



**IN2P3**  
Les deux infinis

**LM**



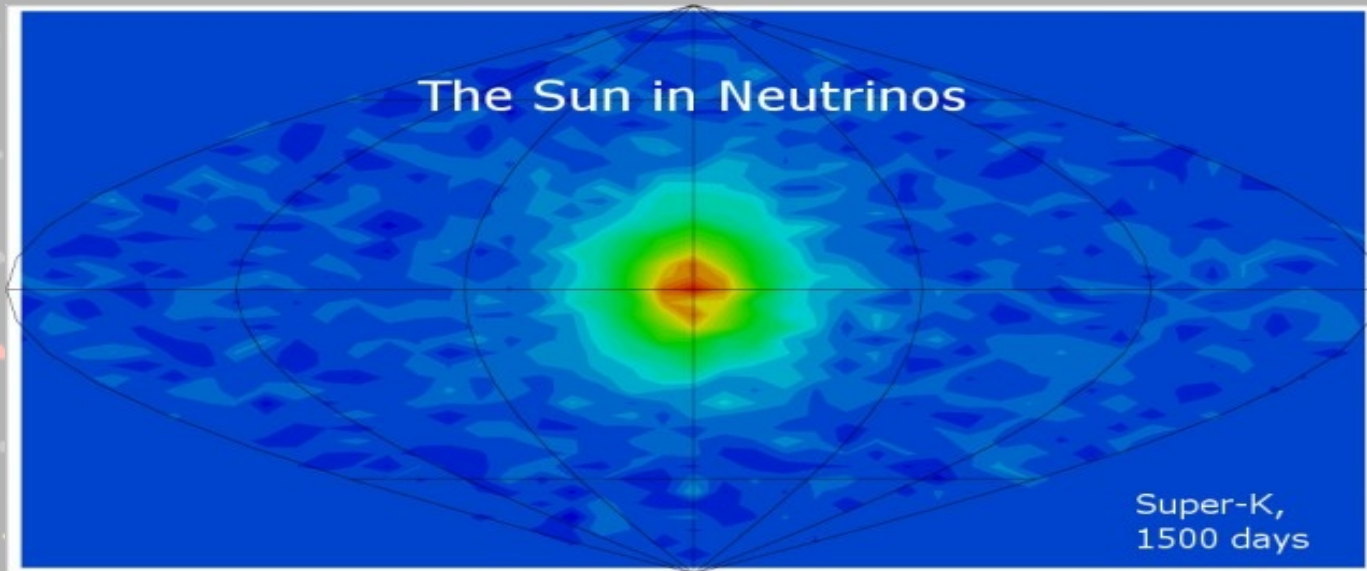
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CP violation search in the lepton sector using  
long-baseline  $\nu$  in Japan  
(Super-Kamiokande, T2K & Hyper-Kamiokande)

