathcal{D}^\mu \Phi)^\dagger (\mathcal{D}_\mu \Phi), \quad \mathcal{D}_\mu = \partial_\mu - igA_\mu^a T^a - ig' B_\mu Y, \quad (5)$$

533 where  $g$  and  $g'$  are the  $SU(2)_L$  and  $U(1)_Y$  gauge couplings, respectively, and the  
 534 hypercharge of the Higgs doublet is  $Y = 1/2$ . This gives rise to the  $W$  and  $Z$  masses,

$$M_W = g \frac{v}{2}, \quad M_Z = \sqrt{g^2 + g'^2} \frac{v}{2}, \quad (6)$$

535 and couplings to the Higgs given by

$$\begin{aligned} W_\mu^+ W_\nu^- h : i \frac{g^2 v}{2} g_{\mu\nu} &= 2i \frac{M_W^2}{v} g_{\mu\nu}, & W_\mu^+ W_\nu^- h h : i \frac{g^2}{2} g_{\mu\nu} &= 2i \frac{M_W^2}{v^2} g_{\mu\nu}, \\ Z_\mu Z_\nu h : i \frac{(g^2 + g'^2)v}{2} g_{\mu\nu} &= 2i \frac{M_Z^2}{v} g_{\mu\nu}, & Z_\mu Z_\nu h h : i \frac{(g^2 + g'^2)}{2} g_{\mu\nu} &= 2i \frac{M_Z^2}{v^2} g_{\mu\nu} \end{aligned} \quad (7)$$

536 The photon remains massless and has no tree-level coupling to the Higgs.

537 The couplings of the quarks and charged leptons to the Higgs arise from the  
 538 Yukawa terms,

$$\mathcal{L} \supset -y_{ij}^u \bar{u}_{Ri} \tilde{\Phi}^\dagger Q_{Lj} - y_{ij}^d \bar{d}_{Ri} \Phi^\dagger Q_{Lj} - y_{ij}^\ell \bar{\ell}_{Ri} \Phi^\dagger L_{Lj} + \text{h.c.}, \quad (8)$$

539 where  $Q_L = (u_L, d_L)^T$ ,  $L_L = (\nu_L, e_L)^T$ ,  $\tilde{\Phi} = i\sigma^2 \Phi^*$  is the conjugate Higgs doublet, and  
 540  $y^u$ ,  $y^d$ , and  $y^\ell$  are  $3 \times 3$  Yukawa coupling matrices for the up-type quarks, down-type  
 541 quarks, and charged leptons, respectively. The Yukawa matrices can be eliminated in  
 542 favor of the fermion masses, yielding Higgs couplings to fermions proportional to the  
 543 fermion mass,

$$h \bar{f} f : -i \frac{y^f}{\sqrt{2}} = -i \frac{m_f}{v}, \quad (9)$$

544 where  $y^f v / \sqrt{2} = m_f$  is the relevant fermion mass eigenvalue.

545 Thus we see that, in the SM, all the couplings of the Higgs are predicted with  
 546 no free parameters once the Higgs mass is known. This allows the Higgs production  
 547 cross sections and decay branching ratios to be unambiguously predicted. The key  
 548 regularity is that each Higgs coupling is proportional to the mass of the corresponding  
 549 particle. One-loop diagrams provide additional couplings and decay modes to  $gg$ ,  $\gamma\gamma$ ,  
 550 and  $\gamma Z$ . In the SM, the Higgs coupling to  $gg$  arises mainly from the one-loop diagram  
 551 involving a top quark. The Higgs couplings to  $\gamma\gamma$  and  $\gamma Z$  arise at the one-loop level  
 552 mainly from diagrams with  $W$  bosons and top quarks in the loop.

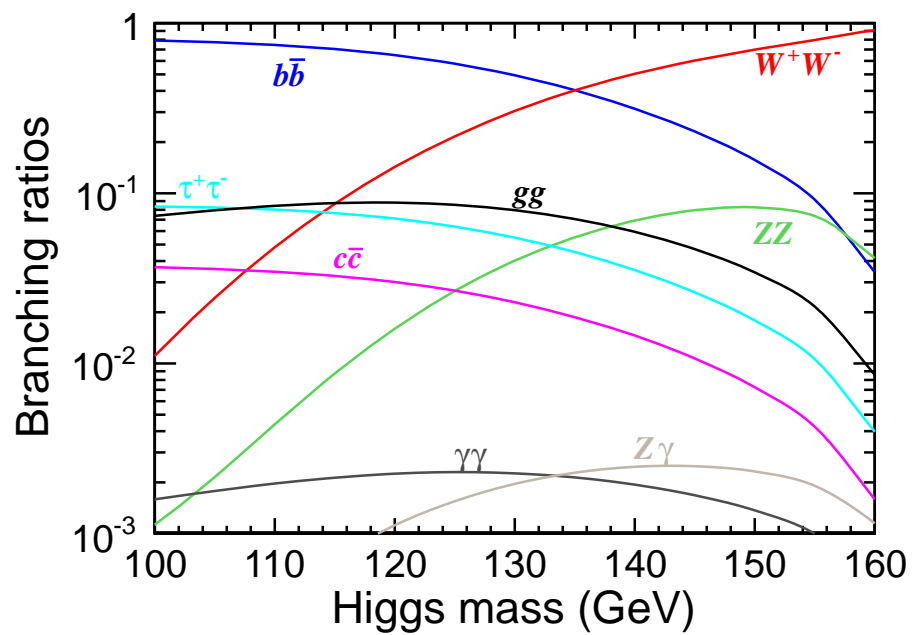


Figure 3: Branching fractions of the Standard Model Higgs as a function of the Higgs mass.

553 Figure 3 plots the branching fraction of the Standard Model Higgs boson as a  
554 function of the Higgs mass. The figure tells us that the Higgs boson mass of  $M_h \simeq$   
555 125 GeV provides a very favorable situation in which a large number of decay modes  
556 have similar sizes and are accessible to experiments that provide a large Higgs event  
557 sample. The ILC, including its eventual 1 TeV stage, will allow measurement of the  
558 Higgs boson couplings to  $W$ ,  $Z$ ,  $b$ ,  $c$ ,  $\tau$ , and  $\mu$ , plus the loop-induced couplings to  $gg$   
559 and  $\gamma\gamma$  [**and**  $\gamma Z$ ?]. The regularity of the SM that the Higgs couplings are precisely  
560 proportional to mass can thus be verified or refuted through measurements of many  
561 couplings spanning a large dynamic range.

562 A deviation of any of the tree-level Higgs boson couplings to  $WW$ ,  $ZZ$ , or SM  
563 fermions indicates that additional new physics—either additional Higgs boson(s)  
564 or electroweak symmetry-breaking strong dynamics—is needed to generate the full  
565 masses of these particles and to unitarize the associated scattering amplitudes in the  
566 high-energy limit [3,4].

## 567 2.2 Higgs coupling deviations in extended models

### 568 2.2.1 The Decoupling Limit

569 In this section, we will discuss possible modifications of the Higgs boson couplings  
570 that might be searched for in precision Higgs experiments. It is a general property of  
571 of models of new physics beyond the Standard Model that they contain a light scalar  
572 field, elementary or effective, whose vacuum expectation value is the main source of  
573 electroweak symmetry breaking. It is possible that this particle can look very different  
574 from the Standard Model Higgs boson. At the moment, there is much interest in  
575 this question, stimulated by the values of the first measured Higgs production rates.  
576 Models predicting such large deviations can be found in [20,6,5,7] and other recent  
577 theoretical papers. If it turns out that the new boson has couplings very different  
578 from the Standard Model predictions, it will of course be important to measure those  
579 couplings as accurately as possible.

580 However, it is much more common that the lightest Higgs boson of new physics  
581 models has coupling that differ at most at the 5-10% level from the Standard Model  
582 expectations. This point was made recently through the study of a number of ex-  
583 amples by Gupta, Rzehak, and Wells [8]; we will provide some additional examples  
584 here. A future program of Higgs physics must acknowledge this point and strive for  
585 the level of accuracy that is actually called for in these models.

586 The logic of this prediction is expressed by the Decoupling Limit of Higgs models  
587 described by Haber in [9]. Consider a model with many new particles, in which all of  
588 these new particles are heavy while an  $SU(2)$  doublet of scalars has a relatively small

589 mass parameter. There are many reasons why the mass parameter of the doublet  
 590 might be smaller than the typical mass scale of new particles. It might be driven  
 591 small by renormalization group running, as happens in supersymmetry; it might be  
 592 suppressed because the scalar is a pseudo-Goldstone boson, as happens in Little Higgs  
 593 models. In any event, if there is separation between the masses of other new particles  
 594 and the mass parameter of the scalar doublet, we can integrate out the heavy particles  
 595 and write an effective Lagrangian for the light doublet. The resulting effective theory  
 596 is precisely the Standard Model, plus possible higher-dimension operators. If the light  
 597 doublet acquires a vev, its physical degree of freedom is an effective Higgs particle,  
 598 with precisely the properties of the Standard Model Higgs up to the effects of the  
 599 higher-dimension operators. These effects are then required to be of the order of

$$m_h^2/M^2 \quad \text{or} \quad m_t^2/M^2, \quad (10)$$

600 where  $M$  is the mass scale of the new particles. The following sections will give quan-  
 601 titative examples of Higgs coupling deviations that follow this systematic dependence.

### 602 2.2.2 *New states to solve the gauge hierarchy problem*

603 Many models of new physics are proposed to solve the *gauge hierarchy problem* by  
 604 removing the quadratic divergences in the loop corrections to the Higgs field mass  
 605 term  $\mu^2$ . Supersymmetry and Little Higgs models provide examples. Such models  
 606 require new scalar or fermionic particles with masses below a few TeV that cancel the  
 607 divergent loop contributions to  $\mu^2$  from the top quark. For this to work, the couplings  
 608 of the new states to the Higgs must be tightly constrained in terms of the top quark  
 609 Yukawa coupling. Usually the new states have the same electric and color charge as  
 610 the top quark, which implies that they will contribute to the loop-induced  $hgg$  and  
 611  $h\gamma\gamma$  couplings. The new loop corrections contribute coherently with the Standard  
 612 Model loop diagrams.

613 For scalar new particles (e.g., the two top squarks in the MSSM), the resulting  
 614 effective  $hgg$  and  $h\gamma\gamma$  couplings are given by

$$\begin{aligned} g_{hgg} &\propto \left| F_{1/2}(m_t) + \frac{2m_t^2}{m_T^2} F_0(m_T) \right|, \\ g_{h\gamma\gamma} &\propto \left| F_1(m_W) + \frac{4}{3} F_{1/2}(m_t) + \frac{4}{3} \frac{2m_t^2}{m_T^2} F_0(m_T) \right|. \end{aligned} \quad (11)$$

615 Here  $F_1$ ,  $F_{1/2}$ , and  $F_0$  are the loop factors defined in [10] for spin 1, spin 1/2, and spin  
 616 0 particles in the loop, and  $m_T$  is the mass of the new particle(s) that cancels the  
 617 top loop divergence. For application to the MSSM, we have set the two top squark

618 masses equal for simplicity. For fermionic new particles (e.g., the top-partner in Little  
619 Higgs models), the resulting effective couplings are

$$\begin{aligned}
g_{hgg} &\propto \left| F_{1/2}(m_t) + \frac{m_t^2}{m_T^2} F_{1/2}(m_T) \right|, \\
g_{h\gamma\gamma} &\propto \left| F_1(m_W) + \frac{4}{3} F_{1/2}(m_t) + \frac{4}{3} \frac{m_t^2}{m_T^2} F_{1/2}(m_T) \right|.
\end{aligned}
\tag{12}$$

620 For simplicity, we have ignored the mixing between the top and its partner. For  
621  $m_h = 120\text{--}130$  GeV, the loop factors are given numerically by  $F_1(m_W) = 8.2\text{--}8.5$   
622 and  $F_{1/2}(m_t) = -1.4$ . For  $m_T \gg m_h$ , the loop factors tend to constant values,  
623  $F_{1/2}(m_T) \rightarrow -4/3$  and  $F_0(m_T) \rightarrow -1/3$ .

624 Very generally, then, such models predict deviations of the loop-induced Higgs  
625 couplings from top-partners of the decoupling form. Numerically, for a scalar top-  
626 partner,

$$\frac{g_{hgg}}{g_{h_{\text{SM}}gg}} \simeq 1 + 1.4\% \left( \frac{1 \text{ TeV}}{m_T} \right)^2, \quad \frac{g_{h\gamma\gamma}}{g_{h_{\text{SM}}\gamma\gamma}} \simeq 1 - 0.4\% \left( \frac{1 \text{ TeV}}{m_T} \right)^2, \tag{13}$$

627 and for a fermionic top-partner,

$$\frac{g_{hgg}}{g_{h_{\text{SM}}gg}} \simeq 1 + 2.9\% \left( \frac{1 \text{ TeV}}{m_T} \right)^2, \quad \frac{g_{h\gamma\gamma}}{g_{h_{\text{SM}}\gamma\gamma}} \simeq 1 - 0.8\% \left( \frac{1 \text{ TeV}}{m_T} \right)^2. \tag{14}$$

628 A “natural” solution to the hierarchy problem that avoids fine tuning of the Higgs  
629 mass parameter thus generically predicts deviations in the  $hgg$  and  $h\gamma\gamma$  couplings at  
630 the few percent level due solely to loop contributions from the top-partners. These  
631 effective couplings are typically also modified by shifts in the tree-level couplings of  
632  $h$  to  $t\bar{t}$  and  $WW$ .

633 We quote two concrete examples. First, the Littlest Higgs model [11,12] cancels  
634 the one-loop Higgs mass quadratic divergences from top, gauge, and Higgs loops using  
635 a new vector-like fermionic top-partner, new  $W'$  and  $Z'$  gauge bosons, and a triplet  
636 scalar. For a top-partner mass of 1 TeV, the new particles in the loop together with  
637 tree-level coupling modifications combine to give [13]

$$\begin{aligned}
\frac{g_{hgg}}{g_{h_{\text{SM}}gg}} &= 1 - (5\% \sim 9\%) \\
\frac{g_{h\gamma\gamma}}{g_{h_{\text{SM}}\gamma\gamma}} &= 1 - (5\% \sim 6\%),
\end{aligned}
\tag{15}$$

638 where the ranges correspond to varying the gauge- and Higgs-sector model parame-  
639 ters. Note that the Higgs coupling to  $\gamma\gamma$  is also affected by the heavy  $W'$  and triplet

640 scalars running in the loop. The tree-level Higgs couplings to  $t\bar{t}$  and  $WW$  are also  
 641 modified by the higher-dimension operators arising from the nonlinear sigma model  
 642 structure of the theory.

643 Second, the MSSM cancels the Higgs mass quadratic divergences using the SUSY  
 644 partners of the SM particles. The tree-level Higgs couplings are also modified by the  
 645 mixing between the two MSSM Higgs doublets. We consider the  $m_h^{\text{max}}$  benchmark  
 646 scenario [17,18] with  $m_A = 1$  TeV,  $\tan\beta = 5$ . This parameter set yields masses for  
 647 the two top squarks of 857 GeV and 1200 GeV. We compute the Higgs couplings  
 648 using HDECAY4.43 [19], which yields

$$\begin{aligned} \frac{g_{hgg}}{g_{h_{\text{SM}}gg}} &= 1 - 2.7\% \\ \frac{g_{h\gamma\gamma}}{g_{h_{\text{SM}}\gamma\gamma}} &= 1 + 0.2\%, \end{aligned} \quad (16)$$

649 where the Higgs coupling to  $\gamma\gamma$  is also affected by charginos in the loop (the lightest  
 650 chargino mass is 201 GeV in this benchmark scenario) and both couplings are affected  
 651 by the modification of the tree-level  $h\bar{t}t$  coupling due to the presence of the second  
 652 Higgs doublet. Much larger, even order 1, changes in these couplings are available  
 653 elsewhere in the MSSM parameter space [20], but the values above are closer to typical  
 654 ones.

### 655 2.2.3 Composite Higgs

656 Another approach to solve the hierarchy problem makes the Higgs a composite bound  
 657 state of fundamental fermions with a compositeness scale around the TeV scale. Such  
 658 models generically predict deviations in the Higgs couplings compared to the SM due  
 659 to higher-dimension operators involving the Higgs suppressed by the compositeness  
 660 scale. This leads to Higgs couplings to gauge bosons and fermions of order

$$\frac{g_{hxx}}{g_{h_{\text{SM}}xx}} \simeq 1 \pm \mathcal{O}(v^2/f^2), \quad (17)$$

661 where  $f$  is the compositeness scale.

662 As an example, the Minimal Composite Higgs model [14] predicts [15]

$$\begin{aligned} a \equiv \frac{g_{hVV}}{g_{h_{\text{SM}}VV}} &= \sqrt{1 - \xi} \\ c \equiv \frac{g_{hff}}{g_{h_{\text{SM}}ff}} &= \begin{cases} \sqrt{1 - \xi} & \text{(MCHM4)} \\ (1 - 2\xi)/\sqrt{1 - \xi} & \text{(MCHM5)}, \end{cases} \end{aligned} \quad (18)$$



663 with  $\xi = v^2/f^2$ . Here MCHM4 refers to the fermion content of the original model  
 664 of Ref. [14], while MCHM5 refers to an alternate fermion embedding [16]. Again,  
 665 naturalness favors  $f \sim \text{TeV}$ , leading to

$$\begin{aligned} \frac{g_{hVV}}{g_{h_{\text{SM}}VV}} &\simeq 1 - 3\% \left( \frac{1 \text{ TeV}}{f} \right)^2 \\ \frac{g_{hff}}{g_{h_{\text{SM}}ff}} &\simeq \begin{cases} 1 - 3\% \left( \frac{1 \text{ TeV}}{f} \right)^2 & \text{(MCHM4)} \\ 1 - 9\% \left( \frac{1 \text{ TeV}}{f} \right)^2 & \text{(MCHM5)}. \end{cases} \end{aligned} \quad (19)$$

#### 666 2.2.4 Additional sources of electroweak symmetry breaking

667 Models that address the gauge hierarchy problem often contain more than one Higgs  
 668 doublet, so that electroweak symmetry breaking comes from more than one source. All  
 669 doublets with vevs contribute to the  $W$  and  $Z$  masses. Fermions, on the other hand,  
 670 can acquire masses from one or the other doublet. This happens in the MSSM, in  
 671 which up-type fermions get masses from one Higgs doublet while down-type fermions  
 672 get masses from the other, leading to couplings of the light SM-like Higgs  $h$  (at tree  
 673 level) of

$$\begin{aligned} \frac{g_{hVV}}{g_{h_{\text{SM}}VV}} &= \sin(\beta - \alpha) \\ \frac{g_{htt}}{g_{h_{\text{SM}}tt}} = \frac{g_{hcc}}{g_{h_{\text{SM}}cc}} &= \sin(\beta - \alpha) + \cot \beta \cos(\beta - \alpha) \\ \frac{g_{hbb}}{g_{h_{\text{SM}}bb}} = \frac{g_{h\tau\tau}}{g_{h_{\text{SM}}\tau\tau}} &= \sin(\beta - \alpha) - \tan \beta \cos(\beta - \alpha). \end{aligned} \quad (20)$$

674 The constrained form of the MSSM Higgs potential lets us express the couplings in  
 675 terms of the mass  $M_A$  of the CP-odd Higgs boson  $A^0$  (for large  $M_A$ , the other Higgs  
 676 states  $H^0$  and  $H^\pm$  are nearly degenerate with  $A^0$ ). For  $\tan \beta$  larger than a few, this  
 677 yields [18]

$$\begin{aligned} \frac{g_{hVV}}{g_{h_{\text{SM}}VV}} &\simeq 1 - \frac{2c^2 m_Z^4 \cot^2 \beta}{m_A^4} \\ \frac{g_{htt}}{g_{h_{\text{SM}}tt}} = \frac{g_{hcc}}{g_{h_{\text{SM}}cc}} &\simeq 1 - \frac{2cm_Z^2 \cot^2 \beta}{m_A^2} \\ \frac{g_{hbb}}{g_{h_{\text{SM}}bb}} = \frac{g_{h\tau\tau}}{g_{h_{\text{SM}}\tau\tau}} &\simeq 1 + \frac{2cm_Z^2}{m_A^2}, \end{aligned} \quad (21)$$

678 where  $c$  captures the SUSY radiative corrections to the CP-even Higgs mass matrix.

679 We will review the LHC capabilities for detecting the heavy Higgs states in Section  
680 6. The reach depends strongly on  $\tan\beta$ , but for moderate values of  $\tan\beta$  it will be  
681 very difficult for the LHC to observe these states if their masses are 200 GeV. If we  
682 choose this value as a reference point, then, for  $\tan\beta = 5$  and taking  $c \simeq 1$ , the  $h^0$   
683 couplings are approximately given by

$$\begin{aligned} \frac{g_{hVV}}{g_{h_{\text{SM}}VV}} &\simeq 1 - 0.3\% \left(\frac{200 \text{ GeV}}{m_A}\right)^4 \\ \frac{g_{htt}}{g_{h_{\text{SM}}tt}} = \frac{g_{hcc}}{g_{h_{\text{SM}}cc}} &\simeq 1 - 1.7\% \left(\frac{200 \text{ GeV}}{m_A}\right)^2 \\ \frac{g_{hbb}}{g_{h_{\text{SM}}bb}} = \frac{g_{h\tau\tau}}{g_{h_{\text{SM}}\tau\tau}} &\simeq 1 + 40\% \left(\frac{200 \text{ GeV}}{m_A}\right)^2. \end{aligned} \quad (22)$$

684 At the lower end of the range, the LHC experiments should see the deviation in the  
685  $hbb$  or  $h\tau\tau$  coupling. However, the heavy MSSM Higgs bosons can easily be as heavy  
686 as a TeV without fine tuning of parameters. In this case, the deviations of the gauge  
687 and up-type fermion couplings are well below the percent level, while those of the  
688 Higgs couplings to  $b$  and  $\tau$  are at the percent level,

$$\frac{g_{hbb}}{g_{h_{\text{SM}}bb}} = \frac{g_{h\tau\tau}}{g_{h_{\text{SM}}\tau\tau}} \simeq 1 + 1.7\% \left(\frac{1 \text{ TeV}}{m_A}\right)^2. \quad (23)$$

689 In this large- $m_A$  region of parameter space, vertex corrections from SUSY particles  
690 are typically also at the percent level.

691 As a concrete example, we again consider the MSSM  $m_h^{\text{max}}$  benchmark scenario [17,18]  
692 with  $m_A = 1 \text{ TeV}$ ,  $\tan\beta = 5$ . We compute the Higgs couplings using HDECAY4.43 [19],\*  
693 which yields

$$\begin{aligned} \frac{g_{hVV}}{g_{h_{\text{SM}}VV}} &= 1 - \mathcal{O}(10^{-4}), & \frac{g_{hcc}}{g_{h_{\text{SM}}cc}} &= 1 - 0.3\% \\ \frac{g_{hbb}}{g_{h_{\text{SM}}bb}} &= 1 + 3.5\%, & \frac{g_{h\tau\tau}}{g_{h_{\text{SM}}\tau\tau}} &= 1 + 2.5\%. \end{aligned} \quad (24)$$

694 The difference in the shifts in the  $hbb$  and  $h\tau\tau$  couplings is due to SUSY vertex  
695 corrections.

696 More general two-Higgs-doublet models follow a similar pattern, with the largest  
697 deviation appearing in the Higgs coupling to fermion(s) that get their mass from the  
698 Higgs doublet with the smaller vev. The decoupling with  $m_A$  in fact follows the same  
699 quantitative pattern so long as the dimensionless couplings in the Higgs potential are  
700 not larger than  $\mathcal{O}(g^2)$ , where  $g$  is the weak gauge coupling.

---

\*For the comparison with the SM Higgs couplings, we turn off the electroweak radiative corrections to  $h_{\text{SM}} \rightarrow W^*W^*, Z^*Z^* \rightarrow 4f$  which are not included for the MSSM Higgs.

701 2.2.5 *Mixing of the Higgs with an electroweak-singlet scalar*

702 If the SM Higgs mixes with an electroweak-singlet scalar, all Higgs couplings become  
703 modified by the same factor,

$$\frac{g_{hVV}}{g_{h_{\text{SM}}VV}} = \frac{g_{hff}}{g_{h_{\text{SM}}ff}} = \cos \theta \simeq 1 - \frac{\delta^2}{2}, \quad (25)$$

704 where  $h = h_{\text{SM}} \cos \theta + S \sin \theta$ ,  $S$  is the singlet, and the last approximation holds when  
705  $\delta \equiv \sin \theta \ll 1$ . The orthogonal state,  $H = -H_{\text{SM}} \sin \theta + S \cos \theta$ , has couplings to SM  
706 particles proportional to  $-\sin \theta$ .

707 When  $H$  is heavy, the size of  $\sin \theta$  is constrained by precision electroweak data  
708 (assuming no cancellations due to other BSM physics). At one loop, the contributions  
709 to the  $T$  parameter from  $h$  and  $H$  are given by [8]

$$T = T_{\text{SM}}(m_h) \cos^2 \theta + T_{\text{SM}}(m_H) \sin^2 \theta, \quad (26)$$

710 where  $T_{\text{SM}}(m)$  refers to the SM  $T$  parameter evaluated at a Higgs mass  $m$ . The same  
711 form holds for the  $S$  parameter. Large  $m_H$  is therefore only consistent with precision  
712 electroweak constraints for small  $\sin \theta$ ; for example, for  $M_H = 1$  TeV, Ref. [8] finds  
713  $\sin^2 \theta \leq 0.12$ , corresponding to  $g_{hxx}/g_{H_{\text{SM}}xx} \simeq 1 - 6\%$ .

714 Similar effects follow from mixing of the SM Higgs with a radion in Randall-  
715 Sundrum models or a dilaton in models with conformally-invariant strong dynamics.  
716 The couplings of a radion or dilaton to SM particles are suppressed by a factor  $v/f$   
717 compared to those of the SM Higgs, where  $f$  is the scale of the warped or conformal  
718 dynamics. The couplings of the mass eigenstate  $h = H_{\text{SM}} \cos \theta + \chi \sin \theta$  are modified  
719 according to

$$\frac{g_{hVV}}{g_{H_{\text{SM}}VV}} = \frac{g_{hff}}{g_{H_{\text{SM}}ff}} = \cos \theta + \frac{v}{f} \sin \theta \simeq 1 - \frac{\delta^2}{2} + \frac{v}{f} \delta. \quad (27)$$

720 For  $f \simeq 1$  TeV and  $\sin^2 \theta$  as above, this corresponds to  $g_{hxx}/g_{H_{\text{SM}}xx} \simeq 1 - 6\% \pm 8.5\%$ ,  
721 where we allow for either sign of  $\delta$ .

722 2.2.6 *Conclusions*

723 Though large deviations are possible in some models, the more general expectation  
724 in models of new physics is that a light Higgs boson has couplings to vector bosons,  
725 fermions,  $gg$ , and  $\gamma\gamma$  similar to those of the Higgs boson of the Standard Model. Thus,  
726 the study of the Higgs boson couplings is likely to require precision measurements.

727 Nevertheless, there are many models in which some of the Higgs couplings have 5-  
728 10% discrepancies from their Standard Model values. Discovery of these discrepancies  
729 would be an important clue to the nature of new physics at higher mass scales. To  
730 recognize these effects, it is important to be able to measure the Higgs boson couplings  
731 comprehensively and with high accuracy. We will now discuss how that can be done.

## 732 **2.3 Status and prospects for Higgs measurements at LHC**

733 The ATLAS and CMS experiments have now demonstrated that they have the  
734 capability to study the Standard Model Higgs boson. They have presented strong ev-  
735 idence for a scalar particle of mass about 125 GeV that is consistent with the profile  
736 of the Standard Model Higgs. The isolation of this signal in the LHC environment  
737 is extremely challenging. The strongest signal of the Higgs boson so far observed at  
738 the LHC comes in the Higgs decay to  $\gamma\gamma$ , a process that occurs less than once in  $10^{12}$   
739 proton-proton collisions. However, the Tevatron and LHC experiments have proven  
740 that they can make measurements of such rare events in the high background condi-  
741 tions of hadron colliders. In this section, we will review how far the LHC experiments  
742 are expected to go toward a comprehensive understanding of the Higgs boson in the  
743 case in which this particle has the couplings expected in the Standard Model.

### 744 *2.3.1 The LHC Higgs discovery*

745 As of July 2012, ATLAS and CMS presented Higgs results based on integrated lu-  
746 minosities up to  $5.1 \text{ fb}^{-1}$  at 7 TeV plus  $5.9 \text{ fb}^{-1}$  at 8 TeV [21,22]. Each experi-  
747 ment observes an excess in  $\gamma\gamma$  with local significance of  $4.1\text{--}4.5\sigma$  and an excess in  
748  $4\ell$  (consistent with being from  $ZZ^*$ ) with local significance of  $3.2\text{--}3.4\sigma$ . The signal  
749 strengths in these channels are consistent with SM expectations. The LHC experi-  
750 ments made a measurement of the resonance mass in these two final states with the  
751 result  $125.3 \pm 0.4 \text{ (stat)} \pm 0.5 \text{ (syst)} \text{ GeV}$  (CMS) and  $126.0 \pm 0.4 \text{ (stat)} \pm 0.4 \text{ (syst)} \text{ GeV}$   
752 (ATLAS).

753 CMS also presented results including 8 TeV data for the final states  $b\bar{b}$ ,  $\tau\tau$ , and  
754  $WW$  [22]. ATLAS has presented results including 8 TeV data for the  $WW$  final  
755 state [23]; results for the other channels are expected soon. These final states have  
756 poorer mass resolution than  $\gamma\gamma$  and  $ZZ^* \rightarrow 4\ell$ . ATLAS observes an excess in the  
757  $WW$  channel at the  $3.2\sigma$  level. CMS sees a modest excess in  $WW$  at the  $1.5\sigma$  level  
758 and no excess in the  $b\bar{b}$  and  $\tau\tau$  channels. The rates in these channels are also broadly  
759 consistent with SM expectations.

760 In addition to inclusive Higgs production, which is dominated in the SM by gluon  
761 fusion, the ATLAS and CMS analyses include event selections with enhanced sensitiv-

762 ity to vector boson fusion (VBF) and Higgs production in association with  $W$ ,  $Z$ , or  
763  $t\bar{t}$ . As of July 2012, these subdominant production modes have not been conclusively  
764 observed.

765 Observation of the Higgs candidate in  $\gamma\gamma$  excludes the possibility of the resonance  
766 being a spin-1 particle via the Landau-Yang theorem [24]. Observation of a signal in  
767 the  $ZZ^*$  final state strongly disfavors the possibility that it is a pseudoscalar because  
768 in this case the  $ZZ$  coupling must be loop-induced; most pseudoscalar models predict  
769 a ratio of rates in  $ZZ^*$  versus  $\gamma\gamma$  much smaller than observed. Prospects for direct  
770 LHC measurements of the spin and CP quantum numbers will be discussed below.

### 771 2.3.2 Prospects for measuring the Higgs mass and quantum numbers at LHC

772 The mass of the Higgs boson is an intrinsically important parameter of the Standard  
773 Model. Moreover, the Higgs mass must be known accurately in order to interpret  
774 other measurements in precision Higgs physics. In particular, because the Higgs  
775 decay widths to  $WW$  and  $ZZ$  depends sensitively on  $m_h$  below the  $WW$  threshold,  
776 a precise measurement of the Higgs mass is necessary in order to extract the Higgs  
777 couplings from branching ratio measurements. For  $m_h = 115$ – $130$  GeV, each 100 MeV  
778 of uncertainty in  $m_h$  introduces 0.6–0.5% uncertainty in the ratio of the  $hb\bar{b}$  and  $hWW$   
779 couplings,  $g_b/g_W$ .

780 The LHC is expected to make a precision measurement of the mass of the Higgs  
781 boson. As of this writing, the LHC experiments have already measured the Higgs mass  
782 with an uncertainty of 0.4 GeV (statistical) and 0.4–0.5 GeV (systematic) [21,22].  
783 Most of the sensitivity to the Higgs mass around 125 GeV comes from the  $\gamma\gamma$  channel,  
784 with a subleading contribution from the  $ZZ^* \rightarrow 4\ell$  channel. The ATLAS and CMS  
785 experiments estimate that, with large data samples  $\sim 300$  fb $^{-1}$ , they can determine  
786 the Higgs mass in absolute terms to an accuracy of 0.1 GeV [25,26,27]. Interference  
787 of the continuum  $gg \rightarrow \gamma\gamma$  background with the diphoton signal shifts the peak  
788 downward by  $\sim 150$  MeV or more [28] and must be taken into account at this level  
789 of precision.

790 The LHC also has excellent prospects to answer the question of the spin and  
791 parity of the Higgs boson. The SM Higgs coupling has the special form  $HV_\mu V^\mu$ ,  
792 arising specifically from the gauge-covariant derivative of the vev-carrying, weak-  
793 charged Higgs doublet. In contrast, generic loop-induced couplings for a neutral  
794 scalar take the form  $\phi V_{\mu\nu} V^{\mu\nu}$  for a CP-even scalar, or  $\phi V_{\mu\nu} \tilde{V}^{\mu\nu}$  for a CP-odd scalar,  
795 with  $\tilde{V}^{\mu\nu} = \epsilon^{\mu\nu\rho\sigma} V_{\rho\sigma}$ . These loop-induced couplings are typically suppressed in size  
796 by a factor  $\alpha/4\pi$ . So, already, the fact that the boson found by ATLAS and CMS is  
797 seen in its decay to  $ZZ^*$  provides *prima facie* evidence that this boson is a CP even

798 scalar with a vacuum expectation value. The true test of this hypothesis will come in  
799 the study of angular correlations in the boson's decays. The study of  $h \rightarrow ZZ^* \rightarrow 4$   
800 leptons is especially powerful [29,30]. The possible structures of couplings can also  
801 distinguished experimentally using angular correlations of the forward tagging jets  
802 in weak boson fusion Higgs production or the four final-state fermions in  $h \rightarrow VV$   
803 decays. For example, the azimuthal angle  $\Delta\phi_{jj}$  of the forward tagging jets in weak  
804 boson fusion has a fairly flat distribution for the SM  $hV_\mu V^\mu$  coupling, while for the  
805 CP-even (CP-odd) loop-induced vertex the distribution peaks at  $\Delta\phi_{jj} \sim 0, \pi$  ( $\pi/2,$   
806  $3\pi/2$ ) [31].

### 807 2.3.3 Prospects for determining the Higgs couplings from LHC data

808 The LHC experiments are in principle sensitive to almost the full range of SM Higgs  
809 couplings. The decays to  $\gamma\gamma$ ,  $ZZ$  and  $WW$  are already seen. The decay to  $\tau^+\tau^-$  is  
810 expected to be straightforward to observe with luminosity samples of  $30 \text{ fb}^{-1}$  at 14  
811 TeV. The decay to  $b\bar{b}$  and the process  $pp \rightarrow t\bar{t}h$  should also be observed with similar  
812 luminosity samples, although that observation is much less straightforward. We will  
813 discuss the observation of  $h \rightarrow b\bar{b}$  further below. The LHC observations are sensitive  
814 to the  $hgg$  coupling because  $gg \rightarrow h$  is a primary model for production of the Higgs  
815 boson at the LHC. The only significant decay mode of the SM Higgs boson omitted  
816 from this list is  $h \rightarrow c\bar{c}$ , for which there current is no strategy proposed. However,  
817 this is a relatively minor mode, with a branching ratio of about 3% for a Higgs boson  
818 of mass 125 GeV. In addition, it is possible to discover or bound invisible modes of  
819 Higgs decay by observing the  $WW$  fusion production of a Higgs with two forward  
820 tagging jets [33].

821 By measuring the  $\sigma \cdot BR$  for the various Higgs production modes and decay into  
822 the observable final states, it is possible to measure the couplings of the Higgs boson  
823 in a model-independent way from LHC data. There is one problem that must be  
824 understood. An observable  $\sigma(A\bar{A} \rightarrow h) \cdot BR(h \rightarrow B\bar{B})$  depends on the Higgs boson  
825 couplings through the factor

$$\frac{g^2(hAA)g^2(hBB)}{\Gamma_T} \quad (28)$$

826 where  $\Gamma_T$  is the total width of the Higgs. For a Higgs boson of mass 125 GeV, the total  
827 width is expected to be about 4 MeV. Such a small value cannot be measured directly  
828 at any collider, so it must be determined by this fit. However, there might always  
829 be decay modes of the Higgs boson that are unobservable in the LHC experimental  
830 environment. The presence of such modes would increase  $\Gamma_T$ , and so we need a  
831 constraint that puts an upper limit on  $\Gamma_T$ .

832 This constraint comes from the fact that each scalar with a vev makes a positive  
833 contribution to the masses of the  $W$  and  $Z$ . Since the Higgs couplings to the  $W$  and  
834  $Z$  also arise from the vev, this implies that the coupling of any single Higgs field is  
835 bounded above by the coupling that would give the full mass of the vector bosons.  
836 This implies

$$g^2(hWW) \leq g^2(hWW)|_{SM} \quad \text{and} \quad g^2(hZZ) \leq g^2(hZZ)|_{SM} \quad (29)$$

837 Then the measurement of the  $\sigma \cdot BR$  for a process such as  $WW$  fusion to  $h$  with  
838 decay to  $WW^*$ , which is proportional to  $g^4(hWW)/\Gamma_T$ , puts an upper limit on  $\Gamma_T$ .  
839 This constraint was first noticed and applied to Higgs coupling fitting by Dührssen  
840 *et al.* [34]. In the literature, this constraint is sometimes applied together with the  
841 relation

$$g^2(hWW)/g^2(hZZ) = \cos^2 \theta_w . \quad (30)$$

842 The relation (30), however, requires models in which the Higgs is a mixture of  $SU(2)$   
843 singlet and doublet fields only, while (29) is more general [35].

844 This observation allows model-independent fits to the Higgs couplings from LHC  
845 data, but it still leaves an important source of difficulty. A SM Higgs boson of mass  
846 125 GeV has a 60% branching fraction to the final state  $b\bar{b}$ . Thus, measurements that  
847 involve the  $b\bar{b}$  final state play a large role in determining the Higgs total width, and  
848 any errors in that determination feed back into all Higgs couplings. Unfortunately, it  
849 is very difficult to observe decays  $h^0 \rightarrow b\bar{b}$  at the LHC. The simple argument for this  
850 is that the cross section producing for  $h^0 \rightarrow b\bar{b}$  is of the order of pb while the cross  
851 section for producing a pair of  $b$  jets at the Higgs boson mass is of the order of  $\mu\text{b}$ .  
852 The literature on Higgs boson measurements at the LHC has gone through cycles of  
853 optimism and pessimism about the possibility of overcoming this problem. Currently,  
854 we are in a state of optimism, due to the observation of Butterworth, Davison, Rubin,  
855 and Salam that highly boosted Higgs bosons can be distinguished by recognizing the  
856 Higgs as an exotic jet with special internal structure [36]. The Butterworth *et al.*  
857 paper discussed the observation of  $h \rightarrow b\bar{b}$  in the reactions  $pp \rightarrow W, Z + h$ . Plehn,  
858 Salam, and Spannowsky have argued that an extension of this technical also allows  
859 the study of  $pp \rightarrow t\bar{t} + h$  with  $h \rightarrow b\bar{b}$  at the LHC [37]. However, it is one thing to  
860 observe these processes and quite another to use them to measure Higgs couplings  
861 with high precision. It is not yet understood how to calibrate these methods or what  
862 their ultimate systematic errors might be. Further, the selection of particular jet  
863 configurations potentially introduces large theoretical errors into the calculation of  
864 the relevant cross sections. The uncertainty in the extraction of couplings from these  
865 channels propagates back into the whole system of couplings determined from LHC  
866 data.

867 Over the years, there have been many attempts to estimate the ultimate sensitivity  
868 of the LHC experiments to the Higgs boson couplings. Most serious work on this

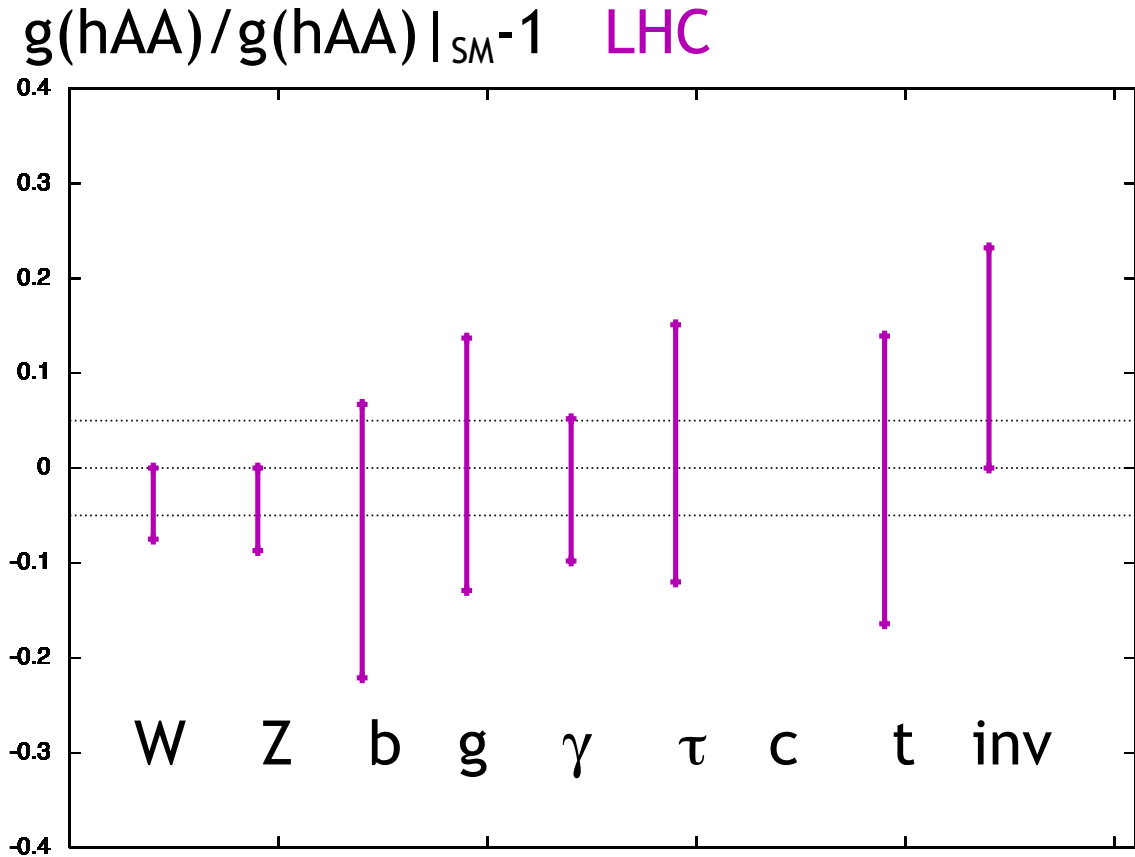


Figure 4: Estimate of the sensitivity of the LHC experiments to Higgs boson couplings in a model-independent analysis. The methodology leading to this figure is explained in [43].

869 subject to date, is the 2003 Ph. D. thesis of Dührssen [38] and the subsequent analysis  
 870 of this work with Heinemeyer, Logan, Rainwater, and Weiglein [39]. This work has  
 871 been updated in [41] and [42]. Other analysis using stronger model assumptions have  
 872 been given in [32] and [40]. It is clear from the explanation given in the previous  
 873 paragraph that any such analysis from before 2010 is excessively optimistic.

874 We have tried to make our own analysis of the model-independent LHC sensitivity  
 875 to Higgs couplings, also bringing up to date the estimates in [38]. The results are  
 876 shown in Fig. 4. The details of the analysis are given in [43]. The results differ in  
 877 some details from [42], but they are qualitatively similar.

878 This estimate leads to a surprisingly strong conclusion. The LHC experiments will  
 879 be able to make model-independent determinations of the Higgs boson couplings, and  
 880 these determinations should be accurate enough to confirm or refute the hypothesis



881 that the particle recently observed has the profile of the Standard Model Higgs boson.  
882 However, these experiments will not provide sufficient accuracy in the Higgs couplings  
883 to test for the deviations expected in new physics models in the Decoupling Limit,  
884 the generic models, that is, described in Section 2.2. To make this study, a stronger  
885 tool is needed.

#### 886 2.3.4 Prospects for measurement of the triple Higgs coupling at the LHC

887 Measurement of the Higgs quartic coupling parameter  $\lambda$  provides a test of the elec-  
888 troweak symmetry breaking mechanism through the structure of the Higgs potential.  
889 This coupling can be probed via a measurement of the triple-Higgs vertex, which  
890 contributes along with other diagrams to Higgs pair production. This coupling can  
891 be significantly modified in models with extended Higgs sectors, in particular in mod-  
892 els that increase the strength of the electroweak phase transition to provide viable  
893 baryogenesis [44]. For Higgs pair production via  $gg \rightarrow hh$ , low-mass new physics in  
894 the loops can rather significantly affect the cross section even if it does not have a  
895 large effect on the  $gg \rightarrow h$  cross section [45,46].

896 Measuring the triple Higgs coupling at the LHC is very challenging for a 125 GeV  
897 Higgs boson. The largest production cross section is  $gg \rightarrow hh$ , with other potential  
898 production modes (VBF  $qq \rightarrow qqhh$ ,  $q\bar{q} \rightarrow Vhh$ , and  $gg, q\bar{q} \rightarrow t\bar{t}hh$ ) being severely  
899 rate-limited. The  $4W$  final state has been studied for  $M_h > 150$  GeV [47] and was  
900 found to be promising for  $M_h \simeq 170$ –200 GeV at the high-luminosity ( $10^{35}$  cm<sup>-2</sup>s<sup>-1</sup>)  
901 LHC [48]; however, this final state is suppressed by the falling  $h \rightarrow WW$  branching  
902 ratio at lower masses (a factor of  $(0.22)^2 = 0.048$  at  $M_h = 125$  GeV, compared to 0.92  
903 (0.55) at  $M_h = 170$  (200) GeV). This suppression will be compensated somewhat by  
904 an enhanced production cross section at lower masses, but no LHC study has been  
905 done in the  $4W$  final state for a low-mass Higgs.

906 The  $4b$  and  $bb\tau\tau$  final states were studied for a 120 GeV Higgs in Ref. [49] and  
907 the more promising  $bb\gamma\gamma$  final state was studied in Ref. [50]. The expected triple-  
908 Higgs coupling sensitivity can be expressed as  $\Delta\lambda_{hhh} \equiv \lambda/\lambda_{\text{SM}} - 1$ , assuming no new  
909 particles contribute to the  $gg \rightarrow h$  and  $gg \rightarrow hh$  loops. The results, summarized in  
910 Table 1, indicate that only order-1 sensitivity will be possible.

## 911 2.4 Higgs measurements at ILC at 250 GeV

912 The physics program of the LHC should be contrasted with the physics program  
913 that becomes available at the ILC. The ILC, being an  $e^+e^-$  collider, inherits tradi-  
914 tional virtues of past  $e^+e^-$  colliders such as LEP and SLC: well defined initial states,

	LHC (300 fb <sup>-1</sup> )	SLHC (3000 fb <sup>-1</sup> )
4b [49]	-6.8 < Δλ <sub>hhh</sub> < 10.1	-3.1 < Δλ <sub>hhh</sub> < 6.0
bbττ [49]	-	-1.6 < Δλ <sub>hhh</sub> < 3.1
	LHC (600 fb <sup>-1</sup> )	SLHC (6000 fb <sup>-1</sup> )
bbγγ [50]	-0.74 < Δλ <sub>hhh</sub> < +0.94	-0.46 < Δλ <sub>hhh</sub> < +0.52

Table 1: Expected Higgs self-coupling 1σ sensitivity limits for  $M_h = 120$  GeV, from Refs. [49,50]. Sensitivity is expressed in terms of  $\Delta\lambda_{hhh} \equiv \lambda/\lambda_{\text{SM}} - 1$ . The  $bb\tau\tau$  final state signal cross section is too small to be observed at the 300 fb<sup>-1</sup> LHC [49].

915 clean environment, and reasonable signal-to-noise ratios even before any selection  
916 cuts. Thanks to the clean environment, it can be equipped with ultra high preci-  
917 sion detectors that enable us to reconstruct events in terms of fundamental particles,  
918 namely, quarks, leptons, and gauge bosons. At the ILC, therefore, we will be able  
919 to analyze events as viewing Feynman diagrams. By controlling beam polarization,  
920 we can even select Feynman diagrams that participate in the reaction in question. It  
921 should be emphasized that this is largely due to the experimental technique called  
922 the Particle Flow Analysis (PFA), which allows us to detect the Higgs boson with  
923 high efficiency, using its major modes, i.e., decays into hadronic jets. This is a great  
924 advantage over the experiments at the LHC and provides opportunities for various  
925 precision measurements of the properties of the Standard-Model-like Higgs boson  
926 candidate found at the LHC.

927 The precision Higgs study program will start at around  $\sqrt{s} = 250$  GeV with  
928 the Higgs-strahlung process,  $e^+e^- \rightarrow ZH$  (Fig.5 (left)).The production cross section  
929 for this process is plotted in Fig.6 as a function of  $\sqrt{s}$  together with that for the  
930 weak boson fusion processes (Figs.5-(center and right)). We can see that the Higgs-  
931 strahlung process attains its maximum at around  $\sqrt{s} = 250$  GeV and dominates the  
932 fusion processes there. The cross section for the fusion processes increases with the  
933 energy and takes over that of the Higgs-strahlung process above  $\sqrt{s} \gtrsim 500$  GeV.  
934 The production cross section of the Higgs-strahlung process at  $\sqrt{s} \simeq 250$  GeV is  
935 substantial for the low mass Standard-Model-like Higgs boson. Its discovery would  
936 require only a few fb<sup>-1</sup> of integrated luminosity. With 250 fb<sup>-1</sup>, about  $8.8 \times 10^4$   
937 Higgs boson events can be collected. The precise determination of the properties of  
938 the Higgs boson is one of the main goals of the ILC regardless of its nature, SM or  
939 otherwise. Of particular importance are the Higgs boson mass,  $m_h$ , and its branching  
940 ratios.

941 Before we elaborate more on the Higgs branching fraction measurements, let us  
942 turn our attention to the measurements of the mass and spin of the Higgs boson,  
943 which are necessary to confirm that the Higgs-like object found at the LHC has the

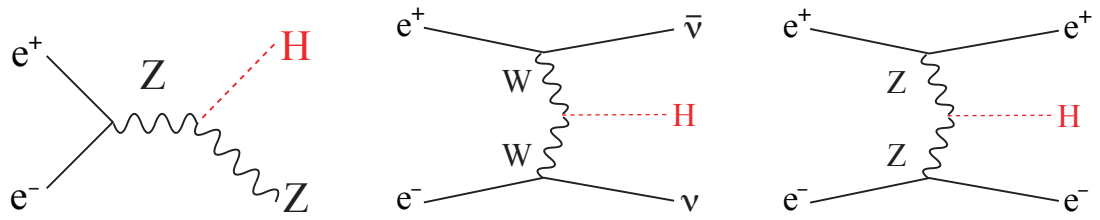


Figure 5: Feynman diagrams for the three major Higgs production processes at the ILC:  $e^+e^- \rightarrow ZH$  (left),  $e^+e^- \rightarrow \nu\bar{\nu}H$  (center), and  $e^+e^- \rightarrow e^+e^-H$  (right).

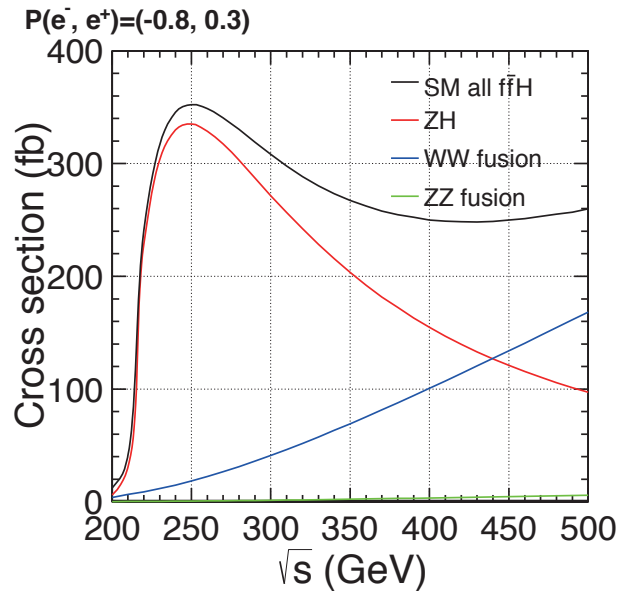


Figure 6: Production cross section for the  $e^+e^- \rightarrow ZH$  process as a function of the center of mass energy for  $M_H = 120$  GeV, plotted together with those for the  $WW$  and  $ZZ$  fusion processes:  $e^+e^- \rightarrow \nu\bar{\nu}H$  and  $e^+e^- \rightarrow e^+e^-H$ .

944 properties expected for the Higgs boson.

#### 945 2.4.1 Mass and Quantum Numbers

946 We have discussed in the previous section that the LHC already offers excellent ca-  
 947 pabilities to measure the mass and quantum numbers of the Higgs boson. However,  
 948 the ILC offers new probes of these quantities that are very attractive experimentally.  
 949 We will review them here.

950 We first discuss the precision mass measurement of the Higgs boson at the ILC.  
 951 This measurement can be made particularly cleanly in the process  $e^+e^- \rightarrow ZH$ , with  
 952  $Z \rightarrow \mu^+\mu^-$  and  $Z \rightarrow e^+e^-$  decays. Here the distribution of the invariant mass recoil-  
 953 ing against the reconstructed  $Z$  provides a precise measurement of  $M_H$ , independently  
 954 of the Higgs decay mode. In particular, the  $\mu^+\mu^-X$  final state provides a particularly  
 955 precise measurement as the  $e^+e^-X$  channel suffers from larger experimental uncer-  
 956 tainties due to bremsstrahlung. It should be noted that it is the capability to precisely  
 957 reconstruct the recoil mass distribution from  $Z \rightarrow \mu^+\mu^-$  that defines the momentum  
 958 resolution requirement for an ILC detector.

959 The reconstructed recoil mass distributions, calculated assuming the  $ZH$  is pro-  
 960 duced with four-momentum  $(\sqrt{s}, 0)$ , are shown in Fig.7. In the  $e^+e^-X$  channel FSR  
 961 and bremsstrahlung photons are identified and used in the calculation of the  $e^+e^-(n\gamma)$   
 962 recoil mass. Fits to signal and background components are used to extract  $M_H$ .  
 963 Based on this model-independent analysis of Higgs production in the ILD detector, it  
 964 is shown that  $M_H$  can be determined with a statistical precision of 40 MeV (80 MeV)  
 965 from the  $\mu^+\mu^-X$  ( $e^+e^-X$ ) channel. When the two channels are combined an uncer-  
 966 tainty of 32 MeV is obtained [51]. The corresponding model independent uncertainty  
 967 on the Higgs production cross section is 2.5%. Similar results were obtained from  
 968 SiD [52]. It should be emphasized that these measurements only used the information  
 969 from the leptonic decay products of the  $Z$  and are independent of the Higgs decay  
 970 mode. As such this analysis technique could be applied even if the Higgs decayed  
 971 invisibly and hence allows us to determine the absolute branching ratios including  
 972 that of invisible Higgs decays. By combining the branching ratio to  $ZZ$  with the pro-  
 973 duction cross section, which involves the same  $g_{HZZ}$  coupling, one can determine the  
 974 total width and the absolute scale of partial widths with no need for the theoretical  
 975 assumptions needed for the LHC case. We will return to this point later.

976 It is worth noting that for the  $\mu^+\mu^-X$  channel the width of the recoil mass peak  
 977 is dominated by the beam energy spread. In the above study Gaussian beam energy  
 978 spreads of 0.28% and 0.18% are assumed for the incoming electron and positron  
 979 beams respectively. For ILD the detector response leads to the broadening of the  
 980 recoil mass peak from 560 MeV to 650 MeV. The contribution from momentum  
 981 resolution is therefore estimated to be 330 MeV. Although the effect of the detector  
 982 resolution is not negligible, the dominant contribution to the observed width arises  
 983 from the incoming beam energy spread rather than the detector response. This is no  
 984 coincidence; the measurement of  $m_h$  from the  $\mu^+\mu^-X$  recoil mass distribution was  
 985 one of the benchmarks used to determine the momentum resolution requirement for  
 986 a detector at the ILC.

987 If there are additional Higgs fields with vacuum expectation values that contribute  
 988 to the masses of the  $Z$ , the corresponding Higgs particles will also appear in reactions

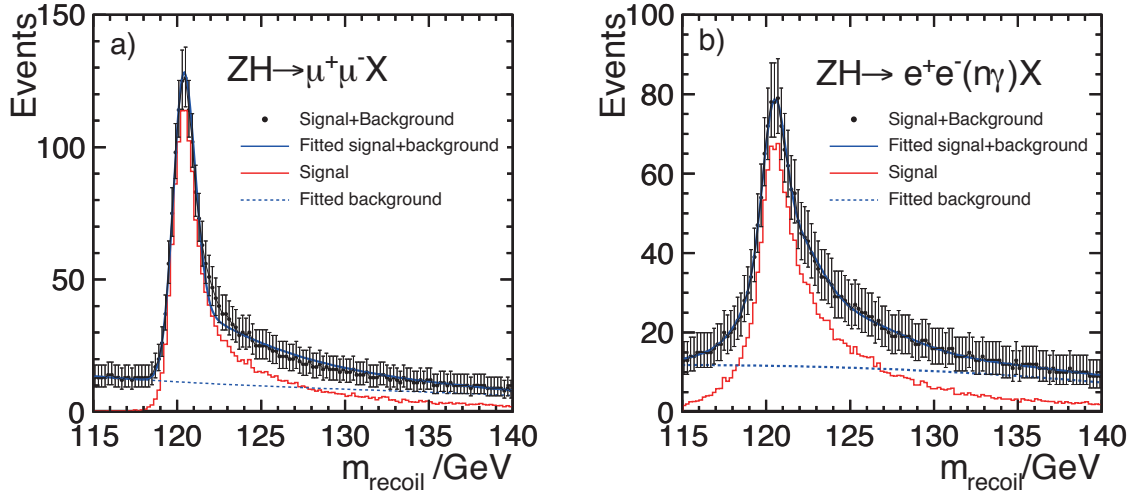


Figure 7: Results of the model independent analysis of the Higgs-strahlung process  $e^+e^- \rightarrow ZH$  in which a)  $Z \rightarrow \mu^+\mu^-$  and b)  $Z \rightarrow e^+e^-(n\gamma)$ . The results are shown for  $P(e^+, e^-) = (+30\%, -80\%)$  beam polarization.

989  $e^+e^- \rightarrow Zh'$ , and their masses can be determined in the same way.

990 We now turn to the determination of the spin and CP properties of the Higgs  
 991 boson. The  $H \rightarrow \gamma\gamma$  decay observed at the LHC rules out the possibility of spin 1  
 992 and restricts the charge conjugation  $C$  to be positive. We have already noted that  
 993 the discrete choice between CP even and CP odd can be settled by the study of Higgs  
 994 decay to  $ZZ^*$  to 4 leptons.

995 The ILC offers an additional, orthogonal, test of these assignments. The threshold  
 996 behavior of the  $Zh$  cross section has a characteristic shape for each spin and each  
 997 possible CP parity. If the boson's spin is 2 or less, there is a clear discrimination:  
 998 The cross section rises as  $\beta$  near the threshold for a CP even state and as  $\beta^3$  for a CP  
 999 odd state. If the spin is higher than 2, the cross section will grow as a higher power  
 1000 of  $\beta$ . With a three-20  $\text{fb}^{-1}$ -point threshold scan of the  $e^+e^- \rightarrow ZH$  production cross  
 1001 section we can clearly separate these possibilities as shown in Fig. 8 (left). At energies  
 1002 well above the  $Zh$  threshold, the  $Zh$  process will be dominated by longitudinal  $Z$   
 1003 production as implied by the equivalence theorem. The reaction will then behave  
 1004 like a scalar pair production, showing the characteristic  $\sim \sin^2\theta$  dependence if the  
 1005  $H$  particle's spin is zero. The measurement of the angular distribution will hence  
 1006 strongly corroborate that the  $h$  is indeed a scalar particle.

1007 It is possible that the  $h$  is not a CP eigenstate but rather a mixture of CP even  
 1008 and CP odd components. This occurs if there is CP violation in the Higgs sector. It

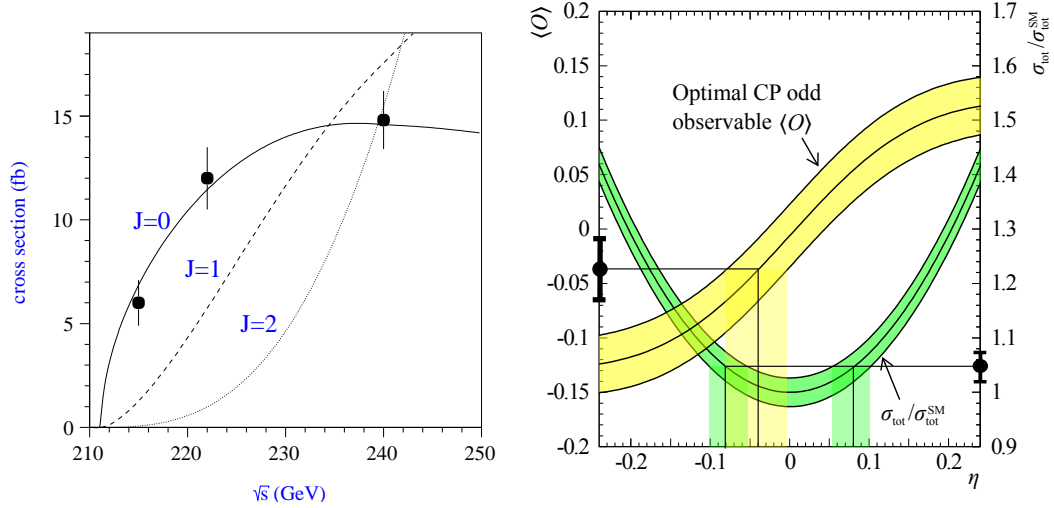


Figure 8: Left: Threshold scan of the  $e^+e^- \rightarrow ZH$  process for  $M_H = 120$  GeV, compared with theoretical predictions for  $J^P = 0^+, 1^-,$  and  $2^+$  [53]. Right: Determination of  $CP$ -mixing with  $1\text{-}\sigma$  bands expected at  $\sqrt{s} = 350$  GeV and  $500\text{ fb}^{-1}$  [54].

1009 is known that  $CP$  violation from the CKM matrix cannot explain the cosmological  
 1010 excess of baryons over antibaryons; thus, a second source of  $CP$  violation in nature  
 1011 is needed. One possibility is that this new  $CP$  violation comes from the Higgs sector  
 1012 and gives rise to net baryon number at the electroweak phase transitions, through  
 1013 mechanisms that we will discuss in Section 9 of this report. For these models, the  $h$   
 1014 mass eigenstates can be mainly  $CP$  even but contain a small admixture of a  $CP$  odd  
 1015 component.

1016 A small  $CP$  odd contribution to the  $hZZ$  coupling can affect the threshold behav-  
 1017 ior. The right-hand side of Fig. 8 shows the determination of this angle at a center  
 1018 of mass energy of 350 GeV from the value of the total cross section and from an  
 1019 appropriately defined optimal observable [54].

