

# Remarks on Schrödinger-invariance

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## Outline

- Physical background: dynamical scaling & ageing
- Schrödinger algebra
- Two- and three-point functions and tests
- Free fields, the energy-momentum tensor and the current
- applicability to stochastic non-equilibrium field theory
- Why response functions ?

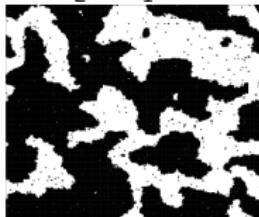
Examples are meant as illustrations, focus on dynamical symmetry concepts

## Dynamical scaling out of equilibrium, after quench to $T < T_c$

$t = t_1$



$t = t_2 > t_1$



Ising magnet  $T < T_c$

→ ordered cluster

growth of ordered domains, of typical linear size

$$L(t) \sim t^{1/z}$$

dynamical exponent  $z$ : determined by equilibrium state

☞ for quenches to  $T < T_c$  and without conservation laws: have  $z = 2$

BRAY, RUTENBERG 1996

have dynamical scaling, although stationary states are *not* scale-invariant

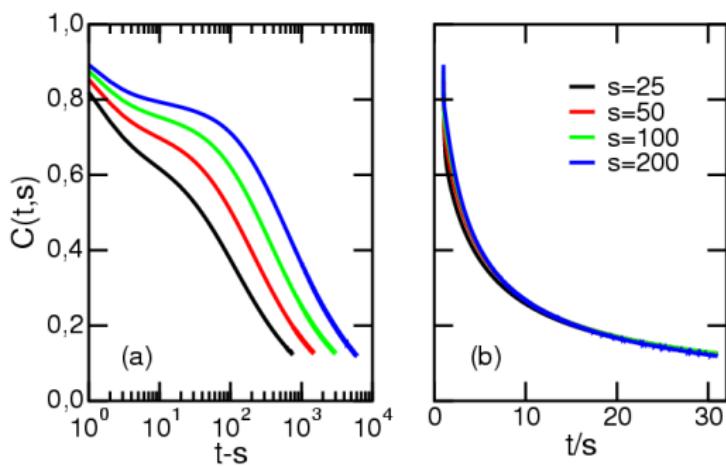
Two-time observables from time-dependent order-parameter  $\phi(t, \mathbf{r})$  show **data collapse**, with  $t$ : observation time,  $s$ : waiting time

two-time auto-**correlator**

$$C(t, s) := \langle \phi(t, \mathbf{r}) \phi(s, \mathbf{r}) \rangle - \langle \phi(t, \mathbf{r}) \rangle \langle \phi(s, \mathbf{r}) \rangle = s^{-b} f_C \left( \frac{t}{s} \right)$$

two-time auto-**response**

$$R(t, s) := \left. \frac{\delta \langle \phi(t, \mathbf{r}) \rangle}{\delta h(s, \mathbf{r})} \right|_{h=0} = \langle \phi(t, \mathbf{r}) \tilde{\phi}(s, \mathbf{r}) \rangle = s^{-1-a} f_R \left( \frac{t}{s} \right)$$



autocorrelator 3D Glauber-Ising,  
 $T < T_c$

data collapse in scaling regime

for  $t, s \gg \tau_{\text{micro}}$  and  $t - s \gg \tau_{\text{micro}}$

- (1) no time-translation invariance (2) dynamical scaling (3) slow dynamics  $\Rightarrow$  **ageing**

**Question:** derive scaling function in a model-independent way ?

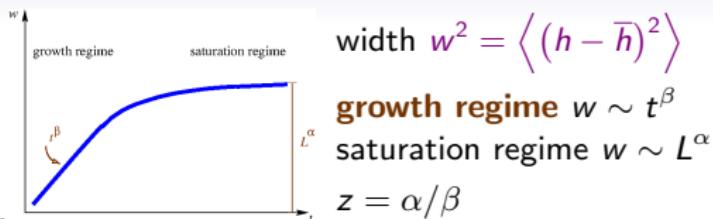
## Another simple example: interface growth in EW universality class

$$\partial_t h(t, \mathbf{r}) = \nu \Delta_r h(t, \mathbf{r}) + \eta(t, \mathbf{r})$$

with  $\eta$  white noise, temperature  $T$

☞ noisy diffusion ('Schrödinger') equation

linear  $\Rightarrow$  exactly solvable, gives height response & correlator      long-time limit



$$R(t, s; \mathbf{r}) = r_0(t-s)^{-d/2} \exp\left[-\frac{\mathcal{M}}{2} \frac{\mathbf{r}^2}{t-s}\right]$$

$$C(t, s; \mathbf{r}) = \frac{c_0 T}{|\mathbf{r}|^{d-2}} \left[ \Gamma\left(\frac{d}{2} - 1, \frac{\mathcal{M}}{2} \frac{\mathbf{r}^2}{t+s}\right) - \Gamma\left(\frac{d}{2} - 1, \frac{\mathcal{M}}{2} \frac{\mathbf{r}^2}{t-s}\right) \right]$$

$$C(t; \mathbf{r}) = \frac{\bar{c}_0 T}{|\mathbf{r}|^d} \Gamma\left(\frac{d}{2} - 1, \frac{\mathcal{M}}{4} \frac{\mathbf{r}^2}{t}\right)$$

where  $\Gamma(a, x) = \int_x^\infty du u^{a-1} e^{-u}$     incomplete Gamma function

RÖTHLEIN, BAUMANN, PLEIMLING 06; BUSTINGORRY, CUGLIANDOLO, IGUAIN 07

☞ again data collapse, i.e.  $C(t, s; \mathbf{r}) = s^{-b} F_C\left(\frac{t}{s}, \frac{\mathbf{r}^z}{(t-s)}\right)$  etc.

☞ recover the three defining properties of ageing

**Question:** ? can one reproduce these results from a dynamical symmetry ?

- ⇒ interface coupled to heat bath with temperature  $T$
- ⇒ difficulties with Galilei-invariance, when  $T \neq 0$

Proceed in two steps:

- ① study **symmetries** of the **deterministic part**, with  $T = 0$
- ② use deterministic symmetries to analyse **full noisy equation**

In practice:

1. find dynamical symmetries of free diffusion equation
  - ⇒ analogies with conformal invariance
2. derive **Bargman superselection** rules
  - ⇒ reduction of '*noisy*' to '*deterministic*' averages

LIE 1881  
(JACOBI 1842/43)

NIEDERER 72

BARGMAN 54

## Examples of infinite-dimensional time-space transformations

group	coordinate changes	co-variance
(ortho-) conformal $(1+1)D$	$z' = f(z)$ $\bar{z}' = \bar{z}$ $z' = z$ $\bar{z}' = \bar{f}(\bar{z})$	correlator
<b>Schrödinger-Virasoro</b>	$t' = b(t)$ $\mathbf{r}' = \sqrt{db(t)/dt} \mathbf{r}$ $t' = t$ $\mathbf{r}' = \mathbf{r} + \mathbf{a}(t)$ $t' = t$ $\mathbf{r}' = \mathcal{R}(t)\mathbf{r}$	response

- \* Schrödinger group  $Sch(d)$  is maximal finite-dimensional sub-group
- \* dynamical symmetry of free diffusion equation or free Schrödinger equation under  $Sch(d)$ 
  - JACOBI 1842/43, LIE 1881
  - rediscovered in physics since 1970s
- \* not the '*non-relativistic limit*' of conformal group
- \* time-space anisotropic dilatations  $t \mapsto b^z t$ ,  $\mathbf{r} \mapsto b\mathbf{r}$ , with **dynamical exponent**  $z = 2$
- \* Schrödinger-invariance predicts form of **response functions** (not correlators)
- \* applications to **phase-ordering kinetics**, after quench to  $T < T_c$ 
  - SINCE 1990s

**(A) Standard (projective) conformal invariance at equilibrium**label coordinates as '*time*'  $t$  and '*space*'  $r$ in  $(1+1)D$  use complex variables  $w = t + ir$  and  $\bar{w} = t - ir$ 

Extend global dynamical scaling to local, projective transformations

$$w \mapsto \frac{\alpha w + \beta}{\gamma w + \delta}, \quad \bar{w} \mapsto \frac{\bar{\alpha} \bar{w} + \bar{\beta}}{\bar{\gamma} \bar{w} + \bar{\delta}}, \quad \alpha\delta - \beta\gamma = 1, \quad \bar{\alpha}\bar{\delta} - \bar{\beta}\bar{\gamma} = 1$$

**note:** (i) translation-invariance in  $t, r$  & (ii) time-space rotation-invariance

Transformation of scaling operators  $w \mapsto w'$  with  $\dot{\beta}(w') \geq 0$  and

$$w = \beta(w'), \quad \phi(w, \bar{w}) = \left( \frac{d\beta(w')}{dw'} \right)^{-\Delta} \left( \frac{d\bar{\beta}(\bar{w}')}{d\bar{w}'} \right)^{-\bar{\Delta}} \phi'(w', \bar{w}')$$

with  $x = \Delta + \bar{\Delta}$  scaling dimension,  $s = \Delta - \bar{\Delta}$  spin ('usually'  $s = 0$ )**at equilibrium**, scalar  $\phi$  has a single scaling dimension  $x$ .

infinitesimal generators  $\ell_n = -w^{n+1}\partial_w - \Delta(n+1)w^n$

generators  $X_n = \ell_n + \bar{\ell}_n$  and  $Y_n = \ell_n - \bar{\ell}_n$  span conformal Lie algebra  $\text{conf}(2)$

$$[X_n, X_m] = (n-m)X_{n+m}, [X_n, Y_m] = (n-m)Y_{n+m}, [Y_n, Y_m] = (n-m)X_{n+m} \quad (\text{C})$$

Invariant Schrödinger operator (Laplacian)  $\mathcal{S} = 4\partial_w\partial_{\bar{w}}$

$$\begin{aligned} [\mathcal{S}, X_{-1}] &= [\mathcal{S}, Y_{-1}] = [\mathcal{S}, Y_0] = 0 \\ [\mathcal{S}, X_0] &= -\mathcal{S}, [\mathcal{S}, X_1] = -2(w + \bar{w})\mathcal{S} - 8(\Delta\partial_{\bar{w}} + \bar{\Delta}\partial_w) \\ [\mathcal{S}, Y_1] &= -2(w - \bar{w})\mathcal{S} - 8(\Delta\partial_{\bar{w}} - \bar{\Delta}\partial_w) \end{aligned}$$

**Lemma:** If  $\mathcal{S}\phi = 0$  and  $\Delta = \bar{\Delta} = 0$ , then  $\mathcal{S}(\mathcal{X}\phi) = 0$ .

CARTAN 1909

$\text{conf}(d)$  maps solutions of  $\mathcal{S}\phi = 0$  onto solutions.

