

Minimal Flavor Violation, Seesaw, and R-parity

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Based on: E. Nikolidakis, C.S., *arXiv:0710.3129*

- **Outline**

A - Motivation and generalities

B - Minimal Flavor Violation: spurions and expansions

C - Phenomenological consequences

D - Conclusion

Motivation and generalities

• A few generalities about the MSSM

Supersymmetry: Unify matter (fermions) and interactions (bosons).

MSSM: the simplest (phenomenologically viable) realization of supersymmetry

- Characteristics:**
- Doubling of matter & gauge degrees of freedom,
 - Specific Higgs sector (2HDM - type II),
 - Few free parameters in its supersymmetric sector.

Flavor-symmetry: three generations of (s)quarks and (s)leptons (exact replicas) with identical gauge interactions → Invariance under:

Chivukula, Georgi '87

$$G_f = U(3)^5 = \underbrace{SU(3)_Q \times SU(3)_U \times SU(3)_D \times SU(3)_L \times SU(3)_E \times G_1}_{G_\ell}$$

$$G_f \rightarrow g_Q Q, \quad U \xrightarrow{G_f} U g_U^\dagger, \quad D \xrightarrow{G_f} D g_D^\dagger, \quad L \xrightarrow{G_f} g_L L, \quad E \xrightarrow{G_f} E g_E^\dagger$$

Superpotential breaks G_f : it sets (but does not explain) the masses and mixings

$$\mathcal{W}_{RPC} = U^I Y_u^{IJ} (Q^J H_u) - D^I Y_d^{IJ} (Q^J H_d) - E^I Y_\ell^{IJ} (L^J H_d) + \mu (H_u H_d)$$

All (s)quark/(s)lepton masses/interactions in terms of the **Yukawas** (alignment).

• The flavor-related puzzles

1. Squark misalignment and FCNC due to soft SUSY-breaking terms:

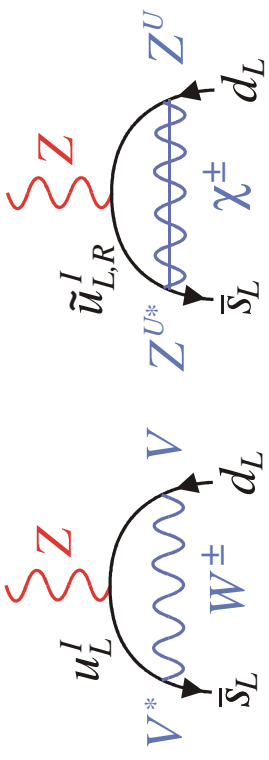
$$\mathcal{L}_{soft}^{RPC} \ni -\tilde{Q}^\dagger \mathbf{m}_Q^2 \tilde{Q} - \tilde{U} \mathbf{m}_U^2 \tilde{U}^\dagger - \tilde{D} \mathbf{m}_D^2 \tilde{D}^\dagger - \tilde{U} \mathbf{A}_u (\tilde{Q} H_u) + \tilde{D} \mathbf{A}_d (\tilde{Q} H_d) + \dots$$

Constraints: $\Delta M_{Bs, Bd}, b \rightarrow s\gamma, B \rightarrow \psi K,$

$B_{s,d} \rightarrow \ell^+ \ell^-, B \rightarrow X \ell^+ \ell^-, \epsilon_K, K \rightarrow \pi \nu \bar{\nu}, \dots$

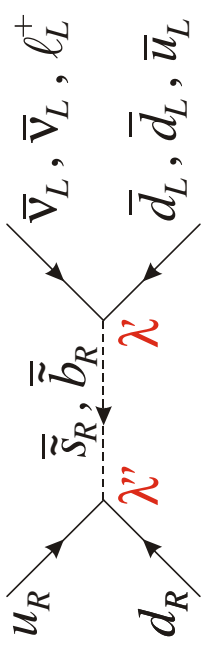
MFV to enforce sufficient alignment.

Hall, Randall '90, D'Ambrosio, Giudice, Isidori, Strumia '02



2. R-parity and proton decay: $\tau_{p^+} > 10^{30}$ years $\Rightarrow |\lambda' \lambda''| \leq 10^{-27}$?

$$\mathcal{W}_{RPV} = \lambda^{IJK} (L^I L^J) E^K + \lambda'^{IJK} (L^I Q^J) D^K + \lambda''^{IJK} U^I D^J D^K + \mu^I (L^I H_d)$$



Forbidden by R-parity \rightarrow sparticle pair production, LSP and dark matter,...

