

# Single Leptoquark Solutions to the B-physics Anomalies

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## Hints of LFUV

Since 2014, Belle, Babar and LHCb - observed deviations from SM in universality ratios:

$$b \rightarrow s\ell\bar{\ell} : R_{K^{(*)}} = \frac{\mathcal{B}(B \rightarrow K^{(*)}\mu^+\mu^-)}{\mathcal{B}(B \rightarrow K^{(*)}e^+e^-)}$$

$$b \rightarrow c\ell\bar{\nu} : R_{D^{(*)}} = \frac{\mathcal{B}(B \rightarrow D^{(*)}\tau\bar{\nu})}{\mathcal{B}(B \rightarrow D^{(*)}\mu\bar{\nu})}$$

Theoretically clean observables:

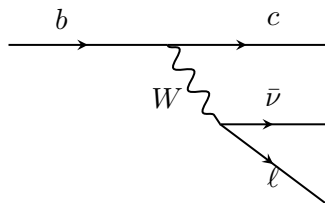
- CKM cancellation,
- Most hadronic uncertainties cancel,
- High sensitivity to NP.

$$R_{K^{(*)}}^{\text{Exp}} < R_{K^{(*)}}^{\text{SM}}$$

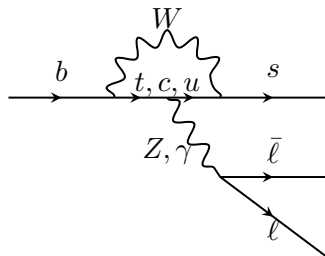
$$R_{D^{(*)}}^{\text{Exp}} > R_{D^{(*)}}^{\text{SM}}$$

Low energy observables.

# Semileptonic decays in the Standard Model



CC: Tree-level process



FCNC: Loop induced in the SM

# EFT description

## Effective Hamiltonian: CC

$$\mathcal{H}_{\text{eff}} = \frac{4G_F}{\sqrt{2}} V_{cb} \left[ (1 + g_{V_L})(\bar{c}_L \gamma_\mu b_L)(\bar{l}_L \gamma^\mu \nu_L) + g_{V_R}(\bar{c}_R \gamma_\mu b_R)(\bar{l}_L \gamma^\mu \nu_L) \right. \\ \left. + g_{S_L}(\bar{c}_R b_L)(\bar{l}_R \nu_L) + g_{S_R}(\bar{c}_L b_R)(\bar{l}_R \nu_L) + g_{T_L}(\bar{c}_R \sigma_{\mu\nu} b_L)(\bar{l}_R \sigma^{\mu\nu} \nu_L) \right] + \text{h.c.}$$

## Effective Hamiltonian: FCNC

$$\mathcal{H}_{\text{eff}} = \frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \left[ \sum_{i=1}^6 C_i(\mu) \mathcal{O}_i(\mu) + \sum_{i=7,8,9,10,P,S,\dots} C_i(\mu) \mathcal{O}_i \right] + \text{h.c.}$$

$$\mathcal{O}_9^{(\prime)} = (\bar{s} \gamma_\mu P_{L(R)} b)(\bar{\ell} \gamma^\mu \ell)$$

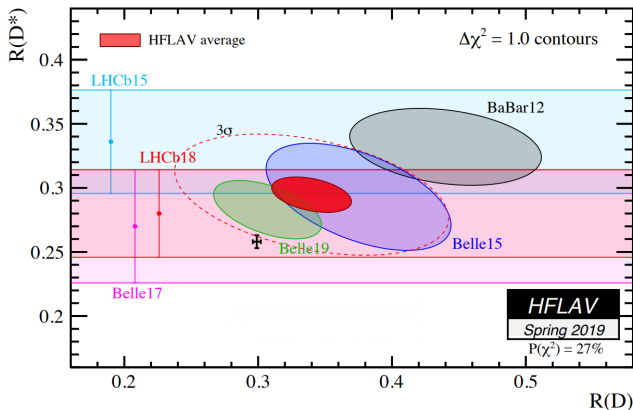
$$\mathcal{O}_{10}^{(\prime)} = (\bar{s} \gamma_\mu P_{L(R)} b)(\bar{\ell} \gamma^\mu \gamma_5 \ell)$$

$$\mathcal{O}_S^{(\prime)} = (\bar{s} P_{R(L)} b)(\bar{\ell} \ell)$$

$$\mathcal{O}_P^{(\prime)} = (\bar{s} P_{R(L)} b)(\bar{\ell} \gamma_5 \ell)$$

$$\mathcal{O}_7^{(\prime)} = m_b (\bar{s} \sigma_{\mu\nu} P_{R(L)} b) F^{\mu\nu}$$

