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Heavy flavor production in heavy ion and electron-nucleus collisions



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Outline of the talk

- The physics of HF hadronization and current status
- Open heavy flavor and production in elementary collisions
- Heavy flavor in nuclei Glauber gluons, energy loss and in-medium showers. Phenomenology
- Quarkonium EFTs in the vacuum and in nuclear matter. Phenomenology
- Conclusions and future directions

Open HF at the EIC: <u>https://indico.bnl.gov/event/9273/</u> Quarkonia at the EIC: <u>https://indico.bnl.gov/event/12899/</u>



Bottom line up front: Heavy Flavor is an underexplored area at the intersections of hadronic, heavy ion, and EIC science. It provides tremendous theory advancement opportunities.

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Office of Science

Heavy flavor in elementary collisions and hadronization



Hadronization

B. Webber (1999)

Change the order a little bit and discuss this first

T. Sjostrand (2015)

 Hadronization is the inherently non-perturbative process where energy is converted to matter and the fundamentally unobservable in isolation degrees of freedom of QCD (quarks and gluons) form the elementary particles that we can measure

Note, fragmentation is not the same as hadronization. Fragmentation (and there are different varieties) is a model of hadronization

 Hadronization is a longdistance, late-stage phenomenon. We have no first principles understanding yet of the timescales involved



Heavy quark fragmentation in HQET

Heavy quarks introduce a mass scale that allows the fragmentation function shape to be computed perturbatively.



 Still depends on non-perturbative parameters r = m_q/M_Q, the square of the wavefunction in the origin. Fitted to data

Light and heavy flavor fragmentation and evolution

Another heavy flavor FF parametrization - Lund-Bowers

$$D(z) = z^{-1-bM_{\perp}^{2}}(1-z)^{a}e^{-\frac{bM_{\perp}^{2}}{z}}$$

M. Bowers (1981)

$$\frac{\partial D^0_{h/i}(z,Q^2)}{\partial \ln Q^2} = \sum_j \int_z^1 \frac{dx}{x} \left[P'_{ji}(x \to 1-x,Q^2) + d_{ji}(Q^2)\delta(1-x) \right] D_{h/j}\left(\frac{z}{x},Q^2\right)$$

Heavy flavor specific

$$d_{qq}(Q^{2}) = \frac{\alpha_{s}(Q^{2})}{2\pi}C_{F}\frac{3}{2}, \qquad r = M/Q,$$

$$d_{HH}(Q^{2},r) = \frac{\alpha_{s}(Q^{2})}{2\pi}C_{F}c_{HH}(r),$$

$$d_{gg}(Q^{2},r) = \frac{\alpha_{s}(Q^{2})}{2\pi}\left[\frac{11}{6}N_{c} - N_{f}T_{F}\frac{2}{3} + \sum_{H=c,b}T_{F}c_{gH}(r)\right]$$

$$c_{gH}(r) = F\left(\frac{1+\sqrt{1-4r^{2}}}{2}\right) - F\left(\frac{1-\sqrt{1-4r^{2}}}{2}\right) - 2r^{2}\sqrt{1-4r^{2}},$$

$$F(x) = -x^{4} + \frac{4}{3}x^{3} - x^{2},$$

$$c_{HH}(r) = \frac{1}{1+r^{2}} + \frac{2r^{2}+1}{2(1+r^{2})^{2}} + \frac{2r^{2}}{1+r^{2}} - 2\ln\frac{1}{1+r^{2}}.$$

Evolution generates gluon fragmentation component to HF



Evolution softens HQ fragmentation functions

Constraints on fragmentation from heavy mesons in jets



Significant enhancement of the gluon fragmentation component at small and intermediate z

Using a new formalism of semi-inclusive fragmenting jet functions



ZMVFS open heavy flavor at NLO

- Perform and NLO calculation
- A very large contribution of gluon FF to heavy flavor

When $p_T > m_c$, m_b

Z. Kang et al . (2016)





Hadronization in Monte Carlo models

String fragmentation



 This picture allows to derive the form of fragmentation functions (not as general) but comes from a model

Cluster hadronization



program	PYTHIA	HERWIG
model	string	cluster
energy-momentum picture	powerful	simple
	predictive	unpredictive
parameters	few	many
parameters flavour composition	few messy	many simple
parameters flavour composition	few messy unpredictive	many simple in-between

Inclusive heavy jet production

• Jet production is one of the cornerstone processes of QCD. Light jets have been studied for a long time. Recent advances for heavy jets (e.g. b) based in SCET



Evolution between scales

 Recent advances are based in SCET – precision theory for small radius jets and heavy flavor jets based on semi-inclusive jet functions



B-jet production in pp collisions

H. Li et al. (2019)



Data are consistent with the theoretical predictions

For the ratio b-jets to inclusive jets the difference between NLO+LL and NLO can be traced also to the differences in the inclusive jet cross section

Heavy flavor in nuclei – energy loss and in-medium showers



Example of successful EFT in matter



Heavy quarks in the vacuum

SCET_{M,G} – for massive quarks with Glauber gluon interactions

$$\mathcal{L}_{\text{QCD}} = \bar{\psi}(i\not\!\!D - m)\psi \quad iD^{\mu} = \partial^{\mu} + gA^{\mu} \quad A^{\mu} = A^{\mu}_{c} + A^{\mu}_{s} + A^{\mu}_{G}$$