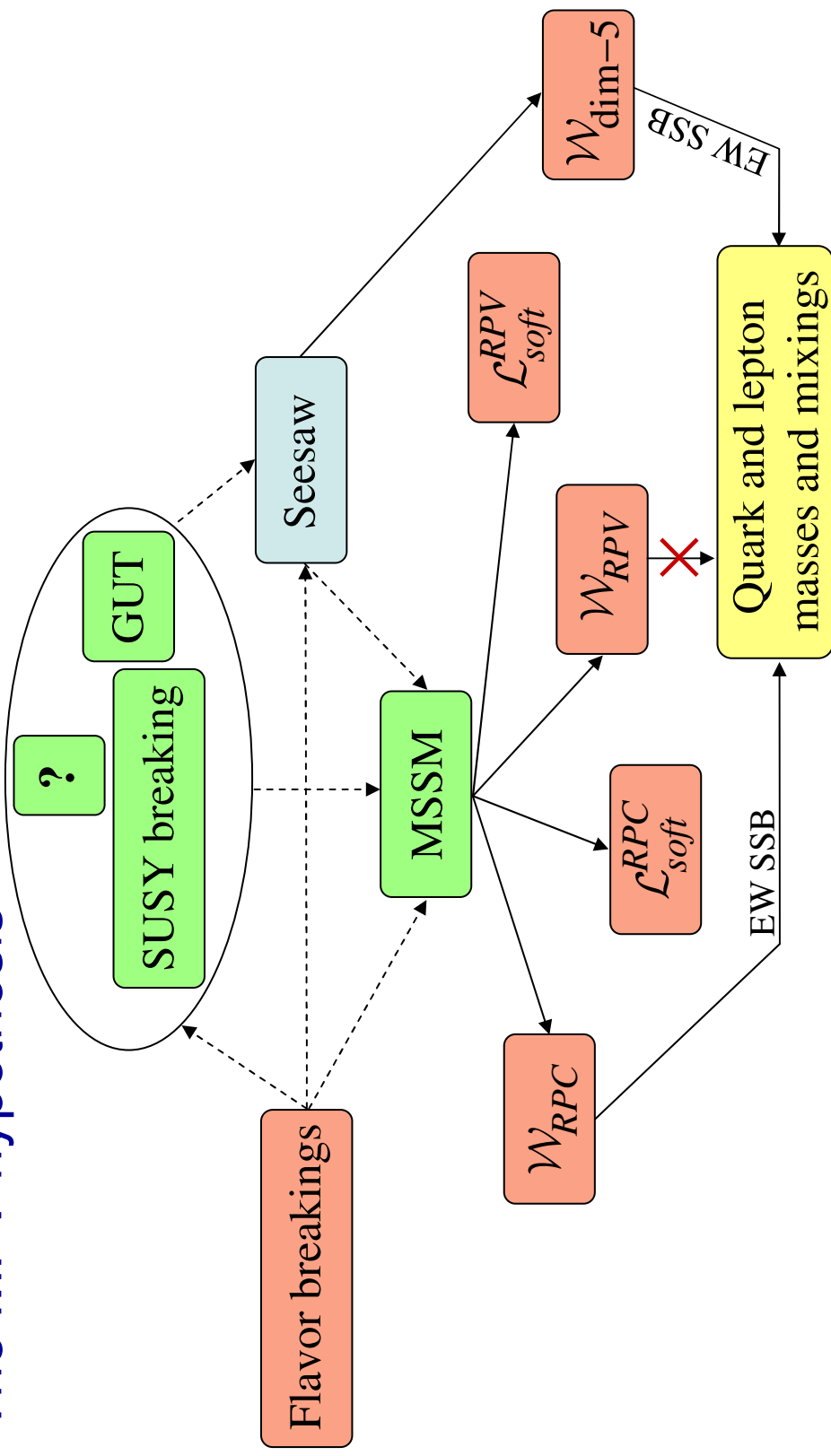
Farrar, Fayet '78

3. Seesaw and neutrino masses: In the MSSM, no ν_R and ν_L massless.

Seesaw from heavy right-handed neutrinos: $\mathcal{W}_N = N^I \mathbf{M}^{IJ} N^J + N^I \mathbf{Y}_\nu^{IJ} (L^J H_u)$

$$\nu_L \quad \overline{\mathbf{Y}}_\nu \quad \nu_R \quad \overline{\mathbf{Y}}_\nu \quad \nu_L \quad \rightarrow (\mathbf{Y}_\nu^T \mathbf{M}^{-1} \mathbf{Y}_\nu)^{IJ} (L^I H_u) (L^J H_u) \rightarrow \nu_u^2 \nu_L^I (L^I H_u) (L^J H_u) \rightarrow \nu_u^2 \nu_L^I (\mathbf{Y}_\nu^T \mathbf{M}^{-1} \mathbf{Y}_\nu)^{IJ} \nu_L^J$$

• The MFV hypothesis



Assume: - Simple (though unknown) origin for the flavor symmetry breaking.

- Percolates down to the lowest level → Relations between flavor-breakings.

Bottom-up approach: start from the experimentally known flavor-structures.

Symmetry principle: elementary sources of flavor-breaking treated as spurions.

Goal: To show that when MFV is enforced, R-parity is no longer needed.

MFV spurions and expansions

• Construction of the MFV expansions

Spurions and invariance: Out of a *minimal set of spurions*, i.e. breakings in definite directions in flavor-space, parametrize all the *MSSM flavor-breaking couplings as formally invariant under G_f* , up to some *flavor $U(1)$'s* which are a priori broken.

Hall, Randall '90, D'Ambrosio, Giudice, Isidori, Strumia '02

1. *Which spurions to choose:* Minimal set able to induce the known flavor-structures.

Yukawas: $Y_u \xrightarrow{G_f} g_U Y_u g_Q^\dagger$, $Y_d \xrightarrow{G_f} g_D Y_d g_Q^\dagger$, $Y_\ell \xrightarrow{G_f} g_E Y_\ell g_L^\dagger \Rightarrow \mathcal{W}_{RPC}$ invariant.

Neutrino: $Y_\nu \xrightarrow{G_f \times U(3)_N} g_N Y_\nu g_L^\dagger$

$U(3)_N$ singlets: $\begin{cases} Y_\nu \equiv \nu_u Y_\nu^T M^{-1} Y_\nu \xrightarrow{G_f} g_L^* Y_\nu g_L^\dagger, \\ Y_\nu^\dagger Y_\nu \xrightarrow{G_f} g_L Y_\nu^\dagger Y_\nu g_L^\dagger \end{cases}$

For simplicity, $M \equiv M_R \mathbf{1}$, with $M_R \sim 10^{12-14}$ GeV, the seesaw scale.

Cirigliano, Grinstein, Isidori, Wise '05

	G_q	G_ℓ
	$U(3)_{Q,U,D}$	$U(3)_{L,E}$
Y_u	$(\bar{3}, 3, 1)$	$(1, 1)$
Y_d	$(\bar{3}, 1, 3)$	$(1, 1)$
Y_ℓ	$(1, 1, 1)$	$(\bar{3}, 3)$
Y_ν	$(1, 1, 1)$	$(\bar{6}, 1)$
$Y_\nu^\dagger Y_\nu$	$(1, 1, 1)$	$(8, 1)$

Background: $Y_u = \frac{m_u}{\nu_u} V$, $Y_d = \frac{m_d}{\nu_d}$, $Y_\ell = \frac{m_\ell}{\nu_d}$, $Y_\nu = U^* \frac{m_\nu}{\nu_u} U^\dagger$, $Y_\nu^\dagger Y_\nu = \frac{CP M_R}{\nu_u} Y_\nu$

2. *Invariants*: contract spurions and fields using the invariant tensors δ^{IJ} , ε^{IJK}

$$Q^{\dagger I} (m_Q^2)^{IJ} Q^J \xRightarrow{G_f} m_Q^2 \rightarrow g_Q m_Q^2 g_Q^\dagger \Rightarrow m_Q^2 = m_0^2 (a_0 \mathbf{1} + a_1 Y_u^\dagger Y_u + a_2 Y_d^\dagger Y_d + \dots)$$

- Where $a_i \sim \mathcal{O}(1)$ MFV coefficients,
- The CKM matrix remains the only source of flavor-breaking.

Sometimes large (but always *finite!*) number of possible terms.

(Cayley-Hamilton Theorem + Third generation dominance for u, d, ℓ)

$$m_Q^2 = m_0^2 [R_q]_{h.c.}, \quad R_q = \mathbf{1}, Y_u^\dagger Y_u, Y_d^\dagger Y_d, Y_u^\dagger Y_u Y_d^\dagger Y_d, Y_d^\dagger Y_d Y_u^\dagger Y_u \sim \mathbf{8}_Q$$

Similar for leptons, with nine terms for $R_\ell \sim \mathbf{8}_L$

→ Useful for LFV effects. Borzumati, Masiero '86

3. At least one ε -tensor for RPV terms → invariance only under $G_q \times G_\ell = SU(3)^5$

$$\begin{aligned} \lambda^{''IJK} &= \varepsilon^{LJK} (Y_u Y_d^\dagger)^{IL} &\Rightarrow \lambda^{''IJK} U^I D^J D^K &\rightarrow \det(g_D^\dagger) \lambda^{''IJK} U^I D^J D^K \\ \lambda^{''IJK} &= \varepsilon^{IMN} (Y_d Y_u^\dagger)^{JM} (Y_d Y_u^\dagger)^{KN} &\Rightarrow \lambda^{''IJK} U^I D^J D^K &\rightarrow \det(g_U^\dagger) \lambda^{''IJK} U^I D^J D^K \\ \lambda^{''IJK} &= \varepsilon^{LMN} Y_u^{IL} Y_d^{JM} Y_d^{KN} &\Rightarrow \lambda^{''IJK} U^I D^J D^K &\rightarrow \det(g_Q^\dagger) \lambda^{''IJK} U^I D^J D^K \end{aligned}$$

Flavor-directions in which baryon and lepton-numbers are violated are free. In other words: some $U(1)$'s can still be enforced (but not the five of them).