Co-variant two-point function (correlator)

POLYAKOV 70

$$\langle \phi_1(t, r)\phi_2(0, 0) \rangle = \delta_{x_1, x_2} (t^2 + r^2)^{-x_1} = t^{-2x_1} f(r/t), f(u) \sim (1 + u^2)^{-x_1} \quad (\text{P})$$

## (B) Dynamical scaling: Schrödinger-invariance

Time-dependent behaviour characterised by **dynamical exponent  $z$** :

$$t \mapsto tb^{-z}, \mathbf{r} \mapsto \mathbf{r}b^{-1}$$

If  $z = 2$ : local scaling given by **Schrödinger group**:

JACOBI 1842/43, LIE 1881

APPEL 1892, GOFF 27, KASTRUP 68, HAGEN 71, NIEDERER 72, JACKIW 72

$$t \mapsto \frac{\alpha t + \beta}{\gamma t + \delta}, \quad \mathbf{r} \mapsto \frac{\mathcal{D}\mathbf{r} + \mathbf{v}t + \mathbf{a}}{\gamma t + \delta}; \quad \alpha\delta - \beta\gamma = 1$$

**note:** (i) translation-invariance in  $t, r$  & (ii) time-space Galilei-invariance

Transformation of scaling operators  $t = \beta(t')$ ,  $\mathbf{r} = \mathbf{r}' \sqrt{\frac{d\beta(t')}{dt'}}$  with  $\dot{\beta}(t') \geq 0$

$$\phi(t, \mathbf{r}) = \dot{\beta}(t')^{-x/2} \exp \underbrace{\left[ -\frac{\mathcal{M}r'^2}{4} \frac{d \ln \dot{\beta}(t')}{dt'} \right]}_{\text{mass term}} \phi'(t', \mathbf{r}')$$

Schrödinger-covariant scalar  $\phi$  has scaling dimension  $x$ , and mass  $\mathcal{M}$ .

## infinitesimal generators

MH 94

$$\begin{aligned} X_n &= -t^{n+1}\partial_t - \frac{n+1}{2}t^n r\partial_r - \frac{n(n+1)}{4}\mathcal{M}t^{n-1}r^2 - \frac{1}{2}(n+1)\textcolor{blue}{x}t^n \\ Y_m &= -t^{m+1/2}\partial_r - \left(m + \frac{1}{2}\right)\mathcal{M}t^{m-1/2}r \\ M_n &= -t^n\mathcal{M} \end{aligned}$$

also contains 'phase changes' in the wave function ! (projective)  
 non-vanishing commutators (including central extensions)

$$\begin{aligned} [X_n, X_{n'}] &= (n - n')X_{n+n'} + \frac{c}{12}(n^3 - n)\delta_{n+n', 0} \\ [X_n, Y_m] &= \left(\frac{n}{2} - m\right)Y_{n+m} \\ [X_n, M_{n'}] &= -n'M_{n+n'} \\ [Y_m, Y_{m'}] &= (m - m')M_{m+m'} \end{aligned}$$

with  $n, n' \in \mathbb{Z}$ ,  $m, m' \in \mathbb{Z} + \frac{1}{2} \Rightarrow$  Schrödinger-Virasoro algebra  $\mathfrak{sv}$

$\mathfrak{sv}$  contains 3 chiral fields, with  $\dim X = 2$ ,  $\dim Y = \frac{3}{2}$ ,  $\dim M = 1$

$\Rightarrow$  Schrödinger algebra  $\mathfrak{sch}(1) = \langle X_{\pm 1, 0}, Y_{\pm 1/2}, M_0 \rangle \subset \mathfrak{sv}(1)$

## Explanation of these generators:

here  $d = 1$

$X_{-1}$	$= -\partial_t$	time translation
$X_0$	$= -t\partial_t - \frac{1}{2}r\partial_r$	dilatation
$X_1$	$= -t^2\partial_t - tr\partial_r$	'special Schrödinger'
$Y_{-1/2}$	$= -\partial_r$	space translation
$Y_{1/2}$	$= -t\partial_r$	Galilei transformation

$\mathfrak{sch}(d)$  **not semi-simple**: can have **projective** representations  
**extra phase factors**, give additional terms in the generators

$$\begin{aligned} Y_{1/2} &= -t\partial_r - \mathcal{M}r \\ X_1 &= -t^2\partial_t - tr\partial_r - \frac{1}{2}\mathcal{M}r^2 \\ M_0 &= -\mathcal{M} \end{aligned}$$

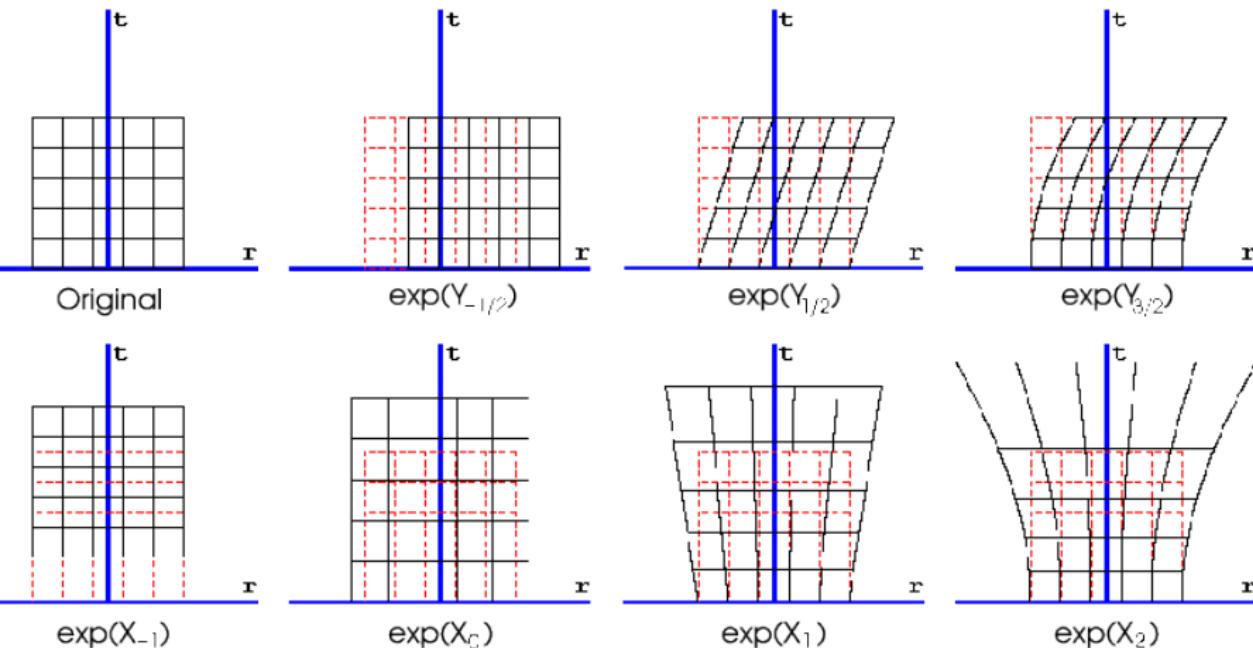
phase shift

and also a **further generator**  $M_0$  (**central extension**):

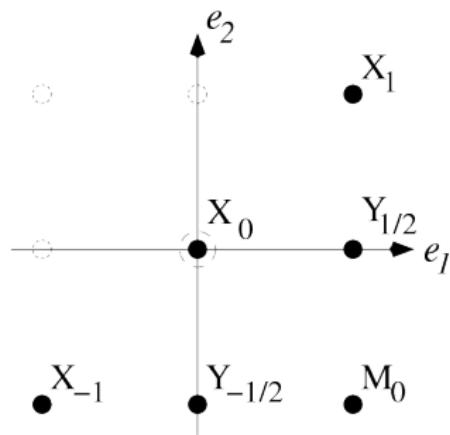
$$[Y_{1/2}, Y_{-1/2}] = M_0$$

Finally, can have a scaling dimension  $x$ : extra terms in  $X_{0,1}$ .