1020 Tests of mixed  $CP$  property using the  $hZZ$  coupling may not be the most effective  
 1021 ones, since the  $CP$  odd  $hZZ$  coupling is of higher dimension and may be generated  
 1022 only through loops. It is more effective to use a coupling for which the  $CP$  even and  
 1023  $CP$  odd components are on the same footing. An example is the  $h$  coupling to  $\tau^+\tau^-$ ,  
 1024 given by

$$\Delta\mathcal{L} = -\frac{m_\tau}{v}h\bar{\tau}(\cos\alpha + i\sin\alpha\gamma^5)\tau \quad (31)$$

1025 for a Higgs boson with a  $CP$  odd component. The polarizations of the final state  $\tau$ s  
 1026 can be determined from the kinematic distributions of their decay products; the  $CP$

1027 even and odd components interfere in these distributions [55]. In [56], it is estimated  
1028 that the angle  $\alpha$  can be determined at the ILC to an accuracy of  $6^\circ$ .

### 1029 2.4.2 Inclusive cross section

1030 Whereas all Higgs boson measurements at the LHC are measurements of  $\sigma \cdot BR$ , the  
1031 ILC allows us to measure the absolute size of a Higgs inclusive cross section. This  
1032 can be done by applying the recoil technique discussed above to the measurement  
1033 of  $(\sigma_{ZH})$  for the  $e^+e^- \rightarrow Zh$  process. The measurement gives the cross section to  
1034 a relative accuracy of 2.5% at  $250 \text{ fb}^{-1}$  without looking at the  $h$  decay at all. This  
1035 cross section is indispensable for extracting branching ratio ( $BR$ ) from the event rate,  
1036 which is proportional to  $\sigma_{Zh} \cdot BR$ , and limits its precision.

1037 It is worth noting that the inclusive cross section is a direct measure of the  $h$  to  $ZZ$   
1038 coupling ( $g_{HZZ}$ ). This single measurement at the ILC is capable of determining this  
1039 coupling to 1.3%. If the  $h$  particle is a scalar particle, this coupling must originate  
1040 from a gauge-kinetic term of the form given by Eq.(5) with one  $\Phi$  leg replaced by  
1041 the vacuum expectation value associated with the  $h$  particle. The observation of  
1042 this coupling is, therefore, a strong evidence of the existence of a vacuum condensate  
1043 associated with the  $h$  particle. Moreover, the vacuum expectation value here has no  
1044 solid reason to saturate the standard model value,  $v = 246 \text{ GeV}$ . The  $g_{hZZ}$  coupling  
1045 hence measures to what extent the vacuum expectation value associated with the  
1046 multiplet to which the  $h$  particle belongs explain the mass of the  $Z$  boson. The  
1047 power of the recoil mass measurement is this ability to unambiguously determine  
1048 the  $g_{hZZ}$  coupling and probe the vacuum condensate, thereby making it the flagship  
1049 measurement of the ILC.

### 1050 2.4.3 Branching Ratios

1051 The measurement of the inclusive cross section of the  $e^+e^- \rightarrow ZH$  process allows us to  
1052 extract the  $H$  particle's branching fractions in a completely model-independent man-  
1053 ner. A precise measurement of the absolute branching ratios of the Higgs bosons is an  
1054 important test of the mass generation mechanism and provides a window into effects  
1055 beyond the SM. For the branching ratio measurements we again use the  $e^+e^- \rightarrow ZH$   
1056 process, but this time exploiting all the decay modes of the  $Z$  boson including the  
1057  $Z \rightarrow q\bar{q}$  and  $Z \rightarrow \nu\bar{\nu}$  decays. The use of fully hadronic final states is possible only  
1058 in a very clean environment of an  $e^+e^-$  collider. In the clean environment of the ILC  
1059 we can also use a high performance micro-vertex detector, which is placed very close  
1060 to the interaction point, and hence it is possible to measure  $H \rightarrow c\bar{c}$  and  $H \rightarrow b\bar{b}$

1061 separately. Figure 9 shows a lego plot of the  $b$ -likeness v.s.  $c$ -likeness for the tem-  
 1062 plate samples of the signal and the SM background events. We can see the clear  
 1063 differences between the different decay modes of the Higgs boson. Together with the  
 1064 measurement of the  $H \rightarrow \tau^+\tau^-$  decays, we can access the Yukawa couplings of both  
 1065 up-type and down-type fermions and test the coupling-mass proportionality. The  
 1066 loop-induced  $H \rightarrow gg$  decay is indirectly sensitive to the top Yukawa coupling and  
 1067 possibly other new strongly interacting particles that couples to the Higgs particle but  
 1068 is too heavy to produce directly. By the same token, the  $H \rightarrow \gamma\gamma$  and the  $H \rightarrow Z\gamma$   
 decays are also important as a tool to probe heavy particles in the loop. The ex-

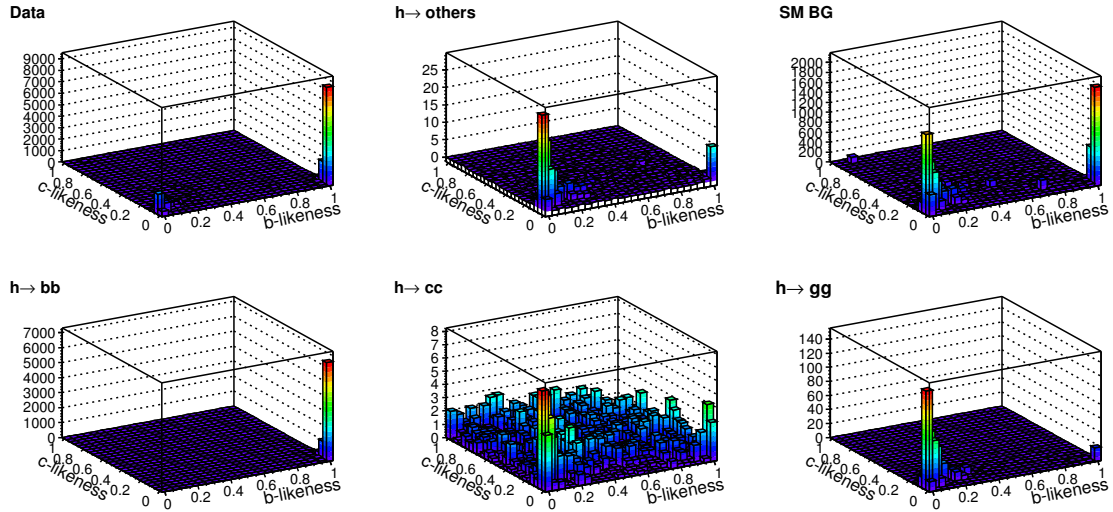


Figure 9: Two-dimensional image of the three-dimensional template samples in  $b$ -likeness v.s.  $c$ -likeness [57]

1069 pected accuracies on the branching ratios are summarized in Table 2. It is worth  
 1070 noting that these full simulation results are consistent with the past fast simulation  
 1071 results [60,61,62,63,64].  
 1072

1073 The  $h$  decay to invisible final states, if any, can be measured by looking at the  
 1074 recoil mass under the condition that nothing observable is recoiling against the  $Z$   
 1075 boson. The main background is  $e^+e^- \rightarrow ZZ$  followed by one  $Z$  decaying into a lepton  
 1076 pair and the other into a neutrino pair. With an integrated luminosity of  $250\text{fb}^{-1}$   
 1077 at  $\sqrt{s} = 250\text{GeV}$ , the ILC can set a 95% CL limit on the invisible branching ratio  
 1078 to 4.8% with the golden  $Z \rightarrow \mu^+\mu^-$  mode alone[65]. Using other modes including  
 1079  $Z \rightarrow q\bar{q}$ , we could improve this significantly to 0.8% [66]. [ I have received the  
 1080 corrected number from Hiroaki, which is slightly worse than the fast sim-  
 1081 ulation result by A.Yamamoto I used in the current svn version. 95%CL



Table 2: Expected accuracies for the  $H$  boson branching ratios obtained with full detector simulations at the  $\sqrt{s} = 250$  GeV assuming  $\mathcal{L} = 250 \text{ fb}^{-1}$  and  $(e^-, e^+) = (-0.8, +0.3)$  beam polarization[57,59,58]. The errors on  $BR$  include the error on  $\sigma$  of 2.5% from the recoil mass measurement. The  $H \rightarrow WW^*$  measurement assumes the opposite  $(e^-, e^+) = (+0.8, -0.3)$  beam polarization combination. The  $H \rightarrow \tau^+\tau^-$  and  $H \rightarrow \gamma\gamma$  results are from fast simulations [**to be replaced by the time of DBD completion**].

mode	$BR$	$\sigma \cdot BR$ (fb)	$N_{evt}/250 \text{ fb}^{-1}$	$\Delta(\sigma \cdot BR)/(\sigma \cdot BR)$	$\Delta BR/BR$
$H \rightarrow b\bar{b}$	65.7%	232.8	58199	1.0%	2.7%
$H \rightarrow c\bar{c}$	3.6%	12.7	3187	6.9%	7.3%
$H \rightarrow gg$	5.5%	19.5	4864	8.5%	8.9%
$H \rightarrow WW^*$	15.0%	53.1	13281	8.2%	8.6%
$H \rightarrow \tau^+\tau^-$	8.0%	28.2	7050	4-6%	5-7%
$H \rightarrow ZZ^*$	1.7%	6.1	1523	28(?)%	28(?)%
$H \rightarrow \gamma\gamma$	0.29%	1.02	255	23-30%	23-30%

1082 **upper limit on BR(invisible) = 1.1%, while the relative error on sigma**  
1083 **x BR(invisible) = 7.6% for BR(invisible) = 10% (see Hiroaki's slides at-**  
1084 **tached below for detail). These numbers assume Ecm=250GeV, 250fb<sup>-1</sup>,**  
1085 **and P(e+,e-)=(+0.3,-0.8). For right handed combination (-0.3,+0.8), the**  
1086 **corresponding numbers are 95%CL upper limit on BR(inv.) = 0.76% rel-**  
1087 **ative error on sigma x BR(inv.) = 7.3% for BR(inv.)=10%. ]**

1088 To determine the absolute normalization of Higgs boson partial widths from the  
1089 measurements of branching ratios, we need to combine them with an accurate value  
1090 of one partial width or cross section. As described above, the 250 GeV running of  
1091 the ILC for  $250 \text{ fb}^{-1}$  will determine the cross section for  $e^+e^- \rightarrow Zh$  very accurately,  
1092 to 2.5%, which can be directly converted to  $g_{hZZ}$  or to the absolute partial width  
1093  $\Gamma(ZZ)$ . However, to use this value to normalize the other Higgs partial widths in a  
1094 completely model-independent analysis, we would need to use the formula

$$\Gamma(A) = \Gamma(ZZ) \cdot \frac{BR(A)}{BR(ZZ)}, \quad (32)$$

1095 and so we would also need to measure the branching ratio for  $h \rightarrow ZZ^*$ . This is not  
1096 easy to do at the ILC because it is a rare mode giving low statistics for a Higgs boson  
1097 with  $M_H \simeq 120 \text{ GeV}$ . No full simulation study of the  $h \rightarrow ZZ^*$  branching ratio in  
1098  $e^+e^- \rightarrow ZH$  is currently available. We will therefore use the result of the  $H \rightarrow WW^*$   
1099 study [59] and scale accordingly. The error for the  $H \rightarrow WW^*$  decay implies a 28%  
1100 relative error for the  $h \rightarrow ZZ^*$  branching ratio. The use of the formula (32) then  
1101 implies that the uncertainties in absolute partial widths or Higgs couplings are those

1102 listed convolved with  $2.5 \oplus 28\%$ . This significantly degrades the precision information  
 1103 obtained at the ILC.

1104 An alternative is to use the theoretical assumption

$$g(HWW)/g(HZZ) = \cos^2 \theta_W \quad (33)$$

1105 to tie together the  $HZZ$  and  $HWW$  couplings. Now  $BR(WW^*)$  can be used in the  
 1106 denominator of Eq.(32), and the error added in converting from branching ratios to  
 1107 partial widths is  $2.5 \oplus 8.0\% = 8.4\%$ .

1108 As we will see below, the absolute strength of the Higgs coupling to  $WW$  is  
 1109 expected to be obtained by a measurement of the cross section for Higgs production  
 1110 through  $WW$  fusion,  $e^+e^- \rightarrow \nu\bar{\nu}H$  at  $\sqrt{s} = 500$  GeV. The 500 GeV data can also  
 1111 be used to improve the accuracy on the  $BR(WW^*)$ . These measurements can be  
 1112 combined to obtain Higgs couplings in a completely model-independent way.

1113 So far we have been dealing with the branching ratios and partial widths after  
 1114 phase space integration. The  $h \rightarrow WW^*$  decay provides an interesting opportunity  
 1115 to study its differential width and probe the Lorentz structure of the  $hWW$  coupling  
 1116 through angular analyses of the decay products. The relevant part of the general  
 1117 interaction Lagrangian, which couples the Higgs boson to  $W$  bosons in a both Lorentz-  
 1118 and gauge-symmetric fashion, can be parameterized as

$$\mathcal{L}_{HWW} = 2m_W^2 \left( \frac{1}{v} + \frac{a}{\Lambda} \right) h W_\mu^+ W^{-\mu} + \frac{b}{\Lambda} h W_{\mu\nu}^+ W^{-\mu\nu} + \frac{\tilde{b}}{\Lambda} h \epsilon^{\mu\nu\sigma\tau} W_{\mu\nu}^+ W_{\sigma\tau}^- , \quad (34)$$

1119 where  $W_{\mu\nu}^\pm$  is the usual gauge field strength tensor,  $\epsilon^{\mu\nu\sigma\tau}$  is the Levi-Civita tensor,  $v$  is  
 1120 the VEV of the Higgs field, and  $\Lambda$  is a cutoff scale<sup>†</sup>. The real dimensionless coefficients,  
 1121  $a$ ,  $b$ , and  $\tilde{b}$ , are all zero in the Standard Model and measure the anomaly in the  
 1122  $HWW$  coupling, which arise from some new physics at the scale  $\Lambda$ . The coefficient  
 1123  $a$  stands for the correction to the Standard Model coupling. On the other hand,  
 1124 the coefficient  $b$  and  $\tilde{b}$  parametrize the leading dimension-five non-renormalizable  
 1125 interactions and corresponding to  $(\mathbf{E} \cdot \mathbf{E} - \mathbf{B} \cdot \mathbf{B})$ -type  $CP$ -even and  $(\mathbf{E} \cdot \mathbf{B})$ -type  
 1126  $CP$ -odd contributions. The  $a$  coefficient, if nonzero, would hence modify just the  
 1127 normalization of the Standard Model coupling, while the  $b$  and  $\tilde{b}$  coefficients would  
 1128 change the angular correlations of the decay planes as seen in Fig.10. Nonzero  $b$  and  
 1129  $\tilde{b}$  would also modify the momentum distribution of the  $W$  boson in the Higgs rest  
 1130 frame. Simultaneous fits to  $p_W$  and  $\phi_{\text{plane}}$  result in the contour plots in Figs.11 and  
 1131 12.

---

<sup>†</sup> The Lagrangian (34) is not by itself gauge invariant; to restore explicit gauge invariance we must also include the corresponding anomalous couplings of the Higgs boson to  $Z$  bosons and photons.

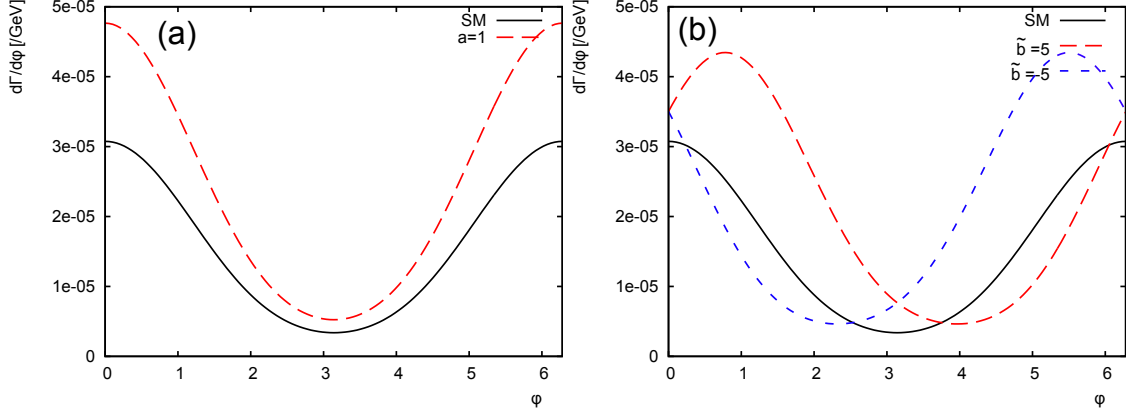


Figure 10: Distribution of the angle  $\phi$  between two decay planes of  $W$  and  $W^*$  from the decay  $H \rightarrow WW^* \rightarrow 4j$  with the inclusion of anomalous couplings [67]. (a) The SM curve along with that for  $a = 1$ ,  $b = \tilde{b} = 0$ ,  $\Lambda = 1$  TeV; the position of the minimum is the same for both distributions. (b) The SM result with the cases  $\tilde{b} = \pm 5$ ,  $a = b = 0$ ,  $\Lambda = 1$  TeV; the position of the minimum is now shifted as discussed in the text.

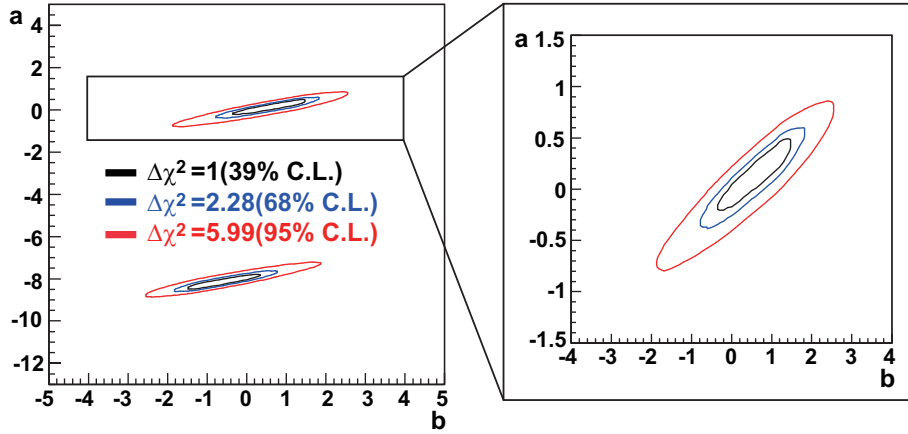


Figure 11: Probability contours for  $\Delta\chi^2 = 1$ , 2.28, and 5.99 in the  $a$ - $b$  plane, which correspond to 39%, 68%, and 95% C.L., respectively.

## 1132 2.5 Higgs measurements at ILC at 500 GeV

1133 The two very important processes will become accessible at  $\sqrt{s} = 500$  GeV. The  
 1134 first is the  $e^+e^- \rightarrow t\bar{t}H$  process [68,69], in which the top Yukawa coupling will appear  
 1135 in the tree level for the first time at the ILC. The top quark, being the heaviest matter  
 1136 fermion in the Standard Model, would be crucial to understand the fermion mass  
 1137 generation mechanism. The second is the  $e^+e^- \rightarrow ZHH$  process, to which the triple  
 1138 Higgs coupling contributes in the tree level. The self-coupling is the key ingredient

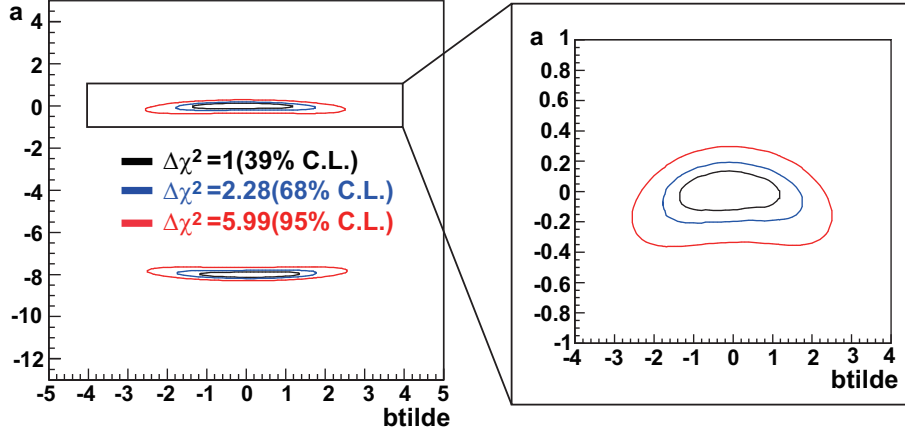


Figure 12: Contours similar to Fig. 11 plotted in the  $a$ - $\tilde{b}$  plane.

1139 of the Higgs potential and its measurement is indispensable for understanding the  
 1140 electroweak symmetry breaking.

### 1141 2.5.1 Top Yukawa Coupling

1142 Past simulation studies for the  $e^+e^- \rightarrow t\bar{t}H$  process were mostly made at around  
 1143  $\sqrt{s} = 800$  GeV, since the cross section attains its maximum there for  $M_H \simeq 120$  GeV  
 1144 [70,71]. It was pointed out, however, that the cross section would be significantly  
 1145 enhanced near the threshold due to the bound-state effects between  $t$  and  $\bar{t}$  [73]-  
 1146 [79] (see Figs.13 left and right) and the measurement of the top Yukawa coupling  
 1147 might be possible already at  $\sqrt{s} = 500$  GeV [80]. A serious simulation study at  
 1148  $\sqrt{s} = 500$  GeV was performed for the first time with the QCD bound-state effects  
 1149 consistently taken into account for both signal and background cross sections [81].  
 1150 The  $e^+e^- \rightarrow t\bar{t}H$  reaction takes place through the three diagrams shown in Fig.14  
 1151 As shown in Fig.13 (left), the contribution from the irrelevant  $H$ -off- $Z$  diagram is  
 1152 negligible at  $\sqrt{s} = 500$  GeV, thereby allowing us to extract the top Yukawa coupling  
 1153  $g_t$  by just counting the number of signal events. By combining the 8-jet and 6-jet-  
 1154 plus-lepton modes of  $e^+e^- \rightarrow t\bar{t}H$  followed by  $H \rightarrow b\bar{b}$ , the analysis showed that  
 1155 a measurement of the top Yukawa coupling to  $\Delta g_t/g_t = 10\%$  is possible for  $M_H =$   
 1156  $120$  GeV with polarized electron and positron beams of  $(P_{e^-}, P_{e^+}) = (-0.8, +0.3)$   
 1157 and an integrated luminosity of  $1 \text{ ab}^{-1}$ . This result obtained with a fast Monte Carlo  
 1158 simulation has just recently been corroborated by a full simulation [82,83].

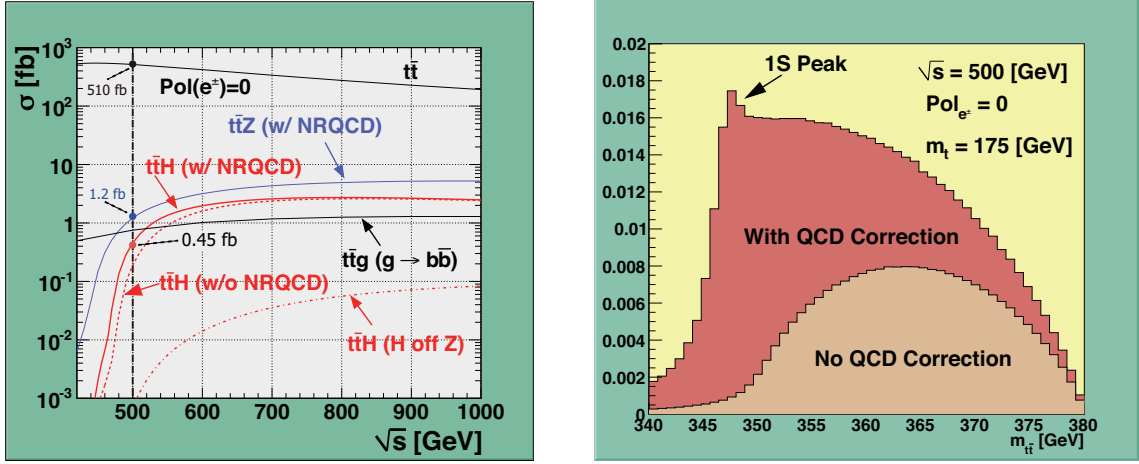


Figure 13: Cross section for the  $e^+e^- \rightarrow t\bar{t}H$  process as a function of  $\sqrt{s}$  together with those of background processes,  $e^+e^- \rightarrow t\bar{t}Z$ ,  $\rightarrow t\bar{t}g^*$ , and  $\rightarrow t\bar{t}$  (left). The invariant mass distribution of the  $t\bar{t}$  system from the  $e^+e^- \rightarrow t\bar{t}H$  process with and without the non-relativistic QCD correction (right).

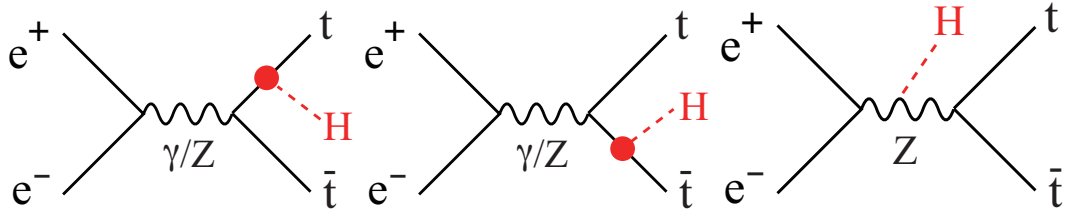


Figure 14: Three diagrams contributing to the  $e^+e^- \rightarrow t\bar{t}H$  process. The  $H$ -off- $t$  or  $\bar{t}$  diagrams, (a) and (b), contain the top Yukawa coupling while the  $H$ -off- $Z$  diagram (c) does not.

### 1159 2.5.2 Higgs Self-coupling

1160 The triple Higgs boson coupling can be studied at the ILC through the processes  
 1161  $e^+e^- \rightarrow ZHH$  and  $e^+e^- \rightarrow \nu_e\bar{\nu}_eHH$  (for relevant diagrams see Fig.15). The cross sections  
 1162 for the two processes are plotted as a function of  $\sqrt{s}$  for  $M_H = 120$  GeV in Fig.16.  
 1163 The cross section reaches its maximum of about 0.18 fb at around  $\sqrt{s} = 500$  GeV,  
 1164 which is dominated by the former process. A full simulation study [85] of the process  
 1165  $e^+e^- \rightarrow ZHH$  followed by  $H \rightarrow b\bar{b}$  has recently been carried out making use of a new  
 1166 flavor tagging package (LCFIplus) [84] together with the conventional Durham jet  
 1167 clustering algorithm. From the combined result of the three channels corresponding  
 1168 to different  $Z$  decay modes,  $Z \rightarrow l^+l^-$ ,  $\nu\bar{\nu}$ , and  $q\bar{q}$ , it was found that the process can

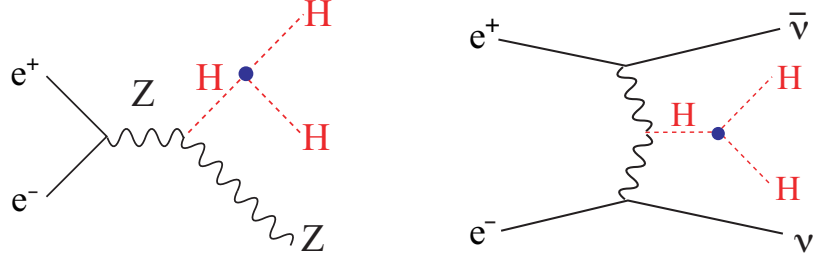


Figure 15: Relevant diagrams containing the triple Higgs coupling for the two processes:  $e^+e^- \rightarrow ZHH$  (left) and  $e^+e^- \rightarrow \nu_e\bar{\nu}_eHH$ .

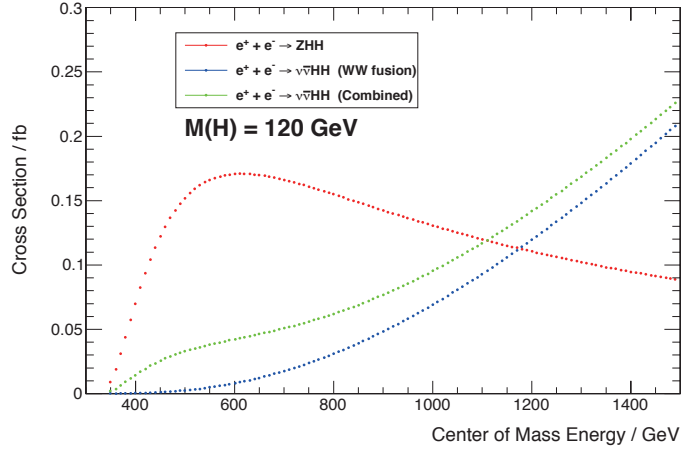


Figure 16: Cross section for the two processes:  $e^+e^- \rightarrow ZHH$  (left) and  $e^+e^- \rightarrow \nu_e\bar{\nu}_eHH$  as a function of  $\sqrt{s}$  for  $M_H = 120$  GeV.

1169 be detected with an excess significance of  $4.3\text{-}\sigma$  and the cross section can be mea-  
 1170 sured to  $\Delta\sigma/\sigma = 0.29$  for an integrated luminosity of  $2\text{ ab}^{-1}$  with beam polarization  
 1171  $(P_{e^-}, P_{e^+}) = (-0.8, +0.3)$ . Unlike the  $e^+e^- \rightarrow t\bar{t}H$  case, however, the contribution  
 1172 from the background diagrams without the self-coupling is significant and the relative  
 1173 error on the self-coupling  $\lambda$  is  $\Delta\lambda/\lambda = 0.52$ , which is not yet very satisfactory com-  
 1174 pared to the results from earlier fast simulation studies [86,87,88,89,90]. The major  
 1175 problem in the analysis is mis-clustering of color-singlet groups. Figure 17 compares  
 1176 the reconstructed invariant masses for the two Higgs candidates with Durham jet  
 1177 clustering (a) and with perfect jet clustering using Monte Carlo truth (b). We can  
 1178 see that the separation between the signal and the background is significantly im-  
 1179 proved if there is no mis-jet-clustering. A new jet clustering algorithm is now being

developed.

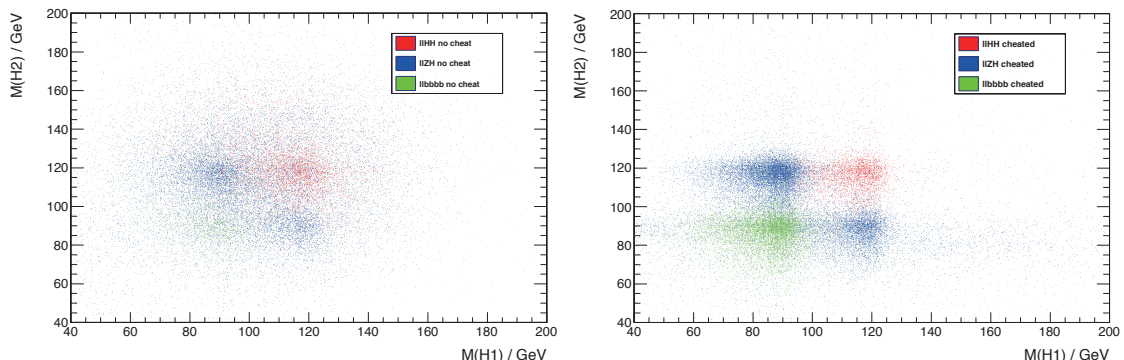


Figure 17: Scatter plot of the invariant masses of the two Higgs candidates (left) with Durham jet clustering and (right) perfect jet clustering using Monte Carlo truth on the color flow.

1180

### 1181 2.5.3 *WW Fusion and HWW Coupling*

1182 As shown in Fig.6, the  $WW$  fusion process takes over the Higgs-strahlung process  
 1183 at around  $\sqrt{s} = 450$  GeV. The cross section for the fusion process is about 160 fb at  
 1184  $\sqrt{s} = 500$  GeV for  $M_H = 120$  GeV. Thanks to this large cross section and the about  
 1185 two times larger luminosity expected at this energy, the fusion process provides a  
 1186 unique opportunity to directly measure the  $HWW$  coupling with high precision.  
 1187 With an integrated luminosity of  $500 \text{ fb}^{-1}$ , we can measure this cross section times  
 1188 the branching fraction to  $b\bar{b}$  to a statistical accuracy of  $\Delta(\sigma(\nu\bar{\nu}H) \cdot BR(b\bar{b})) / (\sigma(\nu\bar{\nu}H) \cdot$   
 1189  $BR(b\bar{b})) = 0.60\%$ . Combining this with the branching ratio measurement at  $\sqrt{s} =$   
 1190  $250$  GeV, we will be able to determine the cross section to  $\Delta\sigma(\nu\bar{\nu}H) / \sigma(\nu\bar{\nu}H) = 2.7\%$ ,  
 1191 which translates to an expected error on the  $HWW$  coupling of  $\Delta g_{HWW} / g_{HWW} =$   
 1192  $1.4\%$ . The large data sample of the fusion process is also useful to improve the  
 1193 precision of the  $H \rightarrow WW^*$  branching ratio, since the background separation is easier  
 1194 at  $\sqrt{s} = 500$  GeV than at  $\sqrt{s} = 250$  GeV, and enables us to determine the cross section  
 1195 times branching ratio to  $\Delta(\sigma(\nu\bar{\nu}H) \cdot BR(WW^*)) / (\sigma(\nu\bar{\nu}H) \cdot BR(WW^*)) = 3.0\%$ .  
 1196 Applying Eq.(32) with  $ZZ$  replaced by  $WW$ , we can determine the Higgs total width  
 1197 to  $\Delta\Gamma_{\text{tot}} / \Gamma_{\text{tot}} \simeq 6\%$ . The clean sample of  $WW^*$  decays can be also used to investigate  
 1198 the Lorentz structure of the  $HWW$  coupling as we discussed in the angular analysis  
 1199 of the  $H \rightarrow WW^*$  decays in the  $e^+e^- \rightarrow ZH$  process at  $\sqrt{s} = 250$  GeV.

1200 **2.5.4 Expected Improvements of Branching Ratio Measurements**

1201 The Higgs sample from the  $WW$  fusion and the Higgs-strahlung processes at  $\sqrt{s} =$   
 1202  $500 \text{ GeV}$  will enable us to significantly improve the branching ratio measurements  
 1203 described above for the  $\sqrt{s} = 250 \text{ GeV}$  run. In particular we can do a template fitting  
 1204 similar to that employed for the  $e^+e^- \rightarrow ZH$  sample at  $\sqrt{s} = 250 \text{ GeV}$ . The flavor-  
 1205 tagging performance at  $\sqrt{s} = 500 \text{ GeV}$  will be similar, too. The expected relative  
 1206 errors on the cross section times branching ratios are summarized in Table 3. The  
 1207 table shows that the  $WW$  fusion process contributes significantly, while the relative  
 1208 error on  $\Delta BR(b\bar{b})/BR(b\bar{b})$  is limited by the error on the  $ZH$  production cross section  
 1209 at  $\sqrt{s} = 250 \text{ GeV}$  from the recoil mass measurement. If we need higher accuracy  
 1210 for  $\Delta BR(b\bar{b})/BR(b\bar{b})$ , we will need to run longer at  $\sqrt{s} = 250 \text{ GeV}$ , though slight  
 1211 improvement is also expected from the recoil mass measurement at  $\sqrt{s} = 500 \text{ GeV}$ .  
 1212 **[The results should be confirmed by full simulations by the time of the**  
 1213 **DBD completion.]**

Table 3: Expected accuracies for the  $H$  boson branching ratios when the  $250 \text{ GeV}$  mea-  
 surements assuming  $\mathcal{L} = 250 \text{ fb}^{-1}$  in Table 2 are combined with those at  $\sqrt{s} = 500 \text{ GeV}$   
 assuming  $\mathcal{L} = 500 \text{ fb}^{-1}$  and  $(e^-, e^+) = (-0.8, +0.3)$  beam polarization. The errors on  $BR$   
 include the error on  $\sigma$  of 2.5% from the recoil mass measurement at  $\sqrt{s} = 250 \text{ GeV}$ .

mode	$\Delta(\sigma \cdot BR)/(\sigma \cdot BR)$			$\Delta BR/BR$
	$ZH @ 250 \text{ GeV}$	$ZH @ 500 \text{ GeV}$	$\nu\bar{\nu}H @ 500 \text{ GeV}$	combined
$H \rightarrow b\bar{b}$	1.0%	1.6%	0.60%	2.6%
$H \rightarrow c\bar{c}$	6.9%	11%	4.0%	4.2%
$H \rightarrow gg$	8.5%	13%	4.9%	4.8%
$H \rightarrow WW^*$	8.2%	13(?)%	3.0%	3.8%
$H \rightarrow \tau^+\tau^-$	4-6%	6-10(?)%	4-6(?)%	3.6-4.6(?)%
$H \rightarrow ZZ^*$	28(?)%	45(?)%	17(?)%	14(?)%
$H \rightarrow \gamma\gamma$	23-30%	37-48(?)%	14-18(?)%	12-15(?)%

1214 **2.6 Higgs measurements at ILC at 1000 GeV**

1215 **[There are no full simulation results at this moment.]**

1216 Two out of the three processes selected as the DBD benchmark reactions at  
 1217  $\sqrt{s} = 1000 \text{ GeV}$  involve Higgs boson production:  $e^+e^- \rightarrow t\bar{t}H$  and  $e^+e^- \rightarrow \nu\bar{\nu}H$ .  
 1218 We showed above that we would be able determine the top Yukawa coupling to an  
 1219 accuracy of about 10% at  $\sqrt{s} = 500 \text{ GeV}$  for  $M_H = 120 \text{ GeV}$ , using the former pro-  
 1220 cess. Since the signal cross section grows to its maximum at around  $\sqrt{s} = 700$  and



1221 only slowly decreases toward  $\sqrt{s} = 1000$  GeV and since one of the major background  
 1222  $e^+e^- \rightarrow t\bar{t}$  decreases much more rapidly as seen in Figs.13 (left), a more precise mea-  
 1223 surement of the top Yukawa coupling will be possible there. On the other hand, the  
 1224 other benchmark process (the  $WW$  fusion process),  $e^+e^- \rightarrow \nu\bar{\nu}H$ , dominates the  $s$ -  
 1225 channel Higgs-strahlung process,  $e^+e^- \rightarrow ZH$ , at  $\sqrt{s} = 1000$  GeV. The cross section  
 1226 for the  $WW$  fusion process will be as large as  $430 \text{ fb}^{-1}$  for  $(P_{e^+}, P_{e^-}) = (+0.2, -0.8)$   
 and  $m_H = 120$  GeV (see Fig.18). Together with the higher luminosity expected

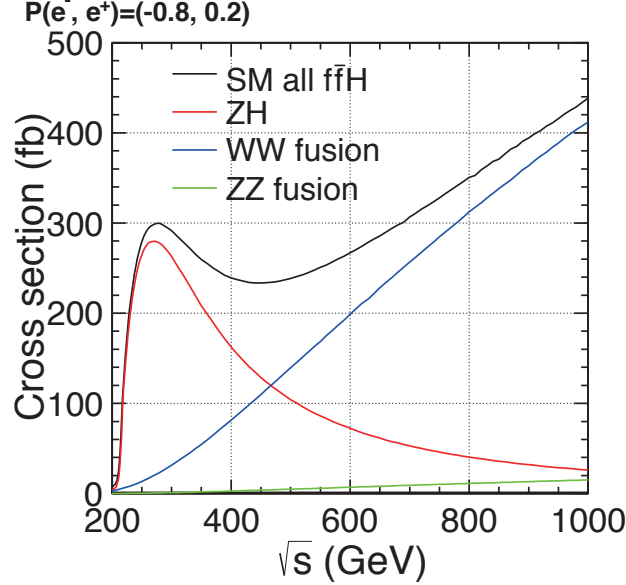


Figure 18: Production cross sections for the Higgs-strahlung,  $e^+e^- \rightarrow ZH$ , the  $WW$  fusion,  $e^+e^- \rightarrow \nu\bar{\nu}H$ , and  $ZZ$  fusion processes as a function of the center of mass energy for  $M_H = 120$  GeV and beam polarization  $(P_{e^+}, P_{e^-}) = (+0.2, -0.8)$ .

1227 at  $\sqrt{s} = 1000$  GeV, this process will give us a high statistics Higgs boson sample:  
 1228  $4.3 \times 10^5$  events for  $1 \text{ ab}^{-1}$ . This will allow us to improve the branching ratios to the  
 1229 various modes discussed above as well as to access a rare mode such as  $H \rightarrow \mu^+\mu^-$ .  
 1230 It is also note worthy that one more process,  $e^+e^- \rightarrow \nu\bar{\nu}HH$  process, will become  
 1231 sizable at  $\sqrt{s} = 1000$  GeV, which can be used to improve the measurement of the  
 1232 Higgs self-coupling in addition to the  $e^+e^- \rightarrow ZHH$  process. These possibilities will  
 1233 be discussed below.  
 1234

1235 *2.6.1 Measurement of  $H \rightarrow \mu^+\mu^-$  decay using  $e^+e^- \rightarrow \nu\bar{\nu}H$*

1236 The branching fraction of the  $H \rightarrow \mu^+\mu^-$  decay is as small as 0.03% for the 120 GeV  
1237 Standard Model Higgs boson. Its measurement thus requires a very good invariant  
1238 mass resolution for the  $\mu^+\mu^-$  pair. The measurement of this rare mode is a challenge  
1239 to the tracking detectors and hence chosen as one of the benchmark processes. The  
1240 SiD group performed a full simulation study of the  $H \rightarrow \mu^+\mu^-$  decay at  $\sqrt{s} = 250$  GeV  
1241 with  $250\text{ fb}^{-1}$  for  $M_H = 120$  GeV as one of its LoI studies [52]. The expected number  
1242 of signal events was only 26 before any cuts. After a simple cut-and-count analysis,  
1243 the expected number of signal events became 8 with 39 background events in the  
1244 final sample of  $e^+e^- \rightarrow ZH$  followed by  $Z \rightarrow q\bar{q}$  and  $H \rightarrow \mu^+\mu^-$ . This corresponds  
1245 to a statistical significance of  $1.1\text{-}\sigma$ . The  $WW$  fusion process at  $\sqrt{s} = 1000$  GeV  
1246 will provide a higher statistics sample of  $4.3 \times 10^5$  Higgs events for  $m_H = 120$  GeV,  
1247 given the  $1\text{ ab}^{-1}$  and  $(P_{e^+}, P_{e^-}) = (+0.2, -0.8)$ . We hence expect about 130 events  
1248 to begin with for the  $H \rightarrow \mu^+\mu^-$  mode. Since the cross sections for the  $e^+e^- \rightarrow$   
1249  $W^+W^- \rightarrow \mu^+\nu_\mu\mu^-\bar{\nu}_\mu$  and  $e^+e^- \rightarrow ZZ \rightarrow \mu^+\mu^-f\bar{f}$  backgrounds will decrease, while  
1250 the signal cross section will increase at higher energies, we would expect a meaningful  
1251 measurement of the muon Yukawa coupling. [ **$\nu\bar{\nu}Z$  and  $\nu\bar{\nu}W^+W^-$  will increase  
1252 though.**] An earlier fast simulation result showed that a  $5\text{-}\sigma$  signal peak would be  
1253 observed with a  $1\text{ ab}^{-1}$  sample [91,92]. Together with the tau Yukawa coupling from  
1254 the  $H \rightarrow \tau^+\tau^-$  branching ratio, this will provide an insight into the lepton mass  
1255 generation. With the charm Yukawa coupling from the  $H \rightarrow c\bar{c}$  branching fraction,  
1256 this will allow us to probe the mass generation mechanism for the second generation  
1257 matter fermions. It is also note worthy that the branching ratio measurements for the  
1258 other decay modes can also be improved. For instance, we can achieve  $\Delta BR(H \rightarrow$   
1259  $\gamma\gamma)/BR(H \rightarrow \gamma\gamma) \simeq 5\%$  [93]. **Full simulation studies on these measurements  
1260 are starting now, which should replace the fast simulation results here.**

1261 *2.6.2 Top Yukawa Coupling*

1262 The 10% accuracy expected at  $\sqrt{s} = 500$  GeV can be significantly improved by the  
1263 data taken at 1000 GeV, thanks to the larger cross section and the less background  
1264 from  $e^+e^- \rightarrow t\bar{t}$ . Fast simulations at  $\sqrt{s} = 800$  GeV showed that we would be  
1265 able to determine the top Yukawa coupling to 6% for  $M_H = 120$  GeV, given an  
1266 integrated luminosity of  $1\text{ ab}^{-1}$  and residual background uncertainty of 5% [70,71].  
1267 **Full simulation studies on these measurements are starting now, which  
1268 should replace the fast simulation result here.**

### 1269 2.6.3 Higgs Self-coupling in the $e^+e^- \rightarrow \nu\bar{\nu}HH$ Process

1270 At  $\sqrt{s} = 1000$  GeV, the  $e^+e^- \rightarrow \nu\bar{\nu}HH$  process will become significant and open up  
1271 the possibility of measuring the triple Higgs coupling in the  $WW$  channel[89]. The  
1272 cross section for this process is only about  $0.07 \text{ fb}^{-1}$ , but the sensitivity to the self-  
1273 coupling is potentially higher since the contribution from the background diagrams  
1274 is smaller, leading to the relation:  $\Delta\lambda/\lambda \simeq 0.85 \times (\Delta\sigma_{\nu\bar{\nu}HH}/\sigma_{\nu\bar{\nu}HH})$  as compared to  
1275  $\Delta\lambda/\lambda \simeq 1.8 \times (\Delta\sigma_{ZHH}/\sigma_{ZHH})$  for the  $e^+e^- \rightarrow ZHH$  process at 500 GeV. An early fast  
1276 simulation study of  $e^+e^- \rightarrow \nu\bar{\nu}HH$  showed that one could determine the triple Higgs  
1277 coupling to an accuracy of  $\Delta\lambda/\lambda \simeq 0.12$ [90], assuming  $1 \text{ ab}^{-1}$  luminosity and 80% left-  
1278 handed electron polarization. A more recent fast simulation study indicated, however,  
1279  $\Delta\lambda/\lambda \simeq 0.44$  for  $2 \text{ ab}^{-1}$  with unpolarized beams and  $\Delta\lambda/\lambda \simeq 0.425$  for  $1 \text{ ab}^{-1}$  with  
1280  $(P_{e^+}, P_{e^-}) = (+0.2, -0.8)$ . The difference could be attributed to the more realistic  
1281 analysis based on jet-clustering after parton showering and hadronization, as well as  
1282 more background processes considered in the latter study. In addition to the fusion  
1283 process, we can use the  $e^+e^- \rightarrow ZZH$  process also at  $\sqrt{s} = 1000$  GeV though it has  
1284 even less sensitivity,  $\Delta\lambda/\lambda \simeq 2.8 \times (\Delta\sigma_{ZHH}/\sigma_{ZHH})$ , than that at  $\sqrt{s} = 500$  GeV.  
1285 Assuming the nominal integrated luminosities of  $500 \text{ fb}^{-1}$  at  $\sqrt{s} = 500$  GeV and  
1286  $1000 \text{ fb}^{-1}$  at  $\sqrt{s} = 1000$  GeV with the left-handed beam combination:  $(P_{e^+}, P_{e^-}) =$   
1287  $(+0.2, -0.8)$ , we would expect that the Higgs self-coupling could be measured to  
1288  $\Delta\lambda/\lambda \simeq 0.38$ . **Full simulation studies on these measurements are starting**  
1289 **now, which should replace the fast simulation result here.**

## 1290 2.7 Conclusion

1291 The landscape of elementary particle physics has been altered by the discovery by  
1292 the ATLAS and CMS experiments of a new boson that decays to  $\gamma\gamma$ ,  $ZZ$ , and  $WW$   
1293 final states [2]. The question of the identity of this bosons and its connection to the  
1294 Standard Model of particle physics has become the number one question for our field.  
1295 In this section, we have presented the capabilities of the ILC to study this particle  
1296 in detail. The ILC can access the new boson through the reactions  $e^+e^- \rightarrow Zh$  and  
1297 through the  $WW$  fusion reaction  $e^+e^- \rightarrow \nu\bar{\nu}h$ . Though our current knowledge of  
1298 this particle is still limited, we already know that these reactions are available at  
1299 rates close to those predicted for the Higgs boson in the Standard Model. The ILC is  
1300 ideally situated to give us a full understanding of this particle, whatever its nature.

1301 The leading hypothesis for the identity of the new particle is that it is the Higgs  
1302 boson of the Standard Model, or a similar particle responsible for electroweak symme-  
1303 try breaking in a model that includes new physics at the TeV energy scale. We have  
1304 argued that, if this identification proves correct, the requirements for experiments on  
1305 the nature of this boson are extremely challenging. Though there are new physics

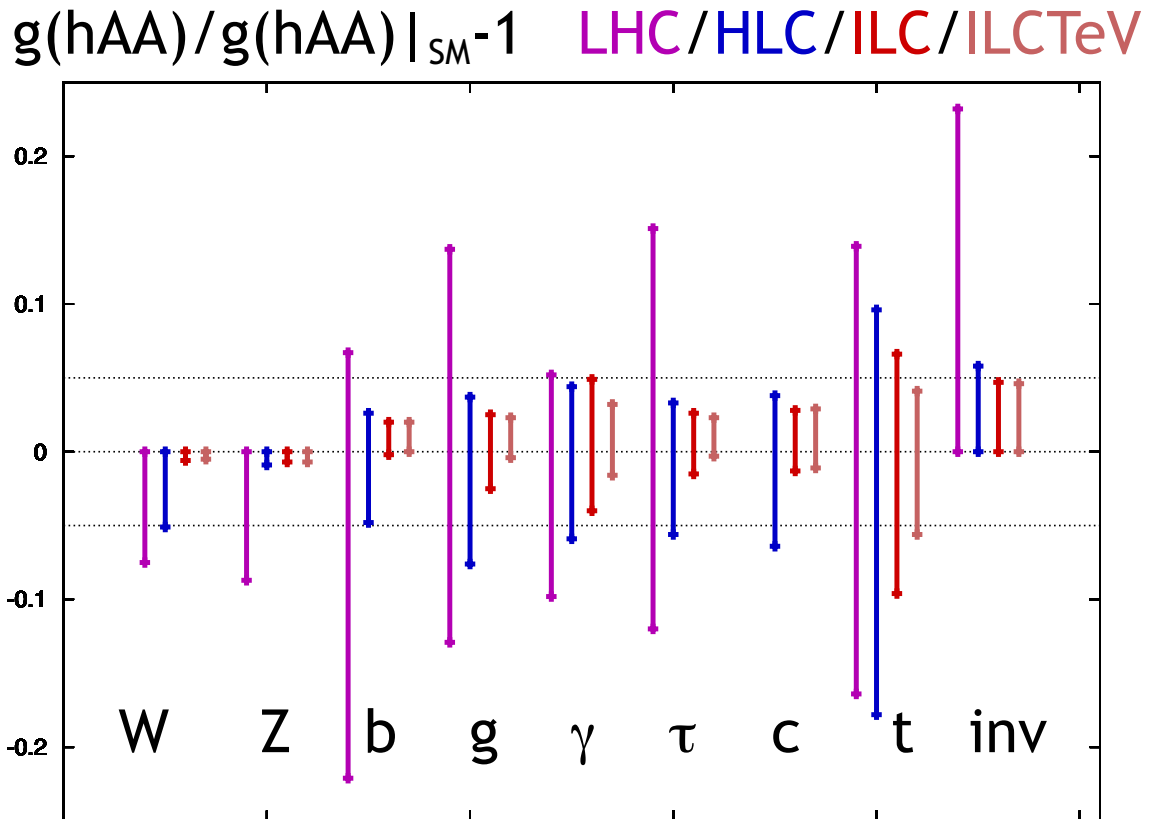


Figure 19: Estimate of the sensitivity of the ILC experiments to Higgs boson couplings in a model-independent analysis. The four sets of errors for each Higgs coupling represent the results for LHC, the threshold ILC Higgs program at 250 GeV, the full ILC program up to 500 GeV, and the extension of the ILC program to 1 TeV. The methodology leading to this figure is explained in [43].

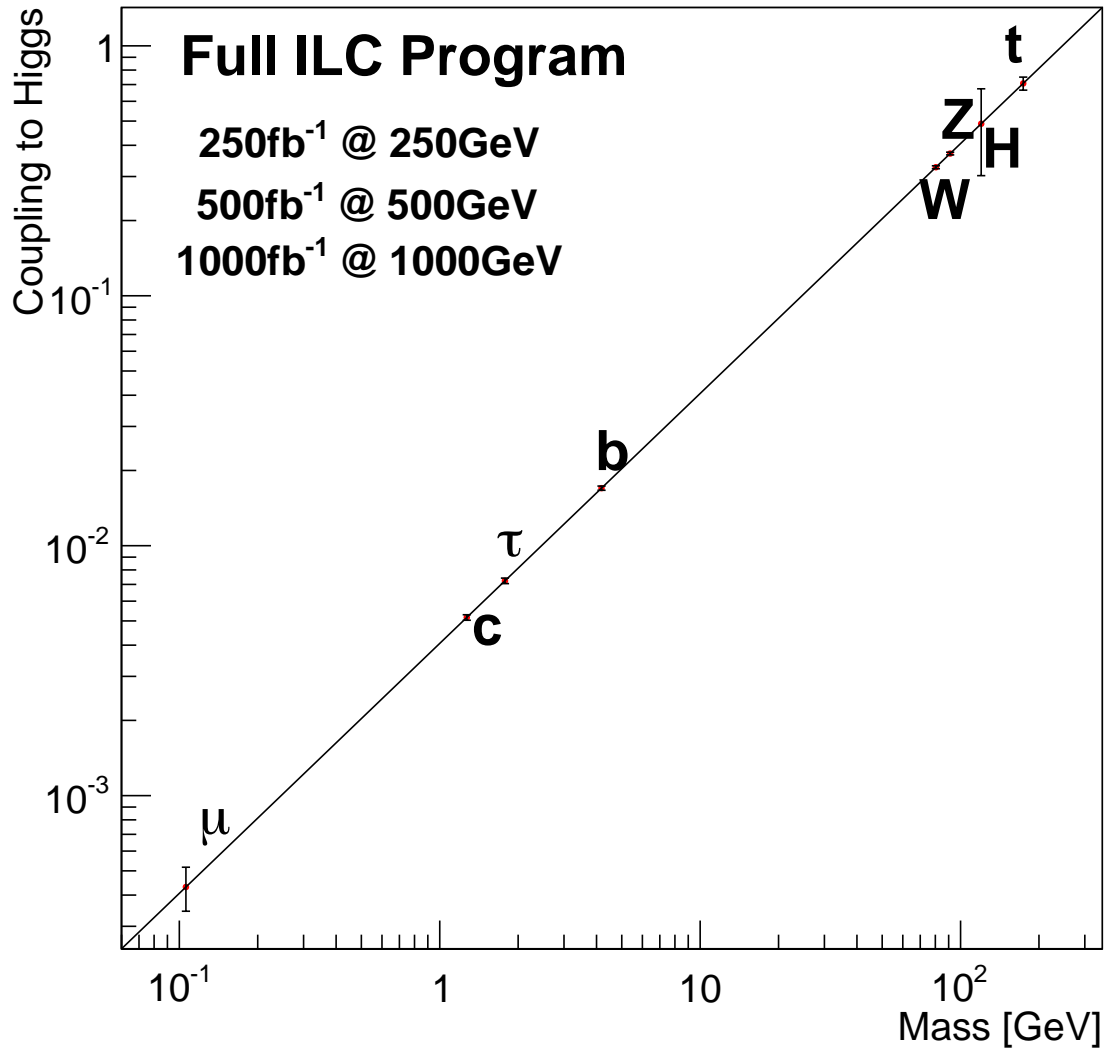


Figure 20: Expected precision from the full ILC program of tests of the Standard Model prediction that the Higgs coupling to each particle is proportional to its mass.

1306 models that predict large deviations of the boson couplings from the Standard Model  
1307 predictions, the typical expectation in new physics models is that the largest devia-  
1308 tions from the Standard Model are at the 5–10% level. Depending on the model, these  
1309 deviations can occur in any of the boson’s couplings. Thus, a comprehensive program  
1310 of measurements is needed, one capable of being interpreted in a model-independent  
1311 way. Our estimate of the eventual LHC capabilities, given in Fig. 4, falls short of  
1312 that goal.

1313 We then presented the capabilities of the ILC for precision measurements of the  
1314 Higgs boson couplings. The ILC program for Higgs couplings can begin at a center  
1315 of mass energy of 250 GeV, near the peak of the cross section for  $e^+e^- \rightarrow Z^0 h^0$ . This  
1316 program allows a direct measurement of the cross section, rather than measurement  
1317 that includes branching ratios, already eliminating an important source of ambiguity  
1318 from the LHC data. The program also allows the measurement of individual branch-  
1319 ing channels, observed in recoil against the  $Z^0$  boson. The excellent flavor tagging  
1320 capabilities of the ILC experiments allow access to the  $c\bar{c}$  decay mode of the Higgs  
1321 boson and sharpen the observation of many other modes. The ILC experiments are  
1322 highly sensitive to possible invisible or other unexpected decay modes of the Higgs  
1323 boson, with sensitivity at the percent level.

1324 A later stage of ILC running at the full energy of 500 GeV will enhance these  
1325 capabilities. At 500 GeV, the  $W$  fusion reaction  $e^+e^- \rightarrow \nu\bar{\nu}h$  turns on fully, giving a  
1326 very precise constraint on the Higgs boson coupling to  $WW$ . The increased statistics  
1327 sharpens the measurement of rare branching channels such as  $\gamma\gamma$ . Higher energy also  
1328 gives improved  $g/c/b$  separation in the hadronic decay models. Running at 500 GeV  
1329 allows the first direct measurements of the Higgs coupling to  $t\bar{t}$  and the Higgs self-  
1330 coupling.

1331 The technology of the ILC will eventually allow extended running at higher ener-  
1332 gies, up to 1 TeV in the center of mass. A 1 TeV program will add further statistics to  
1333 the branching ratio measurements in all channels, using the increasing  $e^+e^- \rightarrow \nu\bar{\nu}h$   
1334 cross section. It also very much increases the sensitivity of the determinations of the  
1335 Higgs coupling to  $t\bar{t}$  and the Higgs self-coupling.

1336 The progression of this program is shown graphically in Fig. 19. For each Higgs  
1337 boson coupling, four sets of error bars are shown, always assuming that the underlying  
1338 value of the coupling is that of the Standard Model. The first is the estimate of the  
1339 LHC capability, from Fig. 4. The second is the error that would be obtained by  
1340 adding the data from a  $250 \text{ fb}^{-1}$  run of the ILC at 250 GeV. The third is the error  
1341 that would be obtained by adding to this the data from a  $500 \text{ fb}^{-1}$  run of the ILC  
1342 at 500 GeV. The final error bar would be the result of adding a  $1 \text{ ab}^{-1}$  data set at  
1343 1 TeV. Not shown, but also relevant, are the capabilities of the ILC to measure the  
1344 Higgs self-coupling to about 40% accuracy and the Higgs coupling to  $\mu^+\mu^-$  to about

1345 20% accuracy in the 1 TeV program.

1346 The results of this program can also be represented as precision tests of the Stan-  
1347 dard Model relation that the Higgs coupling to each particle is exactly proportional  
1348 to the mass of that particle. The expected uncertainties in those tests from the  
1349 measurements described above are shown in Fig. 20.

1350 This is the program that is needed to fully understand the nature of the newly dis-  
1351 covered boson and its implications for the puzzle of electroweak symmetry breaking.  
1352 The ILC can provide it.

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1496 **3 Two-Fermion Processes**

1497 The reactions  $e^+e^- \rightarrow f\bar{f}$ , where  $f$  could be leptons or quarks, provide a powerful  
 1498 tool to search for and characterize physics beyond the Standard Model at the ILC.  
 1499 These processes are distinguished by clean, simple final states, and precise perturba-  
 1500 tive predictions of the SM contributions are available. As a result, ILC experiments  
 1501 will be sensitive to even small deviations from the SM predictions in these channels,  
 1502 enabling them to study new physics at energy scales far above the center-of-mass  
 1503 energy of the collider.

1504 **3.1 Systematics of  $e^+e^- \rightarrow f\bar{f}$**

1505 Despite the simplicity of the two-fermion final state, the process  $e^+e^- \rightarrow f\bar{f}$  offers  
 1506 a large number of methods with which to probe for deviations from the Standard  
 1507 Model. In this section, we will review the observables that the ILC will make available.  
 1508 In the following sessions, we will review how these observables can be applied to  
 1509 discover and then to analyze any signals of new physics that can appear in these  
 1510 reactions.

1511 For all channels except  $e^+e^- \rightarrow e^+e^-$ , helicity conservation implies that the pro-  
 1512 cess  $e^+e^- \rightarrow f\bar{f}$  is dominated by  $s$ -channel spin 1 exchange. This assumption applies  
 1513 whenever fermion mass effects can be neglected, and this is an excellent approxima-  
 1514 tion at 500 GeV for pair-production of all Standard Model fermions except for the  
 1515 top quark. In this case, the angular distribution of  $e^+e^- \rightarrow f\bar{f}$  is simply written as

$$\frac{d\sigma}{d\cos\theta} = \frac{\pi\alpha^2}{2s} [A_+(1 + \cos\theta)^2 + A_-(1 - \cos\theta)^2]. \quad (35)$$

1516 The coefficients  $A_+$ ,  $A_-$  depend on the electron polarization. Models with gravita-  
 1517 tional effects at the TeV scale (for example, Randall-Sundrum models) will add terms  
 1518 from  $s$ -channel spin 2 exchange that are higher polynomials in  $\cos\theta$ .

1519 In (35), the term multiplying  $A_+$  is generated by the polarized reactions  $e_L^-e_R^+ \rightarrow$   
 1520  $f_L\bar{f}_R$  and  $e_R^-e_L^+ \rightarrow f_R\bar{f}_L$ , the term multiplying  $A_-$  is generated by  $e_L^-e_R^+ \rightarrow f_R\bar{f}_L$  and  
 1521  $e_R^-e_L^+ \rightarrow f_L\bar{f}_R$ , and all other polarized cross sections are zero in the absence of mass  
 1522 corrections. This means that by measuring the cross sections and forward backward  
 1523 asymmetries with highly polarized  $e_L^-$  and  $e_R^-$ , we obtain 4 independent pieces of  
 1524 information on the  $s$ -channel amplitudes. In principle, only the electron beam needs  
 1525 to be polarized, though even a small polarization of the positron beam improves the  
 1526 effective initial-state polarization according to

$$P_{eff} = \frac{P(e^-) + P(e^+)}{1 + P(e^-)P(e^+)} \quad (36)$$

1527 Thus, a measurement with 80% polarization in the electron beam and 30% polar-  
 1528 ization in the positron beam yields an effective initial-state polarization greater than  
 1529 90%. At the ILC, polarization is monitored externally, but in addition the actual  
 1530 polarization in collisions can be determined from the high-rate processes of Bhabha  
 1531 scattering and forward  $W^-W^+$  production. [**ACCURACY of the Polarization**  
 1532 **measurement to be reported in the DBD?**] Theoretical calculations of the 2-  
 1533 fermion cross sections are controlled to below the part-per-mil level.

