

# Puzzles at the 3<sup>rd</sup> post-Minkowskian order

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COLLÈGE  
DE FRANCE  
—1530—

based on recent work with:

P. Di Vecchia, C. Heissenberg, R. Russo

and earlier one w/ A. Gruzinov,

and w/ M. Ciafaloni, D. Colferai, F. Coradeschi

# Introduction

- The recent detection of gravitational waves (GW) from coalescing binaries by LIGO/VIRGO has stimulated as well a lot of theoretical work on the subject.
- While the traditional methods for computing the expected waveforms (and interpret the signals):
  - Numerical Relativity (Pretorius, ...)
  - Post-Newtonian (PN) (Blanchet, ...)
  - Effective one body (EOB) (Buonanno-Damour, ...)are entirely classical, new approaches based on taking the classical limit of quantum-mechanical scattering amplitudes have been vigorously pursued.

- This brought together two theory communities:
  1. from **Classical General Relativity**;
  2. from **High-Energy Particle Physics**,generating a lot of synergy (e.g. **GGI workshop** 2021)
- Actually, the HE community has been interested in the gravitational 2-body problem since the **late eighties** ('t Hooft, Amati-Ciafaloni-GV\*), Muzinich & Soldate,...) albeit w/ completely different motivations (see below)
- In that context **high/transplanckian energy** is crucial in order to make gravity relevant/dominant in the collision of two elementary particles ( $\Rightarrow$  **UR** limit needed) and also to justify a semiclassical limit.

**\*) ACV hereafter**

- What was completely missed at the time is that **massive**, astrophysical **black holes** can be thought of as **elementary particles** (no hair=> just mass and spin). If so those **gedanken** experiments become **all but gedanken**. However for BH's, the **NR\*** (occasionally the **R**, but not the **UR**) regime is the most relevant one. Should we then forget about that earlier work? The answer is NO!
- In 1710.10599, Damour argued that **useful input** for the **EOB** can be obtained **from** the **high-energy/UR** regime of gravitational scattering and gave an example.
- Made sense, a posteriori: the **Post-Minkowskian** (i.e. loop) expansion could then be anchored to **two limiting cases**: the small-velocity **PN expansion** on the one hand, and the **UR limit** on the other.

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\* not to be confused w/ Numerical Relativity

# Outline

- The **massless** gravitational **eikonal**: a very quick reminder of **ACV (1987-2007)**
- The **deflection puzzle** (**ACV90** vs **BCRSSZ19**)
  - The (sharpened) **ACV90** argument
  - Solution via **radiation reaction** ( $\Rightarrow$  **CH's talk**)
  - (A spin crisis? **GV** & **G. Vilkovisky** to appear)
- The **radiation puzzle**
  - **ACV07**'s energy crisis at  **$m=0$**
  - **G&V-CC(C)V** (partial) solution
  - The **radiation puzzle** @ large  $\sigma$  ( $\Rightarrow$  **RR's talk**)
- (New challenges @ **4PM**)

# The massless gravitational eikonal: a quick reminder of ACV (1987-2007)

Motivations at the time were purely **theoretical**:

- The emergence of **classical** and **quantum** (string) gravity from **gedanken experiments** in flat spacetime (quite successful)
- The **information paradox** = checking **unitarity** of the resulting **S**-matrix even when the process is expected to lead to **black-hole formation** (not quite as successful)

# Results in the weak gravity regime

$(b \gg R = G E, l_s)$

- Restoring (elastic) unitarity via an eikonal resummation (trees violate p.w.u.)
- Gravitational deflection & time delay: emerging shock-wave metric at  $O(G)$  (Cf. 'tHooft); extension up to  $O(G^3)$  (ACV90, see later)
- t-channel "fractionation" and hard scattering (large  $Q$ ) from large-distance ( $b$ ) physics
- Tidal excitation of colliding strings, inelastic unitarity via an hermitian eikonal operator
- A first go at gravitational bremsstrahlung and an energy crisis (ACV07, see later)



Defining the **elastic** eikonal “phase” by

$$S(E, b) = \exp(2i\delta) \ ; \ \delta = \delta_0 + \delta_1 + \delta_2 + \dots \ ; \ \delta_n = \mathcal{O}(G^{n+1})$$

the following results were found in ACV90  
(**D=4, GR, massless**):

$$2\delta_0 = -\frac{Gs}{\hbar} \log \frac{b^2}{\lambda^2} \quad \text{classical (IR div. Coulomb phase)}$$

$$2\text{Re}\delta_1 = \frac{12G^2s}{\pi b^2} \log s \ ; \ \text{Im}\delta_1 = 0 \quad \text{quantum in massless case}$$

$$2\text{Re}\delta_2 = \frac{4G^3s^2}{\hbar b^2}$$

deflection

$$\text{Im}\delta_2 \sim \frac{G^3s^2}{\hbar b^2} \log s \log \frac{b^2}{\lambda^2}$$

radiation

classical



# The deflection puzzle

- In 1901.04424/1908.01493 an impressive calculation by BCRSSZ led to the first "complete" 3PM (i.e. 2-loop) result (in GR for two massive scalars).
- Checked to be consistent up to "5PN" (later also to "6PN") order but presented a puzzle.
- The high-energy (or just the massless) limit of the BCRSSZ result exhibited a logarithmic divergence in contrast with the perfectly finite ACV90 result.
- NB: gravity is supposedly free from  $m=0$  (collinear) divergences. Quite some controversy -and a lot of confusion- was generated.