## Geometric illustration of a few Schrödinger transformations:



## visualisation of commutators in a root diagramme (complexified)



$$\mathfrak{sch}(1) = \langle X_{\pm 1,0}, Y_{\pm 1/2}, M_0 \rangle \subset B_2$$

associate root vector  $x \longleftrightarrow X$  generator

vector addition  $x + x' \longleftrightarrow [X, X']$  commutator

if  $x + x' \notin$  diagramme, then  $[X, X'] = 0$   
if  $x + x' = x'' \in$  diagramme, then  $[X, X'] \sim X''$   
(modulo generators from Cartan subalgebra  $\mathfrak{h}$ )

**subalgebras**  $\longleftrightarrow$  convex set under vector addition

subalgebra **isomorphisms**  $\longleftrightarrow$  discrete (Weyl) symmetries of diagramme

# Dynamical symmetry I: Schrödinger algebra $\mathfrak{sch}(d)$

dynamical symmetries of Langevin equation (deterministic part !)

Schrödinger operator in  $d$  space dimensions:  $\mathcal{S} = 2\mathcal{M}\partial_t - \partial_{\mathbf{r}} \cdot \partial_{\mathbf{r}}$

(free) Schrödinger/heat equation  
(noiseless) Edwards-Wilkinson equation }:

$$\boxed{\mathcal{S}\phi = 0}$$

$$[\mathcal{S}, \mathbf{Y}_{\pm 1/2}] = [\mathcal{S}, M_0] = [\mathcal{S}, X_{-1}] = [\mathcal{S}, \mathcal{R}] = 0$$

$$[\mathcal{S}, X_0] = -\mathcal{S}$$

$$[\mathcal{S}, X_1] = -2t\mathcal{S} + 2\mathcal{M} \left( x - \frac{d}{2} \right)$$

infinitesimal change:  $\delta\phi = \varepsilon \mathcal{X}\phi$ ,  $\mathcal{X} \in \mathfrak{sch}(d)$ ,  $|\varepsilon| \ll 1$

**Lemma:** If  $\mathcal{S}\phi = 0$  and  $x = x_\phi = \frac{d}{2}$ , then  $\mathcal{S}(\mathcal{X}\phi) = 0$ . LIE 1881, NIEDERER '72

$\mathfrak{sch}(d)$  maps solutions of  $\mathcal{S}\phi = 0$  onto solutions.

## Schrödinger-covariant two-point function: derivation

two-point function  $R = R(t, s; \mathbf{r}_1, \mathbf{r}_2) := \langle \phi_1(t, \mathbf{r}_1) \tilde{\phi}_2(s, \mathbf{r}_2) \rangle$

**physical assumption:** co-variance under Schrödinger transformations (quasi-primary)

$\Rightarrow$  set of **linear** 1<sup>st</sup>-order differential eqs.:  $\mathcal{X}R = 0$ ;  $\mathcal{X} \in \mathfrak{sch}(d)$

Each  $\phi_i$  characterized by (i) scaling dimension  $x_i$ , (ii) mass  $\mathcal{M}_i$ :

- a) time & space translations:  $R = R(\tau; \mathbf{r})$ ,  $\tau = t - s$ ,  $\mathbf{r} = \mathbf{r}_1 - \mathbf{r}_2$
- b) Galilei (1D):

$$\begin{aligned} Y_{1/2}R &= \left[ -t_1 \frac{\partial}{\partial r_1} - \mathcal{M}_1 r_1 - t_2 \frac{\partial}{\partial r_2} - \tilde{\mathcal{M}}_2 r_2 \right] R \\ &= \left[ (-\tau \partial_r - \mathcal{M}_1 r) - \mathbf{r}_2 (\mathcal{M}_1 + \tilde{\mathcal{M}}_2) \right] R \stackrel{!}{=} 0 \end{aligned}$$

spatial translation-invariance  $\Rightarrow$  any explicit reference to  $\mathbf{r}_2$  must disappear !

$$(-\tau \partial_r - \mathcal{M}_1 r) R(t, \mathbf{r}) = 0 \quad (1)$$

$$(\mathcal{M}_1 + \tilde{\mathcal{M}}_2) R(t, \mathbf{r}) = 0 \quad (2)$$

$$R(\tau, \mathbf{r}) = f(\tau) \underbrace{\exp \left[ -\frac{\mathcal{M}_1}{2} \frac{\mathbf{r}^2}{\tau} \right]}_{\text{heat kernel}} \cdot \underbrace{\delta(\mathcal{M}_1 + \widetilde{\mathcal{M}}_2)}_{\text{Bargman rule}}$$

BARGMAN 54

**N.B.:** Galilei-invariance requires 'complex' fields, here the 'response field'  $\tilde{\phi}$  with  $\mathcal{M}_{\tilde{\phi}} < 0$  plays the rôle of the 'complex conjugate' of the order parameter  $\phi$  with  $\mathcal{M}_{\phi} > 0$

c) scaling: (use  $\partial_i := \partial/\partial t_i$  and  $D_i := \partial/\partial r_i$ )

$$\begin{aligned} X_0 R &= \left[ -t_1 \partial_1 - \frac{1}{2} r_1 D_1 - t_2 \partial_2 - \frac{1}{2} r_2 D_2 - \frac{1}{2} (x_1 + x_2) \right] R \\ &= \left[ -\tau \partial_\tau - \frac{1}{2} r \partial_r - \frac{1}{2} (x_1 + x_2) \right] R \stackrel{!}{=} 0 \end{aligned}$$

hence  $f(\tau) = f_0 \tau^{-(x_1+x_2)/2}$ ,  $f_0 = \text{cste.}$

d) 'special':

$$\begin{aligned}
 X_1 R &= \left[ -t_1^2 \partial_1 - t_2^2 \partial_2 - t_1 r_1 D_1 - t_2 r_2 D_2 - \frac{\mathcal{M}_1}{2} r_1^2 - \frac{\widetilde{\mathcal{M}}_2}{2} r_2^2 - x_1 t_1 - x_2 t_2 \right] R \\
 &= \left[ \left( -\tau^2 \partial_\tau - \tau r \partial_r - \frac{\mathcal{M}_1}{2} r^2 - x_1 \tau \right) - \frac{1}{2} \cancel{r_2^2} \underbrace{\left( \mathcal{M}_1 + \widetilde{\mathcal{M}}_2 \right)}_{=0} \right. \\
 &\quad \left. + 2 \cancel{r_2} \underbrace{\left( -\tau \partial_\tau - \frac{1}{2} r \partial_r - \frac{1}{2} (x_1 + x_2) \right)}_{=0} + \cancel{r_2} \underbrace{\left( -\tau \partial_r - \mathcal{M}_1 r \right)}_{=0} \right] R \\
 &= \left[ -\tau^2 \partial_\tau - \tau r \partial_r - \frac{\mathcal{M}_1}{2} r^2 - x_1 \tau \right] R(\tau, r) \stackrel{!}{=} 0
 \end{aligned}$$

use the decompositions  $t_1^2 - t_2^2 = (t_1 - t_2)^2 + 2t_2(t_1 - t_2)$   
 $t_1 r_1 - t_2 r_2 = (t_1 - t_2)(r_1 - r_2) + t_2(r_1 - r_2) + r_2(t_1 - t_2)$

combine with previous conditions:  $\boxed{\tau r(x_1 - x_2)R(\tau, r) = 0}$

$f_0 = \delta_{x_1, x_2} r_0$ , with  $r_0 = \text{cste.}$

## Schrödinger-covariant three-point functions

two possible forms:

MH 94

$$\begin{aligned} \langle \phi_1(t_1, \mathbf{r}_1) \phi_2(t_2, \mathbf{r}_2) \tilde{\phi}_3(t_3, \mathbf{r}_3) \rangle &= \delta_{\mathcal{M}_1 + \mathcal{M}_2 + \widetilde{\mathcal{M}}_3, 0} \exp \left[ -\frac{\mathcal{M}_1}{2} \frac{\mathbf{r}_{13}^2}{t_{13}} - \frac{\mathcal{M}_2}{2} \frac{\mathbf{r}_{23}^2}{t_{23}} \right] \\ &\times t_{13}^{-x_{13,2}/2} t_{23}^{-x_{23,1}/2} t_{12}^{-x_{12,3}/2} \Psi_{12,3} \left( \frac{(\mathbf{r}_{13} t_{23} - \mathbf{r}_{23} t_{13})^2}{t_{12} t_{13} t_{23}} \right) \end{aligned}$$

$$\begin{aligned} \langle \phi_1(t_1, \mathbf{r}_1) \tilde{\phi}_2(t_2, \mathbf{r}_2) \phi_3(t_3, \mathbf{r}_3) \rangle &= \delta_{\mathcal{M}_1 + \widetilde{\mathcal{M}}_2 + \widetilde{\mathcal{M}}_3, 0} \exp \left[ -\frac{\mathcal{M}_1}{2} \frac{\mathbf{r}_{12}^2}{t_{12}} - \frac{\mathcal{M}_1}{2} \frac{\mathbf{r}_{13}^2}{t_{13}} \right] \\ &\times t_{13}^{-x_{13,2}/2} t_{23}^{-x_{23,1}/2} t_{12}^{-x_{12,3}/2} \Psi_{1,23} \left( \frac{(\mathbf{r}_{13} t_{12} - \mathbf{r}_{12} t_{13})^2}{t_{12} t_{13} t_{23}} \right) \end{aligned}$$

with  $t_{ab} := t_a - t_b$ ,  $\mathbf{r}_{ab} := \mathbf{r}_a - \mathbf{r}_b$  and  $x_{ab,c} := x_a + x_b - x_c$ ,  $x_a = \tilde{x}_a$   
 $\Psi_{12,3}$  and  $\Psi_{1,23}$  are arbitrary differentiable functions

## Tests of Schrödinger-covariant response

response is independent of gaussian noise

⇒ can use Schrödinger co-variance (deterministic !)

$$R(t, s; \mathbf{r}) = r_0 \delta_{x, \tilde{x}} \delta(\mathcal{M} + \tilde{\mathcal{M}}) (t - s)^{-x} \exp \left[ -\frac{\mathcal{M}}{2} \frac{\mathbf{r}^2}{t - s} \right]$$

1. Edwards-Wilkinson model: has  $z = 2$ . Exact solution has given:

$$R(t, s; \mathbf{r}) = r_0 (t - s)^{-d/2} \exp \left[ -\frac{\mathcal{M}}{2} \frac{\mathbf{r}^2}{t - s} \right]$$

⇒ perfect agreement, if one identifies  $x = \tilde{x} = d/2$ .