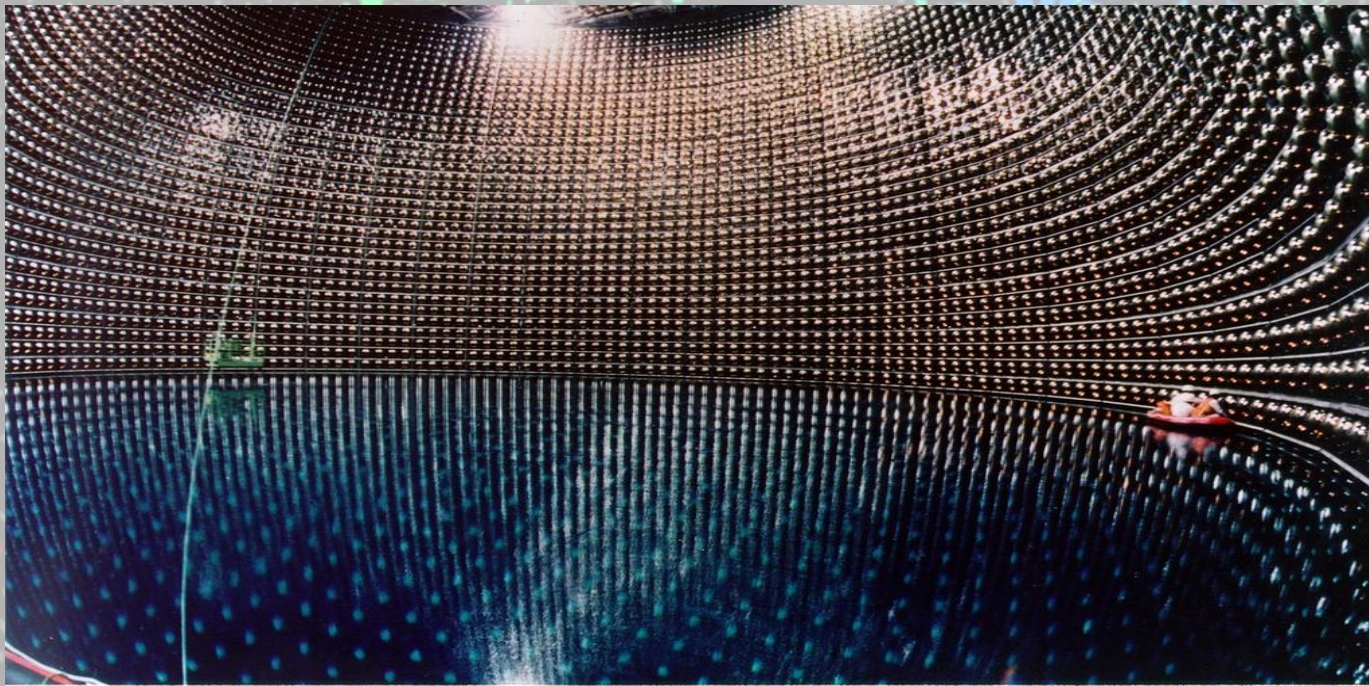
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Laboratoire Leprince-Ringuet  
(CNRS-IN2P3/Ecole polytechnique)

Congres SFP, Cite des sciences, Paris, 2023/07/04



## I. Neutrino before 2000's

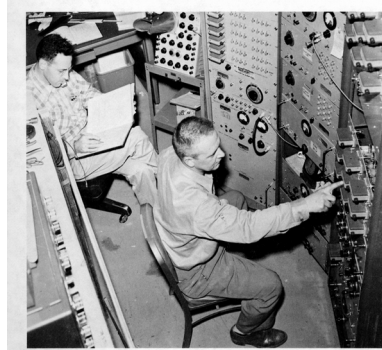


# Selected discoveries in neutrino physics



**Pauli :**

Introduce neutrino to explain  $\beta$  spectrum (to save energy / spin conservation)



**Reines & Cowan :**

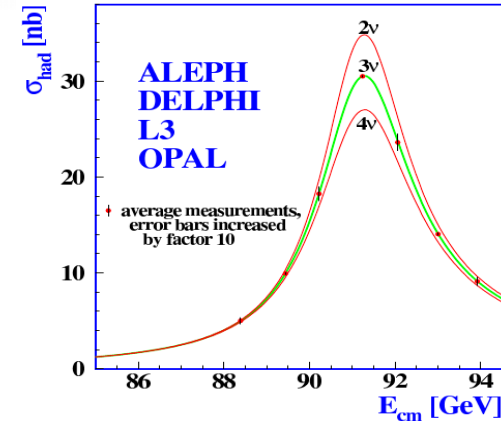
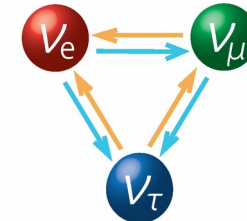
Experimental detection of neutrino (Savannah River reactor)

**@BNL :**

2 distinct neutrino families

**@LEP :**

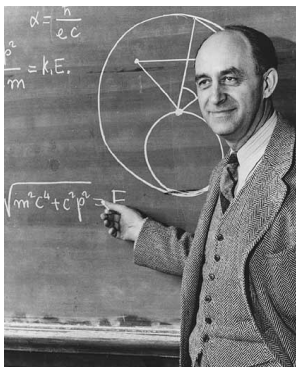
Only 3 active (Z int.) light (<45GeV) neutrino families



