

Charge carrier localization and radiative processes in III-nitrides & perovskites

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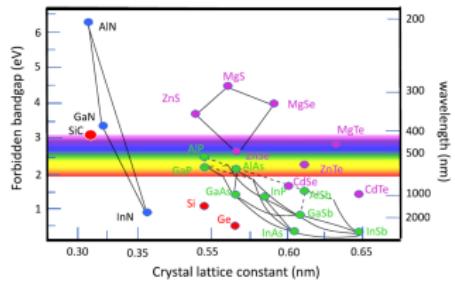


SIMONS
FOUNDATION

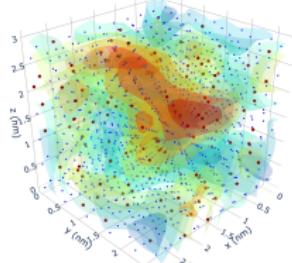
Context

Context for the study of nitride ternary alloys

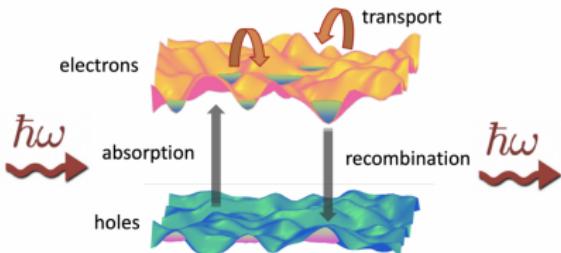
Nitrides = III-N semiconductors
Bandgap engineering



C. Weisbuch, Comptes Rendus Physique, Volume 19, Issue 3 (2018)



LED, lighting applications



Photoemission experiment on GaN and InGaN

• $\text{In}_x\text{Ga}_{1-x}\text{N}$ samples with $x = 0, 0.1, 0.2, 0.3, 0.4$

• $\text{GaN} / \text{Si}(111)$ samples

• $\text{GaN} / \text{Si}(111) / \text{Au}$ samples

• $\text{GaN} / \text{Si}(111) / \text{Al}_2\text{O}_3$ samples

• $\text{GaN} / \text{Si}(111) / \text{Al}_2\text{O}_3 / \text{Au}$ samples

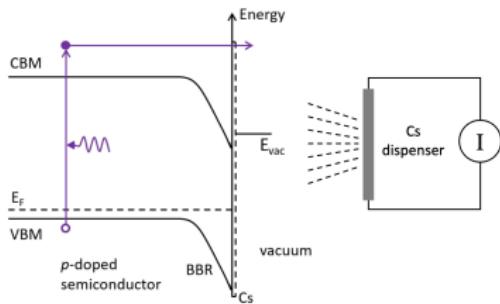
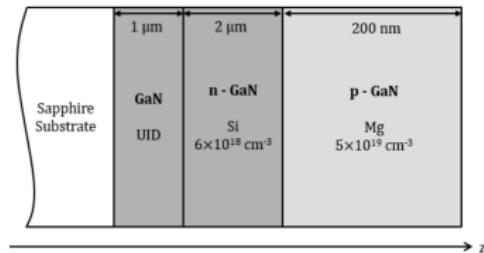
• $\text{GaN} / \text{Si}(111) / \text{Al}_2\text{O}_3 / \text{Au} / \text{Ti} / \text{Al}$ samples

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Experimental setup and basic principles