2. phase-ordering kinetics, in simple magnets

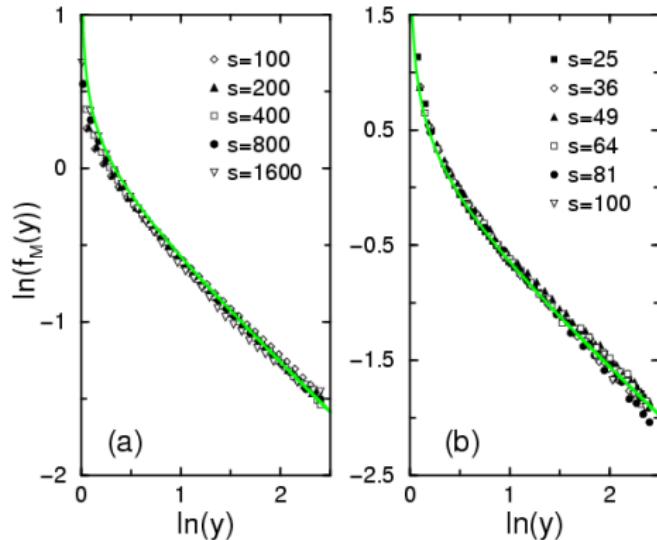
after quench to  $T < T_c$  from disordered initial state

⇒ analysis of energy dissipation implies  $z = 2$  (model A) BRAY, RUTENBERG 94

⇒ can test Schrödinger-invariance in Glauber-Ising simulations, with  $T < T_c$

! representations of Schrödinger algebra can also be used for **non-free fields** !

# Tests of $R$ in 2D/3D Glauber-Ising models



$$\begin{aligned}\chi_{\text{TRM}}(t, s) &= \int_0^s du R(t, u) \\ &= s^{-a} f_M(t/s)\end{aligned}$$

**integrated response**  
(thermoremanent susceptibility)

MH & PLEIMLING 03

$\chi_{\text{TRM}}(t, s)$  for the Glauber-Ising model compared to LSI

(a) 2D,  $T = 1.5$ , (b) 3D,  $T = 3$

$T < T_c$ , hence  $z = 2$

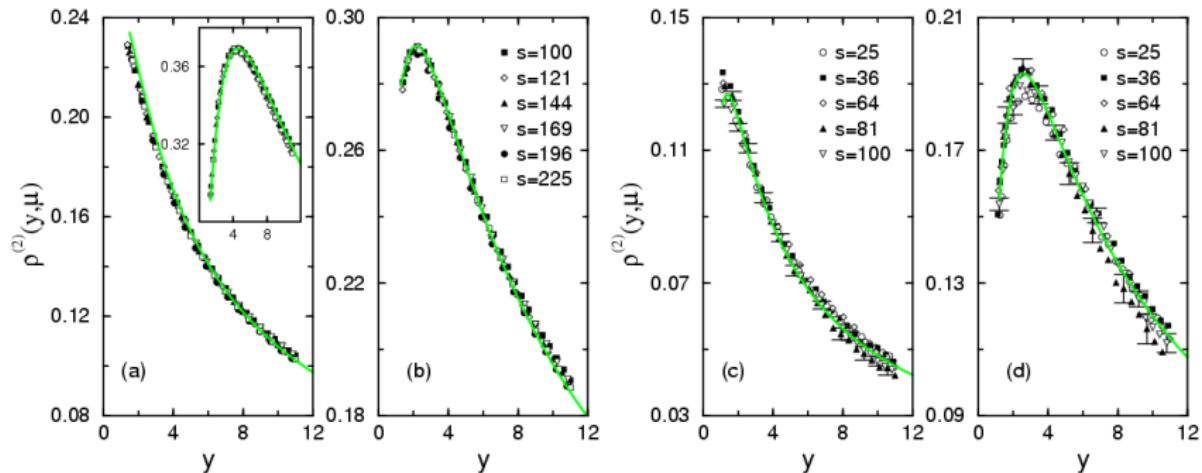
compare data from **master equation** with local scale-symmetry

also **works** for (i)  $q$ -states 2D Potts model  
(ii) 2D/3D XY model

LORENZ & JANKE 07

ABRIET & KAREVSKI 04

## Test time-space behaviour (parameter-free !):



spatio-temporally integrated response Ising model  $T < T_c$

(a,b)  $2D$ ;  $\mu = 1, 2, 4$

(c,d)  $3D$ ;  $\mu = 1, 2$ ,

$$\int_0^s du \int_0^{\sqrt{\mu s}} dr r^{d-1} R(t, u; \mathbf{r}) = s^{d/2-a} \rho^{(2)}(t/s, \mu)$$

MH & PLEIMLING, PHYS. REV. E68, 065101(R) (2003)  
analogous results in the  $q$ -states  $2D$  Potts model

### (C) Schrödinger-invariant free fields

with a **complex** field  $\phi(t, \mathbf{r}) \in \mathbb{C}$ , have action      e.g. Janssen-de Dominicis type

$$S = \int dt d\mathbf{r} \mathcal{L} = \int dt d\mathbf{r} \left[ \mathcal{M} \left( \phi^\dagger \frac{\partial \phi}{\partial t} - \phi \frac{\partial \phi^\dagger}{\partial t} \right) + \frac{\partial \phi^\dagger}{\partial \mathbf{r}} \cdot \frac{\partial \phi}{\partial \mathbf{r}} \right]$$

under transformations generated by the generators  $X_n, Y_m$  have changes

$$\delta_X S = \int dt' d\mathbf{r}' \frac{\mathcal{M}}{2} r'^2 \{ \beta(t'), t' \} \phi'^\dagger \phi' , \quad \delta_Y S = \int dt' d\mathbf{r}' \mathcal{M}^2 (\alpha(t') - 2r') \ddot{\alpha}(t') \phi'^\dagger \phi'$$

with the Schwarzian derivative  $\{ \beta(t), t \} = \frac{\dot{\beta}(t)}{\beta(t)} - \frac{3}{2} \left( \frac{\ddot{\beta}(t)}{\dot{\beta}(t)} \right)^2$ .

☞ action invariant under finite-dimensional sub-group only

**Energy-momentum tensor:** notation  $\rho = (t, \mathbf{r})$ , coordinate change  $\delta\rho = \varepsilon(\rho)$

Action transforms as

$$\delta S = \iint dt d\mathbf{r} (T_{\mu\nu} \partial_\mu \varepsilon_\nu + J_\mu \partial_\mu \eta)$$

where  $\eta$  is the change in the 'phase' of  $\phi$       (to be read from the  $X_n, Y_m$ )

Implies the following consequences

 schematically !

$$\text{dilatation-invariance } (X_0): \quad 2T_{00} + T_{11} + \dots + T_{dd} = 0$$

$$\text{Galilei-invariance } (Y_{1/2}): \quad T_{0a} + \mathcal{M}J_a = 0 \quad ; \quad a = 1, \dots, d$$

$$\text{spatial rotation-invariance:} \quad T_{ab} = T_{ba} \quad ; \quad a, b = 1, \dots, d$$

then, under a special Schrödinger-transformation,  $(1+1)D$

$$\delta_{X_1} S = \int dt dr \left[ \underbrace{\left( 2T_{00} + T_{11} + \dots + T_{dd} \right)}_{=0} t + \underbrace{\left( T_{0a} + \mathcal{M}J_a \right)}_{=0} r_a \right] = 0$$

invariance under  $\left\{ \begin{array}{l} \text{temporal \& spatial translations} \\ \text{Galilei transformations} \\ \text{dilatations with } z = 2 \\ \text{spatial rotations} \end{array} \right\} \Rightarrow$  special Schrödinger-invariance

N.B.: can be extended to sub-algebras such as  $\mathfrak{age}(d)$

The 'canonical recipe' gives the energy-momentum tensor and the current

$$T_{\mu\nu} = -\delta_{\mu\nu}\mathcal{L} + \frac{\partial\mathcal{L}}{\partial(\partial^\mu\phi)}\partial_\nu\phi + \frac{\partial\mathcal{L}}{\partial(\partial^\mu\phi^\dagger)}\partial_\nu\phi^\dagger, \quad J_\mu = \frac{\partial\mathcal{L}}{\partial(\partial^\mu\phi)}\phi - \frac{\partial\mathcal{L}}{\partial(\partial^\mu\phi^\dagger)}\phi^\dagger$$

are conserved and obey all Ward identities with exception of trace condition.  
Construct improved tensor

$$\Theta_{\mu\nu} = T_{\mu\nu} + \partial^\lambda B_{\lambda\mu\nu}$$

which satisfies all Ward identities and is classically conserved. The current need not be improved.

**N.B.:** for  $d = 2$  and  $t \mapsto z$ ,  $-\frac{1}{2M}\Theta_{00}$  is identical to the tensor  $T(z)$  of a complex fermionic free field.