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BCRSSZ = Bern, Cheung, Roiban, Shen, Solon, Zeng

- Furthermore, both the massless limit of ACV90 (in DNRVW-1911, BIP-MR-2002) and the BCRSSZ log-enhancement @ large  $s/m^2$  (in P-MRZ-2005) were checked and claimed to be "universal" and yet they looked mutually incompatible.
- The prevailing attitude for a while was that the limits  $q \ll m (\ll E)$  and  $m \ll q (\ll E)$  are unrelated.
- But the solution of the puzzle turned out to be different...and more interesting.

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DNRVW = Di Vecchia, Naculich, Russo, GV, White

BIP-MR = Bern, Ita, Parra-Martinez, Ruf

P-MRZ = Parra-Martinez, Ruf, Zeng

# Sharpening & solving the deflection puzzle

(DHRV 2008.12743)

# Sharpening the ACV90 argument

- To put ACV90 on more rigorous and general grounds (e.g. @  $q < m$ , extensions of GR) we used general properties of the scattering amplitude:
  - Real **analyticity**:  $A^*(s^*, t) = A(s, t)$
  - Asymptotics in order to write subtracted **fixed- $t$  dispersion relations**.
  - **Xing** symmetry:  $A(s, t) = A(u, t)$ ,  $u = -s - t + 2m_1^2 + 2m_2^2$
  - Perturbative **Unitarity**
- From those we get informations on the high-energy limit of  $\rho = \text{Re}A(s, t) / \text{Im}A(s, t)$  in analogy with what is done in high-energy soft hadronic physics.
- Popular in '70s, now again w/ LHC (soft) data.

For an asymptotic behavior  $\text{Im } A \sim s^n \log^p s$ , those model-independent constraints allow to express the asymptotic  $\text{Re } \delta_2$  in terms of  $\text{Im } \delta_2$ ,  $\delta_0$ , and  $\delta_1$ :

$$2\text{Re}\delta_2 = \frac{\pi p}{2 \log s} (2\text{Im}\delta_2) - (4 - 3p) \frac{\delta_0}{s} (2\nabla\delta_0)^2 - (p - 1)(2\delta_0)(2\text{Im}\delta_1)$$

non-universal quantum piece 

For  $p$  generic we are left with a non-universal piece  $\sim \text{Im}\delta_1$ . Also, since neither  $\text{Im}\delta_1$  nor  $\delta_0$  have a  $\log s$ ,  $\text{Re } \delta_2$  would not have a finite UR limit for  $p > 1$ .

And, indeed,  $p=2$  is the BCRSSZ value...

$$2\text{Re}\delta_2 = \frac{\pi p}{2 \log s} (2\text{Im}\delta_2) - (4 - 3p) \frac{\delta_0}{s} (2\nabla\delta_0)^2 - (p - 1)(2\delta_0)(2\text{Im}\delta_1)$$

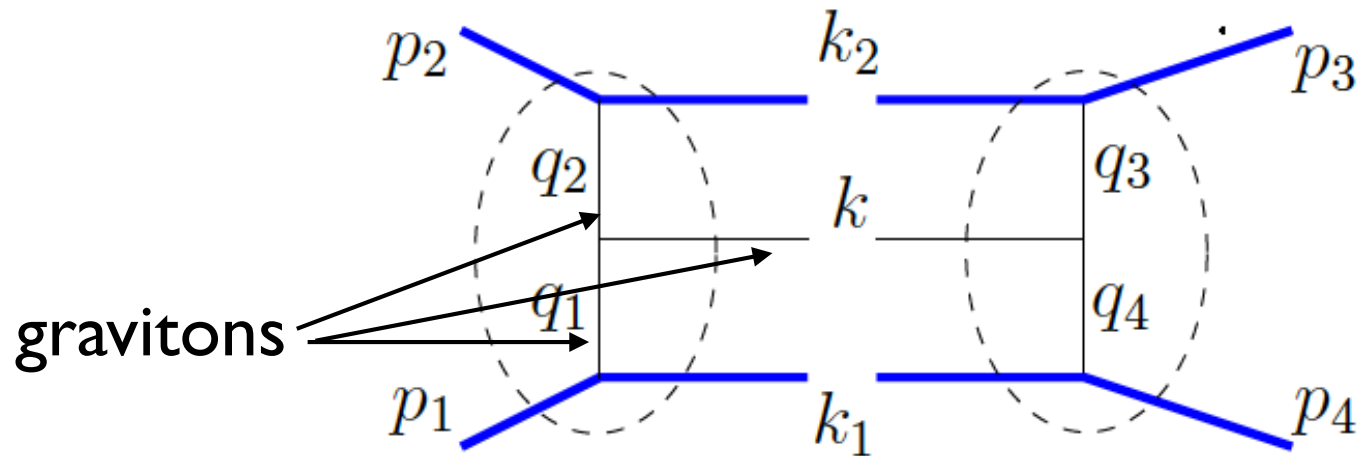
On the contrary, iff  $p=1$ ,  $\text{Re}\delta_2$  does **not** depend on the non-universal  $\text{Im}\delta_1$  and approaches a **finite UR limit**. The above relation simplifies to:

$$2\text{Re}\delta_2 = \frac{\pi}{2 \log s} (2\text{Im}\delta_2) - \frac{\delta_0}{s} (2\nabla\delta_0)^2$$

The logarithmically growing term in  $\text{Im}\delta_2$  has an **IR divergence** which, however, **cancels** against the  $\delta_0$  term. This yields a **finite result** for  $\text{Re}\delta_2$  whose large  $E/m$  limit **agrees** with the  $m=0$  **ACV90** result.

Shows the crucial role played by  $\text{Im}\delta_2$  which, by **unitarity**, is directly related to the **inelastic** (3-particle) **cut** of the two-loop amplitude.

- Computed in **ACV90** for  $m=0$ . We have redone the calculation for a generic  $q^2/m^2$  ratio.
- Its kinematics is shown below. Called **H** diagram in both **ACV** and **BCRSSZ**, but not the same!
- In ACV the full  $2 \rightarrow 3$  amplitude is squared.



It is **less IR singular** than the "H" diagram of **BCRSSZ**. We only get a **single log s** enhancement ( $p=1!$ ) from **k-rapidity** integration.



- We then computed the full amplitude in  $N=8$  **SUGRA** (massive ext. states introduced via KK) at arbitrary energy.
- We used **IBP+DE**, including contributions from the **full soft** (potential plus radiation) **region**.
- We found that, at high energy, the sum of **planar and non-planar ladders** gives a (subleading) contribution **of the same order as** the one coming from the **H** topology.
- The  $\log^2 s$  terms in  $\text{Im } A_2$  cancel in the sum; same for the  $\log s$  term in  $\text{Re } A_2$  (as implied by the A&X argument!)
- For the complete story see **CH's** talk!

# 3PM eikonal in **N=8** SUGRA

$$\text{Re}(\delta_2) = \frac{2G^3(2m_1m_2\sigma)^2}{\hbar b^2} \left[ \frac{\sigma^4}{(\sigma^2 - 1)^2} - \cosh^{-1}(\sigma) \left( \frac{\sigma^2}{\sigma^2 - 1} - \frac{\sigma^3(\sigma^2 - 2)}{(\sigma^2 - 1)^{5/2}} \right) \right]$$