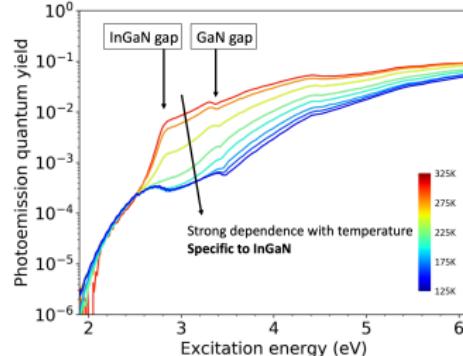
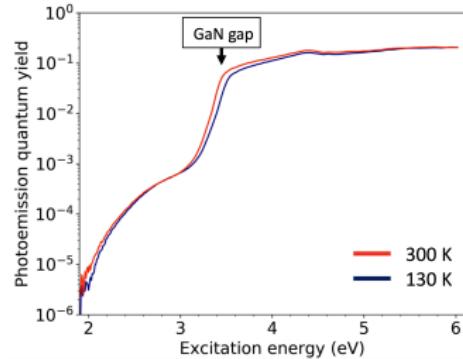
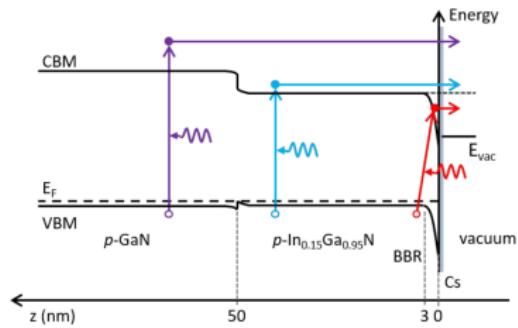


Three-step process

- Photon absorption, creation of e-h pair.
- Electron relaxation and transport in the conduction band.
- Electron transmission through the surface.

Freezing of electron transport in InGaN

Low energy photoemission experiment



Proof for electron localization?

* M. Sauty et al, Phys. Rev. Lett. **129**, 216602 (2022)

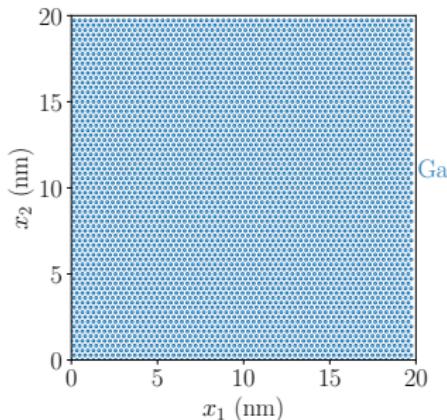
J.-P. Banon

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Effective mass model of disordered semiconductor alloys

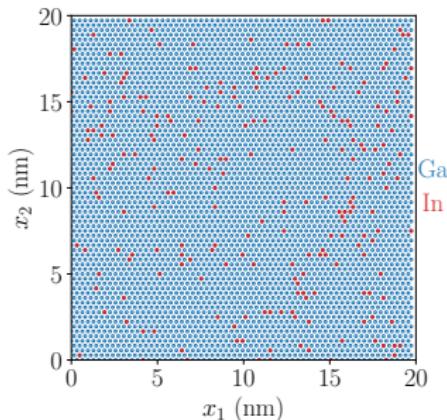
From ordered GaN...



Eigenstates in the conduction band of a periodic potential (Bloch waves)

$$\psi_{\mu}^{(c)}(\mathbf{r}) = \underbrace{u_c(\mathbf{r})}_{\text{cell function}} \underbrace{\exp(i \mathbf{k}_{\mu} \cdot \mathbf{r})}_{\text{plane wave}}$$

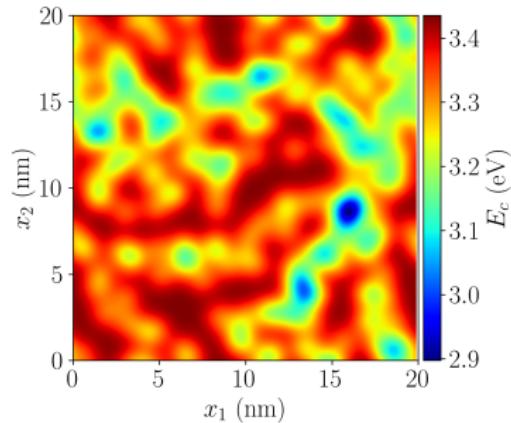
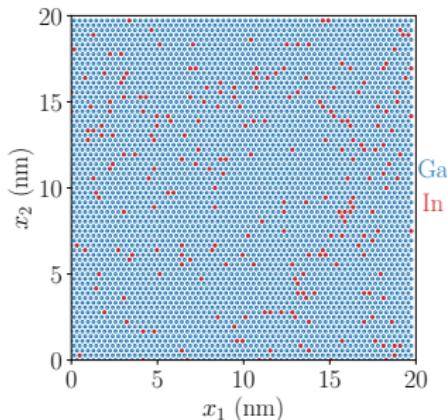
From ordered GaN... to disordered InGaN