## (D) Stochastic field-theory out of equilibrium

theoretical approach: **Langevin equation** (model A of HOHENBERG & HALPERIN 77)

$$2\mathcal{M} \frac{\partial \phi}{\partial t} = \Delta_{\mathbf{r}} \phi - \frac{\delta \mathcal{V}[\phi]}{\delta \phi} + \eta$$

order-parameter  $\phi(t, \mathbf{r})$  **non**-conserved

$\mathcal{M}$ : kinetic coefficient

$\mathcal{V}$ : Landau-Ginsbourg potential

$\eta$ : gaussian noise, centred and with variance

$$\langle \eta(t, \mathbf{r}) \eta(t', \mathbf{r}') \rangle = 2T \delta(t - t') \delta(\mathbf{r} - \mathbf{r}')$$

**fully disordered** initial conditions (centred gaussian noise)

Langevin equations do **not** have non-trivial dynamical symmetries !

Galilei-invariance is broken by interactions with the thermal bath

cf. dipole anisotropy of cosmic microwave background

? compare results of **deterministic** symmetries to **stochastic** models ?

take Langevin equation as classical equation of motion

JANSSEN 92, DE DOMINICIS,...

$$\langle A \rangle = \int \mathcal{D}\phi \mathcal{D}\eta P[\eta] \delta((2\mathcal{M}\partial_t - \Delta)\phi + \mathcal{V}'[\phi] - \eta) A[\phi]$$

introduce auxiliary field  $\tilde{\phi}$ , integrate out **gaussian** noise  $\eta$

⇒ arrive at **effective field-theory**, with **action**  $\mathcal{J}$  and averages

$$\langle A \rangle := \int \mathcal{D}\phi \mathcal{D}\tilde{\phi} A[\phi, \tilde{\phi}] \exp(-\mathcal{J}[\phi, \tilde{\phi}])$$

$$\mathcal{J}[\phi, \tilde{\phi}] = \underbrace{\int \tilde{\phi}(2\mathcal{M}\partial_t - \Delta)\phi + \tilde{\phi}\mathcal{V}'[\phi]}_{\mathcal{J}_0[\phi, \tilde{\phi}] : \text{deterministic}} - T \underbrace{\int \tilde{\phi}^2}_{+ \mathcal{J}_b[\tilde{\phi}] : \text{noise (bruit)}} - \int \tilde{\phi}_{t=0} C_{init} \tilde{\phi}_{t=0}$$

$\tilde{\phi}$ : response field;

$$C(t, s) = \langle \phi(t)\phi(s) \rangle, R(t, s) = \langle \phi(t)\tilde{\phi}(s) \rangle$$

**deterministic averages**:  $\langle A \rangle_0 := \int \mathcal{D}\phi \mathcal{D}\tilde{\phi} A[\phi, \tilde{\phi}] \exp(-\mathcal{J}_0[\phi, \tilde{\phi}])$

**masses**:

$$\mathcal{M}_\phi = -\mathcal{M}_{\tilde{\phi}}$$

**Theorem:** IF  $\mathcal{J}_0$  is Galilei- and spatially translation-invariant, then  
Bargman superselection rules hold

BARGMAN 54

$$\left\langle \phi_1 \cdots \phi_n \tilde{\phi}_1 \cdots \tilde{\phi}_m \right\rangle_0 \sim \delta_{n,m}$$

**Illustration 1:** computation of a response

$$\begin{aligned} R(t,s) &= \left\langle \phi(t) \tilde{\phi}(s) \right\rangle = \left\langle \phi(t) \tilde{\phi}(s) e^{-\mathcal{J}_b[\tilde{\phi}]} \right\rangle_0 \\ &= \left\langle \phi(t) \tilde{\phi}(s) \right\rangle_0 = R_0(t,s) \end{aligned}$$

Bargman rule  $\implies$  response function does **not** depend on noise !

**left side:** computed in **stochastic** models

**right side:** local scale-symmetry of **deterministic** equation

☞ **Comparison** of results of assumed deterministic  $age(d)$ -symmetry with explicit **stochastic** models/experiments **justified**.

## Illustration 2:

computation of a **correlator**, from Bargman rule

$$\begin{aligned}
 C(t, s; \mathbf{r}) &= \langle \phi(t, \mathbf{r}) \phi(s, 0) \rangle = \left\langle \phi(t, \mathbf{r}) \phi(s, 0) e^{-\mathcal{J}_b[\tilde{\phi}]} \right\rangle_0 \\
 &= \frac{\Delta_0}{2} \int_{\mathbb{R}^d} d\mathbf{R} \left\langle \phi(t, \mathbf{r} + \mathbf{r}_0) \phi(s, \mathbf{r}_0) \tilde{\phi}^2(0, \mathbf{R}) \right\rangle_0 \quad \text{initial} \Rightarrow \text{phase-ordering} \\
 &\quad + T \int_0^\infty du \int_{\mathbb{R}^d} d\mathbf{R} \left\langle \phi(t, \mathbf{r} + \mathbf{r}_0) \phi(s, \mathbf{r}_0) \tilde{\phi}^2(u, \mathbf{R}) \right\rangle_0 \quad \text{thermal} \Rightarrow \text{interfaces}
 \end{aligned}$$

$\mathfrak{sch}(d)$ -invariance only fixes three-point function  $\langle \phi \phi \tilde{\phi}^2 \rangle_0$

**up to an unknown scaling function  $\Psi$**

$\implies$  how to obtain a prediction for  $f_C(y)$  ?

**Theorem:** Schrödinger-invariance  $z = 2 \implies \boxed{\lambda_C = \lambda_R}$

agrees with a different argument of BRAY 94 in phase-ordering and with all models

consider two typical cases:

1. autocorrelator  $C(t, s) = C(t, s; 0)$

\* for **phase-ordering**, have  $T = 0$ :

$$t = ys$$

$$C_{\text{po}}(ys, s) = \frac{\Delta_0}{2} s^{d/2 - \tilde{x}_2 - x} y^{d/2 - \tilde{x}} (y - 1)^{\tilde{x}_2 - x - d/2} \Psi\left(\frac{y + 1}{y - 1}\right)$$

\* for **interfaces**, have  $\Delta_0 = 0$ :

$$C_{\text{int}}(ys, s) = Ts^{d/2 + 1 - x - \tilde{x}_2} y^{\tilde{x}_2 - x - d/2} \int_0^1 d\theta [(y - \theta)(1 - \theta)]^{d/2 - \tilde{x}_2} \Psi\left(\frac{y + 1 - 2\theta}{y - 1}\right)$$

$$\text{where } \Psi(w) = \int_{\mathbb{R}^d} d\mathbf{R} \exp\left[-\frac{M_w}{2} \mathbf{R}^2\right] \Psi(\mathbf{R}^2)$$

! treat  $\tilde{\phi}^2$  as **composite scaling operator**, with scaling dimension  $2\tilde{x}_2$  !

for free fields:  $\tilde{x}_2 = \tilde{x}$  and  $\Psi(w) = \Psi_0 w^\omega \Rightarrow$  scaling fixes  $\omega = d/2 - \lambda_C$

agrees with **EW model**, if  $x = \tilde{x} = d/2$

## 2. equal-time correlator $C(t, \mathbf{r}) = C(t, t; \mathbf{r})$

three-point function has **singularity** when  $t - s \rightarrow 0$

treat by ansatz  $\Psi_{12,3}(A) = \Psi_0 A^\omega$  and fix  $\omega$  to have regular limit  $t - s = \varepsilon \rightarrow 0$

rederive Ward identities for 3-point function  $\langle \phi(t, \mathbf{r}) \phi(t, 0) \tilde{\phi}^2(u, \mathbf{R}) \rangle_0 \Rightarrow$  same result

$$\begin{aligned}
C(t, \mathbf{r}) &= \frac{T\Psi_0}{(|\mathbf{r}|)^{x-\tilde{x}}} \int_0^t du u^{-2\tilde{x}} \int_{\mathbb{R}^d} d\mathbf{R} \exp\left(-\frac{\mathcal{M}}{2u} [(\mathbf{r} - \mathbf{R})^2 + \mathbf{R}^2]\right) \\
&= \frac{T\Psi_0}{(|\mathbf{r}|)^{2(x-\tilde{x})}} \int_0^t du u^{-2\tilde{x}} \int_{\mathbb{R}^d} d\mathbf{R} \exp\left[-\frac{\mathcal{M}}{2u} \left[\left(\frac{\mathbf{r}}{2} - \mathbf{R}\right)^2 + \left(\frac{\mathbf{r}}{2} + \mathbf{R}\right)^2\right]\right] \\
&= \frac{T\Psi_0}{(|\mathbf{r}|)^{2(x-\tilde{x})}} \int_0^t du u^{-2\tilde{x}} \int_{\mathbb{R}^d} d\mathbf{R} \exp\left[-\frac{\mathcal{M}}{4u} \mathbf{r}^2\right] \exp\left[-\frac{\mathcal{M}}{u} \mathbf{R}^2\right] \\
&= \frac{T\Psi_0}{(|\mathbf{r}|)^{2(x-\tilde{x})}} \left(\frac{\pi}{\mathcal{M}}\right)^{d/2} \int_0^t du u^{d/2-2\tilde{x}} \exp\left[-\frac{\mathcal{M}}{4} \frac{\mathbf{r}^2}{u}\right] \\
&= T\bar{c}_0 |\mathbf{r}|^{d-2x-2\tilde{x}} \Gamma\left(2\tilde{x} - \frac{d}{2} - 1, \frac{\mathcal{M}}{4} \frac{\mathbf{r}^2}{t}\right)
\end{aligned}$$

for simplicity, we used  $\tilde{x}_2 = \tilde{x}$

$\Gamma(a, x)$ : incomplete Gamma function

**agrees with EW model**, if one identifies  $x = \tilde{x} = d/2$ .

also agrees with numerical simulations in 'Family model' of interfaces

RÖTHLEIN *et al.* 06

## (E) Non-equilibrium dynamical scaling: Ageing-invariance

Time-dependent scaling with **dynamical exponent  $z$** :  $t \mapsto tb^{-z}$ ,  $\mathbf{r} \mapsto \mathbf{r}b^{-1}$