1930      1934      1956      1962      1967      1989

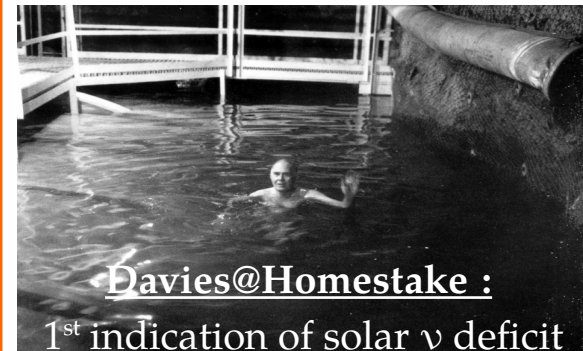
**Fermi :**

Neutrino incorporated in a theory of weak interactions



**Maki-Nakagawa-Sakata :**

Flavour states are superposition of mass states



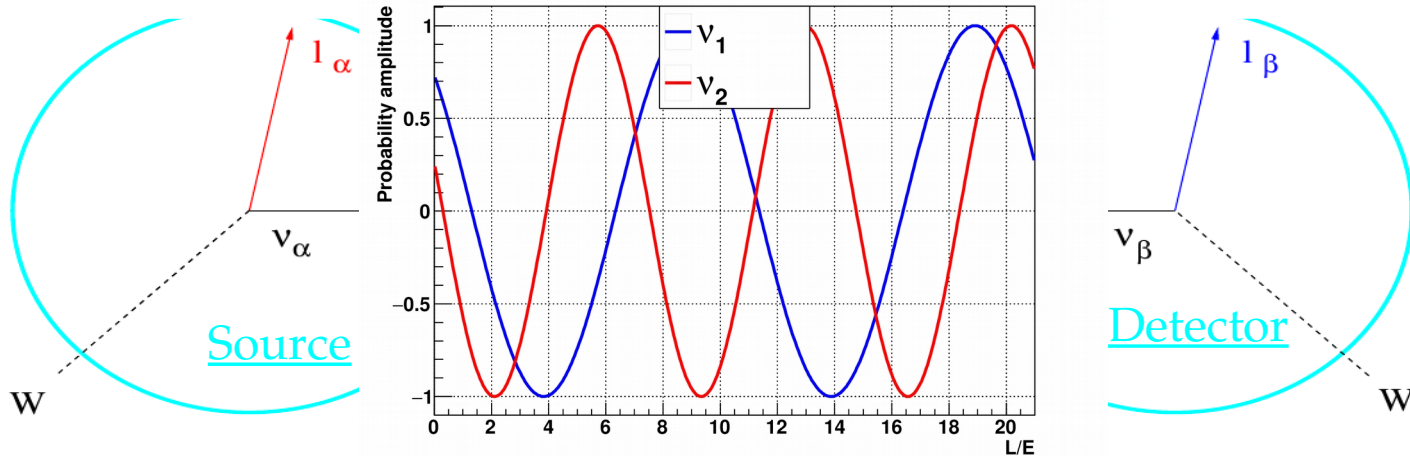
**Davies@Homestake :**

1<sup>st</sup> indication of solar  $\nu$  deficit

# Neutrino oscillation in 2 flavour case

- Flavour states (interact)  $(\nu_\alpha, \nu_\beta) \neq$  mass states (propagates)  $(\nu_1, \nu_2)$ .

$$\nu_\alpha = 70\% \nu_1 + 30\% \nu_2 \quad \nu_\beta = 30\% \nu_1 + 70\% \nu_2$$