Eigenstates in the conduction band of a periodic potential (Bloch waves)

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From ordered GaN... to disordered InGaN



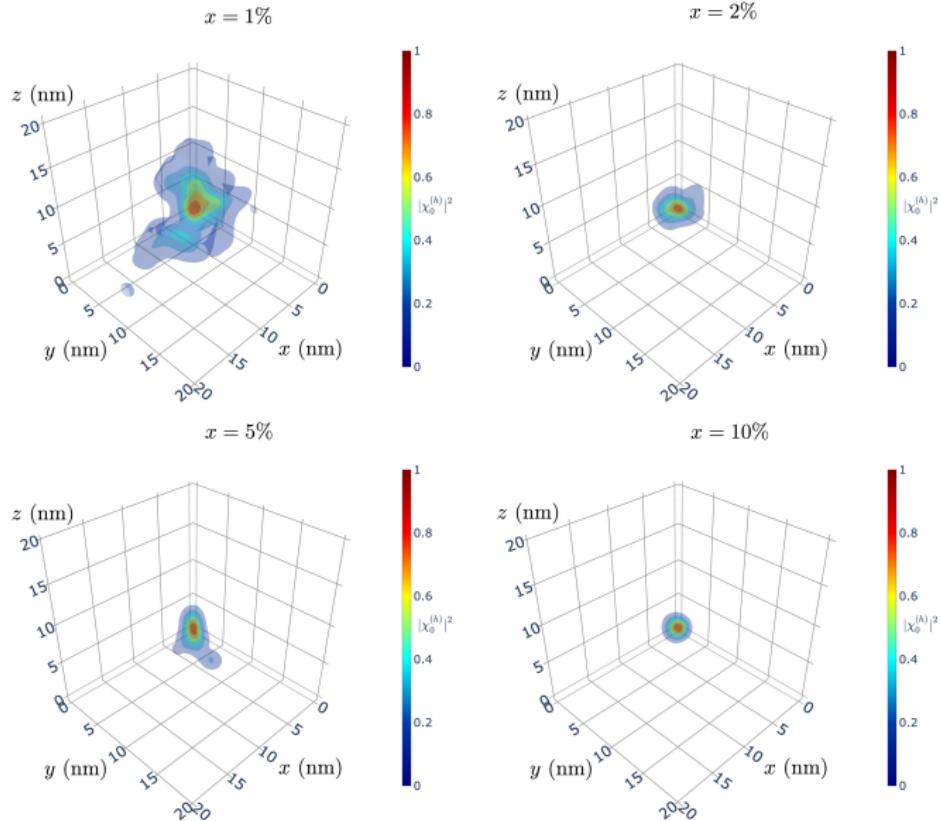
Eigenstates in the conduction band in the *effective mass approximation*

$$\psi_{\mu}^{(c)}(\mathbf{r}) = \underbrace{u_c(\mathbf{r})}_{\text{cell function}} \underbrace{\chi_{\mu}^{(c)}(\mathbf{r})}_{\text{envelope}} \quad \text{and} \quad \psi_{\nu}^{(v)}(\mathbf{r}) = u_v(\mathbf{r}) \chi_{\nu}^{(v)}(\mathbf{r})$$