Structures and MFV terms		Scaling	Breaking
μ_1^I	$\bar{\Upsilon}_\nu^I, \bar{\Upsilon}_\nu^I \equiv \varepsilon^{QMJ} (\mathbf{R}_\ell \Upsilon_\nu^\dagger \mathbf{R}_\ell^T)^{QM} \mathbf{R}_\ell^{JI}$	$\tan^2 \beta$	$U(1)_L$
λ_1^{IJK}	$\bar{\Upsilon}_\nu^I (\mathbf{Y}_\ell \mathbf{R}_\ell)^{KJ}$	$\tan^3 \beta$	$U(1)_L$
λ_2^{IJK}	$\varepsilon^{LMN} \mathbf{R}_\ell^{LI} (\mathbf{Y}_\ell \mathbf{R}_\ell \Upsilon_\nu^\dagger \mathbf{R}_\ell^T)^{KM} \mathbf{R}_\ell^{NJ}$	$\tan \beta$	$U(1)_L$
λ_3^{IJK}	$\bar{\Upsilon}_\nu^I \varepsilon^{LMN} \varepsilon^{ABC} \mathbf{R}_e^{KA} (\mathbf{R}_\ell \mathbf{Y}_\ell^\dagger)^{LB} (\mathbf{R}_\ell \mathbf{Y}_\ell^\dagger)^{MC} \mathbf{R}_\ell^{NJ}, \dots$	$\tan^4 \beta$	$U(1)_{L,E}$
$\lambda_1'^{IJK}$	$\bar{\Upsilon}_\nu^I (\mathbf{Y}_d \mathbf{R}_q)^{KJ}$	$\tan^3 \beta$	$U(1)_L$
$\lambda_2'^{IJK}$	$\bar{\Upsilon}_\nu^I \varepsilon^{LMN} \varepsilon^{ABC} \mathbf{R}_d^{KA} (\mathbf{R}_q \mathbf{Y}_d^\dagger)^{LB} (\mathbf{R}_q \mathbf{Y}_d^\dagger)^{MC} \mathbf{R}_q^{NJ}$	$\tan^4 \beta$	$U(1)_{L,D,Q}$
$\lambda_1''^{IJK}$	$\varepsilon^{LMN} (\mathbf{Y}_u \mathbf{R}_q \mathbf{Y}_d^\dagger)^{IL} \mathbf{R}_d^{JM} \mathbf{R}_d^{KN}$	$\tan \beta$	$U(1)_D$
$\lambda_2''^{IJK}$	$\varepsilon^{LMN} \mathbf{R}_u^{IL} (\mathbf{Y}_d \mathbf{R}_q \mathbf{Y}_u^\dagger)^{JM} (\mathbf{Y}_d \mathbf{R}_q \mathbf{Y}_u^\dagger)^{KN}$	$\tan^2 \beta$	$U(1)_U$
$\lambda_3''^{IJK}$	$\varepsilon^{LMN} (\mathbf{Y}_u \mathbf{R}_q)^{IL} (\mathbf{Y}_d \mathbf{R}_q)^{JM} (\mathbf{Y}_d \mathbf{R}_q)^{KN}$	$\tan^2 \beta$	$U(1)_Q$
$\lambda_4''^{IJK}$	$\varepsilon^{LMN} \varepsilon^{ABC} \varepsilon^{DEF} (\mathbf{R}_q \mathbf{Y}_d^\dagger)^{LD} (\mathbf{R}_q \mathbf{Y}_u^\dagger)^{MA} (\mathbf{R}_q \mathbf{Y}_u^\dagger)^{NB} \mathbf{R}_u^{IC} \mathbf{R}_d^{JE} \mathbf{R}_d^{KF}$	$\tan \beta$	$U(1)_{Q,U,D}$

Only the minimal set of spurions is needed!

Spurion $\Upsilon_\nu \sim (\bar{6}, 1)_{G_\ell}$ needed for $\Delta L = 1$ couplings \rightarrow suppressed by neutrino masses.

$\rightarrow \Delta L = 1$ forbidden when $m_\nu = 0$.

Structures and MFV terms		Scaling	Breaking
μ_1^I	$\bar{\mu} \bar{\Upsilon}_\nu^I \equiv \varepsilon^{QMJ} (\mathbf{R}_\ell \Upsilon_\nu^\dagger \mathbf{R}_\ell^T)^{QM} \mathbf{R}_\ell^{JI}$	$\tan^2 \beta$	$U(1)_L$
λ_1^{IJK}	$\bar{\Upsilon}_\nu^I (\mathbf{Y}_\ell \mathbf{R}_\ell)^{KJ}$	$\tan^3 \beta$	$U(1)_L$
λ_2^{IJK}	$\varepsilon^{LMN} \mathbf{R}_\ell^{LI} (\mathbf{Y}_\ell \mathbf{R}_\ell \Upsilon_\nu^\dagger \mathbf{R}_\ell^T)^{KM} \mathbf{R}_\ell^{NJ}$	$\tan \beta$	$U(1)_L$
λ_3^{IJK}	$\bar{\Upsilon}_\nu^I \varepsilon^{LMN} \varepsilon^{ABC} \mathbf{R}_e^{KA} (\mathbf{R}_\ell \mathbf{Y}_\ell^\dagger)^{LB} (\mathbf{R}_\ell \mathbf{Y}_\ell^\dagger)^{MC} \mathbf{R}_\ell^{NJ}, \dots$	$\tan^4 \beta$	$U(1)_{L,E}$
λ_4^{IJK}	$\bar{\Upsilon}_\nu^I (\mathbf{Y}_d \mathbf{R}_q)^{KJ}$	$\tan^3 \beta$	$U(1)_L$
λ_2^{IJK}	$\bar{\Upsilon}_\nu^I \varepsilon^{LMN} \varepsilon^{ABC} \mathbf{R}_d^{KA} (\mathbf{R}_q \mathbf{Y}_d^\dagger)^{LB} (\mathbf{R}_q \mathbf{Y}_d^\dagger)^{MC} \mathbf{R}_q^{NJ}$	$\tan^4 \beta$	$U(1)_{L,D,Q}$
λ_1^{IJK}	$\varepsilon^{LMN} (\mathbf{Y}_u \mathbf{R}_q \mathbf{Y}_d^\dagger)^{IL} \mathbf{R}_d^{JM} \mathbf{R}_d^{KN}$	$\tan \beta$	$U(1)_D$
λ_2^{IJK}	$\varepsilon^{LMN} \mathbf{R}_u^{IL} (\mathbf{Y}_d \mathbf{R}_q \mathbf{Y}_u^\dagger)^{JM} (\mathbf{Y}_d \mathbf{R}_q \mathbf{Y}_u^\dagger)^{KN}$	$\tan^2 \beta$	$U(1)_U$
λ_3^{IJK}	$\varepsilon^{LMN} (\mathbf{Y}_u \mathbf{R}_q)^{IL} (\mathbf{Y}_d \mathbf{R}_q)^{JM} (\mathbf{Y}_d \mathbf{R}_q)^{KN}$	$\tan^2 \beta$	$U(1)_Q$
λ_4^{IJK}	$\varepsilon^{LMN} \varepsilon^{ABC} \varepsilon^{DEF} (\mathbf{R}_q \mathbf{Y}_d^\dagger)^{LD} (\mathbf{R}_q \mathbf{Y}_u^\dagger)^{MA} (\mathbf{R}_q \mathbf{Y}_u^\dagger)^{NB} \mathbf{R}_u^{IC} \mathbf{R}_d^{JE} \mathbf{R}_d^{KF}$	$\tan \beta$	$U(1)_{Q,U,D}$