# Combined results, CC, compared to SM

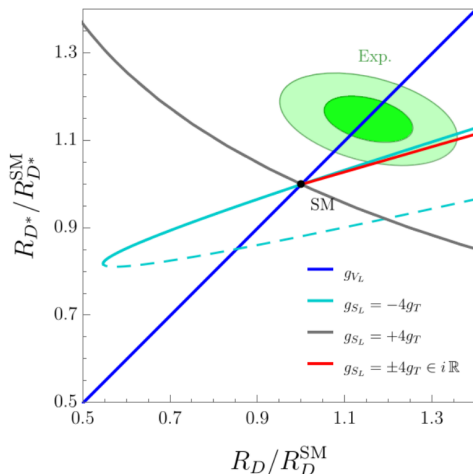


Exp:  $R_D = 0.340 \pm 0.030$        $R_{D^*} = 0.295 \pm 0.014$

SM:  $R_D = 0.299 \pm 0.003$        $R_{D^*} = 0.258 \pm 0.005$

[cf. HFLAV]

# Combined results, CC, compared to SM+NP



Angular distributions  $\begin{cases} B \rightarrow D^*(\rightarrow D\pi)\ell\bar{\nu} \\ \Lambda_b \rightarrow \Lambda_c(\rightarrow \Lambda\pi)\ell\bar{\nu} \end{cases}$  can be used to disentangle the NP contributions.

# SM results and experimental status: FCNC

Results for  $b \rightarrow s\ell\bar{\ell}$ :  $R_Y = \frac{\mathcal{B}(X \rightarrow Y \mu^+ \mu^-)}{\mathcal{B}(X \rightarrow Y e^+ e^-)}$

	SM Prediction	Measurements	Tension
$R_K^{[1.1,6]}$	1.00(1) [G. Isidori et al.'20]	0.847(42) [LHCb '21]	$3.1\sigma$
$R_{K^*}^{[1.1,6]}$	1.00(1) [M. Bordone et al.'16]	$0.69_{-0.07}^{+0.11}$ [LHCb '17]	$2.4\sigma$
$R_{K^*}^{[0.045,1.1]}$	0.91(3) [M. Bordone et al.'16]	$0.66_{-0.08}^{+0.11}$ [LHCb '17]	$2.3\sigma$

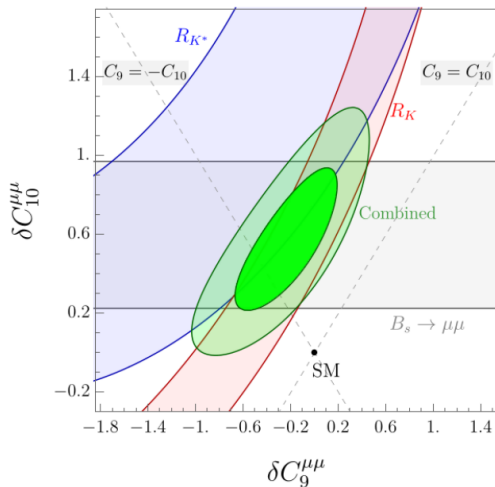
But also  $b\bar{s} \rightarrow \ell\bar{\ell}$ :

SM Value  $\mathcal{B}(B_s \rightarrow \mu\mu) = 3.66(14) \times 10^{-9}$  [Beneke et al '19]

New LHCb result  $\mathcal{B}(B_s \rightarrow \mu\mu) = 2.70(36) \times 10^{-9}$



# Combined results, FCNC, compared to SM

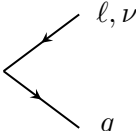


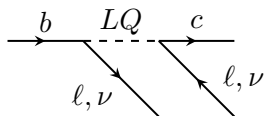
$$\delta C_9 = -\delta C_{10} = -0.41 \pm 0.09 \quad (4.6\sigma) \quad [\text{this work}]$$

# Explicit scenarios of New Physics

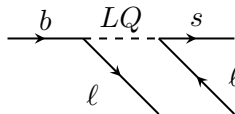
## Which NP is compatible with this EFT?

