

*Charmless Semileptonic Decays and
Determination of $|\mathcal{V}_{ub}|$*



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Outline

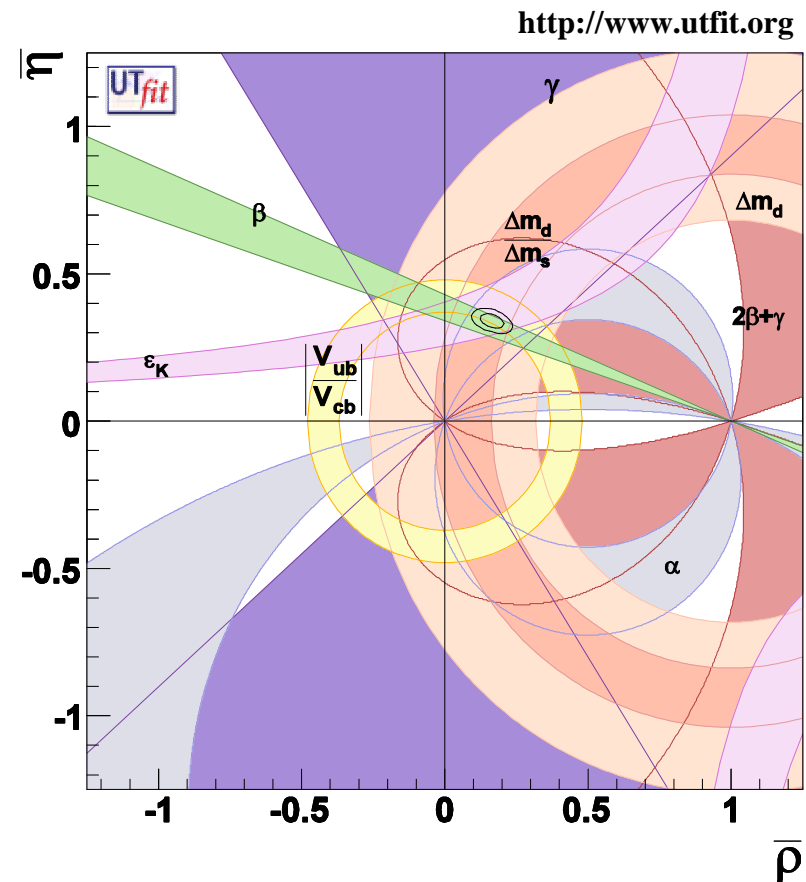
- *Introduction and motivation*
 - *B decays and CKM sector*
 - *PEP II and BaBar*
 - *Why charmless semileptonic B decays?*
- *Inclusive vs Exclusive approach*
- *Experimental techniques*
- *Inclusive approach*
 - *Theoretical framework*
 - *$b \rightarrow u\ell\nu$ measurement and $|\mathcal{V}_{ub}|$*
- *Exclusive approach*
 - *Theoretical framework*
 - *$B \rightarrow \pi\ell\nu$ ($B \rightarrow (\rho, \omega, \eta, \eta')\ell\nu$) measurement and $|\mathcal{V}_{ub}|$*
- *Prospect and Conclusion*

Unitarity Triangle

- Angles and sides have been measured in a B factory and they can offer two independent tests of the SM
- Measurements are consistent with SM \rightarrow independent constraints on the apex of the UT overlap in a small area in the (ρ, η) plane...but...

there is still enough room for New Physics to hide

Precision of $\sin 2\beta$ ($\sim 4\%$) outstripped the other measurement :
Must improve the others to make more stringent test



Next step: $|V_{ub}|$

- Zoom in to see the overlap of the “other” contours
 - we must make the **yellow ring** thinner

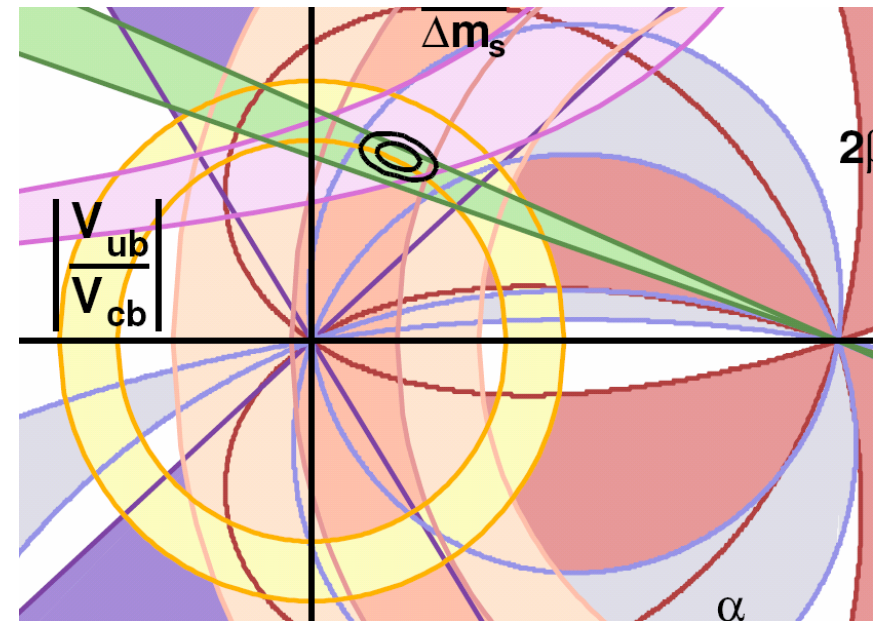


- Left side of the Triangle is

$$\left| \frac{V_{ud} V_{ub}^*}{V_{cd} V_{cb}^*} \right|$$

$|V_{cb}|$ known with a precision of $\sim 2\%$

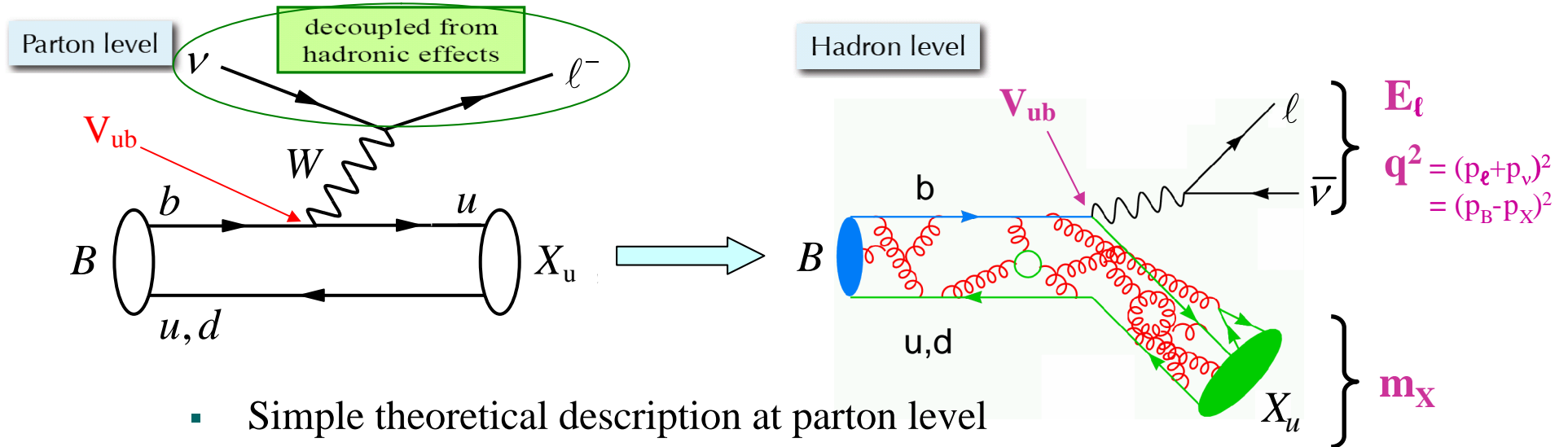
$|V_{ub}|$ current uncertainty $\sim 8\%$



error on the length of the side opposite to β dominated by errors on $|V_{ub}| \rightarrow$
Improved precision needed on $|V_{ub}|$

Charmless Semileptonic B decays

Semileptonic B decays provide the best method to measure $|V_{ub}|$

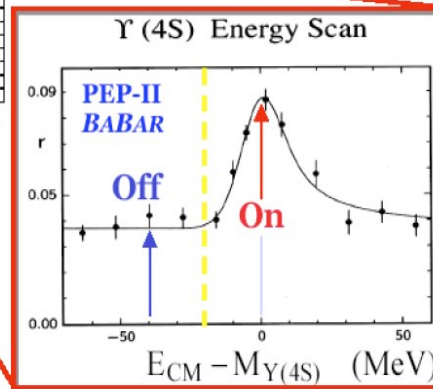
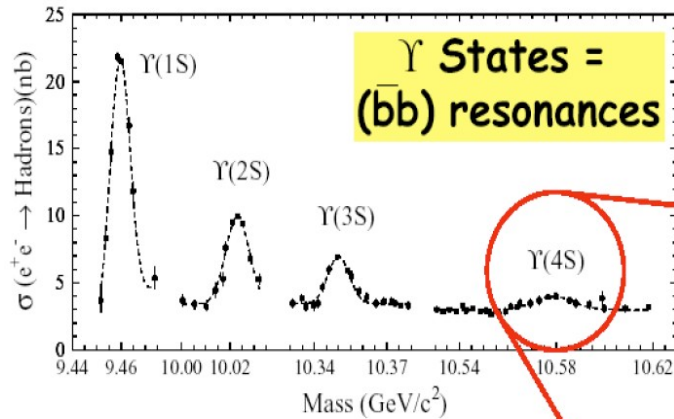


- Simple theoretical description at parton level
 - Leptonic and hadronic currents factorize
 - Sensitive to strong interactions in B mesons
 - Study structure of B meson
 - Allow test of e.g. Lattice QCD
- Rates depend on CKM matrix element and quark masses

$$\Gamma_u \equiv \Gamma(b \rightarrow u \ell \bar{\nu}) = \frac{G_F^2}{192\pi^2} |V_{ub}|^2 m_b^5$$

tree level

The B Factory concept



Cross Sections at $Y(4S)$:

$$b\bar{b} \sim 1.1 \text{ nb}$$

$$c\bar{c} \sim 1.3 \text{ nb}$$

$$d\bar{d}, s\bar{s} \sim 0.3 \text{ nb}$$

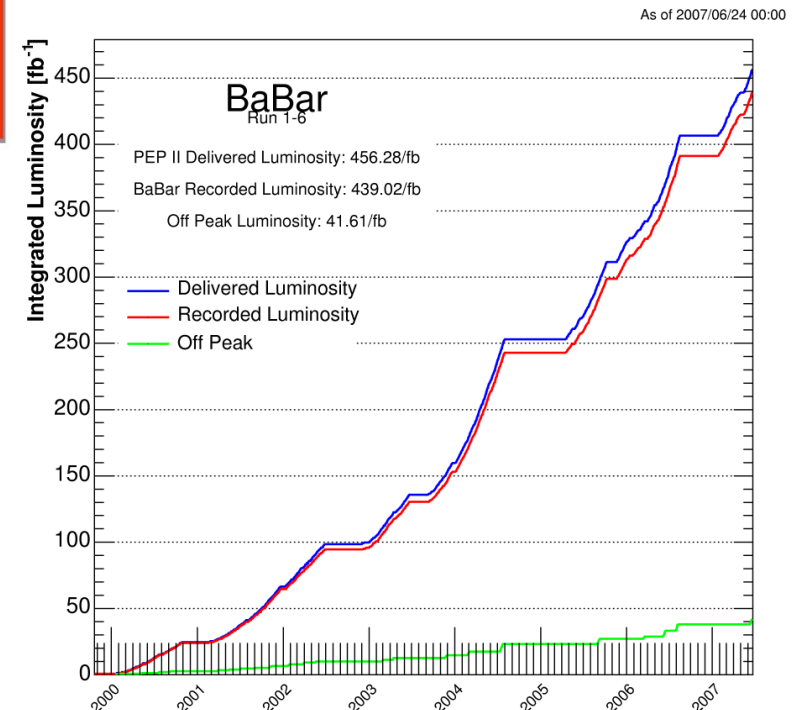
$$u\bar{u} \sim 1.4 \text{ nb}$$

$$e^+e^- \rightarrow Y(4S) \rightarrow B\bar{B}$$

$$L = 1 \text{ state}$$

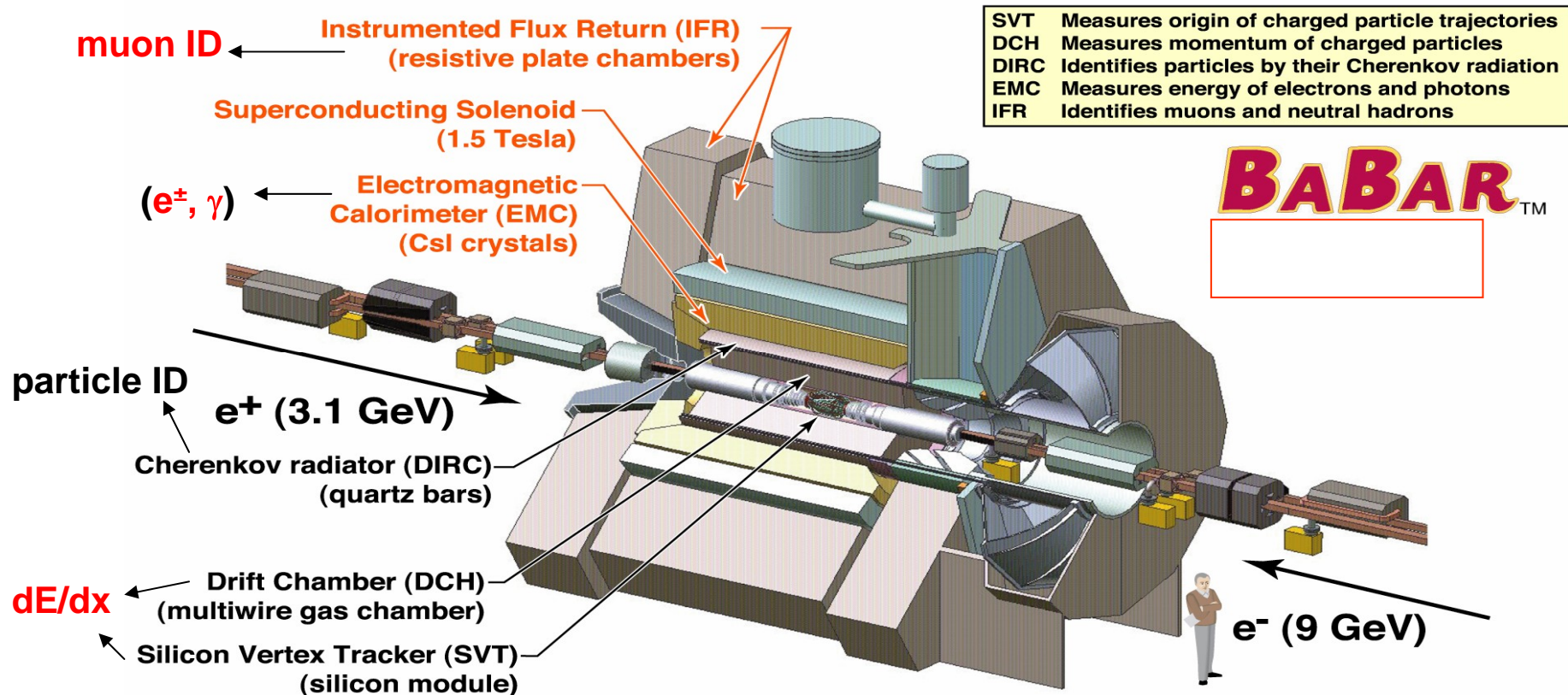
- BaBar: $9 \text{ GeV } e^- \rightarrow \leftarrow 3.1 \text{ GeV } e^+$
- $E_{\text{cm}} = 10.58 \text{ GeV} = \text{Mass of } Y(4S)$
- BB production rate $\approx 10 \text{ Hz}$

1 fb⁻¹ of luminosity corresponds roughly to one million BB pairs

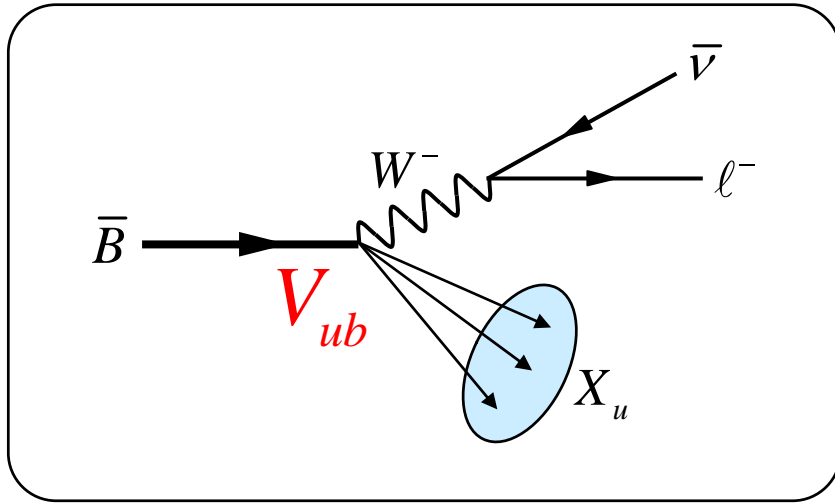


Our research tools

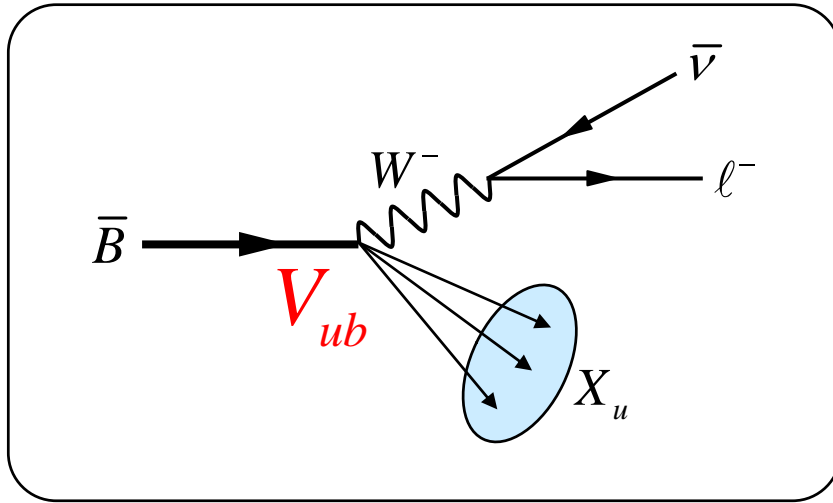
- Good e, μ ID ($p^*_\ell > 1\text{GeV}$)
- Good **hadron ID** (e.g. π/K separation)
- **Angular coverage $\approx 91\%$ of 4π in CMS**
(challenge for ν reconstruction)