P-MRZ/BCRSSZ

ACV-limit

New

cancel @ large  $\sigma$

$$2m_1m_2\sigma = s - m_1^2 - m_2^2$$

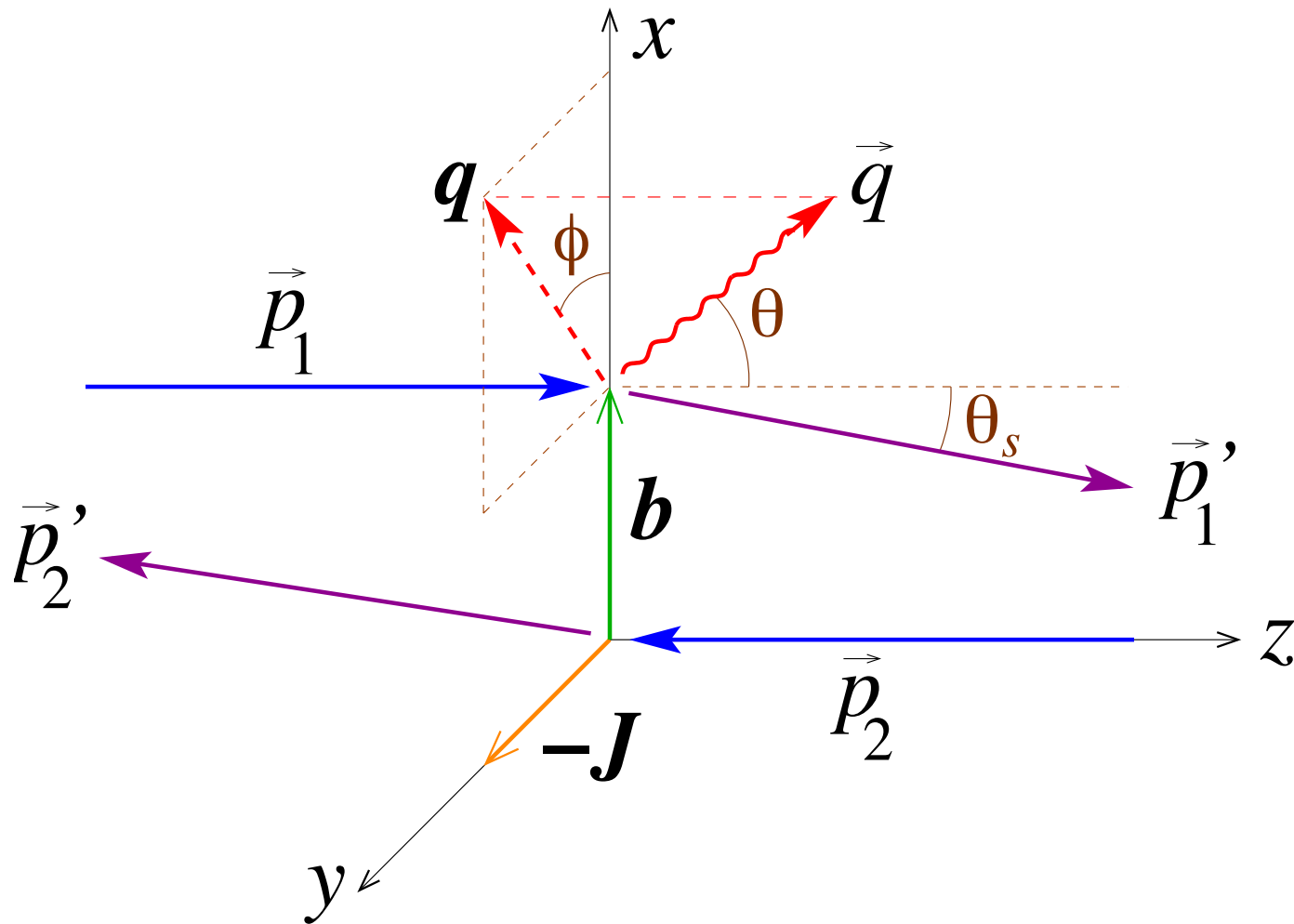
$$\cosh^{-1}(\sigma) \sim \log \sigma \text{ as } \sigma \rightarrow \infty$$

NB: new and old terms behave very **differentl**y in the **NR** limit,  **$\sigma \rightarrow 1$**  ( $s \rightarrow (m_1 + m_2)^2$ ), but cancel in **UR**

# The radiation puzzle:

I. Gravitational bremsstrahlung from massless particle collisions

# The process at hand



# An old "energy crisis"

(ACV 0712.1209, J.Wosiek & GV 0805.2973)

Graviton spectrum @  $\frac{G s}{\hbar} \frac{R^2}{b^2} \sim \langle n_{gr} \rangle \gg 1$

$$R \equiv 2G\sqrt{s} \ , \ \theta_s \sim \frac{2R}{b}$$

$$\frac{dE_{gr}}{d^2k \ d\omega} = G s \ R^2 \ exp \left( -|k||b| - \omega \frac{R^3}{b^2} \right) \ ; \ \Rightarrow \ \frac{E_{gr}}{\sqrt{s}} \sim 1$$

even @ small  $\theta_s \Rightarrow$  E-crisis. Instead, one would have hoped for a stronger cutoff in frequency, e.g.

$$\frac{dE_{gr}}{d^2k \ d\omega} = G s \ R^2 \ exp(-|k||b| - \omega R) \Rightarrow \frac{E_{gr}}{\sqrt{s}} \sim \frac{R^2}{b^2}$$

..and its (partial) resolution

# Three methods

1. A **classical GR** approach  
(A. Gruzinov & GV, 1409.4555)
2. An **amplitude-based** approach  
(CC&Coradeschi & GV, 1512.00281, Ciafaloni,  
Colferai & GV, 1812.08137)
3. ~~A **soft-theorem** approach (Laddha & Sen,  
1804.09193; Sahoo & Sen 1808.03288,  
2105.08739; Addazi, Bianchi & GV, 1901.10986)~~

Comment: #2 goes over to #1 in the classical limit where they agree with #3 in overlap of their respective domains of validity (see, **S&S 2105.08739** & **R. Russo's** talk this afternoon)



# Domains of validity

- The **classical GR** and **amplitude-based** approaches cover a wide range of GW frequencies but were limited, so far, to **small-angle** scattering/radiation.
- The **soft-theorems**-based approach is not limited to small deflection/radiation angles but is only valid in a **smaller frequency** region (and thus cannot address the energy-crisis issue)

# A classical GR approach

(A. Gruzinov & GV, 1409.4555)

Based on Huygens superposition principle in Fraunhofer's approximation (needs  $\theta \ll 1$ )

For gravity this includes in an essential way the gravitational time delay in AS's shock-wave metric.