- Should couple preferably to the 3<sup>rd</sup> family of fermions.
- Should violate LFU.

⇒ Leptoquarks solve both:  $LQ$  



CC



**FCNC**: Becomes a tree-level process

- Quite natural in GUT or composite Higgs theories

[D.Marzocca '18].

- Other possibilities exist:  $Z'$  (only **FCNC**),  $2HDM$  (tension with  $\mathcal{B}(B_c \rightarrow \tau \bar{\nu})$ )

# Classification of Leptoquarks

Name	$SU(3)_C \times SU(2)_L \times U(1)_Y$
$S_1$	$(\bar{3}, 1, 1/3)$
$S_3$	$(\bar{3}, 3, 1/3)$
$R_2$	$(3, 2, 7/6)$
$U_1$	$(3, 1, 2/3)$
$U_3$	$(3, 3, 2/3)$

} Scalar LQ

} Vector LQ

We directly exclude scenarios that

- only couple to RH particles ( $\tilde{S}_1$ ),
- allow for diquark couplings,
- pull  $R_K$  and  $R_{K^*}$  in different directions ( $\tilde{R}_2$  [D. Bečirević et al. '15]).

# Leptoquark scenarios

Model	$R_{D^{(*)}}$	$R_{K^{(*)}}$	$R_{D^{(*)}} \& R_{K^{(*)}}$	
$S_1(\bar{3}, 1, 1/3)$	✓	✗	✗	} Scalar LQ
$R_2(3, 2, 7/6)$	✓	✓/✗*	✗	
$S_3(\bar{3}, 3, 1/3)$	✗	✓	✗	
$U_1(3, 1, 2/3)$	✓	✓	✓	} Vector LQ
$U_3(3, 3, 2/3)$	✗	✓	✗	

⇒ Scalar Leptoquarks: need at least two:  
 $S_1 - S_3$  [D. Marzocca '18],  $R_2 - S_3$  [D. Bečirević et al. '18]

⇒  $U_1$  Is the only one to accommodate both.

# $U_1$ leptoquark

$$\mathcal{L}_{U_1} = x_L^{ij} \bar{Q}_i \gamma_\mu U_1^\mu L_j + x_R^{ij} \bar{d}_{Ri} \gamma_\mu U_1^\mu \ell_{Rj} + \text{h.c.},$$

Minimal Yukawa structure:

$$x_L = \begin{pmatrix} 0 & 0 & 0 \\ 0 & x_L^{s\mu} & x_L^{s\tau} \\ 0 & x_L^{b\mu} & x_L^{b\tau} \end{pmatrix}, \quad x_R = 0.$$

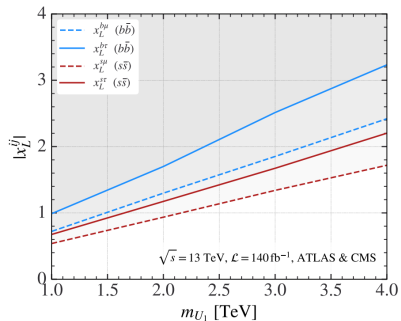
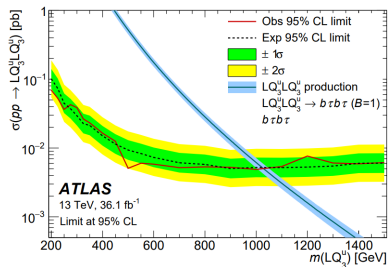
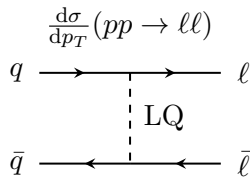
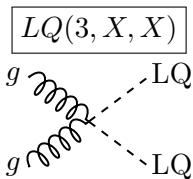
Matching:

$$g_{V_L} = \frac{v^2 (V x_L)_{cl} (x_L^{b\tau})^*}{2V_{cb} m_{U_1}^2} \quad C_9^{\mu\mu} = -C_{10}^{\mu\mu} = -\frac{\pi v^2}{V_{tb} V_{ts}^* \alpha_{\text{em}}} \frac{x_L^{s\mu} (x_L^{b\mu})^*}{m_{U_1}^2}$$