Inclusive vs Exclusive decays



Inclusive vs Exclusive decays

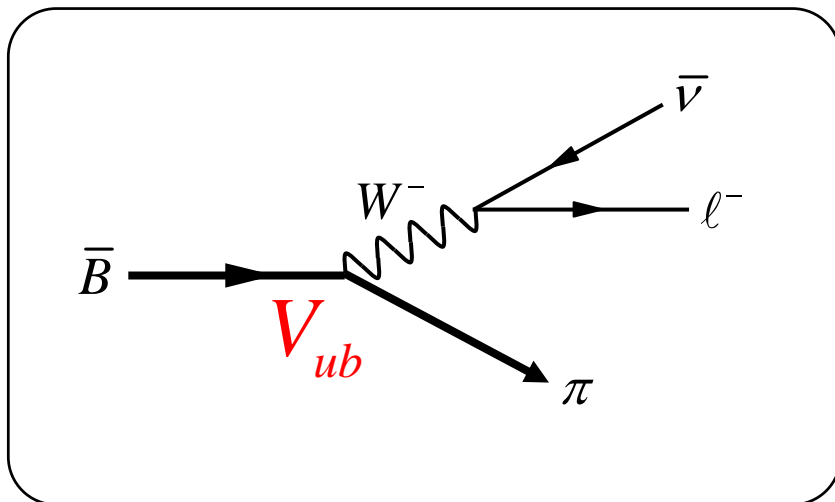
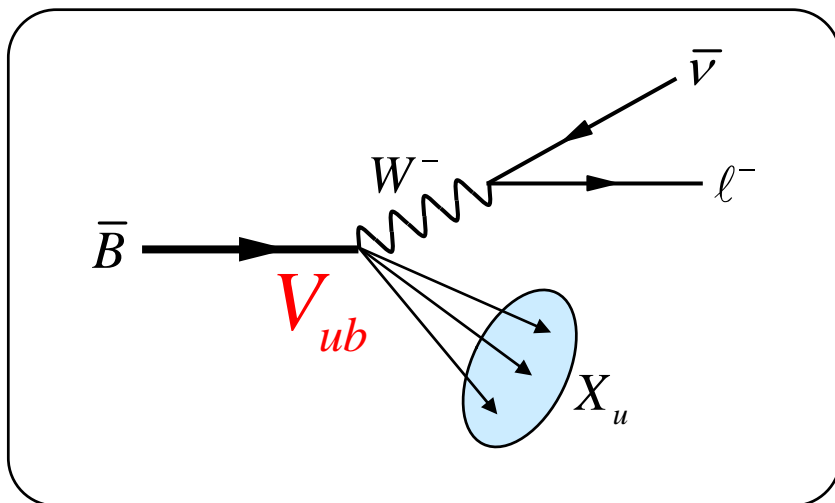


Inclusive Decays

select lepton and look at the rest of the event inclusively

- Large signal rate, high $b \rightarrow c\ell\nu$ bkg
- “Easy” to calculate (OPE/HQE)
- Need **Shape Function** (b-quark motion inside B meson) . Constrain SF param. m_b, μ_π^2 with $b \rightarrow s\gamma$ or $b \rightarrow c\ell\nu$.

Inclusive vs Exclusive decays

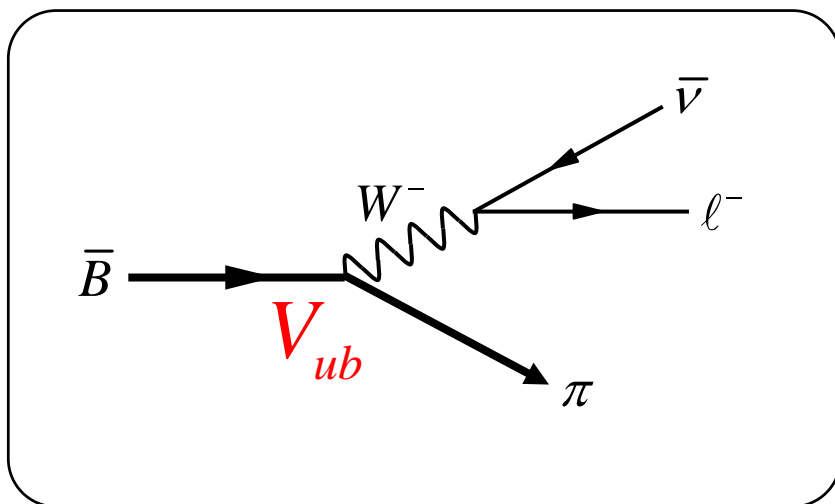
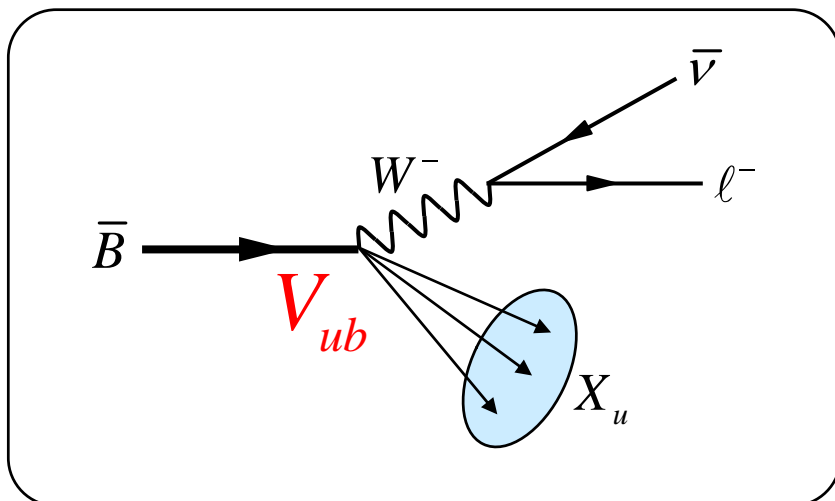


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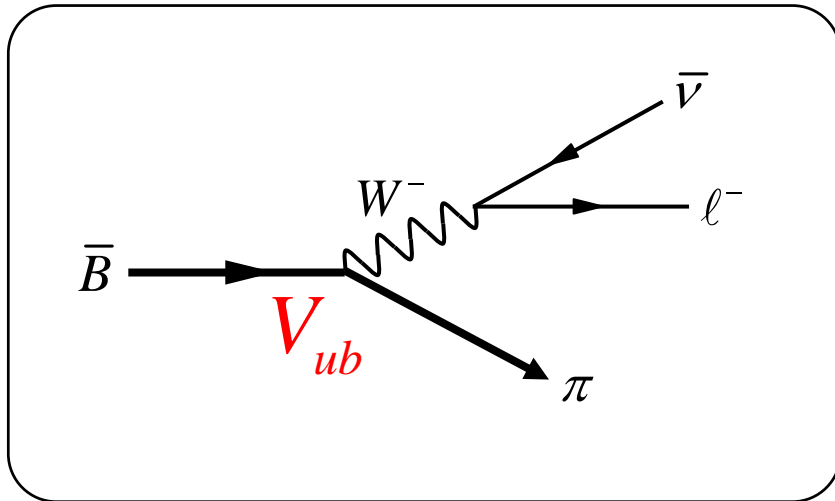
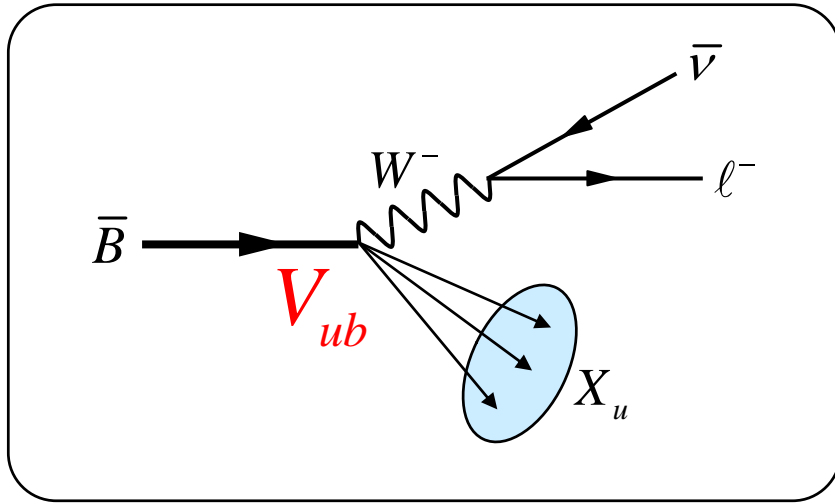
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Exclusive Decays

hadronic final states X_u reconstructed

- Low signal rate, better bkg reduction and kinematic constraints
- Need **Form Factor** $F(q^2)$ to describe the hadronization process $u \rightarrow \pi, \rho, \dots$
- Measurement as function of q^2

Inclusive vs Exclusive decays



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Experimental methods: tagged vs untagged

- Complementary approaches:
- different systematic errors
 - statistically independent samples

	<p>Hadronic Tag:</p> <p>Fully reconstruct hadronic decay of one B: $B \rightarrow D^{(*)} + (\pi^+, \pi^0, K^+, K^0) \approx 1000 \text{ modes}$ \rightarrow know kinematics of other B</p>
	<p>Semileptonic Tag:</p> <p>Reconstruct $B \rightarrow D^{(*)} \ell \nu$ and study recoil</p> <ul style="list-style-type: none"> - Full reconstruction of $D^{(*)}$ - Partial reconstruction of D^* (only l, π_{soft}) <p>Two $\nu \rightarrow$ tag-B kinematics incomplete</p>
	<p>No Tag:</p> <p>High statistics High backgrounds and cross-feed \rightarrow Fully reconstruct signal side (ν reco.)</p>

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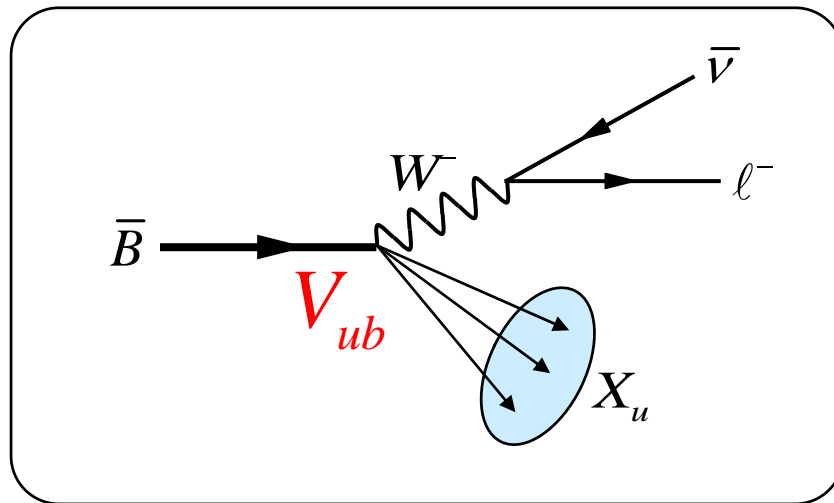
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 Two $\nu \rightarrow$ tag-B kinematics incomplete

No Tag:
 High statistics
 High backgrounds and cross-feed
 \rightarrow Fully reconstruct signal side (ν reco.)

tagged
 😊 high signal purity for almost all phase space
 ☹️ low signal efficiency

untagged
 ☹️ lower signal purity and restricted phase space
 😊 high signal efficiency

Inclusive Approach



Theory for $b \rightarrow u \ell \nu$

- Heavy Quark Expansion gives us total $B \rightarrow X_u \ell \nu$ decay rate
 - Expansion in $\alpha_s(m_b)$ (**perturbative**) and $1/m_b$ (**non-perturbative**)

$$\Gamma(B \rightarrow X_u \ell \nu) = \frac{G_F^2 |V_{ub}|^2 m_b^5}{192\pi^3} \left[1 - \mathcal{O}\left(\frac{\alpha_s}{\pi}\right) - \frac{9\lambda_2 - \lambda_1}{2m_b^2} + \dots \right]$$

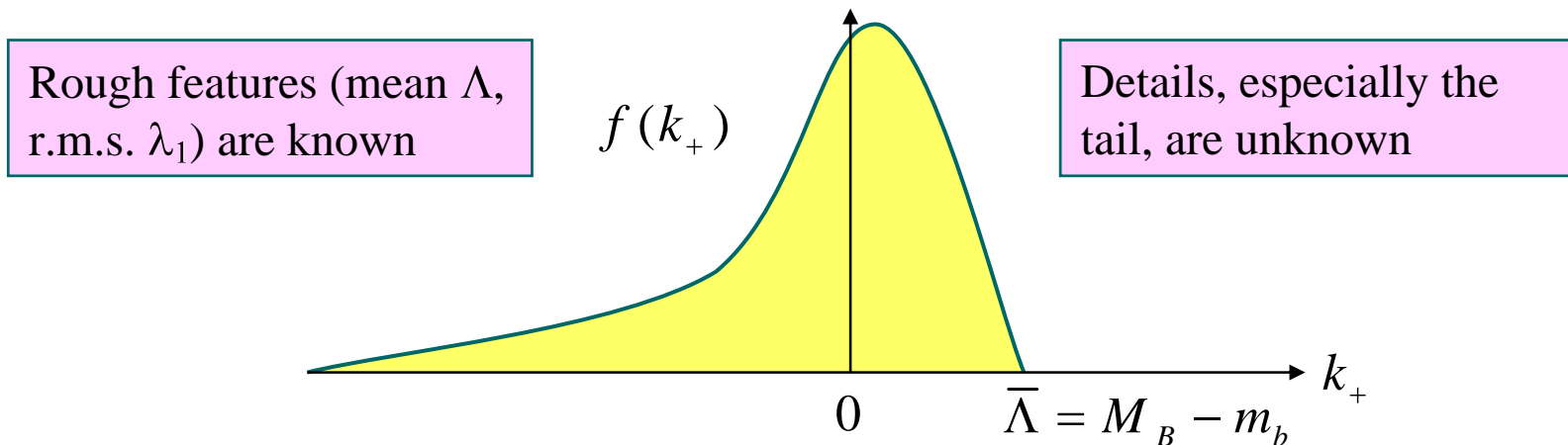
known to $\mathcal{O}(\alpha_s^2)$

Suppressed by $1/m_b^2$

- but...inclusive decay width cannot be directly measured
 - experiments measure partial widths in limited region of phase space that are free from the $B \rightarrow X_c \ell \nu$ background
- **Poor convergence of HQE** in region where $B \rightarrow X_c \ell \nu$ decays are kinematically forbidden
- non-perturbative **Shape Function (SF)** must be used to calculate partial rates

Shape Function : What is it ?