1534 The four observables described in the previous paragraph are available for any  
 1535 final state that can be distinguished at the ILC. That is, these quantities can be  
 1536 measured separately for light quarks,  $c$  quarks,  $b$  quarks,  $e$ ,  $\mu$ , and  $\tau$ . The typical  
 1537  $c$ ,  $b$  and  $\mu$  identification efficiencies expected at the ILC are 35%, 60%, and over  
 1538 96%, respectively [1]. [**New DBD numbers?**] In addition, the final state  $\tau$  lepton  
 1539 polarization can be determined [ref to LOIs] as a cross-check on the leptonic coupling  
 1540 measurements.

1541 The dominant contributions to  $e^+e^- \rightarrow f\bar{f}$  at 500 GeV will probably come from  
 1542 Standard Model  $s$ -channel  $\gamma$  and  $Z^0$  exchange. However, additional effects may arise  
 1543 from new gauge bosons, from contact interactions associated with fermion compos-  
 1544 iteness, or from effects of extra dimensions. These terms can be seen at the ILC  
 1545 as corrections to the  $e^+e^- \rightarrow f\bar{f}$  cross sections and asymmetries, arising from in-  
 1546 terference of new physics with the Standard Model amplitudes, and, for example in  
 1547 the case of extra dimensions, can add additional dependence on  $\cos\theta$  related to the  
 1548 spin-2 graviton exchange. We will now review the expected sensitivity of the ILC  
 1549 experiments to these effects.

## 1550 3.2 $Z'$ physics

1551 A canonical, well-motivated example of new physics that can be discovered and  
 1552 studied in  $e^+e^- \rightarrow f\bar{f}$  is a new, heavy, electrically neutral gauge boson, commonly  
 1553 denoted by  $Z'$ . There are many extensions of the SM that predict one or more such  
 1554 particles (for reviews and references, see [2]). For example, Grand Unified Theories  
 1555 (GUTs) based on groups such as  $SO(10)$  or  $E_6$  contain extra  $U(1)$  factors in addition  
 1556 to the SM gauge group, and hence  $Z'$  bosons. Similarly, superstring constructions  
 1557 often involve large gauge symmetries that contain extra  $U(1)$  factors. Since the  
 1558  $Z'$  couplings conserve baryon and lepton numbers, its mass may be well below the  
 1559 GUT or string scale, as low as the TeV, without conflict with experiment. In fact,  
 1560 in many supersymmetric GUT and string models, the  $Z'$  mass is tied to the soft  
 1561 supersymmetry breaking scale, expected to be at the TeV scale. The motivation  
 1562 for a TeV-scale  $Z'$  is particularly strong in supersymmetric models with additional  
 1563 particles that are singlets of the SM  $SU(2) \times U(1)$ . Such models, *e.g.* the next-to-  
 1564 minimal supersymmetric standard model (NMSSM), recently attracted much interest,

1565 since they provide a simple way to reduce the fine-tuning associated with a 125 GeV  
 1566 Higgs [3]. The weak-scale mass of the SM singlet field can be naturally explained if it is  
 1567 charged under a new U(1) symmetry broken at TeV energies; in addition, the domain-  
 1568 wall problem of the NMSSM is avoided in this case. Among non-supersymmetric  
 1569 possibilities, a very interesting example of a model containing a  $Z'$  is the Little Higgs,  
 1570 where extra gauge bosons are introduced to cancel quadratic divergences in the Higgs  
 1571 mass renormalization by the SM gauge bosons (for reviews and references, see [4]).  
 1572 Naturalness of electroweak symmetry breaking requires that these new gauge bosons  
 1573 appear at the TeV scale.

1574 Searches for  $Z'$  have been conducted, most recently, at LEP and the Tevatron, and  
 1575 are currently in progress at the LHC. The negative results of these searches preclude  
 1576 the possibility of on-shell  $Z'$  production at the ILC. Indeed, the LHC now excludes  
 1577 the appearance of large  $Z'$  resonances over most of the range of proposed 3 TeV  
 1578 lepton colliders, and this exclusion could be complete by the end of 2013. This makes  
 1579 it likely that our most important tool for the characterization of any  $Z'$  discovered at  
 1580 the LHC will be through indirect effects uncovered through the precision measurement  
 1581 of  $e^+e^- \rightarrow f\bar{f}$  processes. The dominant effects of new physics in this case come from  
 1582 the interference between the diagrams involving the SM  $\gamma/Z^0$  and those involving the  
 1583  $Z'$ . Thanks to the high precision of the ILC, its capabilities to discover the  $Z'$  and  
 1584 measure its couplings actually exceed those of the LHC in most cases.

### 1585 3.2.1 Benchmark $Z'$ Models

1586 Predictions for the contribution of a  $Z'$  to any observable depend on the boson's  
 1587 mass  $M_{Z'}$  and its couplings to the SM fermions, which are model-dependent. While  
 1588 a very large variety of models have been proposed, a few canonical benchmark cases  
 1589 have been extensively studied and provide a set of reference points for comparisons  
 1590 between experiments. The Sequential Standard Model (SSM) assumes that all  $Z'$   
 1591 couplings are the same as for the SM  $Z$ . The left-right symmetric (LRS) model  
 1592 extends the SM electroweak gauge group to  $SU(2)_L \times SU(2)_R \times U(1)_{B-L}$ , with the  
 1593  $SU(2)_R \times U(1)_{B-L} \rightarrow U(1)_Y$  breaking at the TeV scale. The  $Z'$  couples to the linear  
 1594 combination of  $T_{3R}$  and  $B - L$  currents orthogonal to the SM hypercharge. Another  
 1595 set of popular benchmark models is based on the  $E_6$  GUT, where the TeV-scale  
 1596  $Z'$  is generally a linear combination of the two extra  $U(1)$  gauge bosons  $Z_\psi$  and  $Z_\chi$ :  
 1597  $Z' = Z_\chi \cos \beta + Z_\psi \sin \beta$ . Some well-motivated possibilities are  $\beta = 0$  (the “ $\chi$ -model”),  
 1598  $\beta = \pi/2$  (the “ $\psi$ -model”), and  $\beta = \pi - \arctan \sqrt{5/3}$  (the “ $\eta$ -model”, which occurs in  
 1599 Calabi-Yau compactification of the heterotic string if  $E_6$  breaks directly to a rank-5  
 1600 group). It is also possible to embed a left-right symmetric model in  $E_6$ , leading to the  
 1601 so-called “alternative” left-right (ALR) model. The  $Z'$  couplings to the SM fermions

1602 in each of these models can be found, for example, in Table 1 of [5]. Well-studied  
 1603 Little Higgs models which contain  $Z'$  candidates include the original “Littlest Higgs”  
 1604 (LH) [6], as well as the Simplest Little Higgs (SLH) [7].

### 1605 3.2.2 Current Limits on $Z'$ and the ILC Reach

1606 The most restrictive bounds on most  $Z'$  models currently come from the LHC exper-  
 1607 iments. For the SSM, CMS places a 95% c.l. bound of  $M(Z'_{\text{SSM}}) > 2.59$  TeV, using  
 1608 dielectron and dimuon final states and  $4.1 \text{ fb}^{-1}$  of data at  $\sqrt{s} = 8$  TeV [8]. This is  
 1609 stronger than the indirect LEP-2 bound. For  $Z'_\psi$ , the CMS bound from the same  
 1610 analysis is 2.26 TeV. At this time, ATLAS [9] has only published constraints with  
 1611 the 2011 LHC data set at  $\sqrt{s} = 7$  TeV, but covering a larger variety of  $E_6$  models.  
 1612 The bounds are in the range 1.76 – 1.96 TeV, indicating that the model-dependence  
 1613 is rather weak.

1614 The current LHC bounds rule out the possibility of on-shell production of a  $Z'$  at  
 1615 the ILC. However, the ILC will be sensitive to  $Z'$  even at  $\sqrt{s} \ll M_{Z'}$ , via contact-  
 1616 interaction corrections to 2-fermion processes. A recent estimate of the ILC reach  
 1617 in various  $Z'$  models [10], compared to the LHC reach [5], is shown in Fig. 21. The  
 1618 reach of a 500 GeV ILC exceeds the LHC reach in most models, while a 1 TeV ILC  
 1619 will significantly improve on the LHC performance in all cases, with sensitivity well  
 1620 above 10 TeV in many models.

### 1621 3.2.3 Measurement of $Z'$ couplings

1622 If a signal consistent with a  $Z'$  is discovered, the next task would be to discriminate  
 1623 between the  $Z'$  models by measuring its couplings. A study of 2-fermion processes  
 1624 at the ILC provides a powerful tool to do so. For example, expected accuracy of  
 1625 the measurement of the  $Z'$  couplings to charged leptons, assuming  $M(Z') = 2$  and  
 1626 4 TeV, is shown in Fig. 22 (from Ref. [11]). The accuracy is sufficient to clearly  
 1627 discriminate between the benchmark models, especially with polarized beams. It  
 1628 should be emphasized that the ILC retains its model-discrimination power for a wide  
 1629 range of  $Z'$  masses. An illustration is provided by Fig. 21, which shows that, if one of  
 1630 the 6 models studied in Ref. [10] is true, the other 5 candidates can be ruled out by a  
 1631 500 GeV ILC for the  $Z'$  masses up to 4 – 8 TeV, depending on the true model. The  
 1632 model identification reach is in fact only slightly below the discovery reach, thanks  
 1633 to order-one differences among the angular distributions in  $e^+e^- \rightarrow f\bar{f}$  predicted by  
 1634 various models. It is significantly higher than that of the LHC in all cases. It should  
 1635 be noted that beam polarization significantly improves the model identification reach



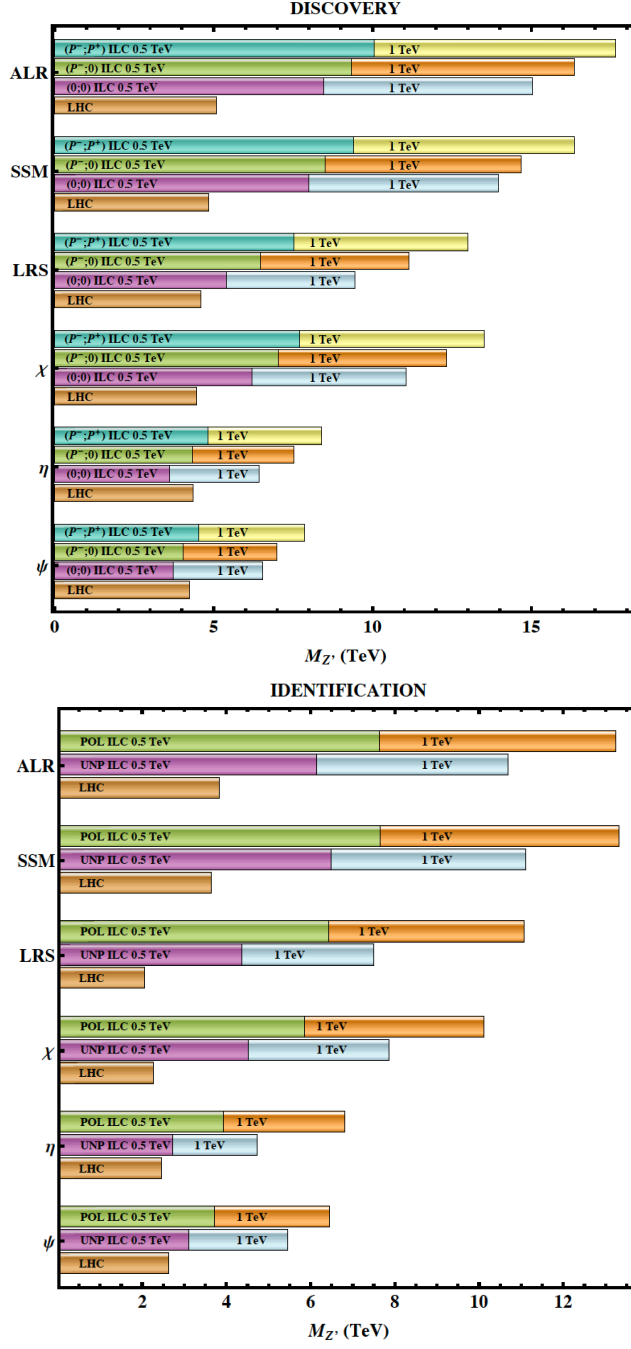


Figure 21: Discovery (top) and identification (bottom) reach of the ILC with  $\sqrt{s} = 0.5(1.0)$  TeV and  $\mathcal{L}_{\text{int}} = 500(1000) \text{ fb}^{-1}$ . The sensitivity of the LHC-14 via Drell-Yan process  $pp \rightarrow \ell^+\ell^- + X$  with  $100 \text{ fb}^{-1}$  of data are shown for comparison. For details, see [10].

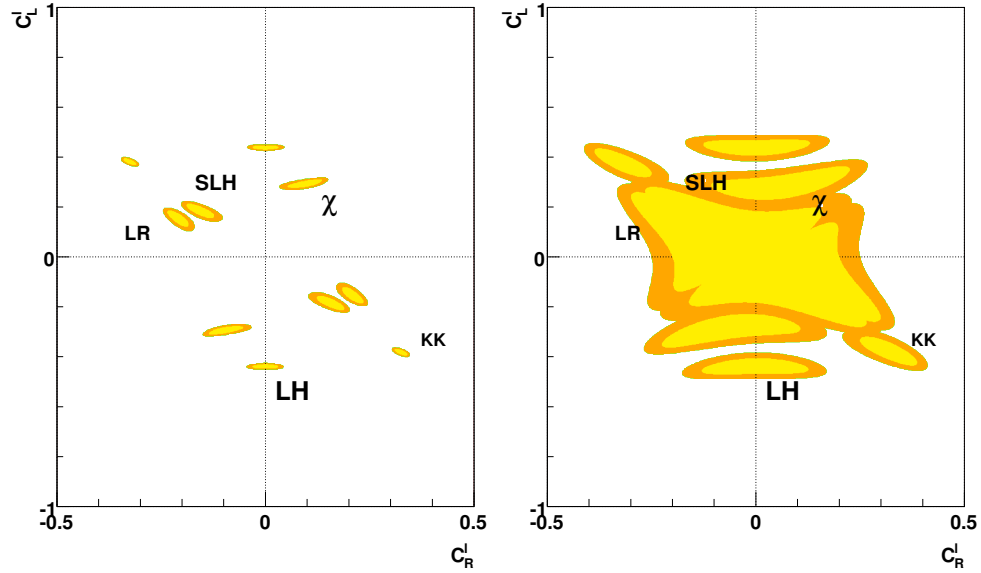


Figure 22: 95% confidence regions in the plane of the couplings of left- and right-handed leptons to a  $Z'$  boson, for the ILC with  $\sqrt{s} = 500$  GeV and  $1000 \text{ fb}^{-1}$  and 80%/60% electron and positron polarization, for  $M_{Z'} = 2$  TeV (left panel) and 4 TeV (right panel). For further details, see Ref. [11]. [Michael: let me know if you want to switch back to showing a single plot with a 2 TeV  $Z'$ , as in the previous version of the draft.]

1636 of the ILC.

### 1637 3.2.4 Example: $SO(10)$ $Z'$ at 3 TeV

1638 [ This section will discuss the study of an  $SO(10)$   $Z'$  of mass 3 TeV through precise  
 1639 2-fermion measurements at the ILC.]

## 1640 3.3 Quark and Lepton Compositeness

1641 In many extensions of the SM, quarks and leptons themselves are composite par-  
 1642 ticles, resolved into more fundamental constituents at an energy scale  $\Lambda$ . The effect  
 1643 of such compositeness in  $2 \rightarrow 2$  fermion scattering processes at energies well below  $\Lambda$   
 1644 is to induce contact-interaction type corrections, similar to the corrections due to a  
 1645 heavy resonance discussed above. The effects can be parametrized by adding four-  
 1646 fermion operators to the Lagrangian with coefficients proportional to inverse powers of  
 1647  $\Lambda$  [12]. Currently, the strongest bounds on four-lepton and  $eeqq$  operators are  $\Lambda \gtrsim 10$   
 1648 TeV [30,31]. These bounds come from experiments at LEP. The LHC is unlikely to  
 1649 improve these limits, since at LHC we have only limited polarization observables in

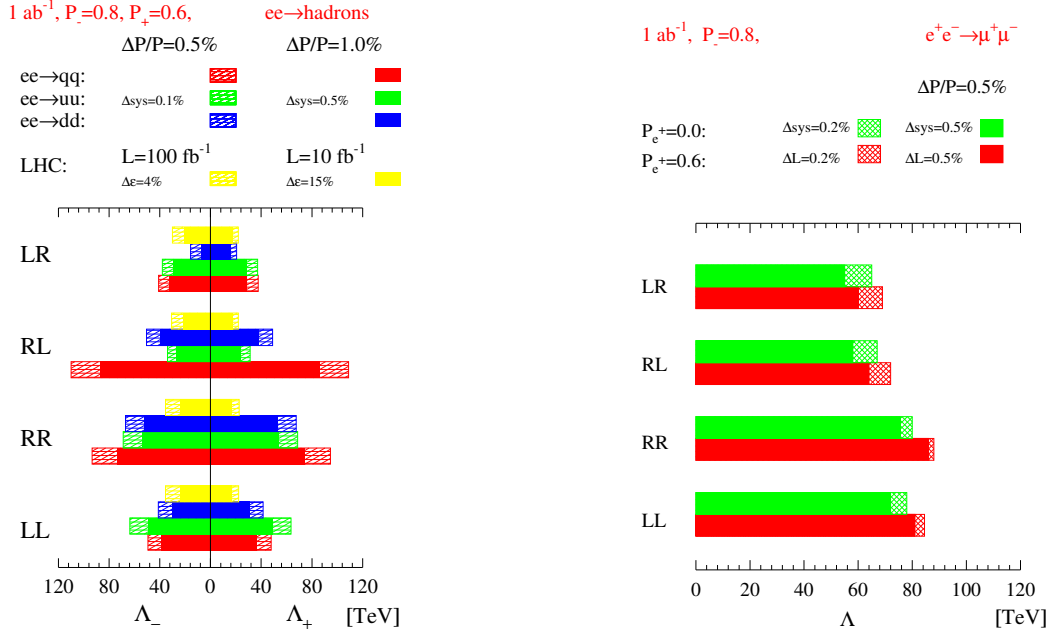


Figure 23: Sensitivities (95% c.l.) of a 500 GeV ILC to contact interaction scales  $\Lambda$  for different helicities in  $e^+e^- \rightarrow \text{hadrons}$  (left) and  $e^+e^- \rightarrow \mu^+\mu^-$  (right), including beam polarization [13].

1650 4-fermion reactions and we do not know the flavor of initial state quarks. The ILC  
 1651 can dramatically increase the reach, with sensitivity to scales as high as 50 – 100 TeV  
 1652 depending on the helicity structure of the operators (see Fig. 23.)

### 1653 3.4 Extra Dimensions

1654 Many interesting extensions of the SM postulate the existence of extra spatial  
 1655 dimensions, beyond the familiar three, which are usually assumed to be compact.  
 1656 Motivation for extra dimensions comes from two sides. From the top-down point of  
 1657 view, consistency of string theory requires that the full space-time be 10-dimensional,  
 1658 and additional dimensions must be compactified. From the bottom-up perspective,  
 1659 models with extra dimensions can address some of the theoretical shortcomings of  
 1660 the SM, such as the gauge hierarchy problem. While the extra dimensions of string  
 1661 theory can have any size, in all phenomenologically interesting models the extra di-  
 1662 mensions become experimentally manifest at the TeV scale, within the range of the  
 1663 ILC experiments.

1664 Phenomenologically, the most important feature of models with extra dimensions  
 1665 is the appearance of Kaluza-Klein (KK) resonances. Each SM particle (including

1666 the graviton) that is allowed to propagate beyond 4D is accompanied by a tower of  
 1667 KK excitations, particles of the same spin and progressively higher masses. In the  
 1668 simplest case of toroidal compactification of radius  $R$ , the  $n$ -th KK mode has mass  
 1669  $m_n = n/R$ . The effect of the KK modes on  $e^+e^- \rightarrow f\bar{f}$  are similar to that of a  $Z'$ :  
 1670 contact interactions, or, if collision energy is sufficient, resonances.

### 1671 3.4.1 Flat, TeV-Sized Extra Dimensions

1672 The simplest extension is to add  $k$  extra dimensions compactified on a torus  $T^k$ , and  
 1673 allow all SM fields to propagate in the full space. The most popular model of this type  
 1674 is the “universal extra dimension” (UED) [14], with  $k = 1$  and radius  $R \sim 1/\text{TeV}$ .  
 1675 This model assumes a  $\mathcal{Z}_2$  symmetry under which the  $n$ -th KK mode has KK-parity  
 1676  $(-1)^n$ . As a result, production of a single first-level KK partner in SM collisions is  
 1677 not possible, and the phenomenology of the first-level KK states is similar to that of  
 1678 supersymmetric models with R-parity. The even-level KK states, on the other hand,  
 1679 may be singly produced via KK-number violating interactions, induced by loops [15].  
 1680 This leads to resonances or contact-interaction corrections in  $e^+e^- \rightarrow f\bar{f}$  [16,17]. An  
 1681 estimated sensitivity of the ILC to the UED model is shown in Fig. 24; values of  
 1682  $1/R \sim 1 \text{ TeV}$  can be probed. The reach is significantly lower than for conventional  
 1683  $Z'$ , due to loop-suppressed couplings. However, it should be noted that the same  
 1684 suppression severely limits the ability of the LHC to search for the single KK-mode  
 1685 production. Any resonance for which the coupling to quarks is suppressed by a factor  
 1686 of 10 would contribute a fluctuation below 1% in the Drell-Yan mass spectrum, and  
 1687 this will be indistinguishable even for rather light KK masses. Small mass splittings  
 1688 among the KK states at the first level make the LHC searches for pair-production very  
 1689 difficult as well. **[Are there quantitative statements about the LHC reach in**  
 1690 **the literature?]**

### 1691 3.4.2 Large Extra Dimensions

1692 The extra dimensions may have sizes much larger than  $\text{TeV}^{-1}$ , if *only* gravity can  
 1693 propagate in them, while the SM fields are confined on a 4D “brane” inside the  
 1694 full space. Arkani-Hamed, Dimopoulos and Dvali (ADD) [18] proposed that such  
 1695 models can provide an alternative solution to the gauge hierarchy problem: gravity  
 1696 is weaker than other forces due to the larger space in which it propagates. The ADD  
 1697 model is characterized by the fundamental Planck scale  $M_D$  (required to be  $\sim \text{TeV}$   
 1698 to solve the hierarchy problem); and the number of extra dimensions  $k$ . Constraints  
 1699 on macroscopic modifications of Newtonian gravity imply that only cases  $k \geq 2$  are  
 1700 phenomenologically relevant.

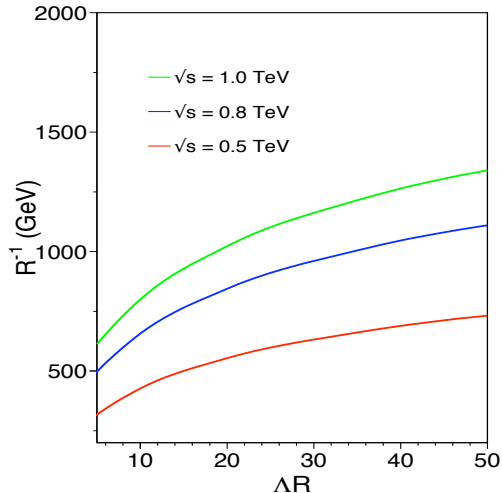


Figure 24: Discovery reach of the ILC, with  $\mathcal{L}_{\text{int}} = 1000 \text{ fb}^{-1}$  and energy indicated on the plot, for the UED model in the 2-fermion channel. Polarization of 80%/60% for electrons/positrons is assumed. Leptonic and hadronic final states are combined. The scale  $\Lambda$  is the cutoff of the theory, and is somewhat model-dependent. For details, see Ref. [16].

1701 The model predicts a tower of KK gravitons  $G_{KK}$ , with very small spacing in mass,  
 1702 of order  $1/R$ . While each of the  $G_{KK}$  couples to the SM with gravitational strength,  
 1703 their large multiplicity may yield observable effects in  $e^+e^- \rightarrow G_{KK} \rightarrow f\bar{f}$ , although  
 1704 no individual resonances can be observed. Instead, the effect is a contact-interaction  
 1705 correction, parametrized as a dimension-8 operator [19]

$$\mathcal{L} = \frac{4\lambda}{\Lambda_H^4} T_{\mu\nu} T^{\mu\nu}, \quad (37)$$

1706 where  $T_{\mu\nu}$  is the SM fermion energy-momentum tensor,  $\lambda = \pm 1$ , and  $\Lambda_H \sim M_D$  is  
 1707 the effective Planck scale.

1708 The strongest bounds on the ADD model currently come from the LHC. A search  
 1709 for anomalous jet+ $\cancel{E}_T$  events at CMS with  $5 \text{ fb}^{-1}$  at 7 TeV [20] constrains  $M_D >$   
 1710  $2.5 - 4.0 \text{ TeV}$  for  $k = 2 \dots 6$  (with lower bounds for higher  $k$ ). In addition, searches  
 1711 for operators of the form (37) in  $\ell^+\ell^-$  [21] and  $\gamma\gamma$  [22,23] final states provide a  
 1712 bound  $\Lambda_H \gtrsim 2.5 \text{ GeV}$ , independent of  $k$ . [Eventual LHC sensitivity?]. The  
 1713 estimate of the discovery reach of the 500 GeV ILC is  $\Lambda_H \approx 5.0 - 5.5 \text{ TeV}$  [24].  
 1714 Since the KK graviton is a spin-2 object, the angular distribution of the final-state  
 1715 fermions in the ADD model is quite distinct from the case of a spin-1  $Z'$  or KK  
 1716 gauge boson. A unique identification of the spin-2 origin of the contact-interaction  
 1717 correction at a 500 GeV ILC is possible for  $\Lambda_H$  up to about 3.0 TeV [25]; however,  
 1718 the LHC is likely to have an even higher reach using the dilepton final states [26].

1719 Another crucial test of the gravitational nature of the contact interaction would be  
 1720 an independent determination of the size of the effect in a variety of four-fermion  
 1721 channels. Gravity couples to the total energy-momentum tensor, resulting in a set  
 1722 of four-fermion operators independent of the fermion type. Alternative models for  
 1723 spin-2 contact interactions, such as the exchange of string-Regge excitations of the  
 1724 SM gauge bosons [27], predict effects of different sizes for up-type and down-type  
 1725 quarks and leptons. The ILC will provide an ideal environment to perform this test.

### 1726 3.4.3 Randall-Sundrum Warped Extra Dimensions

1727 While the ADD model eliminates the usual gauge hierarchy, it faces its own hierarchy  
 1728 problem: the large ratio of the size of the extra dimensions and their natural scale,  
 1729  $\text{TeV}^{-1}$ , must be explained. This difficulty is avoided in the Randall-Sundrum (RS)  
 1730 model [28], which extends the space by a single extra dimension, compactified on an  
 1731 orbifold  $S_1/\mathcal{Z}_2$ , effectively an interval. The characteristic feature of this model is the  
 1732 non-flat “warped” metric, which can be used to generate the observed large hierarchy  
 1733 between the Planck and the weak scale without assuming any hierarchies among the  
 1734 input parameters. Interestingly, AdS/CFT duality has been used to argue that the RS  
 1735 model is simply a weakly-coupled description of a strongly-coupled four-dimensional  
 1736 model with a composite Higgs boson.

1737 In the original RS model, only gravity was assumed to propagate in the full 5D  
 1738 space, while all SM fields were confined on the 4D boundary. As in ADD, potentially  
 1739 observable KK modes of the graviton are predicted; however, their masses are spaced  
 1740 by  $\mathcal{O}(\text{TeV})$ , and their couplings to the SM are suppressed by a scale of  $\mathcal{O}(\text{TeV})$  and not  
 1741 the Planck scale. The LHC experiments search for RS KK graviton resonances in the  
 1742  $\ell^+\ell^-$  and  $\gamma\gamma$  final states. The graviton couplings to the SM depend on the curvature  
 1743 of the extra dimension  $k$ . The dimensionless ratio  $k/\overline{M}_{\text{Pl}}$  is expected to be in a range  
 1744 between 0.01 and 0.1 on naturalness grounds. The current LHC bounds on the KK  
 1745 graviton mass vary from 2.1 TeV for  $k/\overline{M}_{\text{Pl}} = 0.1$  to 0.9 TeV for  $k/\overline{M}_{\text{Pl}} = 0.01$  [8,9].  
 1746 The LHC reach with  $\sqrt{s} = 14$  TeV,  $L_{\text{int}} = 100 \text{ fb}^{-1}$  is expected to be 2.5 – 4.5 TeV,  
 1747 for the same range of  $k/\overline{M}_{\text{Pl}}$  [29]. **[ILC reach?]**

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## 1807 4 $W$ and $Z$ Boson Physics

### 1808 4.1 Introduction

1809 In this section, we will describe the ILC program of measurements on the elec-  
1810 troweak gauge bosons. The ILC will yield a new level of measurements of the  $W$  and  
1811  $Z$  boson masses, widths, and couplings. Several different ILC processes contribute to  
1812 these measurements. These include the continuum production of two vector bosons,  
1813  $e^+e^- \rightarrow W^+W^-$ ,  $e^+e^- \rightarrow ZZ$ , production of weak bosons in  $\gamma\gamma$  collisions using the  
1814 spectrum of Weizsäcker-Williams photons, and triboson production  $e^+e^- \rightarrow VVV$ ,  
1815 where there can be any combination of  $WWZ$ ,  $ZZZ$ , or even  $WW\gamma$  in the final state.  
1816 In addition, the ILC can study vector boson scattering at high energy. Furthermore,  
1817 the ILC offers the possibility of dedicated low-energy runs at the  $Z$  and at the  $WW$   
1818 threshold. In all cases, these measurements will supersede the precision of existing  
1819 measurements from the previous colliders, including SLC, LEP and the Tevatron,  
1820 and are expected also to surpass the accuracies that will be available from the LHC.

1821 As we will explain in detail in this section, these measurements will allow us to go  
1822 beyond the usual description of the  $W$  and  $Z$  bosons in the Standard Model to probe  
1823 the next possible level of couplings in the vector boson effective Lagrangian. These  
1824 new couplings can give evidence of composite structure in the Higgs boson sector that  
1825 is inherited by the weak vector bosons.

1826 Many models of new physics beyond the Standard Model predict new couplings  
1827 of the  $W$  and  $Z$  bosons. These include models with additional heavy vector bosons  
1828 such as technicolor and topcolor, Little Higgs models, extra-dimensional models with  
1829 Kaluza-Klein recurrences of the  $W$  and  $Z$  boson, and Twin Higgs models. In many of  
1830 these cases, the additional gauge bosons could be quite fermiophobic and would thus  
1831 evade direct searches at the LHC. The new bosons must then be found through their  
1832 mixing with the  $W$  and  $Z$  bosons at the tree or one-loop level. Such mixing effects  
1833 could be detected by the precision measurements described in this section.

### 1834 4.2 Beyond the SM $W/Z$ sector: the EW chiral Lagrangian

1835 Measurements at the ILC will seek to discover new bosons at higher energy in-  
1836 directly through the precision measurement of  $W$  and  $Z$  properties at 500 GeV and  
1837 1 TeV. To analyze these measurements, it is convenient to describe new physics effects  
1838 by writing an effective field theory (EFT) that includes the most general modifica-  
1839 tions of the  $W$  and  $Z$  couplings induced by possible operators according to their mass  
1840 dimension. Such EFT descriptions of  $W$  and  $Z$  boson dynamics can be found in the  
1841 literature [14,15]. A complementary point of view using a simplified model approach

1842 including resonances that can couple to the electroweak boson sector has been pre-  
 1843 sented in [1]. It is rather easy to switch between the two descriptions and to translate  
 1844 limits on anomalous couplings parameterized via EFT operator coefficients into the  
 1845 picture of physical resonances with their masses and widths as main parameters.

#### 1846 4.2.1 Electroweak effective Lagrangian

1847 In this section, we will describe the electroweak (EW) effective Lagrangian, presenting  
 1848 its general structure and its parameters that can be constrained from experiment. In  
 1849 the remainder of this section, we will quote constraints on this effective Lagrangian  
 1850 that can be obtained from the ILC experiments.

We will build the EW effective Lagrangian as an explicitly  $SU(2) \times U(1)$ -invariant model with a nonlinear realization of electroweak symmetry breaking. The degrees of freedom of the EW Lagrangian are the SM fermions, the gauge bosons, and the scalar Goldstone bosons,  $w^+, w^-, z$ . The latter provide, after symmetry breaking, the longitudinal polarization states of the massive gauge bosons. The gauge bosons can be written in the gauge basis,  $W^1, W^2, W^3, B$  or in the mass basis,  $W^+, W^-, Z, A$ . In leading order, the mass and gauge bases are related by

$$W^1 = \frac{1}{\sqrt{2}}(W^+ + W^-), \quad W^3 = c_w Z + s_w A, \quad (38a)$$

$$W^2 = \frac{i}{\sqrt{2}}(W^+ - W^-), \quad B = -s_w Z + c_w A, \quad (38b)$$

1851 where  $s_w$  and  $c_w$  are the sine and cosine of the weak mixing angle, respectively. The  
 1852 Goldstone bosons  $\mathbf{w}$  are defined in an analogous basis. They enter the Lagrangian  
 1853 only via the Goldstone (or non-linear Higgs) field matrix,

$$\Sigma = \exp\left(-\frac{i}{v}\mathbf{w}\right). \quad (39)$$

where  $\mathbf{w} \equiv w^k \sigma^k$ , with  $\sigma^k$  the Pauli matrices. Setting  $\mathbf{W} \equiv W^k \sigma^k / 2$ , we define the matrix-valued field strength tensors for the gauge bosons as

$$\mathbf{W}_{\mu\nu} = \partial_\mu \mathbf{W}_\nu - \partial_\nu \mathbf{W}_\mu + ig[\mathbf{W}_\mu, \mathbf{W}_\nu], \quad (40)$$

$$\mathbf{B}_{\mu\nu} = \Sigma (\partial_\mu B_\nu - \partial_\nu B_\mu) \frac{\tau^3}{2} \Sigma^\dagger. \quad (41)$$

1854 The covariant derivative of the Higgs field is given by

$$\mathbf{D}\Sigma = \partial\Sigma + ig\mathbf{W}\Sigma - ig'\Sigma \left(B\frac{\sigma^3}{2}\right), \quad (42)$$

1855 with  $g = e/s_w$  and  $g' = e/s_w$ , in the absence of anomalous couplings.

1856 To write down the operators in a fashion manifestly invariant under the weak  
 1857  $SU(2)_L$  gauge symmetry, we introduce the fields

$$\begin{aligned}\mathbf{V}_\mu &= \Sigma(\mathbf{D}_\mu\Sigma)^\dagger = -(\mathbf{D}_\mu\Sigma)\Sigma^\dagger \\ \mathbf{T} &= \Sigma\sigma^3\Sigma^\dagger.\end{aligned}\tag{43}$$

1858 In the unitarity gauge, the Goldstone boson matrix vanishes and these fields are  
 1859 simply given by the EW gauge bosons,

$$\mathbf{V}_\mu \Rightarrow -\frac{ig}{2} \left[ \sqrt{2}(W^+\sigma^+ + W^-\sigma^-) + \frac{1}{c_w}Z\sigma^3 \right]\tag{44}$$

1860 and the isospin projector on the neutral components of fields,

$$\mathbf{T} \Rightarrow \sigma^3.\tag{45}$$

1861 Then, in unitarity gauge,  $\text{tr}\mathbf{T}\mathbf{V} = -igZ/c_w$ . However, since the high-energy behavior  
 1862 of vector boson scattering is dominated by Goldstone boson scattering [16], it is rather  
 1863 convenient to apply the opposite, gaugeless limit, and keep only the Goldstone bosons  
 1864 modes. In this approximation,

$$\begin{aligned}\mathbf{V}_\mu &= \frac{i}{v} \left( \partial_\mu w^k + \frac{1}{v}\epsilon^{ijk}w^i\partial_\mu w^j \right) \tau^k + O(v^{-3}), \\ \mathbf{T} &= \tau^3 + 2\sqrt{2}\frac{i}{v} (w^+\tau^+ - w^-\tau^-) + O(v^{-2}).\end{aligned}\tag{46}$$

1865

1866 The lowest-order EW chiral Lagrangian contains the kinetic terms for the weak  
 1867 and hypercharge bosons and the kinetic term for the  $\Sigma$  field, which also yields the  
 1868 gauge boson mass terms. There is one additional possible dimension 2 operator.  
 1869 In the next order in mass dimension, there are ten possible dimension 4 operators,  
 1870 assuming  $C$  and  $CP$  conservation. At this level, the effective Lagrangian reads

$$\mathcal{L}_0 = -\frac{1}{2}\text{tr}\mathbf{W}_{\mu\nu}\mathbf{W}^{\mu\nu} - \frac{1}{2}\text{tr}\mathbf{B}_{\mu\nu}\mathbf{B}^{\mu\nu} - \frac{v^2}{4}\text{tr}\mathbf{V}_\mu\mathbf{V}^\mu + \beta_1\mathcal{L}'_0 + \sum_i \alpha_i\mathcal{L}_i\tag{47}$$

where the operators are in detail:

$$\mathcal{L}'_0 = \frac{v^2}{4} \text{tr} \mathbf{T} \mathbf{V}_\mu \text{tr} \mathbf{T} \mathbf{V}^\mu \quad (48a)$$

$$\mathcal{L}_1 = gg' \text{tr} \mathbf{B}_{\mu\nu} \mathbf{W}^{\mu\nu} \quad (48b)$$

$$\mathcal{L}_2 = ig' \text{tr} \mathbf{B}_{\mu\nu} [\mathbf{V}^\mu, \mathbf{V}^\nu] \quad (48c)$$

$$\mathcal{L}_3 = ig \text{tr} \mathbf{W}_{\mu\nu} [\mathbf{V}^\mu, \mathbf{V}^\nu] \quad (48d)$$

$$\mathcal{L}_4 = (\text{tr} \mathbf{V}_\mu \mathbf{V}_\nu)^2 \quad (48e)$$

$$\mathcal{L}_5 = (\text{tr} \mathbf{V}_\mu \mathbf{V}^\mu)^2 \quad (48f)$$

$$\mathcal{L}_6 = \text{tr} \mathbf{V}_\mu \mathbf{V}_\nu \text{tr} \mathbf{T} \mathbf{V}^\mu \text{tr} \mathbf{T} \mathbf{V}^\nu \quad (48g)$$

$$\mathcal{L}_7 = \text{tr} \mathbf{V}_\mu \mathbf{V}^\mu (\text{tr} \mathbf{T} \mathbf{V}_\nu)^2 \quad (48h)$$

$$\mathcal{L}_8 = \frac{1}{4} g^2 (\text{tr} \mathbf{T} \mathbf{W}_{\mu\nu})^2 \quad (48i)$$

$$\mathcal{L}_9 = \frac{1}{2} ig \text{tr} \mathbf{T} \mathbf{W}_{\mu\nu} \text{tr} \mathbf{T} [\mathbf{V}^\mu, \mathbf{V}^\nu] \quad (48j)$$

$$\mathcal{L}_{10} = \frac{1}{2} (\text{tr} \mathbf{T} \mathbf{V}_\mu)^2 (\text{tr} \mathbf{T} \mathbf{V}_\nu)^2 \quad (48k)$$

1871 All of these operators modify the 2-, 3- and 4-point functions of the EW gauge bosons:  
 1872  $\mathcal{L}'_0, \mathcal{L}_1, \mathcal{L}_8$  give the oblique corrections which modify the gauge-boson propagators,  
 1873 while  $\mathcal{L}_2, \mathcal{L}_3, \mathcal{L}_9$  induce anomalous triple gauge couplings (TGCs). The remaining  
 1874 five operators ( $\mathcal{L}_4$ – $\mathcal{L}_7$  and  $\mathcal{L}_{10}$ ) only affect the quartic gauge couplings (QGCs). The  
 1875 coefficient of the extra dimension-2 operator, the parameter  $\beta_1$ , is directly related  
 1876 to the  $\Delta\rho$  parameter, and thus is rather special. Experimentally it is well-known  
 1877 that this parameter is quite small, such that the leading-order Lagrangian possesses  
 1878 a custodial isospin symmetry which is broken only at next-to-leading order by the  
 1879 non-vanishing EW mixing angle and the mass splittings inside the fermionic isospin  
 1880 doublets. This symmetry – if it were exact in the gauge boson sector – would forbid  
 1881 operators containing  $\mathbf{T}$ . Sometimes such custodial isospin conservation is assumed.  
 1882 This would then eliminate the operators  $\mathcal{L}_6$ – $\mathcal{L}_{10}$  from the expression (47).

At the next order in mass dimension, we find the dimension-6 operators

$$\mathcal{L}_1^\lambda = i \frac{g^3}{3M_W^2} \text{tr} \mathbf{W}^{\mu\nu} \mathbf{W}_{\nu}{}^\rho \mathbf{W}_{\rho\mu} \quad (49a)$$

$$\mathcal{L}_2^\lambda = i \frac{g^2 g'}{M_W^2} \text{tr} \mathbf{B}^{\mu\nu} \mathbf{W}_{\nu}{}^\rho \mathbf{W}_{\rho\mu} \quad (49b)$$

$$\mathcal{L}_3^\lambda = \frac{g^2}{M_W^2} \text{tr} [\mathbf{V}^\mu, \mathbf{V}^\nu] \mathbf{W}_{\nu}{}^\rho \mathbf{W}_{\rho\mu} \quad (49c)$$

$$\mathcal{L}_4^\lambda = \frac{g^2}{M_W^2} \text{tr} [\mathbf{V}^\mu, \mathbf{V}^\nu] \mathbf{B}_{\nu}{}^\rho \mathbf{W}_{\rho\mu} \quad (49d)$$

$$\mathcal{L}_5^\lambda = \frac{gg'}{2M_W^2} \text{tr} \mathbf{T} [\mathbf{V}^\mu, \mathbf{V}^\nu] \text{tr} \mathbf{T} \mathbf{W}_{\nu}{}^\rho \mathbf{W}_{\rho\mu} \quad (49e)$$

1883 These operators appear in the same order in the power counting of the perturbative  
1884 expansion as the operators listed above. Of these operators, which can be interpreted  
1885 as contributions to anomalous magnetic moments of the EW gauge bosons, the first  
1886 two also induce anomalous TGCs, while the last three one only contribute to the  
1887 QGCs.

1888 As we have discussed already, the operators (48) and (49) can be generated when  
1889 integrating out a heavy particle beyond the SM. It is not unlikely that heavy particles  
1890 that could contribute to the EW effective Lagrangian in this way could be discovered  
1891 at the LHC in its run at 14 TeV.

1892 We will see in subsequent sections that the ILC experiments can make precise  
1893 statements about the values of the  $\alpha_i$  parameters. This is model-independent infor-  
1894 mation that can be used to constrain models of the the dynamics of the electroweak  
1895 sector. For example, the values of the  $\alpha_i$  constrain the presence and quantum num-  
1896 bers of possible resonances associated with composite Higgs strong interactions. We  
1897 will describe this connection in Section 4.2.3.

#### 1898 4.2.2 *Trilinear and quartic vector boson couplings*

1899 First, however, it will be useful to explain how the formalism presented in the previ-  
1900 ous section is connected to the trilinear and quartic vector boson couplings. Within  
1901 the SM, the trilinear and quartic couplings are specified by the constraints of gauge  
1902 invariance. Beyond the SM, additional couplings may appear. Often, these are rep-  
1903 resented by effective Lagrangians with many parameters. The systematic effective  
1904 Lagrangian approach of the previous section organizes these parameters in a useful  
1905 way.

1906 The EW chiral Lagrangian written in (47) provides an off-shell formulation for  
1907 a general electroweak section complete through operators of dimension 4. Complete  
1908 matrix elements for  $2 \rightarrow 6$  processes can be computed using the Feynman rules  
1909 derived from this Lagrangian. These Feynman rules include EW boson interactions  
1910 with anomalous couplings. In this section, we will give the relation between a general  
1911 parametrization of the anomalous couplings and the effective Lagrangian parameters  
1912  $\alpha_i$ .

1913 In unitarity gauge, the trilinear gauge interactions are conventionally written

$$\begin{aligned}
L_{\text{WWV}} = & g_{\text{WWV}} [ \\
& i g_1^{\text{V}} V_\mu (W_\nu^- W_\mu^+ - W_{\mu\nu}^- W_\nu^+) + i \kappa_{\text{V}} W_\mu^- W_\nu^+ V_{\mu\nu} + i \frac{\lambda^{\text{V}}}{m_{\text{W}}^2} W_{\lambda\mu}^- W_{\mu\nu}^+ V_{\nu\lambda} \\
& + g_4^{\text{V}} W_\mu^- W_\nu^+ (\partial_\mu V_\nu + \partial_\nu V_\mu) + g_5^{\text{V}} \epsilon_{\mu\nu\lambda\rho} (W_\mu^- \partial_\lambda W_\nu^+ - \partial_\lambda W_\mu^- W_\nu^+) V_\rho \\
& + i \tilde{\kappa}^{\text{V}} W_\mu^- W_\nu^+ \tilde{V}_{\mu\nu} + i \frac{\tilde{\lambda}^{\text{V}}}{m_{\text{W}}^2} W_{\lambda\mu}^- W_{\mu\nu}^+ \tilde{V}_{\nu\lambda} ] , \tag{50}
\end{aligned}$$

Similarly, the quartic gauge interactions are expressed as

$$\begin{aligned}
\mathcal{L}_{\text{QGC}} = & e^2 [ g_1^{\gamma\gamma} A^\mu A^\nu W_\mu^- W_\nu^+ - g_2^{\gamma\gamma} A^\mu A_\mu W^{-\nu} W_\nu^+ ] \\
& + e^2 \frac{c_w}{s_w} [ g_1^{\gamma Z} A^\mu Z^\nu (W_\mu^- W_\nu^+ + W_\mu^+ W_\nu^-) - 2 g_2^{\gamma Z} A^\mu Z_\mu W^{-\nu} W_\nu^+ ] \\
& + e^2 \frac{c_w^2}{s_w^2} [ g_1^{ZZ} Z^\mu Z^\nu W_\mu^- W_\nu^+ - g_2^{ZZ} Z^\mu Z_\mu W^{-\nu} W_\nu^+ ] \\
& + \frac{e^2}{2s_w^2} [ g_1^{WW} W^{-\mu} W^{+\nu} W_\mu^- W_\nu^+ - g_2^{WW} (W^{-\mu} W_\mu^+)^2 ] + \frac{e^2}{4s_w^2 c_w^4} h^{ZZ} (Z^\mu Z_\mu)^2 . \tag{51}
\end{aligned}$$

1914 The overall prefactors are  $g_{\text{WW}\gamma} = e$  and  $g_{\text{WW}Z} = e \cos \theta_W / \sin \theta_W$ . The symbols  $V_{\mu\nu}$   
1915 and  $\tilde{V}_{\mu\nu}$  are defined as:

$$V_{\mu\nu} = \partial_\mu V_\nu - \partial_\nu V_\mu \quad \tilde{V}_{\mu\nu} = \epsilon_{\mu\nu\rho\sigma} V_{\rho\sigma} / 2 . \tag{52}$$

1916 The SM values of the trilinear couplings in (50) are given by

$$g_1^{\gamma,Z} = \kappa^{\gamma,Z} = 1, \quad g_4^{\gamma,Z} = g_5^{\gamma,Z} = \tilde{\kappa}^{\gamma,Z} = 0 \quad \text{and} \quad \lambda^{\gamma,Z} = \tilde{\lambda}^{\gamma,Z} = 0 \quad , \tag{53}$$

The deviations of the couplings from the SM values are expressed in terms of the  $\alpha_i$  parameters as

$$\Delta g_1^\gamma = 0 \quad \Delta \kappa^\gamma = g^2(\alpha_2 - \alpha_1) + g^2 \alpha_3 + g^2(\alpha_9 - \alpha_8) \tag{54}$$

$$\Delta g_1^Z = \delta_Z + \frac{g^2}{c_w^2} \alpha_3 \quad \Delta \kappa^Z = \delta_Z - g'^2(\alpha_2 - \alpha_1) + g^2 \alpha_3 + g^2(\alpha_9 - \alpha_8) \tag{55}$$

and

$$\lambda^\gamma = -\frac{g^2}{2} (\alpha_1^\lambda + \alpha_2^\lambda) \quad \lambda^Z = -\frac{g^2}{2} \left( \alpha_1^\lambda - \frac{s_w^2}{c_w^2} \alpha_2^\lambda \right) \tag{56}$$

1917 where  $\delta_Z$  is determined by the precision electroweak corrections. Note that in this  
1918 setup only the C- and P-conserving parameters  $g_1$ ,  $\kappa$  and  $\lambda$  can be generated. The

1919 parameters  $g_5$ , which violates C and P separately but leaves CP intact, and  $g_4$ ,  $\tilde{\kappa}$  and  
 1920  $\tilde{\lambda}$ , which violate CP, are not shifted.

1921 The SM values of the quartic couplings in (51) are given by

$$g_1^{VV'} = g_2^{VV'} = 1 \quad (VV' = \gamma\gamma, \gamma Z, ZZ, WW), \quad h^{ZZ} = 0. \quad (57)$$

Deviations from these SM values are introduced through the corrections induced by the  $\alpha_i$  to the couplings that preserve custodial  $SU(2)$  symmetry,

$$\Delta g_1^{\gamma\gamma} = \Delta g_2^{\gamma\gamma} = 0 \quad \Delta g_1^{\gamma Z} = \Delta g_2^{\gamma Z} = \frac{g'^2}{c_w^2 - s_w^2} \alpha_1 + \frac{g^2}{c_w^2} \alpha_3 \quad (58a)$$

$$\Delta g_1^{ZZ} = 2\Delta g_1^{\gamma Z} + \frac{g^2}{c_w^4} \alpha_4 \quad \Delta g_2^{ZZ} = 2\Delta g_1^{\gamma Z} - \frac{g^2}{c_w^4} \alpha_5 \quad (58b)$$

$$\Delta g_1^{WW} = 2c_w^2 \Delta g_1^{\gamma Z} + g^2 \alpha_4 \quad \Delta g_2^{WW} = 2c_w^2 \Delta g_1^{\gamma Z} - g^2 (\alpha_4 + 2\alpha_5) \quad (58c)$$

$$h^{ZZ} = g^2 (\alpha_4 + \alpha_5). \quad (58d)$$

1922 Since we have consistently generated the trilinear and quartic couplings from a  
 1923 theory with exact but spontaneously broken  $SU(2) \times U(1)$  symmetry, the vertices  
 1924 described in this section fit together into a unified formalism that can be used to  
 1925 compute the scattering amplitudes for complete electroweak processes. In particular,  
 1926 this formalism gives a consistent definition to off-shell propagators and vertices that  
 1927 appear in processes containing the quartic gauge boson vertices. The results of all  
 1928 experiments are expressed in terms of the parameters  $\alpha_i$ .

### 1929 4.2.3 Resonances in the strongly coupled Higgs sector

1930 We now return to the question of the interpretation of the  $\alpha_i$  parameters in terms of  
 1931 possible resonances in the electroweak sector. A formalism complementary to that on  
 1932 Section 4.2.1 based on adding resonances to the SM Lagrangian has been described  
 1933 in [1]. We review it briefly here.

1934 There are three different combinations of spin and isospin for which resonances  
 1935 can couple to the EW gauge boson system. The spin of these resonances can be 0,  
 1936 1, or 2 (scalar, vector, or tensor), and, similarly, the value of the isospin, under the  
 1937 custodial isospin symmetry, can be 0, 1, or 2 (in this context, labeled singlet, triplet,  
 1938 and quintet). To couple invariantly to a pair of weak bosons, the parity in spin and  
 1939 isospin must be equal; hence we consider resonances with the quantum numbers:

- 1940 • scalar singlet  $\sigma$ , scalar quintet  $\phi$ ,

Resonance	$\sigma$	$\phi$	$\rho$	$f$	$a$
$\Gamma$	6	1	$4v^2/3M^2$	1/5	1/30

Table 4: Coefficients  $G$  appearing the formula (59) for the partial widths for resonances with various quantum numbers to decay into longitudinally polarized vector bosons.

- 1941      • vector triplet  $\rho$ ,
- 1942      • tensor singlet  $f$ , tensor quintet  $a$ ,

1943 In the model, these resonances are allowed to have arbitrary masses and widths,  
 1944 including  $M \rightarrow \infty$ . We might also list  $\pi$  (scalar triplet) and  $\omega$  (vector singlet), but  
 1945 their couplings to weak bosons violate custodial isospin. Then either their couplings  
 1946 are small, so that we can ignore them, or require unnatural cancellations to preserve  
 1947 the SM value of the  $\rho$  parameter.

1948 An example of such a resonance is the SM Higgs boson itself ( $\sigma$ ). The techni-  
 1949 rho resonance of technicolor models is an example of the vector triplet  $\rho$ . This set of  
 1950 quantum numbers also appears in an extra-dimensional context as a Kaluza-Klein  $W'$   
 1951 or  $Z'$  [17]. An example of the tensor  $f$  is the graviton resonance in Randall-Sundrum  
 1952 models [18].

1953 For the purposes of this section, we will assume that resonances in the EW sector  
 1954 have fermionic couplings very suppressed compared to the couplings to the EW sector.  
 1955 The opposite case has been discussed already in Section 3. For resonances that do  
 1956 not couple strongly to fermions, the dominant decays are to longitudinal EW gauge  
 1957 bosons. The widths are given by formulae

$$\Gamma_i = \frac{g_i^2}{64\pi} \frac{M^3}{v^2} \cdot G, \quad (59)$$

1958 where the coefficients  $G$  are displayed in Table 4. The couplings  $g_i$  are the elementary  
 1959 couplings appearing in the resonance Lagrangian. With increasing number of spin and  
 1960 isospin components, the resonance width decreases. Note that, with our normalization  
 1961 convention for the dimensionless couplings  $g_i$ , the width of a vector resonance has a  
 1962 scaling behavior different from that of the other cases. If we want to work in a purely  
 1963 phenomenological approach, it is useful to eliminate the couplings  $g_i$  in terms of the  
 1964 resonance widths using (59).

1965 At the ILC, we are mainly concerned with precision measurements of electroweak  
 1966 processes at energies below the first resonance in the strongly interacting Higgs sector.  
 1967 Any deviations observed from the Standard Model predictions can be interpreted in  
 1968 terms of the  $\alpha_i$  parameters in (47). To understand the relation of these parameters to



Resonance	$\sigma$	$\phi$	$\rho$	$f$	$a$
$\Delta\alpha_4$	0	$\frac{1}{4}$	$\frac{3}{4}$	$\frac{5}{2}$	$-\frac{5}{8}$
$\Delta\alpha_5$	$\frac{1}{12}$	$-\frac{1}{12}$	$-\frac{3}{4}$	$-\frac{5}{8}$	$\frac{35}{8}$

Table 5: Coefficients  $H$  in the relation (60) between the parameters of a Higgs sector resonance and the chiral Lagrangian coefficients  $\alpha_4$  and  $\alpha_5$  that result from integrating out that heavy resonance.

1969 the system of resonances, we can integrate out the resonances and expand the resulting  
1970 effective Lagrangian in powers of  $E/M$ . The terms resulting from this integrating out  
1971 shift the parameters of the Standard Model Lagrangian, shift the parameters  $\beta_1$  and  
1972  $\alpha_2$ , and shift the other  $\alpha_i$  parameters. The shifts of the Standard Model couplings  
1973 are absorbed into the renormalized electroweak parameters. The shifts of  $\alpha_2$  and  $\beta_1$   
1974 appear in the  $S$  and  $T$  parameters of electroweak interactions. The remaining shifts  
1975 of the  $\alpha_i$  provide new information. The most important effects appear as shifts of  
1976  $\alpha_4$  and  $\alpha_5$ . The translation from the resonances masses to  $\alpha_4$  and  $\alpha_5$  is given by the  
1977 relation

$$\Delta\alpha_i = \frac{16\pi\Gamma}{M} \frac{v^4}{M^4} \cdot H \quad (60)$$

1978 where the coefficients  $H$  are displayed for each type of resonances in Table 5.

1979 Figure 25 shows the shifts in  $\alpha_4$  and  $\alpha_5$  induced by each particular type of Higgs  
1980 sector resonance. There is an ambiguity in the values of the  $\alpha_i$  associated with a  
1981 change in the renormalization scale of the effective low-energy Lagrangian

$$\begin{aligned} \alpha_4(\mu) &= \alpha_4(\mu_0) - \frac{1}{12} \frac{1}{16\pi^2} \ln \frac{\mu^2}{\mu_0^2} \\ \alpha_5(\mu) &= \alpha_5(\mu_0) - \frac{1}{24} \frac{1}{16\pi^2} \ln \frac{\mu^2}{\mu_0^2} \end{aligned} \quad (61)$$

1982 where  $\mu_0$  is a reference scale. This shift is plotted as a dashed arrow in Fig. 25.  
1983 Fortunately, this small shift is almost orthogonal, in the  $(\alpha_4, \alpha_5)$  plane, to the direction  
1984 of the shift induced by a resonance.

1985 In the case that there is only one dominant resonance present, a combined fit  
1986 to both  $\alpha$  parameters (as e.g. done in [2]) allows us to disentangle isosinglet from  
1987 isotriplet or isoquintet resonances. The angular distributions of final vector bosons  
1988 provide further information on the nature of a resonance. For example, a  $\rho$  resonance  
1989 multiplet would have the characteristic feature that the  $ZZ$  decay channel is absent,  
1990 by virtue of the Landau-Yang theorem

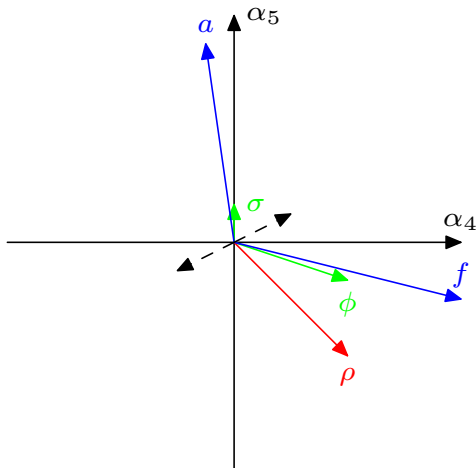


Figure 25: Anomalous couplings  $\alpha_{4/5}$  in the low-energy effective theory coming from the different resonances under the assumption of equal masses and widths,  $M \sim \Gamma$  (Table 5). The dashed arrow indicates the shift due to renormalization scale variation.

#### 1991 4.2.4 Vector boson scattering and unitarity

1992 There is one more important issue to discuss in setting up the theory of strong interaction  
 1993 corrections to the electroweak sector. This is the question of high-energy  
 1994 behavior and unitarity. At the ILC, experiments on trilinear and quartic couplings in  
 1995  $e^+e^- \rightarrow VV$  and related processes can be analyzed by using the low energy effective  
 1996 Lagrangian directly. Even in the study of vector boson scattering,  $VV \rightarrow VV$ , corrections  
 1997 to the effective Lagrangian description come in only at the highest subprocess  
 1998 energies near 1 TeV. However, measurements of these effects at hadron colliders probe  
 1999 a region of higher energies in which expressions derived from the effective Lagrangian  
 2000 must be greatly modified. The reason for this is that vertices due to higher-dimension  
 2001 operators grow dramatically at high energy and, if left unmodified, violate unitarity.  
 2002 Even at the Tevatron, the analysis of measurements of the trilinear couplings must  
 2003 include form factors or other modifications so that the theory used to fit the data is  
 2004 internally consistent and avoids violation of unitarity. This is the flip side of the observation  
 2005 that, because it accesses higher energies, the LHC offers the opportunity to  
 2006 discover new states of a strongly interacting Higgs sector as resonances. If resonances  
 2007 are not observed, or are not prominent, or if there are additional resonances beyond  
 2008 the reach of the LHC, there is no definite theoretical prediction, and so results from  
 2009 the LHC will have ambiguity or model-dependence.

2010 In this section, we will describe the problem of unitarity violation in effective  
 2011 models of the Higgs sector in the simplest context, vector boson scattering at high

2012 energy. For brevity, we restrict ourselves to the scalar isoscalar case. We will explain  
 2013 how to set up a consistent formalism that can be applied to analyze results from the  
 2014 LHC and the ILC in a common framework. We emphasize that the process of making  
 2015 the effective theory consistent with unitarity entails model-dependent assumptions.  
 2016 We illustrate that here with an especially simple model that fixes the problem.

2017 Consider first the case of the  $W^+W^- \rightarrow ZZ$  scattering amplitude. The leading  
 2018 term is of order  $g^0$  in the EW coupling and corresponds, at high energy, to the  
 2019 scattering of longitudinally polarized particles. This term rises with  $s$ , while the  
 2020 scattering amplitudes of transversally polarized vector bosons come with factors of  
 2021  $g$  and asymptotically do not rise with energy. By the equivalence theorem [16], the  
 2022 leading term is equal to the amplitude  $A(s, t, u)$  for  $w^+w^- \rightarrow zz$  Goldstone scattering,

$$A^{\text{tree}}(s, t, u) = \frac{s}{v^2} + 4\alpha_4 \frac{t^2 + u^2}{v^4} + 8\alpha_5 \frac{s^2}{v^4} . \quad (62)$$

2023 One-loop corrections to this amplitude in the SM have been calculated in [20,21,19].  
 2024 Note that the growth of the one-loop corrections does not imply a physical violation  
 2025 of unitarity but simply a breakdown of a perturbative expansion. All five possible  
 2026 individual scattering amplitudes can be determined by means of isospin symmetry  
 2027 through the master amplitude  $A(s, t, u)$  above:

$$\begin{aligned} A(w^+w^- \rightarrow zz) &= A(s, t, u) \\ A(w^+z \rightarrow w^+z) &= A(t, s, u) \\ A(w^+w^- \rightarrow w^+w^-) &= A(s, t, u) + A(t, s, u) \\ A(w^+w^+ \rightarrow w^+w^+) &= A(t, s, u) + A(u, s, t) \\ A(zz \rightarrow zz) &= A(s, t, u) + A(t, s, u) + A(u, s, t) \end{aligned} \quad (63)$$

2028 xpanding the amplitudes in powers of the energy, the order- $E^2$  term is known as the  
 2029 low-energy theorem (LET) [22]:

$$\begin{aligned} A^{(0)}(w^+w^- \rightarrow zz) &= s/v^2 \\ A^{(0)}(w^+z \rightarrow w^+z) &= t/v^2 \\ A^{(0)}(w^+w^- \rightarrow w^+w^-) &= -u/v^2 \\ A^{(0)}(w^+w^+ \rightarrow w^+w^+) &= -s/v^2 \\ A^{(0)}(zz \rightarrow zz) &= 0 . \end{aligned} \quad (64)$$

2030 These amplitudes are completely model-independent and only depend on the EW  
 2031 scale  $v$ . To include one of the resonances introduced above, one adds a pole in the  
 2032 Goldstone boson amplitude, for example, for  $\sigma$ ,

$$A^\sigma(s, t, u) = -\frac{g_\sigma^2}{v^2} \frac{s^2}{s - M^2} \quad (65)$$

2033 (The other resonance cases are discussed in [1]). Except for the special case where  
 2034  $g_\sigma = 1$ —the case of the SM Higgs boson—in which the rise with energy cancels out,  
 2035 the lowest-order scattering amplitudes show a rise with  $s/M^2$  beyond the resonance  
 2036 pole. This would violate unitarity unless the rise is eventually cancelled by additional  
 2037 contributions from more massive states.