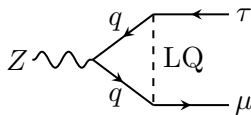
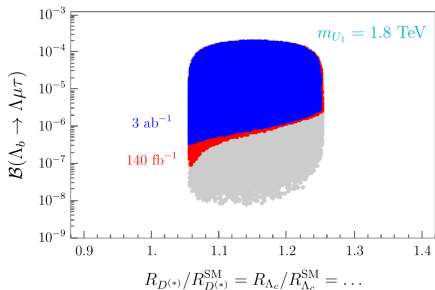
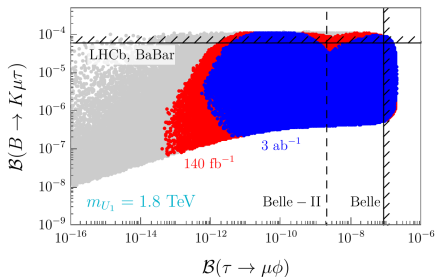
Compatible with  $R_{D^{(*)}}$ ,  $R_{K^{(*)}}$ ,  $R_D^{\mu/e}$ ,  $K^{\mu 2/e 2}$ , ...

Needs UV completion! E.g.  $PS^3 = [SU(4) \times SU(2) \times SU(2)]^3$   
 $\rightarrow SU(4) \times SU(3) \times SU(2) \times U(1)$  [G. Isidori et al.]

# Direct searches at LHC



# Prediction: Lepton Flavor Violating decays



$$\left. \begin{array}{l} Z \rightarrow \tau \mu \\ \tau \rightarrow \mu \gamma \end{array} \right\} \text{Loop induced}$$

$$\tau \rightarrow \mu \phi$$

$$B \rightarrow K^{(*)} \tau \mu$$

$$\Lambda_b \rightarrow \Lambda \tau \mu$$

Direct searches  $\Rightarrow$  Minimal bounds on LFV decays.



## Summary and perspectives

- Exciting new LHCb data on  $R_K$  and  $B_s \rightarrow \mu\mu$  corroborates previous hints of LFUV, now  $3.1\sigma$  below SM on  $R_K$ .
- When seen as an EFT, LFU ratios and other low-energy observables put constraints on the Wilson coefficients of the theory.  $g_{V_L} > 0$ ,  $g_{S_L} = -4g_T > 0$  or  $g_{S_L} = \pm 4g_T \in i\mathbb{R}$  and  $C_9 = -C_{10} < 0$  are preferred
- Lorentz structure of NP can be studied through angular distributions of semileptonic decays at Belle II.
- $\mathcal{O}(1\text{TeV})$  extensions of the SM by Leptoquarks states are a promising realization of this EFT.
- Direct searches impose bounds on masses and individual Yukawas.
- No truly minimal scenario exists, however 2 solutions:
  - $S_1 - S_3, R_2 - S_3$
  - $U_1 + UV$  completion
- Prediction: Experimentally testable LFV decays rates.

Thank you

# Backup slides

# Hadronic matrix elements

Expressed in terms of Form Factors:

$$\langle H_f | J_x | H_i \rangle = \sum_a K_x^a F_a$$

With  $K_x^a$  kinematic factors (scalar, vector or tensor), and  $F_a$  scalar functions of  $q^2$ .

Process	Number of FF
$B \rightarrow D \ell \nu$	2 (+ 1 tensor)
$B \rightarrow D^* \ell \nu$	4 (+ 3 tensor)
$\Lambda_b \rightarrow \Lambda_c \ell \nu$	6 (+ 4 tensor)

Can be obtained from:

- Lattice QCD
- LCSR, HQET, ...

