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τ Decay Mode Identification in a Liquid Argon Electromagnetic Calorimeter at the FCC-ee

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Motivation:

Improving the precision of $\sin^2(\theta_W)$ and testing the $e - \tau$ universality



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Motivation:

Improving the precision of $\sin^2(\theta_W)$ and testing the $e - \tau$ universality

Requirements:

- Precision measurements

⇒ Need a clean and precise
separation of τ final states

- Largest sensitivity to polarisation in $\pi n \pi^0$ modes, with $n \geq 0$. In particular the $\pi^- \nu$ and $\rho^- \nu \rightarrow \pi^- \pi^0 \nu$ have the largest sensitivities to P_τ

⇒ Need a precise π^0 counting scheme

Decay modes	Branching fraction [%]
$e^- \bar{\nu}_e \nu_\tau$	17.82 ± 0.04
$\mu^- \bar{\nu}_\mu \nu_\tau$	17.39 ± 0.04
$h^- \nu_\tau$	11.51 ± 0.05
$h^- \pi^0 \nu_\tau$	25.93 ± 0.09
$h^- 2\pi^0 \nu_\tau$	9.48 ± 0.10
$h^- 3\pi^0 \nu_\tau$	1.18 ± 0.07
$h^- 4\pi^0 \nu_\tau$	0.16 ± 0.04
3 prongs	15.20 ± 0.06

Table: The dominant decay modes and their branching fractions of the τ lepton. h^- represents a K^- or a π^-



Project goal

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Investigate the performance of the LAr/Pb ECAL proposed for the FCC-ee wrt. τ polarisation measurements by trying to achieve the best possible τ decay mode identification. This demands:

- A precise π^0 reconstruction scheme
- A separation of single γ and merged π^0 's

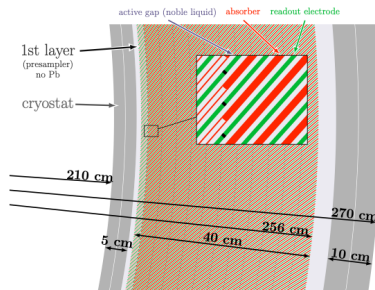


Figure: The proposed LAr/Pb ECAL barrel design [arXiv:2109.00391]



Detector demands

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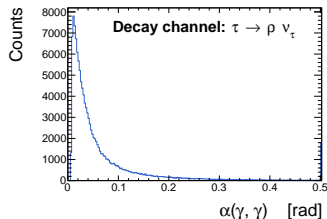
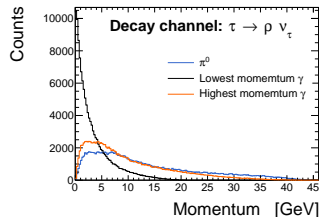
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- Sensitivity to low energy photons. This includes a minimization of noise to enhance precision in π^0 counting
- High granularity to detect both π^0 daughter photons

Angle between two photons of equal energy:

$$\alpha(\gamma, \gamma) = \frac{m_{\gamma, \gamma}}{E_{\gamma}} \quad (1)$$





Particle showers

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Electromagnetic showers:

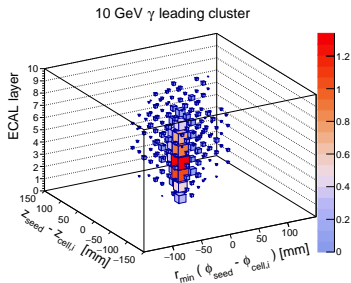


Figure: Cluster from 10 GeV photon in the LAr ECAL

- Mostly regular in shape
- Molière radius (R_M): Radius of cylinder on avg. containing 90% of shower (material dependent)

Hadronic showers:

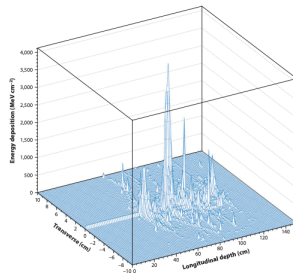


Figure: 100 GeV pion creating a hadronic shower in Pb [10.1146/annurev.nucl.012809.104449]

- Initial MIP behaviour
- Cascades of secondary particles \rightarrow irregular shape with satellites