2038 To properly analyze the issue of unitarity, it is useful to project onto channels of  
 2039 definite isospin  $I = 0, 1, 2$ . The projections are

$$\begin{aligned} A_0(s, t, u) &= 3A(s, t, u) + A(t, s, u) + A(u, s, t) \\ A_1(s, t, u) &= A(t, s, u) - A(u, s, t) \\ A_2(s, t, u) &= A(t, s, u) + A(u, s, t) \end{aligned} \quad (66)$$

2040 These can be further decomposed in the spin-isospin partial wave eigenamplitudes  
 2041  $A_{IJ}(s)$  by means of the Legendre polynomial expansion

$$A_I(s, t, u) = \sum_{J=0}^{\infty} A_{IJ}(s) (2J+1) P_J(s, t, u), \quad (67)$$

2042 These amplitudes are nonzero only if  $I$  and  $J$  are both even or both odd. The inverse  
 2043 transformation is given by angular integration:

$$A_{IJ}(s) = \int_{-s}^0 \frac{dt}{s} A_I(s, t, u) P_J(s, t, u). \quad (68)$$

The eigenamplitudes for the lowest-order SM Lagrangian are  $A_{00}^{(0)} = 2s/v^2$ ,  $A_{11}^{(0)} = s/3v^2$ , and  $A_{20}^{(0)} = -s/v^2$ . In the presence of a  $\sigma$  resonance, these formulae are modified to

$$A_{00}^\sigma(s) = -3 \frac{g_\sigma^2}{v^2} \frac{s^2}{s - M^2} - 2 \frac{g_\sigma^2}{v^2} \mathcal{S}_0(s) \quad A_{13}^\sigma(s) = -2 \frac{g_\sigma^2}{v^2} \mathcal{S}_3(s) \quad (69a)$$

$$A_{02}^\sigma(s) = -2 \frac{g_\sigma^2}{v^2} \mathcal{S}_2(s) \quad A_{20}^\sigma(s) = -2 \frac{g_\sigma^2}{v^2} \mathcal{S}_0(s) \quad (69b)$$

$$A_{11}^\sigma(s) = -2 \frac{g_\sigma^2}{v^2} \mathcal{S}_1(s) \quad A_{22}^\sigma(s) = -2 \frac{g_\sigma^2}{v^2} \mathcal{S}_2(s) \quad (69c)$$

2044 where

$$\mathcal{S}_J(s) = \int_{-s}^0 \frac{dt}{s} \frac{t^2}{t - M^2} P_0(t, s, u) P_J(s, t, u) \quad (70)$$

2045 is an  $S$ -wave coefficient function. The coefficient functions  $A_{IJ}$  contain poles in  $s - M^2$   
 2046 as well as finite parts. The poles are confined to those  $(I, J)$  combinations which  
 2047 correspond to the  $(I, J)$  assignments of the resonances. Other types of resonances  
 2048 contain different coefficient functions; these are given in [1].

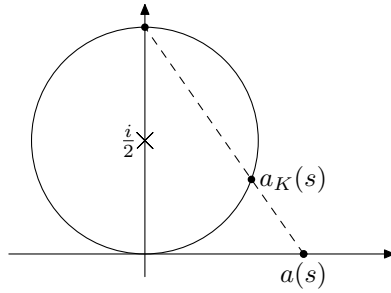


Figure 26:  $K$  matrix construction for projecting a real scattering amplitude onto the Argand circle

2049 The optical theorem requires that unitary elastic scattering amplitudes, properly  
 2050 normalized according to  $a_{IJ}(s) = A_{IJ}(s)/32\pi$ , lie on the Argand circle,  $|a_{IJ}(s) - i/2| =$   
 2051  $1/2$ . Amplitudes  $a(s)$  derived in finite-order perturbation theory or in some low-  
 2052 energy effective theory model will usually fail this requirement. A simple way to  
 2053 restore unitarity is the  $K$ -matrix unitarization scheme [23], illustrated in Fig. 26.  
 2054 One replaces

$$a(s) \rightarrow \hat{a}(s) = 1/[Re(1/a(s)) - i] . \quad (71)$$

2055 If  $a(s)$  is real, this simplifies to

$$a(s) \rightarrow \frac{a(s)}{1 - ia(s)} . \quad (72)$$

2056 For the original amplitude this can be recast as an additive correction term:

$$\hat{A}_{IJ}(s) = A_{IJ}(s) + \Delta A_{IJ}(s), \quad \text{where} \quad \Delta A_{IJ}(s) = \frac{i}{32\pi} \frac{A_{IJ}(s)^2}{1 - \frac{i}{32\pi} A_{IJ}(s)} . \quad (73)$$

2057 The  $K$ -matrix prescription transforms the LET amplitude  $A(s) = s/v^2$  into an  
 2058 amplitude that approaches a saturation for very high energies,

$$\hat{A}(s) = \frac{(s/v^2)}{\left[1 - is/32\pi v^2\right]} \xrightarrow{s \rightarrow \infty} 32\pi i \quad (74)$$

2059 The method leads to a Breit-Wigner lineshape and can hence be understood a vari-  
 2060 ant of Dyson resummation for  $s$ -channel resonance exchange. For more details and  
 2061 comparison to other methods, see [1].

2062 Beyond the first resonances in a given channel, other resonances can be present, as  
 2063 we observe in low-energy QCD. It is best, then, to keep both the explicit resonance in

2064 the corresponding channel and the  $\alpha_{4/5}$  parameters to account for additional structure  
 2065 at higher energies. Each eigenamplitude then has a zeroth order contribution, a  
 2066 NLO contribution and a part coming from the sum over the possible resonances.  
 2067 It may be parameterized as  $A_{IJ}(s) = A_{IJ}^{(0)}(s) + F_{IJ}(s) + G_{IJ}(s)/(s - M^2)$ , where  
 2068  $F_{IJ}(s)$  is finite, and  $G_{IJ}(s)$  is proportional to  $s$  (vector), or  $s^2$  (scalar, tensor). By  
 2069 means of the K-matrix prescription the amplitude gets an additive correction term,  
 2070  $\hat{A}_{IJ}(s) = A_{IJ}(s)/(1 - \frac{i}{32\pi}A_{IJ}(s)) = A_{IJ}^{(0)}(s) + \Delta A_{IJ}(s)$ , where the correction term  
 2071 takes the form:

$$\Delta A_{IJ}(s) = 32\pi i \left( 1 + \frac{i}{32\pi} A^{(0)}(s) + \frac{s - M^2}{\frac{i}{32\pi} G_{IJ}(s) - (s - M^2) \left[ 1 - \frac{i}{32\pi} (A^{(0)}(s) + F_{IJ}(s)) \right]} \right) \quad (75)$$

2072 Fig. 27 shows in the upper line two of the eigenamplitudes as examples, which  
 2073 show peaks for the resonances with the corresponding spin and isospin quantum  
 2074 numbers. The resonances masses are chosen to be 1 TeV, and the amplitudes reach  
 2075 their saturation value of  $32\pi \approx 100$ .

2076 For a definite physics simulation one needs to translate these amplitudes back to  
 2077 physical ones, i.e.  $WW$  or  $ZZ$ . So one has to go back from spin-isospin eigenampli-  
 2078 tudes to isospin eigenamplitudes, which is given by relations like

$$\Delta A_0(s, t, u) = \Delta A_{00}(s) P_0(s, t, u) + \Delta A_{02}(s) 5P_2(s, t, u) \quad (76)$$

2079 The right hand side of the lower line of Fig. 27 shows the angular dependence of the  
 2080 amplitude which reveals the spin of the corresponding resonance. From the isospin  
 2081 eigenamplitudes one can reconstruct the physical amplitudes, for example,

$$\Delta A(w^+w^- \rightarrow zz) = (\Delta A_0(s, t, u) - \frac{1}{3}\Delta A_2(s, t, u))/3. \quad (77)$$

2082 Clearly, the unitarization of the channels breaks crossing symmetry, as it is inserted  
 2083 only in  $s$ -channel like configurations. The other physical amplitudes and the full  
 2084 explicit expressions can be found in [1]. These expressions allow for a full description  
 2085 of on-shell Goldstone boson scattering at the ILC and allows to easily switch to  
 2086 a corresponding one for LHC physics in order to translate limits and parameters  
 2087 between both colliders. They depend on  $\alpha_4$  and  $\alpha_5$ , on the renormalization scale  
 2088  $\mu$  (when including the NLO terms), and on the mass and width parameters of the  
 2089 presumptive five resonances.

2090 This method of unitarization can be combined with the generic off-shell parame-  
 2091 terization of EW boson scattering given in (50) and (51) to give a complete description  
 2092 of Goldstone boson scattering amplitudes. For that purpose, the constant parame-  
 2093 ters  $\alpha_{4/5}$  are replaced by nergy- $(s)$ -dependent form factors. The technical details of

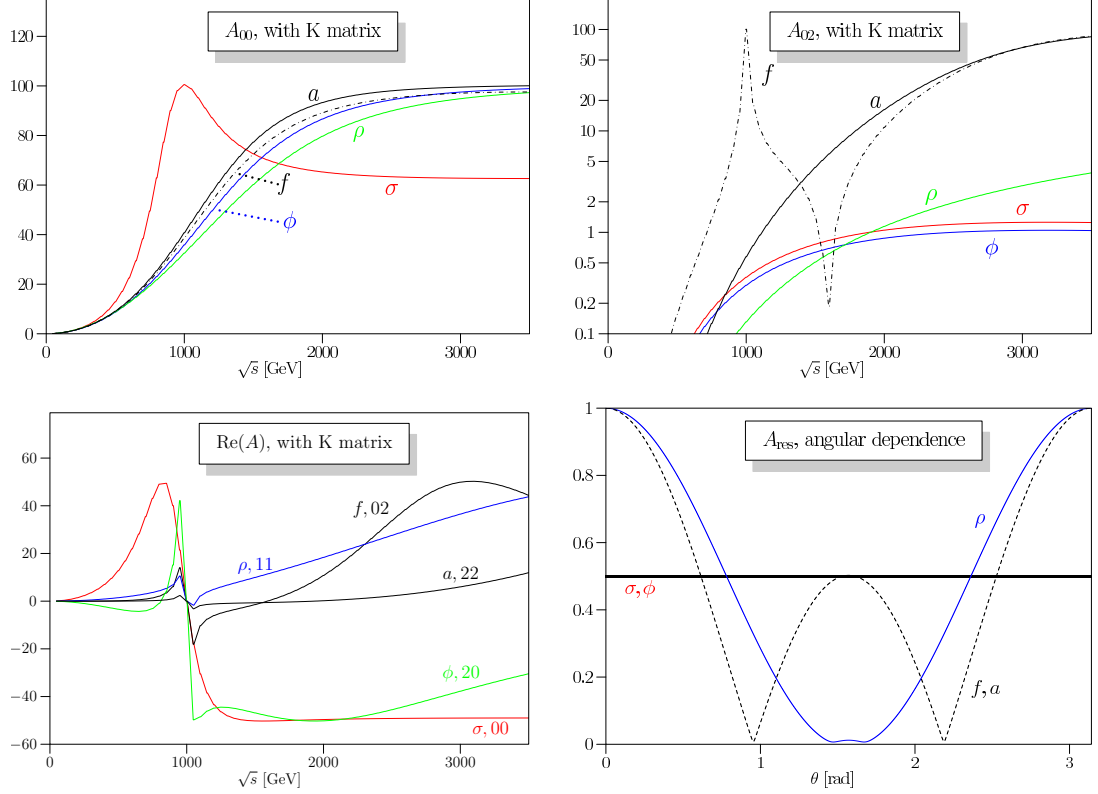


Figure 27: The upper line shows examples for unitarized spin-isospin-eigenamplitudes for Goldstone-boson scattering for each of the five possible resonances  $\sigma, \phi, \rho, f, a$ , with resonance masses set to 1 TeV, and their couplings to Goldstone bosons being unity: on the left, the  $I = J = 0$  amplitudes, on the right, the  $I = 0, J = 2$  amplitudes. The lower line shows, on the left, the real part of the eigenamplitudes  $|A_{IJ}(s)|$  for  $M_R = 1$  TeV (left), and, on the right, the angular dependence of the amplitudes  $|A_I(s, t, u)|$  for  $I = 0, 1, 2$ , each with the corresponding resonance(s) switched on and evaluated at  $\sqrt{s}$  equal to the resonance mass.

2094 that implementation can be found in [1]. This implementation does break crossing  
 2095 symmetry, but in fact that is broken already by the K-matrix prescription for uni-  
 2096 tarization. In principle, anomalous couplings for resonances might also be included.  
 2097 Such couplings are not considered here. We assume that they are subleading in the  
 2098 high-energy regime of a 1 TeV ILC or at LHC.

2099 With the formalism described above one can easily switch between the high-energy  
 2100 measurements in the LHC environment and the much preciser measurements in the  
 2101 cleaner setup of the ILC for  $VV$  scattering, but also for di- or triboson production,  
 2102 once a deviation from the SM in these channels might be discovered. In the following  
 2103 subsections we describe in detail diboson production in the channels  $WW$  and  $ZZ$ , the

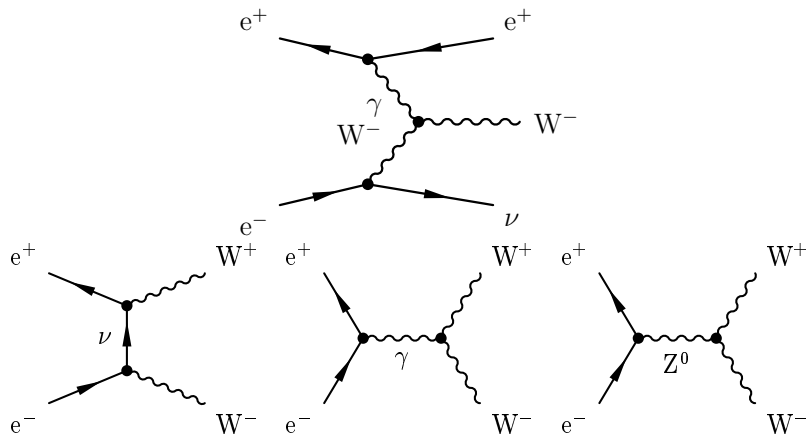


Figure 28: Dominant Feynman diagrams for single  $W$  production at the ILC (top), and for  $W^+W^-$  production at the ILC.

2104 corresponding photon-induced processes, triboson production, EW boson scattering  
 2105 as well as low-energy precision measurements on the  $Z$  and  $WW$  threshold.

### 2106 4.3 $e^+e^- \rightarrow W^+W^-$

2107 The major weak processes to be studied at an ILC are pair production of elec-  
 2108 troweak gauge bosons,  $e^+e^- \rightarrow W^+W^-$  and  $e^+e^- \rightarrow ZZ$ . Actually, the ILC will be  
 2109 the first collider to allow for  $W$  pair production in lepton collisions with polarized  
 2110 beams. Due to the  $V - A$  structure of the  $W$  boson interactions, polarization of the  
 2111 beam(s) radiating the electroweak boson can substantially enhance or suppress their  
 2112 production. Note, that there is also as a competitive process single  $W$  production,  
 2113 originating mostly from photon- $W$  fusion (cf. Fig. 28). Since pair production is domi-  
 2114 nated by the  $s$ -channel pole, its cross section falls off linearly with energy. ILC will be  
 2115 the first lepton collider to enter that regime. On the other hand, single production is  
 2116 kinematically enhanced through the  $t$ -channel propagators and rises logarithmically  
 2117 with energy. 1 TeV is roughly the energy where single production starts to exceed  
 2118 over pair production.

2119  $WW$  production at a lepton collider is a theoretically well-studied process for  
 2120 which full next-to-leading (NLO) electroweak corrections are available including the  
 2121  $W$  decays in the double-pole approximation [27]. These results have been casted  
 2122 into dedicated NLO Monte-Carlo programs, namely YFSWW3 and RacoonWW. The  
 2123 effects of finite fermion masses and different cuts on the cross section and distributions  
 2124 have also been studied in [28]. Some leading NNLO corrections have been recently  
 2125 calculated [30]. Furthermore, by means of effective field theory methods, the precise  
 2126 line-shape of  $W$  pairs close to the thresholds have been investigated [31]. Also the



Figure 29: Total cross section for single  $W$  [4] and  $W$  pair production [27] as a function of the center of mass energy. Differential cross section for  $W$  pair production for different beam polarizations.

2127 single  $W$  production at a lepton collider is available at NLO [29].

2128 The process of  $WW$  production at ILC allows for a sensible measurement of triple  
 2129 gauge boson couplings, given in the introduction to this section in Eq. 50. If one  
 2130 replaces the constant parameters by momentum-dependent form factors, this is in fact  
 2131 the most general parameterization, however, restricting again to the two lowest orders  
 2132 in the expansion of the EW chiral Lagrangian takes one back to constant coupling  
 2133 parameters. Note that there are some constraints to be fulfilled by the unbroken  
 2134 electromagnetic gauge invariance, namely  $g_1^\gamma(q^2 = 0) = 1$  and  $g_5^\gamma(q^2 = 0) = 0$  at zero  
 2135 momentum transfer.

- 2136 1. measurement of the  $W$  boson mass
- 2137 2. measurement of triple gauge boson couplings
- 2138 3. Standard Model reference - e.g. in situ polarization measurement ?

2139 **4.4**  $e^+e^- \rightarrow ZZ$

2140 **4.5**  $\gamma\gamma \rightarrow W^+W^-$

2141 Though there is the specific option to produce a high-energy photon-photon col-  
 2142 lider by means of Compton backscattering and thereby converting a high-energy elec-  
 2143 tron beam into a high-energy photon beam, the physics at such a machine shall not  
 2144 be discussed here. However,  $\gamma$ -induced processes also occur through collinear electron  
 2145 splitting predominantly at lower energies, and they provide a severe

2146 **To do: fix citation**

2147 background for many new-physics searches (cf. e.g. [?]). But, on the other side,  
 2148 they can also provide potential to perform measurement in the EW sector of the SM,  
 2149 by using the  $\gamma$ -induced pair production of  $W$  pairs, which has a large cross section  
 2150 of rather 80 pb at 500 GeV. (The physics of this process is similar to the single- $W$   
 2151 production in  $W\gamma$  fusion, whose cross section is roughly 30 pb at 500 GeV). This  
 2152 process has been studied with the focus on the determination of possible anomalous  
 2153 gauge boson couplings, and its NLO corrections have been calculated in the double-  
 2154 pole approximation [32].

- 2155 1. measurement of quadruple gauge boson couplings

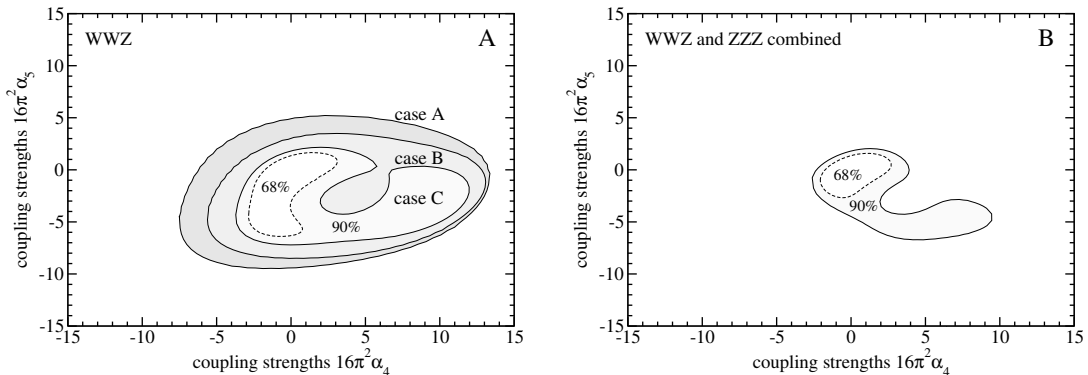


Figure 30: Expected sensitivity of a 1 TeV ILC on anomalous quartic gauge coupling parameters  $\alpha_4/\alpha_5$ , assuming an integrated luminosity of  $1 \text{ ab}^{-1}$ . Left:  $WWZ$  alone, right:  $WWZ$  and  $ZZZ$  combined. The inner (dashed) line shows the 68 % CL, the outer (full) line the 90 % CL. Cases A, B, and C refer to the unpolarized case, the case with 80 % electron polarization and 80% electron plus 60% positron polarization, respectively. From [2].

## 2156 4.6 Triple vector boson production

2157 The production of three electroweak gauge bosons, i.e. mainly  $e^+e^- \rightarrow W^+W^-Z$   
 2158 and  $e^+e^- \rightarrow ZZZ$  is an important precision test for the structure of the electroweak  
 2159 interactions. It has not been kinematically accessible at LEP, though it is and will  
 2160 be measured at the LHC. The measurement of these processes at the ILC allows  
 2161 for a very clean and precise measurement of the triple and quartic gauge couplings  
 2162 and is complimentary to the corresponding observables in vector boson scattering  
 2163 processes (cf. next subsection 4.7). Though triboson production has already been  
 2164 measured at Tevatron and has and will be measured at LHC, too, the process is  
 2165 much cleaner and offers a much higher precision at ILC, specifically by using the fully  
 2166 hadronic final state (which constitutes 32% of all  $WWZ$  and  $ZZZ$  events). Though  
 2167 in principle, new-physics parameters that enter oblique corrections and triple gauge  
 2168 couplings can be determined in triple boson production, too, one usually assumes  
 2169 that they have already been measured in  $WW, ZZ$  production (or  $VV$  scattering).  
 2170 Hence, they will be ignored in this section. In contrast to vector boson scattering, the  
 2171 different  $\alpha$  parameters from the electroweak chiral Lagrangian cannot be completely  
 2172 disentangled in this measurement: the process  $e^+e^- \rightarrow W^+W^-Z$  depends on the two  
 2173 linear combinations  $\alpha_4 + \alpha_6$  and  $\alpha_5 + \alpha_7$ , while  $e^+e^- \rightarrow ZZZ$  depends on the linear  
 2174 combination  $\alpha_4 + \alpha_5 + 2(\alpha_6 + \alpha_7 + \alpha_{10})$ .

2175 The main SM background is rather large for the channel  $W^+W^-Z$ , coming from  
 2176  $t\bar{t}$  production with hadronically decaying  $W$ s, but can be substantially reduced using  
 2177 electron polarization which populates the longitudinal modes of the EW gauge bosons.  
 2178 For a 1 TeV ILC without polarization, the cross sections are 59 fb for  $WWZ$  and

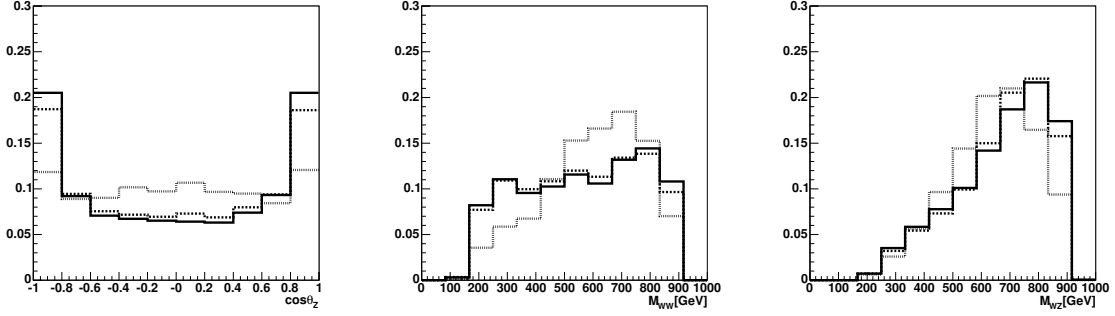


Figure 31: Reconstructed  $\cos\theta$ ,  $M_{WW}$ , and  $M_{WZ}$  signal distributions for  $e^+e^- \rightarrow WWZ$  and both beams polarized. To see the shape dependence the distributions are normalized to the respective total number of events for the Standard Model (solid),  $\alpha_4 = 1.6\pi^2 \approx 15.8$  (dashed) and  $\alpha_5 \approx 15.8$  (dotted).

		WWZ			ZZZ	best
		no pol.	$e^-$ pol.	both pol.	no pol.	
$16\pi^2\Delta\alpha_4$	$\sigma^+$	9.79	4.21	1.90	3.94	1.78
	$\sigma^-$	-4.40	-3.34	-1.71	-3.53	-1.48
$16\pi^2\Delta\alpha_5$	$\sigma^+$	3.05	2.69	1.17	3.94	1.14
	$\sigma^-$	-7.10	-6.40	-2.19	-3.53	-1.64

Table 6: Sensitivity of  $\alpha_4$  and  $\alpha_5$  expressed as  $1\sigma$  errors. WWZ: two-parameter fit; ZZZ: one-parameter fit; best: best combination of both.

2179 0.8 fb for  $ZZZ$  production, respectively. Switching on electron polarization reduces  
 2180 the  $WWZ$  cross section to 12 fb (for 80% right-handed electrons). For the neutral  
 2181 process,  $ZZZ$ , the SM background is negligible. Both processes are available at next-  
 2182 to-leading order [5,6,7], and also most of the corrections are available in a dedicated  
 2183 Monte-Carlo program, LUSIFER [8].

2184 We follow here the phenomenological study in [2]. For the  $WWZ$  process, there are  
 2185 three independent kinematical variables that are used, the  $M_{WZ}^2$  and  $M_{WW}^2$  invariant  
 2186 masses as well as the angle  $\theta$  between the electron beam axis and the flight direction  
 2187 of the  $Z$  boson. From the angular corrections as well as the diboson invariant masses,  
 2188 deviations from the SM can be determined (see Fig. 31, which then enable one to  
 2189 set limits on the anomalous couplings: Fig. 30 shows the expected sensitivity for the  
 2190 parameters  $\alpha_4$  and  $\alpha_5$  at 90 and 68 per cent confidence level. The detailed values are  
 2191 give in Tab. 6.

2192 Furthermore, especially for the search for possibly parity-violating operators, the  
 2193 process  $e^+e^- \rightarrow W^+W^-\gamma$  can be used, that is rather complimentary to the  $WWZ$

2194 channel mentioned above. Because here one does not have to pay the price for an  
2195 additional weak boson, a considerable sensitivity could already be achieved at 500  
2196 GeV (or even 200 GeV) center-of-mass energy [10].

#### 2197 **4.7 $WW, ZZ$ scattering at high energy**

2198 The process of  $WW/ZZ$  scattering is at the heart of the electroweak symmetry  
2199 breaking mechanism because it describes the self-interaction of (both transversally  
2200 and longitudinally) polarized electroweak gauge bosons. While the first one is the  
2201 equivalent of gluon-gluon scattering in QCD, the second one is in fact the scattering  
2202 of the Goldstone boson modes inside the electroweak gauge bosons, whose tree-level  
2203 unitarity has been one of the most profound motivations for the existence of a (rela-  
2204 tively light) Higgs boson [11]. Mostly, the scattering of weak gauge bosons has been  
2205 seen specifically as a means to study the EW sector in the absence of a light Higgs bo-  
2206 son, or, alternatively, the presence of strong EW interactions (for an overview, cf. [9]).  
2207 But even after the discovery of a light Higgs-like boson around 125 GeV [12], the scat-  
2208 tering of EW gauge bosons remains one of the most important physical observables in  
2209 the EW sector. Together with the precise measurements of the properties of the Higgs  
2210 boson at the LHC and ILC,  $VV$  scattering allows to overconstrain the EW sector and  
2211 search for deviations from the EW setup of the Standard Model. Besides that, it  
2212 offers by itself the possibility to search for new physics in the EW sector beyond or  
2213 besides the Standard Model in a rather model-independent way. Clearly, any kind of  
2214 new physics that has considerable couplings to the SM fermions is very likely to show  
2215 up earlier in e.g. Drell-Yan like processes at LHC or directly in electroproduction  
2216 at the ILC. On the contrary, for physics that couples only to the electroweak gauge  
2217 sector (or has vastly suppressed fermionic couplings like fermiophobic models),  $VV$   
2218 scattering is the prime process to be studied. Furthermore, there are models like a  
2219 strongly interacting light Higgs (SILH) [13] which give rise to a more or less SM-like  
2220 Higgs boson, but feature nevertheless different UV physics. It is therefore inevitable  
2221 to perform that important measurement and compare it with predictions from the  
2222 SM.

2223 LHC will measure  $VV$  scattering in the upcoming years, there are possibly even  
2224 events in the final 2012 data set. On the other hand, ILC offers the opportunity to use  
2225 all final states including the hadronic ones which is not possible at the LHC because of  
2226 the triggers and the mini-jet veto. Furthermore, at an ILC beam polarization allows  
2227 to enrich longitudinal polarizations of the SM gauge bosons and to improve the ratio  
2228 of longitudinal boson signal over transversally polarized boson background.

2229 In order not to deal with a plethora of models, let us discuss the physics of  $VV$   
2230 scattering in a as model-independent approach as possible: most of this is based on the  
2231 approach of the EW chiral Lagrangian [14,15]. In the original approach, this is at least

2232 formally understandable as taking the limit of an infinitely heavy Higgs boson and  
 2233 removing it from the SM. The left-over is a nonlinear sigma model containing higher-  
 2234 dimensional operators coupling the transversal and longitudinal EW gauge bosons to  
 2235 each other. Such an approach was invented as a low-energy effective theory (LET)  
 2236 for the case of a (very) heavy SM Higgs boson, of technicolor models featuring several  
 2237 strongly interacting resonances in the EW sector, or for Higgsless models (which are  
 2238 in some sense dual to the former class of models). In the light of the discovery of a  
 2239 light scalar boson at LHC, such a view is no longer really viable. However, such an  
 2240 electroweak chiral Lagrangian can be enlarged by the presence of possible resonances  
 2241 in the EW sector that could possibly couple to the EW sector. Such resonances can  
 2242 be classified to their spin and isospin quantum numbers. Such a classification has  
 2243 been performed in [1]: there could be resonances of spin 0, -1 and -2 that couple to a  
 2244 system of two weak gauge bosons, and they could be isoscalar, isovector or isotensor,  
 2245 respectively. A light SM Higgs boson is just the isoscalar scalar case with special  
 2246 couplings and is hence easily incorporated in that approach. For more details see the  
 2247 introduction to that chapter above.

2248 The performance of a 1 TeV ILC for determining deviations from the triple and  
 2249 quartic gauge couplings of the SM has been given in [2] extending an earlier study [24].  
 2250 These studies have been performed with full six-fermion matrix elements, hence no  
 2251 simplifications like effective  $W$  approximation (EWA), Goldstone-boson equivalence  
 2252 theorem or the narrow-width approximation have been made. For the analysis, an  
 2253 integrated luminosity of  $1 \text{ ab}^{-1}$  and beam polarization (80 % for electrons, 40 % for  
 2254 electrons) are assumed. Note that a clear distinction of signal and backgrounds is  
 2255 rather intricate, as many EW processes (e.g. triboson production etc.) get intermin-  
 2256 gled with the pure  $VV$  scattering process.

2257 For the simulation we assume a c.m. energy of 1 TeV and a total luminosity of  
 2258  $1000 \text{ fb}^{-1}$  in the  $e^+e^-$  mode. Beam polarization of 80% for electrons and 40% for  
 2259 positrons is also assumed. Since the six-fermion processes under consideration con-  
 2260 tain contributions from the triple weak-boson production processes considered in the  
 2261 previous section ( $ZZ$  or  $W^+W^-$  with neutrinos of second and third generation as well  
 2262 as a part of  $\nu_e\bar{\nu}_e WW(ZZ)$ ,  $e\nu_e WZ$  and  $e^+e^-W^+W^-$  final states), there is no distinct  
 2263 separation of signal and background. Signal processes in a separate analysis are thus  
 2264 affected by all other signal processes as well as by pure background. The studies  
 2265 have been performed with event samples generated with WHIZARD [4], the shower and  
 2266 hadronization with Pythia [25] and the ILC detector response with SimDet [26].  
 2267 Initial-state radiation (ISR) from the lepton beams is explicitly included. Studied  
 2268 processes and their cross sections are given in Tab. 7.

2269 Possible observables sensitive to modifications in the (triple and quartic) cou-  
 2270 plings of longitudinal EW bosons are the total cross section as well as cross sections

Process	Subprocess	$\sigma$ [fb]
$e^+e^- \rightarrow \nu_e \bar{\nu}_e q \bar{q} q \bar{q}$	$W^+W^- \rightarrow W^+W^-$	23.19
$e^+e^- \rightarrow \nu_e \bar{\nu}_e q \bar{q} q \bar{q}$	$W^+W^- \rightarrow ZZ$	7.624
$e^+e^- \rightarrow \nu \bar{\nu} q \bar{q} q \bar{q}$	$V \rightarrow VVV$	9.344
$e^+e^- \rightarrow \nu e q \bar{q} q \bar{q}$	$WZ \rightarrow WZ$	132.3
$e^+e^- \rightarrow e^+e^- q \bar{q} q \bar{q}$	$ZZ \rightarrow ZZ$	2.09
$e^+e^- \rightarrow e^+e^- q \bar{q} q \bar{q}$	$ZZ \rightarrow W^+W^-$	414.
$e^+e^- \rightarrow b \bar{b} X$	$e^+e^- \rightarrow t \bar{t}$	331.768
$e^+e^- \rightarrow q \bar{q} q \bar{q}$	$e^+e^- \rightarrow W^+W^-$	3560.108
$e^+e^- \rightarrow q \bar{q} q \bar{q}$	$e^+e^- \rightarrow ZZ$	173.221
$e^+e^- \rightarrow e \nu q \bar{q}$	$e^+e^- \rightarrow e \nu W$	279.588
$e^+e^- \rightarrow e^+e^- q \bar{q}$	$e^+e^- \rightarrow e^+e^- Z$	134.935
$e^+e^- \rightarrow X$	$e^+e^- \rightarrow q \bar{q}$	1637.405

Table 7: Generated processes and cross sections for signal and background for  $\sqrt{s} = 1$  TeV, polarization 80% left for electron and 40% right for positron beam. For each process, those final-state flavor combinations are included that correspond to the indicated signal or background subprocess.

$e^+e^- \rightarrow$	$\alpha_4$	$\alpha_5$	$\alpha_6$	$\alpha_7$	$\alpha_{10}$
$W^+W^- \rightarrow W^+W^-$	+	+	-	-	-
$W^+W^- \rightarrow ZZ$	+	+	+	+	-
$W^\pm Z \rightarrow W^\pm Z$	+	+	+	+	-
$ZZ \rightarrow ZZ$	+	+	+	+	+

Table 8: Sensitivity to quartic anomalous couplings for all quasi-elastic weak-boson scattering processes accessible at the ILC.

2271 differential in the EW boson production and decay angles. In measuring properties  
2272 of longitudinal gauge bosons, it is highly non-trivial if not impossible to measure  
2273 observables like transverse momentum, as there a cut has to used to suppress the  
2274 background from transversal gauge bosons that is dropping less fast than the distri-  
2275 butions from longitudinal bosons. The general steps of this cut-based analysis is to  
2276 use electron/positron tagging to identify background, with cuts on transverse momen-  
2277 tum, missing mass and missing energy, as well as cuts around the EW boson masses  
2278 to veto against non tightly reconstructed events. For the extraction of parameters  
2279 like the triple and quartic gauge coupling, a binned likelihood fit has been used where  
2280 events are described by a total of four kinematical variables.

2281 We summarize the combined results for the measurements of anomalous EW cou-

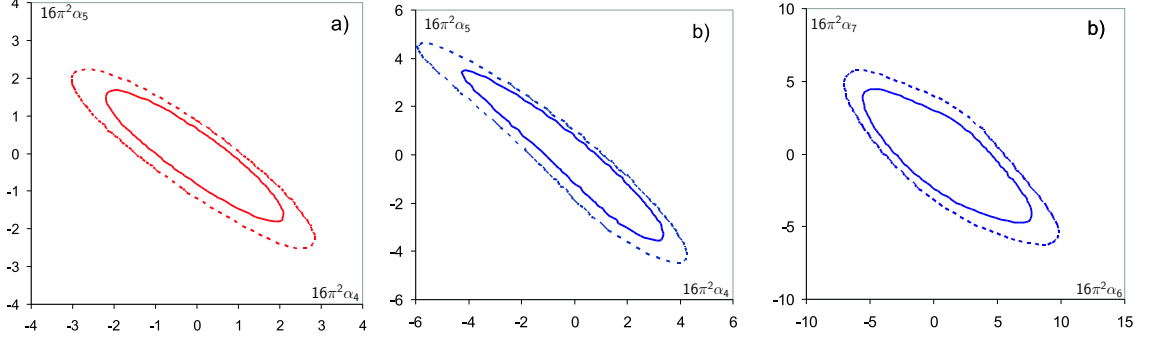


Figure 32: Expected sensitivity (combined fit for all sensitive processes) to quartic anomalous couplings for a 1 TeV ILC with  $1 \text{ ab}^{-1}$ . The full line (inner one) represents 68%, the dotted (outer) one 90% confidence level. a) case with  $SU(2)_c$  conservation b) case with broken  $SU(2)_c$ .

coupling	$\sigma-$	$\sigma+$
$\alpha_4$	-1.41	1.38
$\alpha_5$	-1.16	1.09

Table 9: The expected sensitivity from an integrated luminosity of  $1 \text{ ab}^{-1}$  in  $e^+e^-$  at 1 TeV, under the assumption of custodial  $SU(2)$  conservation. Positive and negative 1 sigma errors given separately.

coupling	$\sigma-$	$\sigma+$
$\alpha_4$	-2.72	2.37
$\alpha_5$	-2.46	2.35
$\alpha_6$	-3.93	5.53
$\alpha_7$	-3.22	3.31
$\alpha_{10}$	-5.55	4.55

Table 10: The expected sensitivity from a  $1 \text{ ab}^{-1}e^+e^-$  sample at 1 TeV for the case of broken  $SU(2)_c$  case, positive and negative 1 sigma errors given separately.

2282 plings in Tab. 9 and Table 10 where we assume an integrated luminosity of  $1 \text{ ab}^{-1}$  for  
 2283  $e^+e^-$  processes, taking both the  $SU(2)_c$  conserving as well as the violating process  
 2284 into account, respectively. The results are shown in Fig. 32 in graphical form, where  
 2285 projections of the multi-dimensional exclusion region in all  $\alpha$ s around the reference  
 2286 point  $\alpha_i \equiv 0$  onto the two-dimensional subspaces  $(\alpha_4, \alpha_5)$  and  $(\alpha_6, \alpha_7)$  have been  
 2287 made. In order to transform these bounds on  $\alpha_i$  parameters into more physical terms  
 2288 and also in order to compare the capabilities of ILC with direct resonance searches  
 2289 LHC one can use the formalism described in the introductory section of this chapter  
 2290 to trade the anomalous couplings for parameters of physical resonances. These results  
 2291 for quartic gauge couplings in vector boson scattering can be combined with the ILC  
 2292 measurement results for triple gauge couplings and oblique corrections. Taking one  
 2293 of the resonances into account at each time, one could from the measured value of  
 2294 the  $\alpha$  parameters reconstruct the properties and parameters of the resonance produc-  
 2295 ing that particular value. From this, the sensitivity on new physics showing up as  
 2296 resonances in the high-energy region of EW boson scattering can be determined.

2297 The dependence of the different resonances on the  $\alpha$  parameters as well as the  
 2298 correlation of the parameters and the technical points of the fit can be found in [2].  
 2299 Here, we just give the scalar singlet as an example: in that case,  $\alpha_4$  and  $\alpha_6$  are  
 2300 zero, for the isospin-conserving case in addition  $\alpha_7$  and  $\alpha_{10}$  are zero. If one uses the  
 2301 relation from integrating out the resonance,  $\alpha_5 = g_\sigma^2 \frac{v^2}{8M_\sigma^2}$  and introducing the ratio  
 2302 between the width and the mass of the resonance,  $f_\sigma = \Gamma_\sigma/M_\sigma$  one can solve for  
 2303 the mass of the resonance:  $M_\sigma = v [4\pi f_\sigma / (3\alpha_5)]^{\frac{1}{4}}$ . From the fit one can deduce the  
 2304 mass reach for scalar resonances at the LHC depending on scenarios with different  
 2305 widths. The results for the different masses for all cases are shown in 4.7. They can  
 2306 be summarized in the following numbers which hold for the  $SU(2)_c$ -conserving case:  
 2307 for spin-0 particles, the accessible reach is 1.39, 1.55, and 1.95 TeV for the isospin  
 2308 channels  $I = 0$ ,  $I = 1$ , and  $I = 2$ , respectively, assuming a single resonance with  
 2309 optimal width to mass ratio that exclusively couples to the EW boson sector. For  
 2310 a vector resonance, the reach is 1.74 TeV for isosinglet and 2.67 TeV for isotriplets,  
 2311 respectively. Tensors provide the best reach because of the higher number of degrees  
 2312 of freedom participating, namely 3.00, 3.01, and 5.84 TeV for the isospin channels  
 2313  $I = 0$ ,  $I = 1$ , and  $I = 2$ , respectively. In the case of  $SU(2)_c$  violation the effects on  
 2314 EW boson scattering are larger or more significant, such that the  $SU(2)_c$ -conserving  
 2315 limit is a conservative estimate, that is however supported by the EW measurements  
 2316 from SLC, LEP, Tevatron, and LHC.

## 2317 4.8 Giga-Z

- 2318 1. measurement of the  $Z$  polarization asymmetry and  $\sin^2 \theta_w$
- 2319 2. reconciliation of precision electroweak with new particle spectra



$f_{\text{Res.}} = \Gamma_{\text{Res.}}/M_{\text{Res.}}$	1.0	0.8	0.6	0.3
scalar singlet, $M_\sigma$ [TeV], $SU(2)_c$ cons.	1.55	1.46	1.36	1.15
scalar singlet, $M_\sigma$ [TeV], $SU(2)_c$ broken	1.39	1.32	1.23	—
scalar triplet, $M_{\pi^0}$ [TeV]	1.39	1.32	1.23	—
scalar triplet, $M_{\pi^\pm}$ [TeV]	1.55	1.47	1.37	1.15
scalar quintet, $M_\phi$ [TeV], $SU(2)_c$ cons.	1.95	1.85	1.72	1.45
scalar quintet, $M_{\phi^{\pm\pm}}$ [TeV], $SU(2)_c$ broken	1.95	1.85	1.72	1.45
scalar quintet, $M_{\phi^\pm}$ [TeV], $SU(2)_c$ broken	1.64	1.55	1.44	1.21
scalar quintet, $M_{\phi^0}$ [TeV], $SU(2)_c$ broken	1.55	1.46	1.35	1.14
vector singlet, $M_\omega$ [TeV], gen. case	2.22	2.10	1.95	1.63
vector triplet, $M_\rho$ [TeV], $SU(2)_c$ cons.	2.49	2.36	2.19	1.84
vector triplet, $M_{\rho^\pm}$ [TeV], no $SU(2)_c$ , no mag. mom.	2.67	2.53	2.35	1.98
vector triplet, $M_{\rho^0}$ [TeV], no $SU(2)_c$ , no mag. mom.	1.74	1.65	1.53	1.29
vector triplet, $M_{\rho^\pm}$ [TeV], special $SU(2)_c$ viol.	3.09	2.92	2.72	2.29
vector triplet, $M_{\rho^0}$ [TeV], special $SU(2)_c$ viol.	1.78	1.69	1.57	1.32
vector triplet, $M_{\rho^\pm}$ [TeV], gen. case	2.54	2.41	2.34	1.88
vector triplet, $M_{\rho^0}$ [TeV], gen. case	1.71	1.62	1.51	1.27
tensor singlet, $M_f$ [TeV], $SU(2)_c$ cons.	3.29	3.11	2.89	2.43
tensor singlet, $M_f$ [TeV], $SU(2)_c$ viol.	3.00	2.84	2.64	2.22
tensor triplet, $M_{a^0}$ [TeV]	3.01	2.85	2.65	2.23
tensor triplet, $M_{a^\pm}$ [TeV]	2.81	2.66	2.47	2.08
tensor quintet, $M_t$ [TeV], $SU(2)_c$ cons.	4.30	4.06	3.78	3.18
tensor quintet, $M_{t^c}$ [TeV], special $SU(2)_c$ viol.	6.76	6.39	5.95	5.00
tensor quintet, $M_{t^0}$ [TeV], special $SU(2)_c$ viol.	4.53	4.28	3.98	3.35
tensor quintet, $M_{t^{\pm\pm}}$ [TeV], gen. case	5.17	4.89	4.55	3.83
tensor quintet, $M_{t^\pm}$ [TeV], gen. case	3.64	3.44	3.20	2.69
tensor quintet, $M_{t^0}$ [TeV], gen. case	5.84	5.52	5.14	4.32

Table 11: Mass reach at a 1 TeV ILC in  $VV$  scattering, assuming a data set of  $1 \text{ ab}^{-1}$ , for four different values of the ratio of width over mass for the resonances.

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## 2400 5 Top quark

2401 The top quark, or  $t$  quark, is by far the heaviest particle of the Standard Model.  
2402 Its large mass implies that this is the Standard Model particle most strongly coupled  
2403 to the mechanism of electroweak symmetry breaking. For this and other reasons, the  
2404 top quark is expected to be a window to any new physics at the TeV energy scale. In  
2405 this section, we will review the ways that new physics might appear in the precision  
2406 study of the top quark and the capabilities of the ILC to discover these effects.

2407 The top quark was discovered at the Tevatron proton-antiproton collider by the  
2408 D0 and CDF experiments [1,2]. Up to now, the top quark has only been studied  
2409 at hadron colliders, at the Tevatron and, only in past two years, at the LHC. The  
2410 Tevatron experiments accumulated a data sample of about  $12 \text{ fb}^{-1}$  in *Run I* and *Run*  
2411 *II*, at center of mass energies of 1.8 TeV and 1.96 TeV, respectively. About half of this  
2412 data is fully analyzed. At the LHC, a data sample of about  $5 \text{ fb}^{-1}$  has been recorded  
2413 at a center-of-mass energy of 7 TeV up to the end of 2011. In 2012, the machine has  
2414 operated at a center of mass energy of 8 TeV. In the following section, we will review  
2415 the properties of the top quark determined so far at hadron colliders, based on the  
2416 currently analyzed data sets. We will also discuss the eventual accuracies that will  
2417 be reached in this program over the long term.

2418 The ILC would be the first machine at which the top quark is studied using a  
2419 precisely defined leptonic initial state. This brings the top quark into an environment  
2420 in which individual events can be analyzed in more detail, as we have explained in  
2421 the Introduction. It also changes the production mechanism for top quark pairs from  
2422 the strong to the electroweak interactions, which are a step closer to the phenomena  
2423 of electroweak symmetry breaking that we aim to explore. Finally, this change brings  
2424 into play new experimental observables—weak interaction polarization and parity  
2425 asymmetries—that are very sensitive to the coupling of the top quark to possible new  
2426 interactions. It is very possible that, while the top quark might respect Standard  
2427 Model expectations at the LHC, it will break those expectations when studied at the  
2428 ILC.

### 2429 5.1 Top quark properties from hadron colliders

2430 In this section, we will review the present and future capabilities of hadron colliders  
2431 to study the top quark. This section is based largely on the review published in [3].  
2432 Where applicable, the information has been updated.

2433 *5.1.1 Top quark hadronic cross section*

2434 A central measurement for the top quark at hadron colliders is the  $t\bar{t}$  production  
 2435 cross-section. At hadron colliders the following channels are typically measured: (1)  
 2436 lepton+jets channels, (2) dilepton channels, (3) full hadronic channels, (4) channels  
 2437 with jets and missing transverse momentum (MET). For these channels the Tevatron  
 2438 experiments have published values between 7.2 pb and 7.99 pb [3]. The error on these  
 2439 values is typically 6 – 7%. The LHC experiments report values at 7 TeV [4,5]

$$\begin{aligned}\sigma_{t\bar{t}} &= 177 \pm 3 (\text{stat.})_{-7}^{+8} (\text{syst.}) \pm 7 (\text{lumi.}) \text{ pb} && \text{ATLAS} \\ \sigma_{t\bar{t}} &= 166 \pm 2 (\text{stat.}) \pm 11 (\text{syst.}) \pm 8 (\text{lumi.}) \text{ pb} && \text{CMS}\end{aligned}\quad (78)$$

2440 This is to be compared with theoretical estimates from ‘approximate NNLO’ QCD  
 2441 predictions, for example, [6,7]

$$\sigma_{t\bar{t}} = 163_{-5}^{+7} (\text{scale}) \pm 9 (\text{PDF}) \text{ pb.} \quad (79)$$

2442 A full NNLO QCD calculation should decrease the first error significantly. The agree-  
 2443 ment between theory and experiment is excellent at the present stage, both for the  
 2444 LHC and for the Tevatron results. Already at this early stage of data taking the  
 2445 LHC experiments are limited by the systematic uncertainty. For ATLAS, the dom-  
 2446 inant sources of the systematic error are those from predictions of different event  
 2447 generators together with the uncertainties of the parton distribution function of the  
 2448 proton. On the experimental side, the jet energy resolution constitutes an important  
 2449 source of systematic error. However, there are other sources of comparable influence,  
 2450 from the electron and muon identification. The quoted sources contribute roughly  
 2451 equally to the systematic error.

2452 *5.1.2 Top quark mass and width*

2453 The mass of the top quark is a fundamental parameter of the electroweak theory.  
 2454 In discussions of physics beyond the Standard Model, the top quark appears ubiqui-  
 2455 tously. To interpret particle physics measurements in terms of new physics effects,  
 2456 the top quark mass must be known very accurately. Two well known examples are  
 2457 the precision electroweak corrections, where the top quark contributions must be  
 2458 fixed to allow Higgs and other new particle corrections to be determined, and in the  
 2459 theory of the Higgs boson mass in supersymmetry, in which the loop corrections are  
 2460 proportional to  $(m_t/m_W)^4$ .

2461 Care must be taken in relating the measured top quark mass to the value of the  
 2462 top quark mass that is used as input in these calculations. Loop effects typically

2463 take as input a short-distance definition of the top quark mass such as the  $\overline{MS}$  mass  
2464 parameter. We will explain below that the determination of the top quark mass from  
2465 the threshold cross section in  $e^+e^-$  annihilation uses a precise short-distance definition  
2466 of the top quark mass, though a different one from the  $\overline{MS}$  mass.

2467 Another possible definition of the top quark mass is given by the position of the  
2468 pole in the top quark propagator. This top quark mass is greater than the  $\overline{MS}$  mass  
2469 by about 10 GeV, and this difference contains a nonperturbative correction of the  
2470 order of a few hundred MeV, due to an infrared sensitivity of the pole mass.

2471 Current determinations of the top quark mass from kinematic distributions do not  
2472 use either of these, in principle, well defined top quark mass definitions. Instead,  
2473 they define the top quark mass as the input mass parameter of a Monte Carlo event  
2474 generator, which is then constrained by measurements of the kinematics of the  $t\bar{t}$   
2475 final state. At this time, there is no concrete analysis that relates this mass to either  
2476 the short distance or the pole value of the top quark mass. For the case of  $e^+e^-$   
2477 production of top quark pairs, it was shown in [8] how to relate event-shape variables  
2478 that depend strongly on the top quark mass to an underlying short-distance mass  
2479 parameter. The analysis requires center of mass energies much larger than  $2m_t$ . For  
2480 hadron colliders, the corresponding analysis is much more difficult and has not yet  
2481 been done.

2482 With the framework that is available now, the Tevatron and LHC experiments  
2483 have achieved quite a precise determination of the top quark mass from kinematic  
2484 observables. The value of the top quark mass  $m_t$  as published by the Tevatron  
2485 Electroweak Working Group is given to be  $m_t = 173.2 \pm 0.9 \text{ GeV}$  [9]. This value  
2486 has been obtained from the combined measurements of the Tevatron experiments.  
2487 The LHC experiments report values of  $m_t = 174.5 \pm 0.6 \pm 2.3 \text{ GeV}$  for the ATLAS  
2488 collaboration [10] and  $m_t = 172.6 \pm 0.4 \pm 1.2 \text{ GeV}$  for the CMS collaboration [11],  
2489 where, in each case, the first error is statistical and the second is systematic. The  
2490 dominant systematic errors come from jet energy resolution. In both cases, the mass  
2491 definition used is that of the Monte Carlo event generator. Reduction of the error  
2492 well below 1 GeV will require a more careful theoretical analysis giving the relation  
2493 of the mass parameter used in these measurements to a more precise top quark mass  
2494 definition.

2495 Within the Standard Model the total decay width  $\Gamma_t$  of the top quark is dominated  
2496 by the partial decay width  $\Gamma(t \rightarrow Wb)$ . The  $t$  quark width is predicted to  $\Gamma_t \approx$   
2497  $1.5 \text{ GeV}$ , which is substantially larger than the hadronization scale  $\Lambda_{\text{QCD}}$ . On the  
2498 other hand, this value is small enough that it is not expected to be directly measured  
2499 at the LHC.

2500 At hadron colliders the decay width can be determined via

$$\Gamma_t = \Gamma(t \rightarrow Wb)/BR(t \rightarrow Wb) . \quad (80)$$

2501 The partial width  $\Gamma(t \rightarrow Wb)$  is determined from the cross section for single top events  
2502 while the branching ratio  $BR(t \rightarrow Wb)$  is derived from top pair events. D0 gives a  
2503 value of  $\Gamma_t = 1.99_{-0.55}^{+0.69}$  [12]. CDF uses only the top quark mass spectrum and reports  
2504 the 68% confidence interval to be  $0.3 < \Gamma_t < 4.4$  GeV [13]. It is interesting to note here  
2505 that D0 has published for the ratio of branching ratios  $BR(t \rightarrow Wb)/BR(t \rightarrow Wq)$  a  
2506 value of  $0.9 \pm 0.04$  [14], which is about  $2.5\sigma$  away from the Standard Model expectation.

### 2507 5.1.3 Helicity of the $W$ boson

2508 The  $t$  quark has a very short lifetime of about  $10^{-25}$  s. Since this is about 10 times  
2509 shorter than typical scales for long range QCD processes, the top quark decays long  
2510 before hadronization can affect it. Therefore, the structure of the  $t$  quark decay is  
2511 very close to that of a bare quark. Within the Standard Model, the top quark decays  
2512 almost exclusively via  $t \rightarrow W^+b$ . The V-A nature of the weak decay dictates that  
2513 the resulting  $b$  quark is almost completely left handed polarized. It also dictates  
2514 the polarization of the  $W$  boson, which in turn can be measured by observing the  
2515  $W$  decay. The prediction is that the  $W$  is produced only in the left-handed and  
2516 longitudinal polarization states, with the fraction of longitudinal  $W$  bosons predicted  
2517 to be

$$f_0 = \frac{m_t^2}{2m_W^2 + m_t^2} . \quad (81)$$

2518 The Standard Model predicts a value of  $f_0 = 0.703$ . The CDF experiment measures  
2519 this value to be  $f_0 - 0.78_{-0.20}^{+0.19}(\text{stat.}) \pm 0.06(\text{syst.})$  [15], in agreement with the Standard  
2520 Model. The most precise measurements of this value have been achieved with events  
2521 in which both the  $W$  boson from the  $t$  and the one from the  $\bar{t}$  decay into leptons.

### 2522 5.1.4 Top coupling to $Z^0$ and $\gamma$

2523 It is particularly interesting to study the coupling of the top quark to  $\gamma$  and the  $Z^0$   
2524 boson to search for effects of new physics. Both of these couplings are subdominant  
2525 effects at hadron colliders. The electroweak production of  $t\bar{t}$  is suppressed with respect  
2526 to QCD production, and this is especially true at the LHC where most of the  $t\bar{t}$   
2527 production comes from gluon-gluon fusion. Radiation of photons from  $t\bar{t}$  has been  
2528 observed at the Tevatron. So far no precision measurements on the coupling of top  
2529 quarks to the  $Z^0$  boson have been reported.



2530 Constraints on the top quark couplings to  $\gamma$  and  $Z^0$  have been reported using the  
 2531 expression for the couplings [16]

$$\Gamma_{\mu}^{ttX}(k^2, q, \bar{q}) = ie \left\{ \gamma_{\mu} \left( \tilde{F}_{1V}^X(k^2) + \gamma_5 \tilde{F}_{1A}^X(k^2) \right) + \frac{(q - \bar{q})_{\mu}}{2m_t} \left( \tilde{F}_{2V}^X(k^2) + \gamma_5 \tilde{F}_{2A}^X(k^2) \right) \right\}. \quad (82)$$

2532 where  $X = \gamma, Z$  and the  $\tilde{F}$  are related to the usual form factors  $F_1, F_2$  by

$$\tilde{F}_{1V}^V = - (F_{1V}^V + F_{2V}^V), \quad \tilde{F}_{2V}^V = F_{2V}^V, \quad \tilde{F}_{1A}^V = -F_{1A}^V, \quad \tilde{F}_{2A}^V = -iF_{2A}^V. \quad (83)$$

2533 In the Standard Model the only form factors which are different from zero are  
 2534  $F_{1V}^{\gamma}(k^2)$ ,  $F_{1VZ}(k^2)$  and  $F_{1AZ}(k^2)$ .  $F_{1V}^{\gamma,Z}(k^2)$  are the electric and weak magnetic dipole  
 2535 moment (MDM) form factors.

2536  $F_{2A}^{\gamma}(k^2)$  is the CP-violating electric dipole moment (EDM) form factor of the t  
 2537 quark, and  $F_{2AZ}(k^2)$  is the weak electric dipole moment (WDM). These two form  
 2538 factors violate CP. In the Standard Model they receive contributions only from the  
 2539 three loop level and beyond.

2540 In the case of the  $t\bar{t}Z^0$  final state, relatively clean measurements are expected  
 2541 when the  $Z^0$  decays leptonically. However, the cross section is quite small, so that  
 2542 meaningful results with precision of about 10% for  $F_{1A}^{Z^0}$  and 40% for  $F_{2V,A}^{Z^0}$  can only be  
 2543 expected after a few  $100 \text{ fb}^{-1}$ . At the SLHC, with an integrated luminosity of about  
 2544  $3000 \text{ fb}^{-1}$ , the precision of this measurement is expected to improve by factors between  
 2545 of 1.6  $F_{2V,A}^{Z^0}$  and 3 for  $F_{1A}^{Z^0}$  5.3 The situation is considerably better for measurements  
 2546 of the  $t\bar{t}\gamma$  vertex. Already for  $30 \text{ fb}^{-1}$  at the LHC, measurements with a precision of  
 2547 about 20% to 35% can be expected. These measurements may improve at the SLHC  
 2548 to values between 2% and 10%

2549 For the related question of the coupling of the top quark to the Higgs boson, both  
 2550 the LHC expectations and the projections for the ILC are discussed in Section 2 of  
 2551 this report.

### 2552 5.1.5 Asymmetries at hadron colliders

2553 The last few years were marked by a number of publications from the Tevatron exper-  
 2554 iments which reported on tensions with Standard Model predictions in the measure-  
 2555 ment of forward backward asymmetries  $A_{FB}$ . This observable counts the difference in  
 2556 the number of events in the two hemispheres of the detector. In hadronic collisions,  
 2557 the polar angle is typically reported in terms of the rapidity  $y$ , which is invariant  
 2558 under longitudinal boosts and more descriptive at very forward and backward angles.  
 2559 For the study of For the analysis here and at the LHC, see below, at least one mem-  
 2560 ber of the  $t\bar{t}$  pair is required to decay leptonically to assure the particle identification.

2561 The average asymmetry reported by CDF is  $0.201 \pm 0.065$  (stat.)  $\pm 0.018$  (syst.) [19]  
 2562 which agrees with  $0.196 \pm 0.060$  (stat.)  $_{-0.026}^{+0.018}$  (syst.) as reported by D0 [20]. These val-  
 2563 ues can be compared with an asymmetry of about 0.07 predicted by the to Standard  
 2564 Model from NLO QCD and electroweak effects. This result is difficult to verify at the  
 2565 LHC. The LHC is a proton-proton collider, so the two hemispheres are intrinsically  
 2566 symmetric. Further, at the LHC at 7 TeV, only 15% of the interactions arise from  $q\bar{q}$   
 2567 collisions; the 85%, from  $gg$  collisions, can have no intrinsic asymmetry. Still, in  $q\bar{q}$   
 2568 collisions at the LHC, it is likely that the  $q$  is a valence quark while the  $\bar{q}$  is pulled  
 2569 from the sea. This implies that  $t\bar{t}$  pairs produced from  $q\bar{q}$  are typically boosted in the  
 2570 direction of the  $q$ . This offers methods to observe a forward backward asymmetry in  
 2571  $q\bar{q} \rightarrow t\bar{t}$ . For example, a forward-backward asymmetry in the  $q\bar{q}$  reaction translates  
 2572 into a smaller asymmetry  $A_C$  in the variable  $\Delta|y| = |y_t| - |y_{\bar{t}}|$ . For this observable,  
 2573 CMS measures  $A_C = 0.004 \pm 0.010$  (stat.)  $\pm 0.012$  (syst.) [21], which agrees within the  
 2574 Standard Model predictions within the relatively large uncertainties. So far, the LHC  
 2575 experiments have not provided any independent evidence for asymmetries outside the  
 2576 Standard Model predictions [3,22]. The theoretical interpretation of these asymme-  
 2577 tries is also very uncertain. Many plausible models of the  $t\bar{t}$  asymmetry predict effects  
 2578 in top quark physics at high energy that are excluded at the LHC. For a review of  
 2579 the current situation, see [24,25].

## 2580 5.2 $e^+e^- \rightarrow t\bar{t}$ at Threshold

### 2581 5.2.1 Status of QCD Theory

2582 One of the unique capabilities of an  $e^+e^-$  linear collider is the ability to carry out  
 2583 cross section measurements at particle production thresholds. The accurately known  
 2584 and readily variable beam energy of the ILC makes it possible to measure the shape  
 2585 of the cross section at any pair-production threshold within its range. Because of  
 2586 the leptonic initial state, it is also possible to tune the initial spin state, giving  
 2587 additional options for precision threshold measurements. The  $t\bar{t}$  pair production  
 2588 threshold, located at a center of mass energy energy  $\sqrt{s} \approx 2m_t$ , allows for precise  
 2589 measurements of the top quark mass  $m_t$  as well as the top quark total width  $\Gamma_t$  and the  
 2590 QCD coupling  $\alpha_s$ . Because the top is a spin- $\frac{1}{2}$  fermion, the  $t\bar{t}$  pair is produced in an  
 2591 angular  $S$ -wave state. This leads to a clearly visible rise of the cross section even when  
 2592 folded with the ILC luminosity spectrum. Moreover, because the top pair is produced  
 2593 in a color singlet state, the experimental measurements can be compared with very  
 2594 accurate and unambiguous analytic theoretical predictions of the cross section with  
 2595 negligible hadronization effects. The dependence of the top quark cross section shape  
 2596 on the top quark mass and interactions is computable to high precision with full  
 2597 control over the renormalization scheme dependence of the top mass parameter. In

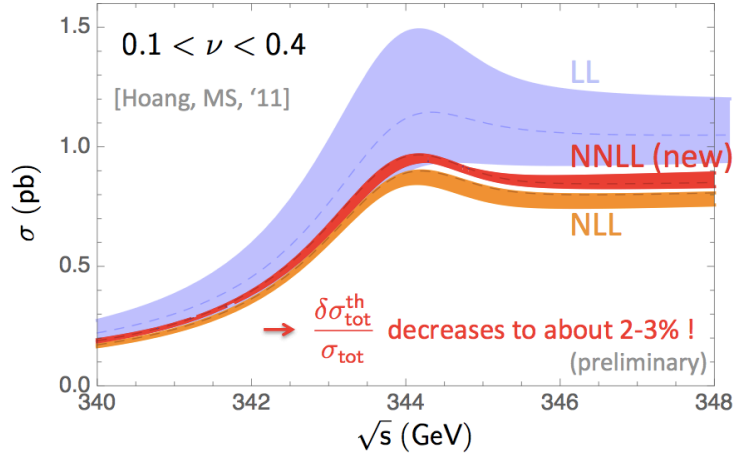


Figure 33: Accuracy on the prediction of the top pair production cross section at the  $t\bar{t}$  threshold at the ILC as achieved by recent calculations of QCD corrections (NNLL). For further explanations see text. The figure has been taken from [32]

2598 this section, we will review the expectations for the theory and ILC measurements  
 2599 of the top quark threshold cross section shape. The case of the top quark threshold  
 2600 is not only important in its own right but also serves as a prototype case for other  
 2601 particle thresholds that might be accessible at the ILC.