Feynman rules depend on the scaling of m. The key choice is $\mbox{ m/p^+}$ ~ λ

I. Rothstein (2003)

A. Leibovich et al. (2003)

With the field scaling in the covariant gauge for the Glauber field there is no room for interplay with mass in the LO Lagrangian

$$\begin{split} \left(\frac{dN}{dxd^2k_{\perp}}\right)_{Q\to Qg} &= C_F \frac{\alpha_s}{\pi^2} \frac{1}{k_{\perp}^2 + x^2m^2} \left[\frac{1 - x + x^2/2}{x} - \frac{x(1 - x)m^2}{k_{\perp}^2 + x^2m^2}\right] \\ \left(\frac{dN}{dxd^2k_{\perp}}\right)_{g\to Q\bar{Q}} &= T_R \frac{\alpha_s}{2\pi^2} \frac{1}{k_{\perp}^2 + m^2} \left[x^2 + (1 - x)^2 + \frac{2x(1 - x)m^2}{k_{\perp}^2 + m^2}\right] \end{split}$$

The process is not written Q to gQ

Z. Kang et al . (2016)

Result: $SCET_{M,G} = SCET_M \times SCET_G$

- You see the dead cone effects
 Dokshitzer et al. (2001)
- You also see that it depends on the process – it not simply x²m² everywhere: x²m², (1-x)²m², m²

Heavy quarks splitting functions in the medium

Kinematic variables

New physics – manybody quantum coherence effects

$$\begin{aligned} A_{\perp} &= k_{\perp}, \ B_{\perp} = k_{\perp} + xq_{\perp}, \ C_{\perp} = k_{\perp} - (1-x)q_{\perp}, \ D_{\perp} = k_{\perp} - q_{\perp}, \\ \Omega_{1} - \Omega_{2} &= \frac{B_{\perp}^{2} + \nu^{2}}{p_{0}^{+}x(1-x)}, \ \Omega_{1} - \Omega_{3} = \frac{C_{\perp}^{2} + \nu^{2}}{p_{0}^{+}x(1-x)}, \ \Omega_{4} = \frac{A_{\perp}^{2} + \nu^{2}}{p_{0}^{+}x(1-x)}, \\ \nu &= m \qquad (g \to Q\bar{Q}), \\ \nu &= xm \qquad (Q \to Qg), \\ \nu &= xm \qquad (Q \to Qg), \\ \nu &= (1-x)m \qquad (Q \to qQ) \end{aligned}$$
Z. Kang et al. (2016)

$$\begin{split} & \left(\frac{dN^{\text{med}}}{dxd^{2}k_{\perp}}\right)_{Q \to Qg} = \frac{\alpha_{s}}{2\pi^{2}}C_{F}\int \frac{d\Delta z}{\lambda_{g}(z)}\int d^{2}q_{\perp}\frac{1}{\sigma_{el}}\frac{d\sigma_{el}^{\text{med}}}{d^{2}q_{\perp}} \left\{ \left(\frac{1+(1-x)^{2}}{x}\right) \left[\frac{B_{\perp}}{B_{\perp}^{2}+\nu^{2}} \times \left(\frac{B_{\perp}}{B_{\perp}^{2}+\nu^{2}}-\frac{C_{\perp}}{C_{\perp}^{2}+\nu^{2}}\right) \left(1-\cos[(\Omega_{1}-\Omega_{2})\Delta z]\right) + \frac{C_{\perp}}{C_{\perp}^{2}+\nu^{2}}\cdot \left(2\frac{C_{\perp}}{C_{\perp}^{2}+\nu^{2}}-\frac{A_{\perp}}{A_{\perp}^{2}+\nu^{2}}\right) \left(1-\cos[(\Omega_{1}-\Omega_{3})\Delta z]\right) + \frac{B_{\perp}}{B_{\perp}^{2}+\nu^{2}}\cdot \frac{C_{\perp}}{C_{\perp}^{2}+\nu^{2}} \left(1-\cos[(\Omega_{2}-\Omega_{3})\Delta z]\right) \\ & + \frac{A_{\perp}}{A_{\perp}^{2}+\nu^{2}}\cdot \left(\frac{D_{\perp}}{D_{\perp}^{2}+\nu^{2}}-\frac{A_{\perp}}{A_{\perp}^{2}+\nu^{2}}\right) \left(1-\cos[\Omega_{4}\Delta z]\right) - \frac{A_{\perp}}{A_{\perp}^{2}+\nu^{2}}\cdot \frac{D_{\perp}}{D_{\perp}^{2}+\nu^{2}} \left(1-\cos[\Omega_{5}\Delta z]\right) \\ & + \frac{1}{N_{c}^{2}}\frac{B_{\perp}}{B_{\perp}^{2}+\nu^{2}}\cdot \left(\frac{A_{\perp}}{A_{\perp}^{2}+\nu^{2}}-\frac{B_{\perp}}{B_{\perp}^{2}+\nu^{2}}\right) \left(1-\cos[(\Omega_{1}-\Omega_{2})\Delta z]\right) \right] \\ & + x^{3}m^{2} \left[\frac{1}{B_{\perp}^{2}+\nu^{2}}\cdot \left(\frac{1}{B_{\perp}^{2}+\nu^{2}}-\frac{1}{C_{\perp}^{2}+\nu^{2}}\right) \left(1-\cos[(\Omega_{1}-\Omega_{2})\Delta z]\right) + \dots\right] \right\} \end{split}$$

- Full massive inmedium splitting functions now available
- Can be evaluated numerically

Differential branching spectra



In-medium parton showers are softer and broader than the ones in the vacuum. There is even more soft gluon emission – medium induced scaling violations, enhancement of soft branching



