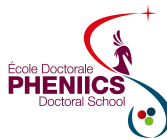


Olivier VASSEUR
IPN Orsay, Theory Group
Second year PhD student

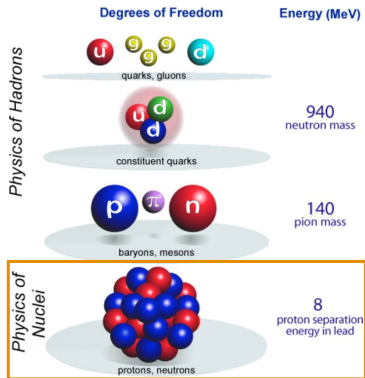
**A microscopic treatment
of correlated nucleons:
Collective properties in stable
and exotic nuclei**

PhD supervised by Marcella GRASSO (IPN Orsay)
Co-supervised by Danilo GAMBACURTA (ELI-NP, Romania)



- ➊ Introduction: Phenomenology & context
- ➋ Starting point: Formalism of the standard Second Random-Phase Approximation (SRPA). Limitations and drawbacks
- ➌ 1st correction method: Subtraction
- ➍ 2nd correction method: Renormalized SRPA
- ➎ Summary

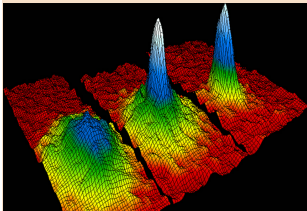
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Framework

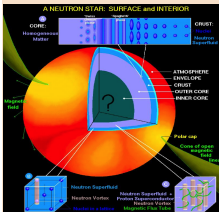
- Low-energy scales
 - nucleons are point-like, structureless particles
relevant degrees of freedom = nucleons
- Solve the nuclear many-body problem
 - Use of **effective interactions**
→ Energy-Density Functionals (EDF): functionals derived in most cases from effective interactions

Atomic physics



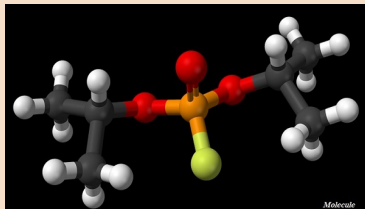
Bose-Einstein condensate of ultra-cold trapped atoms

Astrophysics (neutron stars)



Nuclei and other nuclear systems in star crusts

Chemistry & Condensed matter physics



Strong analogy between Energy-Density Functionals (EDF) and Density Functional Theory (DFT)

General motivation: What we are interested in

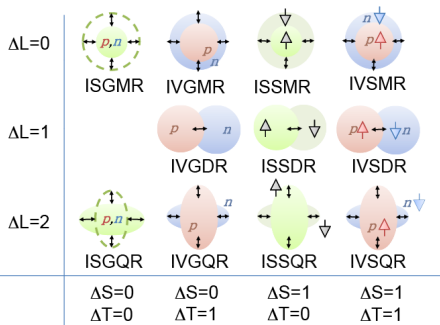
Describe nuclear excitation spectra: low-lying states and giant resonances.

Methodology

Going **beyond the mean-field approximation** (single-particle degrees of freedom): complex configurations and correlations, within the Energy-Density Functional theory (EDF).

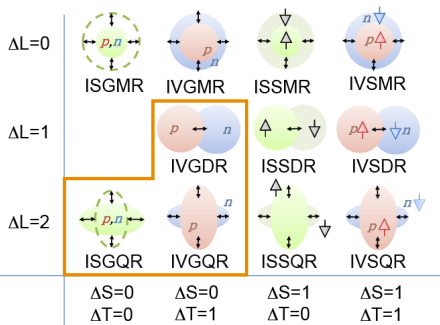
Objective

Owing to the coupling of single-particle degrees of freedom with more complex configurations: physical description of **fragmentation** and **spreading width** of excitations.



- Low-lying states
- Giant resonances:
 - higher in energy
 - more collective states

Schematic view of giant resonances



- Low-lying states
- Giant resonances:
 - higher in energy
 - more collective states

Schematic view of giant resonances

① Introduction: Phenomenology & context

② Starting point: Formalism of the standard Second Random-Phase Approximation (SRPA). Limitations and drawbacks

- SRPA formalism

- Problems of standard SRPA

③ 1st correction method: Subtraction

④ 2nd correction method: Renormalized SRPA

⑤ Summary

SRPA model: Include complex configurations (2 particle-2 hole) (2p2h)

Da Providencia, *Nucl. Phys.* 61, 87 (1965)