2602 The calculation of the total top pair production cross section makes use of the  
 2603 method of non-relativistic effective theories. The top quark mass parameter used in  
 2604 this calculation is defined at the scale of about 10 GeV corresponding to the typical  
 2605 physical separation of the  $t$  and  $\bar{t}$ . This mass parameter can be converted to the  $\overline{MS}$   
 2606 mass in a controlled way. The summation of QCD Coulomb singularities treated by a  
 2607 non-relativistic fixed-order expansion is well known up to NNLO [26] and has recently  
 2608 been extended accounting also for NNNLO corrections [27]. Large velocity QCD log-  
 2609 arithms have been determined using renormalization-group-improved non-relativistic  
 2610 perturbation theory up to NLL order, with a partial treatment of NNLL effects [28,29].  
 2611 Recently the dominant ultrasoft NNLL corrections have been completed [30]. The  
 2612 accuracy in this calculation is illustrated in Fig. 33.

2613 Since the top quark kinetic energy is of the order of the top quark width, elec-  
 2614 troweak effects, which also include finite-lifetime and interference contributions, are  
 2615 crucial as well. This makes the cross section dependent on the experimental prescrip-  
 2616 tion concerning the reconstructed final state. Recently a number of partial results  
 2617 have been obtained. [31,34], which put approximate NNLL order predictions within  
 2618 reach. Theoretical predictions for differential cross sections such as the top momen-  
 2619 tum distribution and forward-backward asymmetries are only known at the NNLO

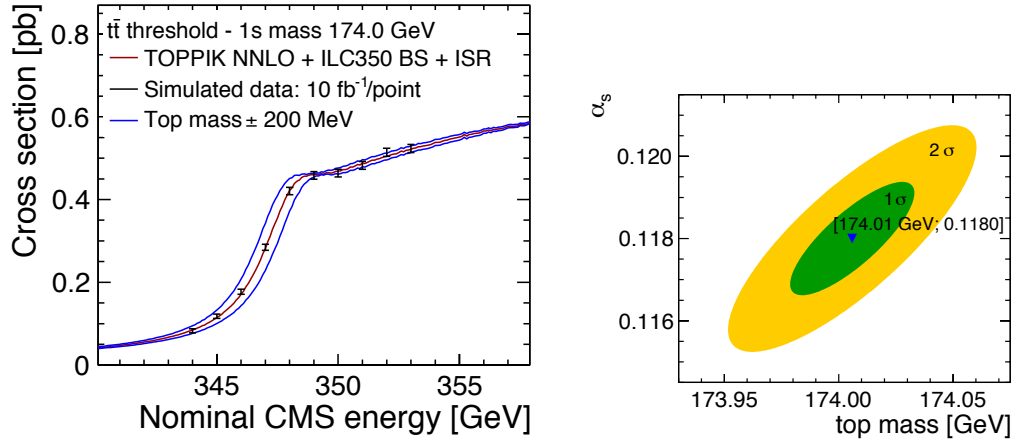


Figure 34: Illustration of a top quark threshold measurement at the ILC. In the simulation, the top quark mass has been chosen to be 174. GeV. The blue lines show the effect of varying this mass by 200 MeV. The study is based on full detector simulation and takes initial state radiation (ISR) and beamstrahlung (BS) and other relevant machine effects into account: (left) the simulated threshold scan. (right) error ellipse for the determination of  $m_t$  and  $\alpha_s$ . The figure is taken from [37].

2620 level and are thus much less developed.

### 2621 5.2.2 Simulations and Measurements

2622 The most thorough experimental study of the top quark threshold has been carried  
 2623 out by Martinez and Miquel in [35]. These authors assumed a total integrated lumi-  
 2624 nosity of 300 fb<sup>-1</sup>, distributed over 10 equidistant energy points in a 10 GeV range  
 2625 around the threshold, using the TELSAs beam parameters. To treat the strong cor-  
 2626 relation of the input theory parameters, simultaneous fits were carried out for the  
 2627 top quark mass, the QCD coupling and the top quark width from measurements of  
 2628 the total cross section, the top momentum distributions and the forward-backward  
 2629 asymmetry. These were simulated based on the code TOPPIK with NNLO correc-  
 2630 tions [36]. The study obtained the uncertainties  $\Delta m_t = 19$  MeV,  $\Delta \alpha_s(m_Z) = 0.0012$   
 2631 and  $\Delta \Gamma_t = 32$  MeV, when all observables were accounted for. Using just the total  
 2632 cross section measurements, the results were  $\Delta m_t = 34$  MeV,  $\Delta \alpha_s(m_Z) = 0.0023$   
 2633 and  $\Delta \Gamma_t = 42$  MeV. The difference shows the discriminating power of additional  
 2634 observables of the threshold region. The analysis included a theory uncertainty in  
 2635 the cross section codes of 3%, which at this time is only approached for total cross  
 2636 section computations. Although the analysis was only based on fixed order NNLO

2637 predictions, the quoted uncertainties should be realistic.

2638 The analysis in [35] did not yet include a complete study of experimental sys-  
2639 tematic uncertainties, including, in particular, uncertainties in the knowledge of the  
2640 luminosity spectrum. This last point is addressed in a more recent study by Seidel,  
2641 Simon, and Tesar, for which the results are shown in Fig. 34 [37]. That study was  
2642 carried with a full detector simulation using the ILC detector. It takes the initial state  
2643 radiation and beamstrahlung of the colliding beams into account. The figure under-  
2644 lines the high sensitivity of the threshold region to the actual value of the  $t$  quark  
2645 mass. The statistical precision obtained on the  $t$  quark mass in this study is of the  
2646 order of 30 MeV. Due to the QCD corrections relevant for a precise calculation of the  
2647  $t$  quark mass, the threshold scan is sensitive to the value of  $\alpha_s$ . The error ellipse as  
2648 obtained in a combined determination of  $\alpha_s$  and  $m_t$  is shown in the right-hand panel  
2649 of Fig. 34.

2650 The threshold top quark mass determined in this study must still be converted  
2651 to the standard top quark  $\overline{MS}$  mass. The conversion formula, to three-loop order,  
2652 is given in [36]. The conversion adds an error of about 100 MeV from truncation of  
2653 the QCD perturbation series and an error of 70 MeV for each uncertainty of 0.001  
2654 in the value of  $\alpha_s$ . Both sources of uncertainty should be reduced by the time of  
2655 the ILC running. In particular, the study of event shapes in  $e^+e^- \rightarrow q\bar{q}$  at the high  
2656 energies available at ILC should resolve current questions concerning the precision  
2657 determination of  $\alpha_s$ . We recall that these estimates are the results of a precision  
2658 theory of the relation between the threshold mass and the top quark  $\overline{MS}$  mass. A  
2659 comparable theory simply does not exist for the conversion of the top quark mass  
2660 measured in hadronic collisions to the  $\overline{MS}$  value.

2661 In principle, the contribution of the Higgs exchange potential to the  $t\bar{t}$  threshold  
2662 makes it possible to measure that Higgs coupling to  $t\bar{t}$ . However, the precision of this  
2663 measurement is strongly limited by the fact that the Higgs corrections are suppressed  
2664 by the inverse square of the Higgs mass. For a Higgs mass of  $m_H = 120$  GeV the  
2665 study in [35] found that uncertainties of at least several 10% should be expected  
2666 in a measurement of the top quark Higgs Yukawa coupling. This coupling can be  
2667 measured more accurately from the cross section for  $e^+e^- \rightarrow t\bar{t}h$ , as is explained in  
2668 Section 2.6 and 2.7 of this report.

### 2669 5.3 Probing the top quark vertices at the ILC

2670

2671 At higher energy, the study of  $t\bar{t}$  pair production at the ILC is the idea setting in  
2672 which to make precise measurements of the the coupling of the  $t$  quark to the  $Z^0$  boson  
2673 and the photon. In contrast to the situation at hadron colliders, the leading-order pair

2674 production process  $e^+e^- \rightarrow t\bar{t}$  goes directly through the  $t\bar{t}Z^0$  and  $t\bar{t}\gamma$  vertices. There  
2675 is no concurrent QCD production of top pairs, which increases greatly the potential  
2676 for a clean measurement. In the following section, we will review the importance of  
2677 measuring these couplings precisely. Then we will describe studies of the experimental  
2678 capabilities of the ILC to perform these measurements.

### 2679 5.3.1 Models with Top and Higgs Compositeness

2680 There are several classes of models that seek to answer the question of where the  
2681 Higgs boson comes from and why it acquires a symmetry-breaking vacuum expectation  
2682 value. Among these is supersymmetry, which will have its own discussion in Section  
2683 7 of this report. An alternative point of view is that the Higgs boson is a composite  
2684 state within a larger, strongly interacting theory at the TeV scale. Though the first  
2685 models of this type contained no light Higgs bosons, there are now many models in  
2686 which theories of this type naturally contain a light Higgs boson very similar to the  
2687 Higgs boson of the Standard Model coupling to new heavy particles at the TeV mass  
2688 scale. In Sections 2 and 3, we have described tests of models of this type at the ILC  
2689 in the Higgs boson and  $W$  boson sectors.

2690 The top quark is the heaviest known particle that derives its mass entirely from  
2691 electroweak symmetry breaking. Thus, any composite structure of the Higgs boson  
2692 must be reflected in composite structure or non-Standard interactions of the top  
2693 quark. While such interactions may exist, they may not be easy to find. The coupling  
2694 of the top quark to the gluon and the photon are constrained at  $Q^0 = 0$  by  
2695 requirements from exact QCD and QED gauge invariance. However, the low-energy  
2696  $t\bar{t}Z$  vertex is much less constrained. It is then likely that this is the crucial place to  
2697 look for deviations from the Standard Model induced by a strongly interacting Higgs  
2698 sector.

2699 Models of composite Higgs bosons can be constructed in three ways that seem  
2700 at first sight to be distinctly different. The Higgs bosons may be Goldstone bosons  
2701 associated with strong-interaction symmetry breaking at the 10 TeV energy scale,  
2702 as in Little Higgs models. They may arise as partners of gauge bosons in theories  
2703 with an extra space dimension, as in Gauge-Higgs Unification. Or, they may arise  
2704 in extra-dimensional theories as states confined to a lower-dimensional subspace or  
2705 ‘brane’. Randall and Sundrum constructed a model of the last type [38] but also  
2706 argued that all three classes of models are related by strong coupling-weak coupling  
2707 duality [39]. That is, it is possible to view the extra-dimensional models as tools  
2708 that allow weak coupling calculations of effects that are intrinsically manifestations  
2709 of strong coupling and composite state dynamics.

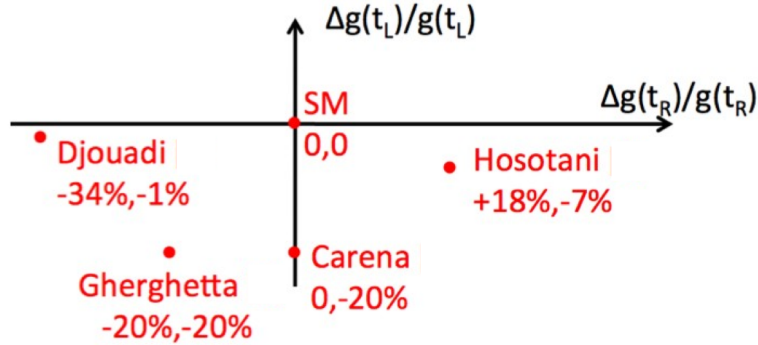


Figure 35: Predictions of various groups [40,42,43,44] on deviations from Standard Model couplings of the  $t$  quark within Randall-Sundrum Models. The cartoon is taken from [47].

2710 The Randall-Sundrum approach also includes a model explanation of the hierarchy  
 2711 of Higgs-fermion Yukawa couplings. This is one of the most mysterious aspects of the  
 2712 Standard Model, reflected in the fact that the top quark and the up quark have exactly  
 2713 the same quantum numbers but differ in mass by a factor of  $10^5$ . The extra dimension  
 2714 offers the possibility that the different flavors of fermion have wavefunction of different  
 2715 shape in the full space, and therefore different overlap with the wavefunction of the  
 2716 Higgs boson. In general, also, the right and left chiral components of each quark and  
 2717 lepton may have wavefunctions with different dependence on the extra dimensions. It  
 2718 is a typical prediction of Randall-Sundrum theories that the chiral components of the  
 2719 top quark have wavefunctions in the fifth dimension significantly different from those  
 2720 of the other quark, and significant different from one another, with the wavefunction  
 2721 of the right-handed top quark shifted significantly toward the low-energy boundary of  
 2722 the space, called the ‘TeV brane’, where the Higgs field is located. These difference of  
 2723 the wavefunction are reflected directly in couplings of the top quark to the  $Z^0$  that are  
 2724 shifted from the values predicted in the Standard Model, with larger shifts specifically  
 2725 for the right-handed top quark. Figure 35 collects a number of predictions of the  
 2726 fractional shift in the  $t_L$  and  $t_R$  coupling to the  $Z^0$  in a variety of models proposed  
 2727 in the literature.

2728 Models with extra-dimensions may also be suited to explain the tensions observed  
 2729 at the Tevatron discussed in Section 5.1.5. The top forward-backward asymmetry  
 2730 may, for example, be explained by a new color octet vector boson  $G_\mu$ , which couples  
 2731 weakly to light quarks but strongly to the  $t$  quark. This difference is required in order  
 2732 to suppress ordinary dijet production from the new colour-octet state. The difference  
 2733 in the coupling can be realised by the arrangement of the  $t$  quark wavefunction along  
 2734 the extra-dimension [25].

2735 5.3.2 ILC measurements

2736 In the previous section, we have described theories in which the top quark and Higgs  
2737 boson are composite, with this compositeness being an essential element of the physics  
2738 of electroweak symmetry breaking. A key test of this idea would come from the  
2739 measurement of the  $t\bar{t}Z$  couplings, where significant deviations from the predictions  
2740 of the Standard Model would be expected. The ILC provides an ideal environment to  
2741 measure these couplings. At the ILC  $t\bar{t}$  pairs would be copiously produced, about 570  
2742 kEvents for an integrated luminosity of  $500\text{ fb}^{-1}$ . The production is by  $s$ -channel  $\gamma$   
2743 and  $Z$  exchange, so the  $Z$  couplings enter the cross section in order 1. It is possible to  
2744 almost entirely eliminate the background from other Standard Model processes. The  
2745 ILC will allow for polarized electron and positron beams. This allows us to measure  
2746 not only the total cross section for  $t\bar{t}$  production but also the left-right asymmetry  
2747  $A_{LR}$ , the change in cross-section for different beam polarization. For the  $b$  quark,  
2748 the precision electroweak measurements of  $A_{LR}$  and forward-backward asymmetries  
2749 contain a  $3\sigma$  discrepancy that has yet to be resolved [41]. If this effect is real, it is  
2750 likely to be larger for the heavy  $t$  quark.

2751 With the use of polarized beams,  $t$  and  $\bar{t}$  quarks oriented toward different angular  
2752 regions in the detector are enriched in left-handed or right-handed polarization [45].  
2753 This means that the experiments can independently access the couplings of left- and  
2754 right-handed polarized quarks to the  $Z$  boson. In principle, measurement of the  
2755 cross section and forward-backward asymmetry for two different polarization settings  
2756 measures both the photon and  $Z$  couplings of the top quark for each handedness.  
2757 New probes of the top quark decay vertices are also available, although we expect  
2758 that these will already be highly constrained by the LHC measurements of the  $W$   
2759 polarization in top decay.

2760 Recent studies based on full simulation of ILC detectors for a centre-of-mass energy  
2761 of  $\sqrt{s} = 500\text{ GeV}$  demonstrate that a precision on the determination of the couplings  
2762 the left and the right chiral parts of the  $t$  quark wave function to the  $Z^0$  of up  
2763 to 1% can be achieved [46,47,48]. An example for such a study with full detector  
2764 simulation is shown in Figure 36. The figure demonstrates the clean reconstruction  
2765 of the  $t$  quark direction, which allows for the precise determination of the forward-  
2766 backward asymmetry. It has to be noted however, that the final state gives rise  
2767 to ambiguities in the correct association of the  $b$  quarks to the  $W$  bosons, see [48]  
2768 for an explanation. These ambiguities can be nearly eliminated by requiring a high  
2769 quality of the event reconstruction. The elimination comes however at the expense  
2770 of a relatively small efficiency. The optimization of the selection criteria in order to  
2771 improve the efficiency is work in progress. Another solution is the use of the vertex  
2772 charge to separate the  $t$  and  $\bar{t}$  decays. It is shown in [46] that the high efficiency of



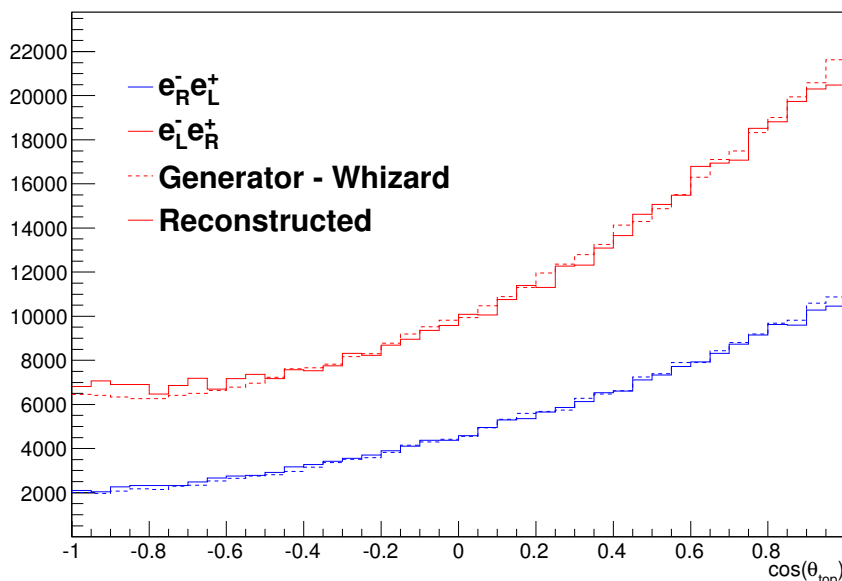


Figure 36: Reconstruction of the direction of the  $t$  quark for two different beam polarizations. The population in the two different hemispheres w.r.t. the polar angle  $\theta_{top}$  allows for the measurement of the forward-backward asymmetry  $A_{FB}$ . The plot shown is an update of one presented in [48]. Note that the figure does not include background, however, it is known from the studies in [47] that the background is negligible.

2773 vertex tagging in the ILC detectors will make this strategy available.

2774 A precision of the order of 5% or better would allow for a clear distinction of  
 2775 e.g. the models indicated in Figure 35 which supports the high discriminative power  
 2776 which would be provided by the ILC. The results also support the superiority of ILC  
 2777 measurements with respect to the form factors introduced above.

2778 Even more incisive measurements than presented so far using optimised observ-  
 2779 ables are investigated in [49]. Four independant quantities are measured to disentangle  
 2780 the coupling of the top quark to the photon and to the  $Z$ . These quantities are the  
 2781 top pair production cross-section for left and right-handed polarised beams and the  
 2782 fraction of right-handed ( $t_R$ ) and left handed top quarks ( $t_L$ ). Following a suggestion  
 2783 by [50] for the TEVATRON, the fraction of  $t_L$  and  $t_R$  in a given sample can be de-  
 2784 termined with the helicity asymmetry. In the top quark rest frame the distribution  
 2785 of the polar angle  $\theta_{hel}$  of a decay lepton is

$$\frac{1}{\Gamma} \frac{d\Gamma}{d\cos\theta_{hel}} = \frac{1 + \lambda_t \cos\theta_{hel}}{2} \quad (84)$$

2786 where  $\lambda_t$  varies between +1 and -1 depending on the fraction of right-handed ( $t_R$ )  
 2787 and left handed top quarks ( $t_L$ ). The observable  $\cos\theta_{hel}$  can easily be measured at

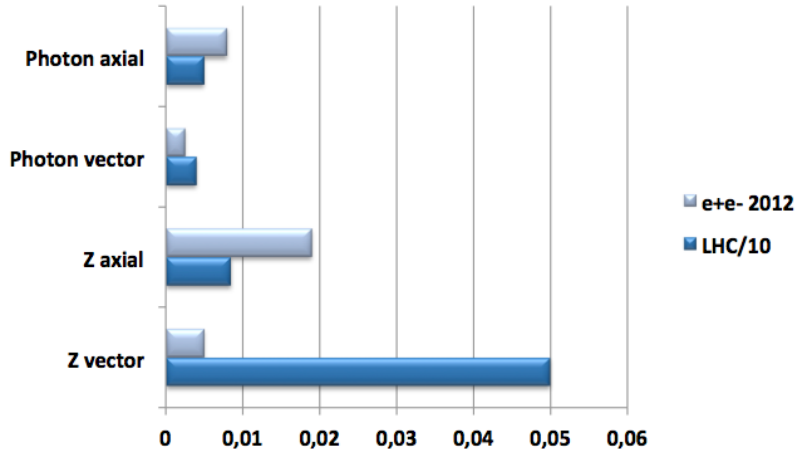


Figure 37: Comparison of precisions expected at the LHC after an integrated luminosity of  $\mathcal{L} = 300 \text{ fb}^{-1}$  and at the ILC. for vector and axial-vector couplings  $\tilde{F}_{1V,A}^{\gamma,Z}$  of the top to photon and  $Z$ . The results for the ILC are obtained in a study based on the measurement of polarized cross sections and the fraction of left and right handed top quarks [49]. This study was carried out for an integrated luminosity of  $\mathcal{L} = 500 \text{ fb}^{-1}$  at  $\sqrt{s} = 500 \text{ GeV}$  and a beam polarization of  $P_{e^{-,+}} = \pm 0.8, \mp 0.3$ .

2788 the ILC. Note, that this observable is much less sensitive to ambiguities in the event  
 2789 reconstruction than e.f. the forward backward asymmetry. The slope of the resulting  
 2790 linear distribution provides hence a very robust measure of the net polarisation of a  
 2791 top quark sample. This net polarization is sensitive to new physics. In Figure 37 the  
 2792 precision on the form factors expected from the LHC and that from the ILC using are  
 2793 compared with each other. The numerical values are given in Table 12, which repeats  
 2794 also the result of an earlier linear collider study [23] based on the forward backward  
 2795 asymmetry and in which only one form factor at a time was varied.

### 2796 5.3.3 An example: the Randall Sundrum scenario

2797 To illustrate the potential of the present analysis, one can invoke the Randall Sun-  
 2798 drum scenario [38] which attributes to the top quark, and perhaps also to the  $b$   
 2799 quark, increased couplings to Kaluza Klein particles predicted in this extra dimen-  
 2800 sion scheme. Following a possible interpretation of the two anomalies observed on  
 2801 forward-backward asymmetry for  $b$  quarks  $A_{FB,b}$  at LEP1 [40] and for top quarks  
 2802  $A_{FB,t}$  at the Tevatron [51] one can predict some relevant parameters of the Randall  
 2803 Sundrum scenario. The Figure 38 shows the expected modifications of the helicity  
 2804 angle distributions within this scenario. One sees that both the slopes and total cross  
 2805 sections are deeply modified in this scenario for the two polarizations. As explained

coupling	LHC	$e^+e^-$ [23]	$e^+e^-$ [49]
	$\mathcal{L} = 300 \text{ fb}^{-1}$	$P_{e^-} = \pm 0.8$	$\mathcal{L} = 500 \text{ fb}^{-1}, P_{e^-,+} = \pm 0.8, \mp 0.3$
$\Delta \tilde{F}_{1V}^\gamma$	+0.043 -0.041	+0.047 -0.047, $\mathcal{L} = 200 \text{ fb}^{-1}$	+0.003 -0.003
$\Delta \tilde{F}_{1A}^\gamma$	+0.051 -0.048	+0.011 -0.011, $\mathcal{L} = 100 \text{ fb}^{-1}$	+0.009 -0.009
$\Delta \tilde{F}_{1V}^Z$	+0.24 -0.62	+0.012 -0.012, $\mathcal{L} = 200 \text{ fb}^{-1}$	+0.005 -0.005
$\Delta \tilde{F}_{1A}^Z$	+0.052 -0.060	+0.013 -0.013, $\mathcal{L} = 100 \text{ fb}^{-1}$	+0.019 -0.019
$\Delta \tilde{F}_{2V}^\gamma$	+0.038 -0.035	+0.038 -0.038, $\mathcal{L} = 200 \text{ fb}^{-1}$	n.a.
$\Delta \tilde{F}_{2A}^\gamma$	+0.16 -0.17	+0.014 -0.014, $\mathcal{L} = 100 \text{ fb}^{-1}$	n.a.
$\Delta \tilde{F}_{2V}^Z$	+0.27 -0.19	+0.009 -0.009, $\mathcal{L} = 200 \text{ fb}^{-1}$	n.a.
$\Delta \tilde{F}_{2A}^Z$	+0.28 -0.27	+0.052 -0.052, $\mathcal{L} = 100 \text{ fb}^{-1}$	n.a.

Table 12: Sensitivities achievable at 68.3% CL for the anomalous  $ttV$  ( $V = \gamma, Z$ ) couplings  $\tilde{F}_{1V,A}^V$  and  $\tilde{F}_{2V,A}^V$  of Eq. (82) at the LHC for integrated luminosities  $\mathcal{L}$  of  $300 \text{ fb}^{-1}$ , and the ILC with  $\sqrt{s} = 500 \text{ GeV}$  and different luminosities and beam polarisations. In the study taken from Ref. [23] only one coupling at a time is allowed to deviate from its SM value. In the recent study [49] in the last column the four couplings  $\tilde{F}_1$  have been determined simultaneously.

2806 previously, these LC measurement will allow to fully disentangle the influence of ef-  
2807 fects due to the Randall Sundrum model on the  $Z$  and photon couplings to top quarks  
2808 allowing for an unambiguous understanding of the origin of these modifications. It  
2809 can also be shown that by running at two energies, for instance  $500 \text{ GeV}$  and  $1 \text{ TeV}$ ,  
2810 one can fully extract the parameters of the model as, for instance the Kaluza Klein  
2811 mass which can be measured with a  $\sim 1\%$  precision.

2812 When the Kaluza Klein particles become very heavy, ILC at  $500 \text{ GeV}$  can observe  
2813  $>3$  standard deviations on top couplings for masses which depend on the details of  
2814 the model but typically range between  $4$  to  $48 \text{ TeV}$

## 2815 5.4 Concluding remarks

2816 The top quark could be a window to new physics associated with light composite  
2817 Higgs bosons and strong coupling in the Higgs sector. The key parameters here are  
2818 the electroweak couplings of the top quark. We have demonstrated that the ILC  
2819 offers unique capabilities to access these couplings and measure them to the required  
2820 high level of precision.

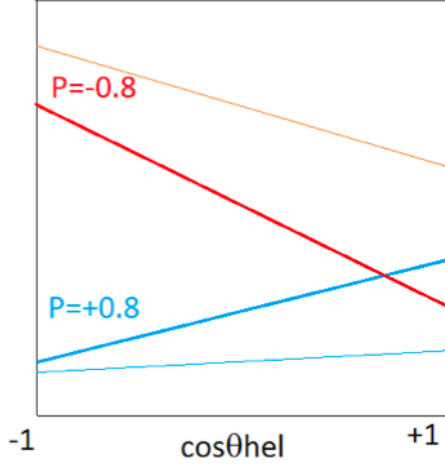


Figure 38: Schematic view on the distributions of the helicity angle  $\cos\theta_{hel}$  as expected from the Standard Model (thick lines) and their modifications by the Randall Sundrum framework (thin lines) as described in the text. The study assumes an integrated luminosity of  $\mathcal{L} = 500 \text{ fb}^{-1}$  at  $\sqrt{s} = 500 \text{ GeV}$  and a beam polarization of  $P_{e^{-,+}} = \pm 0.8, \mp 0.3$ .

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## 2929 **6 Extended Higgs Sectors**

### 2930 **6.1 Motivation for extended Higgs sectors**

2931 The Higgs sector in the Standard Model (SM) is of the simplest and most minimal  
2932 form, containing one isospin doublet of scalar fields and one physical particle, the  
2933 Higgs boson [1]. In Section 2, we have described the phenomenology of this minimal  
2934 Higgs boson in some detail. However, it must always be kept in mind that the minimal  
2935 model might not be the correct one. There is no principle that requires the Higgs  
2936 sector to be of the minimal form. There are many possibilities for extension of the  
2937 Higgs sector, corresponding to adding further multiplets of scalar fields, which might  
2938 be singlets, doublets, or higher representations of  $SU(2) \times U(1)$ .

2939 In fact, many new physics models, proposed to solve problems with the Standard  
2940 Model or provide missing elements such as dark matter, naturally contain extended  
2941 Higgs sectors. Among the models proposed to solve the gauge hierarchy problem and  
2942 provide mechanism for electroweak symmetry breaking are supersymmetry, Little  
2943 Higgs models, and models such as Gauge-Higgs unification that require new dimen-  
2944 sions of space. Each of these models predicts a light Higgs boson similar to the Higgs  
2945 boson of the Standard Model. In each case, however, this boson is a part of a larger  
2946 Higgs sector with multiple scalar fields and, in the three cases, the details of the ex-  
2947 tension are different. Extended Higgs sectors are also introduced to build models for  
2948 specific phenomena that cannot be explained in the SM, such as baryogenesis, dark  
2949 matter, and neutrino masses.

2950 In Section 6.2 below, we will give an orientation for models with extended Higgs  
2951 sectors, defining the sometimes complex notation and clarifying the spectrum of phys-  
2952 ical Higgs states in various scenarios. In Section 6.3, we will summarize the current  
2953 constraints on these extended Higgs sectors, and the direct searches for extended  
2954 Higgs bosons that can be carried out at the ILC. In Section 6.4, we discuss ILC  
2955 phenomenology of various exotic scenarios for neutrino mass, baryogenesis and dark  
2956 matter which are strongly relevant to extended Higgs sectors. Conclusions are given  
2957 in Section. 6.5.

### 2958 **6.2 General description of extended Higgs sectors**

2959 The simplest examples of an extended Higgs sector are built by the addition of one  
2960  $SU(2) \times U(1)$  singlet or one additional  $SU(2) \times U(1)$  doublet scalar field. The case of  
2961 an additional doublet is especially important. Supersymmetry requires distinct Higgs  
2962 doublets to give mass to the  $u$ - and  $d$ -type quarks, and so the Minimal Supersymmetric  
2963 Standard Model (MSSM) contains an extended Higgs sector [2]. In this section, we



2964 will describe the structure of these and more complicated Higgs sectors and define  
 2965 the parameters needed for a discussion of the phenomenology of these models.

### 2966 6.2.1 The Two Higgs Doublet Model

2967 The Two Higgs Doublet Model (THDM) includes two  $SU(2) \times U(1)$  scalar doublets  
 2968 with  $Y = 1$  [3]. The Higgs doublets can be parameterized as

$$\Phi_i = \left[ \begin{array}{c} w_i^+ \\ \frac{1}{\sqrt{2}}(v_i + h_i + iz_i) \end{array} \right], \quad (i = 1, 2). \quad (85)$$

2969 The most general Higgs potential is parametrized by three mass parameters and 7  
 2970 independent quartic coupling constants.

$$\begin{aligned} V = & m_1^2 |\Phi_1|^2 + m_2^2 |\Phi_2|^2 - (m_3^2 \Phi_1^\dagger \Phi_2 + h.c.) + \frac{1}{2} \lambda_1 |\Phi_1|^4 + \frac{1}{2} \lambda_2 |\Phi_2|^4 + \lambda_3 |\Phi_1|^2 |\Phi_2|^2 \\ & + \lambda_4 |\Phi_1^\dagger \Phi_2|^2 + \frac{1}{2} [\lambda_5 (\Phi_1^\dagger \Phi_2)^2 + \lambda_6 |\Phi_1|^2 \Phi_1^\dagger \Phi_2 + \lambda_7 |\Phi_2|^2 \Phi_1^\dagger \Phi_2 + h.c.]. \end{aligned} \quad (86)$$

2971 The Higgs potential in the MSSM is a special case of this potential in which the  
 2972 quartic couplings are related to the  $SU(2)$  and  $U(1)$  gauge couplings by supersym-  
 2973 metry. The model contains 3 degrees of freedom that are eaten by the  $W^\pm$  and  $Z^0$   
 2974 when their masses are generated through the Higgs mechanism. This leaves over 5  
 2975 physical Higgs bosons, two CP-even scalars  $h$  and  $H$ , one CP-odd scalar  $A$ , and one  
 2976 pair of charged scalars  $H^\pm$ . The mass eigenstates are related to the fields in (85) by  
 2977 mixing angles  $\alpha$  and  $\beta$  according to

$$\begin{aligned} h &= -h_1 \sin \alpha + h_2 \cos \alpha, & H &= h_1 \cos \alpha + h_2 \sin \alpha \\ H^\pm &= w_1^\pm \cos \beta + w_2^\pm \sin \beta, & A &= z_1 \cos \beta + z_2 \sin \beta, \end{aligned} \quad (87)$$

2978 We define  $h$  to be the lighter CP-even boson. The angle  $\beta$  yields the parameter  
 2979  $\tan \beta = v_2/v_1$ .

2980 The two vacuum expectation values  $v_1, v_2$  satisfy

$$v_1^2 + v_2^2 = v^2 = (246 \text{ GeV})^2. \quad (88)$$

2981 The gauge coupling constants for the lighter Higgs boson,  $hZZ$  and  $hWW$ , are given  
 2982 by that of the SM Higgs boson times  $\sin(\beta - \alpha)$ , while those for  $HZZ$  and  $HWW$  are  
 2983 proportional to  $\cos(\beta - \alpha)$ . The scalars  $h$  and  $H$  thus share the Higgs field vacuum  
 2984 expectation value and share the strength of the coupling of  $WW$  and  $ZZ$  to scalar  
 2985 fields. The trilinear couplings  $H^\pm W^\mp Z$ ,  $H^\pm W^\mp \gamma$ ,  $AW^+W^-$ ,  $AZZ$  are zero at tree.

	$\Phi_1$	$\Phi_2$	$u_R$	$d_R$	$\ell_R$	$Q_L, L_L$
Type I	+	-	-	-	-	+
Type II (MSSM like)	+	-	-	+	+	+
Type X (lepton specific)	+	-	-	-	+	+
Type Y (flipped)	+	-	-	+	-	+

Table 13: Four possible  $Z_2$  charge assignments that forbid dangerous flavor-changing neutral current effects in the THDM. [5].

2986 Of the two mass parameters in (86),  $m_1$  and  $m_2$  directly related to  $v_1$  and  $v_2$ . The  
 2987 third parameter  $m_3$  does not drive electroweak symmetry breaking and can potentially  
 2988 be much larger. When

$$M^2 \equiv m_3^2 / \sin \beta \cos \beta \gg v^2, \quad (89)$$

2989 then we approach to the *decoupling limit* where the masses of the added scalar states  
 2990  $H$ ,  $A$ , and  $H^\pm$  become much larger than the mass of  $h$ :

$$m_h^2 \simeq \lambda_i v^2, \text{ (SMlike)}, \quad m_\phi \sim \lambda_i v^2 + M^2, \text{ where } \phi = H, A, \text{ and } H^\pm, \quad (90)$$

2991 with  $\sin(\beta - \alpha) \simeq 1$  [4]. In this case, the phenomenology of  $h$  is similar to that of the  
 2992 SM Higgs boson except for small deviations in the Higgs boson couplings. However,  
 2993 it is not necessary that the additional bosons be heavy, and, in this case, there is  
 2994 room for substantial mixing between  $h$  and  $H$ .

2995 In the THDM, both the doublets can in principle couple to fermions, and this can  
 2996 lead to dangerous flavor-changing neutral current couplings. A well-known way to  
 2997 suppress these couplings is to impose a softly broken  $Z_2$  symmetry so that only one  
 2998 of the two Higgs doublets gives mass to the  $u$ -type quarks, the  $d$ -type quarks, and to  
 2999 the leptons. The various possible assignments lead to four distinct models, displayed  
 3000 in Table 13 [5,6,7]. In the MSSM, supersymmetry requires the Type II assignment,  
 3001 with one doublet giving mass to the  $u$  quarks and the other to the  $d$  quarks and the  
 3002 charged leptons. In more general models, though, all four possibilities are open. The  
 3003 Yukawa interactions for these models are expressed as

$$\begin{aligned} \mathcal{L}_{THDM}^Y = & - \sum_{f=u,d,e} \left( \frac{m_f}{v} \xi_h^f \bar{f} f h + \frac{m_f}{v} \xi_H^f \bar{f} f H + i \frac{m_f}{v} \xi_A^f \bar{f} \gamma_5 f A \right) \\ & - \left[ \sqrt{2} V_{ud} \bar{u} \left( \frac{m_u}{v} \xi_A^u P_L + \frac{m_d}{v} \xi_A^d P_R \right) d H^+ + \frac{\sqrt{2} m_\ell \xi_A^\ell}{v} \bar{\nu}_L e_R H^+ + h.c. \right], \quad (91) \end{aligned}$$

3004 where  $P_{L/R}$  are projection operators for left-/right-handed fermions, and the factors  
 3005  $\xi_\phi^f$  are listed in Table 14.

3006 The decays of the Higgs bosons in the THDM depend on the model chosen for  
 3007 the Yukawa interactions. When  $\sin(\beta - \alpha) = 1$  [4], the decay pattern of  $h$  is almost

	$\xi_h^u$	$\xi_h^d$	$\xi_h^e$	$\xi_H^u$	$\xi_H^d$	$\xi_H^\ell$	$\xi_A^u$	$\xi_A^d$	$\xi_A^\ell$
Type I	$\frac{\cos \alpha}{\sin \beta}$	$\frac{\cos \alpha}{\sin \beta}$	$\frac{\cos \alpha}{\sin \beta}$	$\frac{\sin \alpha}{\sin \beta}$	$\frac{\sin \alpha}{\sin \beta}$	$\frac{\sin \alpha}{\sin \beta}$	$-\cot \beta$	$\cot \beta$	$\cot \beta$
Type II	$\frac{\cos \alpha}{\sin \beta}$	$-\frac{\sin \alpha}{\cos \beta}$	$-\frac{\sin \alpha}{\cos \beta}$	$\frac{\sin \alpha}{\sin \beta}$	$\frac{\cos \alpha}{\cos \beta}$	$\frac{\cos \alpha}{\cos \beta}$	$-\cot \beta$	$-\tan \beta$	$-\tan \beta$
Type X	$\frac{\cos \alpha}{\sin \beta}$	$\frac{\cos \alpha}{\sin \beta}$	$-\frac{\sin \alpha}{\cos \beta}$	$\frac{\sin \alpha}{\sin \beta}$	$\frac{\sin \alpha}{\sin \beta}$	$\frac{\cos \alpha}{\cos \beta}$	$-\cot \beta$	$\cot \beta$	$-\tan \beta$
Type Y	$\frac{\cos \alpha}{\sin \beta}$	$-\frac{\sin \alpha}{\cos \beta}$	$\frac{\cos \alpha}{\sin \beta}$	$\frac{\sin \alpha}{\sin \beta}$	$\frac{\cos \alpha}{\cos \beta}$	$\frac{\sin \alpha}{\sin \beta}$	$-\cot \beta$	$-\tan \beta$	$\cot \beta$

Table 14: The mixing factors in Yukawa interactions in Eq. (91) [6].

3008 the same as that in the Standard Model. However, the decay patterns of  $H$ ,  $A$ , and  
3009  $H^\pm$  can vary over a large range. Figure 39 shows the decay branching ratios of  $H$ ,  $A$   
3010 and  $H^\pm$  as a function of  $\tan \beta$  for the four models, for boson masses of 150 GeV and  
3011  $\sin(\beta - \alpha) = 1$ . The decay pattern of  $H$  is typically similar to that of  $A$ , but with  
3012 some important exceptions. In the type I THDM, all fermionic decays, and the  $gg$   
3013 decay mode, are suppressed at large  $\tan \beta$ . However,  $H$ , but not  $A$  couples to  $H^+H^-$ ,  
3014 and this allows for  $H$  a significant decay through a scalar loop to  $\gamma\gamma$ .

3015 In general, the complexity of the  $H$ ,  $A$ ,  $H^\pm$  decay schemes and in the four possible  
3016 models make it difficult to determine the underlying model unless these bosons are  
3017 created through a simple and well-characterized pair-production reaction. Thus, even  
3018 if these bosons are discovered at the LHC, it will be important to study them in  $e^+e^-$   
3019 pair-production at the ILC.

### 3020 6.2.2 Models with Higgs Singlets

3021 Another simple extension of the SM Higgs sector is the addition of a singlet scalar  
3022 field  $S$  with  $Y = 0$ . Such a singlet field is introduced in new physics models with an  
3023 extra  $U(1)$  gauge symmetry [8] like  $B - L$  conservation [9]. The neutral singlet scalar  
3024 field is also introduced in the Next-to-Minimal SUSY Standard Model (NMSSM) but  
3025 with two Higgs doublet fields [10]. Such singlet fields do not couple to quarks, leptons  
3026 and gauge bosons of the SM directly.

3027 In the model with only one additional neutral singlet scalar field to the SM, we  
3028 parameterize the SM doublet  $\Phi$  and  $S$  as

$$\Phi = \left[ \begin{array}{c} \varphi^+ \\ \frac{1}{\sqrt{2}}(v + \varphi + i\chi) \end{array} \right], \quad S = \frac{1}{\sqrt{2}}(v_S + \varphi_S + i\chi_S), \quad (92)$$

3029 where  $v$  ( $\simeq 246$ ) GeV, and  $v_S$  being the vacuum expectation value of the extra  $U(1)$ .

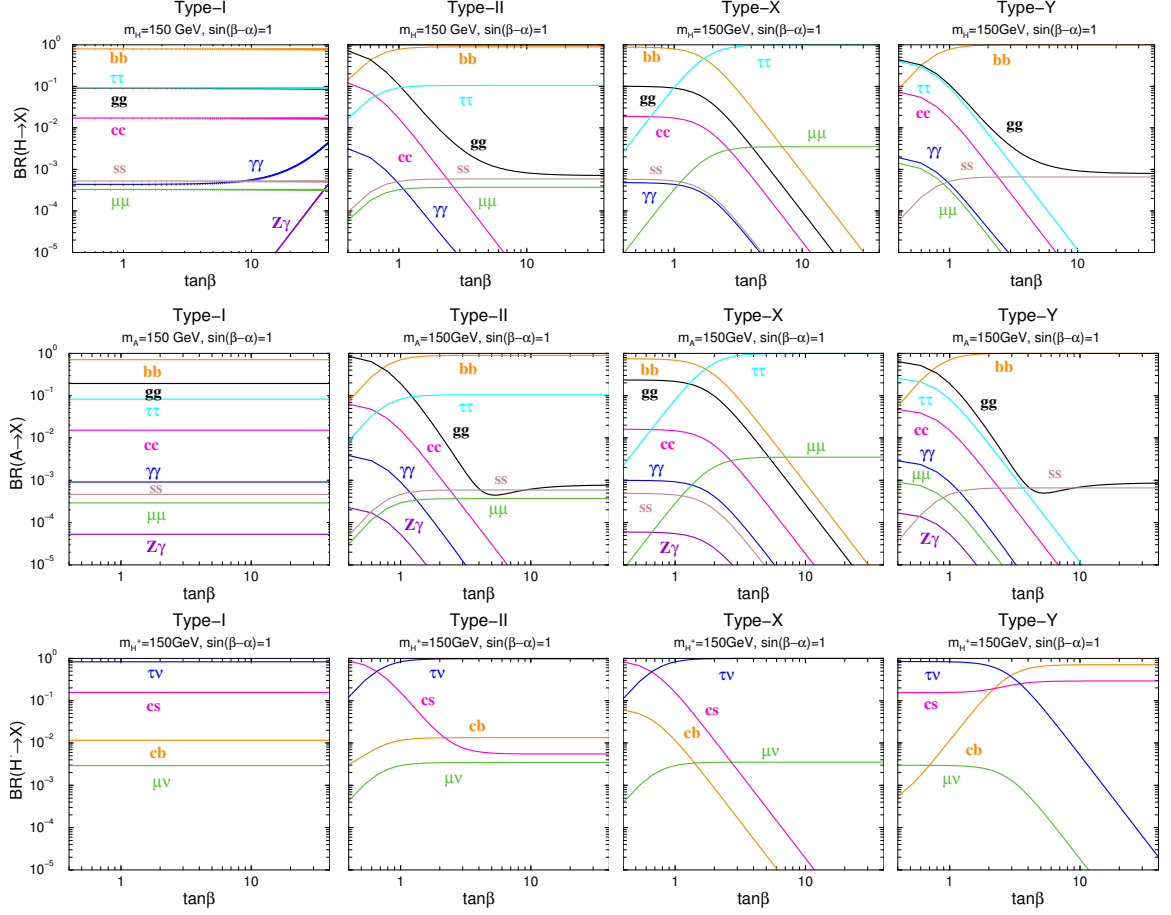


Figure 39: Decay branching ratios of  $H$ ,  $A$  and  $H^\pm$  in the four different types of THDM as a function of  $\tan\beta$  for  $m_H = m_A = m_{H^\pm} = 150$  GeV. The SM-like limit  $\sin(\beta - \alpha) = 1$  is taken.

3030 The two CP-even mass eigenstates  $h$  and  $H$  are expressed with the mixing angle as

$$h = \varphi \cos \theta - \varphi_S \sin \theta, \quad H = \varphi \sin \theta + \varphi_S \cos \theta. \quad (93)$$

3031 The CP-odd component  $\chi_S$  is absorbed by the extra  $U(1)$  gauge boson. Therefore,  
 3032 the difference from the SM is just one additional CP-even scalar boson  $H$ , and the  
 3033 absence of charged Higgs bosons is the unique feature of neutral singlet extensions. All  
 3034 the SM fields obtain mass from the VEV of the doublet  $v$ . Their coupling constants  
 3035 with  $h$  and  $H$  are obtained by the replacement of  $\phi_{\text{SM}} \rightarrow h \cos \theta + H \sin \theta$ .

3036 In the decoupling regime, where  $h$  is the SM-like with  $\theta \sim 0$ , coupling constants  
 3037 of  $h$  with the SM fields are commonly but slightly reduced by  $\cos \theta (\sim 1 - \theta^2/2)$ .  
 3038 On the other hand, when  $\tan \theta \sim \mathcal{O}(1)$ , both the  $h$  and  $H$  behave as SM-like Higgs

3039 bosons with relatively small mass difference, but each of the width is smaller. Each  
 3040 production cross section is reduced, but if both  $h$  and  $H$  are almost degenerated in  
 3041 mass and their mass difference is smaller than the mass resolution achievable by LHC  
 3042 experiments, the two Higgs bosons look like only a single SM Higgs boson with similar  
 3043 width. At the ILC with the better resolution of reconstructed mass (expected error  
 3044 to be  $\Delta m = 23$  MeV for  $e^+e^- \rightarrow Zh \rightarrow \mu^+\mu^-X$ ) [11], the two Higgs bosons could be  
 3045 better separated.

3046 The reduced couplings of  $h$  ( $H$ ) result in the smaller production cross sections  
 3047 as compared to the SM predictions. Therefore, the mass bounds from the collider  
 3048 experiment can be milder. At LEP, the lower mass bound of  $h$  in the singlet model  
 3049 is about 110 GeV for  $\sin\theta = 1/\sqrt{2}$  while that in the SM is about 114 GeV [12]. The  
 3050 bounds from ATLAS [13] and CMS [14] are also milder (about  $110 \text{ GeV} < m_h <$   
 3051  $130 \text{ GeV}$ ) than those in the SM (about  $122 \text{ GeV} < m_h < 127 \text{ GeV}$ ). Basso, Moretti  
 3052 and Pruna studied the ILC phenomenology of the Higgs sector in the minimal  $B-L$   
 3053 model [15].

### 3054 6.2.3 Models with Higgs Triplets

3055 We can go on to consider models that add scalar fields in higher representations of  
 3056  $SU(2)$ , models with fields with  $I = 1, \frac{3}{2}, \dots$ . There are many such models. However,  
 3057 these models are constrained by the requirement that they do not give tree level  
 3058 corrections to the Standard Model relation

$$\rho = \frac{m_W^2}{m_Z^2 \cos^2 \theta} = 1 . \quad (94)$$

3059 When electroweak radiative corrections are included, (94) is in excellent agreement  
 3060 with the data, so it is dangerous to add to the model with fields that can modify  
 3061 it. In a general  $SU(2) \times U(1)$  model with  $n$  scalar multiplets  $\phi_i$  with isospin  $T_i$  and  
 3062 hypercharge  $Y_i$ , the  $\rho$  parameter is given at the tree level by

$$\rho = \frac{\sum_{i=1}^n [T_i(T_i + 1) - \frac{1}{4}Y_i^2]v_i}{\sum_{i=1}^n \frac{1}{2}Y_i^2v_i}, \quad (95)$$

3063 where  $v_i$  are vacuum expectation values of  $\phi_i$ . So, singlets and doublets with  $Y_i = \pm\frac{1}{2}$   
 3064 preserve  $\rho = 1$ , while adding higher representation generally modifies this relation,  
 3065 unless those fields have very small vacuum expectation values [16].

3066 As example of a model that adds an isospin triplet, we review the case of a Higgs  
 3067 representation with  $I = 1$  and  $Y = 2$ . A vacuum expectation value of this field can  
 3068 produce a Majorana neutrino mass [17].

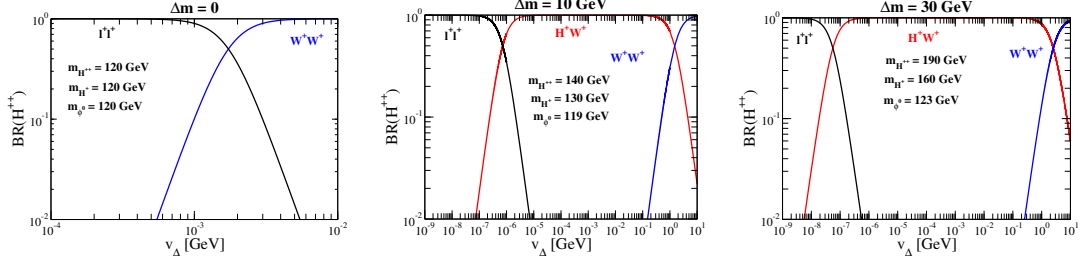


Figure 40: Decay branching ratio of  $H^{++}$  as a function of  $v_{\Delta}$ . In the left figure,  $m_{H^{++}}$  is set to be 120 GeV with  $\Delta m = 0$ . In the middle figure,  $m_{H^{++}}$  is 140 GeV with  $\Delta m = 10$  GeV. In the right figure,  $m_{H^{++}}$  is 190 GeV with  $\Delta m = 30$  GeV.

3069 A model with this triplet field will contain a Higgs doublet  $\Phi$  in addition to the  
 3070 triplet  $\Delta$ . The component fields are

$$\Phi = \begin{bmatrix} \varphi^+ \\ \frac{1}{\sqrt{2}}(v_{\varphi} + \varphi + i\chi) \end{bmatrix}, \quad \Delta = \begin{bmatrix} \Delta^+/\sqrt{2} & \Delta^{++} \\ \frac{1}{\sqrt{2}}(v_{\Delta} + \delta + i\eta) & -\Delta^+/\sqrt{2} \end{bmatrix}, \quad (96)$$

3071 where  $v_{\varphi}$  and  $v_{\Delta}$  are the vacuum expectation values. The physical scalar states are  
 3072 two CP-even bosons ( $h$  and  $H$ ), a CP-odd boson ( $A$ ), singly charged pair ( $H^{\pm}$ ), and  
 3073 a doubly charged pair ( $H^{\pm\pm}$ ). These are related to the original component fields by  
 3074 mixing angles  $\alpha$ ,  $\beta_0$  and  $\beta_{\pm}$ ,

$$\begin{aligned} h &= \varphi \cos \alpha + \delta \sin \alpha, & H &= -\varphi \sin \alpha + \delta \cos \alpha, \\ A &= -\chi \sin \beta_0 + \eta \cos \beta_0, & H^{\pm} &= -\varphi^{\pm} \sin \beta_{\pm} + \Delta^{\pm} \cos \beta_{\pm}, & H^{\pm\pm} &= \Delta^{\pm\pm}. \end{aligned} \quad (97)$$

3075 We must arrange  $v_{\Delta} \ll v_{\varphi}$  to preserve  $\rho \simeq 1$ . This constraint implies the mass  
 3076 relations

$$m_h^2 \simeq 2\lambda_1 v^2, \quad m_{H^{++}}^2 - m_{H^+}^2 \simeq m_{H^+}^2 - m_A^2, \quad \text{and} \quad m_H^2 \simeq m_A^2, \quad (98)$$

3077 with  $\alpha \ll 1$ ,  $\beta_0 \ll 1$  and  $\beta_{\pm} \ll 1$ . Therefore, the model has a Standard Model-  
 3078 like Higgs boson  $h$  and additional triplet-like scalar states whose masses become  
 3079 approximately equal in the decoupling limit.

3080 The doubly charged Higgs bosons  $H^{++}$  are the most characteristic feature of the  
 3081 model. The requirement that the vacuum expectation value of  $\Delta$  gives a Majorana  
 3082 neutrino mass requires that this field must be assigned lepton number  $L = 2$ . Then,  
 3083 if the new Higgs bosons are degenerate, the dominant decays would be to lepton  
 3084 and neutrino pairs. In particular,  $H^{++}$  would be expected to decay to  $\ell^+\ell^+$ . At  
 3085 the LHC, the search for  $H^{\pm\pm}$  is underway using this decay mode. The exclusion  
 3086 of the signal implies a lower bound on the mass of  $H^{++}$ ,  $m_{H^{++}} \gtrsim 400$  GeV [18].

3087 However, this analysis is correct only for a limited parameter region in which the  
3088 vacuum expectation value of  $\Delta$  is extremely small,  $v_\Delta < 10^{-3}$  GeV. For larger, but  
3089 still small, values of  $v_\Delta$ , a small mass splittings between  $H^+$  and  $H^{++}$  opens up that  
3090 allows the decay to take advantage of the much larger coupling to  $H^+W^+$  [19]. In  
3091 Fig. 40, the decay branching ratios for  $H^{\pm\pm}$  are shown as a function of  $v_\Delta$  [20]. For  
3092  $v_\Delta \sim 1$  GeV, corresponding to mass difference  $\Delta m \sim 10$  GeV, the decay into  $H^+W^+$   
3093 is dominant for a wide range of  $v_\Delta$  when  $m_{H^{++}} > m_{H^+} > m_{A,H}$ . In this case,  $H^{++}$   
3094 could be identified through its cascade decay. It is also possible to realize the opposite  
3095 sign of the mass difference. In this case, the  $H^{++}$  decays into  $W^+W^+$ .

3096 This model gives another illustration that a well-understood production mech-  
3097 anism and broad sensitivity to a wide range of final states are needed in order to  
3098 understand the possibly complex details of an extended Higgs sector.

### 3099 6.3 Extended Higgs bosons searches at the ILC

3100 The discovery of additional Higgs bosons such as  $H$ ,  $A$ ,  $H^\pm$  and  $H^{\pm\pm}$  would give  
3101 direct evidence for extended Higgs sector. As already discussed, there are many possi-  
3102 bilities for the decay branching ratios of these particles, illustrated by the various  
3103 schemes presented in Section 6.2. The searches at LHC are ongoing and mostly rely  
3104 on specific production and decay mechanisms that occupy only a part of the complete  
3105 model parameter space. At the ILC, the extended Higgs bosons are produced in elec-  
3106 troweak pair production through cross sections that depend only on the  $SU(2) \times U(1)$   
3107 quantum numbers and the mixing angles. Thus, the reach of the ILC is typically lim-  
3108 ited to masses less than  $\sqrt{s}/2$ , but it is otherwise almost uniform over the parameter  
3109 space.

#### 3110 6.3.1 Constraints from the LHC experiments

3111 The LHC is imposing several types of constraints in the exploration of the Higgs  
3112 sector, but certainly the main constraint comes from the discovery of the resonance  
3113 at 125-126 GeV by ATLAS [21] and CMS [22], particularly significant in the decay  
3114 channels into two  $\gamma$ 's and two  $Z^0$  bosons. The exact nature of this new resonance has  
3115 still to be confirmed. However there are some indications that it could well be the  
3116 light Higgs neutral boson we have been so long looking for. Let's thus label it here  
3117 as H126.

3118 As noticed by M. Peskin [23], the fact that WW and ZZ are seen at nearly the  
3119 SM strength would indicate that H126 is a CP even spin 0 state from a field with  
3120 vacuum expectation value that breaks  $SU(2) \times U(1)$ .

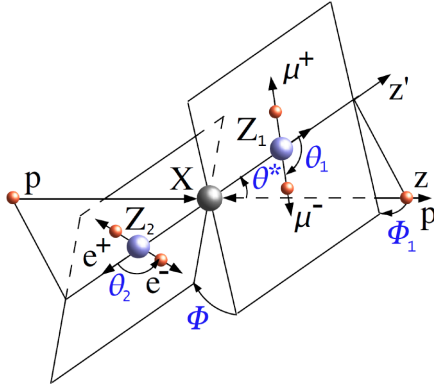


Figure 41: Schema of the angular analysis for studying the Higgs decay into a pair of Z bosons that decay then into 4 leptons, as used by the CMS experiment.

3121 CMS has already performed an angular analysis of the channel  $pp \rightarrow ZZ \rightarrow$   
 3122 4 charged leptons (see Fig. 41). It allows verifying the quantum numbers of this  
 3123 new object and favors the scalar hypothesis at  $1 \sigma$ . The separation between scalar  
 3124 and pseudoscalar hypotheses at  $3 \sigma$ 's should be achievable with  $30 fb^{-1}$  of integrated  
 3125 luminosity. Each LHC experiment will be recording of order  $20 - 25 fb^{-1}$  in 2012,  
 3126 before the first long LHC shutdown in 2013-2014. The total integrated luminosity  
 3127 from 2010 to 2012, might thus allow reaching this crucial result.

3128 Thus the main constraints still have to come from the confirmation of the na-  
 3129 ture of this new H126 particle with as major inputs: refining its mass measurement,  
 3130 confirming or not if it is a spin 0 particle and verifying and measuring the branching  
 3131 decays into  $2 \gamma$ 's, 2 W or 2 Z bosons, 2 b-quarks and 2  $\tau$  leptons. The decay mode into  
 3132  $\tau$  lepton, in particular, is quite important especially for many BSM cases [24,25]. Still  
 3133 major results are thus expected by 2013 when all the data at 8 TeV will be recorded.  
 3134 Any deviation from the SM expected rates for each of these decay modes have been  
 3135 already computed with the presently analyzed data and are shown in Fig. 42 for both  
 3136 ATLAS and CMS. The present signal strength, defined as the ratio of the measured  
 3137 cross section for this process and the corresponding expected SM cross section value  
 3138 ( $\sigma/\sigma_{SM}$ ) is  $0.8 \pm 0.2$  for CMS and  $1.2 \pm 0.3$  for ATLAS. Thus no real deviation  
 3139 from SM expectations within the experimental errors; but a better accuracy will be  
 3140 already obtained with the overall data recorded by the end of 2012. Moreover, CMS  
 3141 groups the Higgs couplings into two sets: the "vectorial" and the "fermionic" sets.  
 3142 A modifier to the SM prediction is attached to each of those:  $C_V$  and  $C_F$ . By using  
 3143 a LO theoretical prediction for loop induced  $H \rightarrow \gamma\gamma$  and  $H \rightarrow gg$  couplings an  
 3144 agreement with SM within the 95% confidence range is currently observed. There



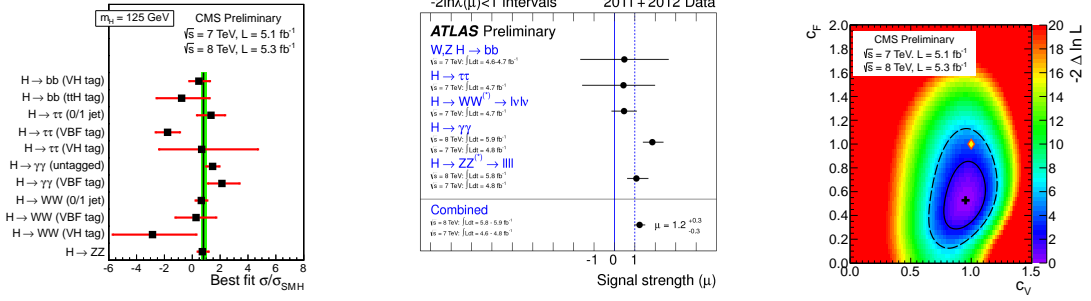


Figure 42: The signal strength for each measured decay mode of the new H126 resonance is shown for CMS (left) and ATLAS (center); Fit to the vectorial ( $C_V$ ) and fermionic ( $C_F$ ) sets of Higgs coupling (solid line is the 68% C.L. and dashed line is the 95% CL) by CMS.

3145 also more data are obviously needed [26].

3146 Apart from the crucial constraint provided by the discovery of a light neutral  
 3147 Higgs, the LHC experiments are exploring the whole Higgs and BSM sector. This is  
 3148 in continuation of the work already performed by the Tevatron experiments but with  
 3149 a much larger exploration potential in terms of the parameter space. ATLAS and  
 3150 CMS performed a number of extended Higgs searches. The published results are only  
 3151 based on the 2011 data. Much more will become soon available by adding the first  
 3152  $5fb^{-1}$  data that are already recorded in 2012. The experiments have scanned a mass  
 3153 range up to 350-400  $GeV/c^2$  in a variety of interesting processes and BSM scenarios.  
 3154 There is presently no evidence for such new BSM heavy Higgs signals. The current  
 3155 results from the charged Higgs searches at hadron colliders are reported in subsection  
 3156 6.3.3.

3157 In the context of MSSM, the neutral Higgs,  $h$ ,  $H$  and  $A$  are searched for in their  
 3158 decay into 2  $b$ -quarks, 2 muons or 2  $\tau$  leptons. Doubly charged Higgs boson and Higgs  
 3159 boson in SM reinterpreted with 4th generation of fermions are also investigated. The  
 3160 resonance at 126 GeV decaying into 2 photons is further reinterpreted in terms of  
 3161 a fermiophobic Higgs scenario. Some of the main present results at LHC on these  
 3162 searches are shown in Fig. 43.

3163 No significant excess is observed and limits are set as low as for  $\tan\beta$  equal to 10.  
 3164 This is already a drastic improvement compared to the Tevatron results.