# Hadronic inputs, example $\Lambda_b \rightarrow \Lambda_c \ell \nu$

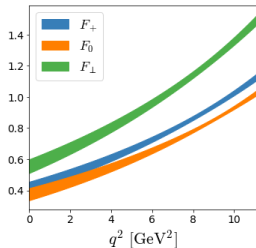
Obtained from a fit to lattice results

$$f(q^2) = \frac{1}{1 - q^2/(m_{\text{pole}}^f)^2} \left[ a_0^f + a_1^f z(q^2) \right]$$

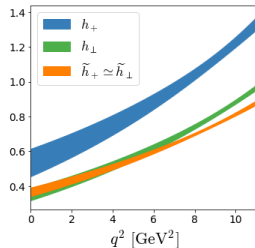
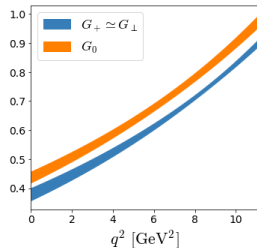
$$z(q^2) = \frac{\sqrt{t_+ - q^2} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - q^2} + \sqrt{t_+ - t_0}}$$

$$t_0 = q_{\text{max}}^2$$

$$t_+ = (m_{\text{pole}}^f)^2$$



[Detmold et al. '15]



[Datta et al. '17]

# SM results and experimental status: CC

Results for  $b \rightarrow cl\bar{\nu}$ :  $R_Y = \frac{\mathcal{B}(X \rightarrow Y \tau \bar{\nu})}{\mathcal{B}(X \rightarrow Y \mu \bar{\nu})}$

	SM Prediction	Measurements	Tension
$R_D$	0.299(3) [FNAL, MILC '15, HPQCD '15] cf. HFLAV	0.340(30) [BaBar, Belle, LHCb]	$1.4\sigma$
$R_{D^*}$	0.258(5) cf. HFLAV	0.295(14) [BaBar, Belle, LHCb]	$2.5\sigma$
$R_{J/\Psi}$	0.260(4) [HPQCD '20]	0.71(26) [LHCb]	$1.7\sigma$
$R_{D_s}$	0.297(4) [HPQCD '17]	Soon [LHCb]	-
$R_{D_s^*}$	0.245(8) cf. HFLAV	Soon [LHCb]	-
$R_{\Lambda_c}$	0.333(13) [Detmold et al. '15]	Soon [LHCb]	-

# Complication for FCNC: Non-local Form Factors

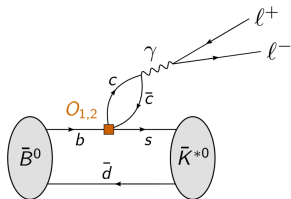
Example for  $B \rightarrow K\bar{\ell}\ell$ :

$$\mathcal{M} = \frac{G_F \alpha}{\sqrt{2}\pi} V_{tb} V_{ts}^* \left[ (\mathcal{A}_\mu + \mathcal{T}_\mu) \bar{u}_\ell \gamma^\mu v_\ell + \mathcal{B}_\mu \bar{u}_\ell \gamma^\mu \gamma_5 v_\ell \right]$$

$$\mathcal{A}_\mu \propto \mathcal{C}_7, \mathcal{C}_9 \qquad \mathcal{B}_\mu \propto \mathcal{C}_{10}$$

$$\mathcal{T}_\mu = \frac{-16i\pi^2}{q^2} \sum_{i=1 \dots 6,8} C_i \int d^4x e^{iq \cdot x} \langle K^* | O_i(0) j_\mu(x) | B \rangle$$

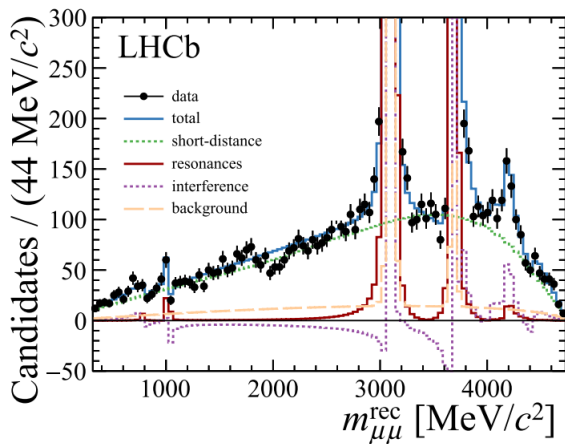
[Grinstein, Pirjol '04]



- Non-perturbative quantity,
- Poles at the  $J/\Psi$ ,  $\Psi'$ , etc,
- Hard to compute on the lattice.