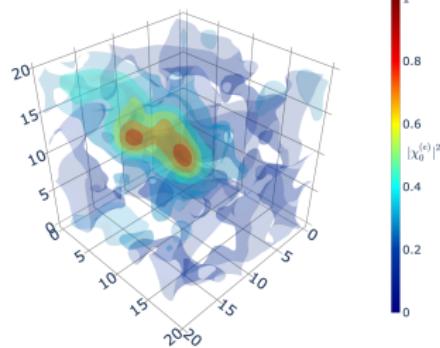
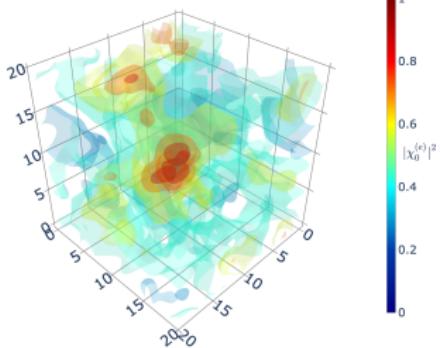
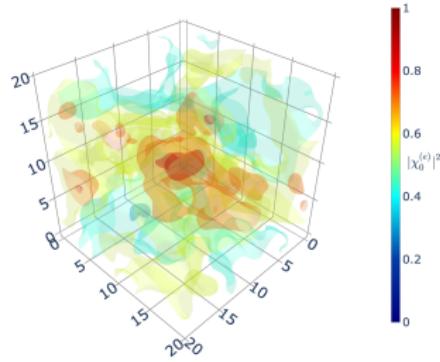
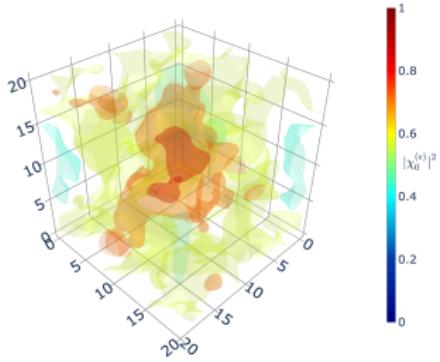
Schrödinger equation

$$-\frac{\hbar^2}{2} \nabla \cdot \left[\frac{\nabla \chi_{\mu}^{(c)}}{m_c} \right] + E_c(\mathbf{r}) \chi_{\mu}^{(c)} = E_{\mu}^{(c)} \chi_{\mu}^{(c)}$$

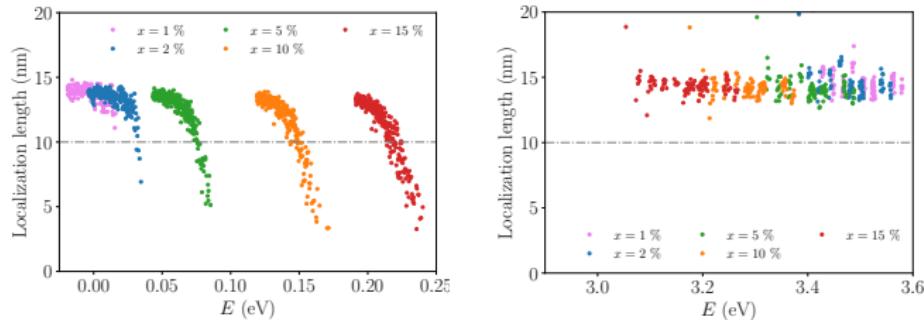
Examples of hole wave function ($\ln_x \text{Ga}_{1-x} \text{N}$)



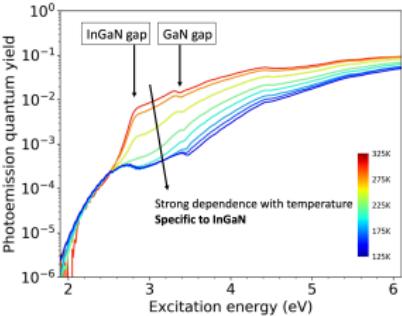
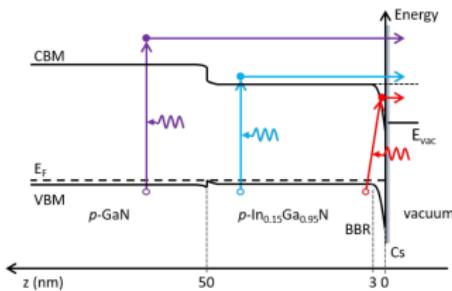
Examples of electron wave function ($\text{In}_x\text{Ga}_{1-x}\text{N}$)



Localization length vs energy ($\text{In}_x\text{Ga}_{1-x}\text{N}$)



Freezing of electron transport but delocalized electrons?
Electron-hole Coulomb interaction!



★ M. Sauty *et al*, Phys. Rev. Lett. **129**, 216602 (2022)

★ A. David and C. Weisbuch, Phys. Rev. Research 4, 043004 (2022)

Impact of alloy disorder on radiative properties & localization landscape

What is the localization landscape?