# A quantum-amplitudes approach (CCCV, 1512.00281, CCV, 1812.08137)

Emission from external and internal legs throughout the whole ladder (with its suitable phase) has to be taken into account for not-so-soft gravitons.

One should also take into account the (finite) difference between the (infinite) Coulomb phase of the final 3-particle state and that of an elastic 2-particle state.

When this is done (so far again for  $\theta \ll 1$ ), the GR result of G&V is recovered for  $\hbar\omega/E \rightarrow 0$ !

# The classical limit (NB: a resummation in $G$ !)

Frequency + angular spectrum ( $s = 4E^2$ ,  $R = 4GE$ )

$$\frac{dE^{GW}}{d\omega d^2\tilde{\theta}} = \frac{GE^2}{\pi^4} |c|^2 ; \quad \tilde{\theta} = \theta - \theta_s ; \quad \theta_s = 2R \frac{b}{b^2}$$

$$c(\omega, \tilde{\theta}) = \int \frac{d^2x \zeta^2}{|\zeta|^4} e^{-i\omega \mathbf{x} \cdot \tilde{\theta}} \left[ e^{-2iR\omega\Phi(\mathbf{x})} - 1 \right]$$

$$\zeta = x + iy \qquad \Phi(\mathbf{x}) = \frac{1}{2} \ln \frac{(\mathbf{x} - \mathbf{b})^2}{b^2} + \frac{\mathbf{b} \cdot \mathbf{x}}{b^2}$$

$\text{Re } \zeta^2$  and  $\text{Im } \zeta^2$  correspond to the usual (+, x) GW polarizations,  $\zeta^2, \zeta^{*2}$  to the two circular ones.

Analytic results: a Hawking knee  
& an unexpected bump

For  $b^{-1} < \omega < R^{-1}$  the GW-spectrum is almost flat in  $\omega$

$$\frac{dE^{GW}}{d\omega} \sim \frac{4G}{\pi} \theta_s^2 E^2 \log(\omega R)^{-2}$$

Below  $\omega = b^{-1}$  it "freezes", giving the expected zero-frequency limit (ZFL).

$$\frac{dE^{GW}}{d\omega} \rightarrow \frac{4G}{\pi} \theta_s^2 E^2 \log(\theta_s^{-2})$$

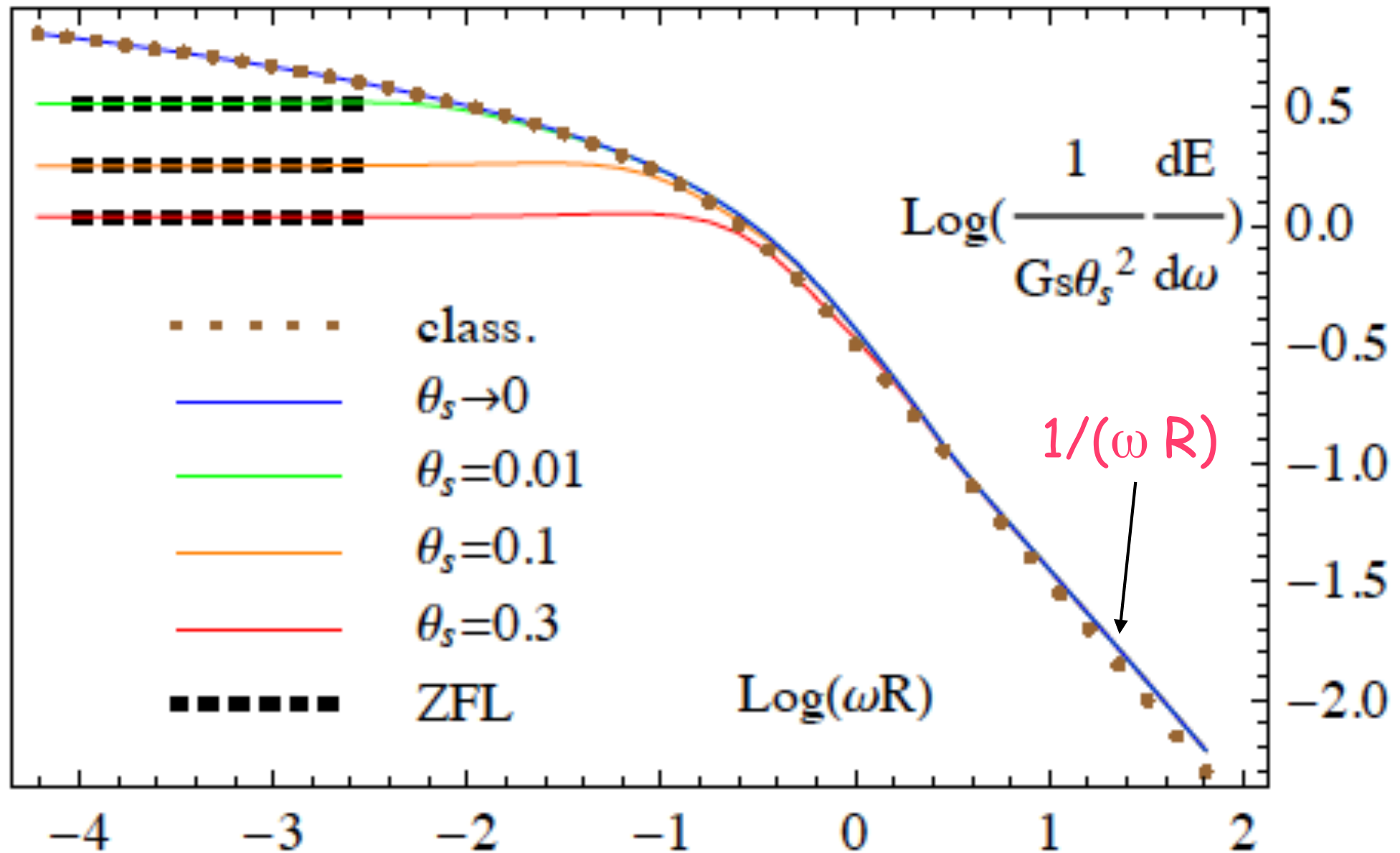
Above  $\omega = R^{-1}$  drops, becomes "scale-invariant"