C. Shen et al . (2014) B. Yo

B. Yoon et al . (2019)

Implications for A+A Collisions

Full in-medium parton showers require different techniques – higher order and resumed calculations

- High-P_T stable, low p_T 30-50% more suppression
- Does not fully eliminate the need for collisional interactions / energy loss or dissociation



$$\begin{split} \sum_{j} \hat{\sigma}_{i}^{(0)} \otimes \mathcal{P}_{i \rightarrow jk}^{\text{med}} \otimes D_{j}^{H} &\equiv \hat{\sigma}_{i}^{(0)} \otimes D_{i}^{H,\text{med}} \\ D_{q}^{H,\text{med}}(z,\mu) &= \int_{z}^{1} \frac{dz'}{z'} D_{q}^{H} \left(\frac{z}{z'},\mu\right) \mathcal{P}_{q \rightarrow qg}^{\text{med}}(z',\mu) - D_{q}^{H}(z,\mu) \int_{0}^{1} dz' \mathcal{P}_{q \rightarrow qg}^{\text{med}}(z',\mu) \\ &+ \int_{z}^{1} \frac{dz'}{z'} D_{g}^{H} \left(\frac{z}{z'},\mu\right) \mathcal{P}_{q \rightarrow gq}^{\text{med}}(z',\mu) , \\ D_{g}^{H,\text{med}}(z,\mu) &= \int_{z}^{1} \frac{dz'}{z'} D_{g}^{H} \left(\frac{z}{z'},\mu\right) \mathcal{P}_{g \rightarrow gg}^{\text{med}}(z',\mu) - \frac{D_{g}^{H}(z,\mu)}{2} \int_{0}^{1} dz' \left[\mathcal{P}_{g \rightarrow gg}^{\text{med}}(z',\mu) + 2N_{f} \mathcal{P}_{g \rightarrow q\bar{q}}^{\text{med}}(z',\mu)\right] + \int_{z}^{1} \frac{dz'}{z'} \sum_{i=q,\bar{q}} D_{i}^{H} \left(\frac{z}{z'},\mu\right) \mathcal{P}_{g \rightarrow q\bar{q}}^{\text{med}}(z',\mu) . \end{split}$$

Importance of gluon fragmentation into HF



A different set of fragmentation functions

$$\frac{\partial D^0_{h/i}(z,Q^2)}{\partial \ln Q^2} = \sum_j \int_z^1 \frac{dx}{x} \left[P'_{ji}(x \to 1-x,Q^2) + d_{ji}(Q^2)\delta(1-x) \right] D_{h/j}\left(\frac{z}{x},Q^2\right)$$

W. Ke et al. (2022)

Large systems and applications of in-medium evolution

Theoretical results agree with existing light hadron and D meson measurements at RHIC and LHC. True for both central and peripheral collisions

There is tension with the B meson production (or non-prompt J/psi). Combination with the May be dissociation?



One slide on the EIC

Long Range Plan recommendations

• We recommend a high-energy high-luminosity polarized Electron-Ion Collider (EIC) as the highest priority for new facility construction following the completion of Facility for Rare Isotope Beams (FRIB)







0 1 01 01 Annual Integrated Luminosity [fb-1] Deak Luminosity [cm⁻²s⁻¹] 10³⁴ omography (p/A) Spin and Flavor Structure 10³³ the Nucleon and Nuclei Internal QCD at Landscape Extreme Parton 10³² of the **Densities-**Nucleus Saturation 0 40 80 120 150 Center of Mass Energy Ecm [GeV]

> CD-o and site selection announced Jan. 2020

CD-1 approval in Jul. 2021

CD-2 expected in Jan. 2024



EIC Science





- The non-linear physics of strong color fields gluon saturation
- The internal landscape of nucleons and the origin of mass
 - ... CD-4 expected in Jul. 2031

The space-time picture of hadronization

- The space-time picture of hadronization is unknown, but critical for e+A
- Competing physics explanations of HERMES hadron suppression data based on energy loss and absorption

X. Wang et al. (2002)

B. Kopeliovich et al. (2003)



Light hadron measurements cannot differentiate between competing mechanisms





Ideas to parametrize nFFs assuming universality. Effect of 10 fb-1 EIC data



Heavy meson tomography



Help constrain the transport properties of nuclear matter:

H. Li et al. (2020)

Heavy flavor can be produced at the EIC. It will differentiate between energy loss and absorption models. Allows to develop e+A theory further.

$$\begin{split} \frac{\mathrm{d}D_q(z,Q)}{\mathrm{d}\ln Q} &= \frac{\alpha_s(Q^2)}{\pi} \int_z^1 \frac{\mathrm{d}z'}{z'} \left\{ P_{q \to qg}(z',Q) D_q\left(\frac{z}{z'},Q\right) + P_{q \to gq}(z',Q) D_g\left(\frac{z}{z'},Q\right) \right\}, \\ \frac{\mathrm{d}D_{\bar{q}}(z,Q)}{\mathrm{d}\ln Q} &= \frac{\alpha_s(Q^2)}{\pi} \int_z^1 \frac{\mathrm{d}z'}{z'} \left\{ P_{q \to qg}(z',Q) D_{\bar{q}}\left(\frac{z}{z'},Q\right) + P_{q \to gq}(z',Q) D_g\left(\frac{z}{z'},Q\right) \right\}, \\ \frac{\mathrm{d}D_g(z,Q)}{\mathrm{d}\ln Q} &= \frac{\alpha_s(Q^2)}{\pi} \int_z^1 \frac{\mathrm{d}z'}{z'} \left\{ P_{g \to gg}(z',Q) D_g\left(\frac{z}{z'},Q\right) + P_{g \to q\bar{q}}(z',Q) \left(D_q\left(\frac{z}{z'},Q\right) + f_{\bar{q}}\left(\frac{z}{z'},Q\right) \right) \right\}. \end{split}$$