- Light-cone momentum distribution of b quark : $f(k_+)$
 - ❖ Fermi motion of b quark inside B meson
 - ❖ Universal property of a B meson (to Leading Order) but...
.....subleading SFs arise at each order in $1/m_b$
- Consequences : changes effective $m_b \rightarrow$ smear kinematic spectra
- SF depends on 2 parameters related to the mass and kinetic energy of the b-quark: Λ or m_b and λ_1 or μ_π^2



Extraction of the Shape Function

SF cannot be computed → **must be determined experimentally**:

- we can fit the $b \rightarrow s\gamma$ spectrum with theory prediction
 - must assume a functional form of $f(k_+)$

for example: $f(k_+) = N(1-x)^a e^{(1+a)x}; \quad x = \frac{k_+}{\Lambda}$

- calculation connects **SF moments** with b -quark mass m_b and kinetic energy μ_π^2 (Neubert, PLB 612:13)
 - determined precisely from $b \rightarrow s\gamma$ and $b \rightarrow c\ell\nu$ decays
 - $\langle E_\gamma^n \rangle$ from $b \rightarrow s\gamma$, $\langle E_\ell^n \rangle$ and $\langle m_X^n \rangle$ from $b \rightarrow c\ell\nu$
 - fit data from BaBar, Belle, CLEO, Delphi, CDF :

Buchmüller & Flächer
hep-ph/0507253

$$m_b = (4.60 \pm 0.04) \text{ GeV}, \quad \mu_\pi^2 = (0.20 \pm 0.04) \text{ GeV}^2$$

(precision on m_b better than 1%)

- Use SF together with calculation of triple-diff. decay rate

Inclusive $b \rightarrow u\ell\bar{\nu}$: how to measure it

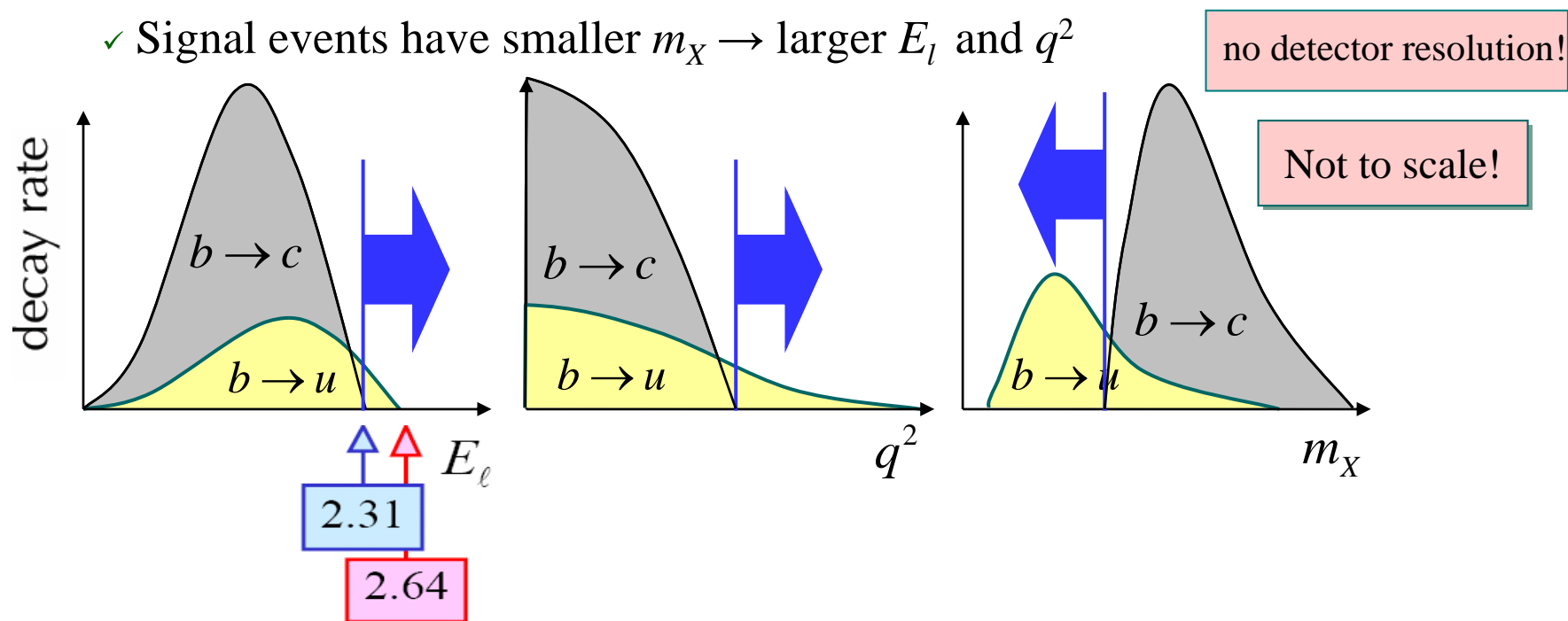
➤ Need to suppress the high $b \rightarrow c\ell\bar{\nu}$ background:

$$\frac{\Gamma(b \rightarrow u\ell\bar{\nu})}{\Gamma(b \rightarrow c\ell\bar{\nu})} \approx \frac{|V_{ub}|^2}{|V_{cb}|^2} \approx \frac{1}{50}$$

✓ $m_u \ll m_c \rightarrow$ differences in kinematics

➤ There are 3 independent variables in $b \rightarrow u\ell\bar{\nu}$:

✓ Signal events have smaller $m_X \rightarrow$ larger E_ℓ and q^2



we measure **partial rates** in favorable regions of the phase space

Getting $|V_{ub}|$ from the partial rate

- Take your favorite theory calculation and convert the **partial rates** into $|V_{ub}|$:

OPE gives good results for full phase space but break down in the “SF region” (low M_X and low q^2)



various approaches to solve the problem

$$BR(B \rightarrow X_u | v) = \frac{\Delta BR}{f_u(m_b, \Lambda^{SF}, \lambda_1^{SF})}$$

$$|V_{ub}| = \sqrt{\frac{\Delta BR}{\Delta \zeta(\Lambda^{SF}, \mu_\pi^{2SF}) \cdot \tau_B}}$$

- DFN** (De Fazio, Neubert) → **HQE with ad-hoc inclusion of SF**

JHEP9906:017(1999)

- BLNP** (Bosch, Lange, Neubert, Paz) → **HQE with systematic incorporation of SF**

PRD72:073006(2005)

- BLL** (Bauer, Ligeti, Luke) → **HQE for $m_X < m_D$ and $q^2 > 8$ (‘non SF region’) to minimize SF effect**

PRD64:113004(2001)

- DGE** (Anderson, Gardi) → **use “Dressed Gluon Exponentiation” to convert on-shell b quark calculation into meson decay spectra**

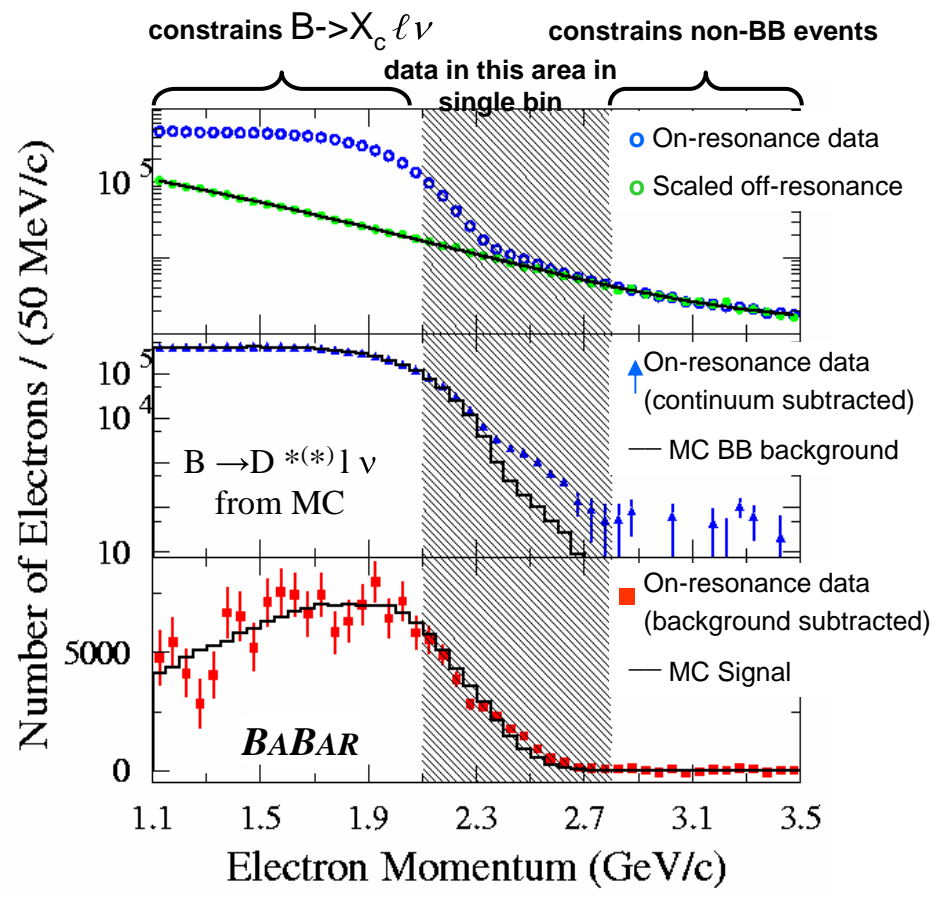
JHEP0601:097(2006)



Predicted rate

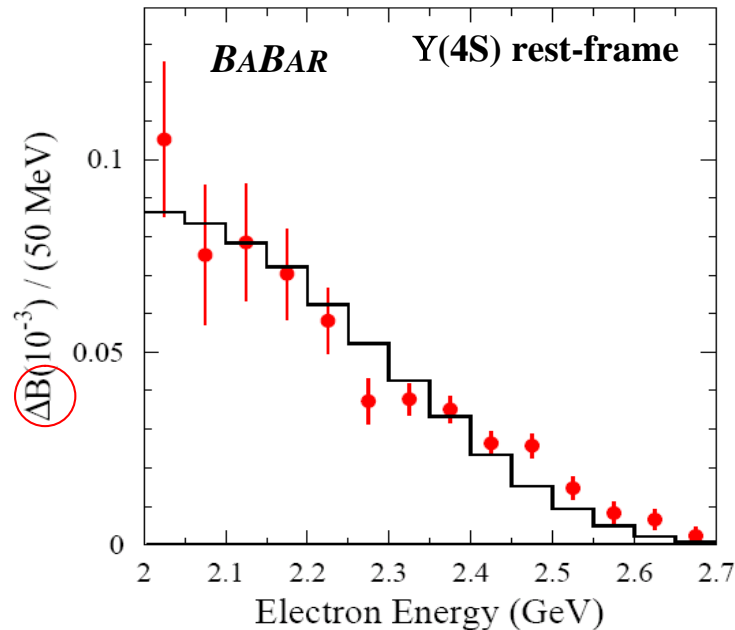
$|V_{ub}|$ from inclusive $B \rightarrow X_u \ell \nu$ endpoint spectrum

80 fb⁻¹



- Select electrons with : $2.0 < E_e < 2.6$ GeV
- accurate subtraction of background is crucial !
 - non BB bkg subtracted using off-peak and on-peak (with $p_e > 2.8$ GeV)
 - BB bkg from MC : fit $b \rightarrow c \ell \nu$ individual compositions
- S/B $\sim 1/15$ for endpoint $E_e > 2.0$ GeV
- push below the charm threshold (2.3 GeV)
 - larger signal acceptance
 - less dependence of SF

$|V_{ub}|$ from inclusive $B \rightarrow X_u \ell \nu$ endpoint spectrum



Inclusive electron spectrum
fully corrected for efficiencies and radiative effects

$|V_{ub}|$ extracted from the measurement of partial Branching Ratios

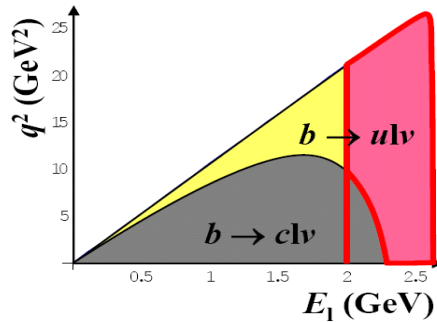
$$\Delta B(B \rightarrow X_u \ell \nu) = (0.572 \pm 0.041_{\text{stat}} \pm 0.065_{\text{syst}}) \times 10^{-3}$$

$$2.0 < E_e < 2.6 \text{ GeV}$$

Using **BLNP** to translate partial rate directly into $|V_{ub}|$

$$|V_{ub}| = (4.44 \pm 0.25_{\text{exp}} \begin{matrix} +0.42 \\ -0.38_{\text{SF}} \end{matrix} \pm 0.22_{\text{th-BLNP}}) \times 10^{-3}$$

E_1 - q^2 analysis with ν reconstruction

80 fb⁻¹

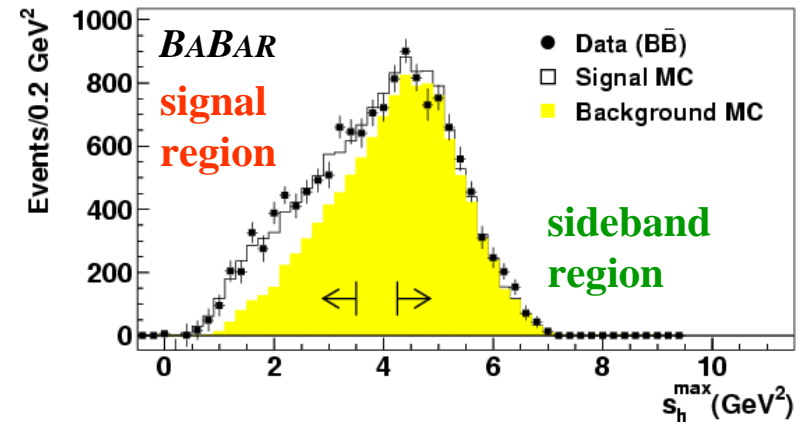
- Try to improve signal to background
- Use $p_\nu = p_{\text{miss}}$ in addition to $p_e \rightarrow$ calculate q^2

- define s_h^{max} = maximum hadronic mass squared

$$s_h^{\text{max}} = m_B^2 + q^2 - 2m_B \left(E_e + \frac{q^2}{4E_e} \right),$$

Cutting at $s_h^{\text{max}} < m_D^2$ removes $b \rightarrow clv$ while keeping most of the signal

- BB bkg normalization for $s_h^{\text{max}} > 4.25 \text{ GeV}^2$
- S/B $\sim 1/2$ achieved for $E_e > 2.0 \text{ GeV}$ and $s_h^{\text{max}} < 3.5 \text{ GeV}^2$



Unfolded partial BR :

$$\Delta B (B \rightarrow X_u \ell \nu) (2.0, 3.5) = (4.41 \pm 0.42_{\text{stat}} \pm 0.42_{\text{syst}}) \times 10^{-4}$$

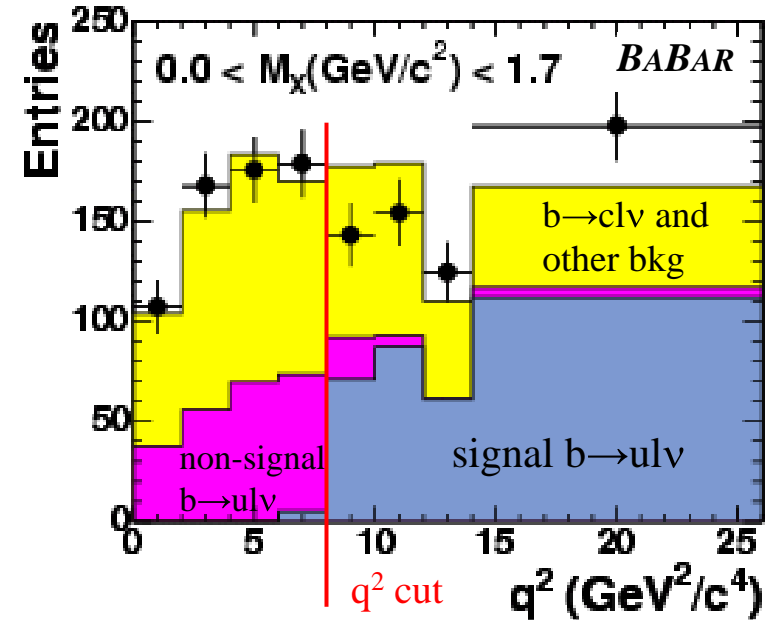
Used **BLNP** to translate into $|V_{ub}|$:

$$|V_{ub}| = (4.41 \pm 0.30_{\text{exp}} \begin{matrix} +0.65 \\ -0.47_{\text{HQ}} \end{matrix} \pm 0.28_{\text{theo}}) \times 10^{-3}$$

m_X - q^2 analysis with hadronic B tag

211 fb⁻¹

- must reconstruct all decay products to measure m_X and q^2
 - Use (fully reconstructed) **hadronic B tag**
 - Study the recoiling $B \rightarrow$ known kinematics/B flavour
- signal side:
 - look for one lepton ($p_l > 1 \text{ GeV}$) and $\nu(m^2_{\text{miss}})$
 - m_X and q^2 from the X system
- Suppress $b \rightarrow c\ell\nu$ bkg by vetoing against $D(^*)$ decays \rightarrow kaon veto and soft pions
- Normalized to total semileptonic rate
- Measure the partial BR in region of $m_X < 1.7 \text{ GeV}$ and $q^2 > 8 \text{ GeV}^2$



$$\Delta Br(M_X < 1.7 \text{ GeV}, q^2 > 8 \text{ GeV}^2) = (0.87 \pm 0.09_{\text{stat}} \pm 0.09_{\text{syst}} \pm 0.01_{\text{th}}) \times 10^{-3}$$

Using **BLNP** to translate into $|V_{ub}|$:

$$|V_{ub}| = (4.65 \pm 0.24_{\text{stat}} \pm 0.24_{\text{syst}} \pm 0.46_{\text{SF}} \pm 0.23_{\text{th}}) \times 10^{-3}$$

Avoiding the Shape Function

- Combine $B \rightarrow X_u \ell \nu$ and $B \rightarrow X_s \gamma$ without going through the SF:

$$\Gamma(B \rightarrow X_u \ell \nu) = \frac{|V_{ub}|^2}{|V_{ts}|^2} \int W(E_\gamma) \frac{d\Gamma(B \rightarrow X_s \gamma)}{dE_\gamma} dE_\gamma$$

Weight function

Reduced dependence on SF

♦LLR (Leibovich, Low, Rothstein)

PRD61:053006(2000),
PL B513:83(2001)

- Relates $|V_{ub}|^2/|V_{tb} V_{ts}^*|$ to m_x or E_l spectrum in $b \rightarrow u\ell\nu$ and E_γ spectrum in $b \rightarrow s\gamma$
- Includes higher order corrections

♦Neubert

PL B513:88(2001)

- Similar to LLR

♦BLNP/ Lange

JHEP0510:084(2005),
JHEP0601:104(2006)