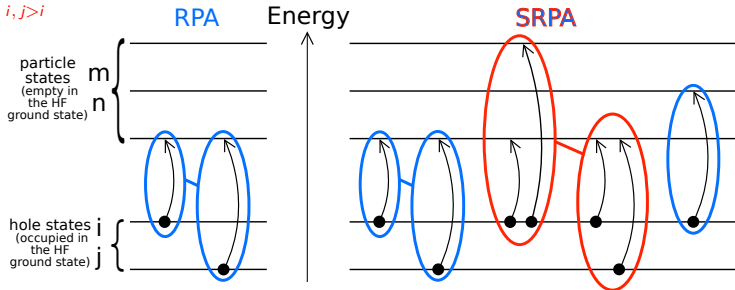
Yannouleas, *Phys. Rev. C* 35, 1159 (1987)

- Choose the form of the excitation operator Q_ν^\dagger that creates the excited state $|\nu\rangle$ on top of the ground state $|0\rangle$:

$$Q_\nu^\dagger := \sum_{m,i} (X_{mi}(\nu) a_m^\dagger a_i - Y_{mi}(\nu) a_i^\dagger a_m)$$

$$+ \sum_{\substack{m, n > m \\ i, j > i}} (X_{mnij}(\nu) a_m^\dagger a_n^\dagger a_j a_i - Y_{mnij}(\nu) a_i^\dagger a_j^\dagger a_n a_m)$$

where $\begin{cases} Q_\nu^\dagger |0\rangle = |\nu\rangle \\ Q_\nu |0\rangle = 0 \end{cases}$



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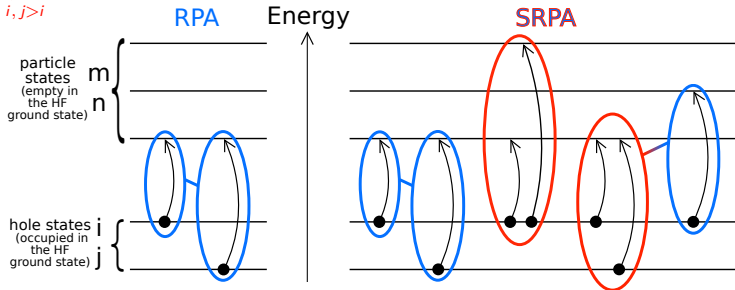
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- ② Get the **RPA-type equations** = matrix form of equations of motion

$$\begin{pmatrix} A & B \\ B^* & A^* \end{pmatrix} \begin{pmatrix} X(\nu) \\ Y(\nu) \end{pmatrix} = \hbar\omega_\nu \begin{pmatrix} G & 0 \\ 0 & -G^* \end{pmatrix} \begin{pmatrix} X(\nu) \\ Y(\nu) \end{pmatrix}$$

- Same equations for RPA and SRPA, **much larger dimension** in SRPA

$$A = \begin{pmatrix} (A_{mi,nj}) & (A_{mi,pqkl}) \\ (A_{pqkl,mi}) & (A_{mnij,pqkl}) \end{pmatrix} = \begin{bmatrix} \begin{matrix} 1p1h - \\ 1p1h \end{matrix} & 1p1h - 2p2h \\ \begin{matrix} 2p2h - \\ 1p1h \end{matrix} & 2p2h - 2p2h \end{bmatrix}$$

- Well known method, but very strong truncations and approximations in early times due to **important computational effort** in SRPA
- Calculations without truncations in matrices and large cutoffs: only recently

Papakonstantinou, Roth, *Phys. Lett. B* 671, 356 (2009)

Gambacurta, Grasso, Catara, *Phys. Rev. C* 81, 054312 (2010)

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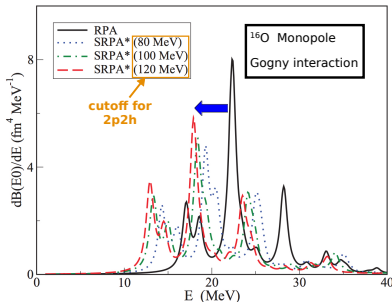
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→ **problems highlighted**

- Strong abnormal shift to low energies
 - Instabilities (non-real eigenstates)
 - Double-counting of correlations
 - Cutoff dependence with zero-range interactions
- The Thouless theorem cannot be extended from RPA to SRPA
- Use of an effective interaction “EDF problems”

Tselyaev, *Phys. Rev. C* 88, 054301 (2013)

Papakonstantinou, *Phys. Rev. C* 90, 024305 (2014)



Gambacurta, Grasso, *et al.*, *Phys. Rev. C* 86, 021304 (R) (2012)