Differences between AA and eA

 AA and eA collisions are very different. Due to the LPM effect the "energy loss" decreases rapidly. The kinematics to look for in-medium interactions / effects on hadronization very different



- Jets at any rapidity roughly in the co-moving plasma frame (Only~ transverse motion at any rapidity)
- Largest effects at midrapidity
- Higher C.M. energies correspond to larger plasma densities



- Jets are on the nuclear rest frame.
 Longitudinal momentum matters
- Largest effects are at forward rapidities
- Smaller C.M. energies (larger only increase the rapidity gap)

Light and heavy flavor suppression at the EIC



 $R_{eA}^{h}(p_T,\eta,z) = \frac{\frac{N^{h}(p_T,\eta,z)}{N^{\text{inc}}(p_T,\eta)}\Big|_{e+Au}}{\frac{N^{h}(p_T,\eta,z)}{N^{\text{inc}}(p_T,\eta)}\Big|_{e+p}}$

Effects are the largest at forward rapidities (p/A going)

Light pions show the largest nuclear suppression at the EIC. However, to differentiate models of hadronization heavy flavor mesons are necessary

Z. Liu et al . (2020)



EIC theory will provide clear new insights into hadronization from light+heavy flavor

Corrections in A+A collisions

Let us now focus on the jet function and final-state modification in the QGP



Heavy flavor jets in matter



Slightly less dependence on the centrality when compared to the well-known light jet modification

 Theoretical results agree well with the data for both the inclusive cross sections and the nuclear modification factors

Heavy flavor jet substructure in A+A and DIS



Heavy flavor jets at EIC

Z. Liu et al. (2021)

A key question – will benefit both nPDF extraction and understanding hadronization / nuclear matter transport properties - how to separate initial-state and final-state effects?

Leveraging the vacuum and in-medium shower differences. Define the ratio of modifications for 2 radii (it is a double ratio)

 $RR=R\downarrow eA(R)/R\downarrow eA(R=0.8)$

- Effectively eliminates initial-state effects
- Final-state interactions can be almost a factor of 2 for small radii. Remarkable as it approaches magnitudes observed in heavy ion collisions (QGP)





Quarkonia



"I'm firmly convinced that behind every great man is a great computer."

Production of quarkonia



• NRQCD factorization formula. Short distance cross sections (perturbatively calculable) and long distance matrix elements (fit to data, scaling relations)

$$d\sigma(a+b\to Q+X) = \sum d\sigma(a+b\to Q\overline{Q}(n)+X) \langle \mathcal{O}_n^{\mathcal{Q}} \rangle$$

NRQCD in a background medium



 Take a closer look at the NRQCD Lagrangian below

 $\begin{array}{ll} \mbox{Scales in the problem} \\ p_s^\mu \sim m_Q v(1,1,1,1) & \mbox{soft} \sim \lambda \\ p_{us}^\mu \sim m_Q v^2(1,1,1,1) & \mbox{ultrasoft} \sim \lambda^2 \end{array}$

 Ultrasoft gluons included in covariant derivatives

- Soft gluons are included explicitly
 - Double soft gluon emission
 - Heavy quark-antiquark potential
 - (can also be interaction with soft particles)

$$\begin{split} \mathcal{L} &= -\frac{1}{4} F^{\mu\nu} F_{\mu\nu} + \sum_{p} \left| p^{\mu} A_{p}^{\nu} - p^{\nu} A_{p}^{\mu} \right|^{2} + \sum_{\mathbf{p}} \psi_{\mathbf{p}}^{\dagger} \left\{ i D^{0} - \frac{(\mathbf{p} - i \mathbf{D})^{2}}{2m} \right\} \psi_{\mathbf{p}} \\ &- 4\pi \alpha_{s} \sum_{q,q'\mathbf{p},\mathbf{p}'} \left\{ \frac{1}{q^{0}} \psi_{\mathbf{p}'}^{\dagger} \left[A_{q'}^{0}, A_{q}^{0} \right] \psi_{\mathbf{p}} \right. \\ &+ \frac{g^{\nu 0} \left(q' - p + p' \right)^{\mu} - g^{\mu 0} \left(q - p + p' \right)^{\nu} + g^{\mu \nu} \left(q - q' \right)^{0}}{\left(\mathbf{p}' - \mathbf{p} \right)^{2}} \psi_{\mathbf{p}'}^{\dagger} \left[A_{q'}^{\nu}, A_{q}^{\mu} \right] \psi_{\mathbf{p}} \right\} \\ &+ \psi \leftrightarrow \chi, \ T \leftrightarrow \bar{T} \\ &+ \sum_{\mathbf{p},\mathbf{q}} \frac{4\pi \alpha_{s}}{\left(\mathbf{p} - \mathbf{q} \right)^{2}} \psi_{\mathbf{q}}^{\dagger} T^{A} \psi_{\mathbf{p}} \chi_{-\mathbf{q}}^{\dagger} \bar{T}^{A} \chi_{-\mathbf{p}} + \dots \end{split}$$