- All $\Delta L = 1$ couplings further suppressed by lepton-mass factors (Υ_ν symmetric).

$$\varepsilon^{QMI} (\Upsilon_\nu^\dagger)^{QM} \equiv 0 \Rightarrow \bar{\Upsilon}_\nu^I = \varepsilon^{QMI} (\mathbf{Y}_\ell^\dagger \mathbf{Y}_\ell \Upsilon_\nu^\dagger)^{QM} + \dots$$

- All couplings scale at least linearly with $\tan \beta$.

Structures and MFV terms		Scaling	Breaking
μ_1^I	$\bar{\mu}_\nu^I, \bar{\Upsilon}_\nu^I \equiv \varepsilon^{QMJ} (R_\ell \Upsilon_\nu^\dagger R_\ell^T)^{QM} R_\ell^{JI}$	$\tan^2 \beta$	$U(1)_L$
λ_1^{IJK}	$\bar{\Upsilon}_\nu^I (Y_\ell R_\ell)^{KJ}$	$\tan^3 \beta$	$U(1)_L$
λ_2^{IJK}	$\varepsilon^{LMN} R_\ell^{LI} (Y_\ell R_\ell \Upsilon_\nu^\dagger R_\ell^T)^{KM} R_\ell^{NJ}$	$\tan \beta$	$U(1)_L$
λ_3^{IJK}	$\bar{\Upsilon}_\nu^I \varepsilon^{LMN} \varepsilon^{ABC} R_e^{KA} (R_\ell \Upsilon_\ell^\dagger)^{LB} (R_\ell \Upsilon_\ell^\dagger)^{MC} R_\ell^{NJ}, \dots$	$\tan^4 \beta$	$U(1)_{L,E}$
λ_4^{IJK}	$\bar{\Upsilon}_\nu^I (Y_d R_q)^{KJ}$	$\tan^3 \beta$	$U(1)_L$
λ_2^{IJK}	$\bar{\Upsilon}_\nu^I \varepsilon^{LMN} \varepsilon^{ABC} R_d^{KA} (R_q \Upsilon_d^\dagger)^{LB} (R_q \Upsilon_d^\dagger)^{MC} R_q^{NJ}$	$\tan^4 \beta$	$U(1)_{L,D,Q}$
λ_1^{IJK}	$\varepsilon^{LMN} (Y_u R_q \Upsilon_d^\dagger)^{IL} R_d^{JM} R_d^{KN}$	$\tan \beta$	$U(1)_D$
λ_2^{IJK}	$\varepsilon^{LMN} R_u^{IL} (Y_d R_q \Upsilon_u^\dagger)^{JM} (Y_d R_q \Upsilon_u^\dagger)^{KN}$	$\tan^2 \beta$	$U(1)_U$
λ_3^{IJK}	$\varepsilon^{LMN} (Y_u R_q)^{IL} (Y_d R_q)^{JM} (Y_d R_q)^{KN}$	$\tan^2 \beta$	$U(1)_Q$
λ_4^{IJK}	$\varepsilon^{LMN} \varepsilon^{ABC} \varepsilon^{DEF} (R_q \Upsilon_d^\dagger)^{LD} (R_q \Upsilon_u^\dagger)^{MA} (R_q \Upsilon_u^\dagger)^{NB} R_u^{IC} R_d^{JE} R_d^{KF}$	$\tan \beta$	$U(1)_{Q,U,D}$

- The invariance under some $U(1)$'s can be enforced.

→ Does not forbid any structure, but suppresses them by $\det(\mathbf{Y}_{u,d,\ell})$ factors.

- Similar expansions for RPV soft-breaking terms (up to their normalization).