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Simulated geometry

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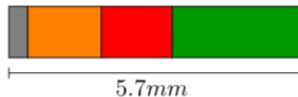
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Simplified geometry:

- 70 concentric cylinders
- PCB simulated by making glue layer thicker and an average material constant is used



Steel : 0.37 mm
Glue/PCB : 1.44 mm
Pb : 1.389 mm
LAr : 2.50 mm

- Depth: $20.6X_0$

Event files:

- Single particle gun. Particles generated at $\phi = 0$, $\theta = \frac{\pi}{2}$
- Full τ decay. Produced at $\theta = \frac{\pi}{2}$.

⇒ All Geant4 hits saved to root output file



DISCLAIMER

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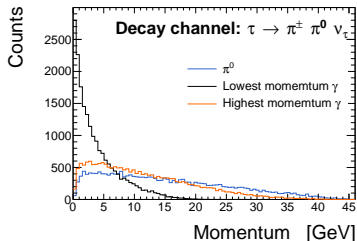
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It was discovered late in the thesis process that the intermediate ρ meson or a_1 is not produced

Consequences for $\tau \rightarrow \rho \nu$
decays:

- 3-body decay instead of 2-body \rightarrow enhanced $\alpha(\pi^\pm, \gamma)$
- Momentum distributions of π^\pm and π^0 slightly different



However, the π^0 decays happen realistically



Geant4 hits to cell hits

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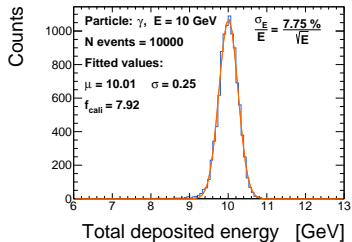
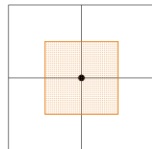
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- Calorimeter divided into 10 layers in the r -direction, 680 cells in ϕ -direction and 300 cells in z -direction
- Cell size: $\sim 2 \times 2 \times 4 \text{ cm}^3$
- All geant hits are assigned a cell
- Illuminate evenly over cell surface: position of entire event displaced randomly within the area of one cell



Sampling fraction: 12.6%



A liquid krypton/tungsten design

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LKr and W have smaller Molière radii → possible better separation of close-by photons.

- LAr/Pb replaced by LKr/W
- 70 concentric cylinders
- Layer thickness reduced to 3.7 mm → 140 mm narrower ECAL
- Depth: $21.3X_0$
- Calorimeter divided into 10 layers in the r-direction, 1360 cells in ϕ -direction and 600 cells in z -direction
- Cell size: $\sim 1 \times 1 \times 2.6 \text{ cm}^3$



Comparison using Geant4 hits

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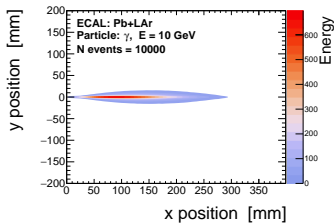
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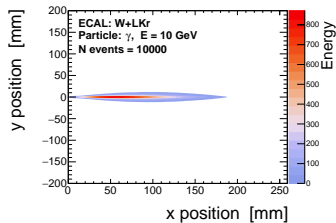
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LAr design:



$$R_M = 41 \text{ mm}$$

LKr design:



$$R_M = 27 \text{ mm}$$

⇒ LKr design does give narrower showers



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Methods uses two (adjustable) thresholds: $\text{thr}_{low} = 10 \text{ MeV}$,
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Methods uses two (adjustable) thresholds: $\text{thrs}_{low} = 10 \text{ MeV}$,
 $\text{thrs}_{high} = 20 \text{ MeV}$

- 1 For each cell, point to highest energy (of 26) neighbours exceeding thrs_{low}
 - If the cell is local maximum and exceeds thrs_{high} it will be a seed
 - For each cell, define list of followers (cells that point to it)



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 - For each cell, define list of followers (cells that point to it)
- ② Start by seed cells (local energy maximum) and collect followers iteratively \rightarrow proto-clusters