- Relates $|V_{ub}|$ to the measured partial BF($b \rightarrow u\ell\nu$) and normalised E_γ spectrum in $b \rightarrow s\gamma$ decays

Inclusive $|V_{ub}|$ with reduced model dependence

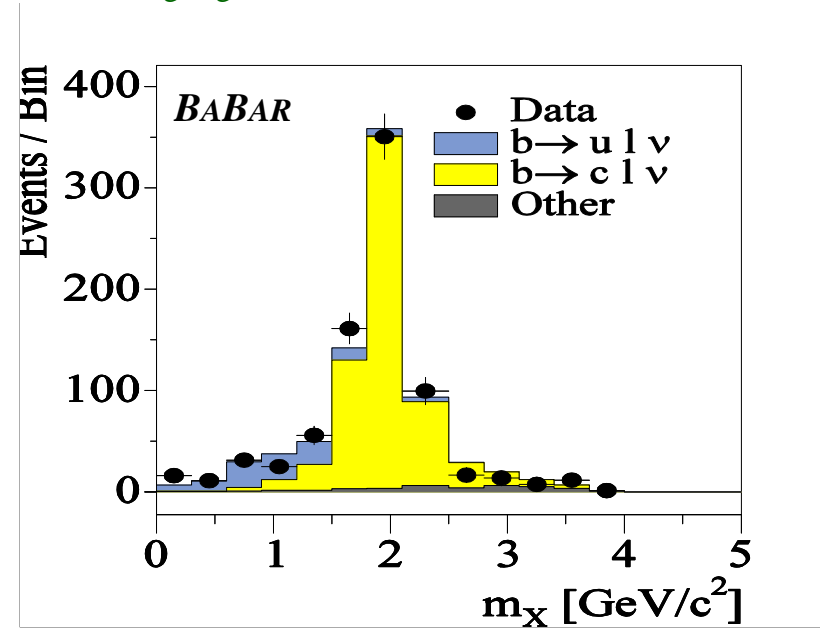
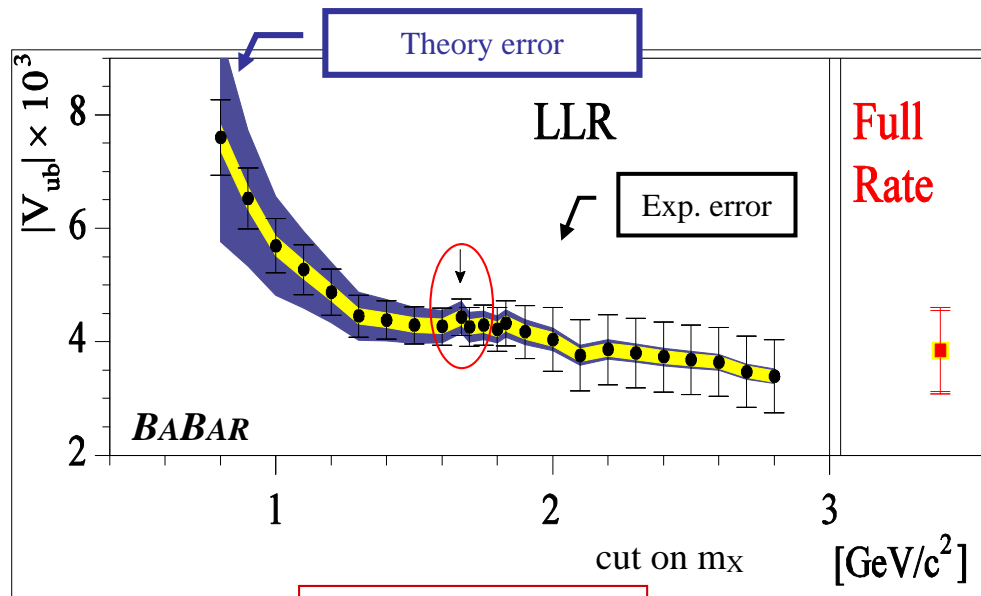
80 fb⁻¹

- based on measurements of the m_X spectrum using hadronic tag
- two approaches to reduce SF dependence

- relating $b \rightarrow u l \nu$ to $b \rightarrow s \gamma$ using weight functions (LLR)

Uraltsev hep-ph/9905520,

- measurement from the full m_X spectrum (HQE) Hoang,Ligeti,Manohar PRD 59, 074017 (1999)



LLR : $M_X < 1.67 \text{ GeV}$:

$$|V_{ub}| = (4.43 \pm 0.38_{stat} \pm 0.25_{syst} \pm 0.29_{theo}) \times 10^{-3}$$

HQE : $M_X < 2.50 \text{ GeV}$:

$$|V_{ub}| = (3.84 \pm 0.70_{stat} \pm 0.30_{syst} \pm 0.10_{theo}) \times 10^{-3}$$

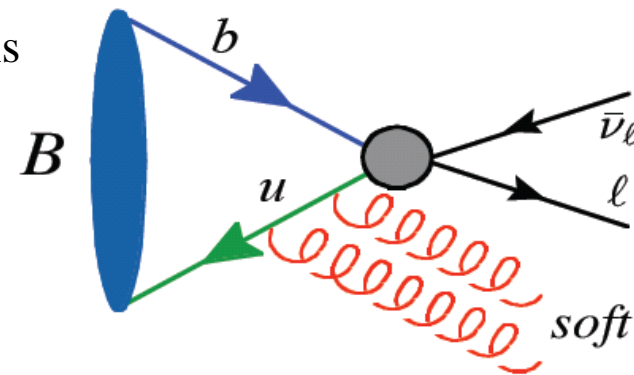
reduced theory error as no extrapolation to full rate necessary

Closer look at uncertainties

Statistical	$\pm 2.2\%$
Exp. systematic	$\pm 3.8\%$
SF params. (m_b, μ_π^2)	$\pm 4.2\%$
Theory	$\pm 4.2\%$

- The SF parameters can be improved with $b \rightarrow s\gamma$, $b \rightarrow c\ell\nu$ measurements

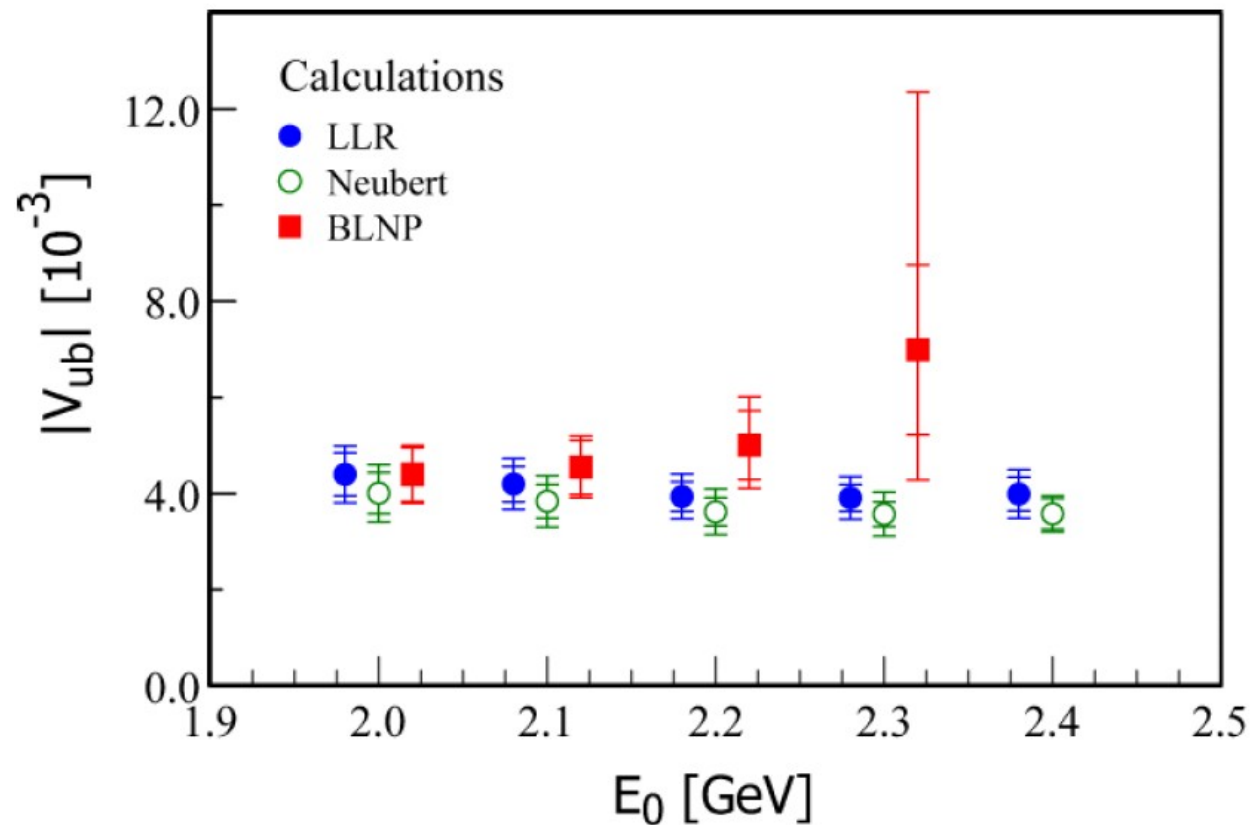
- Quark-hadron duality is **not** considered
 - $b \rightarrow c\ell\nu$ and $b \rightarrow s\gamma$ data fit well with the HQE predictions
- Weak annihilation $\rightarrow \pm 1.9\%$ error
 - Expect $< 2\%$ of total rate,
 - Potential problem for all inclusive determinations including large E_1, q^2 region
 - Measure $\Gamma(B^0 \rightarrow X_u \ell \nu) / \Gamma(B^+ \rightarrow X_u \ell \nu)$ to improve the constraints
- Subleading Shape Function $\rightarrow \pm 3.8\%$ error
 - Higher order non-perturbative corrections
 - Cannot be constrained with $B \rightarrow X_s \gamma$
- The goal is to reach total error on inclusive $|V_{ub}|$ of $\sim 5\%$



Reinterpretation of Lepton Endpoint

Take the partial Branching Ratio from the BaBar lepton endpoint measurement and use the BaBar semi-inclusive photon spectrum from $b \rightarrow s\gamma$ to calculate $|V_{ub}|$:

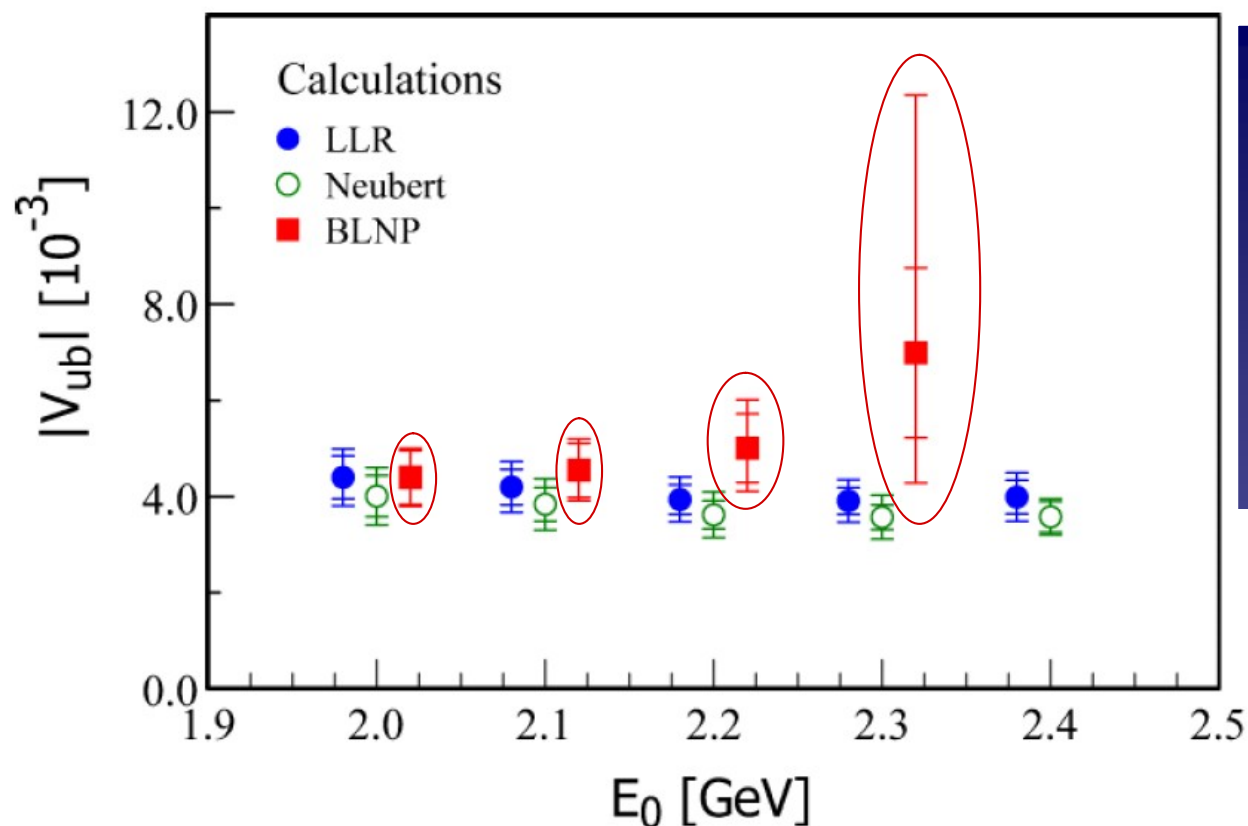
- ✓ different theoretical methods
- ✓ different energy cuts



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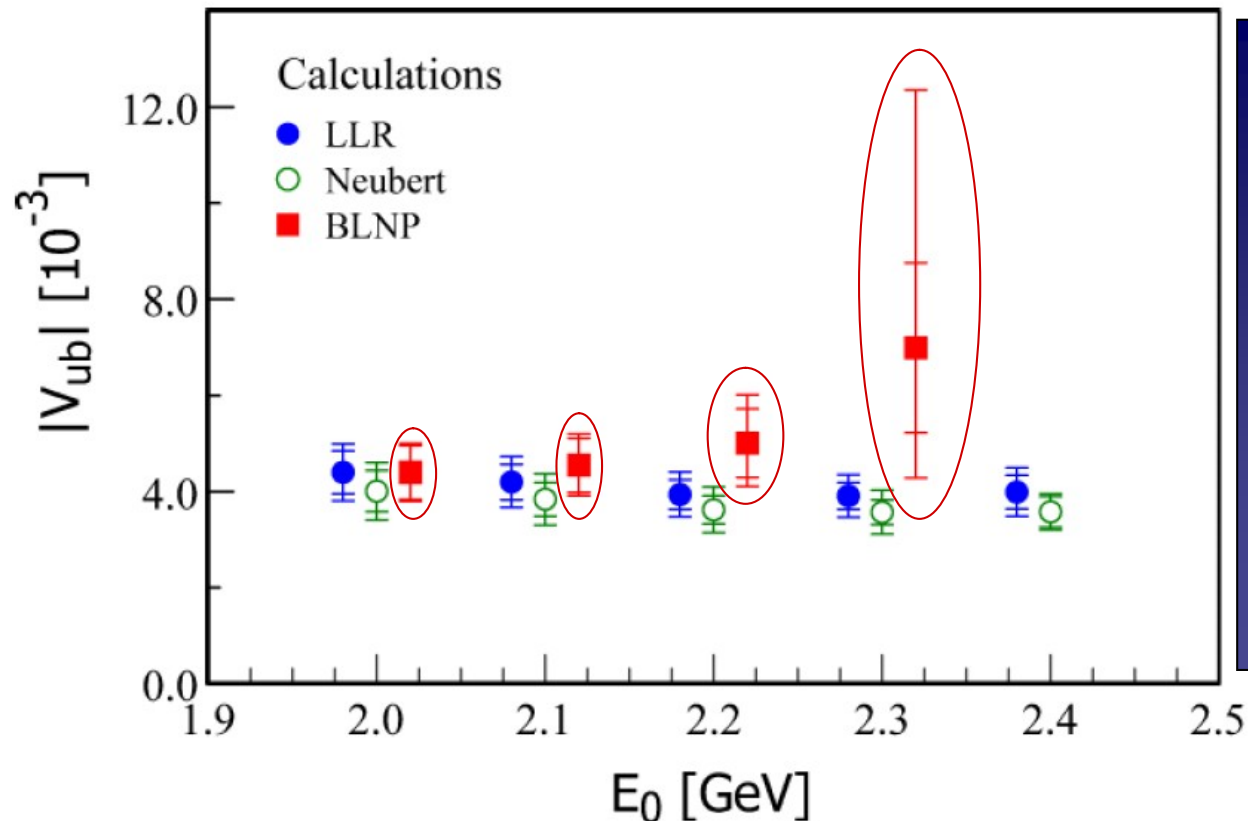


- caution on treatment of theory errors in exp. analyses
- only BLNP includes power corrections and complete error analysis

