



# Production of Hot Axions in the Early Universe

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Based on [arXiv:2108.04259](https://arxiv.org/abs/2108.04259) and [arXiv:2108.05371](https://arxiv.org/abs/2108.05371) (JHEP)

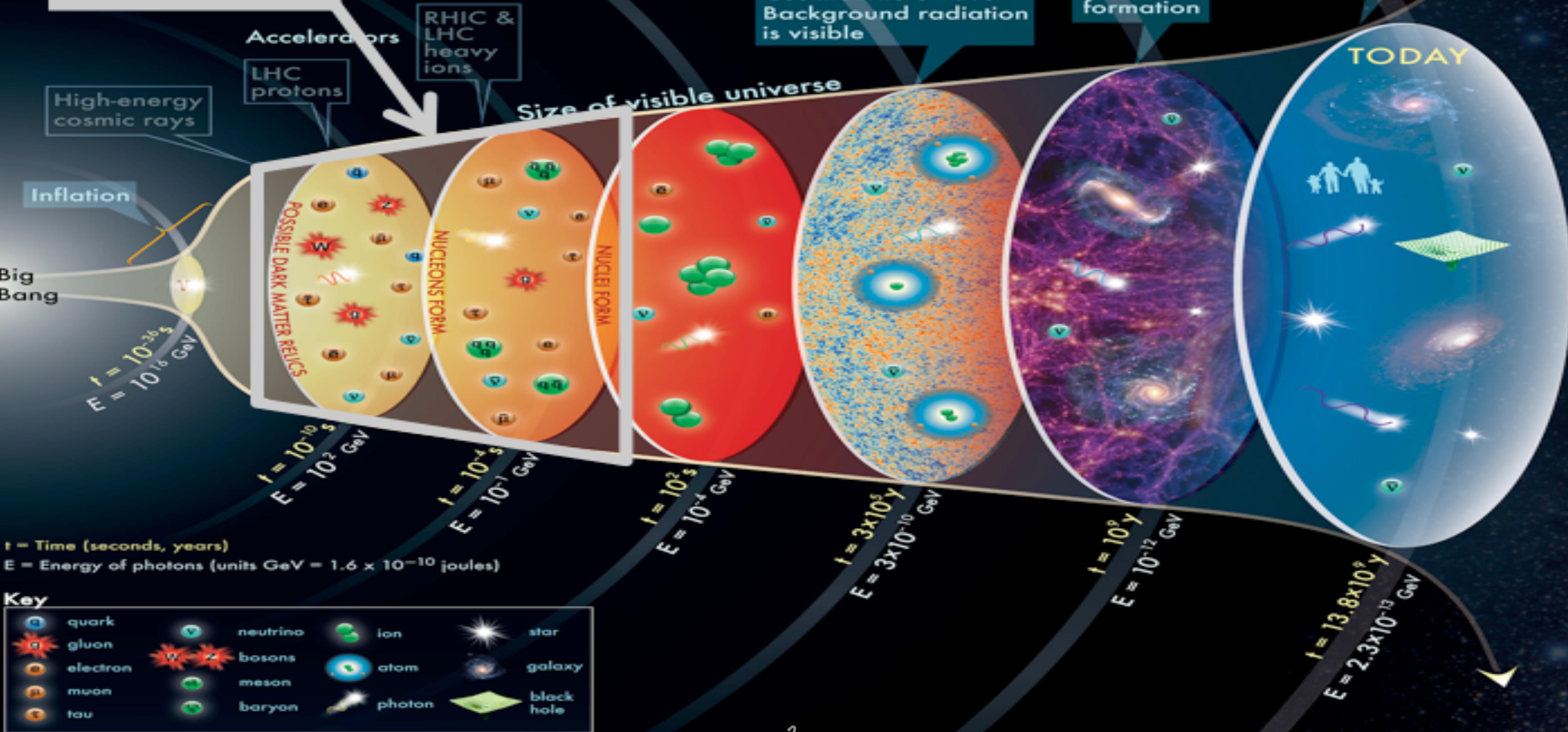
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# HISTORY OF THE UNIVERSE

## Particle era



The concept for the above figure originated in a 1986 paper by Michael Turner.

# Dark Radiation

- \* There is a discrepancy  $\Delta N_{\text{eff}}$  from the theoretical value of number of effective neutrinos:  $N_{\text{eff}} = 3.044$  and observed value from cosmic microwave background (CMB) and big bang nucleosynthesis (BBN).
- \* Extra degrees of freedom from dark sector can be responsible for dark radiation (DR).
- \* Beyond the standard model physics have some proposals for DR like sterile neutrinos, axion, etc. Moreover, gravitational wave background may have a contribution to  $\Delta N_{\text{eff}}$ .
- \* Future CMB experiments can put stronger bound on light relics and  $\Delta N_{\text{eff}}$ .
- \* Relativistic degrees of freedom depending on their nature can decouple at different temperatures. They may be connected to the new physics!
- \* To estimate the accurate amount of dark radiation contributed to the CMB from a typical DR candidate we require to consider the precise thermal background in the early universe and all the possible production channels of DR.

# Thermal Background of SM Particles

We need precise equation of state for thermal bath of SM at different temperatures.  
Energy and entropy density of thermal bath:

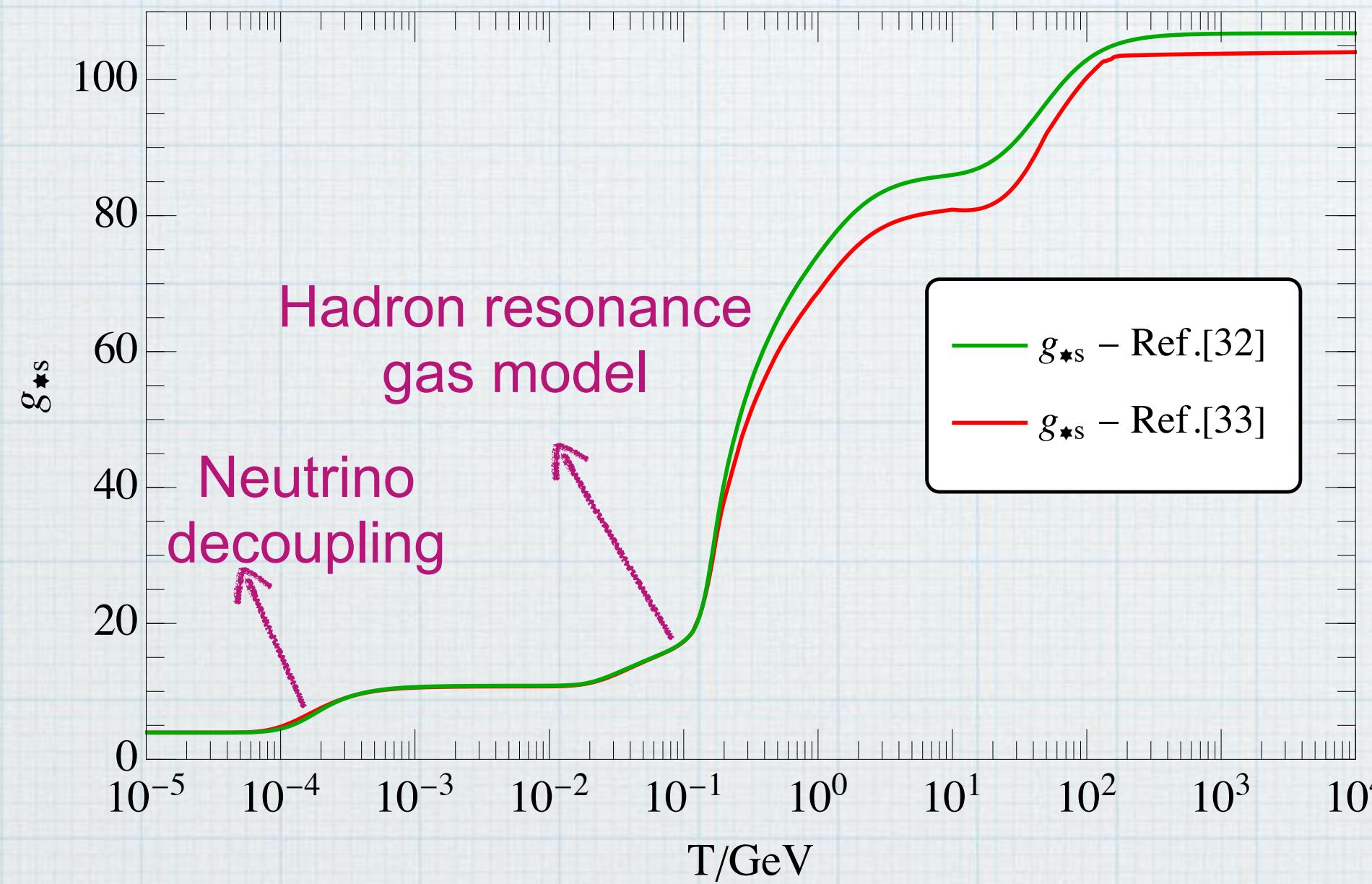
$$s = \frac{2\pi^2}{45} g_{*s}(T) T^3$$

Entropy density degrees of freedom

Degrees of freedom

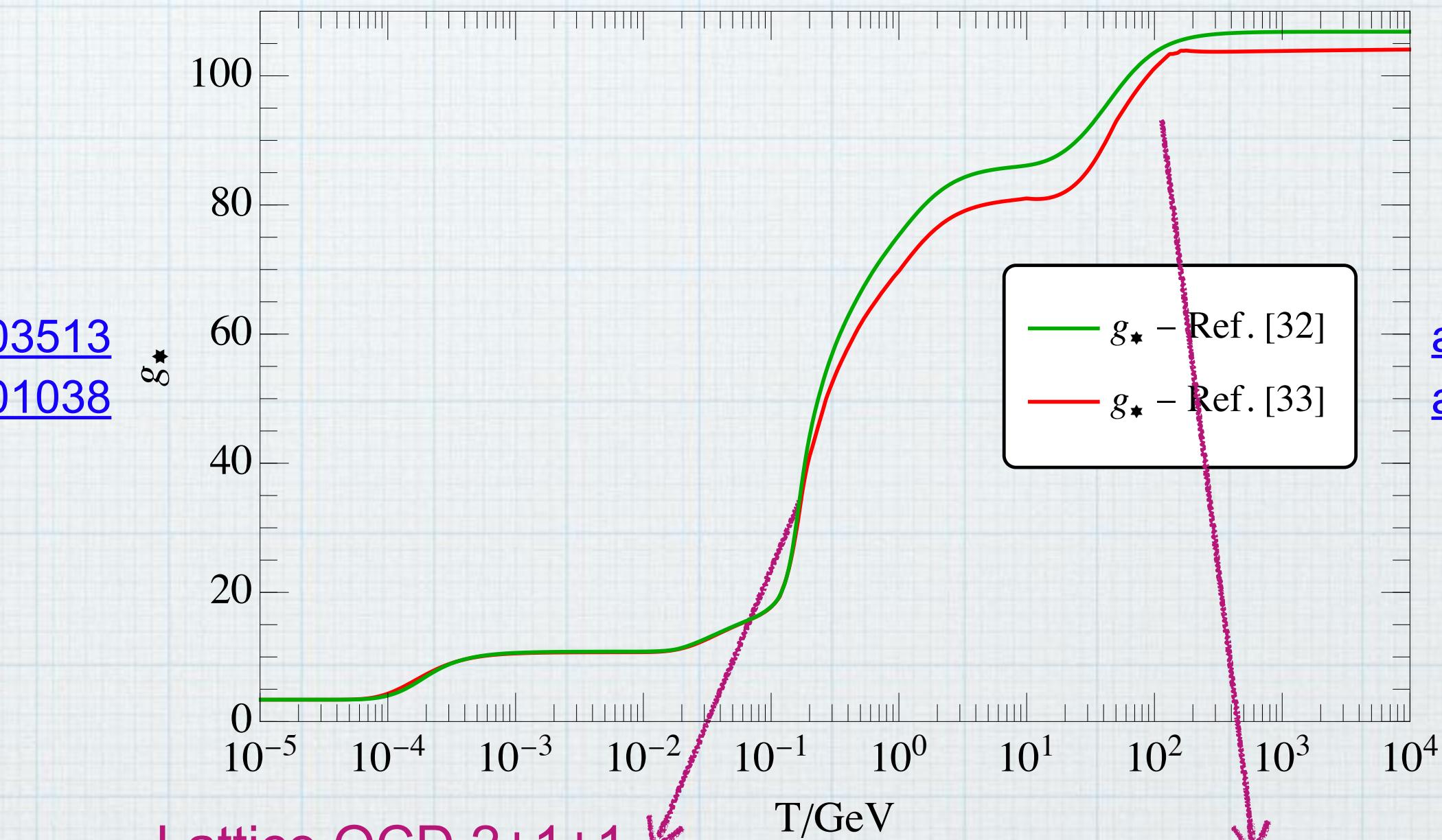
$$\rho = \frac{\pi^2}{30} g_{*}(T) T^4$$

Energy density degrees of freedom



The rest of SM particles considered free!