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 - For each cell, define list of followers (cells that point to it)
- 2 Start by seed cells (local energy maximum) and collect followers iteratively \rightarrow proto-clusters
- 3 Merging of proto-clusters



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- ③ Merging of proto-clusters

\Rightarrow A reconstruction threshold of $E_{clus} > 200 \text{ MeV}$ is used



Clustering - 10 GeV photons

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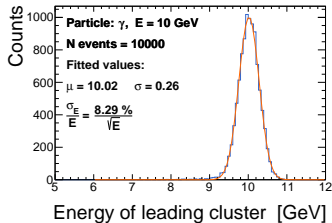
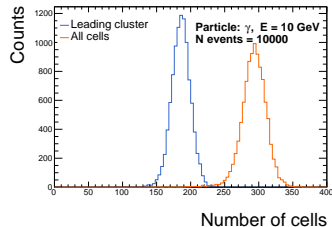
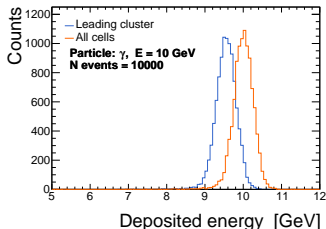
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- Leading cluster contains majority of particle energy
 - Energy resolution slightly reduced
- ⇒ successful clustering



Clustering - 10 GeV photons

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Position resolution:

- The cluster positions are calculated as the energy weighted mean of the associated cell positions

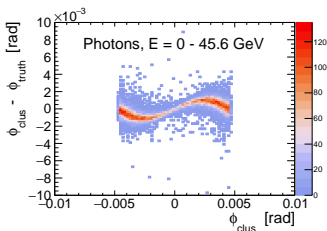


Figure: ϕ coordinate

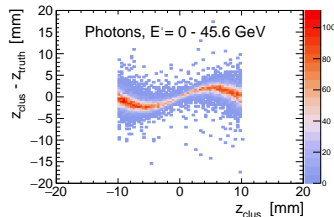


Figure: z coordinate

⇒ need an s-curve correction



S-curve correction - 10 GeV photons

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Correction is done by fitting a sine function to s-curves and using the fit result as the correction

Result:

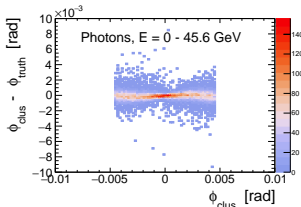


Figure: ϕ coordinate

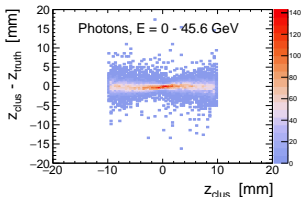
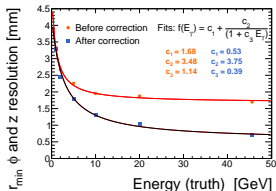


Figure: z coordinate



$\Rightarrow r\phi/z$ resolution for 10 GeV photons at $r = 2160$ mm:
1.95 mm (before) \rightarrow 1.28 mm (after)



Clustering - 10 GeV charged pions

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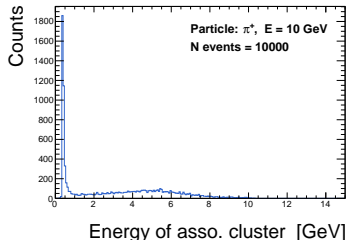
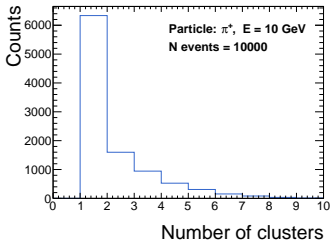
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- On average 0.8 additional clusters per generated 10 GeV particle
- Associated cluster chosen based on proximity to charged track

⇒ need a fake photon killing to separate genuine/fake photons



Clustering - π^0 's

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$$\alpha(\gamma, \gamma) \propto \frac{1}{E_{\pi}^0}$$