Allowed interactions in the medium



 Calculated the leading power and next to leading power contributions 3 different ways

Background field method	Perform a shift in the gluon field in the NRQCD Lagrangian then perform the power-counting
Hybrid method	From the full QCD diagrams for single effective Glauber/Coulomb gluon perform the corresponding power-counting, read the Feynman rules
Matching method	Full QCD diagrams describing the forward scattering of incoming heavy quark and a light quark or a gluon. We also derive the tree level expressions of the effective fields in terms of the QCD ingredients

Example of the background field method

 Perform the label momentum representation and field substitution (u.s. -> u.s. + Glauber)

 $\mathbf{E} = \partial_t (\mathbf{A}_U + \mathbf{A}_G) + (\partial + i \boldsymbol{\mathcal{P}})(A_U^0 + A_G^0) + g T^c f^{cba} (A_U^0 + A_G^0)^b (\mathbf{A}_U + \mathbf{A}_G)^a$

$$\psi(x) \to \sum_{\mathbf{p}} \psi_{\mathbf{p}}(x) ,$$

 $iD_{\mu} \to \mathcal{P}_{\mu} + i\partial_{\mu} - g(A_U^{\mu} + A_{G/C}^{\mu})$

Example for a collinear source (note results depend on the type of source)

Substitute, expand and collect terms up to order λ^3

 Results: depend on the type of the source of scattering in the medium

 $iD_t = \underbrace{i\partial_t - gA_U^0 - gA_G^0}_{\sim \lambda^2},$

 $=\underbrace{i {\cal P}_{\perp} A^0_G}_{\sim \lambda^3} + {\cal O}(\lambda^4) \; ,$

 $= -\underbrace{(i \mathcal{P}_{\perp} \times \mathbf{n}) \ A^{\mathbf{n}}_{G}}_{\sim \lambda^{3}} + \mathcal{O}(\lambda^{4}) \ .$

 $i\mathbf{D} = \underbrace{\mathcal{P}}_{\sim \lambda} - (\underbrace{i\partial + g\mathbf{A}_U + g\mathbf{n}A_G^{\mathbf{n}}}_{\sim \lambda^2}) + \mathcal{O}(\lambda^3) ,$

Leading medium corrections Sub-leading medium corrections

 $\mathbf{B} = -(\partial + i\boldsymbol{\mathcal{P}}) \times (\mathbf{A}_U + \mathbf{A}_G) + \frac{g}{2}T^c f^{cba} (\mathbf{A}_U + \mathbf{A}_G)^b (\mathbf{A}_U + \mathbf{A}_G)^a$

$$\begin{aligned} \mathcal{L}_{Q-G/C}^{(0)}(\psi, A_{G/C}^{\mu, a}) &= \sum_{\mathbf{p}, \mathbf{q}_{T}} \psi_{\mathbf{p}+\mathbf{q}_{T}}^{\dagger} \left(-gA_{G/C}^{0} \right) \psi_{\mathbf{p}} \ (collinear/static/soft). \\ \mathcal{L}_{Q-G}^{(1)}(\psi, A_{G}^{\mu, a}) &= g \sum_{\mathbf{p}, \mathbf{q}_{T}} \psi_{\mathbf{p}+\mathbf{q}_{T}}^{\dagger} \left(\frac{2A_{G}^{\mathbf{n}}(\mathbf{n} \cdot \boldsymbol{\mathcal{P}}) - i \left[(\boldsymbol{\mathcal{P}}_{\perp} \times \mathbf{n}) A_{G}^{\mathbf{n}} \right] \cdot \boldsymbol{\sigma}}{2m} \right) \psi_{\mathbf{p}} \ (collinear) \\ \mathcal{L}_{Q-C}^{(1)}(\psi, A_{C}^{\mu, a}) &= 0 \ (static) \\ \mathcal{L}_{Q-C}^{(1)}(\psi, A_{C}^{\mu, a}) &= g \sum_{\mathbf{p}, \mathbf{q}_{T}} \psi_{\mathbf{p}+\mathbf{q}_{T}}^{\dagger} \left(\frac{2\mathbf{A}_{C} \cdot \boldsymbol{\mathcal{P}} + [\boldsymbol{\mathcal{P}} \cdot \mathbf{A}_{C}] - i \left[\boldsymbol{\mathcal{P}} \times \mathbf{A}_{C} \right] \cdot \boldsymbol{\sigma}}{2m} \right) \psi_{\mathbf{p}} \ (soft) \end{aligned}$$

The QCD forward scattering diagram expansion

 Looking at t-channel scattering we can also extract the form of the Glauber/ Coulomb fields in terms of QCD ingredients (and recover Lagrangian)

Glauber field for collinear source

$$A_G^{\mu,a} = \frac{n^\mu}{\mathbf{q}_T^2} \sum_{\ell} \bar{\xi}_{n,\ell-\mathbf{q}_T} \frac{\mathbf{\vec{p}}}{2} (gT^a) \xi_{n,\ell}$$

Coulomb field for soft source

$$A_C^{\mu,a} \equiv \frac{1}{\mathbf{q}^2} \sum_{\ell} \bar{\phi}_{\ell-\mathbf{q}} \gamma^{\mu} (gT^A) \phi_{\ell}$$

 $t_{g-coll.} = \frac{p'}{p'_n} \underbrace{p'_n}_{p_n} + \underbrace{$

Glauber field for collinear source $A_{G}^{\mu,a} = \frac{i}{2}gf^{abc}\frac{n^{\mu}}{\mathbf{q}_{T}^{2}}\sum_{s}\left[\bar{n}\cdot\mathcal{P}\left(\mathbf{B}_{n\perp,\ell-\mathbf{q}_{T}}^{b(0)}\cdot\mathbf{B}_{n\perp,\ell}^{c(0)}\right)\right]$