3165 ATLAS and CMS are searching for Higgs bosons in the Next-to-MSSM (NMSSM)  
 3166 with a particular interest for a very light CP odd scalar boson that would decay into  
 3167 2 muons (e.g. CMS in [27]). They both looked for a very low mass Higgs decaying  
 3168 into two muons in a NMSSM scenario and did not find, so far, any significant excess  
 3169 of events. Fig. 44 shows the results obtained by CMS based with only  $1.3fb^{-1}$  of data

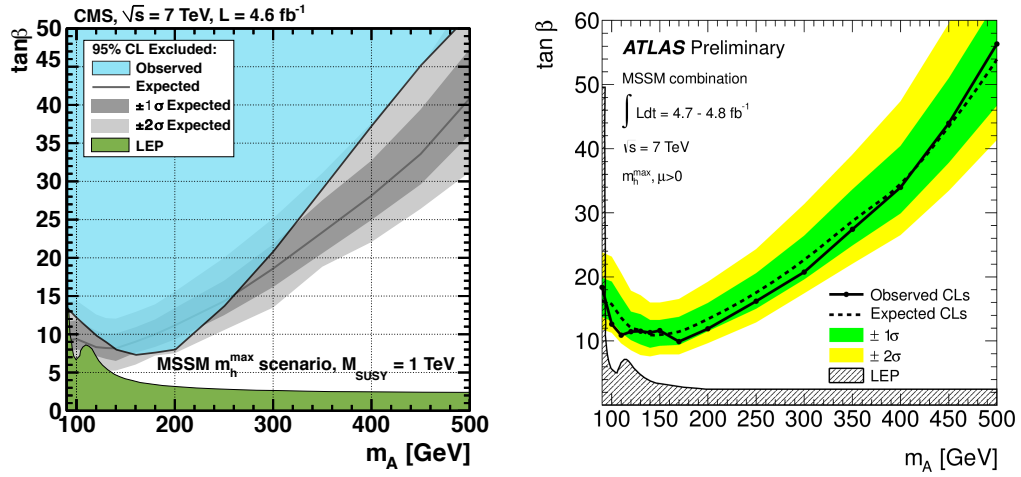


Figure 43: The limits on the signature with two  $\tau$  leptons are obtained by scanning  $\tan \beta$  for each  $M_A$  mass hypothesis and taking into account the dependence of  $M_h$  and  $M_H$  on  $\tan \beta$ ; the results from CMS (left) and the ones of ATLAS (right).

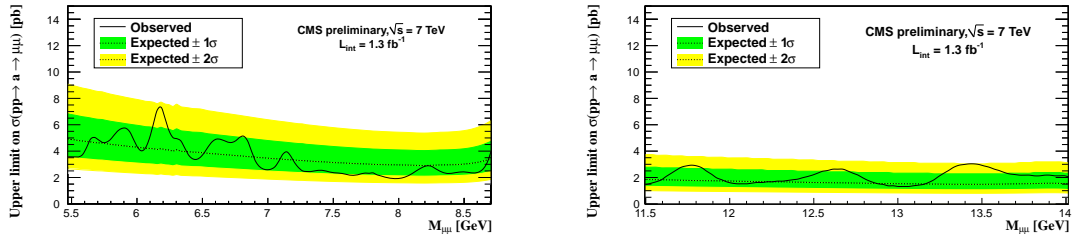


Figure 44: CMS search for a low mass Higgs decaying into two muons in a NMSSM scenario with the first  $1.3 fb^{-1}$  data in 2011

3170 taken in 2011. It demonstrates the potential of such a detector to look for relatively  
 3171 low mass objects at LHC.

3172 Other important constraints from LHC experiments when exploring an extended  
 3173 Higgs sector are coming from the outcomes of the searches on BSM processes, includ-  
 3174 ing the heavy flavor sector. Any deviation from SM or new particles that might be  
 3175 found, would give an important hint on the extended Higgs sector. For instance by  
 3176 the end of 2012, the CMS and LHCb experiments will reach the SM limit for evidenc-  
 3177 ing the Bs-meson rare decay into dimuons. This was one of the possible flagship for  
 3178 looking for new physics.

3179 These few examples show even in this very early stage of the BSM searches per-

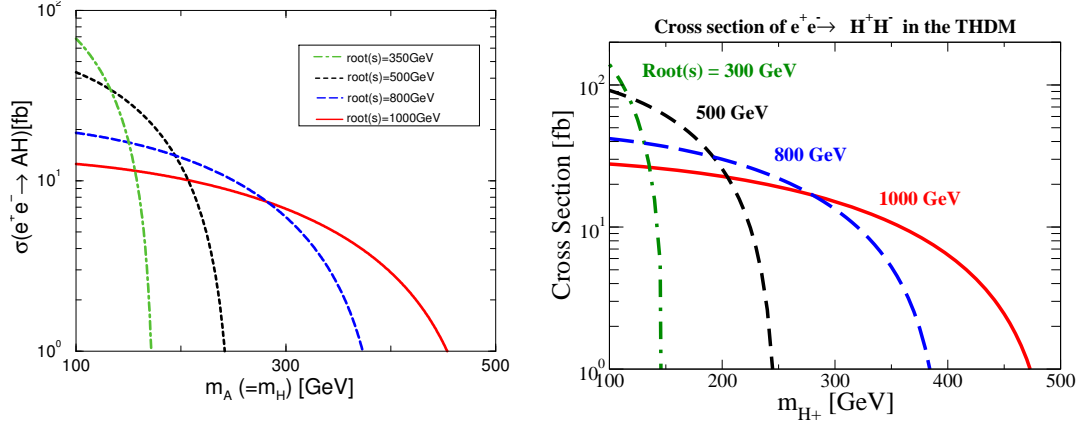


Figure 45: The production cross section of  $e^+e^- \rightarrow AH$  (left) and  $e^+e^- \rightarrow H^+H^-$  (right) are shown as a function of the Higgs boson mass. The dot-dashed, dashed, long-dashed, and solid curves correspond to  $\sqrt{s} = 350, 500, 800,$  and  $1000$  GeV, respectively.

3180 formed at LHC, the already large capability of these detectors to explore a very large  
 3181 scope of BSM scenarios with a good precision and even trickier event signatures. This  
 3182 higher precision and detection capabilities will be continuously improved thanks to  
 3183 the increase in energy and luminosity of the machine and to the challenging and very  
 3184 complete upgrades that are being undertaken by both experiments on all their com-  
 3185 ponents. It makes even more challenging the competition and complementarity issues  
 3186 with a very high precision  $e^+e^-$  collider.

### 3187 6.3.2 Higher mass neutral Higgs Production at ILC

3188 At the ILC, the pair production of extended Higgs bosons  $e^+e^- \rightarrow AH$  in the THDM  
 3189 case, depends only on the boson masses in the decoupling limit. The production cross  
 3190 sections are shown in Fig. 45 for  $\sqrt{s} = 350, 500, 800,$  and  $1000$  GeV as a function of  
 3191  $m_A$  [28]. The decays of the extended Higgs state are mainly to fermion pairs. Thus,  
 3192 the observation of pair-produced Higgs bosons in various decay channels allows us  
 3193 determining the type of Yukawa interaction, in the sense of Section 6.2.1, through the  
 3194 measurement of the corresponding branching ratios. For example, in MSSM, which  
 3195 requires a Type II Higgs structure, the dominant final states for  $HA$  production should  
 3196 be  $bbbb$  and  $bb\tau\tau$ , while in the Type X (lepton specific) structure the dominant final  
 3197 state should be  $\tau\tau\tau\tau$  for  $\tan\beta > 2$ . In Type I, the  $bbjj$  final states signature is also  
 3198 important in addition to the  $bbbb$  and  $bb\tau\tau$  signatures, over a wide range of  $\tan\beta$   
 3199 values, while in Type Y (flipped) the  $bbbb$  states dominate and the  $bb\tau\tau$  and  $bbjj$

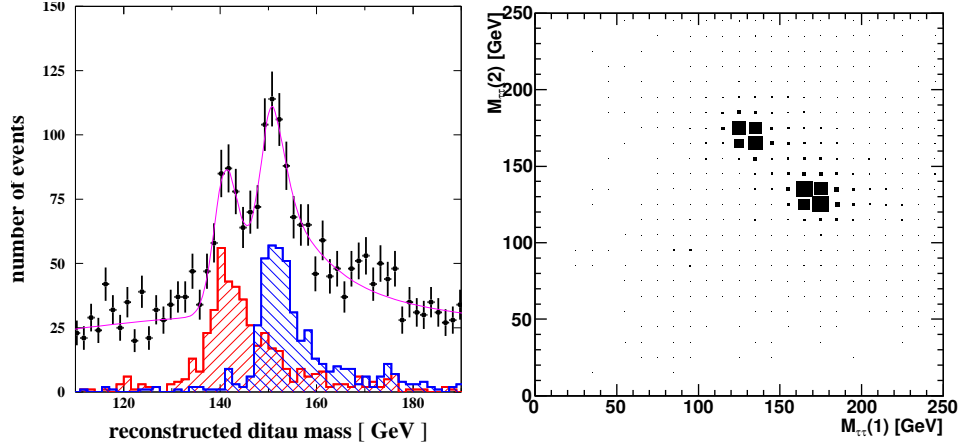


Figure 46: Invariant mass reconstruction from the kinematical fit in the process  $e^+e^- \rightarrow HA \rightarrow b\bar{b}\tau^+\tau^-$  in the Type-II (MSSM like) THDM for  $m_A = 140$  GeV and  $m_H = 150$  GeV at  $\sqrt{s} = 500$  GeV and  $500 \text{ fb}^{-1}$  [30] (left), and two dimensional invariant mass distributions of tau lepton pairs in  $e^+e^- \rightarrow HA \rightarrow \tau^+\tau^-\tau^+\tau^-$  in Type X (lepton specific) THDM for  $m_A = 170$  GeV and  $m_H = 130$  GeV for  $\sqrt{s} = 500$  GeV and  $500 \text{ fb}^{-1}$  (right).

3200 states are suppressed for  $\tan \beta > 2$ .

3201 The study of the signals from  $HA$  production was achieved for  $bbbb$  and  $bb\tau\tau$  event  
 3202 signatures, in the context of the MSSM (Type-II THDM) [29,30]. A rather detailed  
 3203 detector simulation with all the SM backgrounds was performed for  $\sqrt{s} = 500, 800$   
 3204 and  $1000$  GeV in Ref. [30]. Using a kinematical fit which imposes energy momentum  
 3205 conservation and under the assumed experimental conditions, a statistical accuracy  
 3206 from  $0.1$  to  $1$  GeV is found to be achievable on the Higgs boson mass. The topological  
 3207 cross section of  $e^+e^- \rightarrow HA \rightarrow bbbb$  ( $e^+e^- \rightarrow HA \rightarrow \tau\tau bb$ ) could be determined  
 3208 with a relative precision of  $1.5$  to  $7\%$  ( $4$  to  $30\%$ ). The width of  $H$  and  $A$  could  
 3209 also be determined with an accuracy of  $20$  to  $40\%$ , depending on the mass of the  
 3210 Higgs bosons. Figure 46 shows on the left, the result for the  $bb\tau\tau$  channel, namely  
 3211 the  $\tau^+\tau^-$  invariant mass obtained by the kinematical fit in  $e^+e^- \rightarrow HA \rightarrow b\bar{b}\tau^+\tau^-$   
 3212 for  $m_A = 140$  GeV and  $m_H = 150$  GeV at  $\sqrt{s} = 500$  GeV and  $500 \text{ fb}^{-1}$  [30].

3213 The  $\tau^+\tau^-\tau^+\tau^-$  and  $\mu^+\mu^-\tau^+\tau^-$  final states would be dominant for the type X  
 3214 (lepton specific) THDM. When  $\sqrt{s} = 500$  GeV, assuming an integrated luminosity of  
 3215  $500 \text{ fb}^{-1}$ , the event number is estimated to be  $1.6 \times 10^4$  ( $1.8 \times 10^2$ ) in the type X (type  
 3216 II) THDM for  $\tau^+\tau^-\tau^+\tau^-$ , and  $1.1 \times 10^2$  ( $0.6$ ) for  $\mu^+\mu^-\tau^+\tau^-$  assuming  $m_H = m_A =$   
 3217  $m_{H^\pm} = 130$  GeV,  $\sin(\beta - \alpha) = 1$  and  $\tan \beta = 10$ . These numbers do not change much  
 3218 for  $\tan \beta \gtrsim 3$ . It is important to recognize that the four-momenta of the  $\tau$  leptons can  
 3219 be solved by a kinematic fit based on the known center of mass energy and momentum,

3220 by applying the collinear approximation to each set of  $\tau$  lepton decay products [31,32].  
 3221 Figure 46 shows on the right part, the two dimensional invariant mass distribution of  
 3222 the  $\tau$  lepton pairs from the neutral Higgs boson decays as obtained with a simulation  
 3223 at 500 GeV in which the masses of the neutral Higgs bosons are taken to be 130 GeV  
 3224 and 170 GeV [33].

3225 Although the associated Higgs production process  $e^+e^- \rightarrow HA$  is a promising  
 3226 one for testing the properties of the extended Higgs sectors, the kinematic reach  
 3227 is restricted by  $m_H + m_A < \sqrt{s}$  and not available beyond this limit. Above the  
 3228 threshold of the  $HA$  production, associate production of  $t\bar{t}\Phi$ ,  $b\bar{b}\Phi$  and  $\tau^+\tau^-\Phi$  ( $\Phi =$   
 3229  $h, H, A$ ) could be then used [34]. In particular, for  $b\bar{b}\Phi$  and  $\tau^+\tau^-\Phi$ , the mass reach is  
 3230 extended up to almost the collision energy. Their cross sections are proportional to  
 3231 the Yukawa interaction, so that they directly depend on the type of Yukawa coupling  
 3232 in the THDM structure. In MSSM or the Type II THDM (Type I THDM), they are  
 3233 enhanced (suppressed) for large  $\tan\beta$  values. In Type X THDM, only the  $\tau^+\tau^-H/A$   
 3234 channels could be significant while only  $b\bar{b}H/A$  channels would be important in Type  
 3235 I and Type Y THDMs. They can be used to discriminate the type of the Yukawa  
 3236 interaction.

### 3237 6.3.3 Charged Higgs boson Productions

3238 The charged Higgs bosons  $H^\pm$  are a clear signature for the extended Higgs sectors.  
 3239 They appear in most of the models except for those with additional neutral singlets.  
 3240 One could thus distinguish between Higgs models by measuring the properties of  
 3241 the charged Higgs bosons when/if discovered. In particular, in the MSSM, the mass  
 3242  $m_{H^\pm}$  is related to  $m_A$  by  $m_{H^\pm} = \sqrt{m_A^2 + m_W^2}$  at the leading order. The precise  
 3243 measurement of the mass is very important in order to distinguish the MSSM from  
 3244 the other models, especially if the SUSY particles are rather heavy.

3245 The direct lower bounds on  $m_{H^\pm}$  come from the LEP. The absolute lower bound  
 3246 is obtained as 79.3 GeV by ALEPH, and assuming the type II THDM, the bounds  
 3247 are 87.8 GeV for  $\tan\beta \gg 1$  using the decay  $\tau\nu$  mode, and 80.4 for relatively low  $\tan\beta$   
 3248 values. Using the characteristic relation in the MSSM,  $m_{H^\pm} = \sqrt{m_A^2 + m_W^2}$  with the  
 3249 absolute bounds  $m_A > 92$  GeV,  $m_{H^\pm} > 122$  GeV is obtained.

3250 It is well known that  $m_{H^\pm}$  in the Type II (and Type Y) THDM is stringently  
 3251 constrained by the precision measurements of the radiative decay of  $B \rightarrow X_s\gamma$  by  
 3252 Belle, BABAR and CLEO. In these types of THDMs the loop contributions of  $W^\pm$   
 3253 and  $H^\pm$  are always constructive while this it not the case in the Type I and Type  
 3254 X. Consequently, a stringent lower bound on  $m_{H^\pm}$  is obtained in the Type II (and  
 3255 Type Y); i.e.,  $295 \text{ GeV} < m_{H^\pm}$  [35], while  $m_{H^\pm} \sim 100$  GeV is not excluded unless

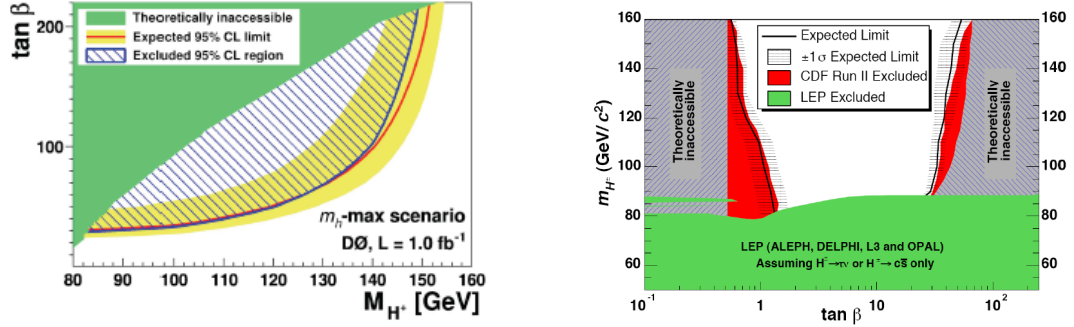


Figure 47: Tevatron results on charged Higgs from D0 experiment with  $1fb^{-1}$  data (left) and CDF experiment with  $2.2fb^{-1}$  data (right).

3256  $\tan \beta < 2$  in Type Y (Type X). The decay  $B \rightarrow \tau \nu$  also can be used to constrain the  
 3257 charged Higgs parameters, being sensitive to  $\tan \beta^2/m_{H^\pm}^2$  in the Type II THDM. The  
 3258 data already exclude  $m_{H^\pm} < 300$  (1100) GeV for  $\tan \beta > 40$  (100) at the 95% CL [?].  
 3259 Similar but milder constraint on  $m_{H^\pm}$  comes from tau leptonic decays in the Type II  
 3260 and Type X THDM:  $m_{H^\pm} \sim 100$  GeV is excluded for  $\tan \beta > 60$  in both models.

3261 The Tevatron and LHC experiments are both looking for a relatively light charged  
 3262 Higgs, namely with a mass lower than the top mass; the top could thus also decay into  
 3263 a charged Higgs plus a  $b$ -quark and not only into  $W$  boson plus  $b$ -quark as expected  
 3264 in the SM.

3265 The charged Higgs has been searched for at the Tevatron both by CDF and D0  
 3266 in the top pair production by looking for the branching ratio of a possible top decay  
 3267 into  $Hb$  where the charged Higgs decays into  $c\bar{s}$  or  $\tau\nu$  [37,38]. The results of these  
 3268 searches are shown in Fig. 47 in function of  $\tan \beta$  and over a charged Higgs mass range  
 3269 between 90 to 160  $GeV/c^2$ . In the case of the charged Higgs decay into a  $\tau$  lepton,  
 3270 the search is achieved by measuring the branching ratio of the top into a  $\tau$  lepton  
 3271 and by looking for a  $\tau$  excess with respect to lepton universality. This measurement  
 3272 is achievable for  $\tan \beta > 1$ . The search for the decay into  $c\bar{s}$  is achieved by looking  
 3273 for a second bump in the two jets mass distribution of the events. This is possible  
 3274 for  $\tan \beta < 1$ .

3275 The LHC experiments are pursuing this search and look for three possible final  
 3276 signatures of a top pair production if a charged Higgs, namely: lepton + jets (the  
 3277 lepton coming from the  $\tau$  decay) and jets from the  $W$  boson, or a  $\tau$  + lepton, if  
 3278 both the charged Higgs and the  $W$  decay leptonically and a  $\tau$  + jets if the charged  
 3279 Higgs decays into a  $\tau$  lepton and the  $W$  boson into hadrons. The results obtained

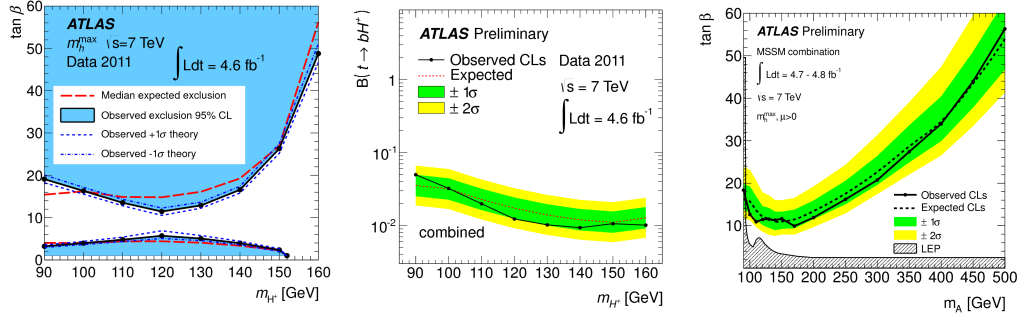


Figure 48: Present charged Higgs searches results by ATLAS at LHC, based on only  $4.6 fb^{-1}$  of data collected in 2011.

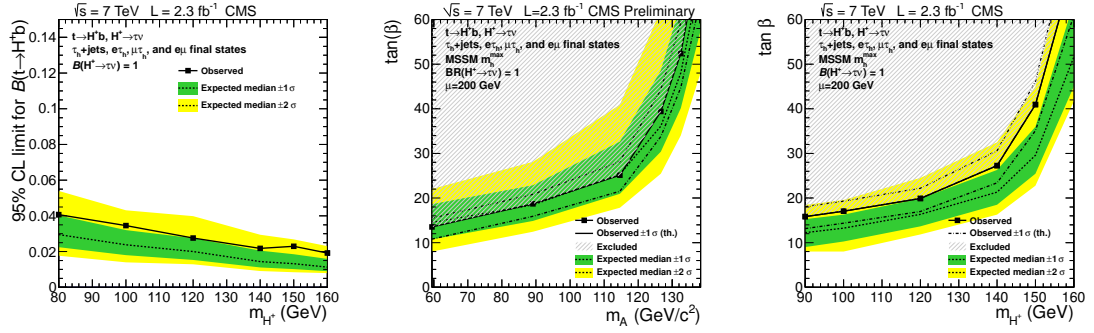


Figure 49: Present charged Higgs searches results by CMS at LHC, based on only  $2.3 fb^{-1}$  of data collected in 2011.

3280 by ATLAS based still only on the 2011 collected data [39], are shown in Fig. 48. No  
 3281 significant excess is observed, thus leaving very little room for a light charged Higgs  
 3282 with a mass below the top mass.

3283 Similarly CMS, even with 2011 data corresponding to only to  $2.3 fb^{-1}$  (less than  
 3284 50%) of the recorded luminosity last year [40], obtains an upper limit on  $BR(t \rightarrow$   
 3285  $H^+b)$  that excludes a wide region of large  $\tan \beta$  in the MSSM parameter space for  
 3286  $M_{H^+}/M_A > M_{\text{top}}$  (see Fig. 49).

3287 At the ILC, they are produced in pair in  $e^+e^- \rightarrow H^+H^-$  [41]. The cross section is  
 3288 a function of only  $m_{H^\pm}$  and is independent of the type of Yukawa interaction in the  
 3289 THDM. Therefore, as in the case of the  $HA$  production, the study of the final state  
 3290 channels can be used to determine what is the type of Yukawa interaction. When  
 3291  $m_{H^\pm} > m_t + m_b$ , the main decay mode is  $tb$  in Type I, II and Y, while in Type X  
 3292 the main decay mode is  $\tau\nu$  for  $\tan \beta > 2$ . When  $H^\pm$  cannot decay into  $hb$ , the main  
 3293 decay mode is  $\tau\nu$  except in Type Y for large  $\tan \beta$  values. For  $m_{H^\pm} < m_t - m_b$ , the

3294 charged Higgs boson can also be studied via the decay of top quarks  $t \rightarrow bH^\pm$  in  
 3295 THDMs except in Type X THDM case with  $\tan\beta > 2$ .

3296 In the MSSM, the detailed simulation study has been performed in  $e^+e^- \rightarrow$   
 3297  $H^+H^- \rightarrow t\bar{t}b\bar{b}$  for  $m_{H^\pm} = 300$  GeV at  $\sqrt{s} = 800$  GeV in Ref. [42]. The final states  
 3298 is  $4b$ -jets with 4 non- $b$ -tagged jets. Assuming the integrated luminosity to be  $1 \text{ ab}^{-1}$ ,  
 3299 a mass resolution of approximately 1.5 % can be achieved (Figure 50 (left)). The  
 3300 decay mode  $t\bar{t}b\bar{b}$  can also be used to determine  $\tan\beta$  especially for relatively small  
 3301 values of  $\tan\beta$  ( $< 5$ ), where the production rate of the signal strongly depends on  
 3302 this parameter.

3303 The pair production is kinematically limited to relatively light charged Higgs  
 3304 bosons with  $m_{H^\pm} < \sqrt{s}/2$ . When  $m_{H^\pm} > \sqrt{s}/2$ , single production processes of  $H^\pm$   
 3305 would be used to test  $H^\pm$ , such as  $e^+e^- \rightarrow t\bar{b}H^+$ ,  $e^+e^- \rightarrow \tau\bar{\nu}H^+$ ,  $e^+e^- \rightarrow W^-H^+$ ,  
 3306  $e^+e^- \rightarrow H^+e^-\nu$  and their charge conjugated ones. Cross sections of the first two  
 3307 are directly proportional to Yukawa coupling constants and the rest two are one-  
 3308 loop induced. Apart from the pair production rate, these single production processes  
 3309 strongly depend on the type of Yukawa interaction in the THDM structure. In general,  
 3310 their rates are small and quickly suppressed for larger values of  $m_{H^\pm}$ . They can be  
 3311 used only for limited parameter regions where  $m_{H^\pm}^\pm$  is just above the threshold of the  
 3312 pair production  $\sqrt{s}/2$  with very large or low  $\tan\beta$  values. In Ref. [43], the simulation  
 3313 study for the process  $e^+e^- \rightarrow t\bar{b}H^- + b\bar{t}H^+ \rightarrow 4b + jj + \ell + p_T^{\text{miss}}$  ( $\ell = e, \mu$ ) has been  
 3314 done for  $m_{H^\pm}$  just above the pair production threshold  $m_{H^\pm} \simeq \sqrt{s}/2$ . It has been  
 3315 shown that this process provides significant signal of  $H^\pm$  only for a relatively small  
 3316 region just above  $\sqrt{s}/2$  for very large or very small values of  $\tan\beta$  assuming a high  
 3317  $b$ -tagging efficiency: see Figure 50 (right).

### 3318 6.3.4 Measurement of $\tan\beta$

3319 The ILC would be able to precisely determine  $\tan\beta$ , the most important parameter in  
 3320 the extended Higgs sector with two Higgs doublet fields. In Ref. [44], the sensitivity  
 3321 to  $\tan\beta$  has been studied by combining the measurements of production processes,  
 3322 branching ratios and decay widths of heavy Higgs bosons  $H$ ,  $A$  and  $H^\pm$  in the context  
 3323 of the MSSM. In the case of  $m_A = 200$  GeV with  $\sqrt{s} = 500$  GeV and  $2 \text{ ab}^{-1}$ , the  
 3324 sensitivity is evaluated by using a large variety of complementary methods such as the  
 3325 production rates of  $e^+e^- \rightarrow HA \rightarrow b\bar{b}b\bar{b}$  and  $e^+e^- \rightarrow H^+H^- \rightarrow t\bar{t}b\bar{b}$  which provide  
 3326 a good sensitivity to  $\tan\beta$  for relatively low  $\tan\beta$  and the rate of  $e^+e^- \rightarrow b\bar{b}A$ ,  
 3327  $b\bar{b}H \rightarrow b\bar{b}b\bar{b}$  and the measurement of the total widths of  $H$ ,  $A$  and  $H^\pm$  which become  
 3328 important for large  $\tan\beta$  values. For intermediate  $\tan\beta$  values, the sensitivity is  
 3329 rather worse for the scenario (I) where heavy Higgs bosons only decay into the SM  
 3330 particles but it is much better for the scenario (II) where they can decay into super



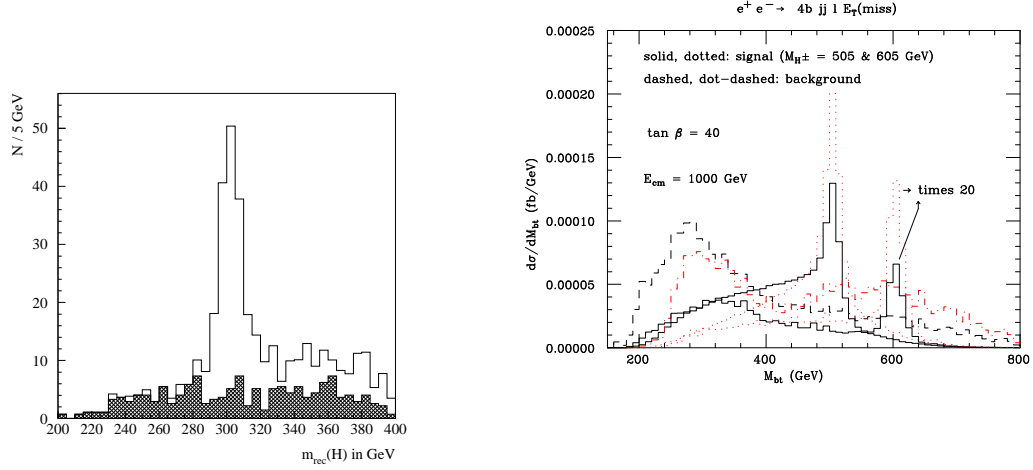


Figure 50: (Left) Fitted charged Higgs boson mass for  $H^+H^- \rightarrow (t\bar{b})(\bar{t}b)$  with  $m_{H^\pm} = 300$  GeV for  $\sqrt{s} = 800$  GeV and  $1 \text{ ab}^{-1}$  in the MSSM. The background is shown by dark histogram [42]. (Right) Differential distribution in the reconstructed Higgs mass from both  $b$ -jets not generated in top decays and the two top systems for the signal  $e^+e^- \rightarrow b\bar{t}H^+ + \bar{t}bH^- \rightarrow t\bar{t}b\bar{b}$  and the background  $e^+e^- \rightarrow t\bar{t}g^* \rightarrow t\bar{t}b\bar{b}$  in the MSSM (Type II THDM) [43].

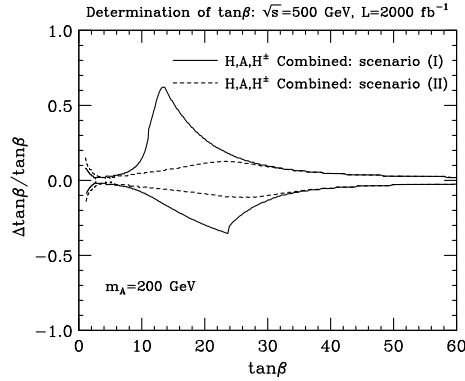


Figure 51: For the MSSM with  $m_{H^\pm} \sim m_A = 200$  GeV, and assuming  $\mathcal{L} = 2000 \text{ fb}^{-1}$  at  $\sqrt{s} = 500$  GeV, the  $1\sigma$  statistical upper and lower bounds,  $\Delta \tan\beta / \tan\beta$ , are plotted as a function of  $\tan\beta$  [44].

3331 partner particles via  $H^\pm \rightarrow \tilde{\chi}^\pm \tilde{\chi}^0$  etc. For  $3 < \tan\beta < 5$ , where the LHC does not  
 3332 have a good sensitivity to  $\tan\beta$ , the ILC can measure  $\tan\beta$  quite accurately. The

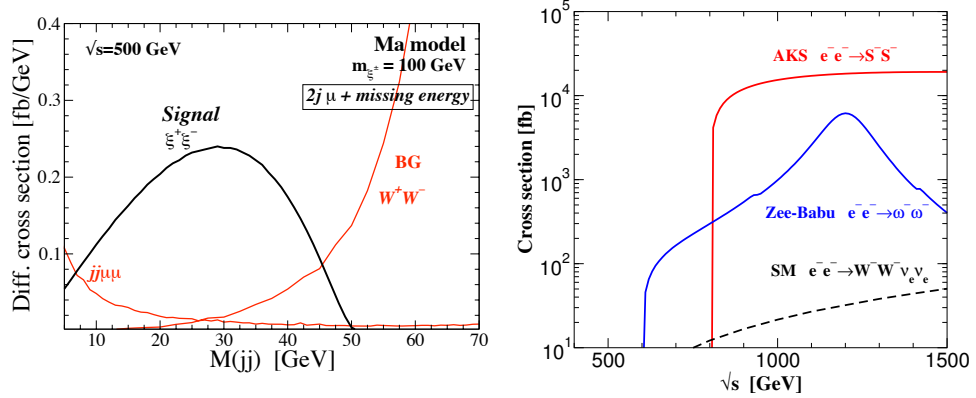


Figure 52: (Left) The jets invariant mass distributions of the production rates of the signal in the Ma model at  $\sqrt{s} = 500$  GeV. The di-jet invariant mass  $M(jj)$  distribution of the signal  $e^+e^- \rightarrow \xi^+\xi^- \rightarrow jj\mu\nu\xi_r^0\xi_r^0$  for  $m_{\xi^\pm} = 100$  GeV. (Right) The cross sections of like-sign charged Higgs pair productions in the Zee-Babu model ( $\omega^-\omega^-$ ) and in the AKS model ( $S^-S^-$ ) are shown as a function of the collision energy  $\sqrt{s}$  [45].

3333 combined expected errors on  $\tan\beta$  is shown in Figure 51, where some more processes  
 3334 are included. For low  $\tan\beta$  regime, a good sensitivity (a few %) to  $\Delta\tan\beta/\tan\beta$  can  
 3335 be achieved, while for  $10 < \tan\beta < 30$  it would be 10-30 %.

## 3336 6.4 More possibilities

3337 Various exotic possibilities for the extended Higgs sector are motivated by other  
 3338 challenging problems of particle physics. We have little direct insight from experiment  
 3339 into the mechanisms that lead to neutrino masses, baryogenesis, and dark matter. The  
 3340 answers to each of these questions might arise in an extended Higgs boson sector.  
 3341 Models that address these questions have striking implications for extended Higgs  
 3342 processes that might be observed at the ILC.

3343 We have already pointed out that neutrino masses might be associated with the  
 3344 addition to the Standard Model of a triplet Higgs boson multiplet. These models,  
 3345 described in Section 6.2.3, lead to novel reactions at the ILC, including  $H^{++}$  pair

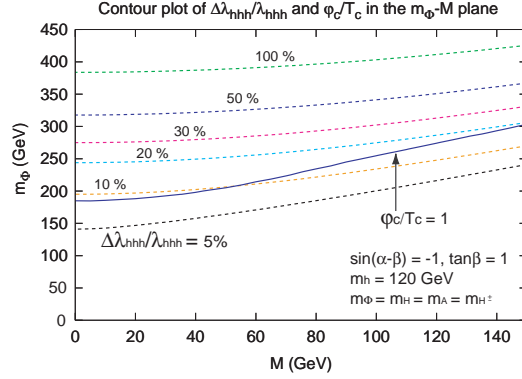


Figure 53: The region of strong first order phase transition ( $\varphi_c/T_c > 1$ ) required for successful electroweak baryogenesis and the contour plot of the deviation in the triple Higgs boson coupling from the SM prediction [49], where  $m_\Phi$  represents degenerated mass of  $H$ ,  $A$  and  $H^\pm$  and  $M$  is defined in Eq. (89).

3346 production to modes that are very difficult to discover at the LHC. For example,  
 3347 for  $m_{H^{++}} > m_{H^+} > m_{A,H}$  with the mass difference of  $O(10)$  GeV and  $v_\Delta \sim 10^{-5}$ -  
 3348  $10^{-3}$  GeV, the main decay modes are  $H^{\pm\pm} \rightarrow H^\pm W^\pm$ ,  $H^\pm \rightarrow W^\pm H$  and  $W^\pm A$ , and  
 3349  $H, A \rightarrow \nu \bar{\nu}$  [19]. In this case, it is challenging to measure the signal at the LHC [20],  
 3350 but the ILC may be able to study it via  $e^+e^- \rightarrow H^{++}H^{--} \rightarrow \ell^+\ell^+jjjj\nu\nu\nu\nu$  if the  
 3351 background is reduced sufficiently. The cross section of  $H^{++}H^{--}$  is about 100 fb for  
 3352  $m_{H^{\pm\pm}} = 200$  GeV, which implies that of the final state with a same sign dilepton  
 3353 signature with jets and missing energies can be around 10 fb including the charge  
 3354 conjugation final state.

3355 Alternative scenario for neutrino masses, which are directly relevant to the TeV  
 3356 scale physics, is based on radiative generation of neutrino masses by the extension of  
 3357 the Higgs sector [46,47,48]. The source of lepton number violation in these models is  
 3358 a coupling in the extended Higgs sector or Majorana masses of  $Z_2$ -odd right-handed  
 3359 neutrinos. The ILC can test these models by measuring characteristic extra scalars.  
 3360 For example, in the Ma model [47] where neutrino masses are generated at the one-  
 3361 loop level by the  $Z_2$  odd scalars and right handed neutrinos, the  $Z_2$  odd scalar doublets  
 3362  $(\xi^+, \xi^0)^T$  would be tested at the ILC via the distribution of jets such as  $e^+e^- \rightarrow$   
 3363  $\xi^+\xi^- \rightarrow jj\mu\nu\xi_r^0\xi_r^0$ : see Figure 52(left). A striking test of these models would be the  
 3364 observation of double like-sign Higgs production in  $e^-e^-$  collisions. The cross sections  
 3365 for this process in the Zee-Babu model [46] and the Aoki-Kanemura-Seto model [48]  
 3366 are shown in Fig. 52(right).

3367 Among the various scenarios for baryogenesis, the electroweak baryogenesis [50]  
 3368 is attractive because of its testability at the collider experiment. In the SM this

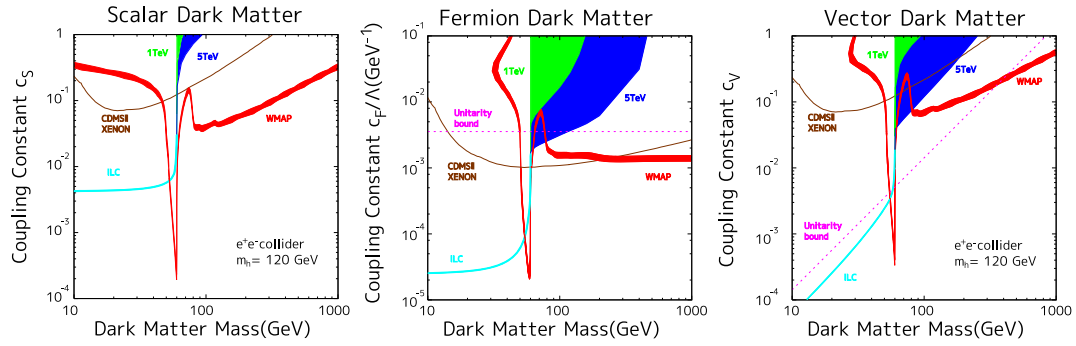


Figure 54: Sensitivities to detect the dark matter signal at the ILC and CLIC. The areas of  $N_S/\sqrt{N_S + N_B} > 5$  at the  $e^+e^-$  collider for  $\sqrt{s} = 1$  TeV (green) and 5 TeV (blue) with  $1 \text{ ab}^{-1}$  data are shown with assuming  $m_h = 120$  GeV. Constraints on direct detection experiments and the tree level unitarity for dark matter are also shown.

3369 scenario is already excluded by the data. The simplest viable model would be the  
 3370 THDM [51], which provides additional CP violating phases and sufficiently strong 1st  
 3371 order electroweak phase transition compatible with the 126 GeV SM-like Higgs boson  
 3372 by the loop effect of extra Higgs bosons. One of the interesting phenomenological  
 3373 predictions for such a scenario is a large quantum effect on the triple Higgs boson  
 3374 coupling [52,49]. The requirement of sufficiently strong 1st order phase transition  
 3375 results in a large deviation in the triple Higgs boson coupling as seen in Figure 53. The  
 3376 measurement of the triple Higgs coupling  $hhh$  is challenging at the LHC especially for  
 3377  $m_h \simeq 125$  GeV, and its measurement would be possible at the ILC with a substantial  
 3378 accuracy. The scenario of electroweak baryogenesis would be testable by measuring  
 3379 the triple Higgs boson coupling at the ILC.

3380 Dark matter requires a new stable particle with mass at the weak interaction scale.  
 3381 Though models involving supersymmetry and extra dimensions are more fashionable,  
 3382 there is no reason why this particle cannot come from an extended Higgs sector.  
 3383 The dark matter particle can be made stable by a  $Z_2$  or higher discrete symmetry  
 3384 of this sector. Models realizing this scenario are given in [53,54,55]. An important  
 3385 phenomenological prediction of these scenarios is the invisible decay  $h \rightarrow DD$  of the  
 3386 SM like Higgs boson into a dark matter pair, if this decay is kinematically allowed.  
 3387 At the linear collider, these invisible decays can be well measured via  $e^+e^- \rightarrow Zh \rightarrow$   
 3388  $\mu^+\mu^-DD$  by measuring the recoiled muon pair. The case  $m_h < 2m_D$ , where the  
 3389 above decay mode is not open, can be studied in the  $ZZ$  fusion process. Nabeshima  
 3390 has analyzed the LHC and linear collider prospects for the study of this reaction as  
 3391 shown in Fig. 54. The dark matter consistent with the WMAP data would be tested  
 3392 at the ILC [56].

3393 **6.5 Summary**

3394 The Higgs sector is the window for new physics beyond the Standard Model. There  
3395 is no reason to restrict this sector to the SM Higgs. There are several important  
3396 theoretical frameworks that predict an enriched Higgs sector. These extended Higgs  
3397 sectors possibilities are very important to explore not only for clarifying the nature  
3398 of the electroweak symmetry breaking but also for investigating the beyond Standard  
3399 Model Physics. The ILC will have in this respect also an important role to play for  
3400 the following reasons:

- 3401 1. Discovery potential: The LHC experiments have a strong potential for discovery  
3402 if an extended Higgs sector; they will be able to cover a wide region in the pa-  
3403 rameter space including the possibility to reach relatively very high mass range.  
3404 But the ILC will be able to scan specific important cases in a rather unique  
3405 way, as long as kinematically accessible, such for instance the charged Higgs  
3406 sector that are directly pair produced at this machine, or angular parameter  
3407 space that are much more difficult to reach at LHC, as for instance if MSSM,  
3408 and intermediate  $\tan \beta$  region around 5 to 10.
- 3409 2. Precision measurements: Even if LHC discovers new Higgs bosons, the ILC  
3410 can play an important and complementary role. Indeed some fundamental  
3411 parameters such as couplings could be measured with an increased precision at  
3412 ILC such as for instance the triple gauge coupling related to a relatively low  
3413 mass (125GeV) Higgs. Also some decays will be better measured at the ILC as  
3414 compared to LHC, even if one may take into account that by the time the ILC  
3415 will be running the precision reached by the upgraded LHC experiments will be  
3416 quite impressive. Mixing angles such as  $\tan \beta$  could be also very well measured  
3417 at ILC. These high precision measurements will complement those performed  
3418 by the LHC and will be instrumental to fully reconstruct and thus understand  
3419 the Higgs sector.
- 3420 3. Discriminating between several proposed Theoretical frameworks: Having two  
3421 different machines, i.e. an hadron and a lepton collider allow addressing in  
3422 different and complementary ways, tricky Physics scenarios as those proposed  
3423 by the BSM Higgs sector. This will be essential for progressing and thus dis-  
3424 entangling between different Physics hypotheses that give for instance similar  
3425 event signatures.

3426 The Higgs extended sector is a key-topic for exploring BSM. In order to advance  
3427 in this unknown field and try to disentangle among the many present theoretical pro-  
3428 posed frameworks, it is essential to have two complementary machines for comparing  
3429 and combining their results. ILC is essential to LHC and vice and versa.

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## 3522 7 SUSY

### 3523 7.1 Introduction

3524 While no direct evidence for the existence of non-Standard Model particles has  
3525 emerged so far, there are many indications that the Standard Model (SM) is not valid  
3526 up to the Planck scale. Among these, the most well-known is the gauge hierarchy  
3527 problem, the instability of the weak scale against quantum corrections to fundamental  
3528 scalar fields. Solutions to this problem require new particles to appear at or around  
3529 the weak scale. Additional problems arise from cosmology. The SM does not contain  
3530 any candidate particles to constitute the needed cold dark matter (CDM). It also  
3531 lacks a sufficient source of  $CP$  violation needed to explain baryogenesis. The SM is  
3532 not sufficient as a part of a complete theory of nature at very small distance scales  
3533 because the SM gauge couplings do not unify when extrapolated to high energies,  
3534 and because the SM has no clear way to incorporate quantum gravity.

3535 One approach which has the potential to address all these problems is Super-  
3536 symmetry (SUSY), a quantum spacetime symmetry which predicts a correspondence  
3537 between bosonic and fermionic fields [1,2,3,4]. SUSY removes the quadratic diver-  
3538 gences of scalar field theory and thus offers a solution to the aforementioned gauge  
3539 hierarchy problem. This allows for stable extrapolation of the Standard Model cou-  
3540 plings into the far ultraviolet ( $E \gg M_{weak}$ ) regime [5,6], with the suggestion of gauge  
3541 unification. SUSY provides an avenue for connecting the Standard Model to ideas of  
3542 grand unification (GUTs) and/or string theory, and provides a route to unification  
3543 with gravity via local SUSY, or supergravity theories [7,8,9]. SUSY theories offer sev-  
3544 eral candidates [10] for dark matter, including the neutralino, the gravitino or a singlet  
3545 sneutrino. In SUSY theories where the strong  $CP$  problem is solved via the Peccei-  
3546 Quinn mechanism, there is the added possibility of mixed axion-neutralino [11,12,13],  
3547 axion-axino [14,15,16] or axion-gravitino cold dark matter. In order to explain the  
3548 measured baryon to photon ratio  $\eta \sim 10^{-10}$ , SUSY offers at least three prominent  
3549 possibilities including electroweak baryogenesis (now nearly excluded in the minimal  
3550 theory by limits on  $m_{\tilde{t}_1}$  and a light Higgs scalar with  $m_h \sim 125$  GeV [17]), thermal  
3551 and non-thermal leptogenesis [18], and Affleck-Dine baryo- or leptogenesis [19,20].

3552 There is good reason, then, to adopt SUSY as a well-motivated example of an ex-  
3553 tension of the Standard Model in order to discuss the potential of the ILC to solve the  
3554 current puzzles of electroweak symmetry breaking, cosmology and grand unification.  
3555 In this section, we will describe the capabilities offered by the ILC for the discovery  
3556 of supersymmetric particles and the precision measurement of their properties. It  
3557 should be stressed that the experimental capabilities of the ILC presented here apply  
3558 to new particles with similar signatures whatever the nature of the high scale model.

## 3559 7.2 Setting the Scene

3560 The simplest supersymmetric theory which contains the SM is known as the Mini-  
3561 mal Supersymmetric Standard Model, or MSSM. To construct the MSSM, one adopts  
3562 the gauge symmetry of the SM and promotes all SM fields to superfields. There is a  
3563 unique generalization of the SM if one imposes the requirements of gauge symmetry,  
3564 renormalizability, and  $R$ -parity conservation. This model requires two Higgs doublet  
3565 superfields, and thus includes an extended Higgs sector as described in Section 6 as  
3566 well as corresponding higgsino particles. To be phenomenologically viable, super-  
3567 symmetry must be broken. SUSY breaking is implemented explicitly in the MSSM  
3568 by adding all allowed *soft* SUSY breaking terms. The resulting model contains 124  
3569 parameters, many of which lead to flavor violation (FV) or CP violation (CPV). The  
3570 pMSSM ignores the FV and CPV terms, and then contains just 19 or 24 weak scale  
3571 parameters, depending on whether one does or does not assume universality between  
3572 the masses of the first and second generation scalar superpartners [21,22].

3573 Because of the large number of parameters in the general MSSM, the phenomenol-  
3574 ogy of SUSY has often been discussed in terms of a subspace of the more general  
3575 theory with a reduced parameter set. For many years, the phenomenology of SUSY  
3576 was described using the parameter space of a set of models called “minimal super-  
3577 gravity” [23], also known as mSUGRA or the cMSSM. These models assumed that  
3578 the soft supersymmetry breaking parameters unified at the GUT scale, so that the  
3579 model could be described by four parameters, a weak scale gravitino mass  $m_{3/2}$  and  
3580 universal scalar masses  $m_0$ , gaugino masses  $m_{1/2}$  and trilinear terms  $A_0$  at the GUT  
3581 scale. Other similarly specific choices are given by the minimal gauge mediated SUSY  
3582 breaking model [24] and the minimal anomaly-mediated SUSY breaking model [25,26].  
3583 In all of these schemes, the unification assumption ties together the mass scales of  
3584 the supersymmetric partners of quarks, gluons, gauge bosons, and Higgs bosons.

3585 In fact, it was realized a long time ago that the constraints linking these scales  
3586 are not necessary and might not yield the most attractive models. In 1996, Cohen,  
3587 Kaplan, and Nelson discussed the “more minimal supersymmetric Standard Model”  
3588 in which only the partners of the third generation particles are light [27]. Over the  
3589 years, other authors have discussed models in which some or all of the squarks are  
3590 very heavy with respect to the electroweak scale without disturbing the naturalness  
3591 of electroweak symmetry breaking [28,29,30].

3592 Now the first data from the LHC have weighed in on this issue. Searches at ATLAS  
3593 and CMS have excluded minimal supergravity or the cMSSM for all models in which  
3594 the squark and gluino masses are below 1 TeV [31,32]. These powerful exclusions  
3595 have, to our knowledge, not caused any theorists to abandon SUSY. However, they  
3596 have led to a dramatic change in thinking about the parameter space of the MSSM.

3597 Specifically, these exclusions have led theorists to rethink the expectations for  
 3598 the masses of supersymmetric particles that come from the idea that supersymmetry  
 3599 should naturally produce the scale of electroweak symmetry breaking. It is easy to  
 3600 arrange in a supersymmetric model that the Higgs bosons have a potential with a  
 3601 symmetry-breaking minimum. The condition for minimizing this potential can be  
 3602 written

$$\frac{1}{2}m_Z^2 = \frac{(m_{H_d}^2 + \Sigma_d) - (m_{H_u}^2 + \Sigma_u) \tan^2 \beta}{(\tan^2 \beta - 1)} - \mu^2. \quad (99)$$

3603 where,  $\Sigma_u$  and  $\Sigma_d$  arise from radiative corrections [33]. The largest contribution to  
 3604  $\Sigma_u$  comes from the mass of the top squarks  $\tilde{t}_i$ ,  $i = 1, 2$ ,

$$\Sigma_u(\tilde{t}_i) \sim -\frac{3y_t^2}{16\pi^2} \times m_{\tilde{t}_i}^2 \left( \ln(m_{\tilde{t}_i}^2/v^2) - 1 \right), \quad (100)$$

3605 where  $y_t$  is the top quark Yukawa coupling and  $v$  is the Higgs vacuum expectation  
 3606 value. The negative sign of this radiative correction is typically the force that drives  
 3607 the Higgs mass term negative.

3608 The MSSM is said to generate the electroweak scale “naturally” if the terms in (99)  
 3609 are all of roughly the same size, without large cancellations between the two terms  
 3610 on the right-hand side. By this criterion, the primary implication of the naturalness  
 3611 of the electroweak scale is that the parameter  $\mu$ , the higgsino mass parameter, should  
 3612 be of the order of 100 GeV [34,35]. Other supersymmetric partners are required to  
 3613 be light only to the extent that they contribute to the parameters of (99) through  
 3614 radiative corrections. The particles primarily constrained by this criterion are the  
 3615 higgsinos themselves, the top squarks, which enter through (100), and the gluino,  
 3616 whose mass enters the radiative corrections to the top squark masses.

3617 Imposing this criterion strictly leads to a very different spectrum from that of the  
 3618 cMSSM. In the cMSSM,  $\mu$  is an output parameter and the values typically output  
 3619 are larger than the squark and gluino masses. Direct argumentation from (99), on  
 3620 the other hand, leads to a spectrum in which  $|\mu| \sim 100 - 200$  GeV, so that the  
 3621 lightest neutralino is likely higgsino-like. The third generation squarks should have  
 3622 masses that are relatively small, though these masses might be as high as  $\lesssim 1 - 1.5$   
 3623 TeV [36]. The gluino could be heavier, up to a few TeV [37]. The superpartners of  
 3624 electroweak gauge bosons would be found at masses of 1-2 TeV, while the first and  
 3625 second generation scalar partners could be much heavier, possibly in the multi-TeV  
 3626 regime. This last condition is actually beneficial, giving at least a partial solution  
 3627 to the SUSY flavor,  $CP$ , proton decay, and gravitino problems. This region of the  
 3628 MSSM parameter space has been dubbed “natural SUSY” [38]. The extreme limit of  
 3629 this schema, in which only the higgsinos are light, has been studied in [39,40]. A more  
 3630 general exploration of the parameter space of natural SUSY can be found in [41].

3631 The push from the LHC results toward natural SUSY has motivated many theo-  
 3632 rists to find model-building explanations for this choice of SUSY parameters. Some  
 3633 interesting proposals can be found in [42,43,44,45]. Not only have the LHC results  
 3634 on SUSY not damped theorists' enthusiasm, but they have pushed theorists increas-  
 3635 ingly toward models with higgsino-like charginos and neutralinos with masses below  
 3636 250 GeV that are ideal targets for the ILC experiments.

### 3637 7.3 Direct and Indirect Experimental Constraints

#### 3638 7.3.1 Particle Sectors of a Supersymmetric Model

3639 In this section, we present the current direct and indirect experimental constraints  
 3640 on SUSY models. We have emphasized in the previous section that a SUSY model  
 3641 consistent with the experimental constraints from the LHC probably does not belong  
 3642 to the subspace of artificially unified models such as the cMSSM. We find it most  
 3643 useful to analyze an MSSM model in terms of distinct particle sectors with different  
 3644 properties and influence. At generic points in the MSSM parameter space, these  
 3645 sectors can have masses very different from one another. It is important to keep track  
 3646 of which experimental constraints apply to which sector.

3647 The new particle sectors of an MSSM model are:

- 3648 1. The first and second generation squarks.
- 3649 2. The first and second generation sleptons.
- 3650 3. The third generation squarks and sleptons.
- 3651 4. The gauginos.
- 3652 5. The higgsinos.

3653 We have already described the constraints on the masses of these particles from  
 3654 the theoretical consideration of naturalness. We now review the constraints from  
 3655 experiment.

#### 3656 7.3.2 Indirect Constraints on SUSY Models

3657 The magnetic moment of the muon  $a_\mu \equiv \frac{(g-2)_\mu}{2}$  was measured by the Muon  $g - 2$   
 3658 Collaboration [46] and has been found to give a  $3.6\sigma$  discrepancy with SM calcula-  
 3659 tions based on  $e^+e^-$  data [47]:  $\Delta a_\mu = a_\mu^{meas} - a_\mu^{SM}[e^+e^-] = (28.7 \pm 8.0) \times 10^{-10}$ .

3660 When  $\tau$ -decay data are used to estimate the hadronic vacuum polarization contri-  
 3661 bution rather than low energy  $e^+e^-$  annihilation data, the discrepancy reduces to  
 3662  $2.4\sigma$ , corresponding to  $\Delta a_\mu = a_\mu^{meas} - a_\mu^{SM}[\tau] = (19.5 \pm 8.3) \times 10^{-10}$ . The SUSY  
 3663 contribution to the muon magnetic moment is [48]

$$\Delta a_\mu^{SUSY} \sim \frac{m_\mu^2 \mu M_i \tan \beta}{m_{SUSY}^4}, \quad (101)$$

3664 where  $i = 1, 2$  labels the electroweak gaugino masses and  $m_{SUSY}$  is the characteristic  
 3665 sparticle mass circulating in the muon-muon-photon vertex correction, one of:  $m_{\tilde{\mu}_{L,R}}$ ,  
 3666  $m_{\tilde{\nu}_\mu}$ ,  $m_{\tilde{\chi}_i^+}$  and  $m_{\tilde{\chi}_j^0}$ . Attempts to explain the muon  $g-2$  anomaly using supersymmetry  
 3667 usually invoke sparticle mass spectra with relatively light smuons and/or large  $\tan \beta$   
 3668 (see *e.g.* Ref. [49]). Some SUSY models where  $m_{\tilde{\mu}_{L,R}}$  is correlated with squark  
 3669 masses (such as mSUGRA) are now highly stressed to explain the  $(g-2)_\mu$  anomaly.  
 3670 In addition, since naturalness favors a low value of  $|\mu|$ , tension again arises between  
 3671 a large contribution to  $\Delta a_\mu^{SUSY}$  and naturalness conditions. These tensions motivate  
 3672 scenarios with non-universal scalar masses [50].

3673 The combination of several measurements of the  $b \rightarrow s\gamma$  branching fraction finds  
 3674 that  $BF(b \rightarrow s\gamma) = (3.55 \pm 0.26) \times 10^{-4}$  [51]. This is somewhat higher than the SM  
 3675 prediction [52] of  $BF^{SM}(b \rightarrow s\gamma) = (3.15 \pm 0.23) \times 10^{-4}$ . SUSY contributions to the  
 3676  $b \rightarrow s\gamma$  decay rate come mainly from chargino-top squark loops and loops containing  
 3677 charged Higgs bosons. They are large when these particles are light and when  $\tan \beta$   
 3678 is large [53].

3679 The decay  $B_s \rightarrow \mu^+\mu^-$  occurs in the SM at a calculated branching ratio value  
 3680 of  $(3.2 \pm 0.2) \times 10^{-9}$ . The CMS experiment [54] has provided an upper limit on  
 3681 this branching fraction of  $BF(B_s \rightarrow \mu^+\mu^-) < 1.9 \times 10^{-8}$  at 95% CL. The CDF  
 3682 experiment [55] claims a signal in this channel at  $BF(B_s \rightarrow \mu^+\mu^-) = (1.8 \pm 1.0) \times$   
 3683  $10^{-8}$  at 95% CL, which is in some discord with the CMS result. Finally, the LHCb  
 3684 experiment has reported a strong new bound of  $BF(B_s \rightarrow \mu^+\mu^-) < 4.5 \times 10^{-9}$  [56].  
 3685 In supersymmetric models, this flavor-changing decay occurs through exchange of the  
 3686 pseudoscalar Higgs  $A$  [57,58]. The contribution to the branching fraction from SUSY  
 3687 is proportional to  $\tan^6 \beta / m_A^4$ .

3688 The branching fraction for  $B_u \rightarrow \tau^+\nu_\tau$  decay is calculated [59] in the SM to be  
 3689  $BF(B_u \rightarrow \tau^+\nu_\tau) = (1.10 \pm 0.29) \times 10^{-4}$ . This is to be compared to the value from  
 3690 the Heavy Flavor Averaging group [60], which finds a measured value of  $BF(B_u \rightarrow$   
 3691  $\tau^+\nu_\tau) = (1.41 \pm 0.43) \times 10^{-4}$ , in agreement with the SM prediction, but leaving room  
 3692 for additional contributions. The main contribution from SUSY comes from tree-level  
 3693 charged Higgs exchange, and is large at large  $\tan \beta$  and low  $m_{H^+}$ .

3694 Finally, measurements of the cold dark matter (CDM) abundance in the universe  
 3695 find  $\Omega_{CDM} h^2 \sim 0.11$ , where  $\Omega_{CDM}$  is the dark matter relic density scaled in terms

3696 of the critical density. Simple explanations for the CDM abundance in terms of  
 3697 thermally produced neutralino LSPs are now highly stressed by LHC SUSY searches,  
 3698 and are even further constrained if the light SUSY Higgs  $h$  turns out to have mass  
 3699  $\sim 125$  GeV [61]. A higgsino LSP is not a good dark matter candidate, since it has  
 3700 too large an annihilation rate to vector boson pairs, leading to too small a thermal  
 3701 relic density. However, this deficit can be repaired in well-motivated extensions of  
 3702 the MSSM, including mixed axion-LSP dark matter and models with late decaying  
 3703 moduli fields. For purposes of considering ILC or LHC physics, it seems prudent not  
 3704 to take dark matter abundance constraints on SUSY theories too seriously at this  
 3705 point in time.

### 3706 7.3.3 Impact of Higgs Searches

3707 The ATLAS and CMS experiments have reported the discovery of a narrow resonance  
 3708 with mass near 125 GeV [62,63]. At the same time, they exclude a Standard Model-  
 3709 like Higgs boson in the mass ranges 110 – 123 and 130 – 558 GeV at 95% CL. The  
 3710 discovery is based on an excess of events mainly in the  $\gamma\gamma$ ,  $ZZ^* \rightarrow 4\ell$  and  $WW^*$   
 3711 decay channels. These excesses are also corroborated by recent reports from CDF  
 3712 and D0 at the Fermilab Tevatron of excess events in the  $Wb\bar{b}$  and other channels over  
 3713 the mass range 115-130 GeV [64].

3714 Searches by ATLAS and CMS for  $H, A \rightarrow \tau^+\tau^-$  now exclude a large portion of  
 3715 the  $m_A$  vs.  $\tan\beta$  plane [65,66]. In particular, the region around  $\tan\beta \sim 50$ , which  
 3716 is favored by Yukawa-unified SUSY GUT theories, now excludes  $m_A < 500$  GeV. For  
 3717  $\tan\beta = 10$ , only the range  $120 \text{ GeV} < m_A < 220 \text{ GeV}$  is excluded. ATLAS excludes  
 3718 charged Higgs bosons produced in association with a  $t\bar{t}$  pair for  $m_{H^\pm} < 150$  GeV for  
 3719  $\tan\beta \sim 20$  [67].

3720 A Higgs mass of  $m_h = 125 \pm 3$  GeV lies within the narrow mass range  $m_h \sim$   
 3721  $115 - 135$  GeV which is allowed between LEP searches for a SM-like Higgs boson and  
 3722 calculations of an upper limit to  $m_h$  within the MSSM. However, such a large value of  
 3723  $m_h$  requires large radiative corrections and large mixing in the top squark sector. In  
 3724 models such as mSUGRA, trilinear soft parameters  $A_0 \sim \pm 2m_0$  are thus preferred,  
 3725 and values of  $A_0 \sim 0$  would be ruled out [68,69,70]. In other constrained models  
 3726 such as the minimal versions of GMSB or AMSB, Higgs masses of 125 GeV require  
 3727 even the lightest of sparticles to be in the multi-TeV range [61], leading to enormous  
 3728 electroweak fine-tuning. In the mSUGRA/cMSSM model, requiring a Higgs mass of  
 3729 about 125 GeV pushes the best fit point in  $m_0$  and  $m_{1/2}$  space into the multi-TeV  
 3730 range [68] and makes global fits of the model to data increasingly difficult [71]. This  
 3731 already motivates us to consider the prospects for precision measurements of new  
 3732 particles at the ILC in a more general context than the cMSSM.

3733 7.3.4 Direct Searches for Supersymmetric Particles

3734 The most model-independent limits on SUSY particles, especially the uncoloured  
 3735 ones, have been set by the LEP experiments [72,73,74,75,76] on *sleptons, charginos*  
 3736 *and neutralinos*. The fact that these limits have not been superseded in the general  
 3737 case by LHC data illustrates the complementarity of  $e^+e^-$  and  $pp$  colliders as well  
 3738 as the fact that the interpretation of  $e^+e^-$  data requires significantly fewer model  
 3739 assumptions.

3740 The ATLAS and CMS collaborations have searched for multi-jet+ $E_T^{\text{miss}}$  events  
 3741 arising from gluino and squark pair production in  $4.4 \text{ fb}^{-1}$  of 2011 data taken at  
 3742  $\sqrt{s} = 7 \text{ TeV}$  [77,79] and in up to  $5.8 \text{ fb}^{-1}$  of 2012 data taken at  $\sqrt{s} = 8 \text{ TeV}$  [78]. In  
 3743 the limit of very heavy squark masses, they exclude  $m_{\tilde{g}} \lesssim 1.1 \text{ TeV}$ , while for  $m_{\tilde{q}} \simeq m_{\tilde{g}}$   
 3744 then  $m_{\tilde{q}} \lesssim 1.5 \text{ TeV}$  is excluded, assuming  $m_{t_{z1}} = 0 \text{ GeV}$  in both cases.  $m_{\tilde{q}}$  refers to a  
 3745 generic first generation squark mass scale, since these are the ones whose production  
 3746 rates depend strongly on valence quark PDFs in the proton.