\Rightarrow daughter photons from
high energy π^0 are likely to
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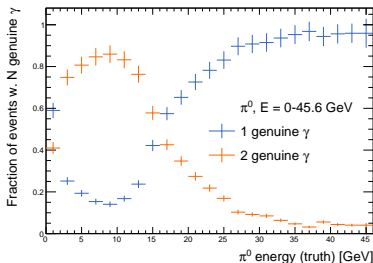
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$$\alpha(\gamma, \gamma) \propto \frac{1}{E_{\pi}^0}$$

\Rightarrow daughter photons from high energy π^0 are likely to merge into one cluster



- Resolved π^0 's: The two photons are reconstructed as two separate clusters
- Unresolved π^0 's: The two photons merge into one cluster
- Residual single photons: One photon is under reconstruction threshold



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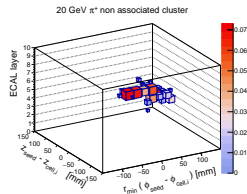
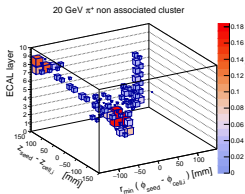
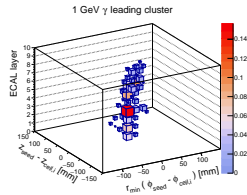
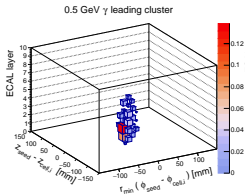
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Fake photon killing



\Rightarrow fakes per π^\pm reduced by a factor 26 to 0.035 fakes per π^\pm



Single photon/unresolved π^0 separation

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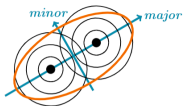
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\Rightarrow Major axis
correlated with
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Single photon/unresolved π^0 separation

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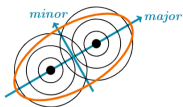
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\Rightarrow Major axis correlated with opening angle of photons

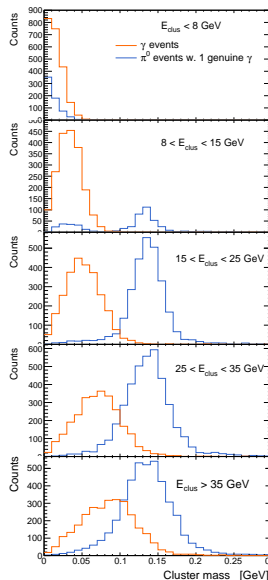
The major/minor axis lengths can be re-formulated to calculate a cluster mass:

$$m_{\text{clus}} = c_1 E_{\text{clus}} x \quad (2)$$

with

$$x^2 = \text{major}^2 - \text{minor}^2. \quad (3)$$

Calibration factor: $c_1 \sim 1$.





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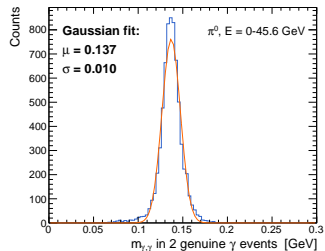
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1 Combine all genuine photons to find resolved π^0 's

- Photon pair accepted if $m_{\gamma,\gamma}$ is consistent with m_{π^0} within $4\sigma_{m_{\gamma,\gamma}}$
- $\Rightarrow \epsilon_{E < 16 \text{ GeV}} > 98\%$





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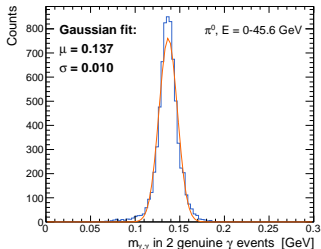
- Photon pair accepted if $m_{\gamma,\gamma}$ is consistent with m_{π^0} within $4\sigma_{m_{\gamma,\gamma}}$

$\Rightarrow \epsilon_{E < 16 \text{ GeV}} > 98\%$

2 Identify all unresolved π^0 's

- Cluster accepted as unresolved π^0 if $m_{clus} > 0.1 \text{ GeV}$

$\Rightarrow \epsilon_{E > 16 \text{ GeV}} = 82 - 90\%$, with efficiency decreasing at higher energies





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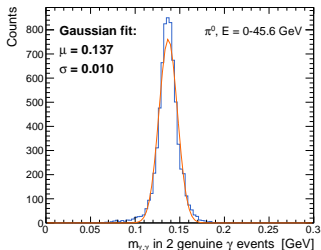
$$\Rightarrow \epsilon_{E < 16 \text{ GeV}} > 98\%$$