[arXiv:1503.03513](https://arxiv.org/abs/1503.03513)  
[arXiv:1803.01038](https://arxiv.org/abs/1803.01038)



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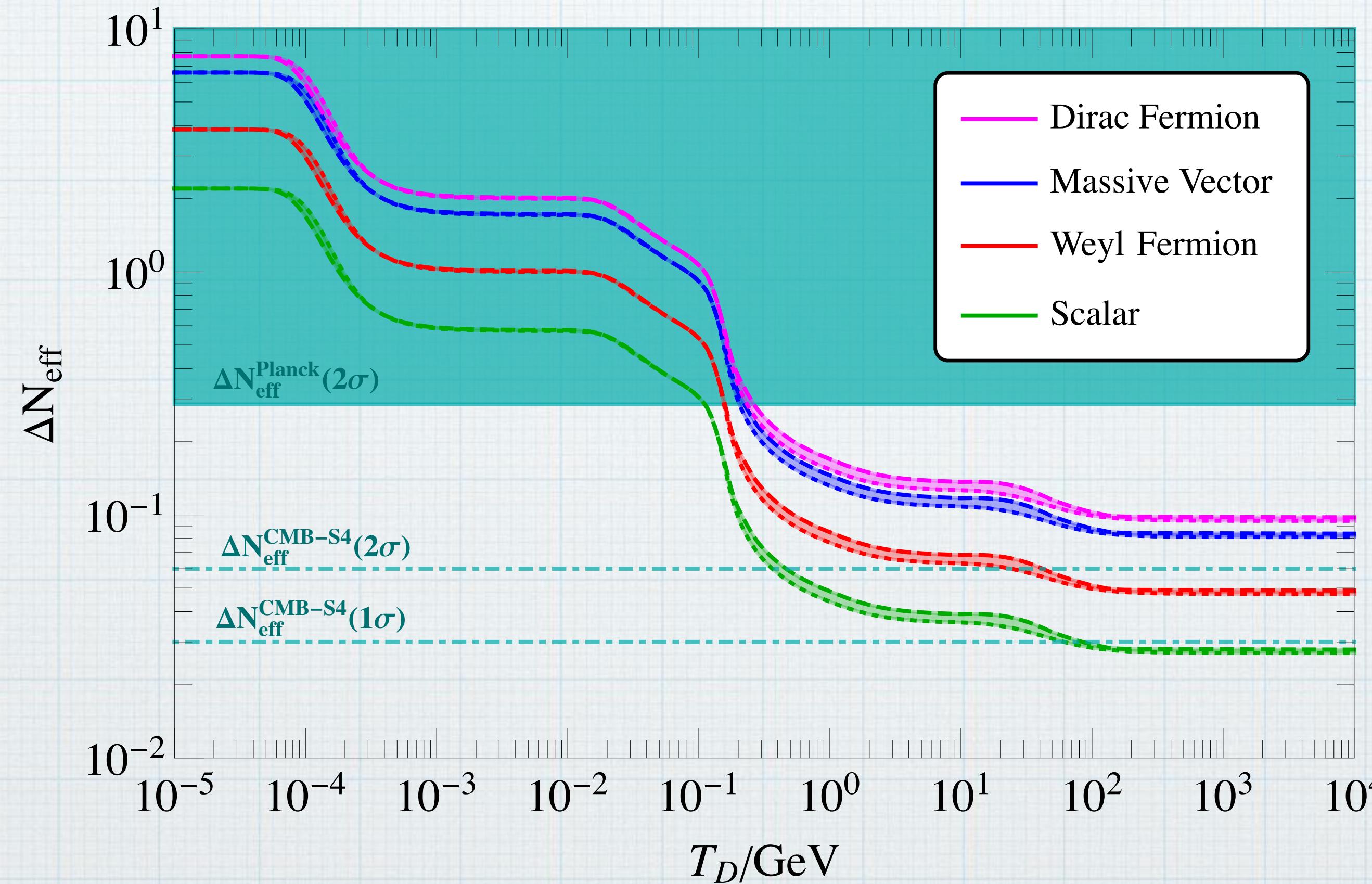
Lattice QCD 2+1+1  
for QCD cross over transition

Electroweak crossover transition

# Different Types of Dark Radiation

Number of effective neutrinos  $\Delta N_{\text{eff}}$  versus decoupling temperature  $T_D$  of a typical DR:

$$\Delta N_{\text{eff}} \equiv N_{\text{eff}} - N_{\text{eff}}^{\text{SM}} = \frac{8}{7} \left( \frac{11}{4} \right)^{4/3} \frac{\rho_{\text{DR}}}{\rho_\gamma} \simeq g_{*\Phi} \times 13.69 \times g_s^{\text{SM}}(T_D)^{-4/3}$$



CMB-S4 experiment can probe DR candidates decoupled around the QCD transition and above that!

# QCD Axion and Strong CP problem

- \* QCD axion is a solution for the strong CP problem. It can explain the tiny value of neutron electric dipole moment!
- \* Connection to the UV completion physics through Peccei-Quinn symmetry restoration/breaking at high scales → giving mass to axions as pseudo Nambu-Goldstone bosons
- \* Possible contribution to the dark matter or dark radiation ( $m_a \ll 1 \text{ eV}$ ) depending on the production mechanisms. The relation between axion mass and axion decay constant is:

$$m_a \simeq 5.7 \times 10^{-6} \text{ eV} \left( \frac{10^{12} \text{ GeV}}{f_a} \right)$$

- \* There are some constraints from laboratory, astrophysical and cosmological experiments. The supernova constraint puts a lower bound on axion decay constant  $f_a$ . The upper bound on that comes from the condition on the overclosure of the universe. Axion constraints can be model dependent ...

# Axion Production in the Early Universe

- \* Axion production from scatterings with hadrons using chiral perturbation theory
- \* Quarks and gluons scatterings with axions including QCD interactions
- \* Interactions from electroweak sector above and below the electroweak transition
- \* Possible interaction with leptons depending on the model

**Different Thresholds!**

# Axion Interactions with the SM Particles

A general Lagrangian for effective axion models:

$$\mathcal{L}^{(a)} = \mathcal{L}_{\text{gauge}}^{(a)} + \mathcal{L}_{\text{matter}}^{(a)}$$

Invariant under shift symmetry  $\leftarrow a \rightarrow a + \text{const}$

Axion field

Fermion coupling

$$\mathcal{L}_{\text{int}} \supset \frac{1}{f_a} \left[ \overset{\uparrow}{a} c_X \frac{\alpha_X}{8\pi} X^{a\mu\nu} \widetilde{X}_{\mu\nu}^a + \partial_\mu a c_\psi \overset{\uparrow}{\bar{\psi}} \gamma^\mu \psi \right]$$

Axion decay constant  $\leftarrow$

Gauge boson coupling

SM gauge bosons:

$$X = \{G, W, B(A)\}$$

Quarks and leptons:

$$\psi = \{Q_L, u_R, d_R, L_L, e_R\}$$

Below the QCD confinement scale (150 MeV) chiral perturbation theory for hadrons should be considered. For example axion-pions interaction  $(1/f_a) \partial_\mu a \pi \partial^\mu \pi$ .

# Computation of Axion Yield

Boltzmann equation for the evolution of the number density of axions:

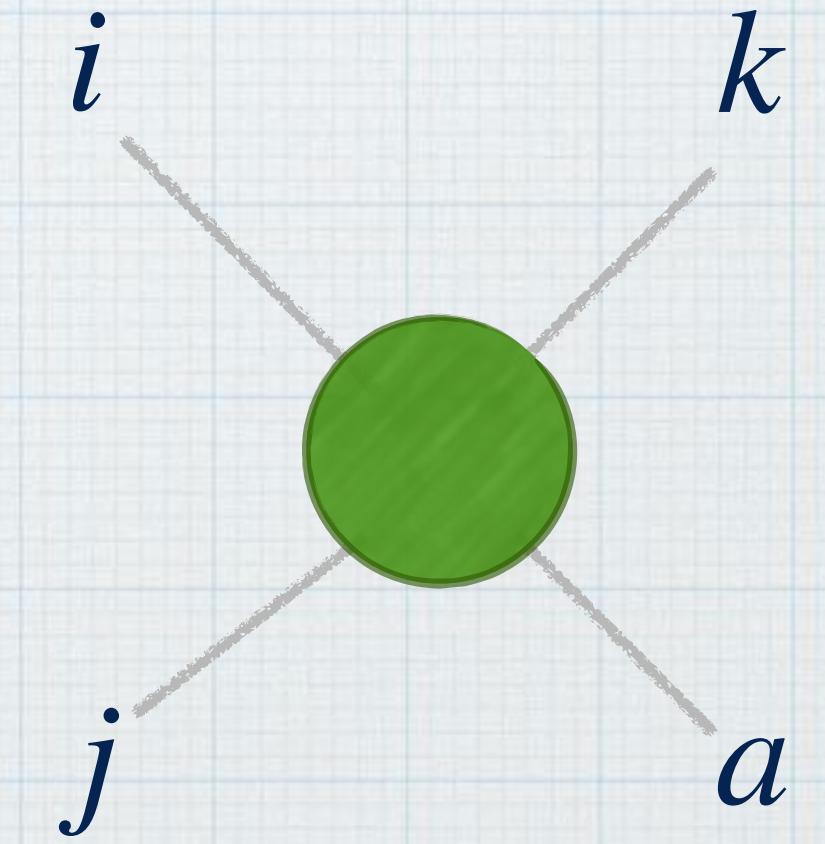
$$\frac{d}{dt}n_a + 3Hn_a = \gamma_a \left( 1 - \frac{n_a}{n_a^{\text{eq}}} \right)$$

Number density  $n_i^{\text{eq}} = \frac{m_i^2}{2\pi^2} T K_2 \left( \frac{m_i}{T} \right) \propto T^3$  For relativistic case  
 Modified Bessel function 2nd kind  
 Entropy degrees of freedom  $s H x \frac{dY_a}{dx} = \left( 1 - \frac{1}{3} \frac{\ln g_s^*}{\ln x} \right) \gamma_a(x) \left( 1 - \frac{Y_a}{Y_a^{\text{eq}}} \right)$ ,  $x = \frac{m}{T}$ ,  $Y_a = \frac{n_a}{s}$ ,  $\gamma_a \equiv n_a^{\text{eq}} \Gamma_a$   
 Sum of all interaction rates Axion yield

Axion production rate from two body scattering of SM particles in a general case:

$$\Gamma_{a,S} = \frac{g_i g_j}{32\pi^4 n_a^{\text{eq}}} T \int_{s_{\min}}^{\infty} ds \frac{\lambda(s, m_i, m_j)}{\sqrt{s}} \sigma_{ij \rightarrow ka}(s) K_1 \left( \frac{\sqrt{s}}{T} \right)$$

Degrees of freedom of incoming particles  
 Center of mass energy  $s_{\min} = \text{Max} \left[ (m_i + m_j)^2, m_k^2 \right]$   
 Modified Bessel function 1st kind  
 Kaellen function  $\lambda(x, y, z) \equiv [x - (y + z)^2][x - (y - z)^2]$



# Axion Production in the Early Universe

Axions can have different couplings with the SM particles depending on the axion model.