Original motivation: finding a bounding function to the eigenfunctions.

Schrödinger eigenvalue problem

$$-\frac{\hbar^2}{2m} \Delta \psi + V\psi = E\psi$$

Integral representation for ψ

$$\psi(\mathbf{r}) = \int G(\mathbf{r}, \mathbf{r}') E\psi(\mathbf{r}') d^d r'$$

Straightforward upper bound

$$|\psi(\mathbf{r})| \leq \int |G(\mathbf{r}, \mathbf{r}') E\psi(\mathbf{r}')| d^d r' \leq |E| \|\psi\|_\infty \int |G(\mathbf{r}, \mathbf{r}')| d^d r'$$

Hence

$$\frac{|\psi(\mathbf{r})|}{\|\psi\|_\infty} \leq |E| \mathcal{L}(\mathbf{r})$$

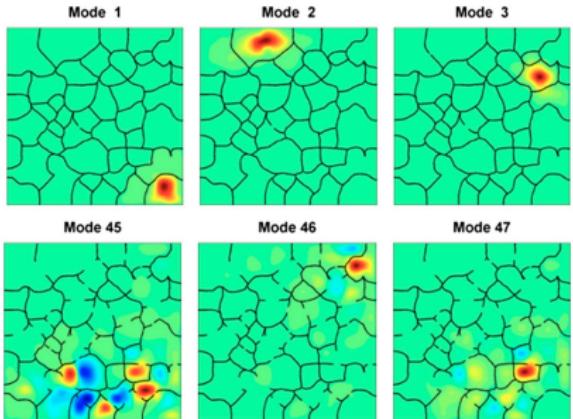
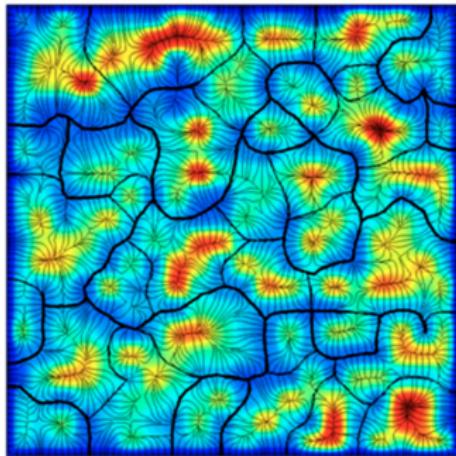
If $G \geq 0$, the landscape is easily obtained by solving

$$H\mathcal{L} = -\frac{\hbar^2}{2m} \Delta \mathcal{L} + V\mathcal{L} = 1$$

* M. Filoche and S. Mayboroda, Proceedings of the National Academy of Sciences 109, 14761 (2012)

The landscape bounds the eigenstates

$$\frac{|\psi(\mathbf{r})|}{\|\psi\|_\infty} \leq |E| \mathcal{L}(\mathbf{r})$$



* M. Filoche and S. Mayboroda, Proceedings of the National Academy of Sciences 109, 14761 (2012)

The effective potential

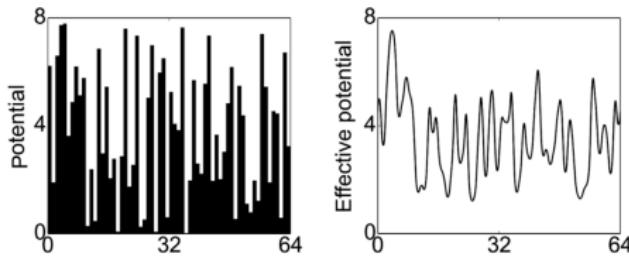
$$H\mathcal{L} = 1$$

Change of unknown function $\psi = \mathcal{L}\varphi$

$$-\frac{\hbar^2}{2m}\Delta(\mathcal{L}\varphi) + V\mathcal{L}\varphi = E\mathcal{L}\varphi$$

⋮

$$\underbrace{-\frac{\hbar^2}{2m}\frac{1}{\mathcal{L}^2}\nabla \cdot [\mathcal{L}^2\nabla\varphi]}_{\text{Eff. kinetic energy}} + \underbrace{\frac{1}{\mathcal{L}}}_{\text{Eff. potential}} \varphi = E\varphi$$