Coulomb field for soft source

Y. Makris et al. (2019) $A_C^{\mu,a} = f^{abc} \frac{ig}{2 \mathbf{q}^2} \sum_{\ell} \left\{ \left[\mathcal{P}^{\mu} \left(\mathbf{B}_{s,\ell-\mathbf{q}}^{b(0)} \cdot \mathbf{B}_{s,\ell}^{c(0)} \right) \right] - 2(\mathbf{B}_{s,\ell}^{c(0)} \cdot \left[\boldsymbol{\mathcal{P}} \right) B_{s,\ell-\mathbf{q}}^{\mu,b(0)} \right] - 2(\mathbf{B}_{s,\ell-\mathbf{q}}^{b(0)} \cdot \left[\boldsymbol{\mathcal{P}} \right) B_{s,\ell-\mathbf{q}}^{b(0)} \cdot \left[\boldsymbol{\mathcal{P}} \right) B_{s,\ell-\mathbf{q}}^{b(0)} \cdot \left[\boldsymbol{\mathcal{P}} \right] B_{s,\ell-\mathbf{q}}^{\mu,c(0)} \right] \right\}$

- Note that for the gluon the last 2 diagrams are necessary for gauge invariance but the first diagram the leading forward scattering contribution
- In the medium the momentum exchange can get dressed ~ Debye screening

Effects of the medium

- Typical time for the onset of interactions – take it to be O(1 fm)
- Dissociation time incudes thermal wavefunction effect and collisional broadening

$$P_{f \leftarrow i}(\chi \mu_D^2 \xi, T) = \left| \frac{1}{2(2\pi)^3} \int d^2 \mathbf{k} dx \, \psi_f^*(\Delta \mathbf{k}, x) \psi_i(\Delta \mathbf{k}, x) \right|^2$$

= $\left| \frac{1}{2(2\pi)^3} \int dx \operatorname{Norm}_f \operatorname{Norm}_i \pi e^{-\frac{m_Q^2}{x(1-x)\Lambda(T)^2}} e^{-\frac{m_Q^2}{x(1-x)\Lambda_0^2}} \right|^2$
 $\times \frac{2[x(1-x)\Lambda(T)^2][\chi \mu_D^2 \xi + x(1-x)\Lambda_0^2]}{[x(1-x)\Lambda(T)^2] + [\chi \mu_D^2 \xi + x(1-x)\Lambda_0^2]} \right|^2$.
Dissociation 1 1 $dP_{f \leftarrow i}(\chi \mu_D^2 \xi, T)$

time $\overline{t_{\text{diss.}}} = -\frac{1}{P_{f \leftarrow i}(\chi \mu_D^2 \xi, T)} \frac{f(\xi, t)}{dt}$

Incorporated in rate equations



Following feeddown contributions taken, others small

$$\psi(2S): \text{Br}\Big[\psi(2S) \to J/\psi + X\Big] = 61.4 \pm 0.6\%$$

$$\chi_{c1}: \text{Br}\Big[\chi_{c1} \to J/\psi + \gamma\Big] = 34.3 \pm 1.0\%$$

$$\chi_{c2}: \text{Br}\Big[\chi_{c2} \to J/\psi + \gamma\Big] = 19.0 \pm 0.5\%$$

S. Aronson et al. (2017)

Centrality and p_T dependence

 In calculating the min bias results we found that the result is dominated by the first few centrality bins

$$R_{AA}^{\min. \text{ bias}}(p_T) = \frac{\sum_i R_{AA}(\langle b_i \rangle) W_i}{\sum_i W_i} \quad W_i = \int_{b_i \min}^{b_i \max} N_{\text{coll.}}(b) \pi b \, db$$





Uncertainties are related to the onset of the interactions

Some basic study for EIC

Dissociation from collisional interactions in cold nuclear matter is large. For the very weakly bound states the QGP suppression is larger but the CNM one is still a factor of 5 -10. For the tightly bound states suppression is comparable – sometimes slightly smaller, sometimes slightly larger.

I. Olivant et al. (2021)



For full EIC predictions we need to explore feed down corrections, combine with prompt state cross sections, and explore the effect of the interaction onset.

Conclusions

- Flavor production, charm & beauty, has motivated important developments in QCD. Still, many open theoretical questions remain – form the flavor number schemes, to the relevant EFTs in multi-scale problems, to the non-perturbative hadronization into charm and beauty mesons. These must be resolved to fully utilize the EIC capabilities and we view this as an opportunity
- There are tremendous intellectual communalities in heavy flavor theory applied to hadronic, heavy ion, and DIS reactions. It is a natural point of convergence for the broad QCD community in the US and beyond. Now is an opportune time for a focused theory effort and investment to answer the most pressing HF puzzles and lay the groundwork for the EIC
- At the EIC, heavy flavor will provide unique probes of hadronization, energy loss and the transport properties of cold nuclear matter, the TMD stricture of nucleons/nuclei, small-x saturation physics, parton distributions
- Heavy flavor theory, both open and quarkonia, is a key component of the the EIC theory initiative that we propose. We emphasize the need for analytic advancements and precision phenomenology

Jet results at the EIC

H. Li et al. (2020)



Two types of nuclear effect play a role

- high tial-state effects parametrized in nuclear parton distribution functions or nPDFs
- Final-state effects from the interaction of the jet and the nuclear medjum¹⁵ in medium parton ²⁵ showers and jet energy loss

How to separate them? Define the ratio of modifications for 2 radii (it is a double ratio)

$RR=R\downarrow eA(R)/R\downarrow eA(R=1)$



- Jet energy loss effects are larger at smaller C.M. energies
- Remarkably, effects can be almost a factor of 2!