Lagrangian of KSVZ axion model:

$$\mathcal{L}_{aG} = \frac{\alpha_s}{8\pi} \frac{a}{f_a} G_{\mu\nu}^A \tilde{G}^{A\mu\nu}$$
$$\mathcal{L}_{a\pi} = \frac{\partial_\mu a}{f_a} \frac{c_{a\pi\pi}}{f_\pi} [\pi^0 \pi^+ \partial^\mu \pi^- + \pi^0 \pi^- \partial^\mu \pi^+ - 2\pi^+ \pi^- \partial^\mu \pi^0]$$

Coupling depends on light quark masses

Pion decay constant

Main interactions for the KSVZ axion case above and below the QCD transition:

$$T \gtrsim \Lambda_N : q + \bar{q} \rightarrow g + a, \quad q/\bar{q} + g \rightarrow q/\bar{q} + a, \quad g + g \rightarrow g + a$$

$$T \lesssim \Lambda_{\text{ChPT}} : \pi^+ + \pi^0 \rightarrow \pi^+ + a, \quad \pi^- + \pi^0 \rightarrow \pi^- + a, \quad \pi^+ + \pi^- \rightarrow \pi^0 + a$$

The production rate from rest of hadrons e.g. kaons, neutrons, protons, etc. contribute up to 10 % at  $T_{\text{QCD}} \simeq 150$  MeV. It can safely be ignored!

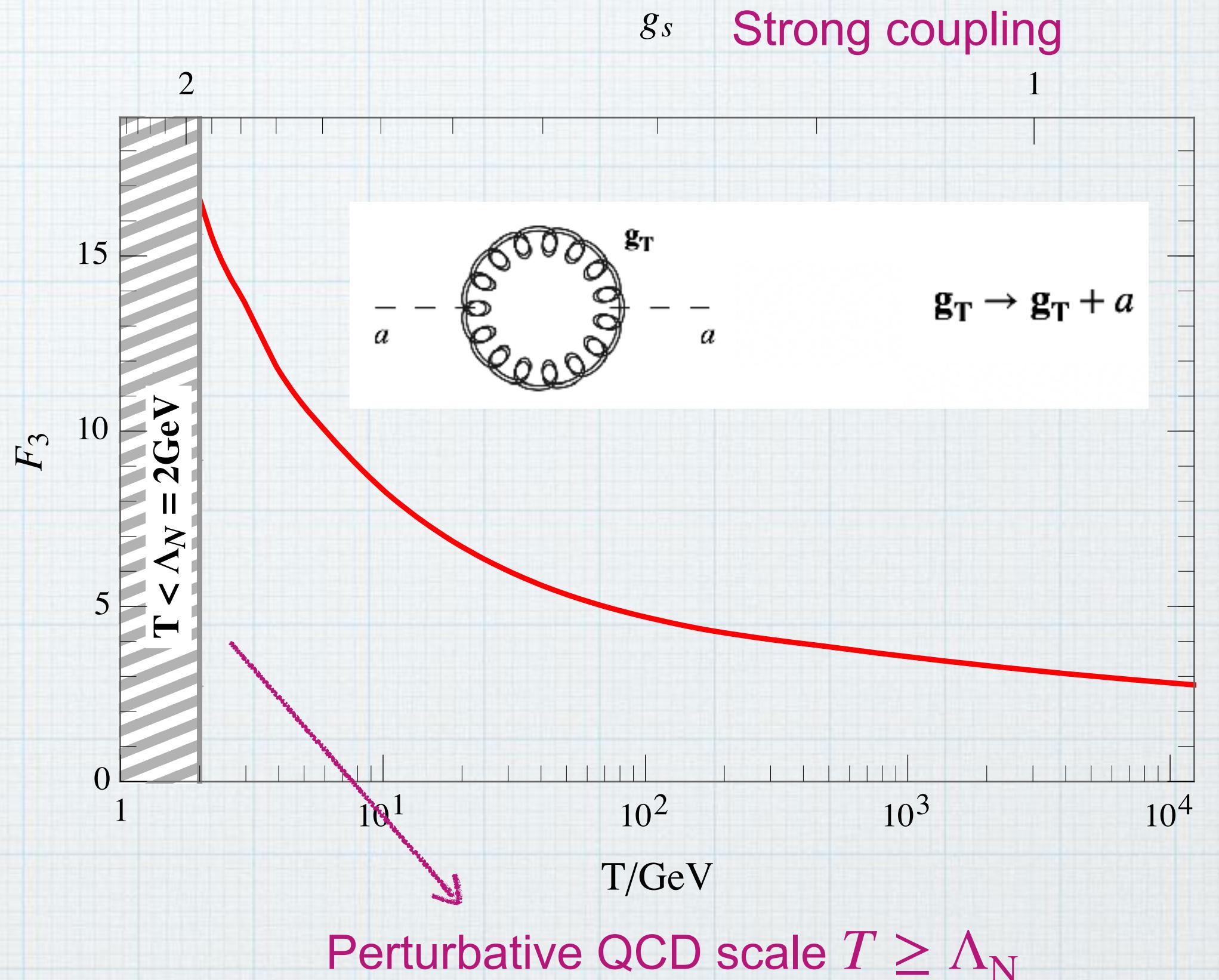
# Thermal Effects on Gluon and Quark Scatterings

We consider the running of strong coupling  $g_s$  up to four loop orders for axion production from gluon and quark scatterings using RunDec package. Taking care of IR divergences due to massless gluons:

$$\gamma_{gg} = \frac{2\zeta(3)d_g}{\pi^3} \left( \frac{\alpha_s}{8\pi f_a} \right)^2 F_3(T) T^6$$

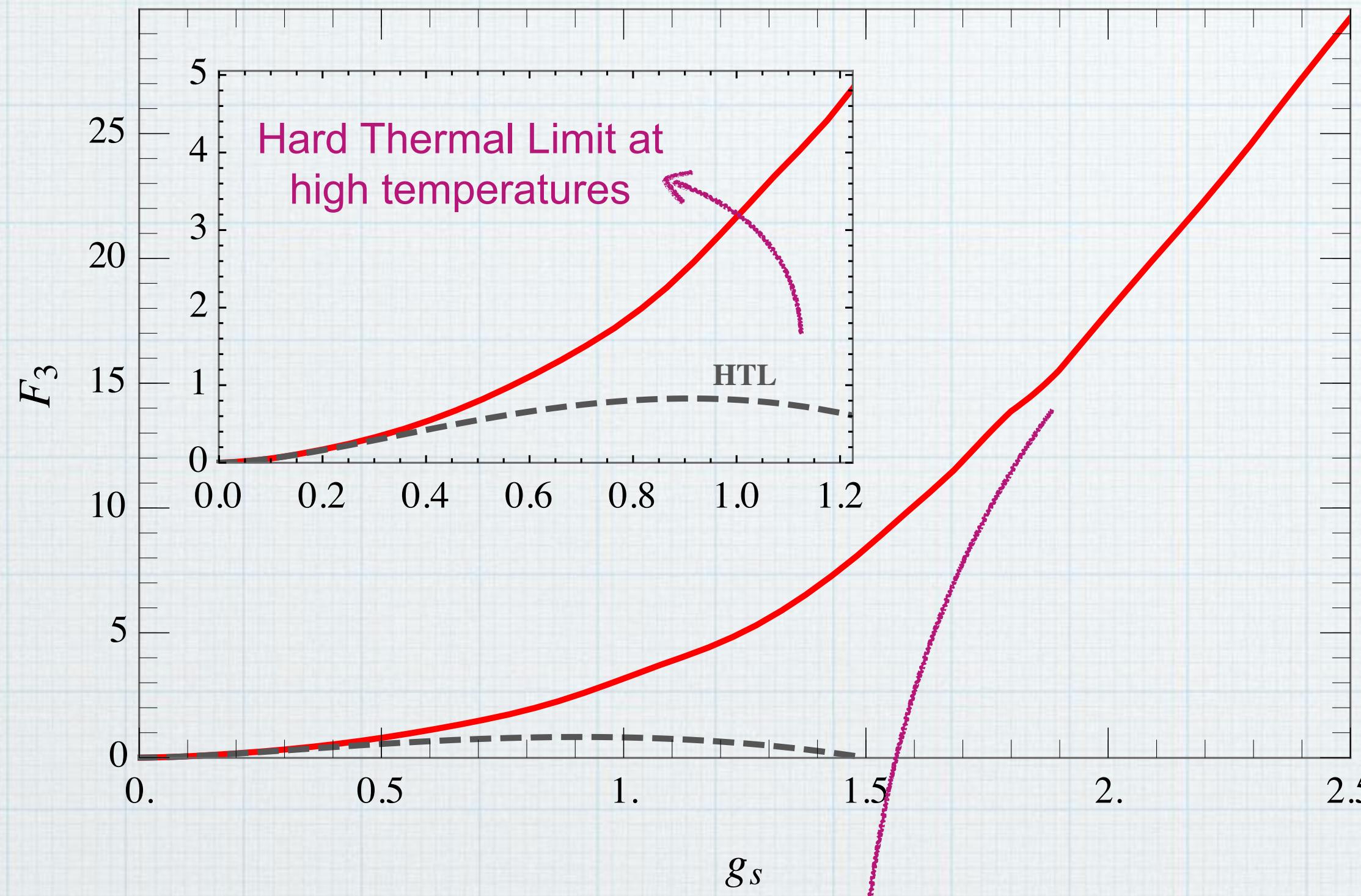
The only diagram contributing to the rate is the one-loop axion two-point function with virtual gluons exchanged, and with the tree-level gluon propagator replaced with the resummed thermal one.

Using continuum and pole approximations outside and inside the light cone for transverse and longitudinal parts of gluon propagator we can numerically calculate the function  $F_3(T)$ .