! No time-translation-invariance **out of equilibrium !**

For  $z = 2$ : local scaling given by **Ageing group**:

$$t \mapsto \frac{\alpha t}{\gamma t + \delta}, \quad \mathbf{r} \mapsto \frac{\mathcal{D}\mathbf{r} + \mathbf{v}t + \mathbf{a}}{\gamma t + \delta}; \quad \alpha\delta = 1$$

Transformation of scaling operators  $t = \beta(t')$ ,  $\mathbf{r} = \mathbf{r}' \sqrt{\frac{d\beta(t')}{dt'}}$  with  $\beta(0) = 0$  and  $\dot{\beta}(t') \geq 0$

$$\phi(t, \mathbf{r}) = \left( \frac{d\beta(t')}{dt'} \right)^{-x/2} \left( \frac{d \ln \beta(t')}{dt'} \right)^{-\xi} \exp \left[ -\frac{\mathcal{M}r'^2}{4} \frac{d \ln \dot{\beta}(t')}{dt'} \right] \phi'(t', \mathbf{r}')$$

**out of equilibrium**, have **2 distinct** scaling dimensions,  $x$  and  $\xi$ .

NB: if TTI (equilibrium criticality), then  $\xi = 0$ .

## Dynamical symmetry II: ageing algebra $\text{age}(d)$

1D Schrödinger operator:  $\mathcal{S} = 2\mathcal{M}\partial_t - \partial_r^2 + 2\mathcal{M}(x + \xi - \frac{1}{2})t^{-1}$

☞ generalised 'Schrödinger equation':

$$\mathcal{S}\phi = 0$$

extra potential term arises in several models, **without** time-translations  
(e.g. 1D Glauber-Ising, spherical & Arcetri models)

**Lemma:** If  $\mathcal{S}\phi = 0$ , then  $\mathcal{S}(\mathcal{X}\phi) = 0$ .

NIEDERER 74

$\text{age}(d)$  maps solutions of  $\mathcal{S}\phi = 0$  onto solutions.

As before:  $\text{age}(d)$ -covariant two-point function

$$R(t, s; \mathbf{r}) = r_0 s^{-1-a} \left(\frac{t}{s}\right)^{1+a'-\lambda_R/z} \left(\frac{t}{s}-1\right)^{-1-a'} \exp\left(-\frac{\mathcal{M}_1}{2} \frac{\mathbf{r}^2}{t-s}\right)$$

with  $1+a = \frac{x_1+x_2}{2}$ ,  $a' - a = \xi_1 + \xi_2$ ,  $\lambda_R = 2(x_1 + \xi_1)$ ,  $\mathcal{M}_1 + \mathcal{M}_2 = 0$

**N.B.:** for auto-response (i.e.  $\mathbf{r} = \mathbf{0}$ ) also valid for  $z \neq 2$ ; simply replace  $\frac{\lambda_R}{2} \mapsto \frac{\lambda_R}{z}$

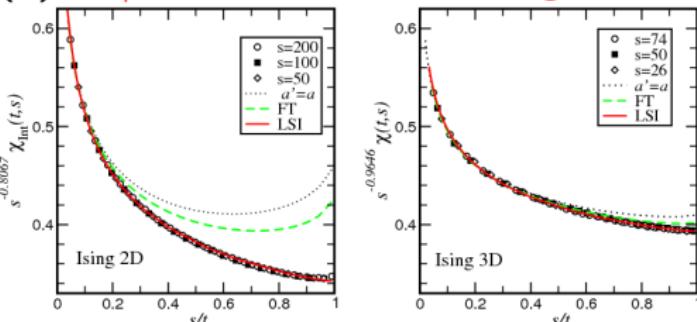
☞ also obtain prediction for autoresponse  $R(t, s; \mathbf{0})$  at criticality  $T = T_c$

## Examples of ageing-covariant two-point functions

**(a) 1D Glauber-Ising model**,  $T = 0$ ,  $\phi$ : **magnetisation** reproduces the age(1)-covariant autoresponse with  $a = 0$ ,  $a' = -\frac{1}{2}$ ,  $\lambda_R = 1$ ,  $z = 2$

⇒ independent scaling dimensions:  $x = \frac{1}{2}$ ,  $\tilde{x} = \frac{3}{2}$ ,  $\xi = 0$ ,  $\tilde{\xi} = -\frac{1}{2}$ .

**(b) 2D/3D kinetic Glauber-Ising model**, at  $T = T_c > 0$



Have  $a' - a = -1/2$  in 1D (exact);  $a' - a = -0.187(20)$  in 2D;  $a' - a = -0.022(5)$  in 3D

PLEIMLING & GAMBASSI, Phys. Rev. **B71**, 180401 ('05); MH, ENSS, PLEIMLING, J. Phys. **A39**, L589 ('06)

LSI with  $a \neq a'$ :

Ising data (momentum space !) at  $T = T_c$   
two-loop  $\varepsilon$ -expansion (FT)

→ resummation needed ?

**(c) kinetic spherical model** equation, at  $T \leq T_c$

GODRÈCHE & LUCK '00

$$\partial_t \phi(t, \mathbf{r}) = \Delta_{\mathbf{r}} \phi(t, \mathbf{r}) - \zeta(t) \phi(t, \mathbf{r}) + \text{noise} , \quad \zeta(t) \sim t^{-1}$$

**Observation:** the **hidden assumption**  $a = a'$  often **invalid** out of equilibrium.  
Observables **cannot** always be identified with scaling operators.

- Why responses ? Dualised Schrödinger algebra  $\mathfrak{sch}(d)$ :

**idée:** treat the mass  $M$  as a variable, define 'dual' coordinate  $\zeta$  GIULINI 96

$$\phi(t, \mathbf{r}) = \phi_M(t, \mathbf{r}) = \frac{1}{\sqrt{2\pi}} \int_{\mathbb{R}} d\zeta e^{-iM\zeta} \hat{\phi}(\zeta, t, \mathbf{r})$$

trade projective representation for 'true' representation in dual space

$$\begin{aligned} X_n &= i \frac{n(n+1)}{4} t^{n-1} \mathbf{r}^2 \partial_{\zeta} - t^{n+1} \partial_t - \frac{n+1}{2} t^n \mathbf{r} \cdot \partial_{\mathbf{r}} - (n+1) \frac{x}{2} t^n \\ Y_m &= i \left( m + \frac{1}{2} \right) t^{m-1/2} \mathbf{r} \partial_{\zeta} - t^{m+1/2} \partial_{\mathbf{r}} \\ M_n &= i t^n \partial_{\zeta} \end{aligned}$$

MH & UNTERBERGER 03

Generators live at the **boundary** of  $(d+3)$ -dim. Lorentzian space

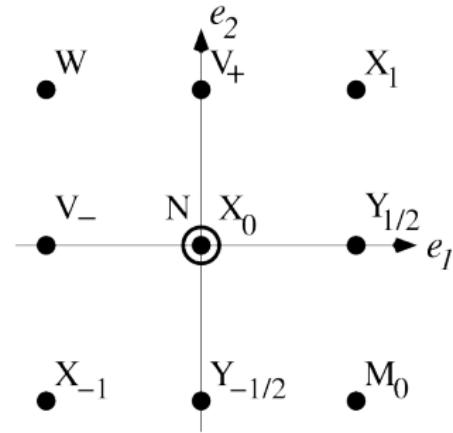
e.g. MINIC & PLEIMLING 08, FUERTES & MOROZ 09, LEIGH & HOANG 09, ...