We consider **two methods to address these problems:**

- Subtraction method
- Include correlations in the ground state

- ① Introduction: Phenomenology & context
- ② Starting point: Formalism of the standard Second Random-Phase Approximation (SRPA). Limitations and drawbacks
- ③ **1st correction method: Subtraction**
 - What we get with the subtraction method
 - Results (preliminary): Quadrupole response
- ④ 2nd correction method: Renormalized SRPA
- ⑤ Summary

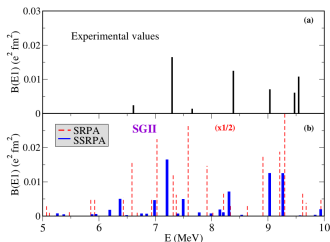
What we get with the subtraction method

In the EDF framework, it allows to cure all the problems of SRPA

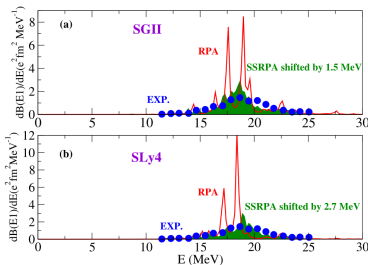
Gambacurta, Grasso, Engel, *Phys. Rev. C* 92, 034303 (2015)

One example of application: dipole response in ^{48}Ca

Gambacurta, Grasso, Vasseur,
Phys. Lett. B, 777, 163 (2018)



→ Better description of low-lying states with respect to SRPA (and to RPA!)



→ Better description of fragmentation and width with respect to RPA

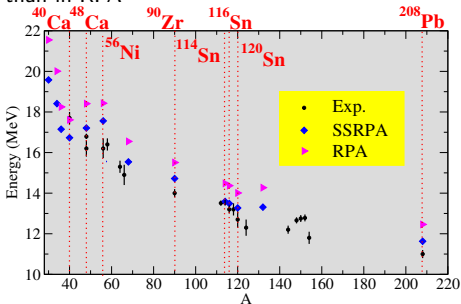
Exp: { Hartmann *et al.*, *Phys. Rev. C* 65, 034301 (2002)
Birkhan *et al.*, *Phys. Rev. Lett.* 118, 252501 (2017)

Results (preliminary): Quadrupole response

Work in progress on **isoscalar giant quadrupole resonances** for a range of nuclei from ^{30}Si to ^{208}Pb .

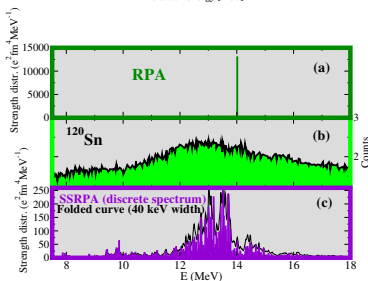
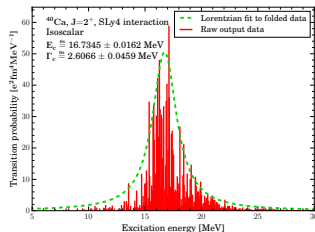
Centroids: always lower in subtracted SRPA

than in RPA



Widths: larger in subtracted SRPA than in RPA

Vasseur, Gambacurta, Grasso, in progress



- ① Introduction: Phenomenology & context
- ② Starting point: Formalism of the standard Second Random-Phase Approximation (SRPA). Limitations and drawbacks
- ③ 1st correction method: Subtraction
- ④ **2nd correction method: Renormalized SRPA**
 - Renormalize SRPA in an iterative way
 - Renormalized SRPA: Example (preliminary)
 - Include pairing and non-zero temperature
- ⑤ Summary

Renormalize SRPA in an iterative way

$$\begin{pmatrix} A & B \\ B^* & A^* \end{pmatrix} \begin{pmatrix} X(\nu) \\ Y(\nu) \end{pmatrix} = \hbar\omega_\nu \begin{pmatrix} G & 0 \\ 0 & -G^* \end{pmatrix} \begin{pmatrix} X(\nu) \\ Y(\nu) \end{pmatrix}$$

In RPA: $G_{mi,nj} = \delta_{mn}\rho_{ji} - \delta_{ji}\rho_{mn}$ \leftarrow **One-body density matrix**
 $\rho_{\alpha\alpha'} \simeq \delta_{\alpha\alpha'} n_\alpha$ \leftarrow **occupation number**

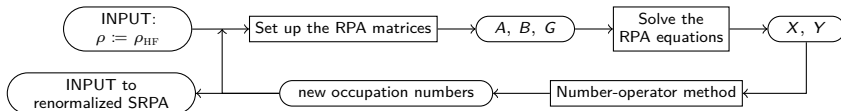
In standard RPA: $n_\alpha \in \{0, 1\}$. In renormalized RPA: $n_\alpha \in [0, 1]$.