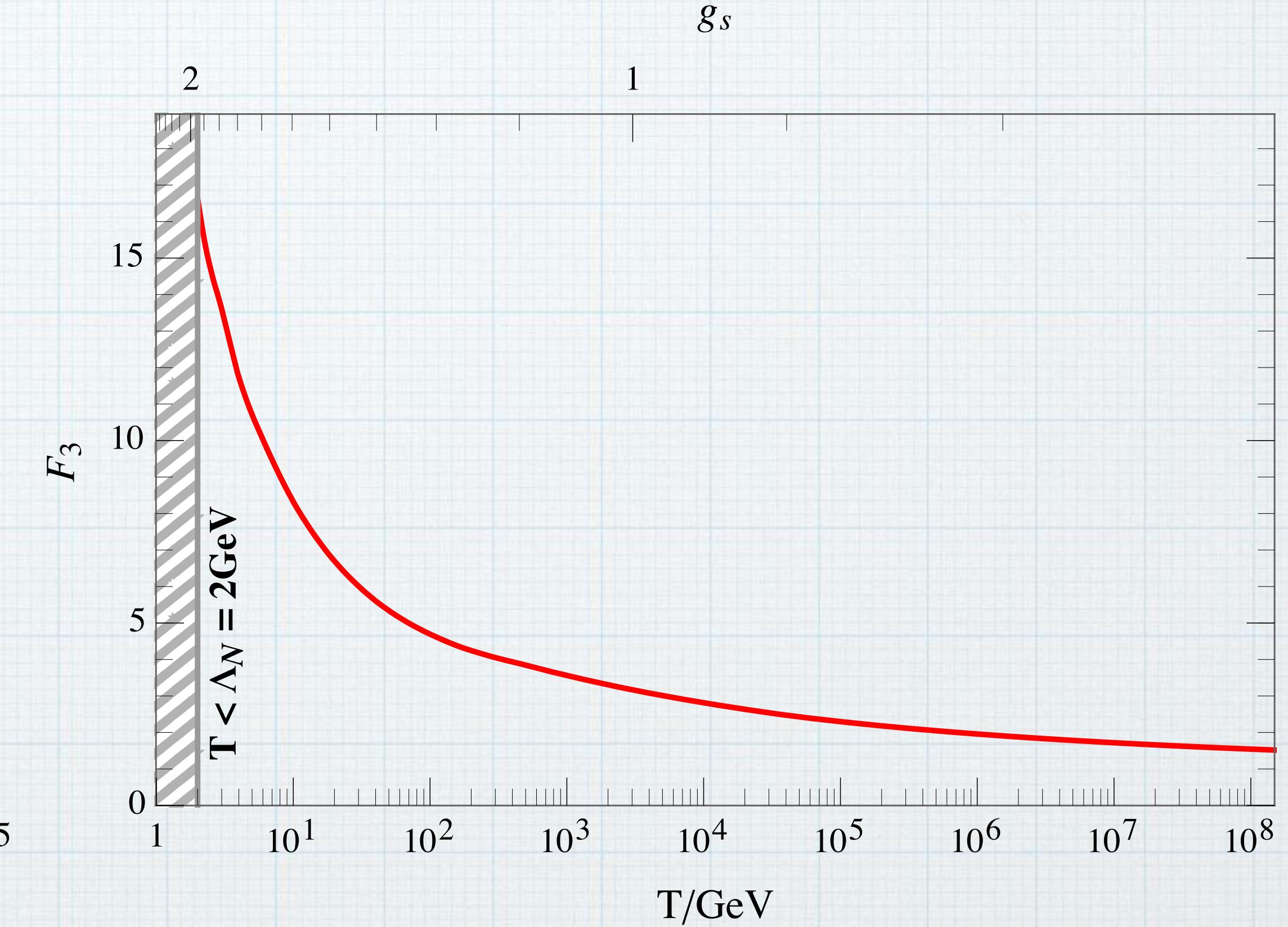


This calculation is also important for DFSZ model and contributes to the rate!

# Thermal Effects on Gluon and Quark Scatterings



We included quark mass effects in  
the thermal propagators!

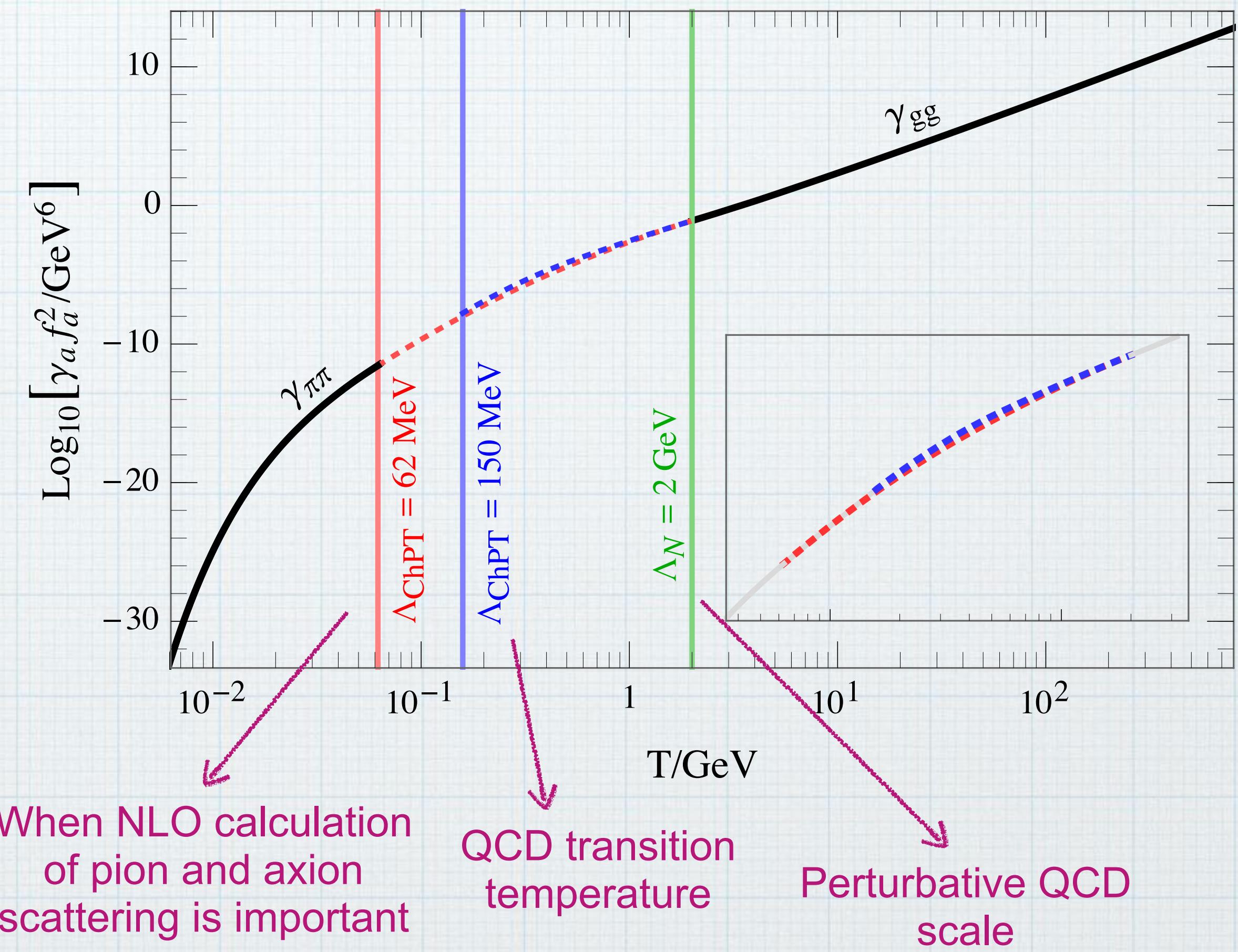


# KSVZ Axion Production Rate

Calculating the total rate of KSVZ axion at low temperatures:

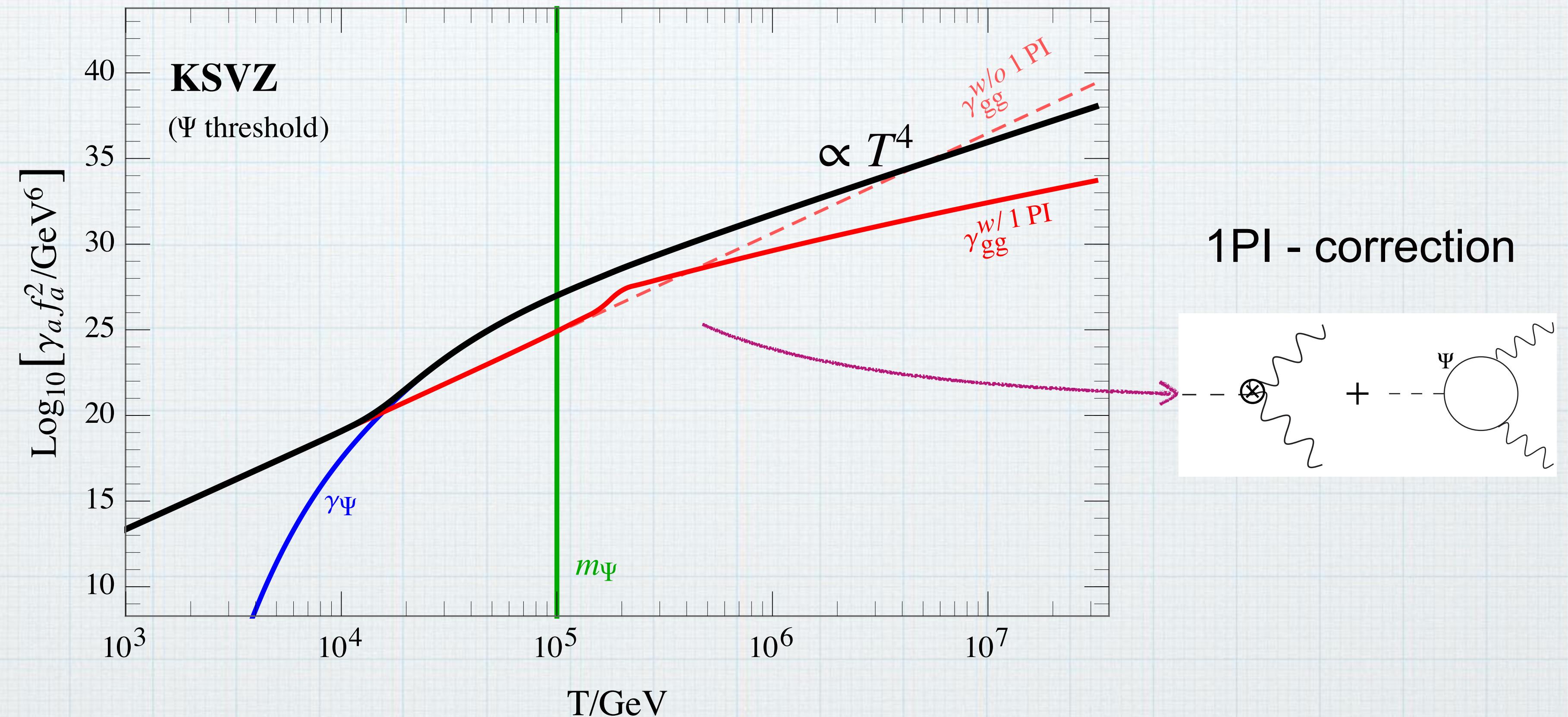
Due to crossover nature of QCD transition in the early universe at vanishing baryon asymmetry we can interpolate between the two regimes that gives a reasonable rate in the intermediate QCD confinement region.

There is a small change in the rate due to different interpolation regime either choosing  $\Lambda_{\text{ChPT}} = 62$  or 150 MeV!