Structures and MFV terms		Scaling	Breaking
μ_1^I	$\bar{\mu}\bar{\Upsilon}_\nu^I \equiv \varepsilon^{QMJ} (\mathbf{R}_\ell \mathbf{Y}_\nu^\dagger \mathbf{R}_\ell^T)^{QM} \mathbf{R}_\ell^{JI}$	$\tan^2 \beta$	$U(1)_L$
λ_1^{IJK}	$\bar{\Upsilon}_\nu^I (\mathbf{Y}_\ell \mathbf{R}_\ell)^{KJ}$	$\tan^3 \beta$	$U(1)_L$
λ_2^{IJK}	$\varepsilon^{LMN} \mathbf{R}_\ell^{LI} (\mathbf{Y}_\ell \mathbf{R}_\ell \mathbf{Y}_\nu^\dagger \mathbf{R}_\ell^T)^{KM} \mathbf{R}_\ell^{NJ}$	$\tan \beta$	$U(1)_L$
λ_3^{IJK}	$\bar{\Upsilon}_\nu^I \varepsilon^{LMN} \varepsilon^{ABC} \mathbf{R}_e^{KA} (\mathbf{R}_\ell \mathbf{Y}_\ell^\dagger)^{LB} (\mathbf{R}_\ell \mathbf{Y}_\ell^\dagger)^{MC} \mathbf{R}_\ell^{NJ}, \dots$	$\tan^4 \beta$	$U(1)_{L,E}$
$\lambda_1'^{IJK}$	$\bar{\Upsilon}_\nu^I (\mathbf{Y}_d \mathbf{R}_q)^{KJ}$	$\tan^3 \beta$	$U(1)_L$
$\lambda_2'^{IJK}$	$\bar{\Upsilon}_\nu^I \varepsilon^{LMN} \varepsilon^{ABC} \mathbf{R}_d^{KA} (\mathbf{R}_q \mathbf{Y}_d^\dagger)^{LB} (\mathbf{R}_q \mathbf{Y}_d^\dagger)^{MC} \mathbf{R}_q^{NJ}$	$\tan^4 \beta$	$U(1)_{L,D,Q}$
$\lambda_1''^{IJK}$	$\varepsilon^{LMN} (\mathbf{Y}_u \mathbf{R}_q \mathbf{Y}_d^\dagger)^{IL} \mathbf{R}_d^{JM} \mathbf{R}_d^{KN}$	$\tan \beta$	$U(1)_D$
$\lambda_2''^{IJK}$	$\varepsilon^{LMN} \mathbf{R}_u^{IL} (\mathbf{Y}_d \mathbf{R}_q \mathbf{Y}_u^\dagger)^{JM} (\mathbf{Y}_d \mathbf{R}_q \mathbf{Y}_u^\dagger)^{KN}$	$\tan^2 \beta$	$U(1)_U$
$\lambda_3''^{IJK}$	$\varepsilon^{LMN} (\mathbf{Y}_u \mathbf{R}_q)^{IL} (\mathbf{Y}_d \mathbf{R}_q)^{JM} (\mathbf{Y}_d \mathbf{R}_q)^{KN}$	$\tan^2 \beta$	$U(1)_Q$
$\lambda_4''^{IJK}$	$\varepsilon^{LMN} \varepsilon^{ABC} \varepsilon^{DEF} (\mathbf{R}_q \mathbf{Y}_d^\dagger)^{LD} (\mathbf{R}_q \mathbf{Y}_u^\dagger)^{MA} (\mathbf{R}_q \mathbf{Y}_u^\dagger)^{NB} \mathbf{R}_u^{IC} \mathbf{R}_d^{JE} \mathbf{R}_d^{KF}$	$\tan \beta$	$U(1)_{Q,U,D}$

- Basis-dependent separation RPV–RPC ($H_d \leftrightarrow L^I$), but MFV expansions are stable.

Near-alignment: $\mu^I = \bar{\mu}\bar{\Upsilon}_\nu^I, b^I = b\bar{\Upsilon}_\nu^I, m_{Ld}^I = m_{H_d}^2 \bar{\Upsilon}_\nu^I \Rightarrow \langle \tilde{\nu}^I \rangle = \nu_d \bar{\Upsilon}_\nu^I$

\Rightarrow Physical RPV corrections to $m_\nu \sim O(m_\nu^2)$.

Phenomenological consequences

• Numerical estimates: Preliminaries

Antisymmetry and suppression: Besides the proportionality to *neutrino masses*, the antisymmetric ε -tensors imply that all RPV couplings are proportional to *light-fermion masses*, hence significantly suppressed.

Dependence is quite involved, and different from the naive helicity-suppression:

$$\lambda'^{IJK} \neq O(m_e^I m_u^J m_d^K), \quad \lambda''^{IJK} \neq O(m_u^I m_d^J m_d^K), \dots$$

Reduced basis: Not all operators of equal size, some can always be neglected.

- However: the reduced basis, with the minimal number of operators, strongly depends on $\tan \beta$, lightest neutrino mass m_ν , and the seesaw scale M_R .
- For $\tan \beta < 20$, $M_R < 2 \cdot 10^{13} \text{ GeV}$, $m_\nu > 0.05 \text{ eV}$: only 10 to 20 dominant terms.

Numerical estimates for the maximal order of magnitudes in four extreme scenarios:

$$\text{Case I: } \tan \beta = 5 \quad M_R = 10^{12} \text{ GeV} \quad m_\nu = 0.5 \text{ eV}$$

$$\text{Case II: } \tan \beta = 50 \quad M_R = 10^{12} \text{ GeV} \quad m_\nu = 0.5 \text{ eV}$$

$$\text{Case III: } \tan \beta = 5 \quad M_R = 2 \cdot 10^{14} \text{ GeV} \quad m_\nu = 0 \text{ eV}$$

$$\text{Case IV: } \tan \beta = 50 \quad M_R = 2 \cdot 10^{14} \text{ GeV} \quad m_\nu = 0 \text{ eV}$$

Cases II & IV: maximize H_d Yukawa couplings Y_d and Y_ℓ ,

Cases III & IV: maximize neutrino spurions Y_ν and $Y_\nu^\dagger Y_\nu$.

• Freezing the spurions

Our goal: Leading, order-of-magnitude estimates in terms of $\tan \beta$, M_R , m_ν , assuming MFV coefficients are not larger than about one.

1. *Quark and charged lepton Yukawas* fixed from the PDG masses and CKM:

$$Y_u = \frac{m_u}{v_u} V, \quad Y_d = \frac{m_d}{v_u} \tan \beta, \quad Y_\ell = \frac{m_\ell}{v_u} \tan \beta$$

2. *Neutrino masses:* set $\theta_{13} \approx 0$, $\theta_{atm} \approx 45^\circ$ and $\tan \theta_\odot \approx 2/3$ such that

$$Y_\nu = U^* \frac{m_\nu}{v_u} U^\dagger \approx \frac{1}{v_u} \begin{pmatrix} m_\nu \left(1 + \frac{\Delta m_{21}}{3} \right) & \begin{pmatrix} 1 & 1 & -1 \\ 1 & 1 & -1 \\ -1 & -1 & 1 \end{pmatrix} & \begin{pmatrix} \Delta m_{31} \\ 0 \\ 0 \end{pmatrix} \\ \begin{pmatrix} 1 & 1 & -1 \\ 1 & 1 & -1 \\ -1 & -1 & 1 \end{pmatrix} & \begin{pmatrix} 1 & 1 & -1 \\ 1 & 1 & -1 \\ -1 & -1 & 1 \end{pmatrix} & \begin{pmatrix} \Delta m_{31} \\ 0 \\ 0 \end{pmatrix} \\ \begin{pmatrix} 1 & 1 & -1 \\ 1 & 1 & -1 \\ -1 & -1 & 1 \end{pmatrix} & \begin{pmatrix} 1 & 1 & -1 \\ 1 & 1 & -1 \\ -1 & -1 & 1 \end{pmatrix} & \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} \end{pmatrix}$$

- As $m_\nu \equiv m_{\nu 1}$ increases, Y_ν gets more diagonal (normal spectrum for larger effects) for fixed $\Delta m_\odot^2 \approx 8 \cdot 10^{-5} \text{ eV}^2$, $\Delta m_{atm}^2 \approx 2.6 \cdot 10^{-3} \text{ eV}^2$.
- For most operators, the piece ~ 1 drops out since $\bar{Y}_\nu^l = \varepsilon^{OMI} (Y_\ell^\dagger Y_\ell Y_\nu^\dagger)^{QM} + \dots$