Hawking knee!



$$\frac{dE^{GW}}{d\omega} \sim \theta_s^2 \frac{E}{\omega}$$

(CCCV 1512.00281)





The "scale-invariant" spectrum gives a  $\log \omega^*$  sensitivity in the total radiated energy for a cutoff at  $\omega = \omega^*$

Using, with some motivations (e.g. Dyson's bound on  $dE/dt$ ),  $\omega^* \sim b^2 R^{-3}$  (Cf. cutoff in ACV and VW) we find, to logarithmic accuracy,

$$\frac{E^{GW}}{\sqrt{s}} = \frac{1}{2\pi} \theta_s^2 \log(\theta_s^{-2})$$

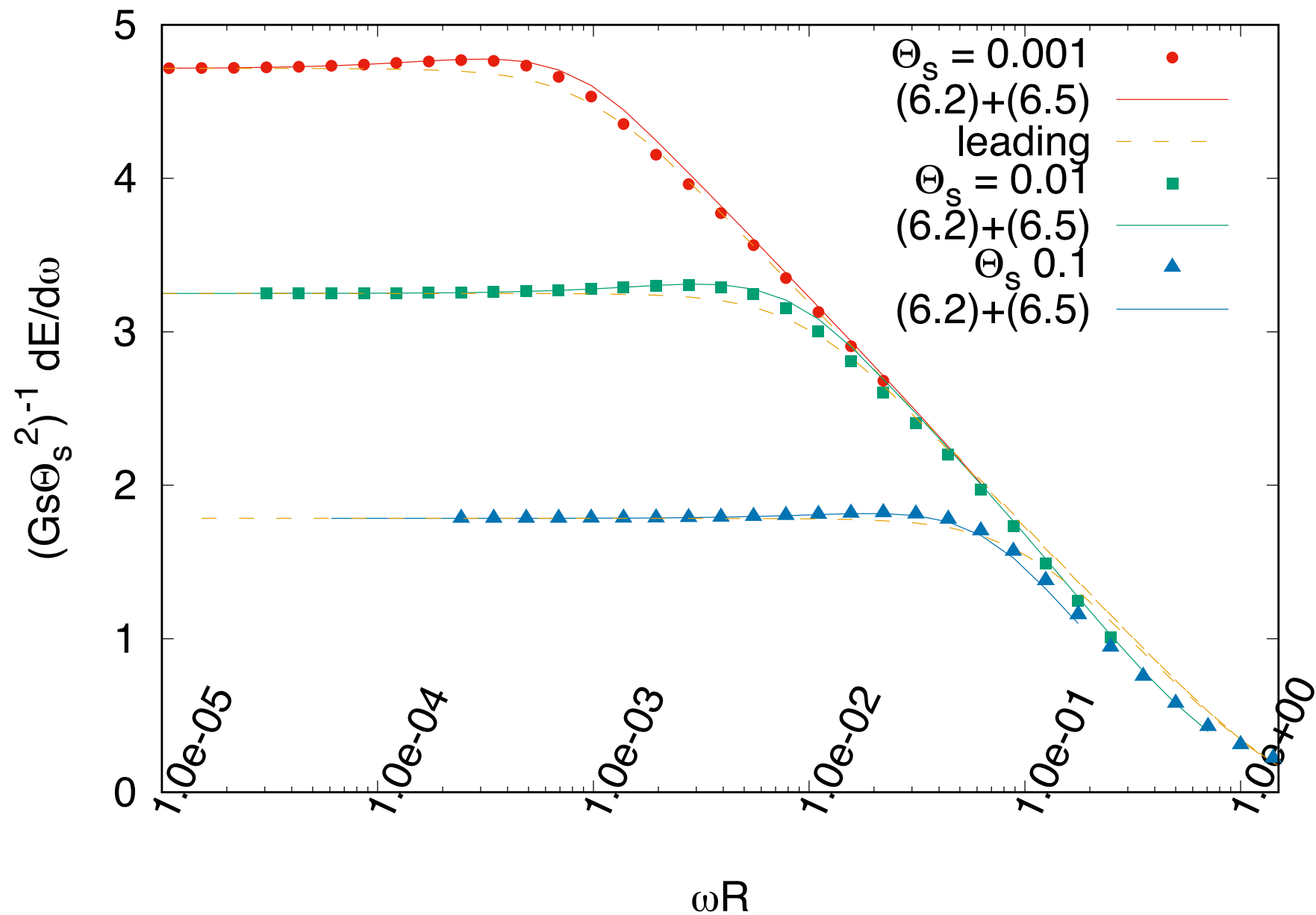
The E-crisis is thus only partly solved: we need to go beyond some approximations made in G&V or CCCV, find the real value of  $\omega^*$ , and extend the method to arbitrary  $\theta$ .