Number operator method: Rowe, *Phys. Rev.* 175, 1283 (1968)

$$\left. \begin{aligned} n_m &= \frac{1}{2(2j_m + 1)} \sum_\nu (2j_\nu + 1) \sum_i |Y_{mi}(\nu)|^2 \cancel{+ O(|Y|^4)} \\ n_i &= 1 - \frac{1}{2(2j_i + 1)} \sum_\nu (2j_\nu + 1) \sum_m |Y_{mi}(\nu)|^2 \cancel{+ O(|Y|^4)} \end{aligned} \right\}$$

Used as input to
SRPA calculation

Gambacurta, Catara, *Phys. Rev. B* 81, 085418 (2010)

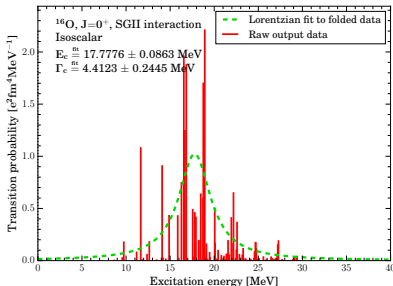
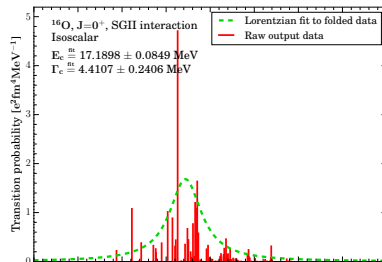


Isoscalar monopole response in ^{16}O
SGII interaction

Standard SRPA
Centroid $\simeq 17.2$ MeV

Renormalized SRPA
Centroid $\simeq 17.8$ MeV

- Consistent shift to higher energies
- But rather small correction



- Correlations produced by the RPA amplitudes are (too) weak. This may be due to the used diagonal approximation for the density (analysis in progress)
- Additional correlations could be included via occupation numbers → next step in the PhD

Next step

Renormalize SRPA with occupation numbers with pairing correlations (Hartree-Fock-Bogoliubov/Bogoliubov-de Gennes occupation numbers), at non-zero temperature:

- pairing or superfluid effects at $T = 0$
- $T \neq 0$ → Study of hot resonances (experimental results exist e.g. from an Italian-Polish collaboration. Maj *et al.* and Bracco *et al.*)

- It is necessary to take more complex configurations ($2p2h$) into account to describe the spreading width and the fragmentation of spectra
- Standard SRPA — straightforward transposition of standard RPA to $2p2h$ configurations — presents important drawbacks, due to the formalism itself or due to the effective interaction
- To address these drawbacks, we have considered two methods:
 - ① Subtraction method → cures all the problems, gives a better description of spectra
 - ② Inclusion of correlations in the ground state with RPA occupation numbers → preliminary results are as expected, but weaker correction
- Occupation-number method easily generalized to include pairing and non-zero temperature (in progress)

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Thank you for your attention!

- In the EDF framework, it allows to cure all the problems of SRPA
- It was developed prior to this thesis

Gambacurta, Grasso, Engel,
Phys. Rev. C 92, 034303 (2015)

How it works:

- ① Response functions: $\rho_{kl}^{(1)} = \sum_{p,q} R_{klpq} f^{pq}$ $\rho^{(1)}$: transition density
f: external field

$$R^{\text{RPA}}(E) = \begin{pmatrix} E - A & -B \\ -B & -E - A \end{pmatrix}^{-1}$$

$$R^{\text{SRPA}}(E) = \left[\begin{pmatrix} E - A & -B \\ -B & -E - A \end{pmatrix} - \begin{pmatrix} \Sigma(E) & 0 \\ 0 & \Sigma(E) \end{pmatrix} \right]^{-1}$$

$\Sigma(E)$: energy-dependent
2nd-order self-energy

- ② Double-counting is canceled if one requires $R^{\text{SRPA}}(0) = R^{\text{RPA}}(0)$

This may be guaranteed by a subtraction method

→ It was demonstrated that the Thouless theorem is satisfied under this condition Tselyaev, *Phys. Rev. C* 88, 054301 (2013)

→ Cutoff dependence is also eliminated

Renormalized SRPA

The occupation numbers calculated iteratively in a renormalized RPA calculation are used in SRPA, to renormalize all the matrix elements

For example, the **1p1h-1p1h** part of A reads:

$$A_{mi,nj} = \delta_{ij}\delta_{mn}(t_m - t_i)(n_i - n_m) + \bar{v}_{mjni}(n_i - n_m)(n_j - n_n)$$

→ **renormalizing factors**

→ Do the same for all the other terms in the A , B and G matrices

⇒ Include correlations in the ground state