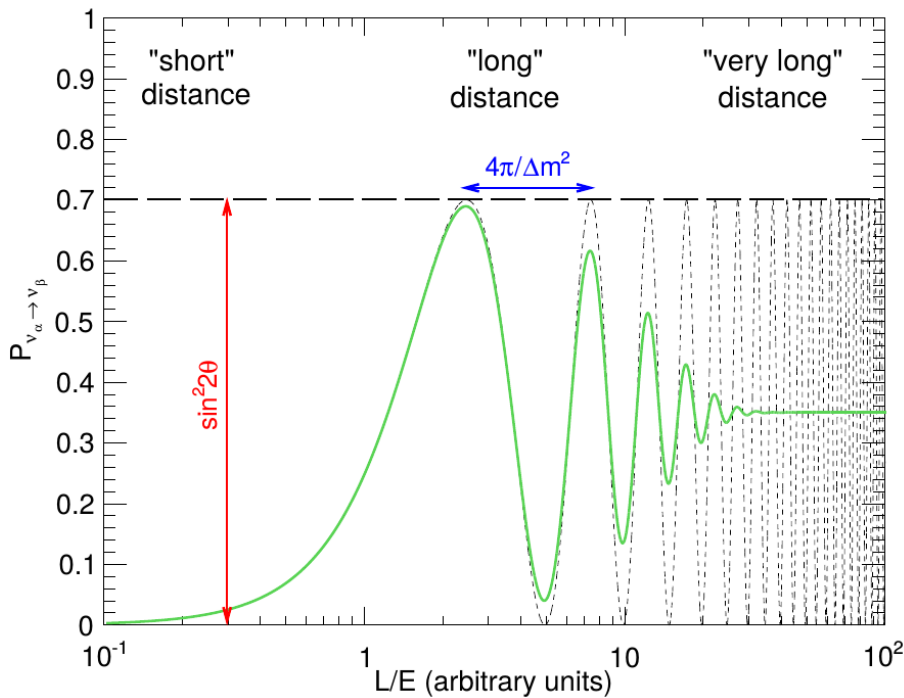
$$|\nu_\alpha\rangle = \sum_i U_{\alpha i}^* |\nu_i\rangle$$