Thank you now?

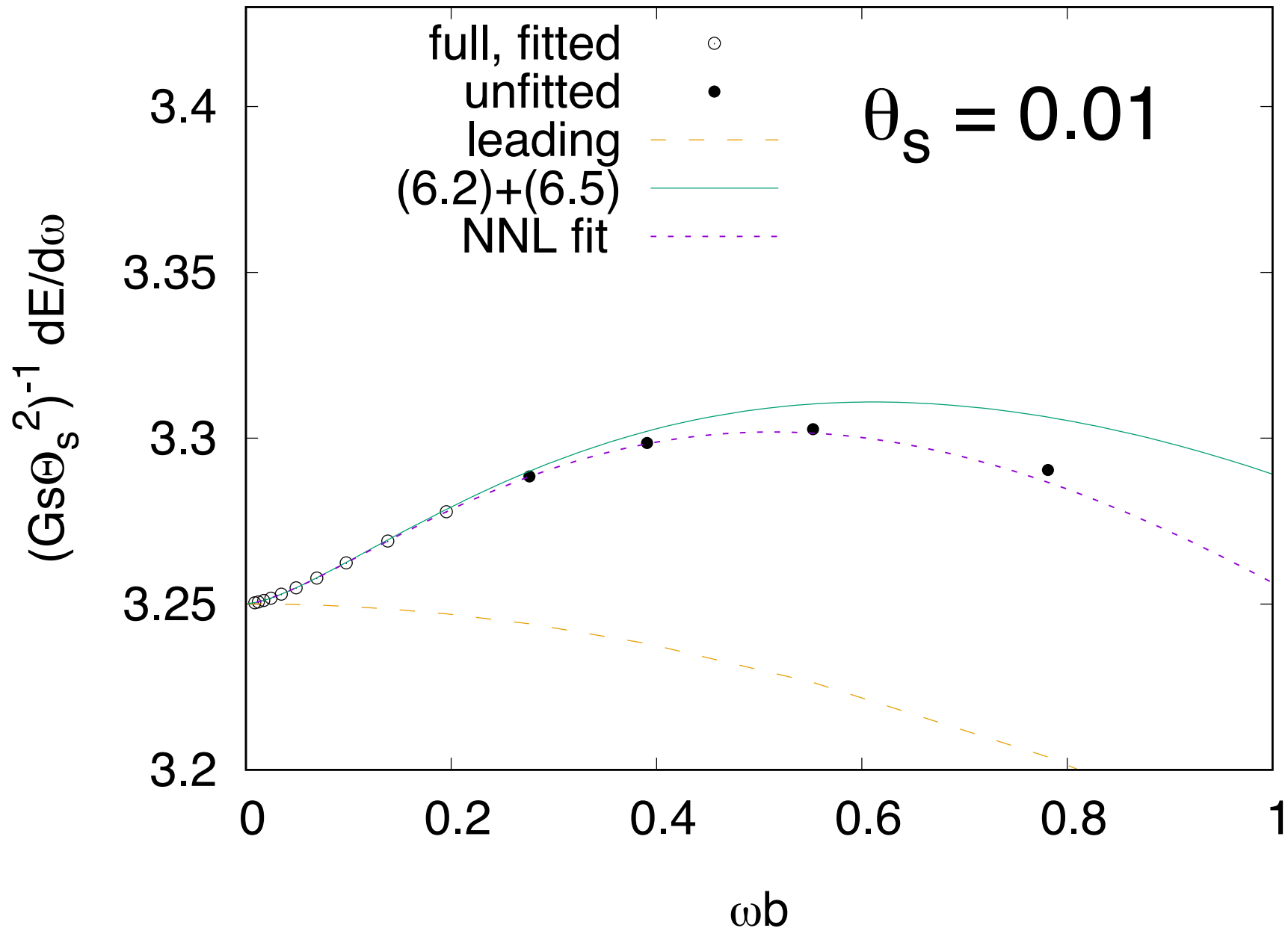
# The fine spectrum at $\omega < 1/b$

- At  $\omega b \ll 1$  there are corrections of order  $(\omega b)\log(\omega b)$ ,  $(\omega b)^2\log^2(\omega b)$ .
- The  $\omega b$  (both w/ and w/out  $\log(\omega b)$ ) correction disappears after summing over polarizations, or after integration over the azimuthal angle.
- The leading  $(\omega b)^2\log^2(\omega b)$  correction to the total flux is positive and produces a (modest) bump at  $\omega b \sim 0.5$ .
- Anticipated/confirmed by soft-theorem techniques (Sen et al. Addazi-Bianchi-GV,...)

# CCV 1812.08137



# CCV 1812.08137



Can it become a healthy Hawking peak when  $b \rightarrow R$ ?

What about the massive UR case?  
(to be discussed in RR's talk)

# Waveforms and energy loss

- Many groups are still working on this. Several **interesting results** already available for the waveforms (see **RR's talk**).
- However the straight  **$O(G^3)$**  result for the total  **$E_{\text{rad}}$**  (**HP-MRZ, 2101.07255**) leads (in the UR limit) to an "energy crisis" similar to the one found in ACV07 and VW08 (see also **P. D'Eath's** and **Kovacs & Thorne's** warnings on limits of validity).
- Furthermore, the UR limit is **not Universal!**



HP-MRZ, 2101.07255 (confirmed in DHRV, 2104.03256)

$$E^{rad} = \frac{\pi G^3 m_1^2 m_2^2 (m_1 + m_2)}{b^3 \sqrt{s}} \left[ f_1(\sigma) + f_2(\sigma) \log \frac{\sigma + 1}{2} + f_3(\sigma) \frac{\sigma \cosh^{-1} \sigma}{2\sqrt{\sigma^2 - 1}} \right]$$

where in  $\mathcal{N} = 8$