# KSVZ Axion Production Rate

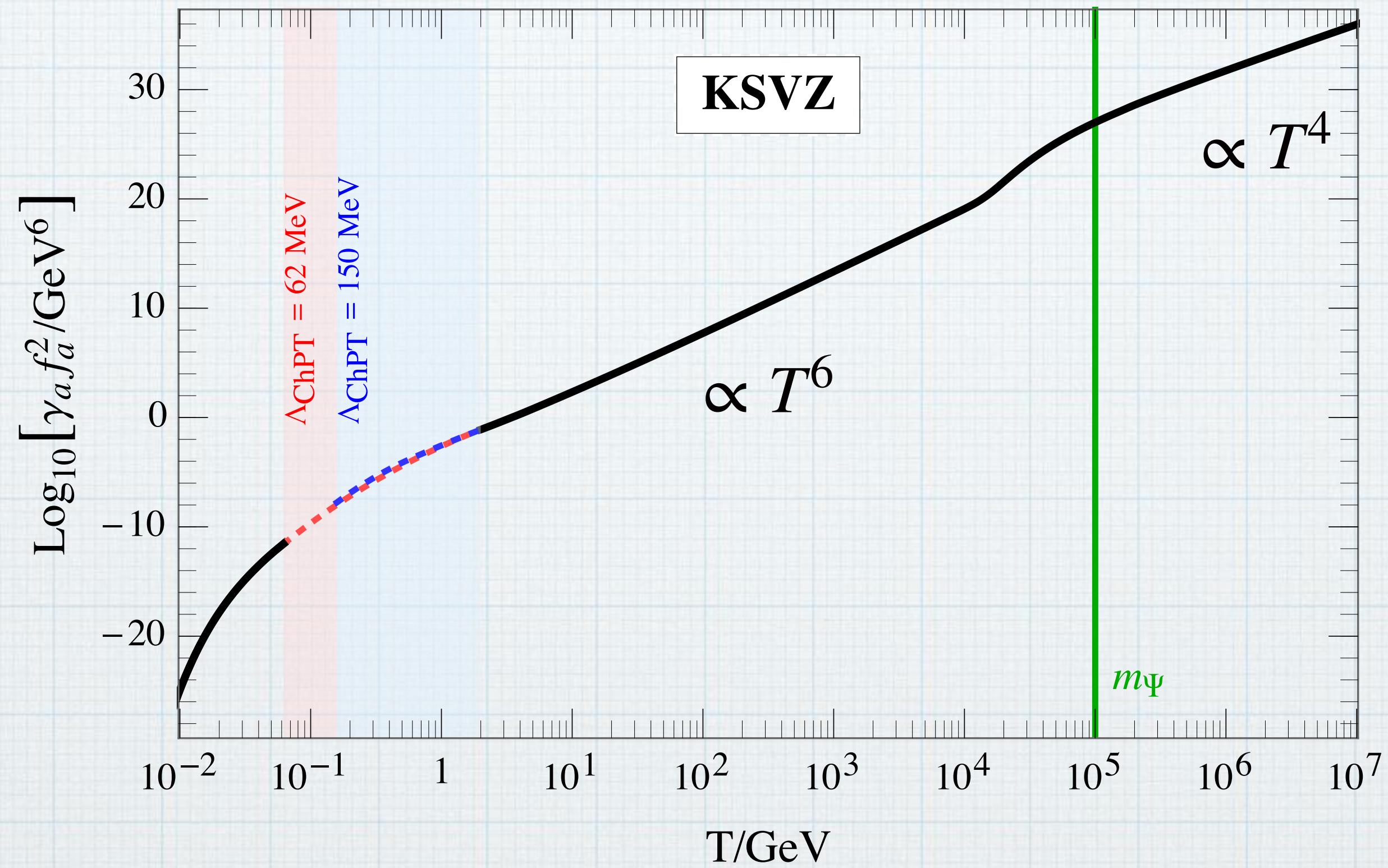
Axion production rate for KSVZ model around the Peccei-Quinn fermion mass  $m_\Psi$  :



$$\mathcal{L}_{a\Psi} = im_\Psi \frac{a}{f_a} \Psi_L^\dagger \Psi_R \rightarrow T \gtrsim m_\Psi : \Psi + \bar{\Psi} \rightarrow g + a, \Psi/\bar{\Psi} + g \rightarrow \Psi/\bar{\Psi} + a$$

# KSVZ Axion Production Rate

Total axion production rate for KSVZ model at different temperatures:



# Effective Number of Relativistic Axion $\Delta N_{\text{eff}}$

By calculating all axion interactions for any given model the number of effective extra degrees of freedom dominated by axions can be evaluated:

$$\Delta N_{\text{eff}} \equiv N_{\text{eff}} - N_{\text{eff}}^{\text{SM}} = \frac{8}{7} \left( \frac{11}{4} \right)^{4/3} \frac{\rho_a}{\rho_\gamma} \simeq \frac{4}{7} \left( \frac{11}{4} \right)^{4/3} \left[ \frac{2\pi^4}{45\zeta(3)} g_s^{\text{SM}}(T_{\text{CMB}}) Y_a^\infty \right]^{4/3}$$

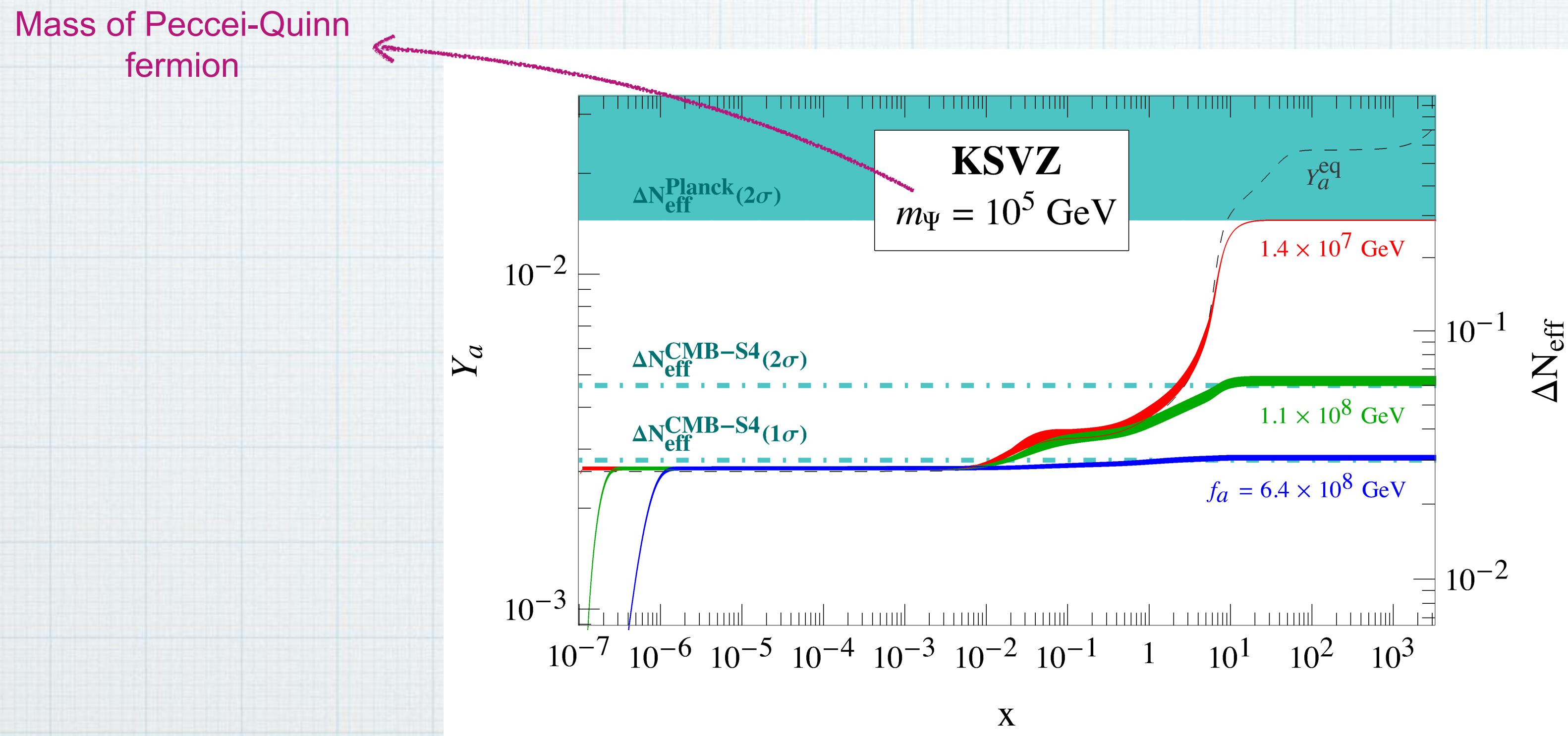
$$\Delta N_{\text{eff}} \simeq 75.6 [Y_a^\infty]^{4/3}$$

It can be constrained by CMB experiments which can falsify different axion models!

When axions thermalise ( $\Gamma_a \gtrsim H$ ) the value of  $\Delta N_{\text{eff}}$  gets its maximum. If axions do not reach the thermal equilibrium the final value of  $\Delta N_{\text{eff}}$  depends on the initial abundance of them!

# Axion Yield in KSVZ Model

Axion yield evolution versus time or temperature in the KSVZ model:



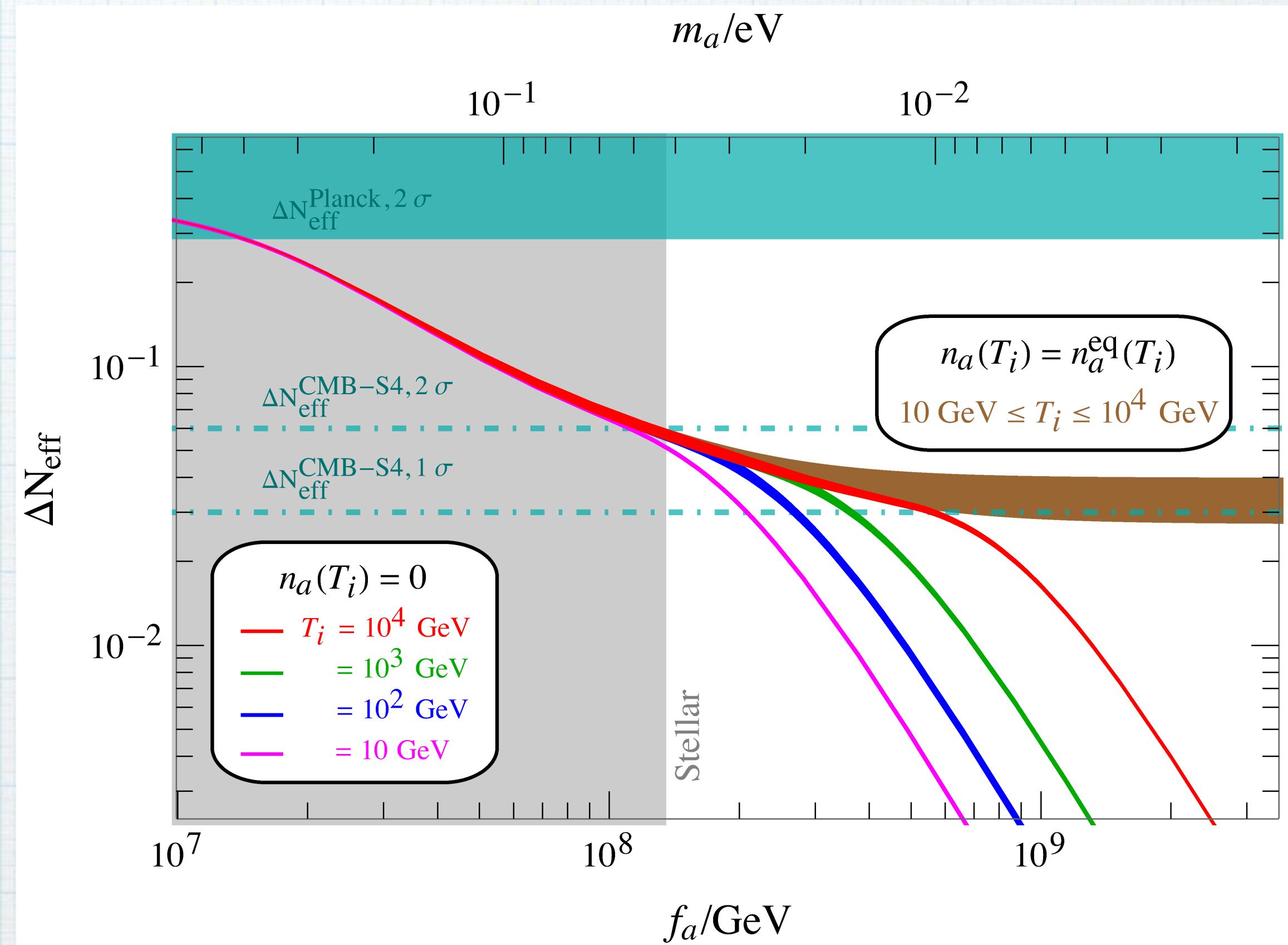
# Detectability Perspective For Different Axion Decay Constants

Number of effective neutrinos  $\Delta N_{\text{eff}}$  versus axion decay constant  $f_a$  :

Planck is not sensitive enough for large  $f_a$  that is not constrained by supernova bound!

We consider different initial temperatures and initial axion densities.

CMB-S4 experiment can probe a large portion of this parameter space in case  $\Delta N_{\text{eff}} \gtrsim 0.03$  for different  $f_a$ 's!