2 Identify all unresolved π^0 's

- Cluster accepted as unresolved π^0 if $m_{clus} > 0.1 \text{ GeV}$

$$\Rightarrow \epsilon_{E > 16 \text{ GeV}} = 82 - 90\%, \text{ with efficiency decreasing at higher energies}$$

3 Accept remaining genuine photons as residual single photons if $\alpha(\pi^\pm, \gamma) < 0.3 \text{ rad}$





π^0 reconstruction

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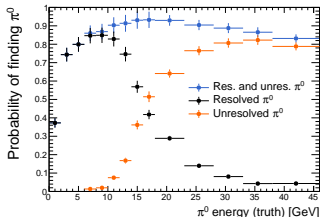
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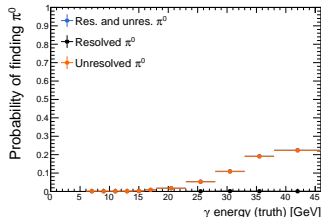
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Signal:



Background:



⇒ Probability of reconstructing π^0 : $\epsilon = 84\%$ which is competitive with ALEPH results of $\epsilon_{ALEPH} \sim 84\%$ (including residual single photons)

⇒ Probability of accepting a true γ as π^0 : $\epsilon_{bg} = 4.5\%$ heavily dominated by photons with $E > 25$ GeV



τ decay mode identification

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This thesis:

Recon \rightarrow Gen \downarrow	$\pi^\pm \nu$	$\pi^\pm \pi^0 \nu$	$\pi^\pm 2\pi^0 \nu$	$\pi^\pm 3\pi^0 \nu$	$\pi^\pm 4\pi^0 \nu$
$\pi^\pm \nu$	0.9560	0.0425	0.0010	0.0003	0.0002
$\pi^\pm \pi^0 \nu$	0.0374	0.9020	0.0586	0.0016	0.0002
$\pi^\pm 2\pi^0 \nu$	0.0090	0.1277	0.7802	0.0808	0.0022
$\pi^\pm 3\pi^0 \nu$	0.0036	0.0372	0.2679	0.5972	0.0910

Table: Each row shows the fraction of e.g. $\tau \rightarrow \pi^\pm \nu$ decays classified as each of the considered channels

- \Rightarrow Most event classified correctly for all channels
- \Rightarrow Efficiency especially high for important $\pi^\pm \nu$ and $\pi^\pm \pi^0 \nu$ channels
- \Rightarrow Efficiency decreases with rising number of π^0 's
- \Rightarrow Contributions to migration: Merging of π^\pm and π^0 clusters, radiation photons



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Table: Each row shows the fraction of e.g. $\tau \rightarrow \pi^\pm \nu$ decays classified as each of the considered channels

ALEPH results (normalized to 1. by author):

Recon \rightarrow Gen \downarrow	$h \nu$	$h \pi^0 \nu$	$h 2\pi^0 \nu$	$h 3\pi^0 \nu$	$h 4\pi^0 \nu$
$h \nu$	0.9270	0.0670	0.0047	0.0010	0.0003
$h \pi^0 \nu$	0.0457	0.8756	0.0728	0.0053	0.0006
$h 2\pi^0 \nu$	0.0044	0.1470	0.7499	0.0900	0.0087
$h 3\pi^0 \nu$	0.0008	0.0288	0.3098	0.5768	0.0837

Remember: not apples-to-apples comparison!!



τ decay mode identification

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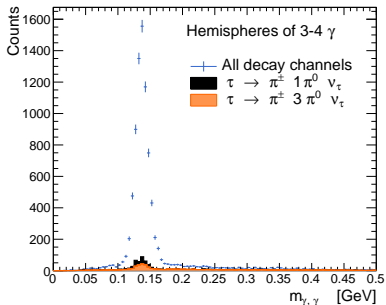
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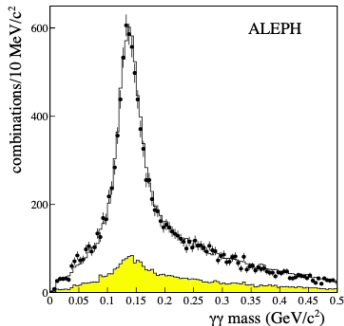
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This thesis:



ALEPH results:



Figures show the invariant mass of two photons in three-four photon events, where one π^0 has already been identified.