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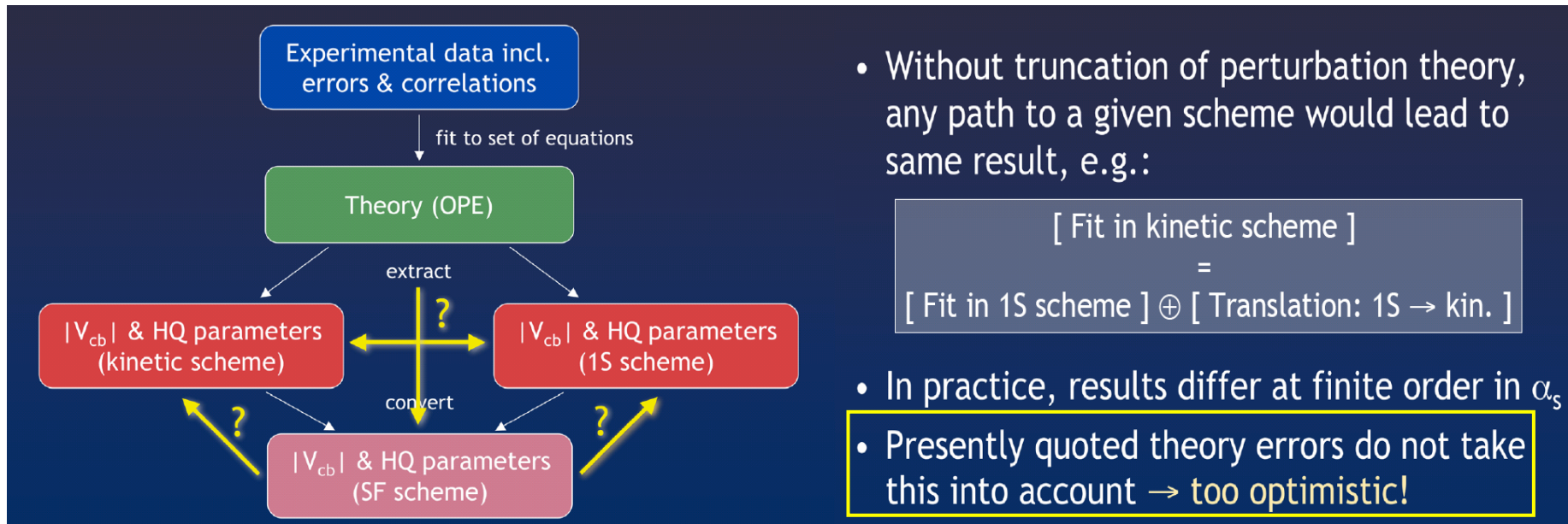


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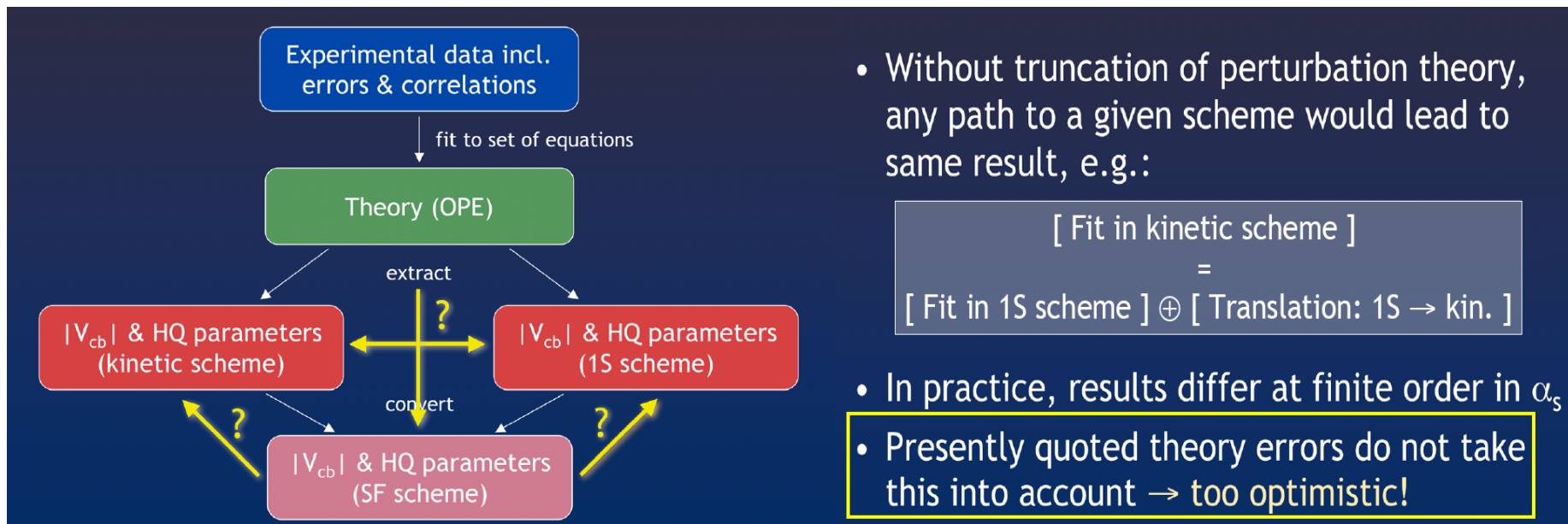
• only BLNP includes power corrections and complete error analysis

• Errors must blow up at large E_0 !

Discussion is open...



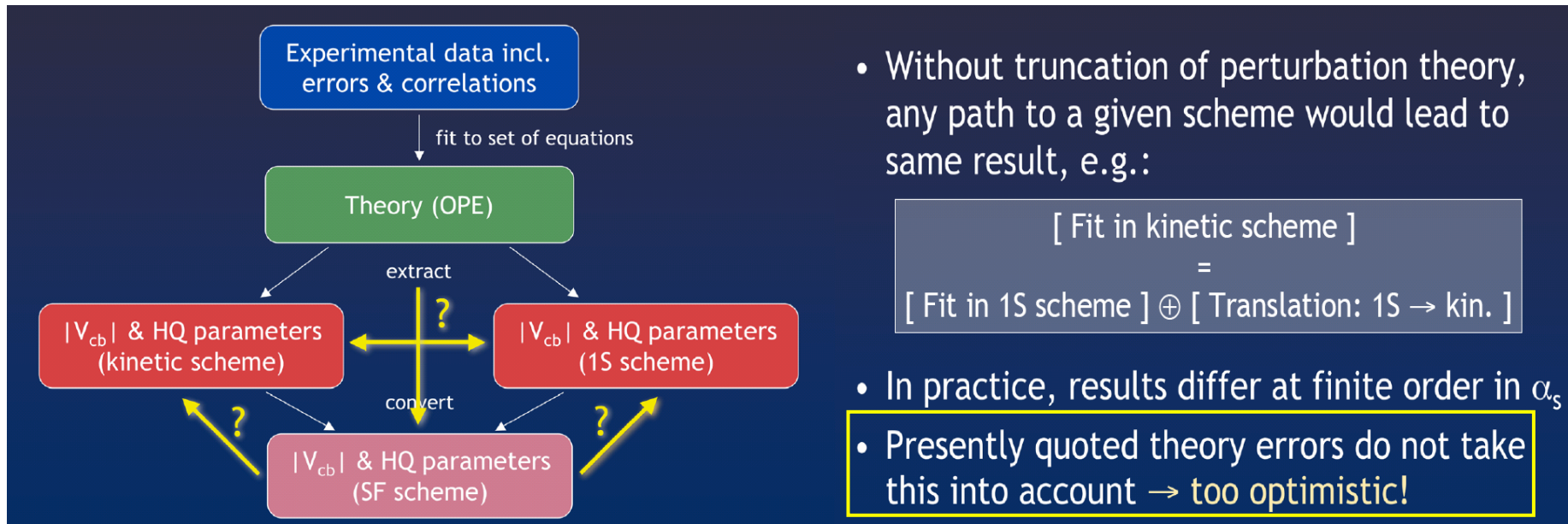
Discussion is open...



Perturbative error on m_b

$$\delta m_{b,\text{pert}} = \pm 60 \text{ MeV} \quad (1.3\%)$$

Discussion is open...



Perturbative error estimation on m_b from Neubert

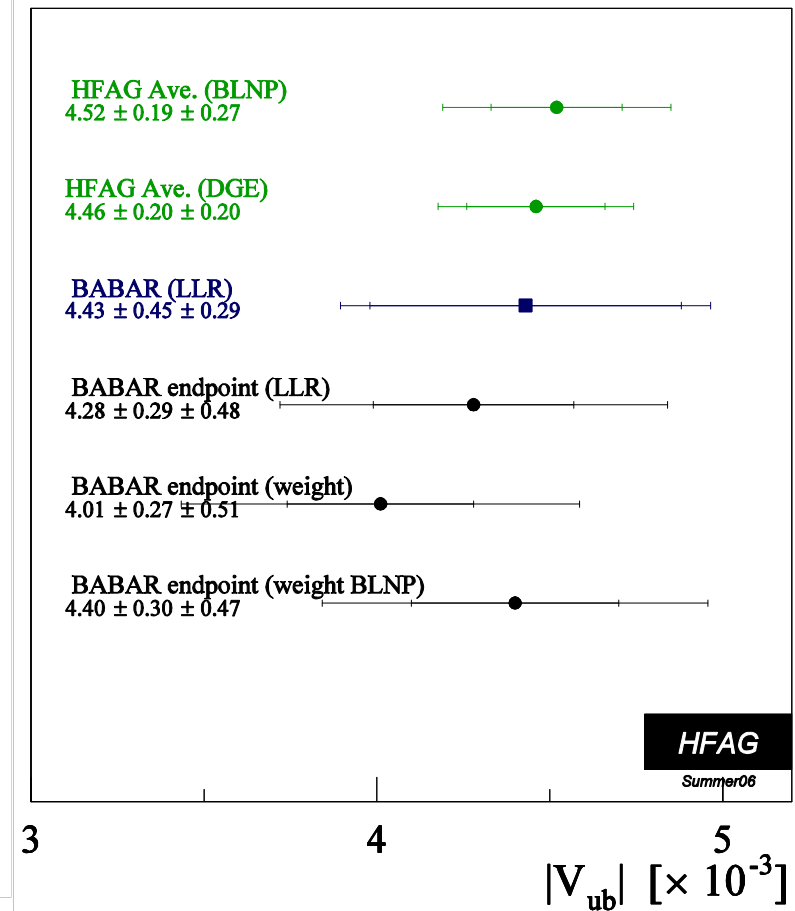
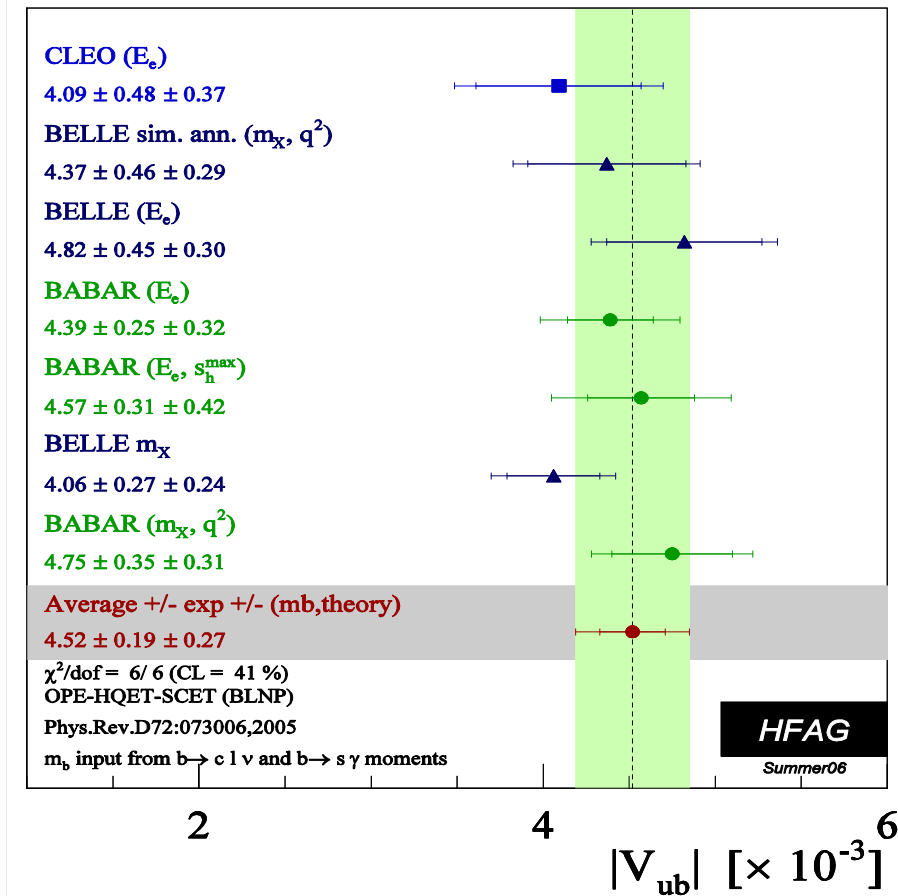
$$\delta m_{b,\text{pert}} = \pm 60 \text{ MeV (1.3\%)}$$

from global fit :

$$\delta m_{b,\text{pert}} = \pm 30 \text{ MeV (< 1\%)}$$

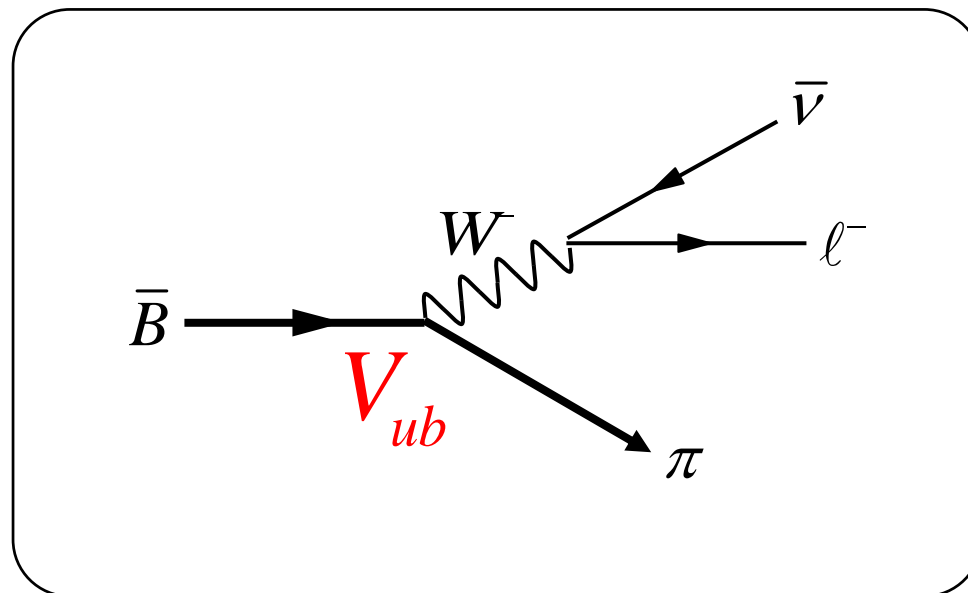
Very important for $|V_{ub}|$ determination : actual error under-estimated (?)

Status of Inclusive $|V_{ub}|$



Numbers rescaled by HFAG.
 SF parameters from hep-ex/0507243,
 predicted partial rates from BLNP

Exclusive Approach



Exclusive decays $B \rightarrow X_u \ell \nu$

- $B \rightarrow \pi \ell \nu$, $B \rightarrow \eta \ell \nu$, $B \rightarrow \eta' \ell \nu$, $B \rightarrow \rho \ell \nu$, $B \rightarrow \omega \ell \nu$
 - Branching Ratios are $\mathcal{O}(10^{-4}) \rightarrow$ statistics limited
 - measurements can achieve **good signal to background ratio**
- **Theoretical point of view**
 - effect of strong interactions on the hadronization of the X_u final states described by Form Factors : $f(q^2)$
- In principle $|V_{ub}|$ could be determined from all exclusive channels but....