$$e^{-i(Et - p_j x)}$$

$$|\nu_\beta\rangle = \sum_k U_{\beta k}^* |\nu_k\rangle$$

## 2 flavour approximation :

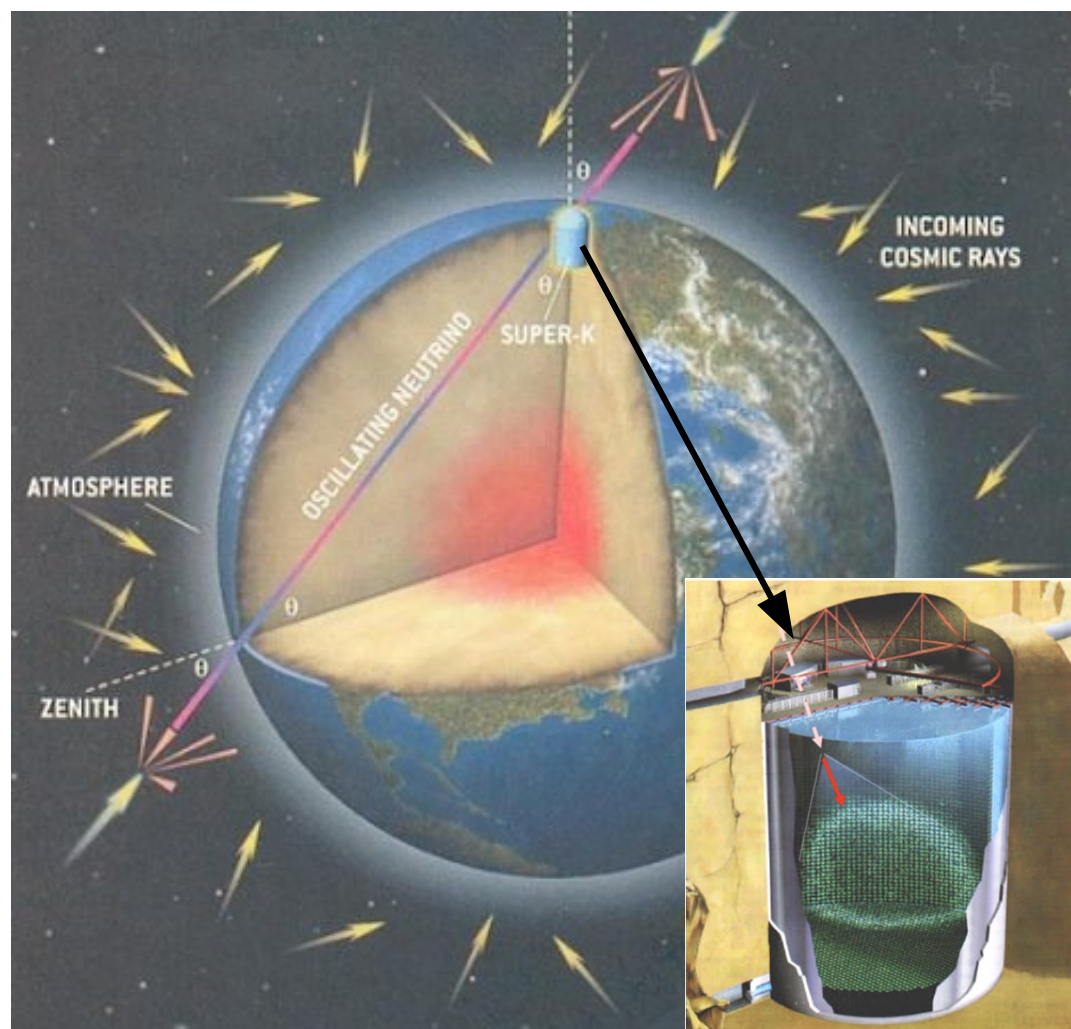
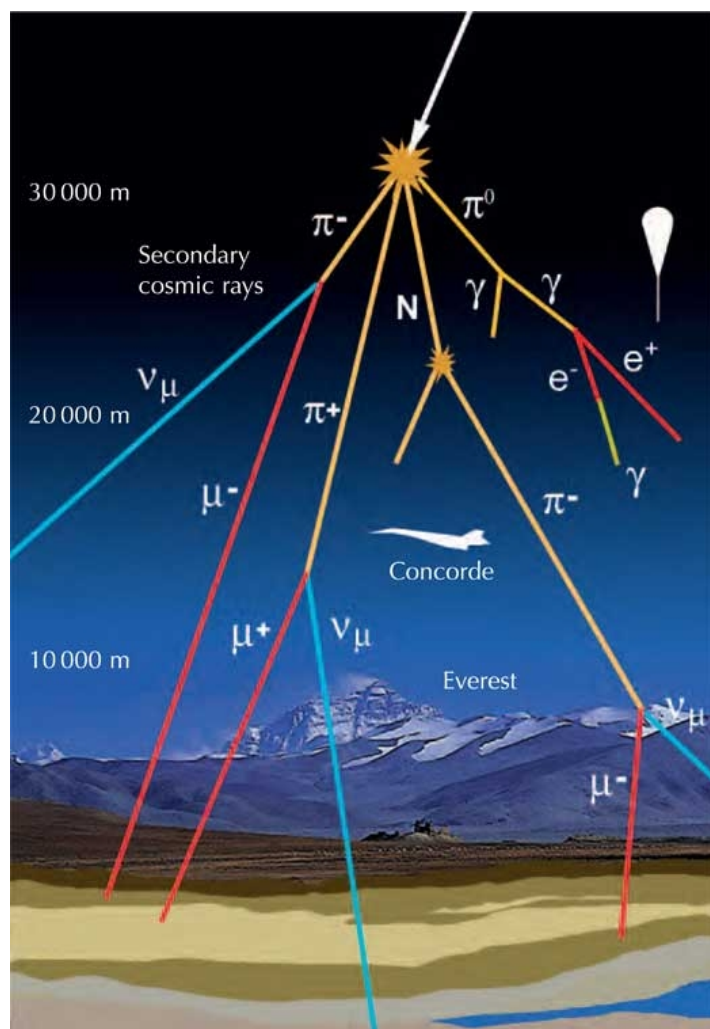
$$P_{\nu_\alpha \rightarrow \nu_\beta}(L, E) = \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{4E} \right)$$



- Oscillation in  $L/E$ .
- Frequency : determined by the mass square difference :  $\Delta m^2 = m_2^2 - m_1^2$
- Amplitude : determined by the mixing angle  $\theta$ .

# Atmospheric neutrinos in Super-K

- Neutrinos produced in cosmic ray decays.