Remember: not apples-to-apples comparison!!



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- Less comprehensive analysis \rightarrow goal is π^0 reconstruction results
- In order to isolate effect of smaller cells, a revised LAr/Pb design with cell size $1 \times 1 \times 4 \text{ cm}^3$ is also investigated
- Clustering thresholds and reconstruction limits are kept fixed



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- Less comprehensive analysis \rightarrow goal is π^0 reconstruction results
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- Clustering thresholds and reconstruction limits are kept fixed

Challenge: Truth information of photons in SPG π^0 events is not available \rightarrow some fake photons will be considered in this sub-analysis, but luckily the their influence on the results is negligible



Spatial resolution

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	Initial LAr design	Revised LAr design	LKr design
$r\phi/\theta$ res.	1.28 mm	0.98 mm	0.76 mm

Table: Measured for 10 GeV photons

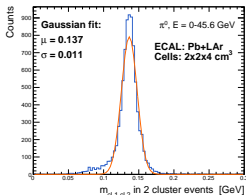
⇒ Narrower showers in LKr design improves spatial significantly



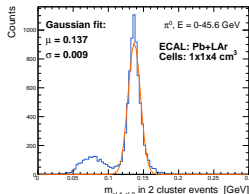
Resolved π^0 results

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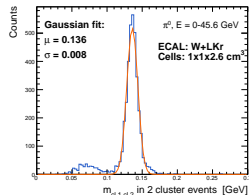
Initial LAr design



Revised LAr design



LKr design



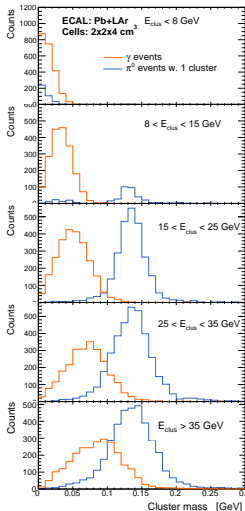
- Bumps since clustering is not optimized here. They do however not result in identification of fake π^0 's
- The mass resolution is improved for both the revised LAr design and the LKr design



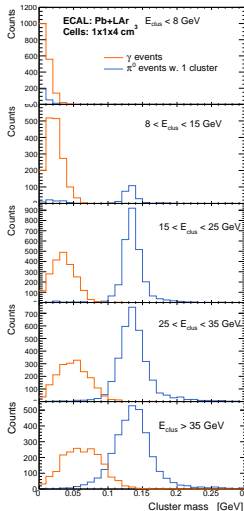
Unresolved π^0 results

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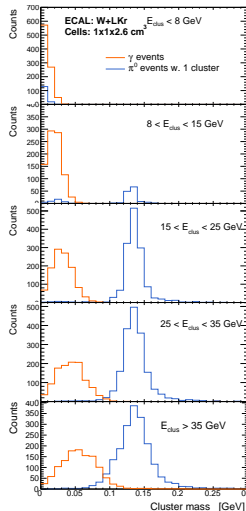
Initial LAr design



Revised LAr design



LKr design

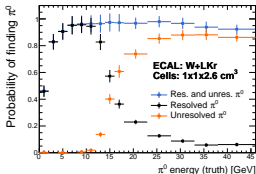




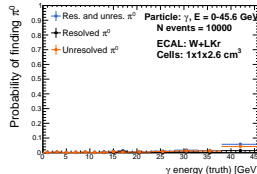
Overall π^0 reconstruction

Example: LKr design

Signal:



Background:



ECAL

Efficiency

	Signal	Background
Initial LAr ECAL	89.23%	5.62%
Revised LAr ECAL	91.09%	2.48%
LKr ECAL	91.17%	1.09%

⇒ Reducing cell size in LAr enhances spatial resolution and improves π^0 reconstruction