# Complication for FCNC: Non-local Form Factors

Solution: strong cuts on the  $q^2$  region.



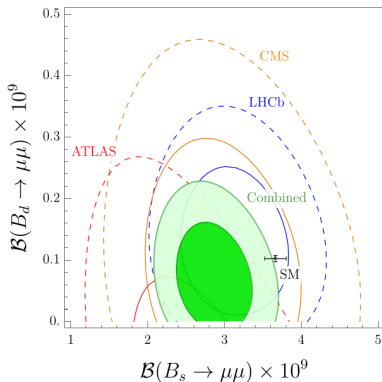
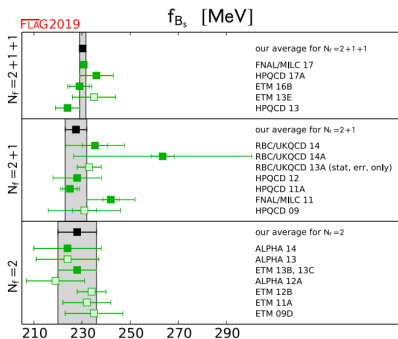
[LHCb '16]



$B_s \rightarrow \mu\mu$

Not a ratio, but hadronic uncertainties are well under control:

$$\langle 0 | \bar{s} \gamma^\mu \gamma_5 b | B_s \rangle = i f_{B_s} p^\mu$$



SM Value  $\mathcal{B}(B_s \rightarrow \mu\mu) = 3.66(14) \times 10^{-9}$  [Beneke et al '19]

New LHCb result  $\mathcal{B}(B_s \rightarrow \mu\mu) = 2.70(36) \times 10^{-9}$

Combined  $\mathcal{B}(B_s \rightarrow \mu\mu) = 2.85(33) \times 10^{-9}$

# How to disentangle the New Physics?

LFU ratios insufficient to isolate the Lorentz structure of new physics

- Need other observables
- Example:  $\mathcal{B}(B_c \rightarrow \tau \bar{\nu}) \lesssim 30\%$   
 $\Rightarrow g_P = g_{S_R} - g_{S_L}$  must be tiny
- Angular distributions

$$B \rightarrow D^*(\rightarrow D\pi)\ell\bar{\nu}$$

$$\Lambda_b \rightarrow \Lambda_c(\rightarrow \Lambda\pi)\ell\bar{\nu}$$

$\Rightarrow$  Plethora of observables, not yet available



## Example $S_1$

Lagrangian:

$$\mathcal{L}_{S_1} = y_{ij}^L \bar{Q}_L^{i,a} \epsilon^{ab} L_L^{j,b} S_1 + y_{ij}^R \bar{u}_R^C e_R^j S_1 + \text{h.c.}$$

Tree-level matching:

$$g_{V_L} = \frac{v^2}{4V_{cb}} \frac{y_L^{bl'} (V y_L^*)_{cl}}{m_{S_1}^2}$$

$$g_{S_L} = -4g_T = -\frac{v^2}{4V_{cb}} \frac{y_L^{bl'} (y_R^{cl'})^*}{m_{S_1}^2}$$

$$C_9^{kl} + C_{10}^{kl} = \frac{m_t^2 (V^* y_L)_{tk} (V^* y_L)_{tl}^*}{8\pi\alpha_{\text{em}} m_{S_1}^2} - \frac{v^2 (y_L \cdot y_L^\dagger)_{bs} (y_L^\dagger \cdot y_L)_{kl}}{32\pi\alpha_{\text{em}} m_{S_1}^2 V_{tb} V_{ts}^*}$$

$$C_9^{kl} - C_{10}^{kl} = \frac{m_t^2 (y_R)_{tk} (y_R)_{tl}^*}{8\pi\alpha_{\text{em}} m_{S_1}^2} \left[ \log \frac{m_{S_1}^2}{m_t^2} - f(x_t) \right] - \frac{v^2 (y_L \cdot y_L^\dagger)_{bs} (y_L^\dagger \cdot y_L)_{kl}}{32\pi\alpha_{\text{em}} m_{S_1}^2 V_{tb} V_{ts}^*}$$