Opportunities in e+p at the EIC



Octet contribution

Only a subset of contributions survive, now interpretable as parton fragmentation in quarkonia

Heavy flavor jets in matter



0.2

0.0

8

12

 p_T [GeV]

14

16

10

- parton distribution functions or nPDFs
- Final-state effects from the interaction of the jet and the nuclear medium - inmedium parton showers and jet energy loss

Heavy flavor jet substructure in DIS

Z. Liu et al. (2021)

Realistic example

 $z_{g} = \frac{\min(p_{T1}, p_{T2})}{p_{T1} + p_{T2}} > z_{cut} \left(\frac{\Delta R_{12}}{R_0}\right)^{\beta}$ p_{T_2} $r_g = \Delta R_{12}$ p_{T_1} A. Larkoski et al. (2014)

Related to the modification of jet cross sections is the modification of jet substructure. Example - Soft dropped momentum sharing distributions

 $\frac{dN_j^{\text{vac,MLL}}}{dz_g d\theta_g} = \sum_i \left(\frac{dN^{\text{vac}}}{dz_g d\theta_g}\right)_{i \to i\overline{i}} \begin{array}{c} \text{Provides access to the} \\ \text{splitting functions} \end{array}$

Illustrative study: DIS will cover a very different kinematic regime than HIC



Sudakov Factor

 $\exp\left[-\int_{\theta_q}^1 d\theta \int_{z_{\rm cut}}^{1/2} dz \sum_i \left(\frac{dN^{\rm vac}}{dzd\theta}\right)_{j\to i\bar{i}}\right]$

- Modification of both cjets and b-jets substructure in e+A is relatively small
- It is dominated by limited phase space

Collisional interactions of heavy meson states in matter

 $|\psi_f(\mathbf{K},$



 Momentum transfers q follow Glauber gluons scaling

$$\begin{aligned} |\psi_f(\mathbf{K}, \Delta \mathbf{k})|^2 &= \sum_{n=0}^{\infty} \frac{2^n \chi^n}{n!} \int \prod_{i=1}^n d^2 \mathbf{q}_i \frac{1}{\sigma_{el}} \frac{d\sigma_{el}}{d^2 \mathbf{q}_i} \\ &\times \left[\left(e^{-\mathbf{q}_n \cdot \overrightarrow{\nabla_{\mathbf{K}}}} - \hat{\mathbf{1}} \right) \cosh \left(-\mathbf{q}_n \cdot \overrightarrow{\nabla_{\Delta \mathbf{k}}} \right) \right. \\ &+ \left(e^{-\mathbf{q}_n \cdot \overrightarrow{\nabla_{\Delta \mathbf{k}}}} - \hat{\mathbf{1}} \right) \right] |\psi_0(\mathbf{K}, \Delta \mathbf{k})|^2 \,. \end{aligned}$$

- Resum in impact parameter space, make Gaussian approximation
- Heavy meson acoplanarity
- Distortion of the light cone wave function (meson decay)
 - Reduced transition probability

$$\Delta \mathbf{k} ||^2 = \left[\frac{e^{-\frac{\mathbf{K}^2}{4\chi\mu^2\xi}}}{4\pi\chi\mu^2\xi} \right] \left[\operatorname{Norm}^2 \frac{x(1-x)\Lambda^2}{\chi\mu^2\xi + x(1-x)\Lambda^2} \right] \times e^{-\frac{\Delta \mathbf{k}^2}{4(\chi\mu^2\xi + x(1-x)\Lambda^2)}} e^{-\frac{m_1^2(1-x) + m_2^2x}{x(1-x)\Lambda^2}} \right].$$

$$P_{\text{surv.}}\left(\frac{\mu^2}{\lambda}L\mathcal{E}\right) = \left|\int dx d^2 \Delta k_{\perp} \psi^*_{f}(x, \Delta k_{\perp})\psi_i(x, \Delta k_{\perp})\right|^2$$

Energy loss for quarkonia in nuclei and co-mover dissociation

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 Another radiative energy loss approach

 Radiation off of a heavy quark. The Bertsch-Gunion spectrum is integrated from M to the cumulative broadening scale. It is suppressed by M_T at high p_T.

F. Arleo *et al.* (2012)





 Co-mover dissociation model– phenomenological cross section to break up quarkonia in a co-moving hadron gas.