$B \rightarrow \pi \ell \nu$ most promising, both experimentally and theoretically

- decay rate related to $|V_{ub}|$ through hadronic form factor :

massless leptons and isospin
symmetry assumption

$$\frac{d\Gamma(B \rightarrow \pi \ell \nu)}{dq^2} = \frac{G_F^2}{24\pi^3} |V_{ub}|^2 p_\pi^3 |f_+(q^2)|^2$$

just one form factor needed

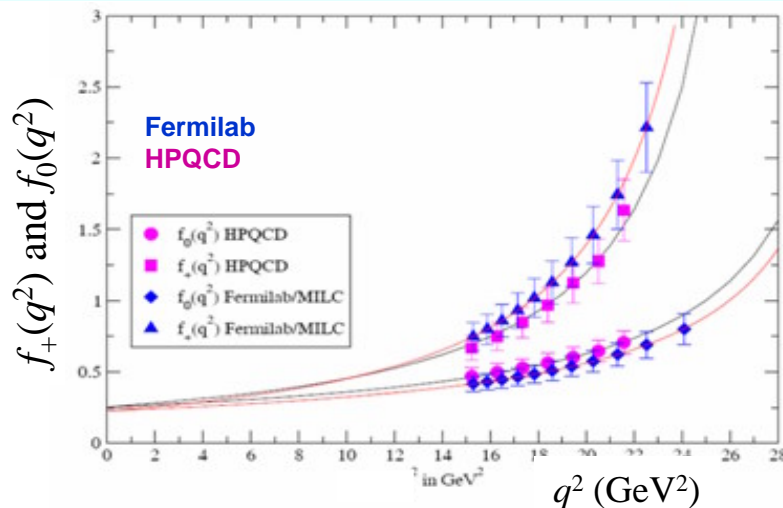
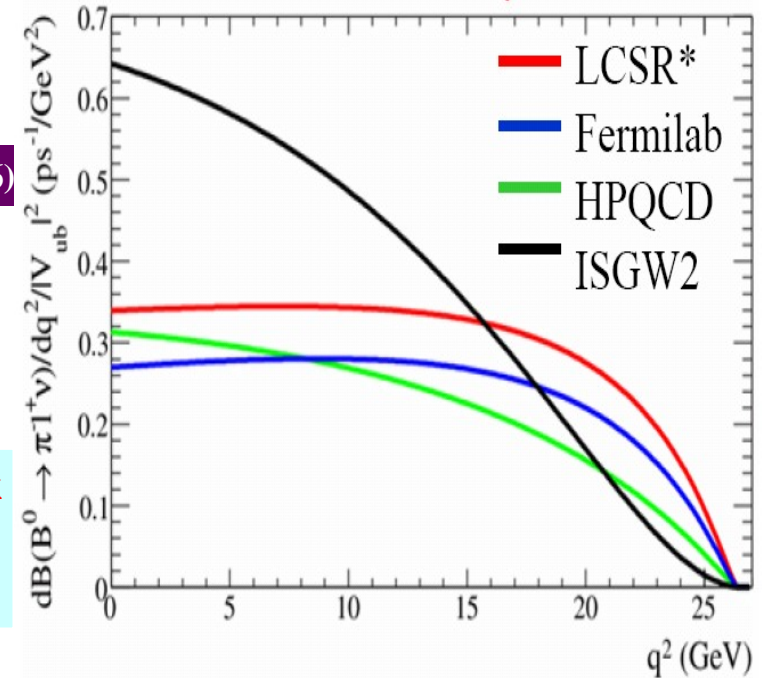
Form Factor calculations

form factor has been calculated using :

- ✓ **Light Cone Sum Rules** PRD71:014015(2005)
 - ✓ valid for $q^2 < 14 \text{ GeV}^2 \rightarrow$ **11% uncertainty**
- ✓ **Lattice QCD** \rightarrow **11% uncertainty**
 - ✓ **unquenched calculation by HPQCD** PRD73:074502(2006)
 - FNAL** hep-lat/0409116
 - ✓ valid for $q^2 > 16 \text{ GeV}^2$
- ✓ **Quark models : ISGW II** PRD52:2783(1995)
(no error quoted)

LQCD and LCSR valid in different q^2 ranges \rightarrow **No crosscheck important to measure differential decay rate as function of q^2 to discriminate among models**

different theoretical calculations predict different q^2 distributions



Need for a parametrization for extrapolation to low $q^2 \rightarrow$ additional uncertainty

Theory and Uncertainties

Need for theoretical input on Form Factor introduce uncertainties in the experimental measurements :

- [FF shape](#) → acceptance

Measure shape on data to reduce dependence on theoretical predictions

- [FF normalization](#) → extraction of $|V_{ub}|$ from partial BRs

$$|V_{ub}| = \sqrt{\frac{\Delta \mathcal{BR}(B^0 \rightarrow \pi^- \ell^+ \nu)}{\Delta \zeta \cdot \tau_B}}$$

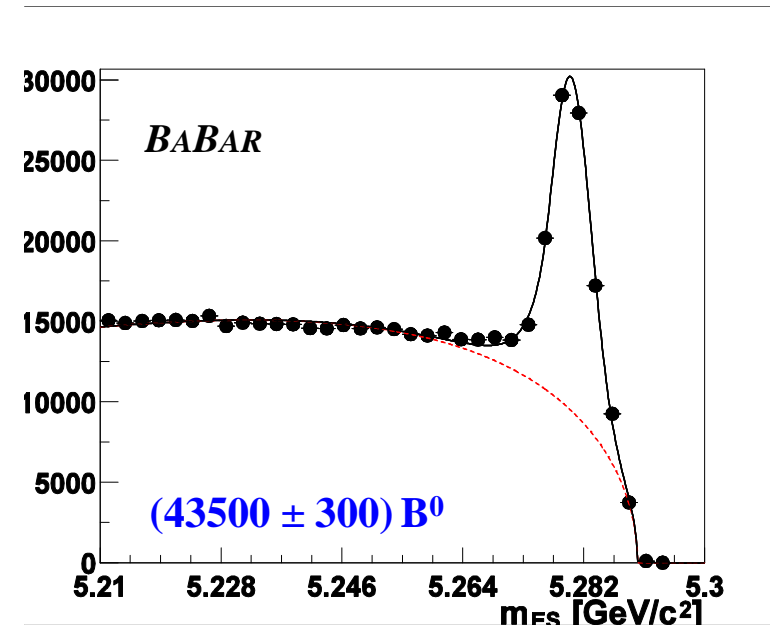
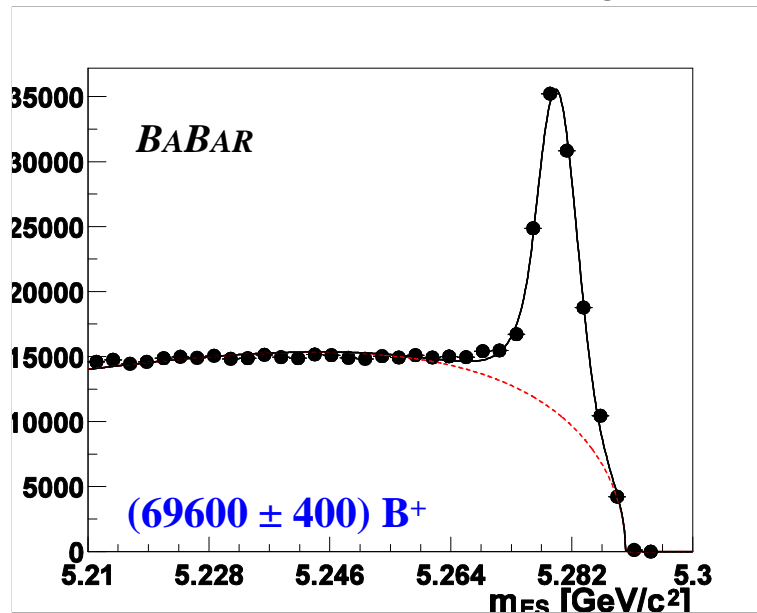
$$\Delta \zeta = \frac{G_F^2}{24\pi^3} \int_{q_{min}^2}^{q_{max}^2} |f_+(q^2)|^2 p_\pi^3 dq^2$$

$|V_{ub}|$ extraction from partial BR doesn't have extrapolation uncertainties

$B \rightarrow X\ell\nu$ semileptonic selection

events are selected requiring an energetic prompt lepton
in the recoil of a fully reconstructed B

- Minimum lepton momentum in B rest frame \rightarrow reduce bkg
 $p^* > 0.5 \text{ GeV}/c$ for electrons and $p^* > 0.8 \text{ GeV}/c$ for muons
- Lepton charge and B_{reco} flavour correlation (mixing correction included to take into account the B^0 - \bar{B}^0 mixing)



$$m_{ES} = \sqrt{(\sqrt{s}/2)^2 - p_B^{*2}}$$

211 fb⁻¹

B → πℓν with hadronic tags

- starting from sample with a signal lepton (right charge) and searching for a pion among the remaining particles
- no additional tracks and small residual energy
- specific cuts applied to reject peaking background events: $b \rightarrow u\ell\nu$ (other than signal), $b \rightarrow c\ell\nu$ and other background components

Cut on missing mass $mm^2 = P_{\text{miss}}^2 = (P_{Y(4S)} - P_{\text{Breco}} - P_{X_u} - P_{\text{lept}})^2$

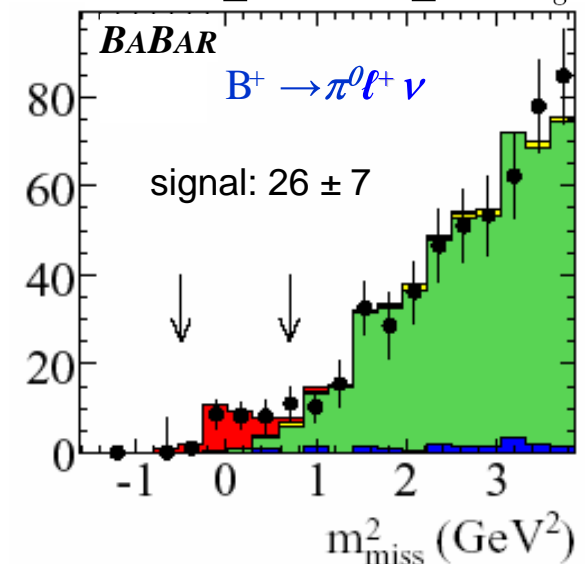
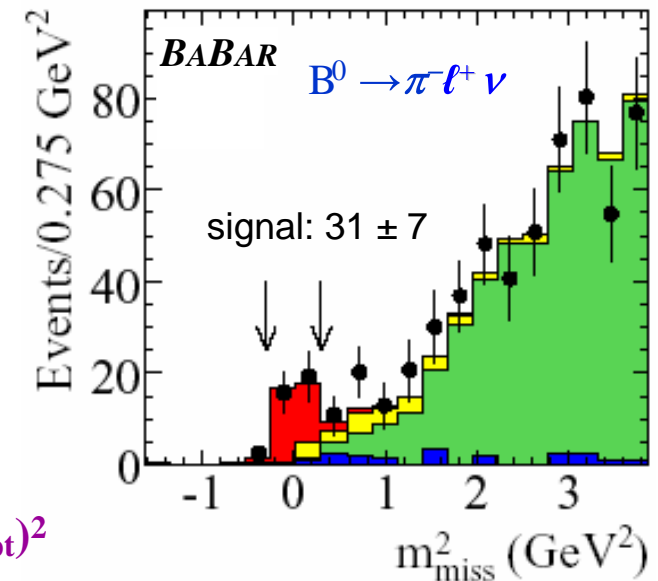
is a powerful tool to reject events $b \rightarrow c\ell\nu$

- signal events : $mm = m_\nu \rightarrow mm^2$ peaks at 0
- bkg events : undetected or poorly measured particles → mm^2 tends to larger values

- use m_{ES} and mm^2 distribution to extract the signal

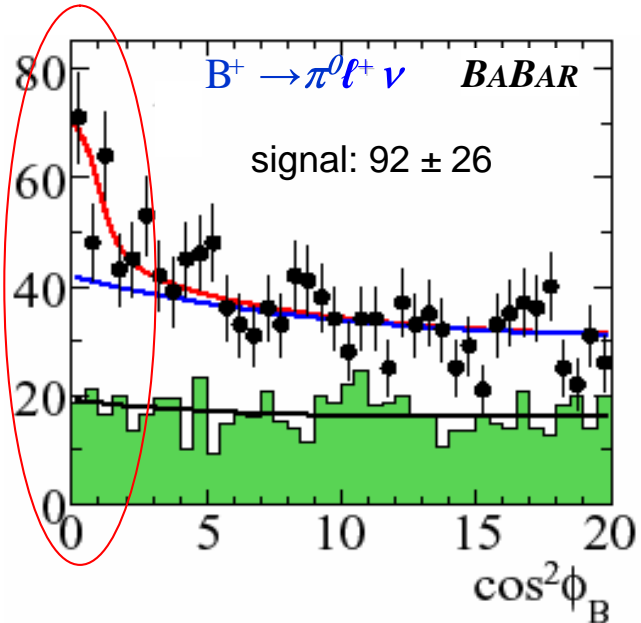
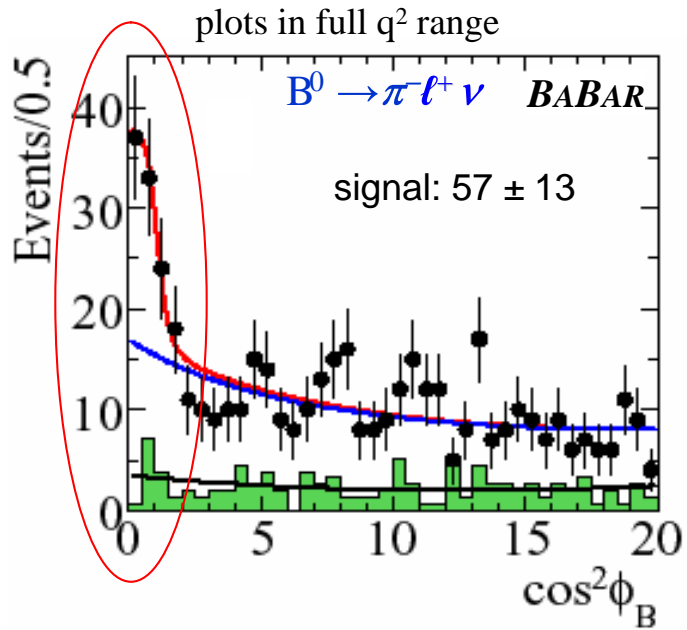
Extract the signal in $3q^2$ ($(p_l + p_\nu)^2$) bins
 $q^2 < 8 \text{ GeV}^2$, $8 < q^2 < 16 \text{ GeV}^2$, $q^2 > 16 \text{ GeV}^2$

to measure Form Factor shape and reduce model dependence

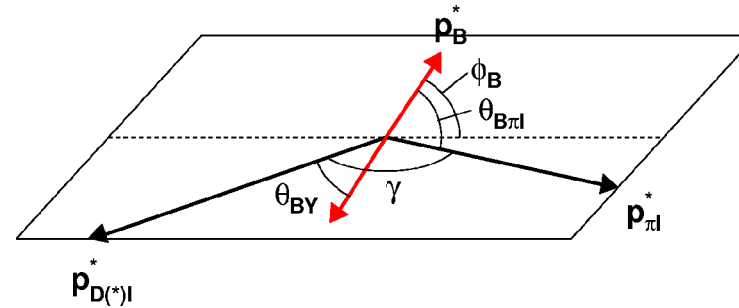
plots in full q^2 range

$B \rightarrow \pi \ell \nu$ with semileptonic tag

211 fb⁻¹



- use **semileptonic** tagged B
- identify a signal lepton (right charge) and search for a pion among the remaining particles
- no additional tracks and (low) neutral energy
- unbinned maximum likelihood fit to $\cos^2 \phi_B$ distribution to extract signal yield



- signal events** : $\cos^2 \phi_B < 1 \rightarrow$ event where only ν undetected
- bkg events** : flat distribution

Extract the signal in 3 q^2 ($(m_B - E_\pi)^2 - |p_\pi|^2$) bins
 $q^2 < 8 \text{ GeV}^2, 8 < q^2 < 16 \text{ GeV}^2, q^2 > 16 \text{ GeV}^2$

B → πℓν and |V_{ub}| : tagged analysis results

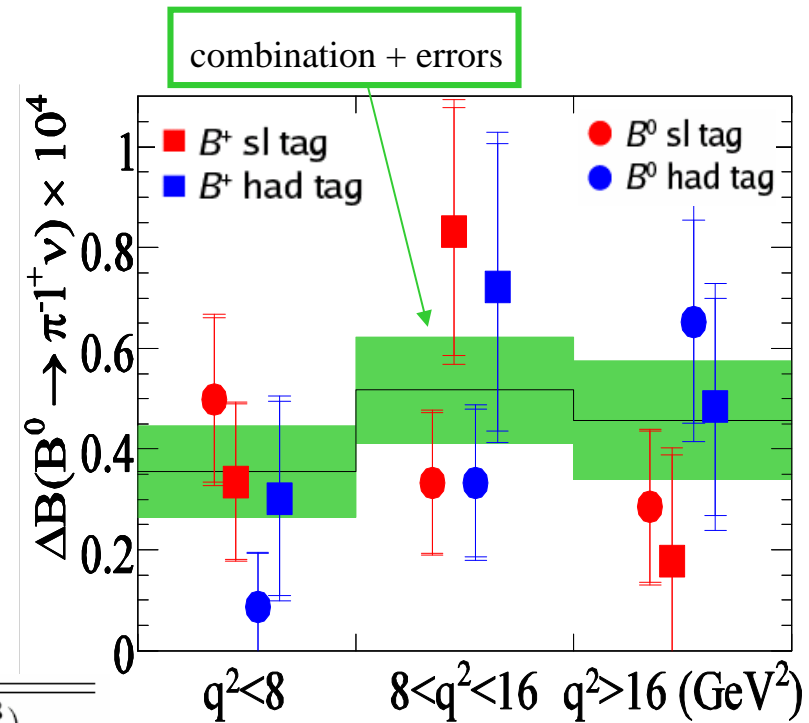
measurements combination of B⁰ → πℓ⁺ν and B⁺ → πℓ⁺ν BRs using hadronic and semileptonic tags assuming isospin symmetry Γ(B⁰ → πℓ⁺ν) = 2 x Γ(B⁺ → πℓ⁺ν) :

Weighted averages assuming :

- statistical errors are uncorrelated
- most systematic errors fully correlated

$$\mathcal{B}(B^0 \rightarrow \pi^- \ell^+ \nu) = (1.33 \pm 0.17_{\text{stat}} \pm 0.11_{\text{syst}}) \times 10^{-4}$$

- Experimental error dominated by statistics, with smaller systematics than previous measurements
- Very promising with increasing BaBar dataset !!



	q^2 (GeV ²)	$\Delta\zeta$ (ps ⁻¹)	$ V_{ub} $ (10 ⁻³)
Ball-Zwicky [5]	< 16	5.44 ± 1.43	3.2 ± 0.2 ± 0.1 ^{+0.5} _{-0.4}
HPQCD [6]	> 16	1.46 ± 0.35	4.5 ± 0.5 ± 0.3 ^{+0.7} _{-0.5}
FNAL [7]	> 16	1.83 ± 0.50	4.0 ± 0.5 ± 0.3 ^{+0.7} _{-0.5}
APE [8]	> 16	1.80 ± 0.86	4.1 ± 0.5 ± 0.3 ^{+1.6} _{-0.7}