★ D. N. Arnold *et al.* Phys. Rev. Lett. 116, 056602 (2016)

★ D. N. Arnold *et al.* SIAM J. Sci. Comput., 41(1), B69–B92 (2019)

Landscape based absorption coefficient

Absorption coefficient

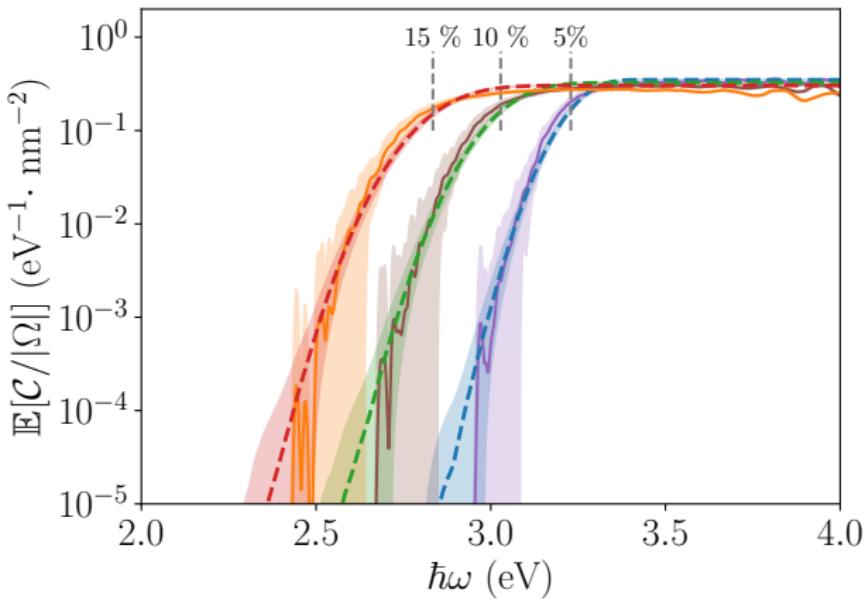
$$\alpha(\omega) \propto \sum_{\mu, \nu} \underbrace{\left| \langle \chi_{\mu}^{(c)} | \chi_{\nu}^{(v)} \rangle \right|^2}_{\text{coupling}} \underbrace{\delta(E_{\mu}^{(c)} - E_{\nu}^{(v)} - \hbar\omega)}_{\text{energy conservation}}$$

can be shown to be approximated by the *landscape based semi-classical approximation*

$$\alpha(\omega) \propto \int m_r^{3/2}(\mathbf{r}) \sqrt{\left(\hbar\omega - E_g^{(\text{eff})}(\mathbf{r}) \right)_+} \, d\mathbf{r}$$

* J.-P. Banon, P. Pelletier, C. Weisbuch, S. Mayboroda, M. Filoche, Phys. Rev. B **105**, 125422 (2022)

Simulated absorption curves



Normalized absorption coefficient spectra for 2D alloys of $\text{In}_x\text{Ga}_{1-x}\text{N}$ averaged over 100 realizations.

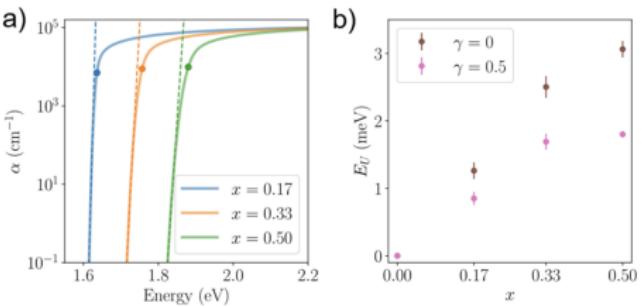
Domain size $50 \text{ nm} \times 50 \text{ nm}$.

Computational speed-up ≈ 300 .