3747 A recent ATLAS search for direct bottom squark pair production followed by  
 3748  $\tilde{b}_1 \rightarrow b\tilde{\chi}_1^0$  decay ( $pp \rightarrow \tilde{b}_1\tilde{b}_1 \rightarrow b\bar{b} + E_T^{\text{miss}}$ ) based on  $2 \text{ fb}^{-1}$  of data at  $\sqrt{s} = 7 \text{ TeV}$  now  
 3749 excludes  $m_{\tilde{b}_1} \lesssim 350 \text{ GeV}$  for  $m_{\tilde{\chi}_1^0}$  as high as  $120 \text{ GeV}$ . For larger values of  $m_{\tilde{\chi}_1^0}$ , there  
 3750 is no limit at present [80]. These constraints also apply to top squark pair production  
 3751 where  $\tilde{t}_1 \rightarrow b\tilde{\chi}^+$  decay and the  $\tilde{\chi}^+$  decays to soft, nearly invisible particles, as would  
 3752 be expected in natural SUSY.

3753 In models with gaugino mass unification and heavy squarks (such as mSUGRA  
 3754 with large  $m_0$ ), electroweak gaugino pair production  $pp \rightarrow \tilde{\chi}_1^\pm \tilde{\chi}_2^0$  is the dominant  
 3755 SUSY particle production cross section at LHC7 for  $m_{\tilde{g}} > 0.5 \text{ TeV}$  [81]. Two searches  
 3756 by ATLAS in the 3 lepton final state using  $2.1 \text{ fb}^{-1}$  of 7 TeV data [82] and in the 2  
 3757 lepton final state using  $4.7 \text{ fb}^{-1}$  of 8 TeV data [83] give results in the pMSSM and in  
 3758 a simplified model. Both cases assume that chargino and neutralino decay to inter-  
 3759 mediate sleptons, which enhances the leptonic branching fractions. The theoretically  
 3760 more interesting case of chargino and neutralino three-body decay through  $W^*$  and  
 3761  $Z^*$  leading to a clean trilepton signature [84,85] awaits further data and analysis.

3762 The opposite-sign/same flavor dilepton final state [83] can also originate from  
 3763 direct production of slepton pairs. The resulting exclusion in the slepton-LSP mass  
 3764 plane is rather model-independent and extends the LEP2 limit to higher slepton  
 3765 masses of up to  $200 \text{ GeV}$  for an LSP mass of  $30 \text{ GeV}$ . For LSP masses larger than  
 3766  $80 \text{ GeV}$ , no slepton masses can be excluded beyond the LEP2 limit.

3767 In addition, a wide variety of other searches for SUSY have been made – including  
 3768 searches for long-lived quasi-stable particles, electroweakinos with small mass differ-



3769 ence, RPV SUSY, minimal gauge mediated SUSY etc. After  $5 \text{ fb}^{-1}$  of data at LHC7  
3770 and a first glimpse into another  $5 \text{ fb}^{-1}$  of data at LHC8, it is safe to say that no  
3771 compelling signal for SUSY has yet emerged at LHC.

### 3772 7.3.5 Impact of the constraints on the SUSY particle sectors

3773 We can summarize the results of this section as constraints on the various sectors of  
3774 an MSSM model set out in Section 7.3.1:

- 3775 1. The first and second generation squarks: The particles in this sector are highly  
3776 constrained by flavour and  $CP$  violation limits and by LHC squark searches.  
3777 Typically we expect  $m_{\tilde{q}} \gtrsim 1.5 \text{ TeV}$ . This sector has little connection to the EW  
3778 scale: indeed, in split SUSY models [86] the squark (and slepton) masses are  
3779 sometimes pushed to the  $10^{10} \text{ GeV}$  level.
- 3780 2. The first and second generation sleptons: The particles in this sector are favored  
3781 by  $(g-2)_\mu$  to have masses below 1 TeV. However, the absence of leptonic flavour  
3782 violating processes (*e.g.*  $\mu \rightarrow e\gamma$  decay) push this sector to be much heavier.
- 3783 3. The third generation squarks and sleptons: The particles in this sector are  
3784 influenced by large Yukawa couplings. Naturalness favors their masses to be  
3785 below 1 TeV, although  $B$ -meson decay data prefer top squarks with mass at or  
3786 above the TeV scale.
- 3787 4. The gauginos: The particles in this sector are in principle independent of the  
3788 squark mass scale and might also be independent of one another. Simple SUSY  
3789 GUT models favor gaugino mass unification  $M_1 = M_2 = M_3 \equiv m_{1/2}$  at  $M_{GUT}$ ,  
3790 giving a  $1 : 2 : 7$  ratio of masses at the weak scale. More general models allow  
3791 for essentially independent gauginos masses. Electroweak fine-tuning prefers  
3792 gaugino masses not too far above the TeV scale. As of today,  $M_1$  and  $M_2$  are  
3793 not substantially constrained beyond the LEP limits, but  $M_3$ , the gluino mass,  
3794 probably must be above 1 TeV.
- 3795 5. The higgsinos: The masses of the particles in this sector are determined by the  
3796 superpotential  $\mu$  term, which is not a soft SUSY breaking term. In the context  
3797 of the MSSM alone, it could be expected to occur at the  $M_{GUT}$  or  $M_{string}$  scale.  
3798 This however would require immense fine-tuning in the corrections to the  $Z$   
3799 mass: *c.f.* Eq'n 99. Naturalness arguments prefer a value of  $|\mu|$  not far above  
3800  $\sim 100 \text{ GeV}$ , close to but somewhat beyond the limits from LEP2 chargino  
3801 searches.

3802 Ironically, the LHC has its greatest capability—in terms of mass reach—to detect  
 3803 the first generation squarks and the gluinos. These are particles with indirect or no  
 3804 connection to the  $Z$  mass scale. On the other hand, the ILC has an excellent capability  
 3805 to detect electroweakinos. In the case where the light electroweakinos are higgsinos,  
 3806 the ILC would be directly probing that sector which is most directly connected to the  
 3807  $Z$ -mass scale via electroweak fine-tuning. The ILC also has excellent capabilities to  
 3808 study the sleptons, probing a sector that is very difficult to study at the LHC. It is  
 3809 possible that the third generation squarks and sleptons lie within the mass range of  
 3810 the ILC. In that case, the ILC would greatly enhance the knowledge of these sparticles  
 3811 gained from the LHC, since the ILC has the capability to precisely measure not only  
 3812 the masses but also the quantum numbers and mixing angles of these particles. We  
 3813 will present examples of these ILC capabilities in the next several sections.

## 3814 7.4 Two benchmark points for the ILC

3815 In Ref. [87], a variety of post LHC7 benchmark points for ILC physics were pro-  
 3816 posed. Here, we include two of these for reference in the discussion of supersymmetric  
 3817 particle discovery and measurement capabilities at the ILC. These models are com-  
 3818 pletely viable in the face of the LHC supersymmetry searches and they address im-  
 3819 portant questions in physics beyond the Standard Model. Many of the more specific  
 3820 scenarios discussed in Section 7.5 can be identified within their particle spectra.

### 3821 7.4.1 Natural SUSY and light higgsinos

3822 For natural SUSY (NS), we adopt a benchmark point using input parameters  $m_0(1, 2) =$   
 3823  $13500$  GeV,  $m_0(3) = 760$  GeV,  $m_{1/2} = 1380$  GeV,  $A_0 = -167$  GeV,  $\tan\beta = 23$  GeV,  
 3824  $\mu = 150$  GeV and  $m_A = 1550$  TeV. The resulting mass spectrum is listed in Table 1  
 3825 of Ref. [87] and shown in Figure 55.

3826 The point contains higgsino-like  $\tilde{\chi}_1^0$ ,  $\tilde{\chi}_2^0$  and  $\tilde{\chi}_1^\pm$  with masses  $\sim \mu = 150$  GeV, where  
 3827  $m_{\tilde{\chi}_1} - m_{\tilde{\chi}_1^0} = 7.4$  GeV and  $m_{\tilde{\chi}_2^0} - m_{\tilde{\chi}_1^0} = 7.8$  GeV. Due to the small energy release in  
 3828 their three body decays, the  $\tilde{\chi}_1^\pm$  and  $\tilde{\chi}_2^0$  will be difficult to detect at LHC [40]. Third  
 3829 generation squark masses are at  $m_{\tilde{t}_1} = 286.1$  GeV,  $m_{\tilde{t}_2} = 914.9$  GeV and  $m_{\tilde{b}_1} = 795.1$   
 3830 GeV. Since the mass difference  $m_{\tilde{t}_1} - m_{\tilde{\chi}_1^0}$  is less than the top mass, the decay  $\tilde{t}_1 \rightarrow b\tilde{\chi}_1^\pm$   
 3831 dominates, thus yielding a signature for  $\tilde{t}_1$  pair production of two acollinear  $b$ -jets plus  
 3832 missing transverse energy. It is likely that the LHC experiments will eventually find  
 3833 the  $\tilde{t}_1$ , though at the moment the searches are not sensitive. Resolving the  $\tilde{\chi}_1^\pm$ ,  $\tilde{\chi}_1^0$   
 3834 (and  $\tilde{\chi}_2^0$ ) as distinct states will be extremely difficult at the LHC. Most other particles  
 3835 lie well beyond LHC reach.

3836 For ILC, the spectrum of higgsino-like  $\tilde{\chi}_1^\pm$ ,  $\tilde{\chi}_1^0$  and  $\tilde{\chi}_2^0$  would be accessible for  
 3837  $\sqrt{s} \gtrsim 320$  GeV via  $\tilde{\chi}^+\tilde{\chi}^-$  and  $\tilde{\chi}_2^0\tilde{\chi}_2^0$  pair production and  $\tilde{\chi}_1^0\tilde{\chi}_2^0$  mixed production.  
 3838 although the energy release from decays will be small at beam energies near the  
 3839 threshold. Top squark pair production would become accessible when  $\sqrt{s}$  exceeds  
 3840 about 575 GeV.

#### 3841 7.4.2 An MSSM model with light sleptons

3842 Using the freedom in the MSSM to decouple the masses of squarks and sleptons, we  
 3843 generated a model in the 13-parameter pMSSM that gives a spectrum of color singlet  
 3844 particles close to that of the well-studied SPS1a' point [130]. The SPA1a' point  
 3845 is phenomenologically well-motivated in that it naturally reconciles the measured  
 3846  $(g-2)_\mu$  anomaly (which favors light smuons) with the measured  $b \rightarrow s\gamma$  branching  
 3847 fraction (which favors rather heavy third generation squarks). It furthermore predicts  
 3848 a neutralino relic density compatible with cosmological observations, making use of  
 3849 stau coannihilation. The SPA1a' point belongs to the cMSSM and so is now excluded  
 3850 by LHC searches for squarks and sleptons. But it is easy to find a more general MSSM  
 3851 point that shares its virtues and is not yet tested by LHC searches. We call this the  
 3852  $\delta M\tilde{\tau}$  model. The particle masses of this model are listed in Table 2 of Ref. [87] and  
 3853 displayed in Figure 55.

3854 With gluino and first/second generation squark masses around 2 TeV, the model  
 3855 lies beyond current LHC limits, especially since the gluino decays dominantly via  $\tilde{t}_1 t$   
 3856 or  $\tilde{b}_1 b$ . The tau sleptons  $\tilde{\tau}_1$  have masses of 104 GeV, so stau pair production would  
 3857 be accessible even at the first stage of ILC running. Right-selectrons and smuons  
 3858 with mass 135 GeV would also be produced at the ILC during the early runs, while  
 3859 left-sleptons and sneutrinos, with mass about 200 GeV, would be accessible when  
 3860  $\sqrt{s}$  exceeds 400 GeV. The  $\tilde{\chi}_1^0\tilde{\chi}_2^0$  reaction opens up at  $\sqrt{s} > 250$  GeV, and  $\tilde{\chi}_1^+\tilde{\chi}_1^-$   
 3861 pair production is accessible for  $\sqrt{s} \gtrsim 310$  GeV. In addition, with  $m_{A,H} \sim 400$  GeV,  
 3862  $hA$  production opens at 525 GeV, stop pair production at 600 GeV, sbottom pair  
 3863 production at 680 GeV and finally charged Higgses and  $HA$  appear at 800 GeV.

### 3864 7.5 Experimental Capabilities and Parameter Determination

3865 In this section, we will review the ILC's experimental capabilities for precision  
 3866 measurements of SUSY particle properties. These measurements allow to determine  
 3867 the parameters of the underlying theory and to test its consistency at the quantum  
 3868 loop level.

3869 As discussed above, the highly constrained cMSSM/mSUGRA models of super-

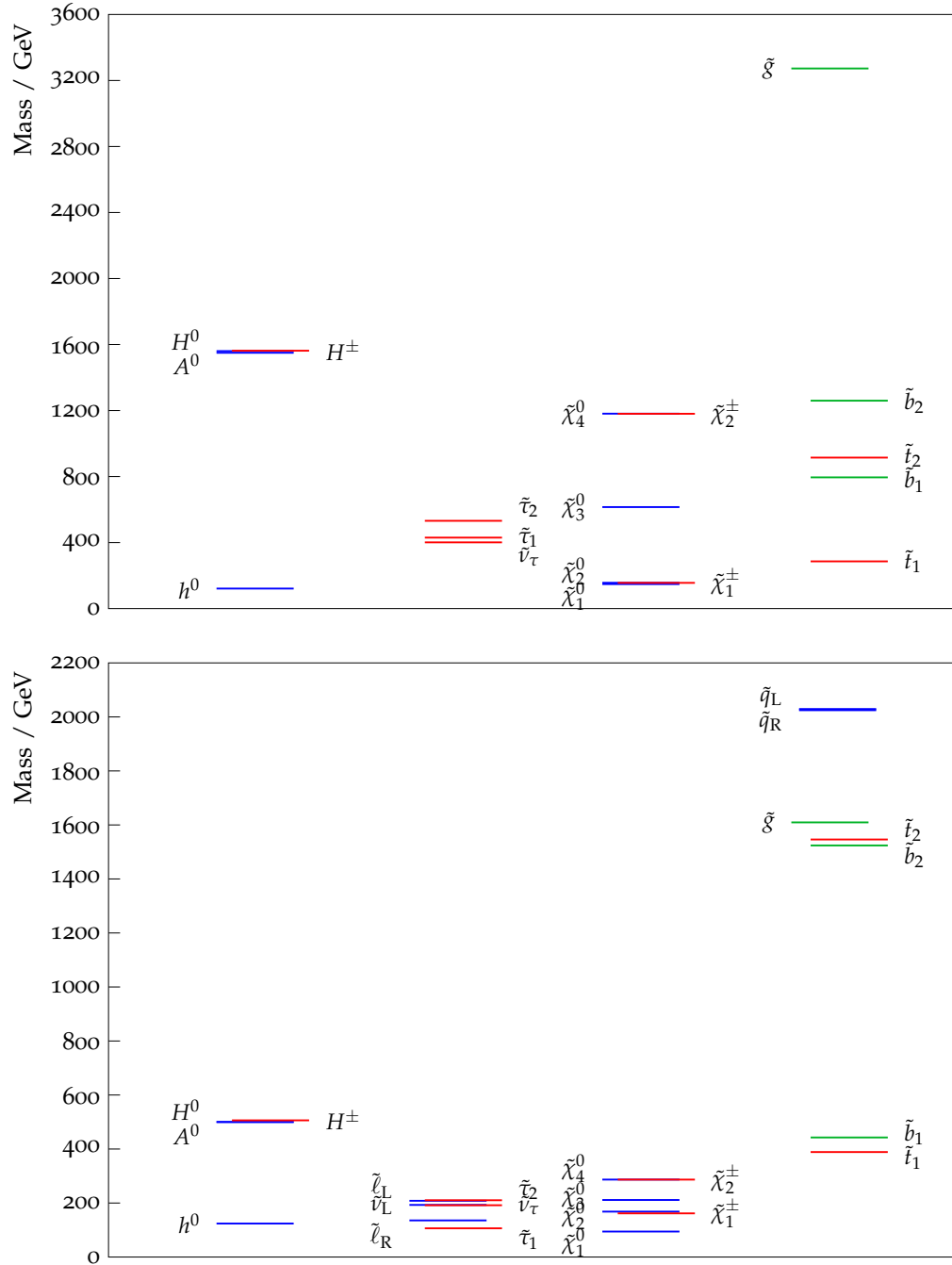


Figure 55: SUSY particle spectrum of the two benchmark scenarios discussed in Section 7.4: Top: Natural SUSY model; Bottom:  $\delta M \tilde{\tau}$  model.

3870 symmetry are under tension from several different types of LHC observations. There-  
3871 fore, we will discuss SUSY measurements in the more general context of the  $CP$  and  
3872  $R$ -parity conserving MSSM. At the ILC, we will study the lightest particles of the  
3873 SUSY spectrum, so the measurements that we will discuss involve simple reactions  
3874 without complex cascade decay chains [92]. Thus, these measurements involve only  
3875 a few of the MSSM parameters and, typically, those parameters can be determined  
3876 with high precision.

3877 We start with the minimal case in which only the lighter neutralinos and charginos  
3878 are kinematically accessible. We then proceed to discuss sleptons and squarks, es-  
3879 pecially those of the third generation. Finally, we discuss possible extensions of the  
3880 theory, encompassing  $R$ -parity violation,  $CP$  violation, the NMSSM and the MSSM  
3881 with an additional gauge group. We close with comments on model discrimination  
3882 and parameter determination.

### 3883 7.5.1 Neutralino and Chargino Sector

3884 At the ILC, the electroweak gaugino sector can be probed in a model independent  
3885 way up to masses of  $\sqrt{s}/2$ . Associated pair production can access masses above  
3886 this value. The masses of the electroweak gauginos can be measured with extremely  
3887 high precision, in particular at threshold scans with a precision below the per mille  
3888 level [88,89].

3889 Most of the SUSY models consistent with all experimental data feature light  
3890 electroweakinos. These can either have dominant Bino/Wino components, or—as  
3891 motivated by naturalness—dominant higgsino components. Examples of the latter  
3892 case include the Natural SUSY benchmark introduced in section 7.4.1, as well as  
3893 models with mixed gauge-gravity mediation [90], and the remaining points in the  
3894 cMSSM parameter space. A more detailed overview of the light higgsino case is given  
3895 in [87]. A characteristic pattern in all cases is a very small mass splitting between the  
3896  $\chi_1^0$  and  $\chi_1^\pm / \chi_2^0$  of typically a few GeV or smaller. This small splitting is very difficult  
3897 to resolve at the LHC. However, these states can be discovered and disentangled at  
3898 the ILC by using ISR recoil techniques to overcome the background from 2-photon  
3899 processes, and taking advantage of the capability of the detectors to observe the very  
3900 soft visible decay products of the  $\chi_1^\pm / \chi_2^0$ . These models can also lead to short  
3901 displaced vertices that can be resolved thanks to the excellent vertex resolution at  
3902 the ILC.

3903 In the past, the case of small mass splitting between  $\chi_1^\pm$  and  $\chi_1^0$  has been studied  
3904 in the context of AMSB models [91], where it has been shown that mass differences  
3905 down to 50 MeV can be resolved. For a 400 MeV mass difference, it has been shown

3906 that the  $\chi_1^\pm$  mass can be determined to 1.8 GeV from the recoil against an ISR photon.  
 3907 Observing the energy of the single soft pion from the  $\chi_1^\pm$  decay, the  $\chi_1^\pm - \chi_1^0$  mass dif-  
 3908 ference can be determined to 7 MeV [93]. Although the minimal version of the AMSB  
 3909 is currently disfavoured due to its incompatibility with a Higgs mass of 125 GeV, the  
 3910 fact that such small mass differences can be precisely measured at the ILC remains  
 3911 unchanged. In the Natural SUSY example discussed above, it is also true that the  
 3912  $\chi_2^0$  is nearly mass degenerate with the  $\chi_1^\pm$ . This creates an additional experimental  
 3913 complication, but on the other hand offers an additional handle for parameter deter-  
 3914 mination. While a detailed experimental study is underway, the  $\chi_2^0 / \chi_1^\pm$  separation  
 3915 should be possible when the various exclusive decay modes are exploited, which is  
 3916 feasible due to the clean environment and excellent detector resolutions available at  
 3917 the ILC. The measurement of the polarization and beam energy dependence of the  
 3918 cross-sections of these processes then allows us to establish the higgsino character of  
 3919 the particles and to precisely determine  $\mu$ .

3920 If the mass difference between  $\chi_1^\pm$  or  $\chi_2^0$  and  $\chi_1^0$  is larger than about 80 GeV  
 3921 without sleptons in between, the decays of these particles will proceed via real  $W^\pm$   
 3922 or  $Z$  bosons. In the challenging case where  $\chi_1^\pm$  and  $\chi_2^0$  are nearly mass degenerate,  
 3923 their decays can be disentangled even in the fully hadronic decay mode. This case  
 3924 has been studied both by SiD and ILD in full detector simulation. Figure 56 shows  
 3925 the energy spectra of the reconstructed gauge boson candidates from signal, SUSY  
 3926 and SM background for the chargino and neutralino event selection. Assuming an  
 3927 integrated luminosity of  $500 \text{ fb}^{-1}$  at  $\sqrt{s} = 500 \text{ GeV}$  and a beam polarization of  
 3928  $P(e^+, e^-) = (30\%, -80\%)$ , the edge positions can be determined to a few hundred  
 3929 MeV. Due to sizable correlations, this translates into uncertainties of 2.9, 1.7 and  
 3930 1.0 GeV for the  $\chi_2^0$ ,  $\chi_1^\pm$  and the  $\chi_1^0$  masses, respectively. The cross-sections can be  
 3931 measured to 0.8% (2.8%) in the  $\chi_1^\pm$  ( $\chi_2^0$ ) case from the hadronic channel alone.

3932 Independently of the mass splitting, the polarized cross-section measurements at  
 3933 different center-of-mass energies can be employed to determine the mixing angles in  
 3934 the chargino sector, as illustrated in Figure 57. This example is based on simula-  
 3935 tions performed in the SPS1a scenario; the results also apply to the  $\delta M \tilde{\tau}$  scenario  
 3936 introduced above. The bands include both statistical and systematical uncertainties,  
 3937 where the limiting contribution is the precision of the chargino mass.

3938 More recently, it has been shown that the achievable experimental precision allows  
 3939 us also to determine the top squark masses and mixing angle via loop contributions  
 3940 to the polarized chargino cross-sections and the forward-backward asymmetries [96].  
 3941 This allows us to predict and to constrain the heavier states of the SUSY model and  
 3942 to test its structure directly independently of the SUSY breaking scheme.

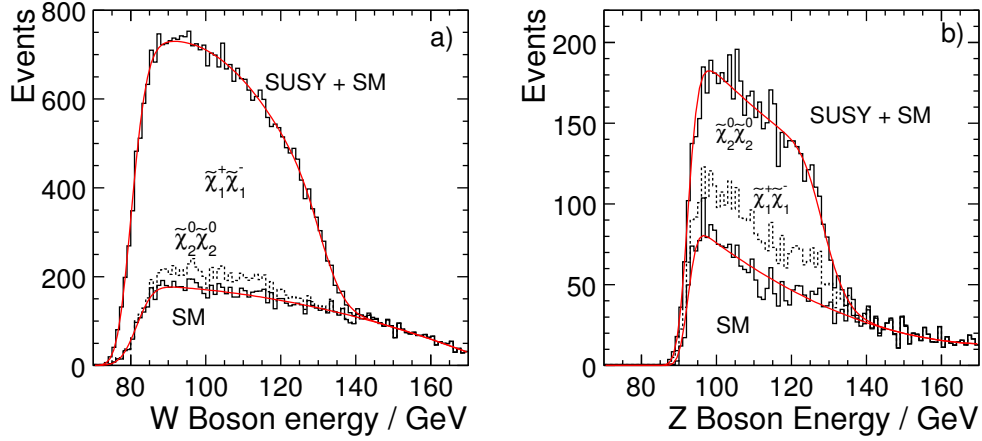


Figure 56: a) Energy spectrum of the  $W^\pm$  candidates reconstructed from events selected as  $\tilde{\chi}_1^\pm$  pairs and b) Energy spectrum of the  $Z^0$  candidates reconstructed from events selected as  $\tilde{\chi}_2^0$  pairs. From [94].

### 3943 7.5.2 Gravitinos

3944 If the gravitino is lighter than the lightest neutralino, the neutralino could decay into  
 3945 a photon plus a gravitino. In such a case, the lifetime of the neutralino is related  
 3946 to the mass of the gravitino:  $\tau_\chi \sim m_{3/2}^2 M_{Pl}^2 / m_\chi^5$ . Therefore the measurement of  
 3947 the neutralino lifetime gives access to  $m_{3/2}$  and the SUSY breaking scale. A similar  
 3948 statement applies to models in which a different particle is the lightest Standard  
 3949 Model superpartner, decaying to the gravitino. A well-studied example is that of the  $\tilde{\tau}$   
 3950 NLSP. The experimental capabilities of a Linear Collider in scenarios with a gravitino  
 3951 LSP have been evaluated comprehensively many years ago [97], where it has been  
 3952 demonstrated that with the permille level mass determinations from threshold scans,  
 3953 the clean environment and the excellent detector capabilities, especially in tracking  
 3954 and highly granular calorimetry, fundamental SUSY parameters can be determined  
 3955 to 10% or better.

3956 Although this study was based on minimal GMSB models (which are currently  
 3957 disfavoured by the CERN 125 GeV resonance measurement), the signatures and exper-  
 3958 imental techniques remain perfectly valid. They could apply to other non-minimal  
 3959 scenarios including general gauge mediation. Aspects of the detector performance  
 3960 which were still speculative when the studies in [97] were performed have been es-  
 3961 tablished in the intervening time with testbeam data from prototype detectors. For  
 3962 instance, the performance of neutralino lifetime determination from non-pointing clus-  
 3963 ters in the electromagnetic calorimeter has recently been reevaluated based on full

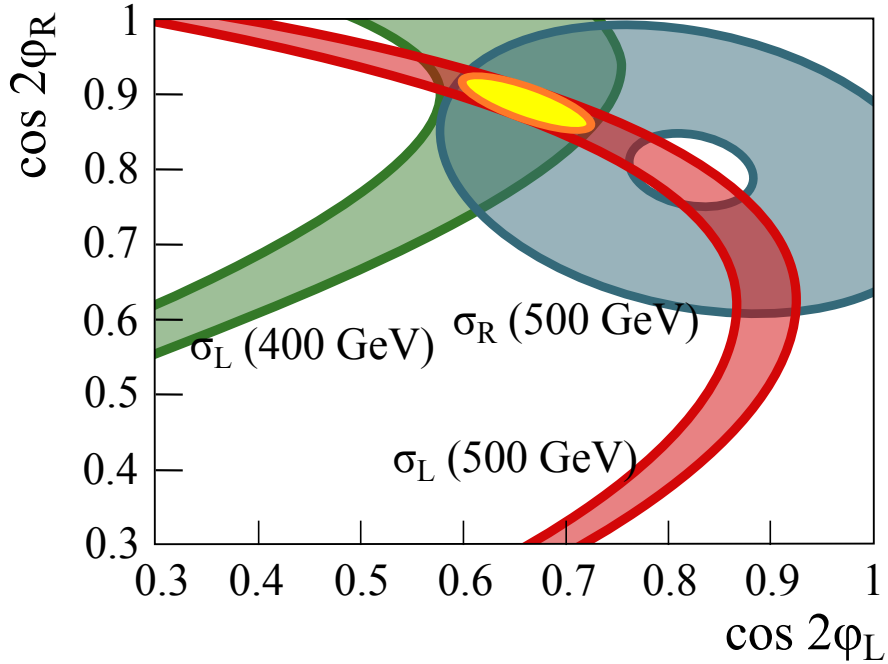


Figure 57: Measurement of the chargino mixing angles from polarised cross-sections. From [95].

3964 detector simulation gauged against Calice testbeam data. These confirm the esti-  
 3965 mates from [97] that lifetimes between 0.1 and 10 ns can be reconstructed with a few  
 3966 percent accuracy, although a calibration of the lifetime reconstruction is needed [98].  
 3967 Similarly it has been shown in [99], that, in the case of a  $\tilde{\tau}$  NLSP, the lifetime can be  
 3968 measured down to  $10^{-5}$  ns, corresponding to gravitino masses of a few eV. Figure 58  
 3969 shows the  $1\sigma$  and  $2\sigma$  uncertainty bands as a function of the lifetime of a  $\tilde{\tau}$  with a  
 3970 mass of 120 GeV.

3971 Scenarios with very long-lived  $\tilde{\tau}$  NLSPs which get trapped in the calorimeter and  
 3972 decay much later have been studied in [100]. It has been shown there that, with a  
 3973 suitable read-out of the ILC detectors, the gravitino mass and the SUSY breaking  
 3974 scale can also be determined in such cases. The first signs of these heavy, detector-  
 3975 stable charged particles would be their large ionization losses in the tracking volume.  
 3976 This is a nearly background-free signature even at the LHC, so it is also possible  
 3977 there to discover electroweak production of very long-lived  $\tilde{\tau}$  NLSPs or  $\tilde{\chi}_1^\pm$  NLSPs.  
 3978 If this discovery were made, it would be important and fascinating to measure the  
 3979 polarized electroweak cross sections of these particles with high precision at the ILC.



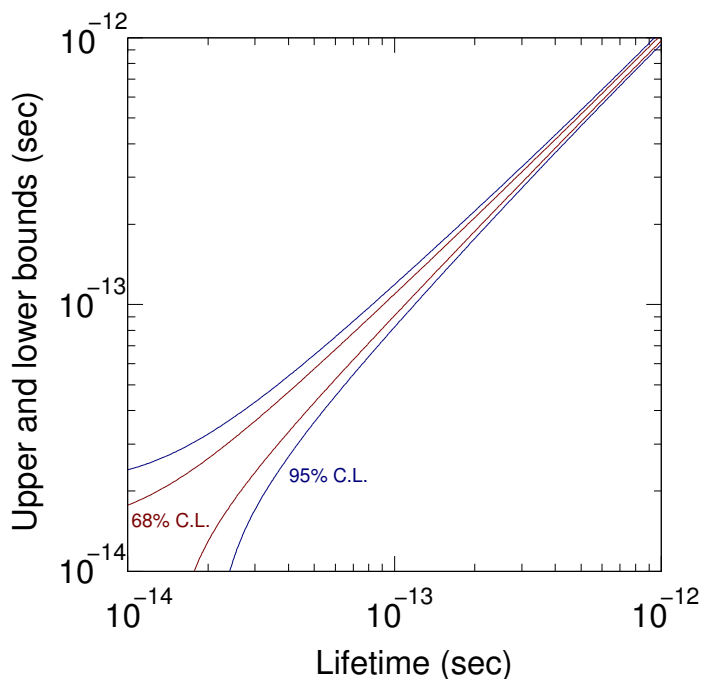


Figure 58:  $1\sigma$  and  $2\sigma$  uncertainty bands as a function of the lifetime of a  $\tilde{t}_1$  with a mass of 120 GeV, from [99].

### 3980 7.5.3 Third generation squarks

3981 At the ILC, the stop  $\tilde{t}_1$  can be probed up to  $m_{\tilde{t}_1} = \sqrt{s}/2$  regardless of its decay  
3982 mode and the masses of other new particles. At  $\sqrt{s} = 500$  GeV, the  $\tilde{t}_1$  mass can  
3983 be determined to 1 GeV in the  $\tilde{t}_1 \rightarrow c\tilde{\chi}_1^0$  decay mode, which dominates for small  
3984 mass differences, and to 0.5 GeV in the  $\tilde{t}_1 \rightarrow b\tilde{\chi}_1$  mode [101]. At the same time, the  
3985 stop mixing angle can be determined to  $\Delta \cos \theta_t = 0.009$  and 0.004 in the neutralino  
3986 and chargino modes, respectively. A more recent study improved the mass resolu-  
3987 tion in the  $\tilde{t}_1 \rightarrow c\tilde{\chi}_1^0$  decay to 0.42 GeV, including systematic uncertainties estimated  
3988 based on LEP experience by assuming data from two different center-of-mass ener-  
3989 gies [102]. In a top-squark co-annihilation scenario, the predicted dark matter relic  
3990 density depends strongly on the stop-neutralino mass difference. The precise ILC  
3991 mass measurements give an uncertainty on the calculated dark matter relic density of  
3992  $\Delta \Omega_{\text{CDM}} h^2 = 0.015$ , comparable to the current WMAP precision. Figure 59 shows the  
3993 correlation between the stop mass and  $\Omega_{\text{CDM}} h^2$  and the respective precisions. This  
3994 clearly shows that sub-GeV precision on the stop mass is mandatory to establish the  
3995  $\tilde{\chi}_1^0$  as a cosmic relic. Although these studies were performed with slightly lower stop  
3996 masses, one can expect similar precisions in the two scenarios introduced in section 7.4

3997 if on the way to a 1 TeV upgrade the ILC is operated at a center-of-mass energy of  
 3998 600 GeV or above. And, indeed, there is still much room for the  $\tilde{t}_1$  to be found at  
 3999 the LHC at a mass below 250 GeV.

4000 The polarized cross sections  $\sigma(e_L^- e_R^+ \rightarrow \tilde{t}_1 \tilde{t}_1^*)$  and  $\sigma(e_R^- e_L^+ \rightarrow \tilde{t}_1 \tilde{t}_1^*)$  allows a direct  
 4001 determination of the  $(\tilde{t}_L, \tilde{t}_R)$  mixing angle with an accuracy of a few degrees. This  
 4002 is crucial information for the theory of electroweak symmetry breaking in SUSY and  
 4003 for the explanation for the Higgs boson mass at 125 GeV.

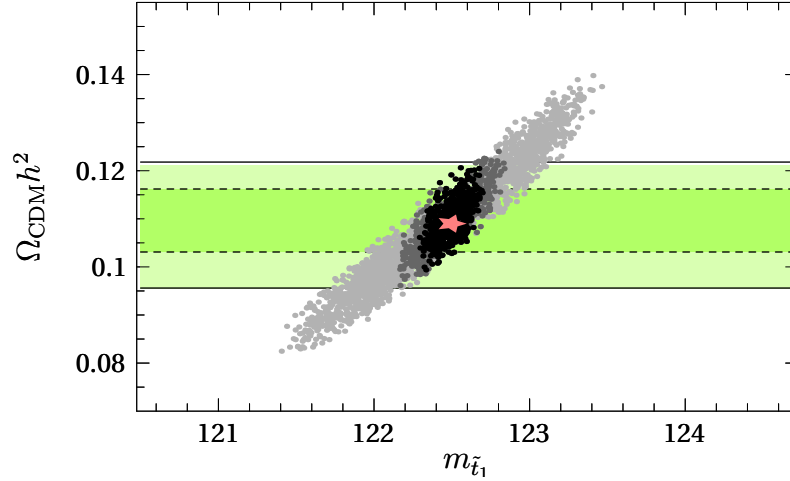


Figure 59: Predicted dark matter density  $\Omega_{DM}$  vs  $m_{\tilde{t}_1}$  in a stop coannihilation model. The scatter plot shows points allowed within  $1\sigma$  experimental precision assuming  $\delta\tilde{t}_1 = 1.2$  GeV (light gray), 0.42 GeV (dark gray) and 0.24 GeV (black). The bands show the current WMAP precision on  $\Omega_{DM}$ . The input value is marked with a star. From [102].

4004 In sbottom-co-annihilation scenarios, which typically exhibit a sbottom-LSP mass  
 4005 difference of about 10% of the LSP mass, the process  $\tilde{b}_1 \rightarrow b\tilde{\chi}_1^0$  can be discovered  
 4006 for sbottom masses up to about 10 GeV below the kinematic limit and for mass  
 4007 differences down to only 5 GeV larger than the kinematic limit [103]. It will be  
 4008 extremely difficult to cover such small mass differences comprehensively at the LHC.

#### 4009 7.5.4 Scalar charged leptons

4010 For slepton masses below  $\sqrt{s}/2$ , sleptons could be produced copiously at the ILC  
 4011 without relying on cascades from heavier sparticles. The lighter sleptons typically  
 4012 decay directly into the corresponding lepton and the lightest neutralino, giving a  
 4013 very clear signature of two isolated same flavor opposite sign leptons and missing  
 4014 four-momentum. The lepton energy spectrum has a box-like shape, and its lower and

4015 upper edge give direct access to the slepton and neutralino mass. In practice, the  
 4016 box is slightly smeared by the beam energy spectrum, ISR, detector resolution and,  
 4017 in case of  $\tau$  leptons, by the unmeasured neutrinos from the  $\tau$  decay. Nevertheless,  
 4018 this technique works reliably down to very small mass differences of a few GeV. For  
 4019 mass differences below  $\sim 10$  GeV, the lower edge is buried in background from 2-  
 4020 photon processes. Then an additional observable is needed to determine the lightest  
 4021 neutralino mass. The adjustable center-of-mass energy of the ILC allows us to achieve  
 4022 even higher precision by scanning the production thresholds.

4023 In SUSY, the superpartners of the left- and right-handed leptons are distinct  
 4024 scalar particles with different electroweak quantum numbers. These particles can be  
 4025 distinguished at the ILC in a model-independent way by the measurement of their  
 4026 production cross sections from left- and right-polarized beams in  $e^+e^-$  annihilation.  
 4027 It is not expected that the left- and right-sleptons should be mass degenerate, but,  
 4028 even in this case, the two particles can be studied separately, since each has enhanced  
 4029 production in cases with electron beams of the same handedness.

4030 The heavier sleptons typically decay via intermediate charginos, neutralinos or  
 4031 sneutrinos, depending on the details of the spectrum [92]. By choosing an interme-  
 4032 diate center-of-mass energy, the production of heavier superpartners and thus the  
 4033 background from their cascades can be switched off. This allows the ILC experiments  
 4034 to disentangle even rich spectra similar to the  $\delta M\tilde{\tau}$  scenario discussed above.

4035 The  $\tilde{\tau}$  sector of a scenario very similar to  $\delta M\tilde{\tau}$  has recently been studied in full  
 4036 simulation with the ILD detector [104], since the small  $\tilde{\tau}-\tilde{\chi}_1^0$  mass difference provides  
 4037 an interesting challenge for the detector and the accelerator conditions. In this case,  
 4038 the beam energy spectrum was accounted for and also accelerator background from  
 4039  $e^+e^-$  pairs created from beamstrahlung was overlaid in order to verify the robustness  
 4040 of the reconstruction even of fragile final states such as soft  $\tau$  leptons against spurious  
 4041 tracks and clusters from beam background.

4042 With an integrated luminosity of  $500 \text{ fb}^{-1}$  at a center-of-mass energy of  $\sqrt{s} =$   
 4043  $500 \text{ GeV}$  and with  $P(e^+, e^-) = (-30\%, +80\%)$ , the following results were achieved  
 4044 for the  $\tilde{\tau}$  masses using pair production cross-sections and the  $\tau$  polarisation  $\mathcal{P}_\tau$  from  
 4045  $\tilde{\tau}$  decays. Both of these quantities depend on the  $\tilde{\tau}$  mixing angle, the higgsino com-

4046 ponent of the  $\tilde{\chi}_1^0$  and  $\tan\beta$  in a well-understood way.

$$\begin{aligned}
\delta M(\tilde{\tau}_1) &= {}^{+0.03}_{-0.05} \pm 1.1 \cdot \delta M(\tilde{\chi}_1^0) \text{ GeV (endpoint)} \\
\delta M(\tilde{\tau}_2) &= {}^{+11}_{-5} \pm 18 \cdot \delta M(\tilde{\chi}_1^0) \text{ GeV (endpoint)} \\
\frac{\delta\sigma}{\sigma}(\tilde{\tau}_1) &= 3.1 \% \\
\frac{\delta\sigma}{\sigma}(\tilde{\tau}_2) &= 4.2 \% \\
\mathcal{P}_\tau &= 91 \pm 6 \pm 5 \text{ (bkg)} \pm 3 \text{ (SUSY masses)} \% (\pi \text{ channel}) \\
\mathcal{P}_\tau &= 86 \pm 5 \% (\rho \text{ channel}).
\end{aligned}$$

4047 The measurement of the endpoint of the  $\tau$  jet energy spectrum from  $\tilde{\tau}_1$  decays is  
4048 shown in Figure 60. The  $\tilde{\tau}$  mixing angle can be determined independently of the  
4049  $\tau$  polarisation from  $\tilde{\tau}_1\tilde{\tau}_2$  associated production below the  $\tilde{\tau}_2$  pair production thresh-  
4050 old. With a dedicated threshold scan, the  $\tilde{\tau}_2$  mass measurement can be improved  
4051 to  $\delta M(\tilde{\tau}_2) \approx 0.86$  GeV [105]. Even smaller mass differences have been studied in an  
4052 earlier fast simulation analysis [106], which found  $\delta M(\tilde{\tau}_1) \approx 0.15 - 0.3$  GeV depending  
4053 on  $\tilde{\tau}_1$  mass and the  $\tilde{\tau}_1 - \tilde{\chi}_1^0$  mass difference.

4054 Since the measurement of isolated electrons and muons is straightforward for  
4055 the ILC detectors, scalar electron and muon production have mainly been stud-  
4056 ied in fast detector simulations. In [106,107], a scenario similar to  $\delta M\tilde{\tau}$  has been  
4057 studied assuming an integrated luminosity of  $200 \text{ fb}^{-1}$  and beam polarisations of  
4058  $P(e^+, e^-) = (-60\%, +80\%)$  at a center-of-mass energy of  $\sqrt{s} = 400$  GeV. The study  
4059 found precisions of  $\delta M(\tilde{\mu}_R) \approx 170$  MeV and  $\delta M(\tilde{e}_R) \approx 90$  MeV. Comparable val-  
4060 ues were found in [105], where in addition a precision of 20 MeV was achieved for  
4061  $M(\tilde{e}_R)$  from a threshold scan. This kind of precision below 100 MeV can typically be  
4062 obtained when no irreducible SUSY background from other cascades is present.

4063 The  $\delta M\tilde{\tau}$  scenario is actually challenging in this respect, since substantial back-  
4064 ground from neutralino decays into muons is present at the  $\tilde{\mu}_R$  pair production thresh-  
4065 old. This case has recently been studied using the fast simulator SGV [108] tuned to  
4066 the detector performance found in full simulation of the ILD detector concept. All  
4067 relevant SM backgrounds, especially  $W^+W^- \rightarrow l^+\nu l^-\bar{\nu}$ ,  $ZZ \rightarrow 4$  leptons, and  $\mu$  and  
4068  $\tau$  pairs, as well as all open SUSY channels were generated with Pythia 6.422 at 9 cen-  
4069 ter of mass energies near the  $\tilde{\mu}_R$  threshold. The simulations included beamstrahlung  
4070 based on Circe 1 and the incoming beam energy spectrum according to the TDR de-  
4071 sign of the ILC. The measured cross-section as a function of the center of mass energy  
4072 is shown in Figure 60 assuming  $10 \text{ fb}^{-1}$  per point with  $P(e^+, e^-) = (-30\%, +80\%)$ .  
4073 A fit to the threshold yields a statistically limited uncertainty of about 200 MeV on  
4074 the  $\tilde{\mu}_R$  mass [109].

4075 In case of the heavier smuon  $\tilde{\mu}_L$ , a mass resolution of 100 MeV has been achieved  
 4076 in full simulation for the ILD Letter of Intent assuming  $500 \text{ fb}^{-1}$  with  $P(e^+, e^-) =$   
 4077  $(+30\%, -80\%)$  at  $\sqrt{s} = 500 \text{ GeV}$  [110]. This is consistent with earlier fast simulation  
 4078 studies [89,105].

4079 All resolutions here are by far statistically limited. Masses or cross-sections critical  
 4080 for SUSY parameter determination in a certain scenario could therefore be measured  
 4081 with even better precision when more integrated luminosity is accumulated in the  
 4082 corresponding running configuration of the machine.

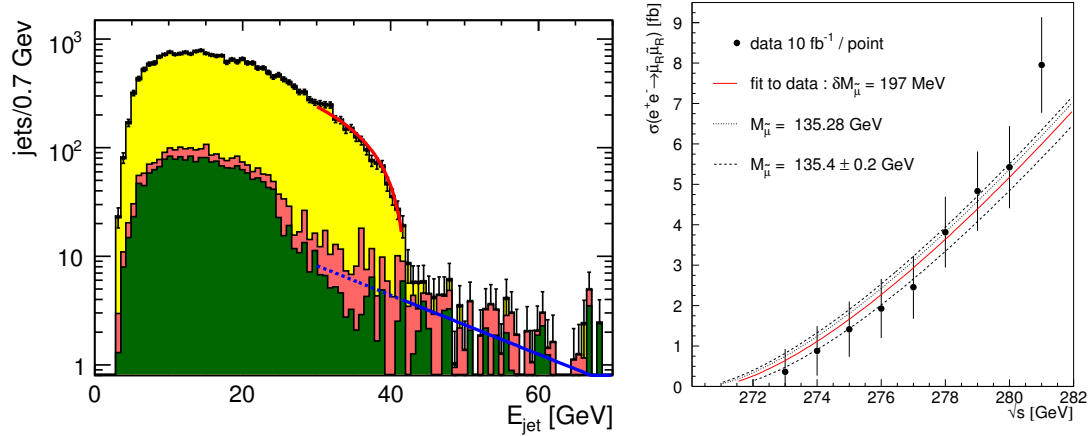


Figure 60: Left: Measurement of the  $\tilde{\tau}_1$  mass from the endpoint of the  $\tau$  jet energy spectrum in a scenario with small  $\tilde{\tau}_1 - \chi_1^0$  mass difference very similar to the  $\delta M \tilde{\tau}$  scenario introduced in Section 7.4.2. The stacked histogram contains (from the bottom), SUSY background, SM background, signal. The background is fitted in the signal-free region to the right (solid portion of the line), and extrapolated into the signal region (dashed). From [104]. Right: Measurement of the  $\tilde{\mu}_R$  mass from a threshold scan with a total integrated luminosity of  $100 \text{ fb}^{-1}$ . The precision of about 200 MeV obtained in this study is limited by the assumed integrated luminosity [109].

### 4083 7.5.5 Sneutrinos

4084 Depending on the properties of the sparticle spectrum, sneutrinos may decay visibly  
 4085 into modes such as  $\tilde{\nu}_\ell \rightarrow \ell \tilde{\chi}_1^+$  [111], or they may decay invisibly via  $\tilde{\nu}_\ell \rightarrow \nu_\ell \tilde{\chi}_1^0$ . Even  
 4086 in this latter case, the sneutrino mass can be measured from cascade decays of other  
 4087 sparticles. For instance, in the  $\delta M \tilde{\tau}$  scenario, the chargino has a 13% branching  
 4088 fraction into a sneutrino and the corresponding charged lepton. From these decays,  
 4089 the sneutrino mass can be reconstructed to  $\delta M(\tilde{\nu}) \approx 0.5 \text{ GeV}$  [112,113].

4090 Sneutrinos which are too heavy to be produced directly still influence the cross  
 4091 section for chargino production and the forward-backward asymmetry of three-body  
 4092 chargino decays. The latter yields  $\delta M(\tilde{\nu}) \approx 10$  GeV for sneutrino masses up to  
 4093 1 TeV at  $\sqrt{s} = 500$  GeV [89]. The chargino pair production cross-section is sensitive  
 4094 to sneutrino masses of up to 12 TeV at center-of-mass energies  $\sqrt{s} \sim 1$  TeV [114].

### 4095 7.5.6 Beyond the CP and RP conserving MSSM

#### 4096 *R-Parity Violation:*

4097 *R*-parity violation (RPV) has two important experimental consequences at collid-  
 4098 ers: it allows for single production of SUSY particles, and it allows the LSP to decay  
 4099 to purely SM particles. The latter aspect makes RPV SUSY much harder to detect  
 4100 at the LHC due to the absence of missing transverse energy, so that the currently  
 4101 explored region is significantly smaller than in the *R*-parity conserving case, even  
 4102 when assuming mass unification at the GUT scale as in the cMSSM [115].

4103 Bilinear *R*-parity violation (bRPV) has phenomenological motivations in neutrino  
 4104 mixing [116] as well as in leptogenesis [117,118]. In this case, the characteristic decay  
 4105  $\tilde{\chi}_1^0 \rightarrow W^\pm l^\mp$  will lead to background-free signatures at the ILC, possibly with a  
 4106 detectable lifetime of the  $\tilde{\chi}_1^0$  depending on the strength of the RPV couplings. In the  
 4107 hadronic decay mode of the  $W^\pm$ , these events can be fully reconstructed and the  $\tilde{\chi}_1^0$   
 4108 mass can be measured to  $\mathcal{O}(100)$  MeV depending on the assumed cross-section [119].  
 4109 By measuring the ratio of the branching ratios for  $\tilde{\chi}_1^0 \rightarrow W^\pm \mu^\mp$  and  $\tilde{\chi}_1^0 \rightarrow W^\pm \tau^\mp$ ,  
 4110 the neutrino mixing angle  $\sin^2 \theta_{23}$  can be determined to percent-level precision, as  
 4111 illustrated in Figure 61. Agreement with measurements from neutrino oscillation  
 4112 experiments would then prove that bRPV SUSY is the origin of the structure of  
 4113 mixing in the neutrino sector.

4114 In the case of trilinear *R*-parity violation, *s*-channel sneutrino-exchange can inter-  
 4115 fere with SM Bhabha scattering. For  $m_{\tilde{\nu}} < \sqrt{s}$ , sharp resonances are expected. In  
 4116 addition, heavier sneutrinos could be detected via contact interactions, for example  
 4117 up to  $m_{\tilde{\nu}} = 1.8$  TeV for  $\lambda_{1j1} = 0.1$  at  $\sqrt{s} = 800$  GeV [91].

#### 4118 *CP violation:*

4119 An attractive feature of supersymmetry is that it allows for new sources of *CP*  
 4120 violation which are needed in order to explain the baryon-antibaryon asymmetry  
 4121 observed in the universe. The neutralino and chargino sector can accommodate two  
 4122 independent *CP* phases, for instance on  $M_1$  and  $\mu$  when rotating away the phase of  $M_2$   
 4123 by a suitable redefinition of the fields. While the phase of  $\mu$  is strongly constrained by  
 4124 EDM bounds, the phase of  $M_1$  could lead to *CP* sensitive triple product asymmetries

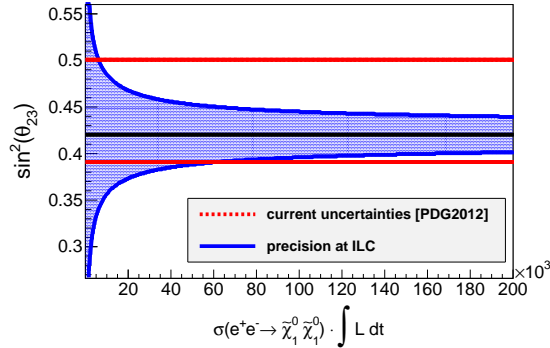


Figure 61: Achievable precision on  $\sin^2 \theta_{23}$  from RPV decays of the  $\chi_1^0$  as a function of the produced number of neutralino pairs compared to the current precision from neutrino oscillation measurements. Over a large part of the  $m_0$  vs.  $m_{1/2}$  plane, the neutralino pair production cross-section is of order 100 fb.

4125 up to 10%. These can be measured from neutralino two-body decays into slepton  
 4126 and lepton to  $\pm 1\%$ . From a fit to the measured neutralino cross-sections, masses  
 4127 and  $CP$ -asymmetries,  $|M_1|$  and  $|\mu|$  can be determined to a few permille,  $M_2$  to a few  
 4128 percent,  $\Phi_1$  to 10% as well as  $\tan \beta$  and  $\Phi_\mu$  to 16% and 20%, respectively [120]. Other  
 4129 models of baryogenesis accessible to study at the ILC are discussed in Section 8.1.

4130 *NMSSM*:

4131 If indeed the higgsino is the LSP, as motivated by naturalness, then all by itself it  
 4132 is not a good dark matter candidate, since higgsino pairs annihilate rapidly into  $WW$   
 4133 and  $ZZ$ . However, if we invoke an extended Higgs sector (the NMSSM) to explain the  
 4134 value of the Higgs boson mass, this extension adds a new SUSY partner, the singlino,  
 4135 which might have mass below that of the higgsino. The decay width of the higgsino  
 4136 to the singlino is of order 100 MeV. The pattern of decay final states is rich, and the  
 4137 measurement of branching ratios will illuminate the Higgs sector [121]. These decay  
 4138 products are quite soft, however, and are invisible under the standard LHC trigger  
 4139 constraints. Whether or not these particles can be seen at the LHC, the ILC would  
 4140 again be needed for a complete study. The annihilation cross section of singlinos,  
 4141 which determines the singlino thermal dark matter density, depends on the singlino-  
 4142 higgsino mixing angle. This could be measured at the ILC by measurement of the  
 4143 higgsino width using a threshold scan or by precision measurements of the NMSSM  
 4144 mass eigenvalues.

4145 The capabilities of the ILC to distinguish between the NMSSM and the MSSM  
 4146 when the observable particle spectrum and the corresponding decay chains are very

4147 similar has been studied for instance in [122] based on analytical calculations. The  
4148 study showed that with data taken at three different center-of-mass energies the  
4149 distinction is possible. When exploiting the available information even more efficiently  
4150 by applying a global fit, even two center-of-mass energies can be sufficient [123]. If  
4151 the full neutralino/chargino spectrum is accessible, sum rules for the production cross  
4152 sections can be exploited that show a different energy behaviour in the two models.

4153 In scenarios where the lightest SUSY particle is nearly a pure singlino, the higgsino  
4154 lifetimes are long, leading to a displaced vertex signature. The lifetimes can be  
4155 precisely resolved thanks to the excellent vertex resolution of the ILC detectors.

### 4156 7.5.7 Parameter Determination and Model Discrimination

4157 Beyond simply measuring the properties of new particles, a further goal of ILC is to  
4158 fully uncover the underlying theory. This involves, among other issues, the measure-  
4159 ment of the statistics of the new particles and the verification of symmetry predictions  
4160 of the model. In this, we review some examples of such studies.

4161 For example, if only the minimal particle content of a weakly interacting new  
4162 particle  $\chi^0$  and an electrically charged partner  $\chi^\pm$  is observed, the behaviour of the  
4163 production cross-section at threshold and the production angle distribution of  $\chi^+\chi^-$   
4164 pair production can be employed to distinguish between SUSY, where the  $\chi$ 's are  
4165 fermions, Littlest Higgs models, where they are vector bosons, and Inert Higgs models,  
4166 where they are scalar bosons [125].

4167 If the model is indeed SUSY, we would like to establish the basic symmetry relation  
4168 of supersymmetry experimentally. This can be done by examining whether the gauge  
4169 couplings  $g(Vff)$  and  $\bar{g}(V\tilde{f}\tilde{f})$  of a vector boson  $V$  and the Yukawa coupling  $\tilde{g}(\tilde{V}ff)$   
4170 for corresponding gauginos are equal. From the various cross-section measurements  
4171 in the slepton and gaugino sector, these couplings can be extracted and their equality  
4172 checked with sub-percent precision [89].

4173 In addition to the couplings, the mass measurements at ILC, at the per mille  
4174 level, allow one to extract the weak scale MSSM parameters. Here the polarized  
4175 beams play a crucial role since they allow us to determine the mixing character  
4176 both in the gaugino and in the slepton sector, especially if left- and right-handed  
4177 superpartners are close in mass and thus difficult to separate kinematically. These  
4178 parameters can then be extrapolated to higher energy using the renormalization group  
4179 equations [126]. This might reveal that groups of these parameters unify, for example,  
4180 at the GUT scale. The impact of ILC precision on this procedure has been studied  
4181 in detail in [127], based on a scenario in which the color singlet sector is nearly  
4182 identical to that of the  $\delta M\tilde{\tau}$  scenario. They found that the weak scale parameters



4183 can be determined to percent level precision, some even to the per mille level. They  
4184 further showed that ILC precision, beyond that achievable at the LHC, is needed  
4185 to establish whether the weak scale parameters are consistent with a certain SUSY  
4186 breaking scheme (in this case mSUGRA) or not. MSSM parameter determinations,  
4187 both analytically and employing global fits, have been studied also in various other  
4188 scenarios in [128,129,130,131].

4189 Another crucial question to be answered is that of whether the lightest SUSY  
4190 particle can account for some or all of the cosmological dark matter. Assuming that  
4191 lightest SUSY particle was produced thermally in the early universe, its relic density  
4192 can be computed from the Lagrangian parameters obtained from collider data and  
4193 the result can be compared to the observed value of the dark matter density [132].  
4194 The Fittino collaboration has studied the prediction of the dark matter density from  
4195 ILC data at the reference point  $SPS1a'$ , which, for this analysis, is very similar to the  
4196  $\delta M\tilde{\tau}$  scenario [133]. Figure 62 shows the result of this comparison without assuming  
4197 a specific SUSY breaking scenario, *i.e.* based on weak scale parameters. In this  
4198 scenario, the ILC precision is needed to match the precision of the prediction to that  
4199 expected from cosmological observations.

4200 The  $SPS1a'/\delta M\tilde{\tau}$  point is a rather special case in which  $\Omega_{\text{CDM}}h^2$  can be predicted  
4201 with part per mille accuracy. More typically, the mechanisms that establish the dark  
4202 matter relic density are more complex, and the accuracy of the prediction from collider  
4203 data is less. We have seen an example already in Section 4.5.3 in our discussion of  
4204 the stop coannihilation scenario. However, the more complex the physics of the dark  
4205 matter density, the more important it is to make high precision measurements of the  
4206 SUSY parameters. This important question will be discussed further in Section 8.2.

## 4207 7.6 Conclusions

4208 In this section, we have discussed the ILC capabilities for supersymmetry mea-  
4209 surements in the light of the new information that we have gained from the LHC  
4210 experiments. The discovery of a new boson at 125 GeV points to a mechanism of  
4211 electroweak symmetry breaking that involves weakly coupled scalar fields. Supersym-  
4212 metry is one of, if not the leading candidate, for such a model.

4213 So far, the ATLAS and CMS experiments have found no evidence for supersym-  
4214 metric particles. They have presented impressive limits on the masses of squarks and  
4215 gluinos. However, these limits do not exclude the possibility of SUSY at the TeV  
4216 scale. Rather, they push us to explore SUSY models in different parameter regions  
4217 of the MSSM than those that have been given most attention in the past.

4218 In particular, the LHC exclusions have focused much attention on models in which  
4219 the first- and second-generation squarks are heavy while the naturalness of the elec-

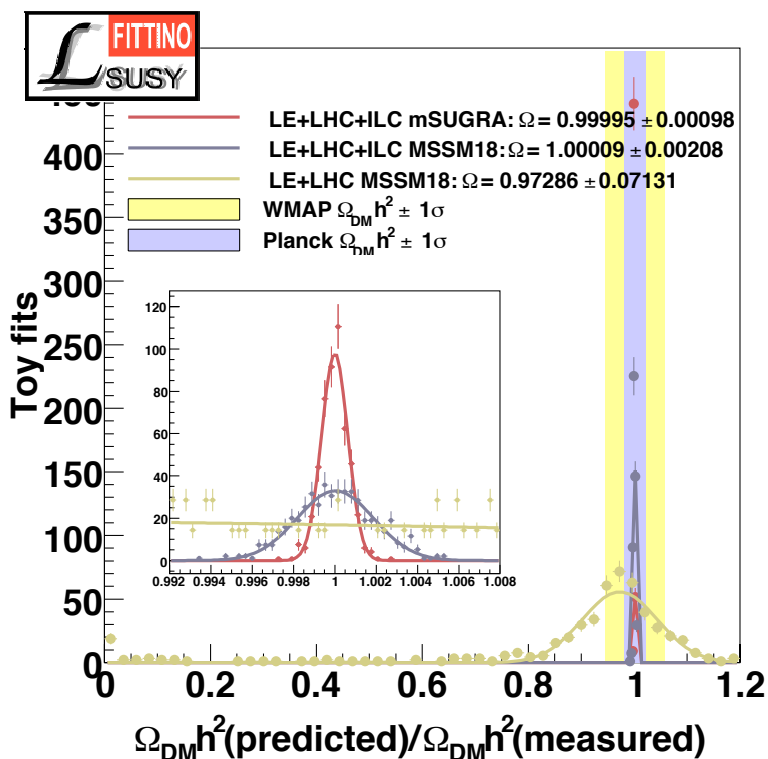


Figure 62: Ratio of the predicted value of  $\Omega_{\text{pred}} h^2$  to the nominal value of  $\Omega_{\text{SPS1a}} h^2$  in the SPS1a scenario for a variety of Fittino Toy Fits without using  $\Omega_{\text{CDM}} h^2$  as an observable, from [133]. The anticipate predictions for LHC and ILC measurements are compared to current and projected cosmological observations.

4220 troweak symmetry breaking scale keeps color singlet particles light. Naturalness arguments, in particular, favor a low value of  $\mu \sim M_Z$ , with  $\mu$  ranging perhaps as high as  $\sim 200$  GeV. This then leads to a spectrum including several light higgsino-like charginos and neutralinos. The lightest neutralino, which is a possible WIMP candidate, would be predominantly higgsino-like. The light higgsinos are automatically mass-degenerate with typical mass gaps of 10-20 GeV. The small energy release from higgsino decay would be very difficult to detect at LHC. In contrast, an ILC with  $\sqrt{s} = 0.25 - 1$  TeV would be a *higgsino factory*, in addition to being a Higgs factory! These arguments, and also possibly the muon  $g - 2$  anomaly, predict a rich array of new matter states likely accessible to the ILC.

4230 In our review of the experiments at the ILC that would discover and measure the properties of these particles, we have emphasize the many tools that the ILC detectors will provide for exploring the nature of these new states of matter. These include the

4233 tunable beam energy, the use of beam polarization, precision tracking, vertex finding  
4234 and calorimetry, which provide the ability to detect very low energetic particles as  
4235 well as to observe and separate  $W$  and  $Z$  in hadronic modes. We have shown with  
4236 many examples that all of these capabilities find new uses in the exploration of a new  
4237 sector of particles.

4238 The precision measurements available at the ILC will provide a window to physics  
4239 at much higher energy scales, possibly those associated with grand unification and  
4240 string theory. The ILC will also provide a key connection between particle physics  
4241 and cosmology, especially in identifying the nature of dark matter and shedding light  
4242 on possible mechanisms for baryogenesis.

4243 Thus, the view from SUSY phenomenology is that construction of an ILC is more  
4244 highly motivated now than ever before.

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## 4489 8 Cosmological Connections

4490 Two of the major cosmological puzzles, namely the matter antimatter asymme-  
4491 try and the dark matter of the universe can be naturally explained with new weak  
4492 scale physics, respectively via electroweak baryogenesis and stable weakly-interacting  
4493 massive particles (WIMPs). We discuss in turn the status of these two paradigms in  
4494 the context of the two main avenues for explaining the lightness of the Higgs scalar,  
4495 supersymmetry and Higgs compositeness, as well as within a model independent low  
4496 energy effective field theory approach.