$B \rightarrow \pi \ell \nu$ (untagged): loose ν reconstruction technique

- need for ν reconstruction from full event

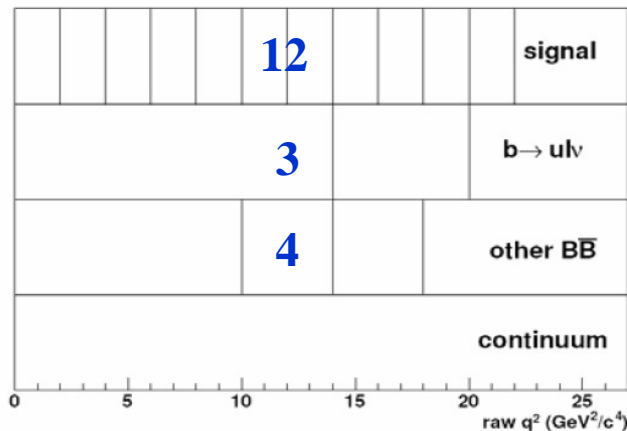
compared with previous untagged analysis (PRD72, 051102) new in this approach:

- no 'neutrino quality' cuts :

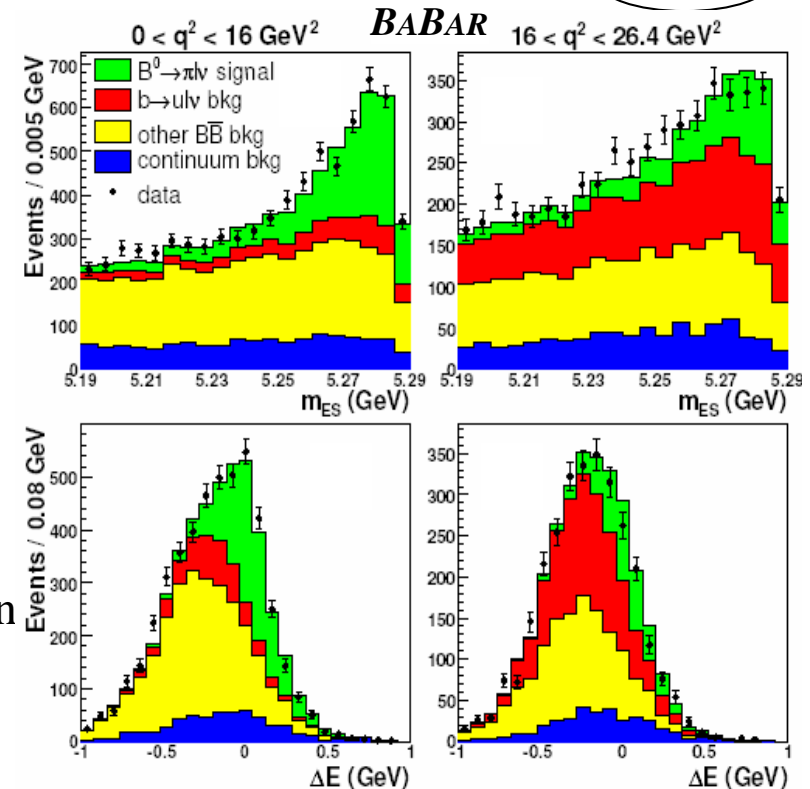
- significantly increased signal efficiency : $5 \rightarrow 25$ signal ev./fb⁻¹ , somewhat higher background (S/B from $\sim 1.5 \rightarrow 0.5$)

- use $q^2 = (p_B - p_\pi)^2$ instead of $q^2 = (p_l + p_\nu)^2$

- signal and background given by a multi-parameter fit in $\Delta E - m_{ES}$ on **12** signal bins of q^2



206 fb⁻¹



Fit background normalization in bins of q^2



reduces syst. uncertainties due to background modeling

Untagged $B \rightarrow \pi \ell \nu$ and $|V_{ub}|$: results

Also measure full covariance matrix of q^2 spectrum, form-factor parameters and test QCD calculations:

→LQCD and LCSR compatible with our data

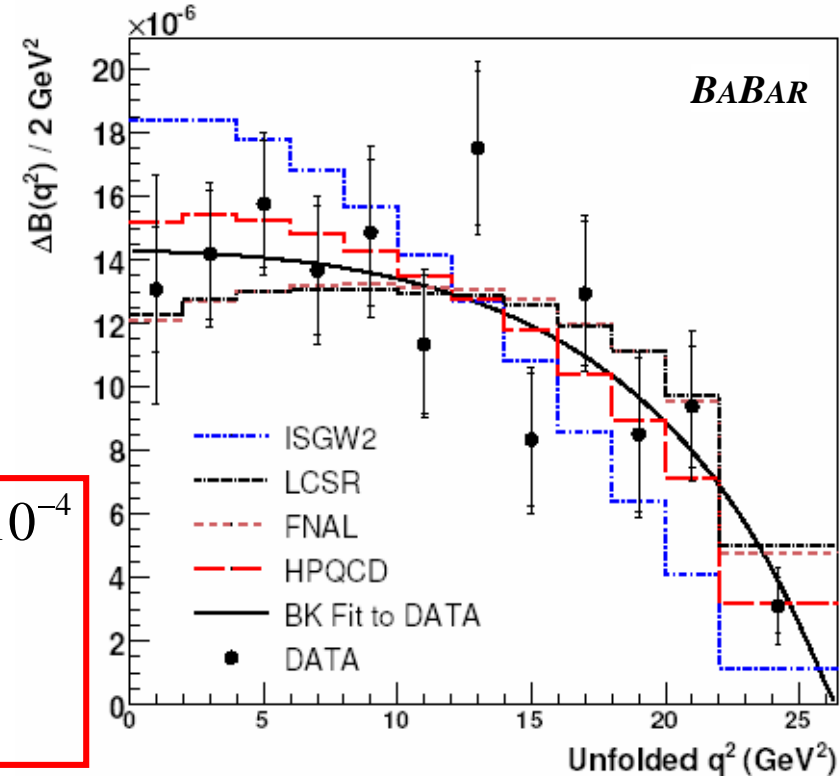
→ISGW2 quark-model incompatible

(Prob<0.06%).

$$BF(B^0 \rightarrow \pi \ell \nu) = (1.46 \pm 0.07_{stat} \pm 0.08_{syst}) \times 10^{-4}$$

$$|V_{ub} f_+(0)| = (9.6 \pm 0.3_{stat} \pm 0.2_{syst}) \times 10^{-4}$$

$$\alpha = 0.52 \pm 0.05_{stat} \pm 0.03_{syst}$$



Smallest statistical and systematic uncertainties of all individual $B \rightarrow \pi \ell \nu$ measurements to date!

	q^2 (GeV ²)	$\Delta\zeta$ (ps ⁻¹)	$ V_{ub} $ (10 ⁻³)
HPQCD [3]	> 16	1.46 ± 0.35	$4.1 \pm 0.2 \pm 0.2$ ^{+0.6} _{-0.4}
FNAL [4]	> 16	1.83 ± 0.50	$3.7 \pm 0.2 \pm 0.2$ ^{+0.6} _{-0.4}
LCSR [5]	< 16	5.44 ± 1.43	$3.6 \pm 0.1 \pm 0.1$ ^{+0.6} _{-0.4}
ISGW2 [6]	0–26.4	9.6 ± 4.8	$3.2 \pm 0.1 \pm 0.1$ ^{+1.3} _{-0.6}

Other channels $B \rightarrow (\eta, \eta', \rho, \omega) \ell \nu$

315 fb⁻¹

❖ $B \rightarrow (\eta, \eta') \ell \nu$ with hadronic tag [hep-ex/0607066](https://arxiv.org/abs/hep-ex/0607066)

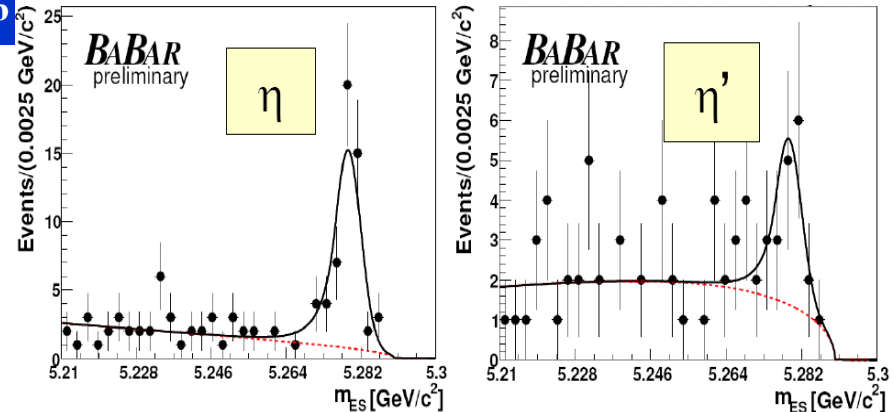
❖ same technique of $B \rightarrow \pi \ell \nu$

❖ meson reconstructed in

$$\eta \rightarrow \gamma\gamma, \pi^+\pi^-\pi^0, \pi^0\pi^0\pi^0$$

$$\eta' \rightarrow \rho\gamma, \eta\pi^+\pi^-$$

VERY LOW statistics!



$$\mathcal{BR}(B^+ \rightarrow \eta \ell^+ \nu) < 1.4 * 10^{-4} \text{ (90\% CL)}$$

$$\mathcal{BR}(B^+ \rightarrow \eta' \ell^+ \nu) < 1.3 * 10^{-4} \text{ (90\% CL)}$$

• Measurements of $B \rightarrow (\rho, \omega, \eta) \ell \nu$ on full dataset with different techniques in progress

$B \rightarrow \rho \ell \nu$:

▪ In **3 q^2 bins**

▪ Even with 1/ab, will be difficult to extract the full 4-D $\frac{d\Gamma}{dq^2 d\cos\theta_\ell d\cos\theta_\nu d\chi}$ rate (5⁴ bins !)

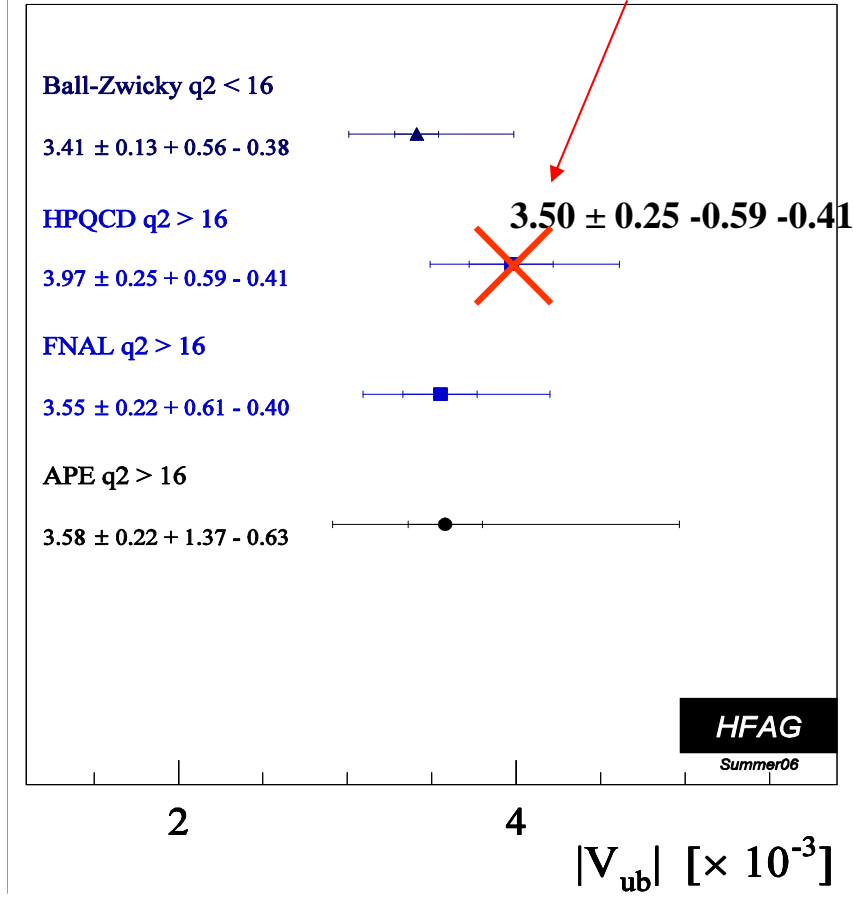
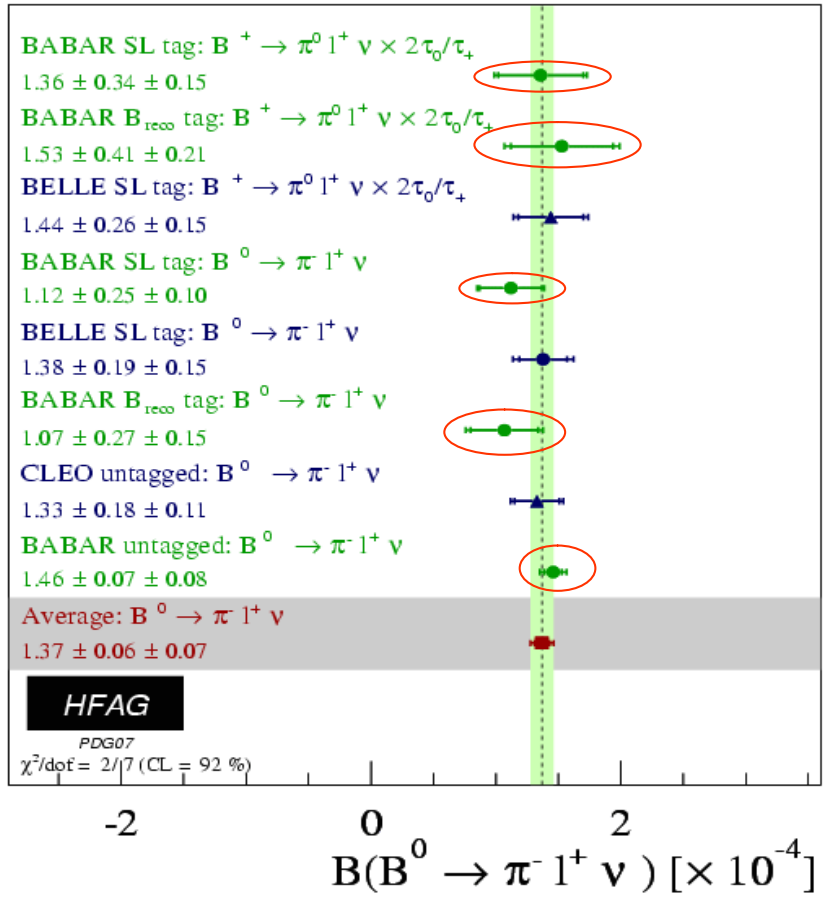
Would need help from th. : integrate over the angles ? FF ratios ?

These measurements will yield a nice improvement of the experimental knowledge of these channels. Theoretical progress needed to fully take advantage of this ($|V_{ub}|$ extraction, constraints on FF,...)

▪ Existing model: Ball-Zwicky [3] (not for η') ; Nothing (?) from LQCD...

Status of exclusive $|V_{ub}|$

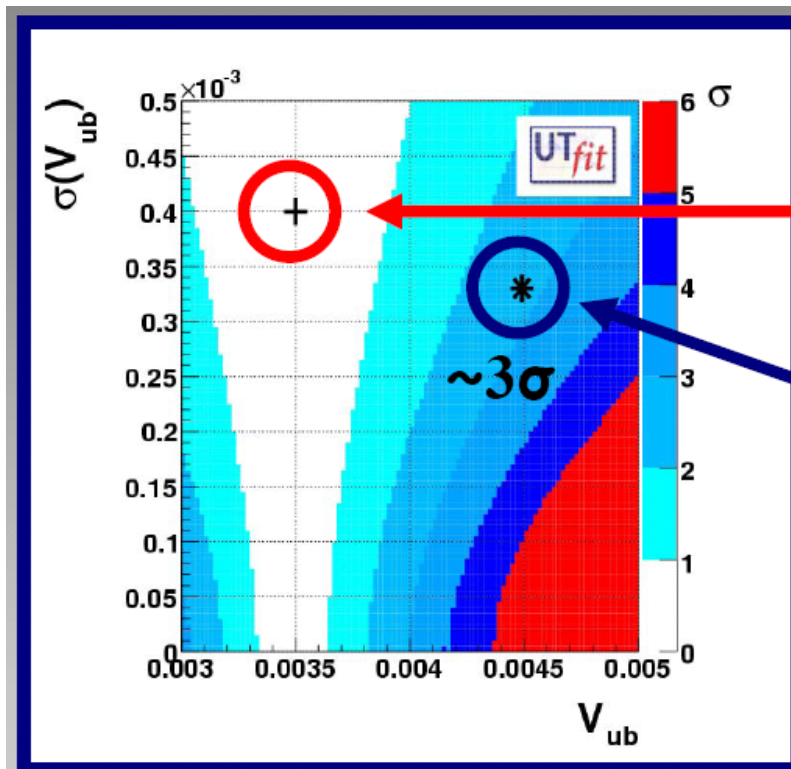
PRD Erratum 75:119906(2007)



Considering only statistical error, actual $BR(B \rightarrow \pi l \nu)$ measurement can determine $|V_{ub}|$ with a precision of $\sim 2.2\%$

Currently error for exclusive $|V_{ub}|$ dominated by FF normalization uncertainty $\sim 10-12\%$

$B \rightarrow X_u \ell \nu$ and $|V_{ub}|$ puzzle



EXCLUSIVE

$$V_{ub}^{\text{excl.}} = (35.0 \pm 4.0) 10^{-4}$$

Form factors from LQCD and QCDSR

INCLUSIVE

$$V_{ub}^{\text{incl.}} = (44.9 \pm 3.3) 10^{-4}$$

Model dependent (BLNP, DGE,..)
Non perturbative parameters most not from LQCD (fitted from experiments)

A **New Physics effect** is unlikely in this tree-level process

- ➔ i) Statistical fluctuation
- ii) Problem with the theoretical calculations and/or the estimate of the uncertainties

Improve $|V_{ub}|$ exclusive to solve the tension

Future experiments

□ future B physics program will pursue New Physics through CP violation and rare decays

□ e.g. $b \rightarrow s\bar{s}s$, $b \rightarrow s\gamma$, $b \rightarrow s\ell^+\ell^-$, $B \rightarrow \tau\nu$, $B \rightarrow D\tau\nu$, $B_s \rightarrow \mu^+\mu^-$

□ $|V_{ub}|/|V_{cb}|$ provides a crucial New Physics-free constraint

□ Will they improve $|V_{ub}|$ to $\ll 5\%$?