The Schrödinger/heat equation becomes  $\mathcal{S}\hat{\phi} = 0$ , explicitly

$$\mathcal{S}\hat{\phi} = 2i \frac{\partial^2 \hat{\phi}}{\partial \zeta \partial t} + \frac{\partial^2 \hat{\phi}}{\partial \mathbf{r}^2} = (2M_0 X_{-1} + Y_{-1/2}^2) \hat{\phi} = 0$$

visualisation of extension of  $\mathfrak{sch}(1)$  from a root diagramme

$$\mathfrak{sch}(1) = \langle X_{\pm 1,0}, Y_{\pm 1/2}, M_0 \rangle \subset B_2 \cong \mathfrak{conf}(3)$$



new coordinates  $\xi = (\xi_{-1}, \xi_0, \xi_1)$

$$\zeta = \frac{1}{2}(\xi_0 + i\xi_{-1}), \quad t = \frac{1}{2}(-\xi_0 + i\xi_{-1}), \quad r = \sqrt{\frac{i}{2}\xi_1}$$

Schrödinger/heat equation

$$\partial_\mu \partial^\mu \Psi(\xi) = 0 \quad \text{with } \psi(\zeta, t, r) = \Psi(\xi)$$

has conformal dynamical symmetry

$\Rightarrow$  include new generators  $V_\pm, W, N,$

extend  $\mathfrak{sch}(d) \subset \mathfrak{conf}(d+2)_{\mathbb{C}}$

BURDET, PERRIN, SORBA '73

**Lemma:** If  $\mathcal{S}\psi = 0$  and  $x = x_\psi = \frac{1}{2}$ , then  $\mathcal{S}(\mathcal{X}\psi) = 0$ .

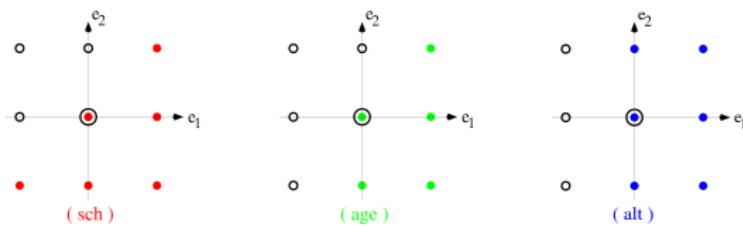
$\mathfrak{conf}(d+2)_{\mathbb{C}}$  maps solutions of  $\mathcal{S}\psi = 0$  onto solutions

## Parabolic subalgebras of $B_2$

**Parabolic subalgebra:** Cartan subalgebra  $\mathfrak{h} \oplus \{\text{positive roots}\}$ .

**positive roots:** all roots to the right of a straight line through  $\mathfrak{h}$

Classification of parabolic subalgebras of  $B_2 \cong \text{conf}(3)\mathbb{C}$ :



**extended Schrödinger**  
 $\widetilde{\mathfrak{sch}}(1) := \mathfrak{sch}(1) + \mathbb{C}N$

**extended ageing**  
 $\widetilde{\mathfrak{age}}(1) := \mathfrak{age}(1) + \mathbb{C}N$

= **minimal standard parabolic subalgebra**

**extended conformal Galilean**  
 $\widetilde{\text{CGA}}(1) := \text{CGA}(1) + \mathbb{C}N$

- Find  $\mathfrak{sch}(1)$ -covariant dual two-point function  $\widehat{F} = \langle \widehat{\phi}_1 \widehat{\phi}_2 \rangle$ ,  $x_1 = x_2$   
 $\zeta_- = \frac{1}{2}(\zeta_1 - \zeta_2)$ ,  $t = t_1 - t_2$ ,  $r = r_1 - r_2$

$$\widehat{F}(\zeta_-, t, r) = |t|^{-x_1} \widehat{f} \left( \frac{2\zeta_- t + ir^2}{|t|} \right) \xrightarrow{N} \widehat{f}(u) = \widehat{f}_0 u^{-x_1 - \xi_1 - \xi_2}$$

Causality for  $\widehat{\mathfrak{sch}}(1)$ : use  $\zeta = \zeta_1 - \zeta_2$ , invert dualisation

$$\begin{aligned}
F &= \frac{1}{2\pi} \int_{\mathbb{R}^2} d\zeta_1 d\zeta_2 e^{-i\mathcal{M}_1\zeta_1 - i\mathcal{M}_2\zeta_2} |t|^{-x} \widehat{f}\left(\frac{2(\zeta_1 - \zeta_2)t + ir^2}{|t|}\right) \\
&= \frac{|t|^{-x}}{4\pi} \underbrace{\int_{\mathbb{R}} d\eta e^{-i(\mathcal{M}_1 + \mathcal{M}_2)\eta/2}}_{4\pi\delta(\mathcal{M}_1 + \mathcal{M}_2)} \int_{\mathbb{R}} d\zeta e^{-i(\mathcal{M}_1 - \mathcal{M}_2)\zeta/2} \widehat{f}\left(2\text{sign}(t)\left(\zeta + \frac{i}{2}\frac{r^2}{\text{sign}(t)|t|}\right)\right) \\
&= \delta(\mathcal{M}_1 + \mathcal{M}_2) |t|^{-x} \widehat{f}_0 \int_{\mathbb{R}} d\zeta e^{-i\mathcal{M}_1\zeta} (2\text{sign}(t))^{-x-\xi} \left(\zeta + \frac{ir^2}{2\text{sign}(t)|t|}\right)^{-x-\xi} \\
&= \delta(\mathcal{M}_1 + \mathcal{M}_2) (2\text{sign}(t))^{-x-\xi} \mathcal{M}_1^{x+\xi-1} |t|^{-x} \widehat{f}_0 \underbrace{\int_{\mathbb{R} + \frac{i\mathcal{M}_1 r^2}{2t}} d\zeta e^{-i\zeta} \zeta^{-x-\xi}}_{I_{\pm}^{(0)}(x+\xi)} e^{-\frac{\mathcal{M}_1}{2} \frac{r^2}{t}} \\
&= \delta(\mathcal{M}_1 + \mathcal{M}_2) |t|^{-x} \underbrace{2^{-x-\xi} \mathcal{M}_1^{x+\xi-1} \widehat{f}_0 I_{+}^{(0)}(x+\xi)}_{=: F_0} e^{-\frac{\mathcal{M}_1}{2} \frac{r^2}{t}} \Theta(t) \quad \text{if } x + \xi > 0
\end{aligned}$$

**physical convention**  $\mathcal{M}_1 > 0 \Rightarrow$  causality condition  $t = t_1 - t_2 > 0$

☞ co-variant  $F$  should be interpreted as (causal) **reponse function** !

**N.B.:** recall that a response  $F = F(t_1, t_2) = \frac{\delta \langle \phi(t_1) \rangle}{\delta h(t_2)} \Big|_{h=0}$  vanishes for  $t_1 < t_2$

$\Rightarrow$  Physical consequence: causality as required for responses

$\widetilde{\mathfrak{sch}}(d)$

in dual space, use conformal invariance  $\langle \Psi_1(\xi_1)\Psi_2(\xi_2) \rangle = \Psi_0 \delta_{x_1,x_2} |\xi_1 - \xi_2|^{-2x_1}$

$$\langle \psi_1(\zeta_1, t_1, \mathbf{r}_1)\psi_2(\zeta_2, t_2, \mathbf{r}_2) \rangle = \langle \Psi_1(\xi_1)\Psi_2(\xi_2) \rangle = \psi_0 \delta_{x_1,x_2} (t_1 - t_2)^{-x_1} \left( \zeta_1 - \zeta_2 + \frac{i}{2} \frac{(\mathbf{r}_1 - \mathbf{r}_2)^2}{t_1 - t_2} \right)^{-x_1}$$

Physical convention: positive mass  $\mathcal{M} > 0$  of field  $\phi$

If scaling dimension  $x_1 > 0$ , then derive causal form (2P):

$$\begin{aligned} \langle \phi_1(t_1, \mathbf{r}_1)\phi_2^*(t_2, \mathbf{r}_2) \rangle &= \int_{\mathbb{R}^2} d\zeta_1 d\zeta_2 e^{-i\mathcal{M}_1\zeta_1 + i\mathcal{M}_2\zeta_2} \langle \psi_1(\zeta_1, t_1, \mathbf{r}_1)\psi_2(\zeta_2, t_2, \mathbf{r}_2) \rangle \\ &= \phi_0 \delta_{x_1,x_2} \delta_{\mathcal{M}_1, \mathcal{M}_2} \mathcal{M}_1^{1-x_1} \Theta(t_1 - t_2) (t_1 - t_2)^{-x_1} \exp \left( -\frac{\mathcal{M}_1}{2} \frac{(\mathbf{r}_1 - \mathbf{r}_2)^2}{t_1 - t_2} \right) \end{aligned}$$

If scaling dimensions  $x_1 > 0$ , and  $x_2 > 0$ , then derive causal form (3P):

$$\begin{aligned} \langle \phi_1(t_1, \mathbf{r}_1)\phi_2(t_2, \mathbf{r}_2)\phi_3^*(t_3, \mathbf{r}_3) \rangle &= C_{12,3} \delta(\mathcal{M}_1 + \mathcal{M}_2 - \mathcal{M}_3) \\ &\times \Theta(t_1 - t_3) \Theta(t_2 - t_3) (t_1 - t_2)^{-x_{12,3}/2} (t_1 - t_3)^{-x_{13,2}/2} (t_2 - t_3)^{-x_{23,1}/2} \\ &\times \exp \left[ -\frac{\mathcal{M}_1}{2} \frac{(\mathbf{r}_1 - \mathbf{r}_3)^2}{t_1 - t_3} - \frac{\mathcal{M}_2}{2} \frac{(\mathbf{r}_2 - \mathbf{r}_3)^2}{t_2 - t_3} \right] \\ &\times \Psi_{12,3} \left( \frac{1}{2} \frac{[(\mathbf{r}_1 - \mathbf{r}_3)(t_2 - t_3) - (\mathbf{r}_2 - \mathbf{r}_3)(t_1 - t_3)]^2}{(t_1 - t_2)(t_2 - t_3)(t_1 - t_3)} \right) \end{aligned}$$

Causality requires at least the parabolic sub-algebras of  $\text{conf}(d+2)_{\mathbb{C}}$