3. *Neutrino octet spurion* fixed in the CP-limit: $Y_\nu^\dagger Y_\nu = \frac{M_R}{v_u} Y_\nu$

$$\text{Perturbative bound: } Y_\nu^\dagger Y_\nu \leq 1 \rightarrow \frac{\max[m_\nu, \Delta m_{31}]}{1 \text{ eV}} \frac{M_R}{10^{13} \text{ GeV}} \leq 3$$

• Order-of-magnitude estimates

Example 1. Auxiliary neutrino spurion $\bar{\Upsilon}_\nu^I \equiv \varepsilon^{QMJ} (R_\ell \Upsilon_\nu^\dagger R_\ell^T)^{QM} R_\ell^J$:

$$\bar{\Upsilon}_\nu^I = \begin{pmatrix} 17 \\ 19 \\ 21 \end{pmatrix}, \begin{pmatrix} 15 \\ 17 \\ 19 \end{pmatrix}, \begin{pmatrix} 16 \\ 17 \\ 18 \end{pmatrix}, \begin{pmatrix} 14 \\ 15 \\ 16 \end{pmatrix},$$

large $\tan \beta$

large M_R , small m_ν

- Tunes $\mu'(H_u L)$ and $\lambda'(LQ)D$,
- Similar behavior for $\lambda(LL)E$,
- Inverted hierarchy,
- Very suppressed ($M_R = 10^{12-14}$ GeV),
- Quadratic in $\tan \beta$,
- $M_R \nearrow$ and/or $m_\nu \searrow$: hierarchies softened.

Example 2. Baryonic couplings $\lambda''^{IJK} U^I D^J D^K$:

Structure	λ''_1	λ''_2	λ''_3	$\lambda''_{4,5}$
Broken $U(1)$	$U(1)_D$	$U(1)_U$	$U(1)_Q$	$U(1)_{U,D,Q}$
Scaling	$\tan \beta$	$\tan^2 \beta$	$\tan^2 \beta$	$\tan \beta$
$\tan \beta = 5$	$\begin{pmatrix} 8 & 8 & 8 \\ 4 & 6 & 5 \\ 1 & 6 & 4 \end{pmatrix}$	$\begin{pmatrix} 11 & 6 & 7 \\ 12 & 9 & 9 \\ 13 & 12 & 13 \end{pmatrix}$	$\begin{pmatrix} 13 & 8 & 10 \\ 10 & 6 & 7 \\ 6 & 5 & 6 \end{pmatrix}$	$\begin{pmatrix} 5 & 5 & 5 \\ 7 & 9 & 7 \\ 7 & 12 & 10 \end{pmatrix}$
$\tan \beta = 50$	$\begin{pmatrix} 7 & 7 & 7 \\ 3 & 5 & 4 \\ 0 & 5 & 3 \end{pmatrix}$	$\begin{pmatrix} 9 & 4 & 5 \\ 10 & 7 & 7 \\ 11 & 10 & 11 \end{pmatrix}$	$\begin{pmatrix} 11 & 6 & 8 \\ 8 & 4 & 5 \\ 4 & 3 & 4 \end{pmatrix}$	$\begin{pmatrix} 4 & 4 & 4 \\ 6 & 8 & 6 \\ 6 & 11 & 9 \end{pmatrix}$

Notation:
 $x \equiv O(10^{-x})$

$X^{IJ} \equiv \lambda''^{I(JK)}$,
 $(JK) = 12, 23, 31$

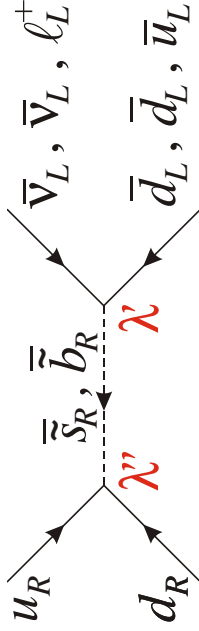
- Bounds on RPV couplings

1. Bounds from $\Delta L = 1$, $\Delta B = 0$ processes:

All easily satisfied, because $\Delta L = 1$ couplings $\mu', \lambda, \lambda' < O(10^{-13})$.

2. Bounds from $\Delta B = 1$ nucleon decays: $p, n \rightarrow \pi V, \pi \ell, K V, K \ell, \dots$

Tree-level:



Loop-level:

$$|\lambda'_{IJK} \lambda''_{IJK'}| < O(10^{-9} - 10^{-11})$$

Very constraining (see next slide).

Automatic since $\lambda' < O(10^{-13})$.

3. Bounds from neutron oscillations:

Tree-level (very approximative):

$$|\lambda''_{11I}| < (10^{-8} - 10^{-7}) \frac{10^8 s}{\tau_{osc}} \left(\frac{\tilde{m}}{100 \text{ GeV}} \right)^{5/2},$$

Loop-level:

$$|\lambda''_{312}| < [10^{-3}, 10^{-2}] \left(\frac{200 \text{ MeV}}{m_s} \right),$$

for $\tilde{m}_q \sim [100 \text{ GeV}, 200 \text{ GeV}]$.

Not very constraining for squark masses above a few hundred GeV.

4. MFV predictions and bounds on $\Delta B = 1$ nucleon decays

Approximate bounds ($I, J = 1, 2, 3, M = 1, 2$)	I			II			III			IV		
	A	B	C	A	B	C	A	B	C	A	B	C
$ \lambda'_{JMI} \lambda''_{11I}, \lambda'_{M1I} \lambda''_{12I} $	24	25	28	20	20	23	23	24	27	18	19	22
$ \lambda'_{IJM} \lambda''_{11J} $	24	28	31	20	23	25	23	27	29	19	21	24
$ \lambda'_{MJ1} \lambda''_{J12} $	23	29	29	18	23	23	21	27	27	16	22	22
$\lambda_{212,322} \lambda''_{112}$	21	27	30	19	23	26	20	26	28	18	22	25
$\lambda_{133,323} \lambda''_{112}$	20	26	28	18	22	25	19	25	27	17	21	24
$ \lambda''_{112} \mu'_I / \mu $	22	27	30	19	23	26	20	26	29	17	21	24
$ \lambda''_{312} \mu'_I / \mu $	18	23	23	14	18	18	16	22	22	13	17	17

$$\tilde{q} \equiv m_{\tilde{q}}^2 / (100 \text{ GeV})^2$$

$$\delta_J^X \equiv (m_X^2)_{LR}^{JJ} / (m_X^2)_R^J$$

Case II, IV : large $\tan \beta$

$$A : SU(3)^5$$

$$B : SU(3)^5 \times U(1)_D \times U(1)_E$$

$$C : SU(3)^5 \times U(1)_D \times U(1)_E \times U(1)_U$$

Case III, IV : $\begin{cases} \text{large } M_R \\ \text{small } m_{\nu} \end{cases}$

Conservative: - Light-quark masses at 2 GeV, on-shell lepton masses,

- MFV coefficients of $O(1)$, while $O(\lambda)$ or $O(g^2 / 4\pi)$ equally natural,
- No GIM-like interferences for a given mechanism, and no cancellations among possible mechanisms for a given final state.