### 4497 8.1 Baryogenesis at the Electroweak Scale

4498 The matter-antimatter asymmetry of the universe may have been produced at  
4499 the electroweak epoch [1]. In this case, the sole source of baryon number violation is  
4500 from the Standard Model sphalerons and an asymmetry can be generated during the  
4501 EW phase transition, provided that it is first-order. The process is non-local as it  
4502 relies on charge transport in the vicinity of the CP-violating bubble walls [2]. Because  
4503 it involves EW scale physics only, this mechanism is particularly appealing and has  
4504 started to be tested at the LHC. EW baryogenesis has been investigated in detail in  
4505 the Standard Model [3] and its supersymmetric extension [6,7,8,10,11]. Within the  
4506 SM parametrization of the Higgs potential, the one loop effective potential at high  
4507 temperature roughly reads

$$V(\phi, T) \approx \frac{1}{2}(\mu^2 + cT^2)\phi^2 + \frac{\lambda}{4}\phi^4 - ET\phi^3 \quad \text{where} \quad -ET\phi^3 \subset -\frac{T}{12\pi} \sum_{i=W,Z,h} m_i^3(\phi) \quad (102)$$

4508 The last term is responsible for a barrier separating the symmetric and broken EW  
4509 vacua thus for the possibility of a first-order EW phase transition. The coefficient  
4510  $E$  is due to *bosonic* degrees of freedom coupling to the Higgs. In the SM,  $E$  is  
4511 too small and the phase transition can be first-order only for a very light Higgs,  
4512 excluded experimentally [4]. On the other hand, in the MSSM, new bosonic degrees  
4513 of freedom with large couplings to the Higgs, mostly the stop  $\tilde{t}$ , can enhance the value  
4514 of  $E$  and guarantee that  $\phi/T$  be large enough ( $\sim 1$ ) at the time of the transition to  
4515 suppress sphaleron washout. This has led to the so-called light stop scenario for EW  
4516 baryogenesis.

#### 4517 8.1.1 MSSM EW baryogenesis: The light stop scenario under pressure

4518 There is a fine-tuned window of parameter space in the MSSM where EW baryogenesis  
4519 is viable [9,23]. It corresponds to a stop-split supersymmetric spectrum illustrated

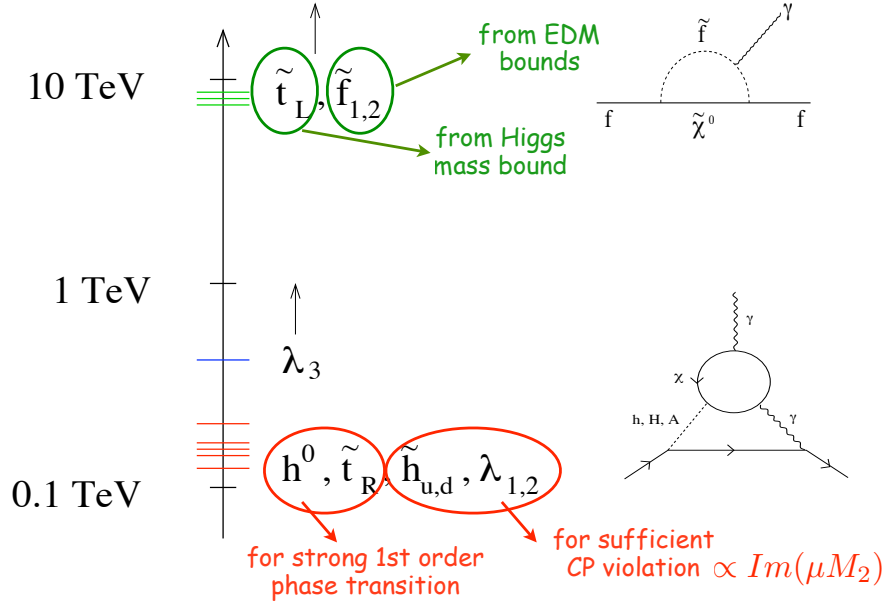


Figure 63: The stop-split supersymmetric spectrum of MSSM EW baryogenesis.

4520 in Fig. 63. A light Higgs and a light  $\tilde{t}_R$  ( $\leq 115$  GeV) are needed for the EW phase  
 4521 transition to be sufficiently first-order while  $\tilde{t}_L$  should be heavy to get a sufficiently  
 4522 heavy Higgs. Other sfermions should be heavy as well as to evade bounds from electric  
 4523 dipole moments. A generic difficulty of EW baryogenesis is that it requires large new  
 4524 sources of CP violation [5] which are typically at odds with experimental constraints  
 4525 from electric dipole moments. A light Higgsino and a light chargino are needed to  
 4526 supply CP-violating scattering processes new the expanding bubble walls during the  
 4527 phase transition.

4528 Recent Higgs limits have further narrowed the region of parameter space. More-  
 4529 over, additional constraints can be derived once the Higgs branching ratios are mea-  
 4530 sured as new fields that couple to the Higgs can lead to significant modifications of the  
 4531 rates for Higgs boson production and decay. The correlation between the strength of  
 4532 the EW phase transition and the collider signatures of the Higgs boson were recently  
 4533 studied in [21] in the case of a simplified model including a new scalar field  $X$  that  
 4534 couples to  $H$  according to:

$$-\mathcal{L} = M_X^2 |X|^2 + \frac{K}{6} |X|^4 + Q |X|^2 |H|^2 = M_X^2 |X|^2 + \frac{K}{6} |X|^4 + \frac{1}{2} Q (v^2 + 2vh + h^2) |X|^2 \quad (103)$$

4535 These basic interactions describe a broad range of theories and in particular apply  
 4536 to the MSSM where  $X$  corresponds to a light mostly right-handed scalar top quark

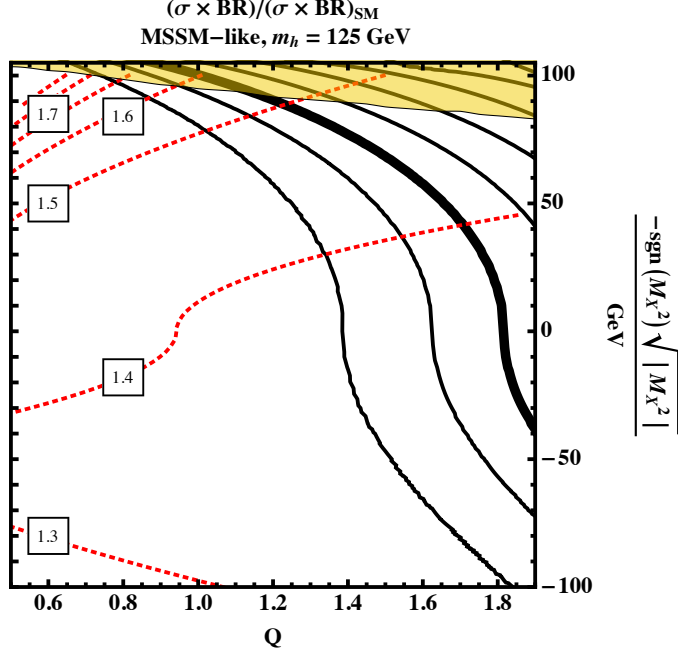


Figure 64: Contours of  $\phi_c/T_c$  (black solid lines) for the MSSM-like model. The bolded line is for  $\phi_c/T_c = 0.9$  and the adjacent solid lines delineate steps of  $\Delta(\phi_c/T_c) = 0.2$ . The yellow shaded region is excluded by the existence of a charge-color minimum. The red dotted lines show contours of the gluon fusion cross section times the BR to di-photons to the SM value. From [21].

4537 responsible for one-loop thermally generated cubic Higgs interactions. However, it  
 4538 does not apply to models where the strength of the EW phase transition is affected by  
 4539 other scalars. The Higgs production rate by gluon fusion is given at leading order by

$$\Gamma_{gg} = \frac{\alpha_s^2}{128\pi^3} \frac{m_h^3}{m_W^2} \left| \sum_i g_i T_2^i F_{s_i}(4m_i^2/m_h^2) \right|^2 \quad (104)$$

4540 where  $F_{s_i}$  are loop functions and  $T_2^i$  is defined by  $\text{tr}(t_r^a t_r^b) = T_2^r \delta^{ab}$ . The sum  $i$  runs  
 4541 over all particles that couple to the Higgs,  $g_i = g$  for SM states and for an exotic  
 4542 scalar  $X$  coupling to the Higgs,  $g_X = \frac{2}{9} \left( \frac{m_W}{m_X} \right)^2 Q$ . The width to di-photons at LO is  
 4543 ( $d_i$  is the dimension of the  $SU(3)_c$  representation):

$$\Gamma_{\gamma\gamma} = \frac{\alpha^2}{1024\pi^3} \frac{m_h^3}{m_W^2} \left| \sum_i g_i q_i^2 d_i F_{s_i}(4m_i^2/m_h^2) \right|^2 \quad (105)$$

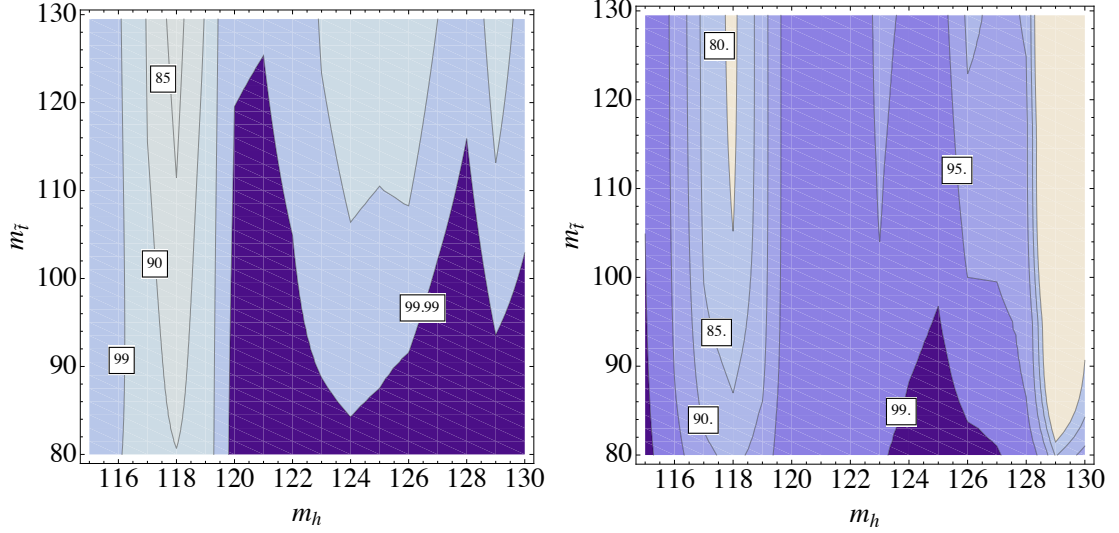


Figure 65: Confidence levels of exclusion of a general Light Stop scenario in the  $(m_h, m_{\tilde{t}_R})$  plane.  $\tilde{t}_L$  is taken very heavy while  $m_A$  and  $\tan\beta$  are varied in the range (1500, 2000) GeV and (5,15). From [22].

4544 The light stop interferes constructively with the top loop leading to a significant  
 4545 increase in the Higgs production cross section by gluon fusion. On the other hand, it  
 4546 decreases the decay width into di-photons. The alterations on gluon fusion and di-  
 4547 photon decay are the main manifestations of a new scalar strengthening the EW phase  
 4548 transition. The correlations are shown in Fig. 64 in the case of  $m_H = 125$  GeV and for  
 4549 a scalar  $X$  having the same properties are  $\tilde{t}_R$ , therefore describing the MSSM-like case.  
 4550 From this plot, it is clear that in the region where the phase transition is sufficiently  
 4551 strongly first-order ( $\phi_c/T_c > 0.9$ ), large deviations are expected with respect to the  
 4552 SM Higgs properties. Actually, it was concluded in [22] that EW baryogenesis in the  
 4553 MSSM can be excluded using 2011 LHC data, see Fig. 65.

4554 Therefore, it appears that the MSSM EW baryogenesis window can be ruled out  
 4555 indirectly by Higgs searches at the LHC without having to rely on the much more  
 4556 challenging detection of the direct production of light stops [34].

4557 One main difficulty with the MSSM baryogenesis is that the first-order phase  
 4558 transition is a one-loop effect. It is much easier to obtain a strong first-order phase  
 4559 transition by modifying the Higgs potential at tree level. One straightforward example  
 4560 is to add a scalar singlet. There is an extensive literature on this possibility. A recent  
 4561 and complete study of this scenario was provided in Ref. [26]. Interestingly, such  
 4562 a scenario can be theoretically well-motivated in composite models where the Higgs

$G$	$H$	$N_G$	NGBs rep.[ $H$ ] = rep.[ $SU(2) \times SU(2)$ ]
SO(5)	SO(4)	4	$\mathbf{4} = (\mathbf{2}, \mathbf{2})$
SO(6)	SO(5)	5	$\mathbf{5} = (\mathbf{1}, \mathbf{1}) + (\mathbf{2}, \mathbf{2})$
SO(6)	SO(4) $\times$ SO(2)	8	$\mathbf{4}_{+2} + \bar{\mathbf{4}}_{-2} = 2 \times (\mathbf{2}, \mathbf{2})$
SO(7)	SO(6)	6	$\mathbf{6} = 2 \times (\mathbf{1}, \mathbf{1}) + (\mathbf{2}, \mathbf{2})$
SO(7)	G <sub>2</sub>	7	$\mathbf{7} = (\mathbf{1}, \mathbf{3}) + (\mathbf{2}, \mathbf{2})$
SO(7)	SO(5) $\times$ SO(2)	10	$\mathbf{10}_0 = (\mathbf{3}, \mathbf{1}) + (\mathbf{1}, \mathbf{3}) + (\mathbf{2}, \mathbf{2})$
SO(7)	[SO(3)] <sup>3</sup>	12	$(\mathbf{2}, \mathbf{2}, \mathbf{3}) = 3 \times (\mathbf{2}, \mathbf{2})$
Sp(6)	Sp(4) $\times$ SU(2)	8	$(\mathbf{4}, \mathbf{2}) = 2 \times (\mathbf{2}, \mathbf{2}), (\mathbf{2}, \mathbf{2}) + 2 \times (\mathbf{2}, \mathbf{1})$
SU(5)	SU(4) $\times$ U(1)	8	$\mathbf{4}_{-5} + \bar{\mathbf{4}}_{+5} = 2 \times (\mathbf{2}, \mathbf{2})$
SU(5)	SO(5)	14	$\mathbf{14} = (\mathbf{3}, \mathbf{3}) + (\mathbf{2}, \mathbf{2}) + (\mathbf{1}, \mathbf{1})$

Figure 66: Cosets  $G/H$  from simple Lie groups and associated Goldstone spectra. From [36].

4563 arises as a pseudo-Nambu Goldstone boson of a new strongly interacting sector, as  
4564 we discuss next.

### 4565 8.1.2 EW baryogenesis in Composite Higgs models

4566 There are two main avenues for explaining the lightness of the Higgs scalar: super-  
4567 symmetry and Higgs compositeness. The idea of Higgs compositeness has received  
4568 a revival of interest in the last few years [18,19], boosted by the dual description in  
4569 terms of warped extra dimensional models. In composite Higgs models, the hierar-  
4570 chy between the Planck and TeV scale is due to the slow logarithmic running of an  
4571 asymptotically free interaction that becomes strong and confines close to the EW  
4572 scale. In analogy with QCD, as the strong interaction confines, the global symmetry  
4573 acting on the techniquarks is broken down to a subgroup, delivering Goldstone bosons  
4574 which are the analogs of the pions in QCD and may be identified as the degrees of  
4575 freedom belonging to the Higgs doublet. To preserve the custodial  $SO(4)$  symmetry  
4576 of the SM, the Higgs should transform as a  $(2, 2)$  of  $SU(2)_L \times SU(2)_R \sim SO(4)$ . In  
4577 the minimal composite Higgs model  $SO(5)$  breaks to  $SO(4)$ , delivering 4 goldstone  
4578 bosons which are identified as the Higgs degrees of freedom. The  $SO(5)$  symmetry  
4579 is broken explicitly both by the fermions which do not come in complete represen-  
4580 tations of  $SO(5)$  and by the gauging of  $SU(2)_L \in SO(5)$ . Loops of SM fermions or  
4581 gauge bosons communicate the explicit breaking to the (pseudo) NGBs and generate  
4582 a potential for the Higgs. In these models, the top quark is also composite as the  
4583 Yukawa hierarchy is explained by partial fermion compositeness. Models where the



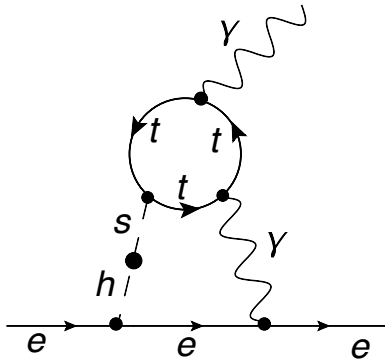


Figure 67: Diagram illustrating the largest contribution to the electron EDM due to the Higgs-singlet mixing where the new singlet  $s$  couples only to the top quark, as needed for EW baryogenesis and as motivated by the scenario of partial compositeness. From [27].

4584 Higgs arises as a pseudo-Nambu Goldstone boson from a strongly interacting sector  
 4585 have become a plausible option and serious alternative to supersymmetry. They also  
 4586 offer new possibilities for EW baryogenesis. Naturalness in these scenario implies  
 4587 modifications in the Higgs and top sectors which are precisely the ones believed to  
 4588 be responsible for EW baryogenesis. Depending on the coset space, these models can  
 4589 give rise to additional goldstones in the light scalar spectrum that generically make  
 4590 the EW phase transition first order. For instance, if the coset is  $SO(6)/SO(5)$ , we  
 4591 expect an additional singlet [35]. For  $SO(6)/SO(4) \times SO(2)$ , there are instead two  
 4592 Higgs doublets. Various possibilities are summarized in Fig. 66 taken from Ref. [36].

4593 The case where the coset is  $SO(6)/SO(5)$  leads to an extra singlet which has a  
 4594 dimension-five pseudo scalar couplings to the top quarks that can break CP. EW  
 4595 baryogenesis in this context has been studied in Ref. [27]. The extra singlet is re-  
 4596 sponsible for making the EW phase transition first order. Secondly, if that scalar  
 4597 couples to the top quark it can lead to a non-trivial CP-violating phase along the  
 4598 bubbles of the EW phase transition creating the seed for the sphaleron to generate  
 4599 a non-zero baryon asymmetry. It was shown that the correct amount of asymmetry  
 4600 can be produced in a large region of parameter space. The new complex phases and  
 4601 the mixing between the Higgs and the singlet lead to new contributions to the EDMs  
 4602 of neutron and electron not far from the reach of current and future experiments (see  
 4603 Fig. 67).

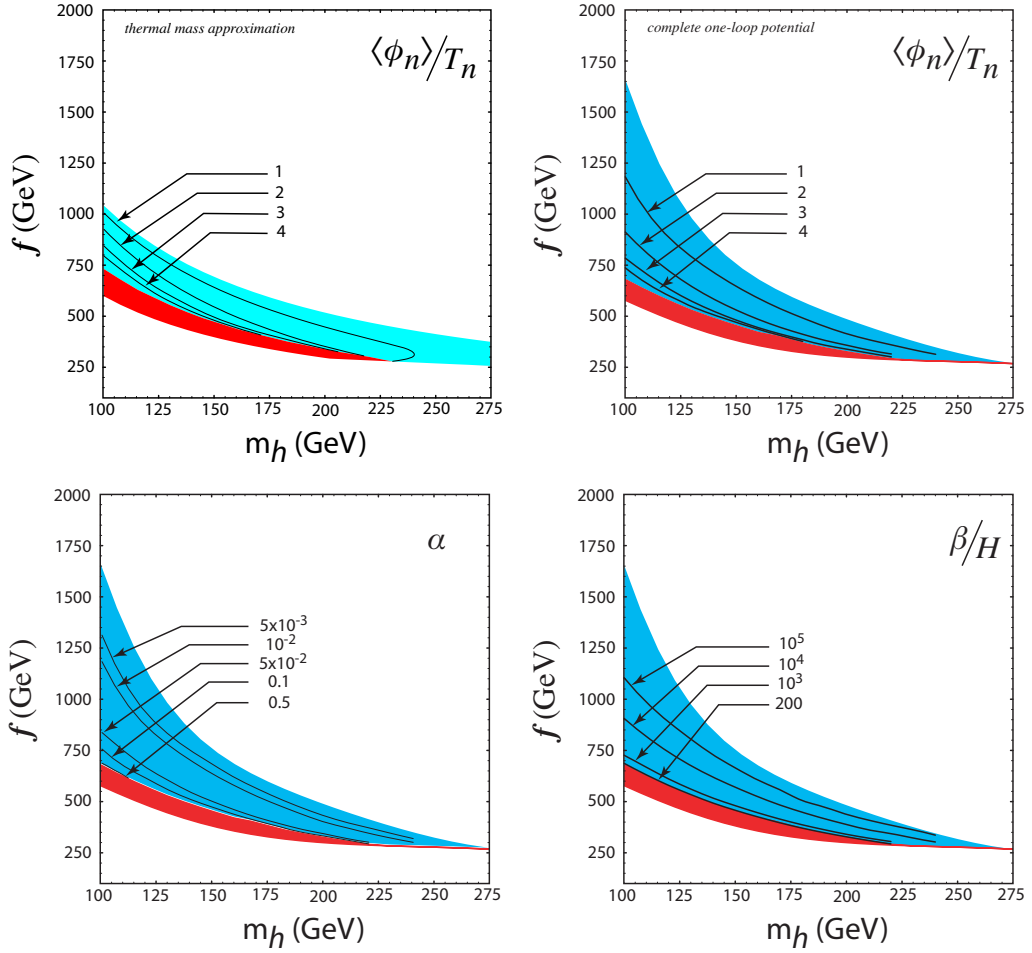


Figure 68: Upper panel: Contours of the ratio  $\langle\phi\rangle/T$  evaluated at the nucleation temperature in the blue region that allows for a first-order EW phase transition. The left plot uses the thermal mass approximation [24] while the right plot uses the full one-loop potential [25]. Below the red lower bound, the EW symmetry remains intact in the vacuum while above the blue upper one, the phase transition is second order or not even occurs. Within the red band, the universe is trapped in a metastable vacuum and the transition never proceeds. The lower panel shows contours of  $\alpha \approx \frac{\text{latent heat}}{\text{thermal energy density}}$  and  $\beta/H = T_n d(S_3/T)/dT \approx$  number of bubbles per horizon volume that both measure the amount of overcooling. From [25].

4604 8.1.3 Effective field theory approach to the EW phase transition

4605 Tree level modifications of the Higgs potential can easily make the EW phase transi-  
 4606 tion strongly first-order even for large Higgs masses. This can be further illustrated in  
 4607 a model-independent manner using an effective field theory approach, for instance by  
 4608 adding dimension-6 operators in the Higgs potential allowing for a negative quartic  
 4609 coupling [24,25]:

$$V(\phi) = \mu^2|\phi|^2 - \lambda|\phi|^4 + \frac{|\phi|^6}{f^2} \quad (106)$$

4610 Fig. 68 shows contours of quantities characterizing the strength of the phase transition  
 4611 and amount of supercooling in the  $(m_h, f)$  plane. From these plots, it is clear that a  
 4612 phase transition that is strong enough for EW baryogenesis arises in a sizable region  
 4613 of parameter space. On the other hand, for such a typical polynomial potential, not  
 4614 much supercooling is expected except in a small fine-tuned region at the vicinity  
 4615 of the red band. Therefore, the cosmic background of gravity waves resulting from  
 4616 bubble collisions at the EW epoch will be too small to be observable by LISA [30,33].  
 4617 Anyhow, in the parameter region of interest, a potential like (106) leads to deviations  
 4618 of order 1 in the Higgs self couplings  $\mathcal{L} = m_H^2 H^2/2 + \mu H^3/3! + \eta H^4/4! + \dots$  as

$$\mu = 3\frac{m_H^2}{v} + 6\frac{v^3}{f^2} \quad \eta = 3\frac{m_H^2}{v^2} + 36\frac{v^2}{f^2}. \quad (107)$$

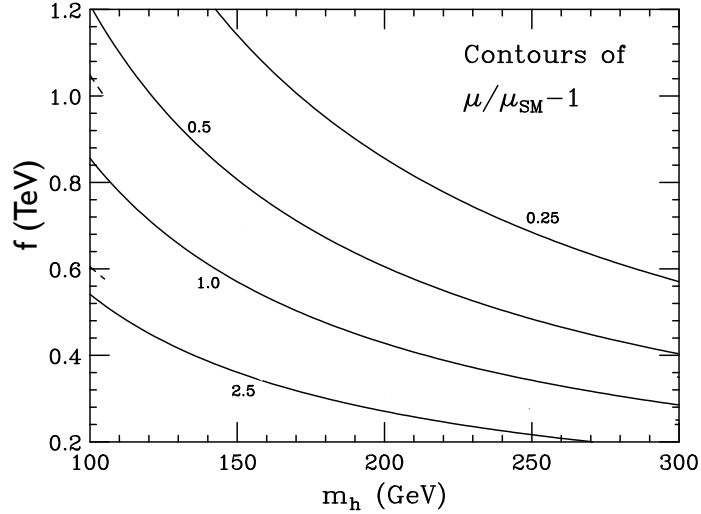


Figure 69: Contours of  $\mu/\mu_{SM} - 1$  in the  $(m_h, f)$  plane.

4619 The SM couplings are recovered as  $f \rightarrow \infty$  [24]. Figure 69 shows contours of  $\mu/\mu_{\text{SM}} - 1$   
 4620 in the  $f$  vs.  $m_H$  plane. Therefore, non-trivial probes of the Higgs potential may be  
 4621 obtained from precise measurements of the trilinear Higgs coupling, see Ref. [29] for  
 4622 other examples.

4623 Higgs self-coupling measurements are extremely challenging. At the LHC, they  
 4624 are typically afflicted with large backgrounds [103]. For a SM 125 GeV Higgs, it was  
 4625 recently shown that a target luminosity of  $1000 \text{ fb}^{-1}$  would be needed to be able to set  
 4626 constraints on the Higgs self-coupling [102]. Prospects for the trilinear self coupling  
 4627 measurement at the ILC have been studied through the process  $e^+e^- \rightarrow ZHH$ . For  
 4628  $M_H = 120 \text{ GeV}$  and  $\sqrt{s} = 500 \text{ GeV}$ , it was estimated that the Higgs trilinear self  
 4629 coupling could be measured with a  $\sim 25\%$  accuracy, see for instance [104,105,106,107].

4630 While the nature of the EW phase transition has been studied in various non-  
 4631 supersymmetric extensions of the SM, e.g. in models of technicolor [12], models  
 4632 with flat extra dimensions [37], Randall-Sundrum models [13,14,15,16,31], no full  
 4633 calculation of the baryon asymmetry has been carried out in these contexts. In some  
 4634 of these constructions, the EW phase transition can be too strongly first-order, leading  
 4635 to supersonic bubble growth which suppresses diffusion of CP violating densities in  
 4636 front of the bubble walls, thus preventing the mechanism of EW baryogenesis [17].

4637 The bubble wall velocity is a key quantity entering the calculation of the baryon  
 4638 asymmetry. A model-independent and unified description of the different regimes  
 4639 (detonation, deflagration, hybrid, runaway) characterizing bubble growth was pre-  
 4640 sented in Ref. [17]. Results are summarized in Fig. 70 showing contours for the  
 4641 bubble wall velocity in the plane  $(\eta, \alpha_N)$  where  $\eta$  and  $\alpha_N$  are dimensionless param-  
 4642 eters characterizing the strength of the phase transition (roughly the ratio of latent  
 4643 heat to thermal energy density) and the amount of friction. In the SM  $\eta \sim 1/1000$   
 4644 while in the SM  $\eta \sim 1/30$ . For any given model, one would have to calculate these  
 4645 quantities for a reliable computation of the baryon asymmetry.

4646 We conclude this part by a few remarks on the cosmological signatures of a strong  
 4647 first-order EW phase transition. As mentioned earlier, bubbles collisions during the  
 4648 EW phase transition will produce a stochastic background on GW waves. Interest-  
 4649 ingly, the associated frequency is in the LISA frequency range [30,33]. Unfortunately,  
 4650 the expected signal is typically below LISA's sensitivity except in some specific cases.  
 4651 In particular, it was stressed in [31,14] that the observation of GW background peaked  
 4652 in the millihertz would be a signature of near conformal dynamics at the TeV scale  
 4653 as only a scalar potential of the type

$$V(\mu) = \mu^4 \times f(\log(\mu)) \quad (108)$$

4654 can naturally lead to large supercooling that can result in an observable background of  
 4655 gravity waves. The shape of the potential and the dependence of the critical bubble

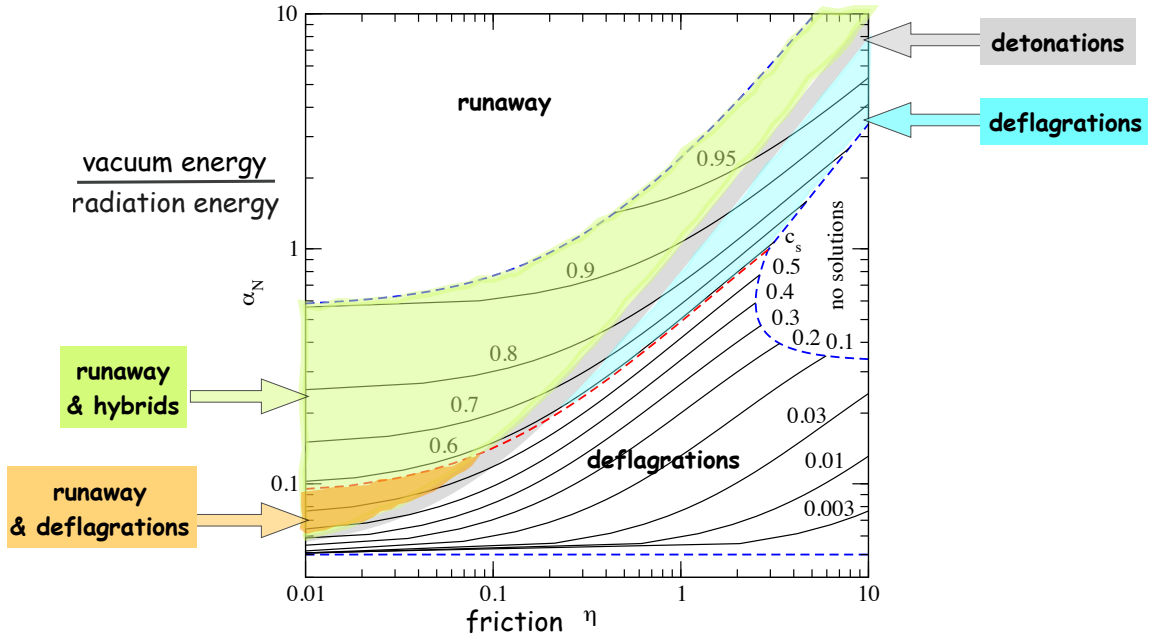


Figure 70: Contours of the bubble wall velocity in the  $(\eta, \alpha_N)$ , from [17].

4656 action on temperature are shown in Fig. 71 in comparison with the ones from a  
 4657 standard polynomial potential. Such dynamics could apply to a dilaton (see [38]  
 4658 for a related discussion) and may be relevant for a very different mechanism of baryogenesis  
 4659 known as *cold baryogenesis* [32]. One advantage of cold baryogenesis is that it does  
 4660 not depend on the details of the new sources of CP violation, which can be described  
 4661 by dimension-six effective operators  $\phi^\dagger \phi \tilde{F} F / \Lambda^2$ , which are relatively unconstrained  
 4662 by EDMs [39].

## 4663 8.2 Dark Matter and the ILC

### 4664 8.2.1 Status of dark matter

4665 The dark matter paradigm is now one of the pillars of the standard model of cosmology.  
 4666 There are many pieces of evidence, from galactic length scales, cluster lengths  
 4667 scales, and the largest observable scales in the universe, that roughly 20% of the  
 4668 energy and 80% of the mass in the Universe is in the form of massive, non-baryonic  
 4669 particles with relatively weak interactions with ordinary matter [40]. There are many  
 4670 proposals for the nature of this dark matter. The proposed particles span an enormous  
 4671 range in mass, from  $10^{-5}$  eV to macroscopic and even planetary-scale masses. How-

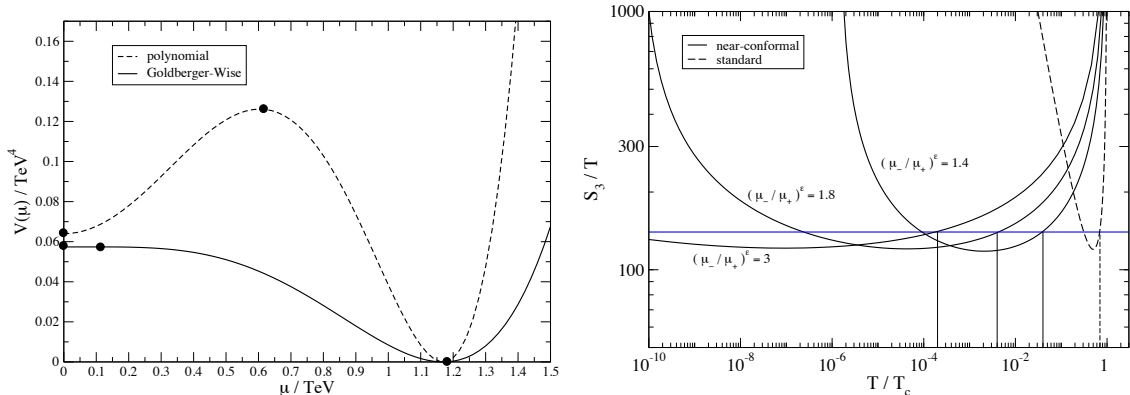


Figure 71: Left: Comparison of a typical polynomial potential given here  $\lambda(\mu^2 - \mu_0^2)^2 + \Lambda^{-2}(\mu^2 - \mu_0^2)^3$  with a nearly conformal potential of the type of Eq. (108). The  $\bullet$  indicates the position of the maxima. Right: The tunneling action  $S_3/T$  as a function of  $T/T_c$  for a typical nearly conformal potential (solid line) (we used the Goldberger-Wise potential for illustration) and for a usual polynomial Higgs potential (dashed line). The horizontal blue line indicates the tunneling value  $S_3/T \sim 4 \log(M_{Pl}/T_{EW}) \sim 140$ . For a standard potential, the nucleation temperature  $T_n$  is always close to the critical one,  $T_c$ , unless some fine-tuning is invoked. For a nearly conformal potential, supercooling is a general feature and  $T_n$  can easily be several orders of magnitude below  $T_c$ .

4672 ever, the most attractive proposal, and the one that we will concentrate on here, is  
 4673 that the particle that makes up dark matter is a ‘weakly-interacting massive particle’  
 4674 (WIMP).

4675 A WIMP is defined as a weakly interacting neutral particle that is stable over the  
 4676 lifetime of the universe. WIMPs can be created or destroyed only in pairs. The WIMP  
 4677 model further assumes that the WIMPs were in thermal equilibrium with the hot  
 4678 plasma of Standard Model particles early in the history of the universe. This initial  
 4679 condition allows us to predict the current density of WIMPs. In the model, when  
 4680 the temperature of the universe decreased below the WIMP mass, WIMPs began to  
 4681 annihilate, but, because the annihilation requires a pair of WIMPs, the annihilation  
 4682 cut off when the density of WIMPs reached a well-defined small value. The density  
 4683 of WIMPs decreased further due to the expansion of the universe. However, as the  
 4684 Universe cooled, this small density of massive WIMPs eventually came to dominate  
 4685 the energy in radiation. By this logic, it is possible to derive the expression for the  
 4686 current energy density of Universe in WIMPs,

$$\Omega \sim \frac{x_F T_0^3}{\rho_c M_{Pl}} \frac{1}{\langle \sigma_{ann} v \rangle}. \quad (109)$$

4687 In this expression,  $x_F = m/T_F$  where  $m$  is the WIMP mass and  $T_F$  is the freeze-out  
4688 temperature at which annihilation turns off,  $T_0$  is the temperature of photons today,  
4689  $\rho_c$  is the critical energy density,  $M_{Pl}$  is the Planck scale, and  $\langle\sigma_{ann}v\rangle$  is the inclusive  
4690 cross section for WIMP pair annihilation into SM particles, averaged over the WIMP  
4691 thermal velocity distribution at freeze-out. Typically  $x_F \approx 25$ , with weak dependence  
4692 on the WIMP mass, and the other parameters in the equation, including  $\Omega$ , are well  
4693 measured. The expression (109) then determines the value of the annihilation cross  
4694 section needed for the entire dark matter relic density to be composed of a single  
4695 WIMP species. The result is shown in Fig. 72citeSteigman:2012nb. The required  
4696 value is roughly

$$\langle\sigma_{ann}v\rangle \approx (1 \text{ pb}) \cdot c , \quad (110)$$

4697 indicating that a WIMP with mass and interactions at the electroweak scale naturally  
4698 leads to the required density of dark matter.

4699 This observation motivates searches for WIMPs with masses of the order of  
4700 100 GeV, making use of techniques from particle physics. The three pillars of WIMP  
4701 searches are: indirect detection of residual annihilation of WIMPs in the galactic  
4702 neighborhood, direct detection of ambient WIMPs scattering off of sensitive detec-  
4703 tors on Earth, and artificial production of of WIMPs at high energy accelerators.

4704 If a candidate particle for WIMP dark matter can be produced at the ILC, the  
4705 precision study of its mass and properties available through the ILC measurements  
4706 might make it possible to predict its pair annihilation cross section and thus its  
4707 thermal relic density. This prediction can then be compared to the density of dark  
4708 matter measured by astrophysical observations. This possibility of a direct connection  
4709 between physics at the smallest and largest length scales is extremely enticing. Later  
4710 in this section, we will discuss a number of scenarios in which the ILC makes such a  
4711 comparison possible.

### 4712 8.2.2 Theories of WIMPs

4713 By far the most popular vision of WIMP dark matter is the neutralino found in super-  
4714 symmetric theories. Supersymmetric theories are particularly amenable to searches  
4715 at the LHC, because they contain a wealth of new colored states (squarks and glu-  
4716 ons) with large hadroproduction cross sections. Such particles can decay into the  
4717 dark matter plus jets of hadrons, leading to events characterized by hadronic activity  
4718 together with a large imbalance of transverse momentum. As of this writing, the ab-  
4719 sence of a signal places limits on the masses of squarks and gluons to be substantially  
4720 in excess of 1 TeV, depending on the fine details of the mass spectrum [56,57]. The  
4721 null results of these searches, combined with the early indications that the Higgs mass  
4722 may lie around 125 GeV [58,59] have lead to some speculation that if supersymmetry

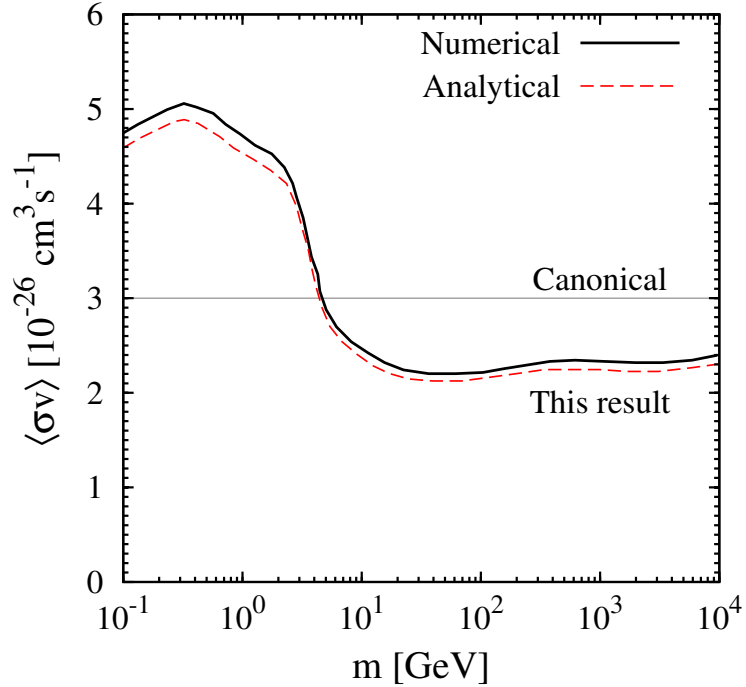


Figure 72: Desired annihilation cross section  $\langle\sigma v\rangle$  to obtain the measured thermal relic density, as a function of the WIMP mass  $m$  (from Ref. [42]). The line marked “canonical” shows the oft-quoted value  $3 \times 10^{26} \text{ cm}^3/\text{s}$ .

4723 is realized in nature, it may not be minimal. Nonetheless, viable points with modest  
4724 fine-tuning still exist [60], and for the purposes of this discussion we will stay within  
4725 the minimal supersymmetric extension of the SM.

4726 Searches for supersymmetry based on the 2011 LHC data have focused on searches  
4727 for the colored superpartners [61]. Such searches are important in terms of character-  
4728 izing the over-all scale of super-partner masses, but offer only a limited vista on  
4729 the properties of supersymmetric dark matter. As the LHC collects more data and  
4730 at higher energies, it becomes more sensitive to the electroweak super partners, and  
4731 thus has more directly to say about the properties of dark matter.

4732 Beyond supersymmetric theories, the most studied candidates for WIMP dark  
4733 matter include the lightest Kaluza-Klein particle in 5-dimensional [44,45] or 6-di-  
4734 mensional [46,47] theories with Universal Extra Dimensions [48], and a light neutral  
4735 vector boson in little Higgs theories [49,50] incorporating  $T$ -parity [51]. All of these  
4736 theories are primarily distinguished from supersymmetric theories in that the WIMP  
4737 is a boson rather than a Majorana fermion. One other nonsupersymmetric theory



4738 which affords some contrast is based on a warped extra dimension [52] and has a dark  
4739 matter particle which is a Dirac fermion [53,54,55,108].

4740 Recently, there has also been activity aimed at capturing features of WIMP dark  
4741 matter in cases where the particles mediating the interactions are heavy compared  
4742 to the energy transfer of the processes of interest, by making use of effective field  
4743 theory (EFT) descriptions of WIMPs [62]-[78]. Such effective field theories allow for  
4744 one to capture the low energy properties of any theory which is amenable to an EFT  
4745 description, and facilitates comparisons between the different types of searches for  
4746 dark matter. The picture which emerges from such studies is that there is a large  
4747 degree of complementarity between direct, indirect, and collider searches. Direct and  
4748 indirect detection constraints are typically stronger than collider bounds, but also  
4749 subject to relatively large astrophysical uncertainties, and only apply to interactions  
4750 which do not vanish in the limit in which WIMPs are non-relativistic. Instead, collider  
4751 bounds apply roughly uniformly to any type of interaction involving the particles  
4752 available in the initial state, but are limited for heavy WIMP masses by the finite  
4753 energy available in the collision.

4754 Another feature which is easily discerned from effective theory descriptions is that  
4755 bounds from the Tevatron and LHC typically apply to WIMP couplings to quarks  
4756 and gluons, whereas the couplings most relevant at a high energy  $e^+e^-$  collider are  
4757 the couplings to electrons and photons. While the most popular models of dark  
4758 matter predict that couplings to quarks and leptons are comparable, it is possible to  
4759 construct leptophilic models [79,80,81], motivated in part by the observation of an  
4760 anomalous positron flux by the PAMELA and Fermi LAT collaborations [82,83].

4761 Beyond the straightforward freeze-out paradigm, there are other models of dark  
4762 matter for which dark matter particles at the electroweak scale are relevant. The  
4763 universe energy density stored in WIMPs may exhibit an explicit dependence on extra  
4764 parameters, in particular the dark matter mass, for instance in models of asymmetric  
4765 dark matter e.g. [109]. Dark matter may also be produced by ‘freeze-in’ scenarios  
4766 such as that in [110] or in scenarios where DM is produced through decays [111].

### 4767 8.2.3 Prospects for ILC determination of dark matter parameters

4768 Once dark matter is detected through a non-gravitational interaction, and is thus  
4769 confirmed to be some kind of weakly interacting particle, the primary question will  
4770 be whether or not its annihilation cross section is of the correct size for it to explain the  
4771 cosmic dark matter as a thermal relic. If the annihilation cross section reconstructed  
4772 from measurements on the particle is consistent with the determinations of the dark  
4773 matter density, it will provide evidence that the thermal history of the Universe was

4774 (at least approximately) standard back to the time that the dark matter froze out—  
4775 about 1 nsec after the Big Bang. This would parallel the argument the successful  
4776 predictions of big bang nucleosynthesis based on measurements in nuclear physics  
4777 lead to a compelling picture of the history of the Universe back to temperatures of  
4778 order MeV [84] and times of order 1 second.

4779 In principle, the most direct determination of the dark matter annihilation cross  
4780 section would come from an observation by indirect detection experiments which look  
4781 for annihilation of WIMPs in the galaxy. In practice, this is a daunting task, because  
4782 of large uncertainties in astrophysical backgrounds, which can mask or pollute the  
4783 signal, and in the distribution of dark matter itself, which enters into the observed  
4784 photon flux as the density squared integrated along the line of sight of the observation.  
4785 In addition, a relatively few final states are expected to be observable on the Earth,  
4786 necessarily leading to an incomplete picture. It is also worth mentioning that if the  
4787 annihilation cross section is strongly velocity-dependent, annihilation channels which  
4788 were important at the time of freeze-out ( $v \sim 0.1$ ) may be subdominant in the galaxy  
4789 today ( $v \sim 10^{-3}$ ). Similarly, direct detection experiments are really sensitive only  
4790 to couplings to colored SM particles, which could turn out to represent a relatively  
4791 unimportant fraction of the totality of WIMP annihilation. Direct detection also loses  
4792 track of some types of interactions which may be important for WIMP annihilation,  
4793 but are suppressed in the non-relativistic limit of elastic scattering.

4794 Consequently, colliders play an essential role in providing a complete picture of the  
4795 dark matter interactions with the SM, and it is further necessary to access all sectors  
4796 of the SM itself. Hadron colliders such as the LHC have large rates of production  
4797 for exotic colored particles (and also typically higher energies, allowing searches for  
4798 more massive particles), but also larger backgrounds and less precision than  $e^+e^-$   
4799 counterparts which may render some states difficult to identify. In a typical theory  
4800 of WIMPs such as the MSSM or UED models, the relic density is controlled by a  
4801 complicated interplay between annihilations into colored and uncolored states, and  
4802 thus an accurate picture may require input from more than one collider.

4803 The impact of an ILC on the measurement of dark matter properties depends  
4804 on where the LHC will leave off. The following discussion is based on a few of the  
4805 most detailed studies of the MSSM [85,86]. These studies assume an end stage LHC  
4806 running at  $\sqrt{s} = 14$  TeV and hundreds of  $\text{fb}^{-1}$ . Under such conditions, many of  
4807 the measurements will be systematics limited and thus the precise assumptions for  
4808 collected data sample are less important than the assumed collision energy. For a  
4809 partial list of other investigations into the measurement of dark matter properties at  
4810 a linear collider, see Refs. [88]-[98].

4811 In Ref. [86,87], two mSUGRA-inspired models are investigated in terms of the  
4812 ability of the LHC and 500 GeV ILC to reconstruct the spectrum and couplings of

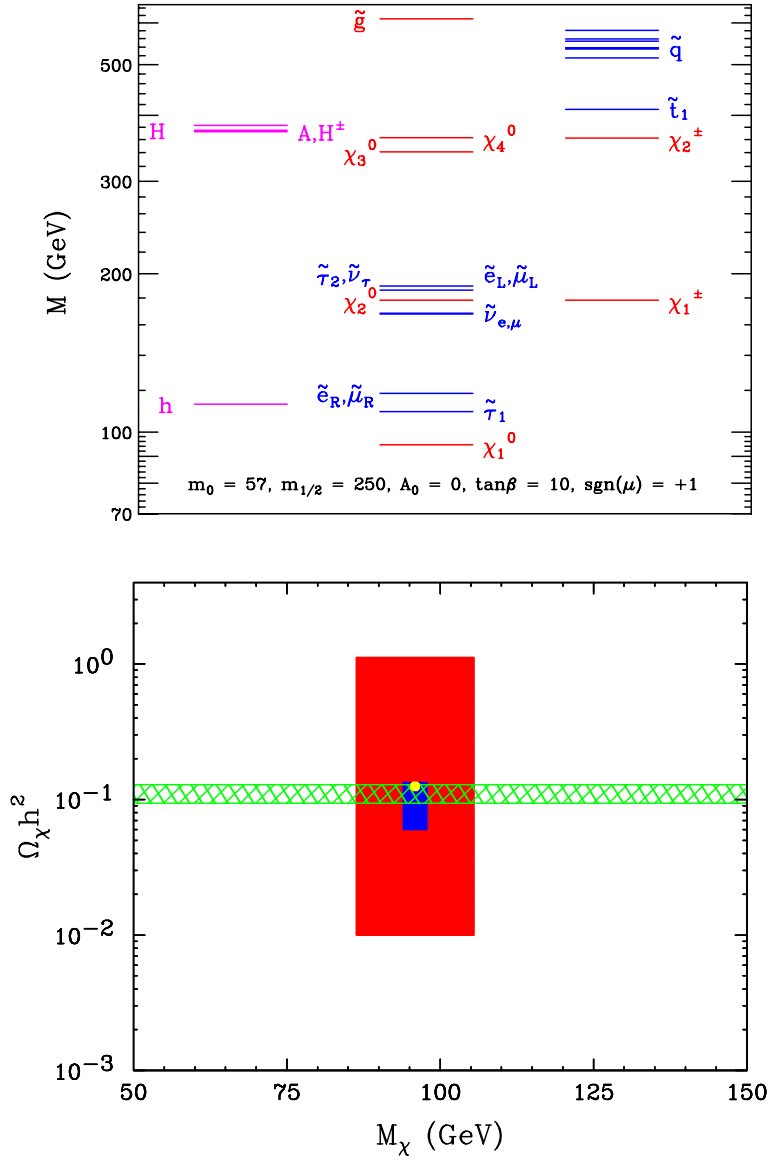


Figure 73: Spectrum (left) and projections for determination of the WIMP mass and inferred relic density (right) based on measurements at the (end stage) LHC (red rectangle) and ILC (blue rectangle), for supersymmetric model B' (from Refs. [86,87]). The measurement of the relic density from cosmology is indicated by the green hatched region, and the actual model prediction is shown as the yellow dot.

4813 the neutralino. Model B' is characterized by low sparticle masses (in fact, masses

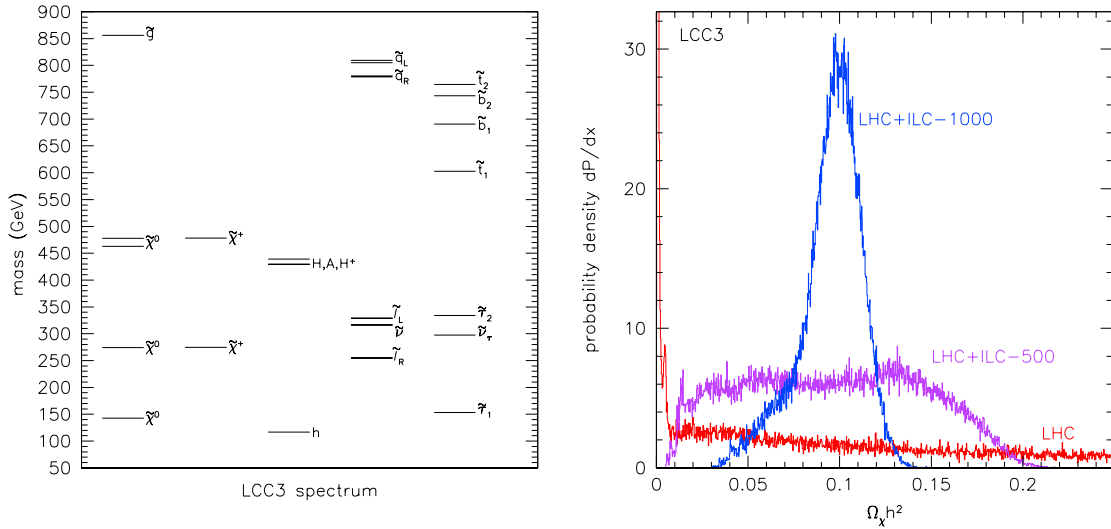


Figure 74: Mass spectrum of superparticles (left) and reconstructed relic density probability distribution (right) based on measurements at the LHC alone (red histogram), LHC + a 500 GeV ILC (magenta histogram) and LHC + a 1000 GeV ILC (blue histogram) for the MSSM point LCC3. From Ref. [85].

4814 already ruled out by current LHC searches for colored super partners and large mass  
 4815 splittings, resulting in a model that is particularly amenable to reconstruction using  
 4816 LHC measurements alone. In Figure 73, we show the sparticle spectrum and the  
 4817 range of reconstructed relic densities for model B'. The derived relic density indicates  
 4818 that for this (ruled out) “easy case”, the LHC finds a spread on the order of a factor of  
 4819 ten in the reconstructed relic density, whereas the ILC, which even for limited energy  
 4820 also finds this an easy case because of the light super-partner masses, can reduce this  
 4821 spread to This model is very similar to model LCC1 studied in Ref.[85], which shows  
 4822 that by including information from a wider range of observables, the ILC can in fact  
 4823 reconstruct the relic density to lie within a few percent of the WMAP-preferred value.

4824 In Ref. [85], four MSSM parameter choices (LCC1-4) are investigated from the  
 4825 point of view of indirect and direct searches for dark matter, LHC searches, and an  
 4826 ILC at  $\sqrt{s} = 500$  GeV and 1000 GeV, in order to see how many relevant dark matter  
 4827 properties can be reconstructed. In Model LCC3, the relic density is largely controlled  
 4828 by late coannihilation of the lightest neutralino with a stau. The small mass splitting  
 4829 renders the stau particularly challenging to reconstruct at the LHC. In Figure 74, we  
 4830 show the sparticle spectrum and the range of reconstructed relic densities for model  
 4831 LCC3. As shown, the LHC has essentially no ability to reconstruct the relic density,

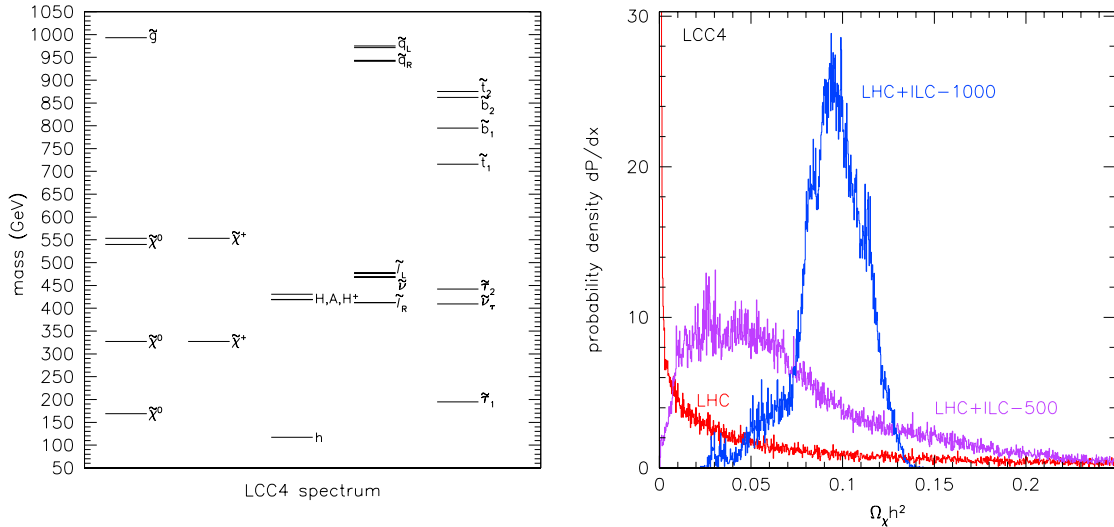


Figure 75: Mass spectrum of superparticles (left) and reconstructed relic density probability distribution (right) based on measurements at the LHC alone (red histogram), LHC + a 500 GeV ILC (magenta histogram) and LHC + a 1000 GeV ILC (blue histogram) for the MSSM point LCC4. From Ref. [85].

4832 because it is unable to obtain precise enough measurements of the neutralino and  
 4833 stau masses, and the neutralino and tau compositions leave large uncertainties in the  
 4834 coannihilation cross section. At the 500 GeV ILC, the situation clarifies, but remains  
 4835 rather uncertain, because while the neutralino and stau masses become much better  
 4836 measured, the neutralino composition remains uncertain. A 1 TeV ILC can fill in  
 4837 this remaining information, and results in a reasonably precise measurement of  $\Omega h^2$   
 4838 to within a factor of two.

4839 In LCC4, the relic density is driven by neutralinos which annihilate through a  
 4840 heavy Higgs resonance that is approximately on-shell because the SUSY Higgses have  
 4841 masses  $\sim 2m_{\chi_1^0}$ . The colored sparticles are heavy (roughly at the current LHC ex-  
 4842 clusion limits for the gluino and first two generations of squarks and well above the  
 4843 current limits on third generation squarks). This point is a particular challenge for  
 4844 the LHC (despite the fact that it is able to observe much of the spectrum of parti-  
 4845 cles) to reconstruct, because it requires very high precision measurements of the mass  
 4846 of the lightest neutralino and the mass and width of the pseudo-scalar Higgs boson  
 4847  $A^0$ , as well as reasonably precise knowledge of the lightest neutralino composition.  
 4848 See Figure 75. The resulting relic density prediction is peaked at very low values,  
 4849 with a substantial tail that extends past the WMAP measurement. At the 500 GeV

4850 ILC, the situation remains somewhat fuzzy, because the pseudo-scalar remains out  
 4851 of kinematic reach, though the composition of the neutralino becomes much-better  
 4852 understood. At the 1000 GeV ILC, production of  $A^0 h^0$  opens up, and the picture  
 4853 becomes reasonably clear.

4854 Over-all, the picture that emerges is one in which the ILC is often necessary  
 4855 to provide the crucial information allowing one to reconstruct the relic density of  
 4856 neutralinos, but whether it is effective in accomplishing this goal is largely dependent  
 4857 on whether or not it has enough energy to access the important states. In the case  
 4858 studies shown here, the LHC data will typically be able to identify the relevant mass  
 4859 scales for particles which may of interest, but it may remain unclear post-LHC which  
 4860 of those particles are in fact crucial to pin down the relic density.

4861 As a final example, we consider a leptophilic model of dark matter. For example,  
 4862 if interactions between a generic Dirac WIMP  $\chi$  and the SM leptons are mediated by  
 4863 a heavy vector particle, they may be described by the effective vertex,

$$\frac{1}{M_*^2} \bar{\chi} \gamma^\nu \chi \sum_{\ell=e,\mu,\tau} \bar{\ell} \gamma_\nu \ell \quad (111)$$

4864 and to illustrate the point, we assume that there are no couplings to quarks at tree  
 4865 level.  $M_*$  is a dimensionful coupling constant which maps on to the description of  
 4866  $Z'$  exchange through  $1/M_*^2 \leftrightarrow g_\ell g_\chi / M_{Z'}^2$ . If this interaction is the only way dark  
 4867 matter can interact with the SM, the observed relic density will be obtained for  
 4868  $M_* \sim 1$  TeV for a WIMP mass around 100 GeV [79]. Such a vision of dark matter  
 4869 is constrained by LEP II through the L3 [99] and DELPHI [100] measurements of  
 4870 the process  $e^+ e^- \rightarrow \nu \bar{\nu} \gamma$  to  $M_* \geq 480$  GeV [72]. While in principle the LHC could  
 4871 hope to observe processes such as  $pp \rightarrow e^+ e^- \chi \bar{\chi}$ , the rates are very suppressed, and  
 4872 unlikely to provide better bounds than the LEP searches. A recent 500 GeV ILC  
 4873 study of the process  $e^+ e^- \rightarrow \chi \bar{\chi} \gamma$  reveals the ability to place much more stringent  
 4874 limits on the cross section, particularly if the beams may be polarized, which reduces  
 4875 the SM background [101]. The limits on the cross section translate into limits on  $M_*$   
 4876 of about 1.7 TeV for 100 GeV mass WIMPs, leaving the ILC able to discover or rule  
 4877 out this class of leptophobic dark matter, and confirm its nature as a thermal relic.

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## 5090 9 Conclusion

5091 In this report, we have surveyed the range of physics topics that will be addressed  
5092 by the ILC.

5093 Our primary emphasis has been on the study of a Standard Model-like Higgs  
5094 boson. The discovery of a new boson by the ATLAS and CMS experiments has  
5095 vaulted the question of its properties of the top of the list of questions in high energy  
5096 physics. We have argued that the ILC is perfectly matched to this problem. The ILC  
5097 will be able to deliver a precise description of the properties of this new particle.

5098 The ability of the ILC to operate at several different energies plays an impor-  
5099 tant role in its ability to study the Higgs boson. We have described three phases of  
5100 the Higgs boson program. First, at  $\sqrt{s} = 250$  GeV, one may expect the precision  
5101 measurement of the Higgs mass and its major branching fractions and the search for  
5102 invisible and exotic modes. Second, at  $\sqrt{s} = 500$  GeV, we anticipate precision mea-  
5103 surements of the Higgs coupling to the  $W$  boson and the higher statistics study of  
5104 modes with small branching fractions. Finally, at  $\sqrt{s} = 1$  TeV, for the measurement  
5105 of the Higgs couplings to the top quark and the muon, and the Higgs self-coupling  
5106 can be made. The suite of measurements at these three energies combine to provide  
5107 a complete picture of the interactions of this particle and an incisive test of its role  
5108 in the generation of mass for all elementary particles.

5109 We have also emphasized the ability of the ILC to carry out precision measure-  
5110 ments of the properties of the  $W$  and  $Z$  bosons and the top quark, and of elementary  
5111  $e^+e^- \rightarrow 2$  fermion reactions. In addition, we have shown that the ILC has excellent  
5112 capabilities to study new color-singlet particles that might be present in the mass  
5113 range of a few hundred GeV.

5114 The nature of the Higgs boson and the origin of electroweak symmetry breaking  
5115 remains a central and puzzling problem. The traditional approaches to this problem  
5116 either involve strong coupling in the Higgs sector, building the Higgs boson as a  
5117 composite state, or weak coupling in the Higgs sector, realizing the Higgs as one  
5118 member of a new multiplet of particles. Both types of models have been reshaped by  
5119 the discoveries and exclusions from the LHC.

5120 If the Higgs sector is strongly coupled, the model must be one with a light compos-  
5121 ite Higgs boson and additional vectorlike particles at the TeV scale. We have shown  
5122 how the precision measurement capabilities of the ILC will give important clues to  
5123 the properties of these models that will not be available from the LHC.

5124 If the Higgs sector is weakly coupled, it is very likely that there are new color-  
5125 singlet particles that are extremely difficult to study at the LHC. We have argued,

5126 in particular, that the LHC results motivate models of supersymmetry that have a  
5127 spectrum of this type. The colored states of the supersymmetry spectrum may well  
5128 be discovered in the 14 TeV program of the LHC. The lightest particles of supersym-  
5129 metry, with their possible connection to the dark matter of the universe, will require  
5130 the ILC for their proper understanding. For the highly motivated case of *natural*  
5131 supersymmetry, the ILC could make the definitive test of this class of models since  
5132 charged higgsinos are expected to be present with mass below about 200 GeV. If these  
5133 light higgsinos do indeed exist, then ILC would be a higgsino factory in addition to  
5134 a Higgs factory!

5135 For both types of models, the precision study of the Higgs boson will provide  
5136 essential clues. To obtain these clues, we have shown that it will be necessary to  
5137 measure the couplings of the Higgs boson at the few percent level. The ILC will give  
5138 us that capability.

5139 For all of these reasons, the physics questions that are before us now call for the  
5140 ILC as the next major facility in high energy physics.