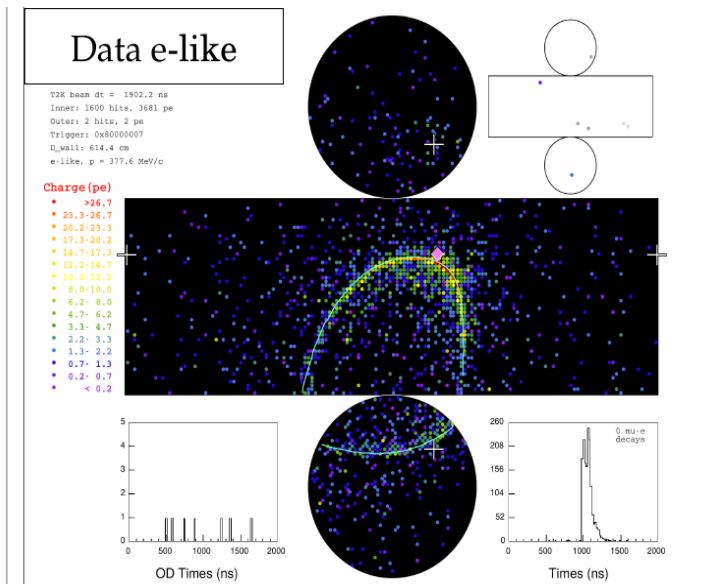
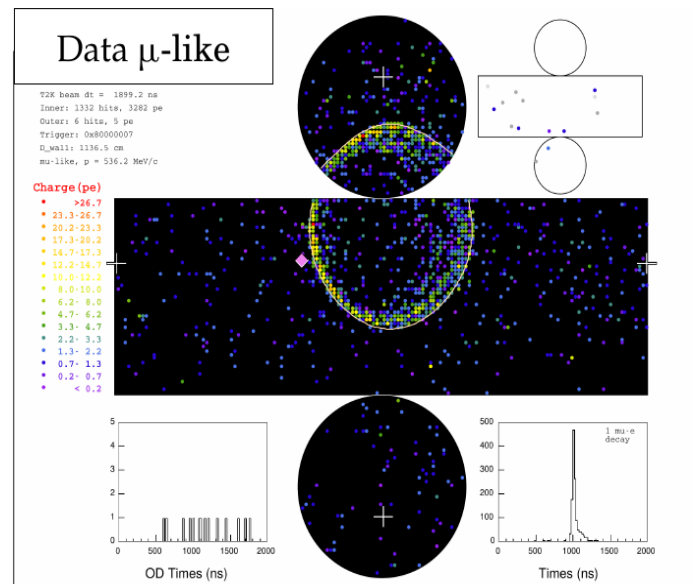
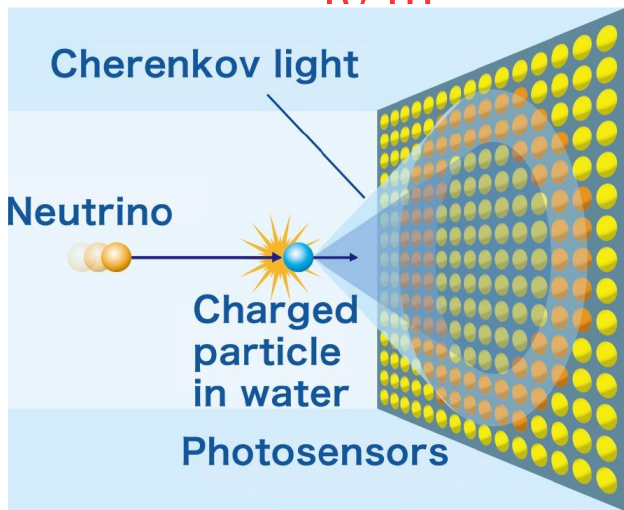
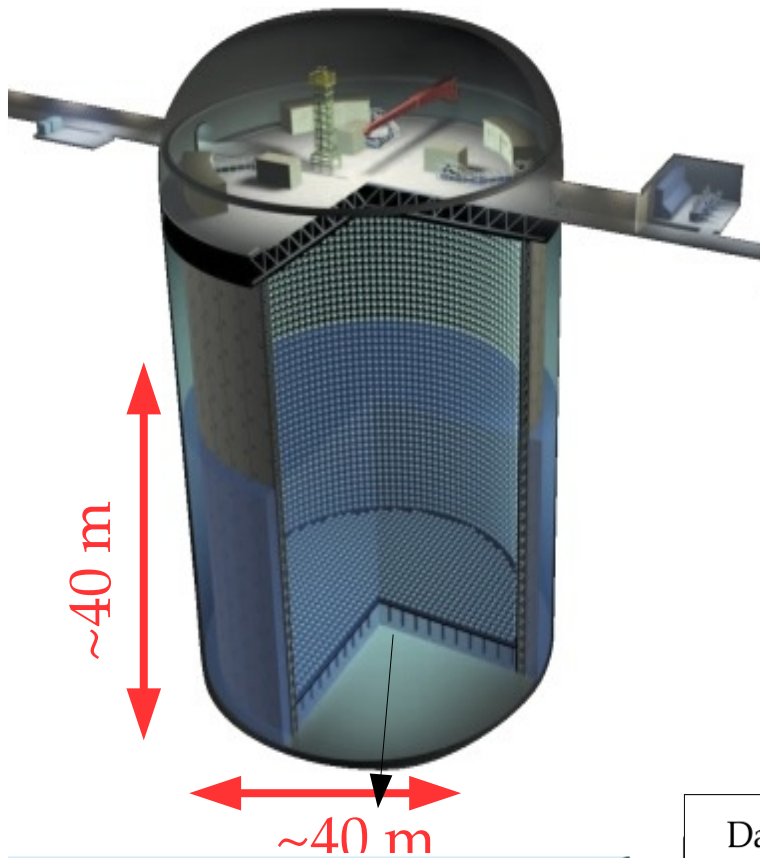
If no oscillations :