- Consequences

1. *FCNC and LFV*:
 - Moderate $\tan\beta$ preferred \rightarrow suppresses Higgs FCNC.
 - Large m_{ν} preferred \rightarrow suppresses LFV effects.
 - Alternative: if $U(1)_L$ imposed, additional factor $\det(\mathbf{Y}_\ell) < 10^{-6}$.

2. $\Delta B = 1$ effects at *low-energy*: squarks as di-quark currents

$$\frac{|\lambda''_{IJK}\lambda''_{LMN}|}{\tilde{m}_q^2} \leq 10^{-8} \text{ GeV}^{-2} \frac{(100 \text{ GeV})^2}{\tilde{m}_q^2} \leftrightarrow G_F \sim 10^{-5} \text{ GeV}^{-2}$$

Largest for the stop exchange, when $\tan\beta$ not large so that $U(1)_D$ can be broken.

$$|\lambda''_{312}\lambda''_{331}| \sim 10^{-4} - 10^{-5}, \quad |\lambda''_{312}\lambda''_{323}| \sim 10^{-5} - 10^{-6}$$

But, typically small w.r.t. SM contributions, and challenging hadronic uncertainties.

3. $\Delta B = 1$ effects at *colliders*: RPV implies drastic changes for the phenomenology

Accessibility of the signals strongly depends on $|\lambda''_{312}| \sim 10^{-1} - 10^{-5}$

- *LSP decays*, maybe in the detector, and needs not be colorless and neutral.
- *Single stop* resonant production $pp \rightarrow \tilde{t}$, single gluino production $\tilde{t} \rightarrow t\tilde{g}$.
- *Top production* from down squark decay $(\tilde{d}, \tilde{s}, \tilde{b}) \rightarrow t + (d, s, b)$.

- ...

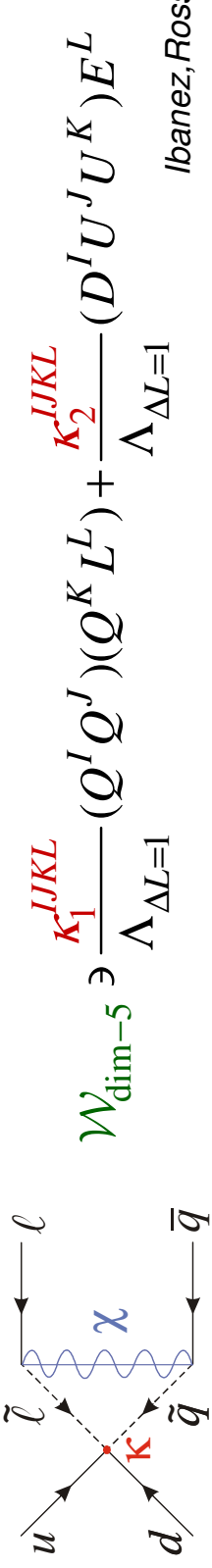
• A few thoughts about possible extensions

1. **Other seesaw types:** Stability of MFV predictions, at least to a large extent.



MFV stability implies that no unsuppressed spurion can transform as $(\bar{6}, 1)$.

2. **Dim-5 RPC operators:** MFV separately suppresses $\Delta L = 1$ and $\Delta B = 1$ effects,



Ibanez, Ross '92

Loop-level κ_1, κ_2 should be subleading compared to tree-level $\lambda \times \lambda''$, $\lambda' \times \lambda''$.

3. **GUT flavor groups:** leptons and quarks flavor-groups not necessarily factorized.

Example: $G_f = U(3)_{\bar{5}} \times U(3)_{10} : Y_{\bar{5}} \sim (\bar{3}, 3), Y_{10} \sim (1, \bar{6})$

Cirigliano, Grinstein, Isidori, Wise '05

Seesaw spurions not required for $\mathcal{W}_{RPV} = \Lambda^{IJK} \bar{5}^I \bar{5}^J 10^K + \dots$

- But:**
- Antisymmetric ϵ -tensors still needed \rightarrow some suppression remains,
 - Flavor-group much smaller, with $U(1)_D \sim U(1)_{\bar{5}}, U(1)_{U,E} \sim U(1)_{10}$
- $\rightarrow \mathcal{W}_{RPV}$ may then only arise after $SU(5)$ is broken.

Conclusion

The MFV hypothesis under the $U(3)^5$ MSSM flavor-group simultaneously:

- accounts for FCNC suppression (squark-quark alignment)
- suppresses the proton decay width down to acceptable levels.

The *very long proton lifetime* is then seen a direct consequence of:

- Hierarchy in the fermion masses and CKM matrix,
- Smallness of the neutrino masses.

MSSM R-parity loses its main appeal (already undermined by dim-5 ops.)

But, may have to be replaced by some flavor $U(1)$'s.

Some consequences:

- Indirect constraints on m_{ν} , $\tan \beta$, and the seesaw scale (for *FCNC* and *LFV*),
- *Proton decay* presumably close to its current experimental bounds,
- *Colliders*: MFV can tell us where to expect significant SUSY signals,
In particular: single stop production or top production.

Extensions: Consequences still to be investigated. In particular:

- In *GUT's/SUSY-breaking*: ensuring the emergence of MFV is a powerful method towards satisfying low-energy constraints.
- For *cosmology*, MSSM-LSP not stable, and baryon number violated?

Backup

Backup 1. Expansions for RPC soft-breaking terms

Cayley-Hamilton: $\mathbf{A}^3 - \langle \mathbf{A} \rangle \mathbf{A}^2 + \frac{1}{2} \mathbf{A} (\langle \mathbf{A} \rangle^2 - \langle \mathbf{A}^2 \rangle) - \frac{1}{3} \langle \mathbf{A}^3 \rangle + \frac{1}{2} \langle \mathbf{A} \rangle \langle \mathbf{A}^2 \rangle - \frac{1}{6} \langle \mathbf{A} \rangle^3 = 0$

Third generation dominance: $(\mathbf{Y}_{u,d,\ell}^\dagger \mathbf{Y}_{u,d,\ell})^2 = y_{t,b,\tau}^2 \mathbf{Y}_{u,d,\ell}^\dagger \mathbf{Y}_{u,d,\ell}$

Octets: $\mathbf{X}_i \equiv \mathbf{Y}_i^\dagger \mathbf{Y}_i$, $\mathbf{R}_\ell = \mathbf{1}$, \mathbf{X}_ℓ , \mathbf{X}_V , $\mathbf{X}_\ell \mathbf{X}_V$, $\mathbf{X}_V \mathbf{X}_\ell$, \mathbf{X}_V^2 , $\mathbf{X}_\ell \mathbf{X}_V^2$, $\mathbf{X}_V^2 \mathbf{X}_\ell$, $\mathbf{X}_V^2 \mathbf{X}_\ell \mathbf{X}_V$,