□ a **Super B factory** can produce **high-statistics, high purity, hadronic tag sample** to measure $b \rightarrow u\ell\nu$

□ LHCb's primary strength lies in B_s physics

Observable	B Factories (2 ab^{-1})	Super B (75 ab^{-1})
$ V_{ub} $ (exclusive)	8% (*)	3.0%
$ V_{ub} $ (inclusive)	8% (*)	2.0%

□ NB: the real challenge lies in theory

□ precision data can inspire and validate theoretical advances

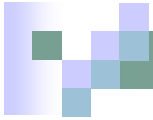
□ Lattice QCD holds the key

□ we need to see inclusive and exclusive $|V_{ub}|$ converge!



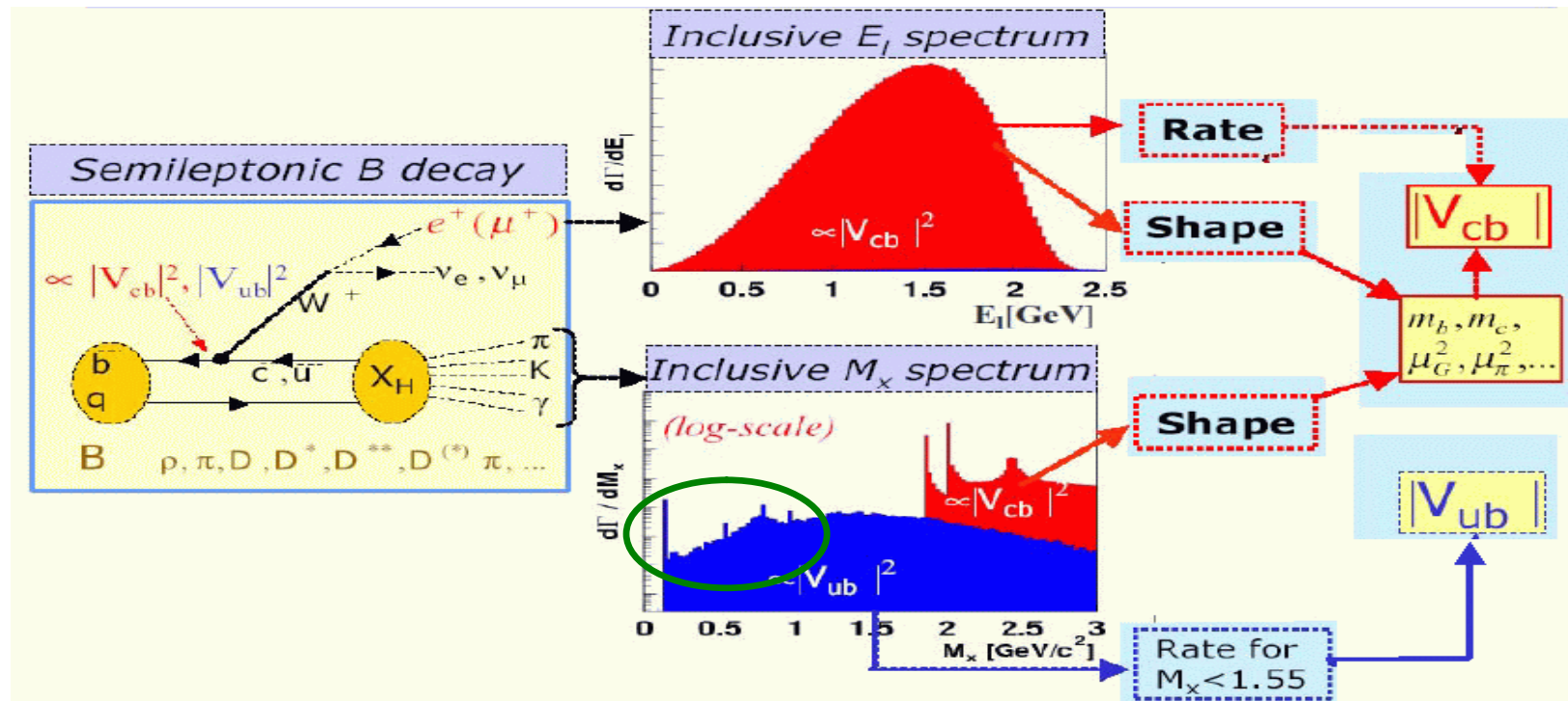
Conclusions

- ✓ Lot of work done on the experimental and theoretical side
 - ✓ Many different methods on how to suppress the background
 - ✓ Many different theoretical calculation
 - ✓ Big progress in both measurement and interpretation of $B \rightarrow X_u \ell \nu$ in the last 2 years
 - ✓ Inclusive $|V_{ub}|$ achieved $\pm 7.4\%$ accuracy
 - ✓ Exclusive $B \rightarrow \pi \ell \nu$ measurement has reached an experimental precision of 5% for the full q^2 range but... theoretical uncertainties are dominant
 - ✓ Improved FF calculation needed: **Uncertainty on exclusive $|V_{ub}|$ ~10-12% dominated by theory!**
 - ✓ Statistics alone will not be enough but it will help
 - ✓ Inclusive: SF parameters, weak annihilation constraint, $b \rightarrow c \ell \nu$ and $b \rightarrow u \ell \nu$ modelling
 - ✓ Exclusive: FF shape
- we could reach total error of $\approx 2\% / 3\%$ in incl / excl V_{ub} in the “next” future...**



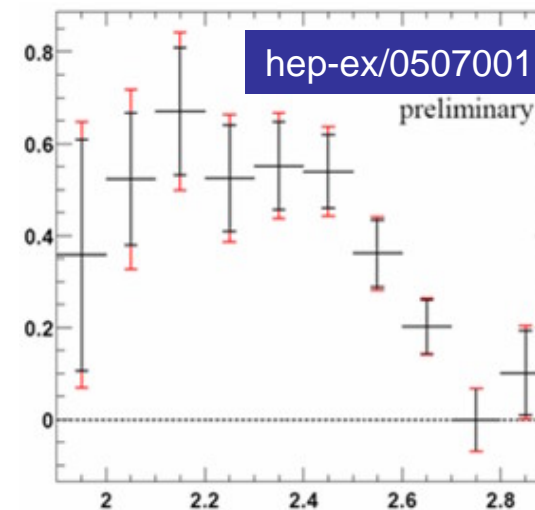
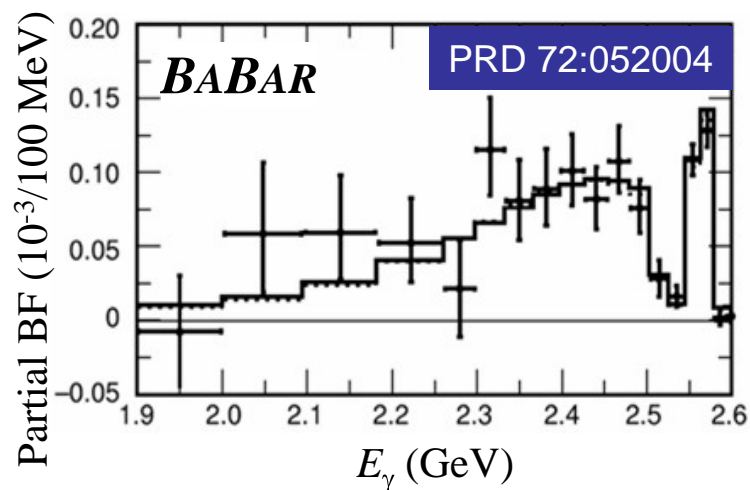
Backup Slides

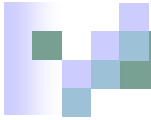
Semileptonic B decays: the “Big Picture”



Global OPE fit

- OPE predicts total rate G_c and moments $\langle E_\lambda^n \rangle$, $\langle m_X^n \rangle$ as functions of $|V_{cb}|$, m_b , m_c , and several **non-perturb. params**
 - Each observable has different dependence
 - ➔ Can determine all parameters from a global fit
- E_γ spectrum in $B \rightarrow X_s \gamma$ decays connected directly to the SF
 - Small rate and high background makes it tough to measure
 - Measured by *BABAR*, Belle, CLEO





Global OPE fit

BABAR	PRD69:111103 PRD69:111104 PRD72:052004 hep-ex/0507001
Belle	PRL93:061803 hep-ex/0508005
CLEO	PRD70:031002 PRL87:251807
CDF	PRD71:051103
DELPHI	EPJ C45:35

- Buchmüller & Flächer ([hep-ph/0507253](#))
fit data from 10 measurements with an OPE calculation by Gambino & Uraltsev ([Eur. Phys. J. C34 \(2004\) 181](#))

□ Fit parameters: $|V_{cb}|$, m_b , m_c , μ_π^2 , μ_G^2 , ρ_D^3 , ρ_{LS}^3 , $\text{BR}(B \rightarrow X_c \lambda \nu)$

$\pm 2\%$ \rightarrow $|V_{cb}| = (41.96 \pm 0.23_{\text{exp}} \pm 0.35_{\text{OPE}} \pm 0.59_{\Gamma_{sl}}) \times 10^{-3}$

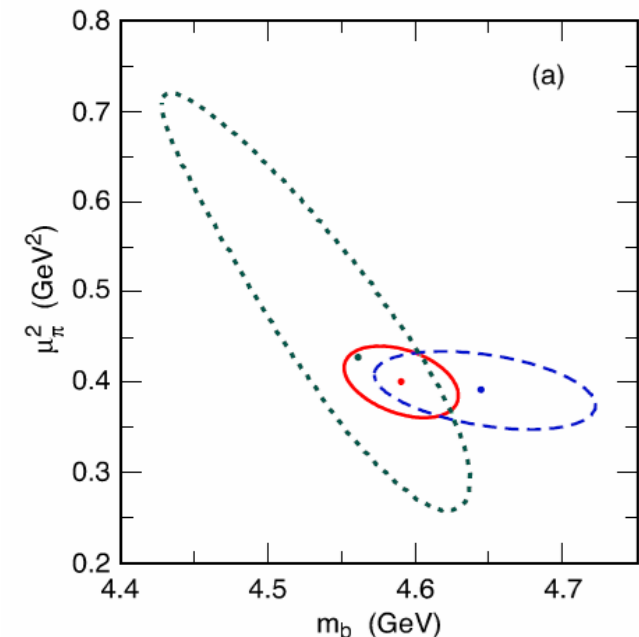
$\pm 1\%$ \rightarrow $m_b = 4.590 \pm 0.025_{\text{exp}} \pm 0.030_{\text{OPE}} \text{ GeV}$

$m_c = 1.142 \pm 0.037_{\text{exp}} \pm 0.045_{\text{OPE}} \text{ GeV}$

$\mu_\pi^2 = 0.401 \pm 0.019_{\text{exp}} \pm 0.035_{\text{OPE}} \text{ GeV}^2$

$\text{BR} = 10.71 \pm 0.10_{\text{exp}} \pm 0.08_{\text{OPE}} \%$

Needed for $|V_{ub}|$



- Goodness of the fit and the consistency between $X_c \lambda \nu$ and $X_s \gamma$ add confidence to the theory

Getting $|V_{ub}|$ from the partial rate

- Take your favorite theory calculation and convert the **partial rates** into $|V_{ub}|$:

OPE gives good results for full phase space but break down in the “SF region” (low M_X and low q^2)



various approaches to solve the problem

$$\text{BR}(B \rightarrow X_u | \nu) = \frac{\Delta \text{BR}}{f_u(m_b, \Delta^{\text{SF}}, \lambda_1^{\text{SF}})}$$

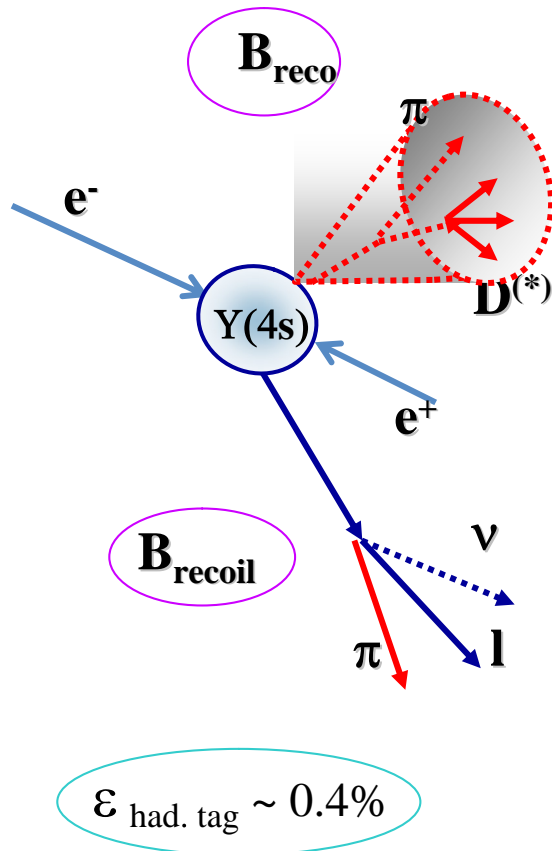
$$|V_{ub}| = \sqrt{\frac{\Delta \text{BR}}{\underbrace{\Delta \zeta(\Lambda^{\text{SF}}, \mu_\pi^{2\text{SF}})}_{\text{Predicted rate}} \cdot \tau_B}}$$

Predicted rate

- ♦ **DFN** (De Fazio, Neubert) → **HQE with ad-hoc inclusion of SF** JHEP9906:017(1999)
- ♦ **BLNP** (Bosch, Lange, Neubert, Paz) → **HQE with systematic incorporation of SF** PRD72:073006(2005)
 - Handle SF region by introducing a parameterization
 - Shape function form is unknown -> assume form
 - **Shape function moments are related to HQE parameters (m_b, μ_π^2) -> can be measured**
 - Leading shape functions universal in $b \rightarrow cl\nu$, $b \rightarrow ul\nu$, $b \rightarrow s\gamma$
 - Subleading shape functions depend on decay
- ♦ **BLL** (Bauer, Ligeti, Luke) → **HQE for $m_X < m_D$ and $q^2 > 8$ (“non SF region”) to minimize SF effect** PRD64:113004(2001)
 - Residual dependence on SF effects
 - **Only depend on m_b**
- ♦ **DGE** (Anderson, Gardi) → **use “Dressed Gluon Exponentiation” to convert on-shell b quark calculation into meson decay spectra**
 - **Only depend on m_b**

Fully hadronic tag

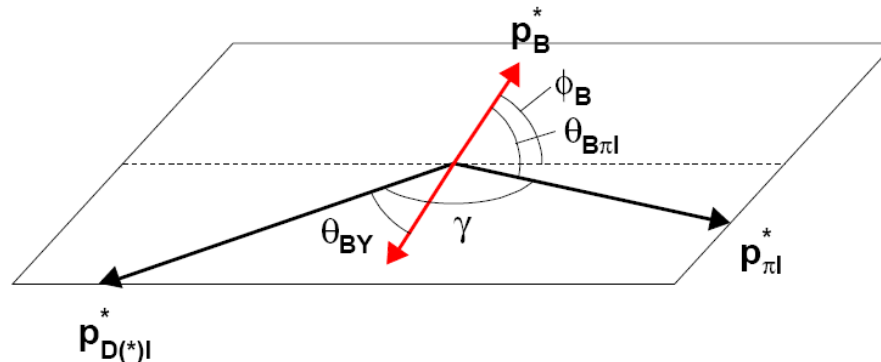
Aim is to collect as many as possible fully reconstructed B mesons in order to study the property of the recoil.



- one B fully reconstructed in hadronic channels :
 $B_{\text{reco}} \rightarrow D^{(*)} + (n\pi \text{ mK } pK_s \text{ q}\pi^0) \rightarrow$ study the remaining of event
- B_{reco} kinematics well known \rightarrow **constraints for signal B**
- B^0 and B^+ decays can be studied separately \rightarrow reduce **combinatorial** background / cross-feed
- all visible particles on the events are reconstructed \rightarrow **only one missing ν in the event**
- low level of background
 - **loose cuts** : theoretical extrapolation errors reduced
 - high multiplicity channels and large resonances can be studied
- **clean signal but low statistics**

Definition of $\cos^2 \phi_B$

$$\cos^2 \phi_B = \frac{\cos^2 \theta_{BD^{(*)}l} + \cos^2 \theta_{B\pi l} + 2 \cos \theta_{BD^{(*)}l} \cos \theta_{B\pi l} \cos \gamma}{\sin^2 \gamma}$$



ϕ_B is the angle between the directions of the two B mesons.
Well-reconstructed events with $B_{\text{sig}} \rightarrow \pi l \nu$ and $B_{\text{tag}} \rightarrow D^{(*)} l \nu$ will have $\cos^2 \phi_B \leq 1$.

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