Minimal Assumptions to explain  $R_K$ :

$$y^L = \begin{pmatrix} 0 & 0 & 0 \\ 0 & y_{s\mu} & 0 \\ 0 & y_{b\mu} & 0 \end{pmatrix}, \quad y^R = 0.$$

# Example $S_1$

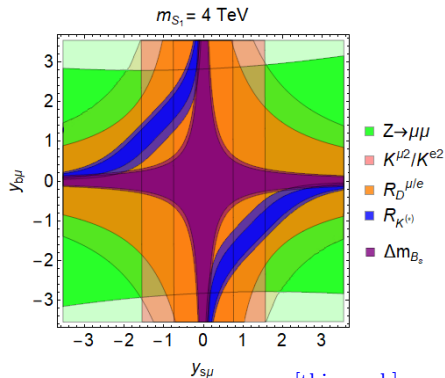
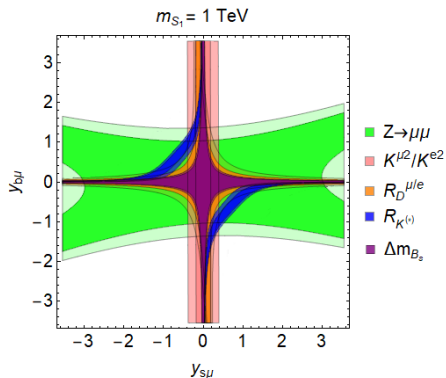
Considered quantities:

$$R_{K^{(*)}} = \frac{\mathcal{B}(B \rightarrow K^{(*)} \mu \mu)}{\mathcal{B}(B \rightarrow K^{(*)} e e)},$$

$$R_D^{\mu/e} = \frac{\mathcal{B}(B \rightarrow D \mu \bar{\nu})}{\mathcal{B}(B \rightarrow D e \bar{\nu})}$$

$$K^{\mu 2/e 2} = \frac{\mathcal{B}(K \rightarrow \mu \bar{\nu})}{\mathcal{B}(K \rightarrow e \bar{\nu})}, \quad \Delta m_{B_s},$$

$$\mathcal{B}(Z \rightarrow \mu \mu), \quad \mathcal{B}(B \rightarrow K \nu \nu)$$



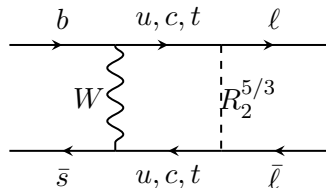
[this work]

## Example: $R_2$

$$\mathcal{L}_{R_2} = y_R^{ij} \bar{Q}_i \ell_{Rj} R_2 - y_L^{ij} \bar{u}_{Ri} R_2 i \tau_2 L_j + \text{h.c.}$$

$$C_9^{kl} = C_{10}^{kl} \stackrel{\text{tree}}{=} - \frac{\pi v^2}{2V_{tb} V_{ts}^* \alpha_{\text{em}}} \frac{y_R^{sl} (y_R^{bk})^*}{m_{R_2}^2}$$

$$C_9^{kl} = -C_{10}^{kl} \stackrel{\text{loop}}{=} \sum_{u, u' \in \{u, c, t\}} \frac{V_{ub} V_{u's}^*}{V_{tb} V_{ts}^*} y_L^{u'k} (y_L^{ul})^* \mathcal{F}(x_u, x_{u'})$$



Minimal Yukawa structure for  $R_{K(*)}$ :

$$y_L = \begin{pmatrix} 0 & 0 & 0 \\ 0 & y_L^{c\mu} & 0 \\ 0 & y_L^{t\mu} & 0 \end{pmatrix}, \quad y_R = 0.$$

# Example: $R_2$