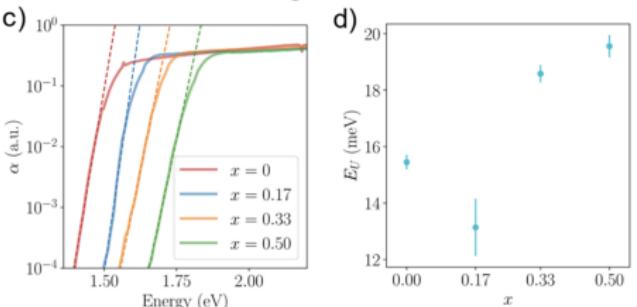
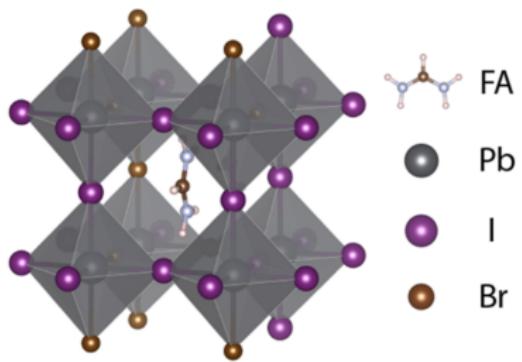
* J.-P. Banon, P. Pelletier, C. Weisbuch, S. Mayboroda, M. Filoche, Phys. Rev. B **105**, 125422 (2022)

Absorption coefficient and Urbach tail in perovskites

Modelling

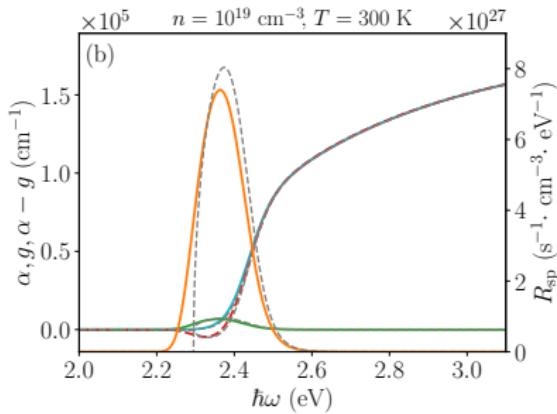
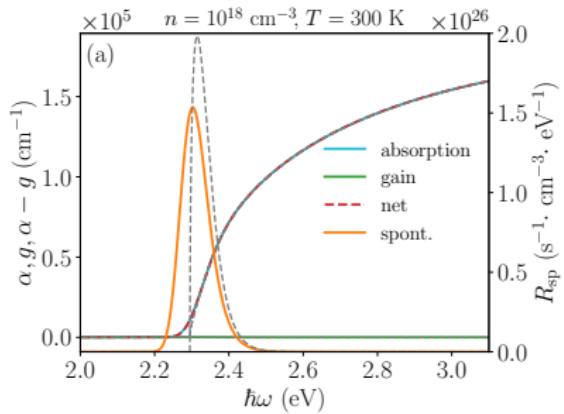