Stellar bound from supernova SN1987A  
 $f_a \gtrsim 1.4 \times 10^8 \text{ GeV} !$

# DFSZ Model

Different parts of Lagrangian of DFSZ axion after Peccei-Quinn symmetry breaking and field redefinitions:

$$\mathcal{L}_{aG} = \frac{\alpha_s}{8\pi} \frac{a}{f_a} G_{\mu\nu}^A \widetilde{G}^{A\mu\nu}$$

Coupling depends on light quark masses and Higgs vev's

$$\mathcal{L}_{a\pi} = \frac{\partial_\mu a}{f_a} \frac{c_{a\pi\pi\pi}}{f_\pi} [\pi^0 \pi^+ \partial^\mu \pi^- + \pi^0 \pi^- \partial^\mu \pi^+ - 2\pi^+ \pi^- \partial^\mu \pi^0]$$

Pion decay constant

Strong interactions for DFSZ axion case above and below the QCD transition:

$$T \gtrsim \Lambda_N : q + \bar{q} \rightarrow g + a, q/\bar{q} + g \rightarrow q/\bar{q} + a, g + g \rightarrow g + a$$

$$T \lesssim \Lambda_{\text{ChPT}} : \pi^+ + \pi^0 \rightarrow \pi^+ + a, \pi^- + \pi^0 \rightarrow \pi^- + a, \pi^+ + \pi^- \rightarrow \pi^0 + a$$

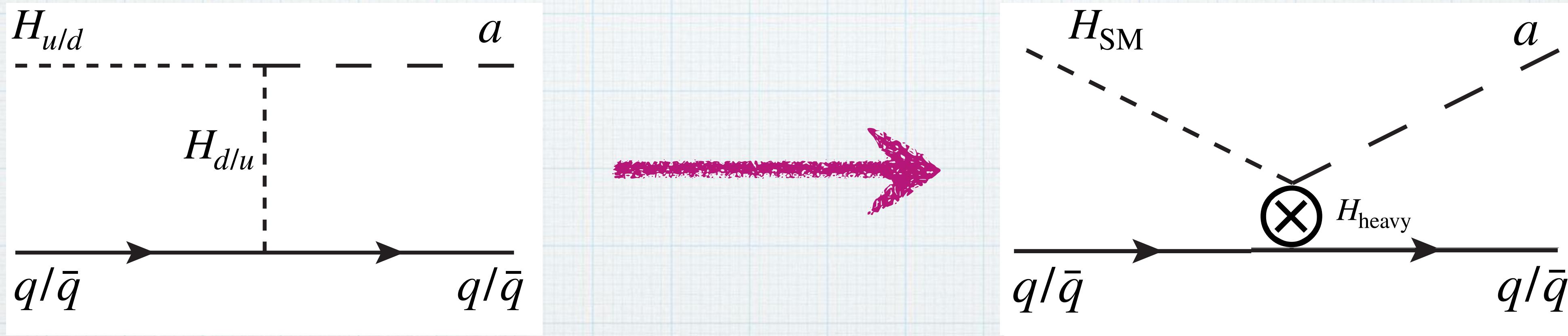
In the DFSZ model axion also couples to leptons, quarks and weak gauge bosons and we have two Higgs doublets. Coupling of axion with leptons leads to leptons and photons interactions:

$$\mathcal{L}_{al} = \frac{\partial_\mu a}{f_a} \sum_f c_f \bar{f} \gamma^\mu f$$

# DFSZ Model

Electroweak sector interaction for axion production assuming the decoupling limit for the two Higgs doublet model:

$$\mathcal{L}_{\text{DFSZ}}^{\text{linear}} \supset i \frac{a}{f_a} \frac{B}{3} (H_u^T i \sigma^2 H_d) + \text{h.c.}$$

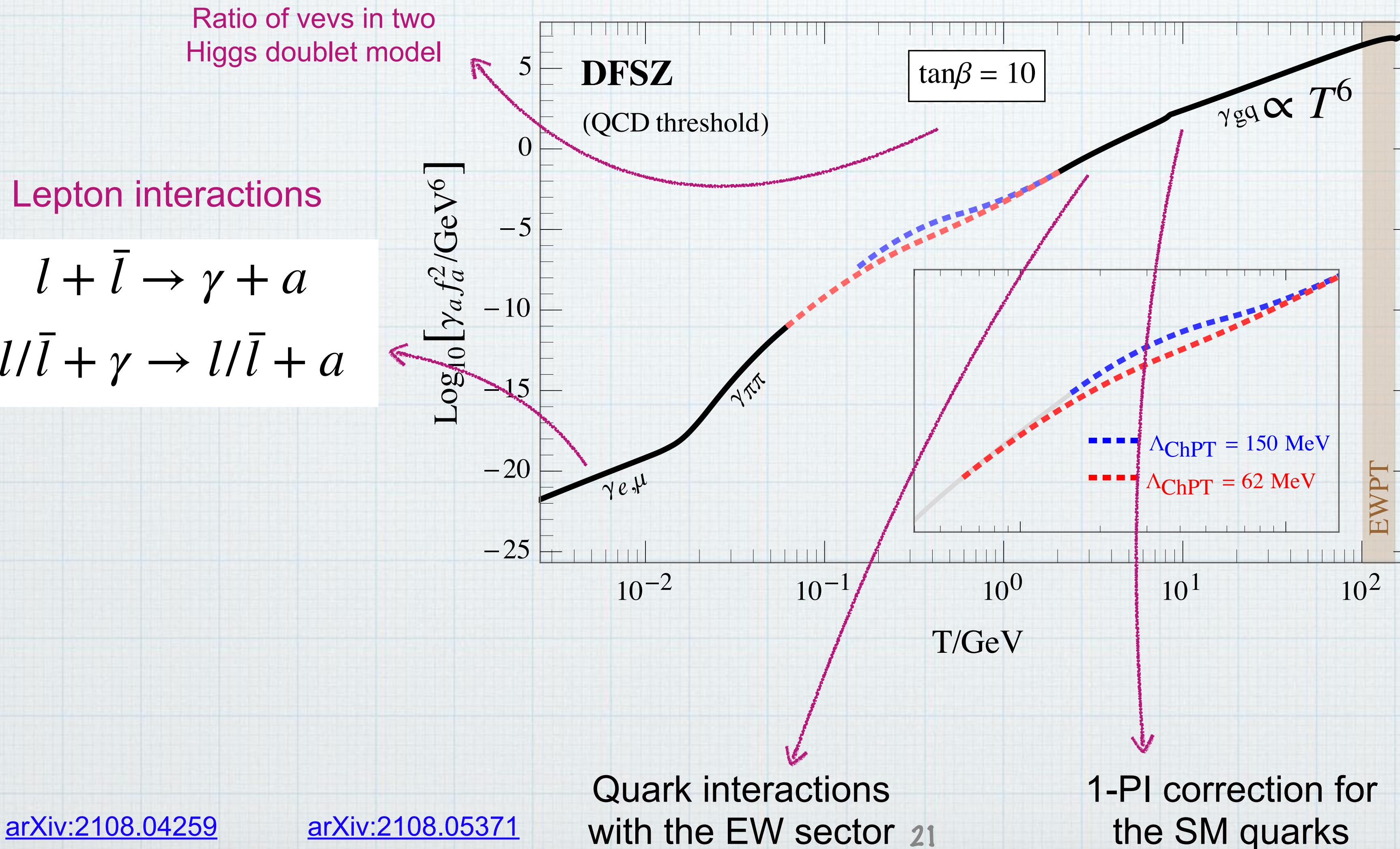


Below the pseudo-scalar mass scale one can integrate out the heavy Higgses:

$$\mathcal{L}_{\text{DFSZ}}^{\text{linear}} \Big|_{T < m_A} = i \frac{a}{f_a} \left( -\frac{\cos \alpha \cos \beta}{3} \bar{Q}_L \widetilde{H}_{\text{SM}} Y^{(u)} u_R - \frac{\sin \alpha \sin \beta}{3} \bar{Q}_L H_{\text{SM}} Y^{(d)} d_R - \frac{\sin \alpha \sin \beta}{3} \bar{L}_L H_{\text{SM}} Y^{(e)} d_R \right) + \text{h.c.}$$

# Axion Production Rate in DFSZ Model

Axion production rate for DFSZ model at low temperatures below the EWPT:



# Axion Production Rate in DFSZ Model

Axion production rate for DFSZ model around the psuedo-scalar mass and above the EW scale:

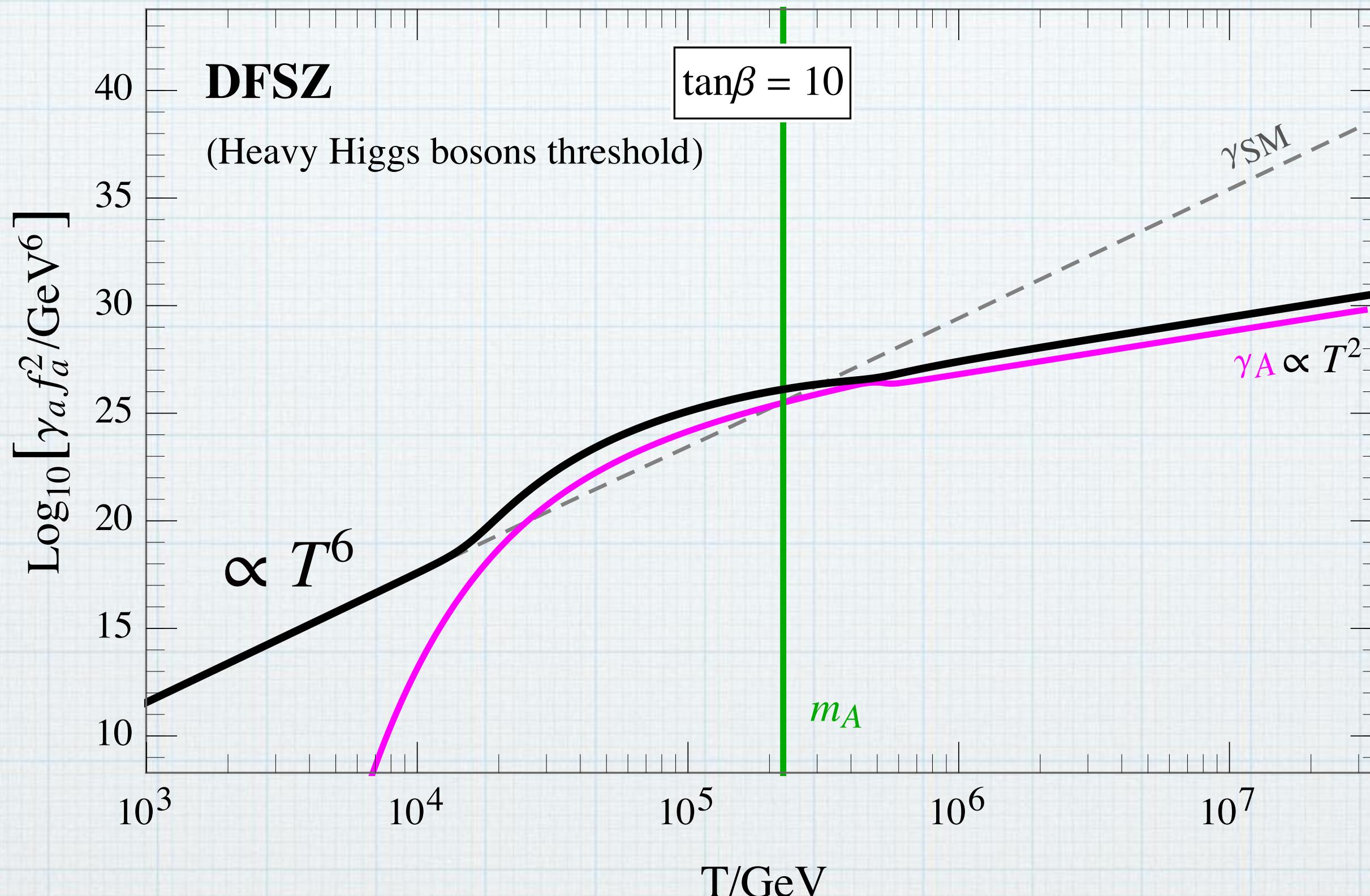
Fermion interaction with the EW sector

$$f + \bar{f} \rightarrow h^0/G^0 + a$$

$$f + \bar{f}' \rightarrow G^\pm + a$$

$$f/\bar{f} + h^0/G^0 \rightarrow f\bar{f} + a$$

$$f/\bar{f} + G^\pm \rightarrow f'/\bar{f}' + a$$



SM fermion interactions with the EW sector at high temperatures

$$u/d + \bar{u}/\bar{d} \rightarrow H_{d/u}^{(\dagger)} + a$$

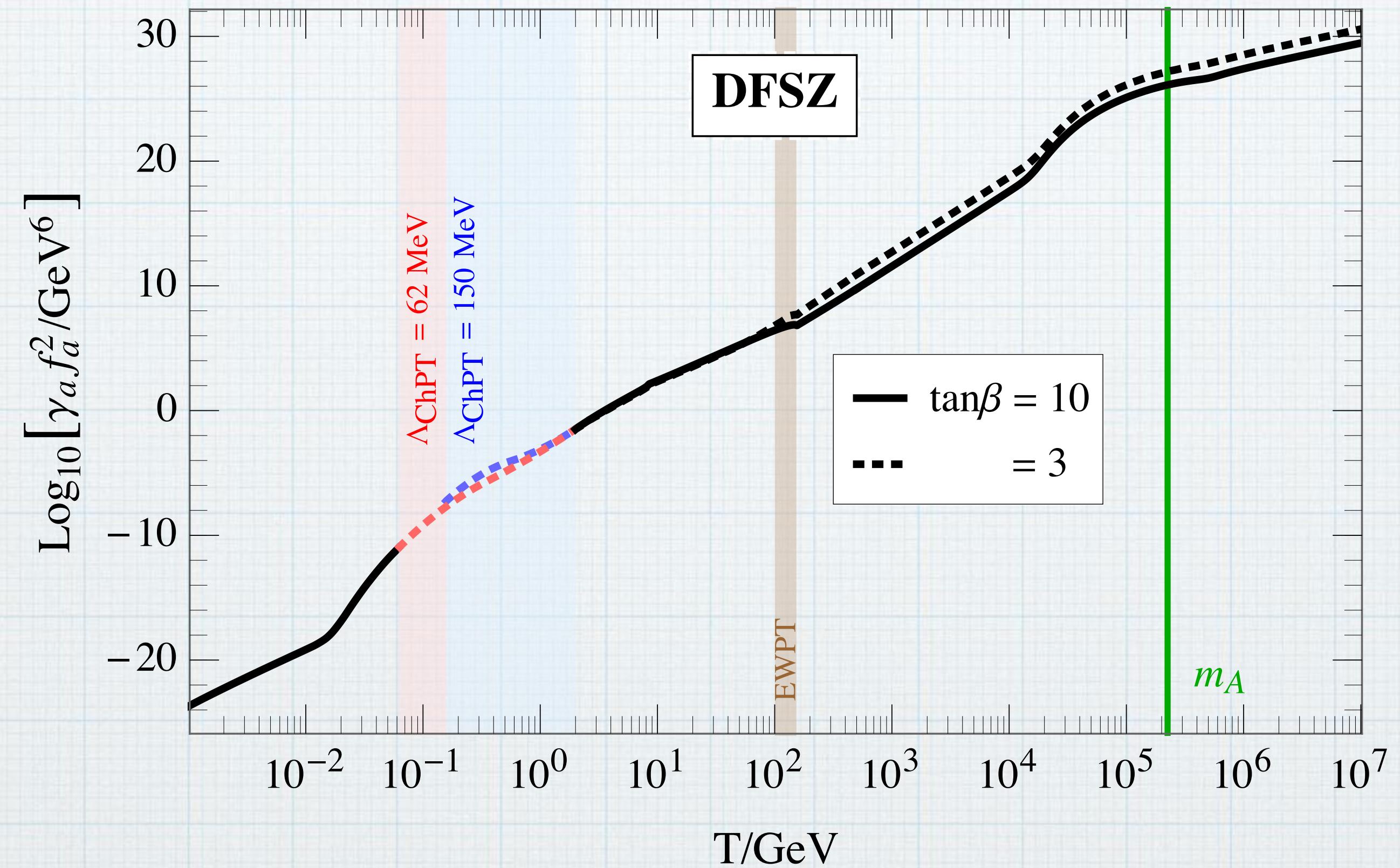
$$u/d(\bar{u}/\bar{d}) + H_{d/u}^{(\dagger)} \rightarrow u/d(\bar{u}/\bar{d}) + a$$

$$H_{u/d}^{(\dagger)} + V_\mu \rightarrow H_{d/u}^{(\dagger)} + a$$

$$H_{u/d}^{(\dagger)} + H_{d/u}^{(\dagger)} \rightarrow V_\mu + a$$

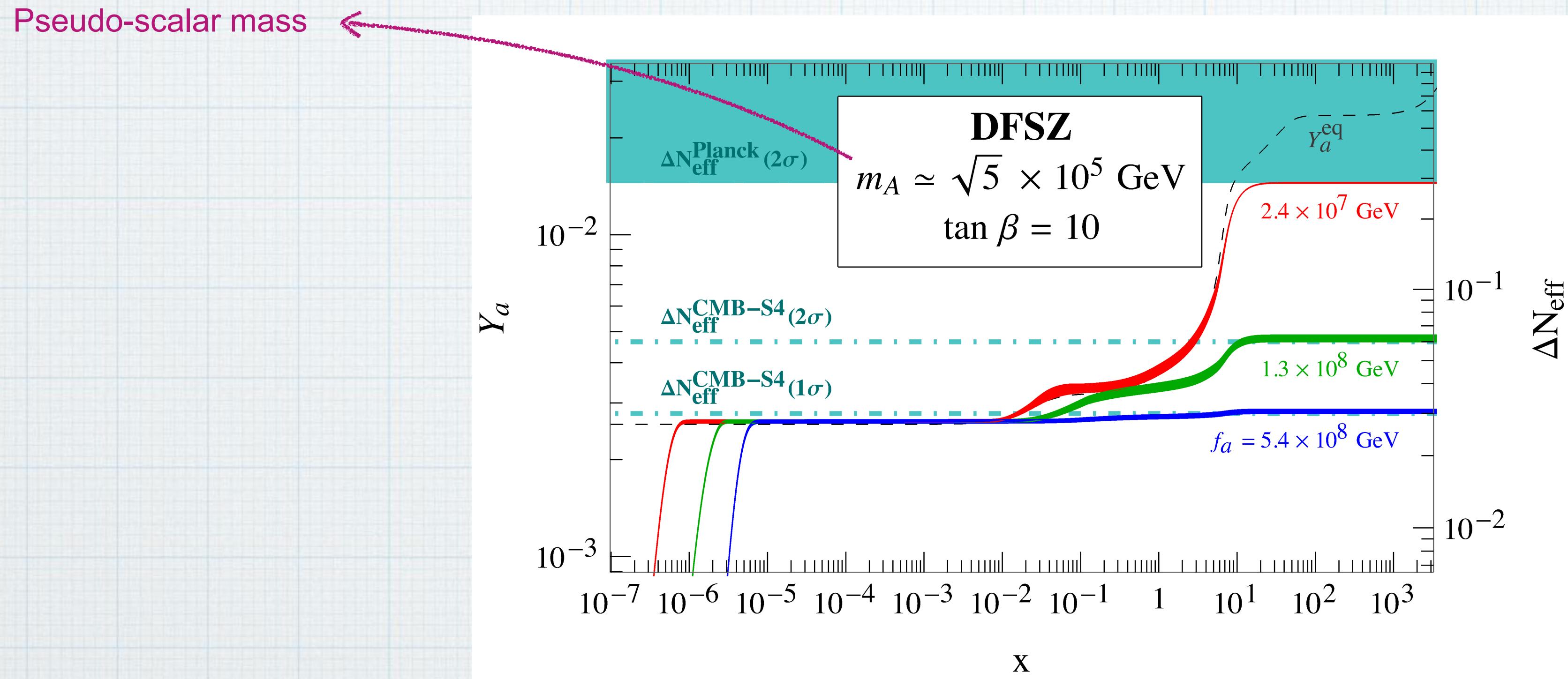
# Axion Production Rate in DFSZ Model

Total axion production rate for DFSZ model at different temperatures:



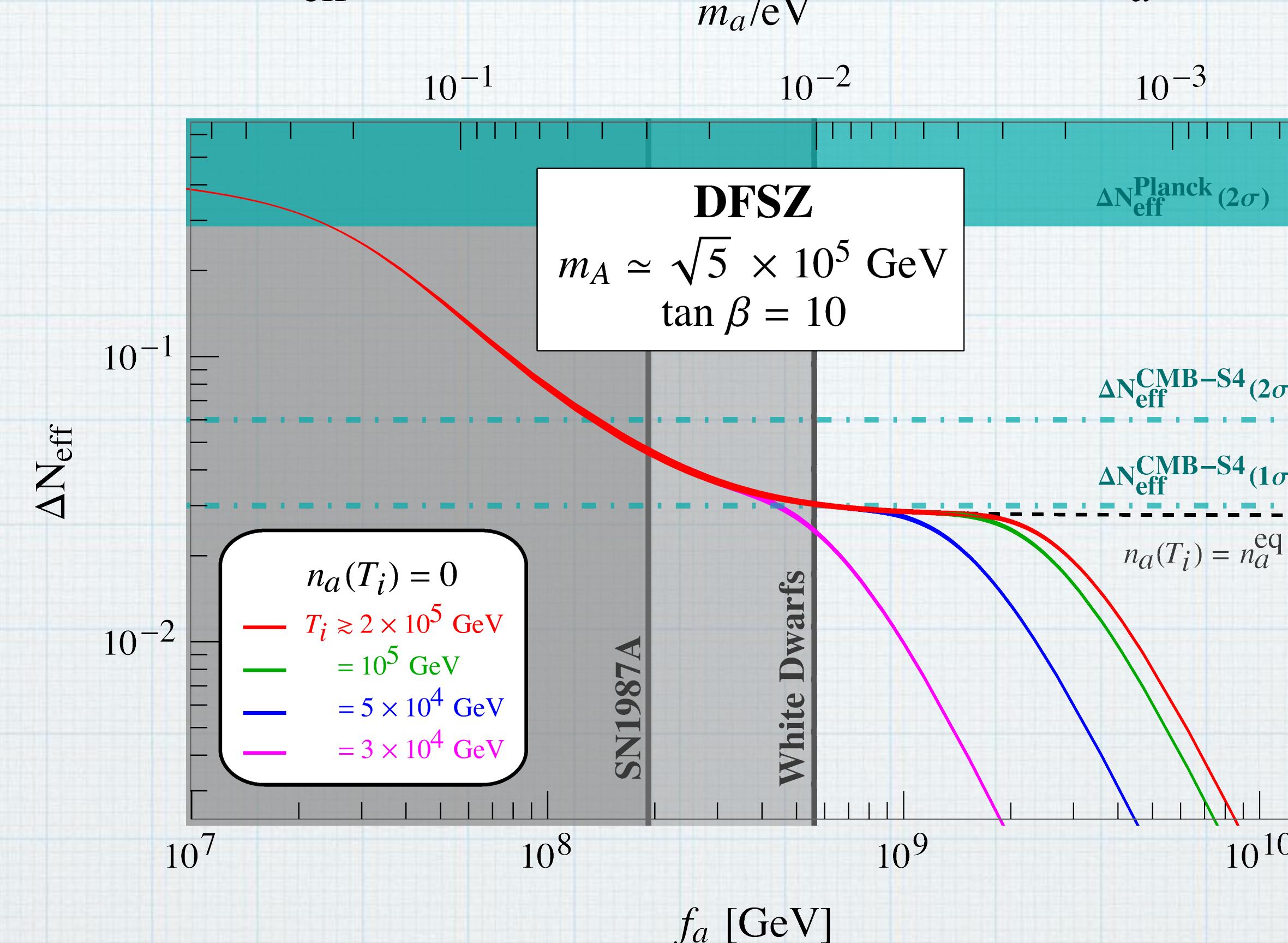
# Axion Yield in DFSZ Model

Axion yield evolution versus time or temperature:



# Detectability Perspective For Different Axion Decay Constants

Number of effective neutrinos  $\Delta N_{\text{eff}}$  versus axion decay constant  $f_a$  for DFSZ model:

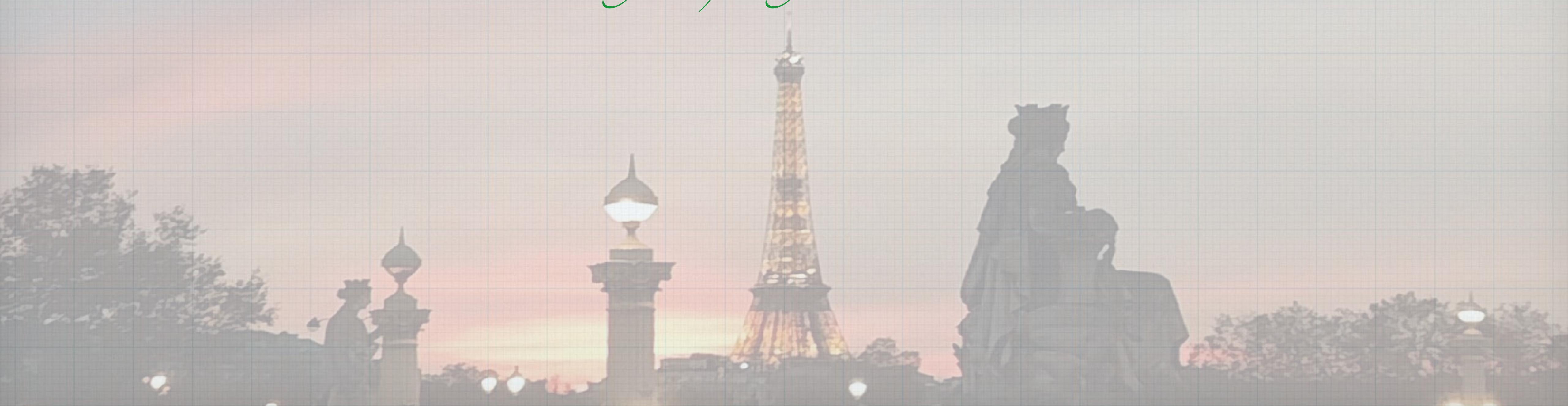


The astrophysical bounds on  $f_a$  in the DFSZ model are different from the KSVZ case due to different couplings with SM particles.

# Summary and Conclusions

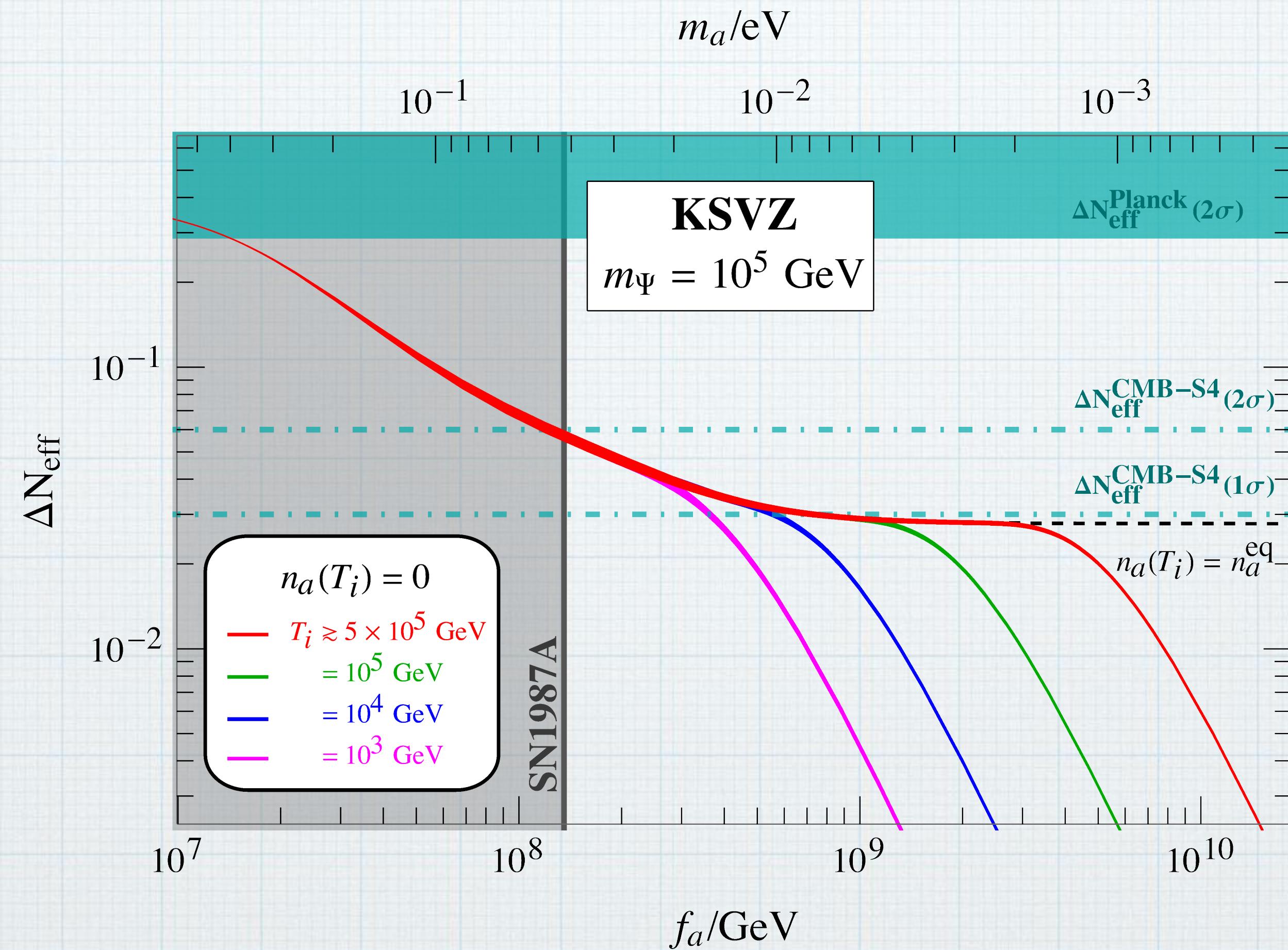
- \* Computing the axion production rate below and above the QCD crossover transition and matching the two limits with the interpolation that is physically meaningful. Also we did calculation across the electroweak transition and above that...
- \* Considering precise interaction rates of axion including thermal effects at different scales that improves the theoretical prediction for dark radiation from KSVZ and DFSZ axion models.
- \* Using accurate treatment of thermal bath at different temperatures to have a correct estimation of axion abundance.
- \* Predicting the precise value of  $\Delta N_{\text{eff}}$  for different axion models is theoretically motivated for future probes by experiments like CMB-S4.

*Thank you for your attention!*



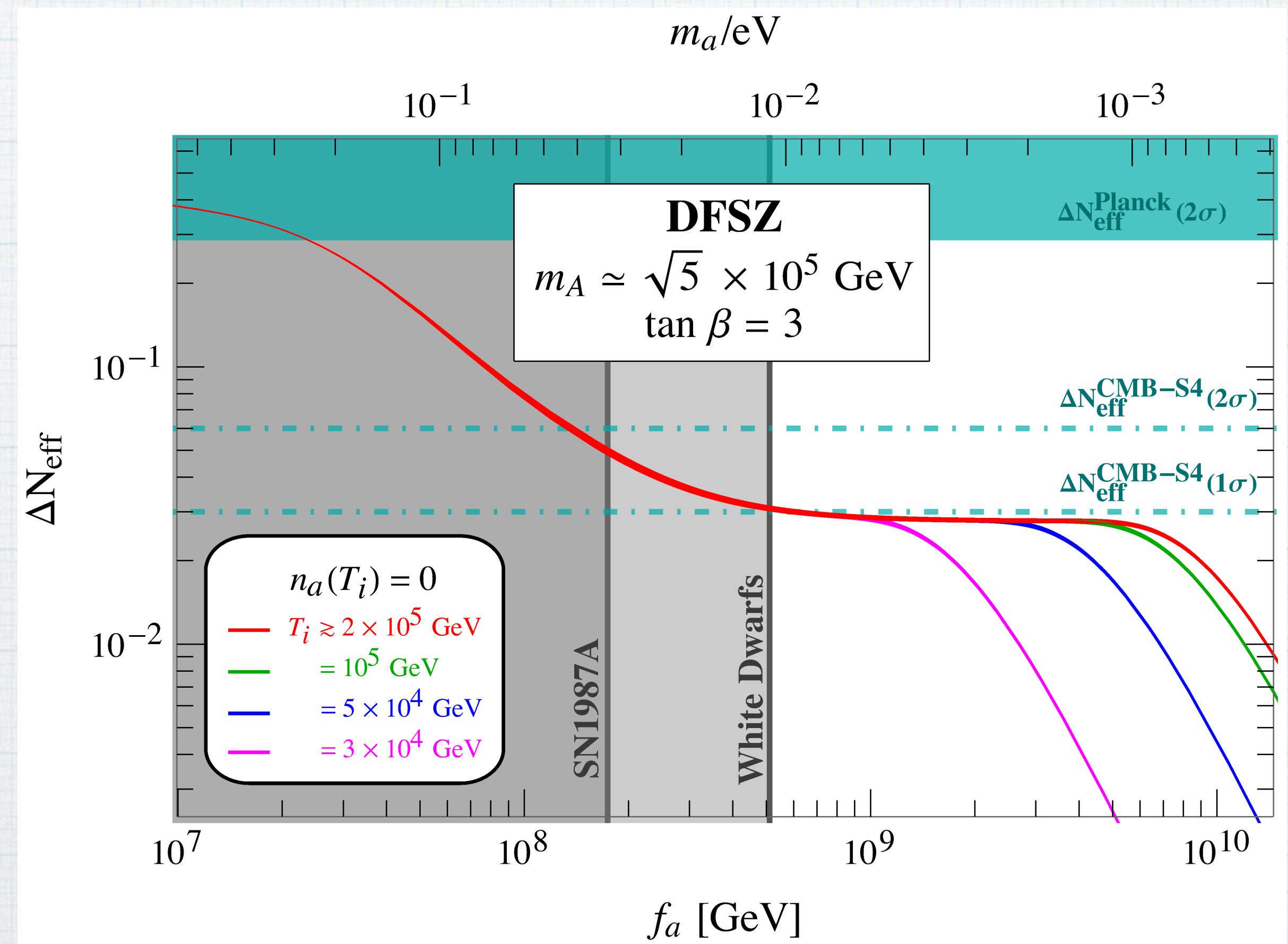
# Detectability Perspective of KSVZ Axion

Number of effective neutrinos  $\Delta N_{\text{eff}}$  versus axion decay constant  $f_a$  for KSVZ axion:



# Detectability Perspective of DFSZ Axion

The astrophysical bounds on  $f_a$  in the DFSZ model are different from the KSVZ case due to different couplings with SM particles.



# Uncertainties in the QCD Transition Regime