$$f_1 = \frac{8\sigma^6}{(\sigma^2 - 1)^{\frac{3}{2}}}, \quad f_2 = -\frac{8\sigma^4}{\sqrt{\sigma^2 - 1}}, \quad f_3 = \frac{16\sigma^4(\sigma^2 - 2)}{(\sigma^2 - 1)^{\frac{3}{2}}},$$

while in GR

$$f_1 = \frac{210\sigma^6 - 552\sigma^5 + 339\sigma^4 - 912\sigma^3 + 3148\sigma^2 - 3336\sigma + 1151}{48(\sigma^2 - 1)^{\frac{3}{2}}},$$

$$f_2 = -\frac{35\sigma^4 + 60\sigma^3 - 150\sigma^2 + 76\sigma - 5}{8\sqrt{\sigma^2 - 1}},$$

$$f_3 = \frac{(2\sigma^2 - 3)(35\sigma^4 - 30\sigma^2 + 11)}{8(\sigma^2 - 1)^{\frac{3}{2}}},$$

$$\nu \equiv \frac{m_1 m_2}{(m_1 + m_2)^2}$$

$$\frac{E^{rad}}{\sqrt{s}} \sim \theta_s^3 \sqrt{\frac{\sigma}{\nu}} ; \text{ for } \sigma \rightarrow \infty$$

Another "energy crisis"?

For  $m=0$  we saw that a resummation in  $G$  was necessary.

See RR's talk for the rest of the story

New challenges @ 4PM

- New **challenges** appear at **4PM** (= 3 loop) order
- A partial result ("conservative part") has been obtained by **Bern et al in 2101.07254**.
- Unfortunately, it exhibits the same **shortcomings** as the 3PM conservative result, only **worsened**.
- Not only the **UR** (or zero mass) **limit** is even **more singular** than at 3 PM. Even at finite  $\sigma$  the result is **IR divergent** (coeff. related to  $E^{\text{rad}}$ !)
- Therefore at 4 PM adding **RR** (called the "tail contribution" in GR) is **absolutely essential** for recovering a **finite** result at any  $\sigma$ !
- **Hard** problem, now under study by several groups, but with partial **PN-expanded** results already available (e.g. **Bini-Damour-Geralico, 2107.08896**).

Beyond 3PM the deflection & radiation  
puzzles may well get fully entangled!

Thank you!