Experiment



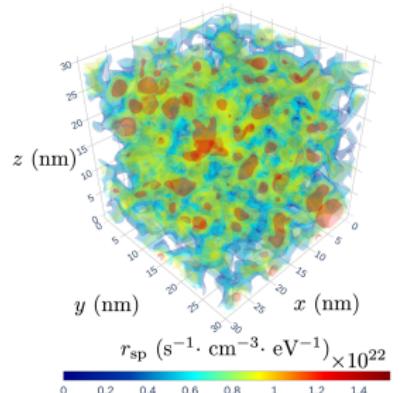
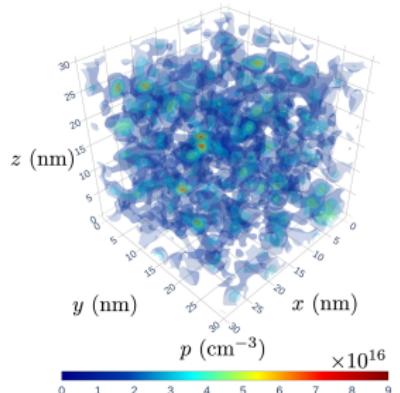
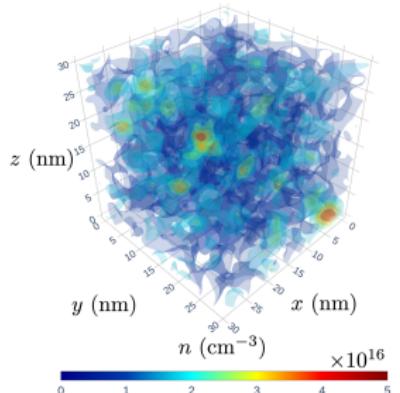
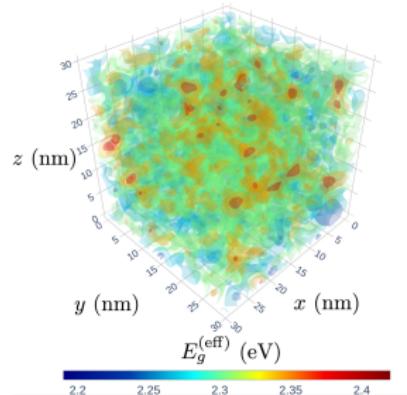
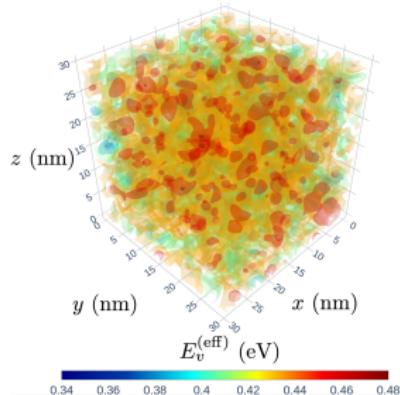
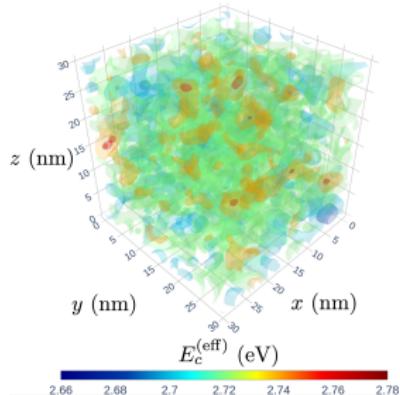
* Y. Liu, et al., ACS Energy Letters, 2023, 8, 1, 250–258.

Absorption and light emission for $\text{In}_{0.3}\text{Ga}_{0.7}\text{N}$



How does it look like locally?

Parameters: $x = 0.3$, $T = 300$ K, $\bar{n} = \bar{p} = 10^{16}$ cm $^{-3}$



Contributors

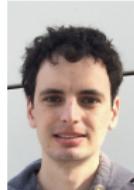
Wigner-Weyl theory of absorption



M. Filoche



S. Mayboroda



P. Pelletier

Absorption in perovskites



R. Friend



Y. Liu

(De)localization in III-V vs III-N



J. Speck



C. Weisbuch

Evidence of localization in InGaN



J. Peretti



M. Sauty

Fundings: Work supported by the Simons foundation grant 601944.

Thank you for your attention.

A few references to know more about ...

... photoemission experiment in InGaN

* M. Sauty, N. M. S. Lopes, J.-P. Banon, Y. Lassailly, L. Martinelli, A. Alhassan, Y. Chao Chow, S. Nakamura, J. S. Speck, C. Weisbuch, J. Peretti, Phys. Rev. Lett. **129**, 216602 (2022)

... the original paper on the localization landscape

* M. Filoche and S. Mayboroda, PNAS **109**, 14761 (2012)

... the effective potential and the modified Weyl law for the IDOS

* D. N. Arnold, G. David, D. Jerison, S. Mayboroda, and M. Filoche, Phys. Rev. Lett. **116**, 056602 (2016)

... absorption in disordered semiconductors

* J.-P. Banon, P. Pelletier, C. Weisbuch, S. Mayboroda, M. Filoche, Phys. Rev. B **105**, 125422 (2022).

... energy landscape and absorption in lead mixed halide perovskites

* Y. Liu, J.-P. Banon, K. Frohma, Y-H Chiang, G. Tumen-Ulzii, S. D. Stranks, M. Filoche, and R. H. Friend, ACS Energy Letters, 2023, 8, 1, 250–258.