E. Ferreiro (2014)

$$\tau \frac{\mathrm{d}\rho^{\psi}}{\mathrm{d}\tau} (b, s, y) = -\sigma^{co-\psi} \rho^{co}(b, s, y) \rho^{\psi}(b, s, y)$$
$$S_{\psi}^{co}(b, s, y) = \exp\left\{-\sigma^{co-\psi} \rho^{co}(b, s, y) \ln\left[\frac{\rho^{co}(b, s, y)}{\rho_{pp}(y)}\right]\right\}$$

Determination of the LDMEs

• Perform LO NRQCD fit. Color singlet matrix elements can the related to the square of the wavefunction at the origin and its derivatives

$$\begin{split} \langle \mathcal{O}(c\bar{c}([{}^{3}S_{1}]_{1}) \to J/\psi) \rangle &= 3 \langle \mathcal{O}(c\bar{c}([{}^{1}S_{0}]_{1}) \to J/\psi) \rangle &= 3N_{c} \frac{|R_{n=1}(0)|^{2}}{2\pi} &= 1.2 \text{ GeV}^{3} ,\\ \langle \mathcal{O}(c\bar{c}([{}^{3}S_{1}]_{1}) \to \psi(2S)) \rangle &= 3 \langle \mathcal{O}(c\bar{c}([{}^{1}S_{0}]_{1}) \to \psi(2S)) \rangle &= 3N_{c} \frac{|R_{n=2}(0)|^{2}}{2\pi} &= 0.76 \text{ GeV}^{3} ,\\ \frac{1}{5} \langle \mathcal{O}(c\bar{c}([{}^{3}P_{2}]_{1}) \to \chi_{c2}(1P)) \rangle &= \frac{1}{3} \langle \mathcal{O}(c\bar{c}([{}^{3}P_{1}]_{1}) \to \chi_{c1}(1P)) \rangle &= \\ \langle \mathcal{O}(c\bar{c}([{}^{3}P_{0}]_{1}) \to \chi_{c0}(1P)) \rangle &= 3N_{c} \frac{|R'_{n=1}(0)|^{2}}{2\pi} &= 0.054m_{charm}^{2} \text{ GeV}^{3} , \end{split}$$

Octet elements determined by fit to data

- $$\begin{split} \langle \mathcal{O}(c\bar{c}([^{3}S_{1}]_{8}) \to J/\psi) \rangle &= (0.0013 \pm 0.0013) \,\text{GeV}^{3} \,, \\ \langle \mathcal{O}(c\bar{c}([^{1}S_{0}]_{8}) \to J/\psi) \rangle &= (0.018 \pm 0.0087) \,\text{GeV}^{3} \,, \\ &= \langle \mathcal{O}(c\bar{c}([^{3}P_{0}]_{8}) \to J/\psi) \rangle / (m_{\text{charm}}^{2}) \,, \\ \langle \mathcal{O}(c\bar{c}([^{3}S_{1}]_{8}) \to \psi(2S)) \rangle &= (0.0033 \pm 0.00021) \,\text{GeV}^{3} \,, \\ \langle \mathcal{O}(c\bar{c}([^{1}S_{0}]_{8}) \to \psi(2S)) \rangle &= (0.0080 \pm 0.00067) \,\text{GeV}^{3} \,, \\ &= \langle \mathcal{O}(c\bar{c}([^{3}P_{0}]_{8}) \to J/\psi) \rangle / (m_{\text{charm}}^{2}) \,, \\ \langle \mathcal{O}(c\bar{c}([^{3}P_{1}]_{8}) \to J/\psi) \rangle &= 3 \times \langle \mathcal{O}(c\bar{c}([^{3}P_{0}]_{8}) \to J/\psi) \rangle \,, \\ \langle \mathcal{O}(c\bar{c}([^{3}P_{2}]_{8}) \to J/\psi) \rangle &= 5 \times \langle \mathcal{O}(c\bar{c}([^{3}P_{0}]_{8}) \to J/\psi) \rangle \,, \\ \langle \mathcal{O}(c\bar{c}([^{3}S_{1}]_{8}) \to \chi_{c0}(1P)) \rangle &= (0.00187 \pm 0.00025) \,\text{GeV}^{3} \,, \end{split}$$
- Approximate scaling with velocity and mass
- Note that excited states such as χ have different expansion and different LDMEs

Sharma et al. (2012)

Example of charmonium production

• Limit of applicability $p_T > 3-5$ GeV. Same is true for other fixed order calculations, e.g. NLO



• Note, NLO fits exist mostly quarkonia by several groups, also include photoproduction. Tensions still remain with quarkonium polarization

Butenchoen *et al.* (2012)

Collisional interactions of heavy meson states in matter



Heavy meson acoplanarity & distortion of the light cone wave function (meson decay)



 Resum in impact parameter space, make Gaussian approximation

$$\begin{split} |\psi_f(\mathbf{K}, \Delta \mathbf{k})|^2 &= \left[\frac{e^{-\frac{\mathbf{K}^2}{4\chi\mu^2\xi}}}{4\pi\chi\mu^2\xi} \right] \left[\operatorname{Norm}^2 \frac{x(1-x)\Lambda^2}{\chi\mu^2\xi + x(1-x)\Lambda^2} \right. \\ &\times e^{-\frac{\Delta \mathbf{k}^2}{4(\chi\mu^2\xi + x(1-x)\Lambda^2)}} e^{-\frac{m_1^2(1-x) + m_2^2x}{x(1-x)\Lambda^2}} \right] \,. \\ P_{\text{surv.}}\left(\frac{\mu^2}{\lambda} L\xi \right) = \left| \int dx d^2 \Delta k_{\perp} \, \psi^*{}_f \left(x, \Delta k_{\perp} \right) \psi_i(x, \Delta k_{\perp}) \right|^2 \end{split}$$

Momentum space picture – may be counter intuitive (note that broadening in configuration space is narrowing in momentum space)

- Initial wavefunction ~ vacuum
- Collisional broadening
- Thermal narrowing

Min bias and excited to ground state ratios



We wee differences in suppression of Upsilon(2S) and Upsilon(3S). Latest measurements don't seem to see that (quite puzzling) Good separation the suppression of the ground and excited