$\mathbf{R}_q = \mathbf{1}$, \mathbf{X}_u , \mathbf{X}_d , $\mathbf{X}_u \mathbf{X}_d$, $\mathbf{X}_d \mathbf{X}_u$, $\mathbf{R}_{u,d} = \mathbf{1}$, $\mathbf{Y}_{u,d} \mathbf{R}_q \mathbf{Y}_{u,d}^\dagger$, $\mathbf{R}_e = \mathbf{1}$, $\mathbf{Y}_\ell \mathbf{R}_\ell \mathbf{Y}_\ell^\dagger$

RPC-terms: $\mathbf{m}_Q^2 = m_0^2 [\mathbf{R}_q]_{h.c.}$, $\mathbf{m}_U^2 = m_0^2 [\mathbf{R}_u]_{h.c.}$, $\mathbf{m}_D^2 = m_0^2 [\mathbf{R}_d]_{h.c.}$,

$\mathbf{m}_L^2 = m_0^2 [\mathbf{R}_\ell]_{h.c.}$, $\mathbf{m}_E^2 = m_0^2 [\mathbf{R}_e]_{h.c.}$

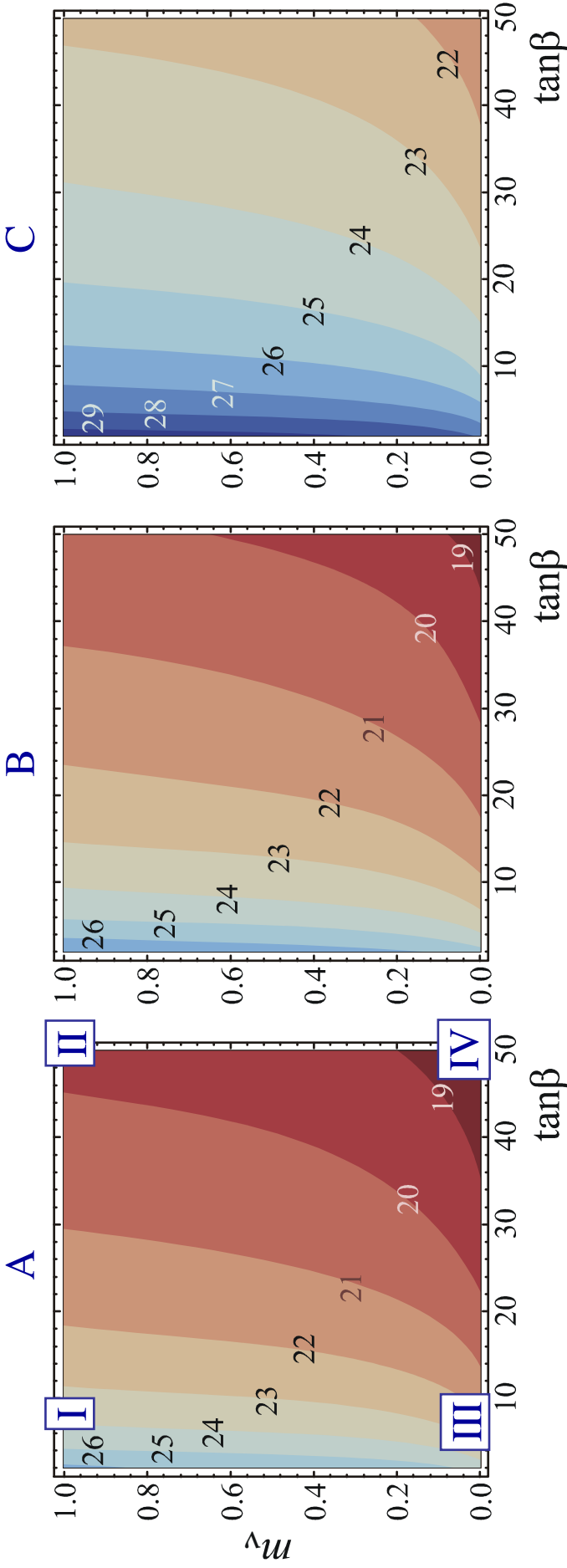
$\mathbf{A}_u^{IJ} = A_0 ((\mathbf{Y}_u \mathbf{R}_q)^{IJ} + \varepsilon^{LMN} \varepsilon^{ABC} \mathbf{R}_u^{KA} (\mathbf{R}_q \mathbf{Y}_u^\dagger)^{LB} (\mathbf{R}_q \mathbf{Y}_u^\dagger)^{MC} \mathbf{R}_q^{NJ})$

$\mathbf{A}_d^{IJ} = A_0 ((\mathbf{Y}_d \mathbf{R}_q)^{IJ} + \varepsilon^{LMN} \varepsilon^{ABC} \mathbf{R}_d^{KA} (\mathbf{R}_q \mathbf{Y}_d^\dagger)^{LB} (\mathbf{R}_q \mathbf{Y}_d^\dagger)^{MC} \mathbf{R}_q^{NJ})$

$\mathbf{A}_\ell^{IJ} = A_0 ((\mathbf{Y}_\ell \mathbf{R}_\ell)^{IJ} + \varepsilon^{LMN} \varepsilon^{ABC} \mathbf{R}_\ell^{KA} (\mathbf{R}_\ell \mathbf{Y}_\ell^\dagger)^{LB} (\mathbf{R}_\ell \mathbf{Y}_\ell^\dagger)^{MC} \mathbf{R}_\ell^{NJ})$

- Sum with $O(1)$ MFV coefficients,
- For trilinear terms, the ε -structures are new, though small but for 11 mixing,
- LFV effects tuned by $\mathbf{Y}_V^\dagger \mathbf{Y}_V$, and only a finite number of terms. *Borzumati, Masiero '86*
- Suppression of the FCNC's analyzed in *Isidori et al. '06 / Altmannshofer, Buras, Guadagnoli '07*

Backup 2. Behavior of the bound $|\lambda'_{M1I} \lambda''_{12I}|$ (others are similar)



I: $\tan\beta = 5$, $M_R = 10^{12} \text{ GeV}$, $m_W = 0.5 \text{ eV}$, **A: $SU(3)^5$**

II: $\tan\beta = 50$, $M_R = 10^{12} \text{ GeV}$, $m_W = 0.5 \text{ eV}$, **B: $SU(3)^5 \times U(1)_D \times U(1)_E$**

III: $\tan\beta = 5$, $M_R = 2 \cdot 10^{14} \text{ GeV}$, $m_W = 0 \text{ eV}$, **C: $SU(3)^5 \times U(1)_D \times U(1)_E \times U(1)_U$**

IV: $\tan\beta = 50$, $M_R = 2 \cdot 10^{14} \text{ GeV}$, $m_W = 0 \text{ eV}$, $(x \equiv O(10^{-x}))$