⇒ The LKr design seems well-suited for suppressing fake π^0 's



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- Central detector demands for future ECAL wrt. τ polarisation measurements: fine granularity, high energy resolution and low noise levels

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The LAr/Pb ECAL:

- Resolutions: $\frac{\sigma_E}{E} = \frac{8.3\%}{\sqrt{E}}$, $r\phi/\theta : 1.28 \text{ mm}$



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The LAr/Pb ECAL:

- Resolutions: $\frac{\sigma_E}{E} = \frac{8.3\%}{\sqrt{E}}$, $r\phi/\theta : 1.28 \text{ mm}$
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The LAr/Pb ECAL:

- Resolutions: $\frac{\sigma_E}{E} = \frac{8.3\%}{\sqrt{E}}$, $r\phi/\theta$: 1.28 mm
 - Clustering: Using low (but realistic) thresholds plus reconstruction limit properly reconstructs the particles
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 - τ decay mode identification is generally successful with an efficiency of 96% and 90% for the $\pi^\pm\nu$ and $\pi^\pm\pi^0\nu$ channels, respectively.
- The LKr/W ECAL design provides narrower showers which enhances the spatial resolution and precision in π^0 reconstruction \Rightarrow future studies



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Thank you for listening!



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π^0 invariant mass resolution

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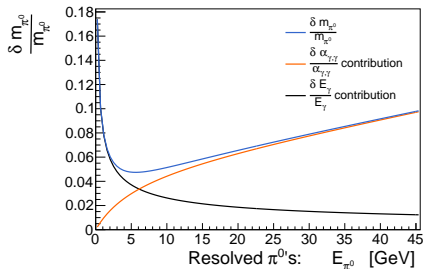
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Using the assumption that $E_{\gamma,1} = E_{\gamma,2}$. Total mass resolution given by:

$$\frac{\delta m}{m} = \sqrt{\left(\frac{\delta E}{E}\right)^2 + \left(\frac{\delta \alpha_{\gamma,\gamma}}{\alpha_{\gamma,\gamma}}\right)^2} \quad (4)$$

⇒ Energy contribution dominant at low energies



Longitudinal profile

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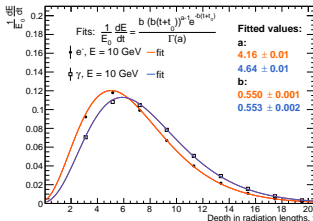
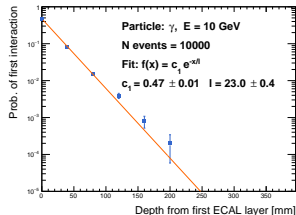


Figure: The constant $t_0 = 0.7X_0$ accounts for the depth of the cryostat and LAr pre-sampler

⇒ Measured and expected profile are consistent

⇒ Electrons interact earlier (on average) than photons



Probability of no interaction:

$$P(x) = Ce^{-x/\ell} \quad (5)$$

Expected value: $\ell = 24.99 \text{ mm}$

⇒ Expected and calculated MFP are not consistent but close



τ polarisation measurements

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The polarisation

$$P_{\tau}(\cos \theta) = -\frac{\mathcal{A}_{\tau} (1 + \cos^2 \theta) + 2 \cos \theta \mathcal{A}_e}{(1 + \cos^2 \theta) + 2 \mathcal{A}_{\tau} \mathcal{A}_e \cos \theta} \quad (6)$$

with the fermion asymmetry
parameter

$$\mathcal{A}_f = \frac{(c_L^f)^2 - (c_R^f)^2}{(c_L^f)^2 + (c_R^f)^2} \quad (7)$$

$$\equiv \frac{2c_V^f c_A^f}{(c_V^f)^2 + (c_A^f)^2} \quad (8)$$

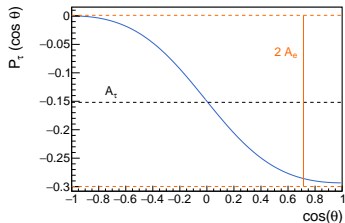


Figure:

$P_{\tau}(\cos \theta)$ for $\mathcal{A}_e = \mathcal{A}_{\tau} = 0.15$