# An infinite-dimensional extension of $\widetilde{\mathfrak{sch}}(1)$

**extended** Schrödinger-Virasoro algebra

$$\widetilde{\mathfrak{sv}}(1) := \langle X_n, Y_m, M_n, N_n \rangle_{n \in \mathbb{Z}, m \in \mathbb{Z} + \frac{1}{2}} \supset \mathfrak{sv}(1)$$

additional non-vanishing commutators, beyond those of  $\mathfrak{sv}(1)$ :

$$[X_n, N_{n'}] = -n' N_{n+n'}, \quad [Y_m, N_n] = -Y_{m+n'}, \quad [M_n, N_{n'}] = -2N_{n+n'}$$

admissible **central extensions**:  $n, n' \in \mathbb{Z}$

$$[X_n, X_{n'}] = (n - n') X_{n+n'} + \frac{c}{12} (n^3 - n) \delta_{n+n', 0}$$

$$[N_n, N_{n'}] = \kappa n \delta_{n+n', 0}$$

$$[X_n, N_{n'}] = -n' N_{n+n'} + \alpha n^2 \delta_{n+n', 0}$$

**maximal** finite-dimensional sub-algebra:  $\widetilde{\mathfrak{sch}}(1) = \mathfrak{sch}(1) + \mathbb{C} N_0$

Some further reading:

1. MH & M. Pleimling, *Non-equilibrium phase transitions, vol. 2: Ageing and dynamical scaling ...*, Springer (Heidelberg 2010)  
2<sup>nd</sup> ed. in preparation
  2. J. Unterberger, C. Roger, *The Schrödinger-Virasoro algebra: Mathematical structure and dynamical Schrödinger symmetries*, Springer (Heidelberg 2012)  
in-depth analysis of many mathematical aspects
- \* MH, *Dynamical symmetries and causality in non-equilibrium phase transitions*, Symmetry **7**, 2108 (2015) [arXiv:1509.03669]
- \* MH, *From dynamical scaling to local scale-invariance: a tutorial*, Eur. Phys. J. Spec. Topic **226**, 605 (2017) [arxiv:1610.06122]



# Appendix

Example for the  $t^{-1}$ -term in Langevin eq.: Arcetri model

continuous slopes  $u_i \in \mathbb{R}^d$ , replace RSOS condition by ‘spherical’ constraint  
for  $d > 0$  phase transition  $T_c(d) > 0$ , exponents not mean-field if  $d < 2$

spherical constraint:  $\langle \sum_{i \in \Lambda} u_i^2 \rangle = d\mathcal{N}$

MH & DURANG 15, MH 15

Langevin equation, with Lagrange multiplier  $\mathfrak{z}(t)$  & centered gaussian noise  $\eta_i(t)$

$$\frac{\partial u_a(t, \mathbf{r})}{\partial t} = \nu \Delta u_a(t, \mathbf{r}) + \mathfrak{z}(t) u_a(t, \mathbf{r}) + \partial_a \eta(t, \mathbf{r}) , \quad \langle \eta(t, \mathbf{r}) \eta(s, \mathbf{r}') \rangle = 2\nu T \delta(t-s) \delta(\mathbf{r}-\mathbf{r}')$$

set  $g(t) := \exp \left( 2 \int_0^t dt' \mathfrak{z}(t') \right)$ , spherical constraint gives Volterra eq.

$$g(t) = f(t) + 2T \int_0^t d\tau f(t-\tau) g(\tau) , \quad f(t) = \frac{de^{-4t} I_1(4t)}{4t} (e^{-4t} I_0(4t))^{d-1}$$

find for  $T \leq T_c$ :  $g(t) \xrightarrow{t \rightarrow \infty} t^{-F} \Leftrightarrow \mathfrak{z}(t) \sim \frac{F}{2} t^{-1}$

quite analogous to spherical model of a ferromagnet

GODRÈCHE & LUCK 00  
PICONE & MH 04

## Examples of infinite-dimensional time-space transformations (bis)

group	coordinate changes		co-variance
ortho-conformal $(1+1)D$	$z' = f(z)$ $z' = z$	$\bar{z}' = \bar{z}$ $\bar{z}' = \bar{f}(\bar{z})$	correlator
Schrödinger-Virasoro	$t' = b(t)$ $t' = t$ $t' = t$	$\mathbf{r}' = (\mathrm{d}b(t)/\mathrm{d}t)^{1/2} \mathbf{r}$ $\mathbf{r}' = \mathbf{r} + \mathbf{a}(t)$ $\mathbf{r}' = \mathcal{R}(t)\mathbf{r}$	response
conformal galilean	$t' = b(t)$ $t' = t$ $t' = t$	$\mathbf{r}' = (\mathrm{d}b(t)/\mathrm{d}t) \mathbf{r}$ $\mathbf{r}' = \mathbf{r} + \mathbf{a}(t)$ $\mathbf{r}' = \mathcal{R}(t)\mathbf{r}$	correlator

\* arises from quantum gravity

BONDI, METZNER, SACHS 1965  
HAVAS, PLEBANSKI 1978

\* is the non-relativistic limit of conformal group

\* has dynamical exponent  $z = 1$

$\mathcal{R}(t) \in SO(d)$

i.e. applications in hydrodynamics, ...

\* conformal galilean invariance predicts form of correlators

## On Galilei transformations

in Schrödinger algebra  $\mathfrak{sch}(d)$ :

$$Y_{\frac{1}{2}} = -t\partial_r - \mathcal{M}r$$

in conformal galilean algebra  $\text{CGA}(d)$ :

$$Y_0 = -t\partial_r - \gamma$$

⇒ imply different transformations of scaling operators

$$\begin{cases} \mathfrak{sch}(d) : & \varphi'(t, \mathbf{r}) = \exp\left(-\mathcal{M}\mathbf{v} \cdot \mathbf{r} + \frac{\mathcal{M}}{2}\mathbf{v}^2 t^2\right) \varphi(t, \mathbf{r} - \mathbf{v}t) \\ \text{CGA}(d) : & \varphi'(t, \mathbf{r}) = \exp(-\mathbf{v} \cdot \gamma) \varphi(t, \mathbf{r} - \mathbf{v}t) \end{cases}$$

\* Schrödinger algebra is **not** semi-simple

\*  $Y_{\frac{1}{2}}$  with spatial translations  $Y_{-\frac{1}{2}} = -\partial_r \Rightarrow$  Bargman super-selection rules  
and classical central extension, since  $[Y_{\frac{1}{2}}^j, Y_{-\frac{1}{2}}^{j'}] = -\mathcal{M}\delta^{jj'} \neq 0$

\*  $Y_0$  commutes with spatial translations  $Y_{-1} = -\partial_r \quad [Y_0, Y_{-1}] = 0$

⇒ physical applications depend on the choice of representation

Scaling relation  $\lambda_C = d - z\Theta$  with slip exponent  $\Theta$

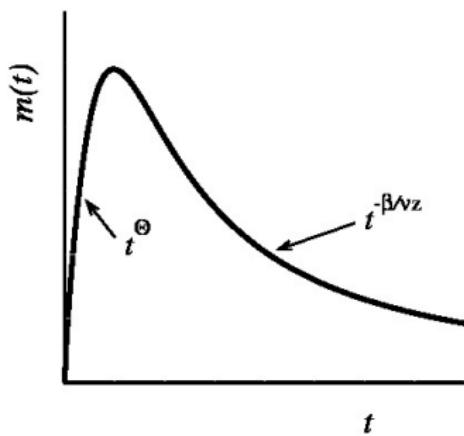
critical system at  $T = T_c$ , with an initial magnetisation  $m_0 > 0$

find two **distinct** scaling regimes:

$$m(t) \sim \begin{cases} t^\Theta & ; \text{ if } t \ll t_m \\ t^{-\beta/(\nu z)} & ; \text{ if } t \gg t_m \end{cases}$$

$$\text{with } t_m \sim m_0^{-1/(\Theta + \beta/\nu z)}$$

$\Theta :=$  slip exponent



**Theorem:** (Janssen, Schaub, Schmittmann 89) *Scaling relation with critical autocorrelation exponent  $\lambda_C = \lambda_R$ :*

$$\lambda_C = \lambda_C(T_c) = d - z\Theta$$

$\lambda_C$  and  $\Theta$  are **independent** of equilibrium critical exponents

re-derive this scaling relation from local scale-invariance:

take initial magnetisation  $m_0$  into account, hence effective action

$$\mathcal{J}[\phi, \tilde{\phi}] = \mathcal{J}_0[\phi, \tilde{\phi}] + \mathcal{J}_b[\tilde{\phi}] + \mathcal{J}_{\text{ini}}[\tilde{\phi}], \quad \mathcal{J}_{\text{ini}}[\tilde{\phi}] = - \int_{\mathbb{R}^d} d\mathbf{r} m_0 \tilde{\phi}(0, \mathbf{r})$$

JANSSEN 92

Use Bargman's superselection rules

$$\begin{aligned}\langle m(t) \rangle &= \langle \phi(t, 0) \rangle = \left\langle \phi(t, 0) e^{-\mathcal{J}_b[\phi] - \mathcal{J}_{\text{ini}}[\phi]} \right\rangle_0 \\ &= m_0 \underbrace{\int_{\mathbb{R}^d} d\mathbf{r} \left\langle \phi(t, 0) \tilde{\phi}(0, \mathbf{r}) \right\rangle_0}_{R(t, 0; \mathbf{r})}\end{aligned}$$

response function  $R(t, 0; \mathbf{r}) = t^{-\lambda_R/z} \mathcal{F}(t r^{-1/z})$ , for  $t \ll t_m$ . Hence

$$m(t) = t^{(d-\lambda_R)/z} m_0 \int_{\mathbb{R}^d} d\mathbf{u} \mathcal{F}(\mathbf{u}) \stackrel{!}{\sim} t^\Theta$$

only term linear in  $m_0$  survives for  $t \ll t_m \Rightarrow \Theta = (d - \lambda_R)/z$ .

Reproduces JSS-relation, since  $\lambda_C = \lambda_R$ .

QED