Atmospheric fluxes predicts  $\nu_\mu$  to  $\nu_e$  ratio,  $R = \frac{\phi_{\nu_\mu} + \phi_{\bar{\nu}_\mu}}{\phi_{\nu_e} + \phi_{\bar{\nu}_e}} \approx 2$ .

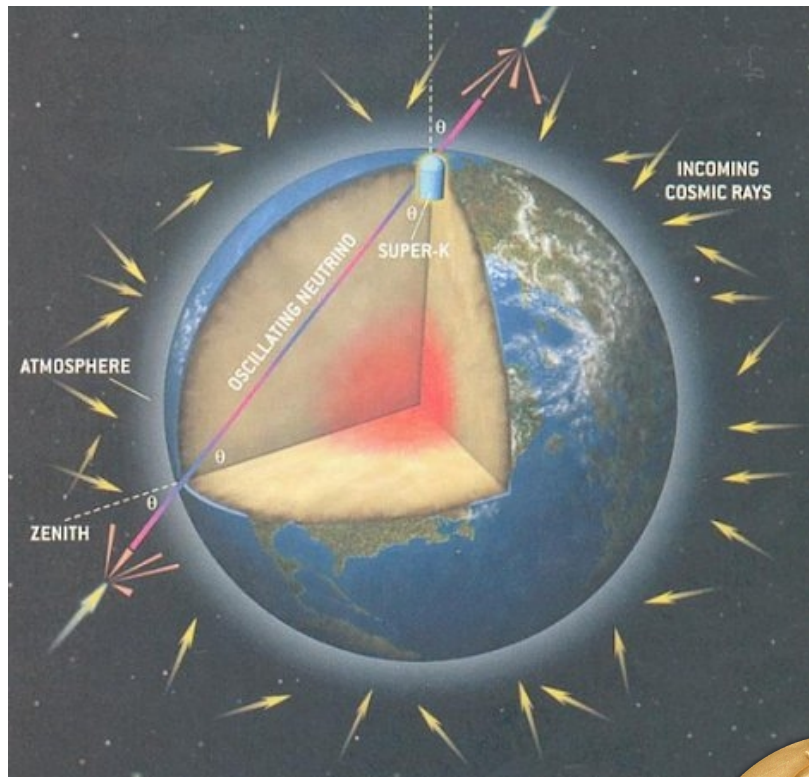
R should be independent from zenith angle as production is isotropic.

# The Super-Kamiokande detector

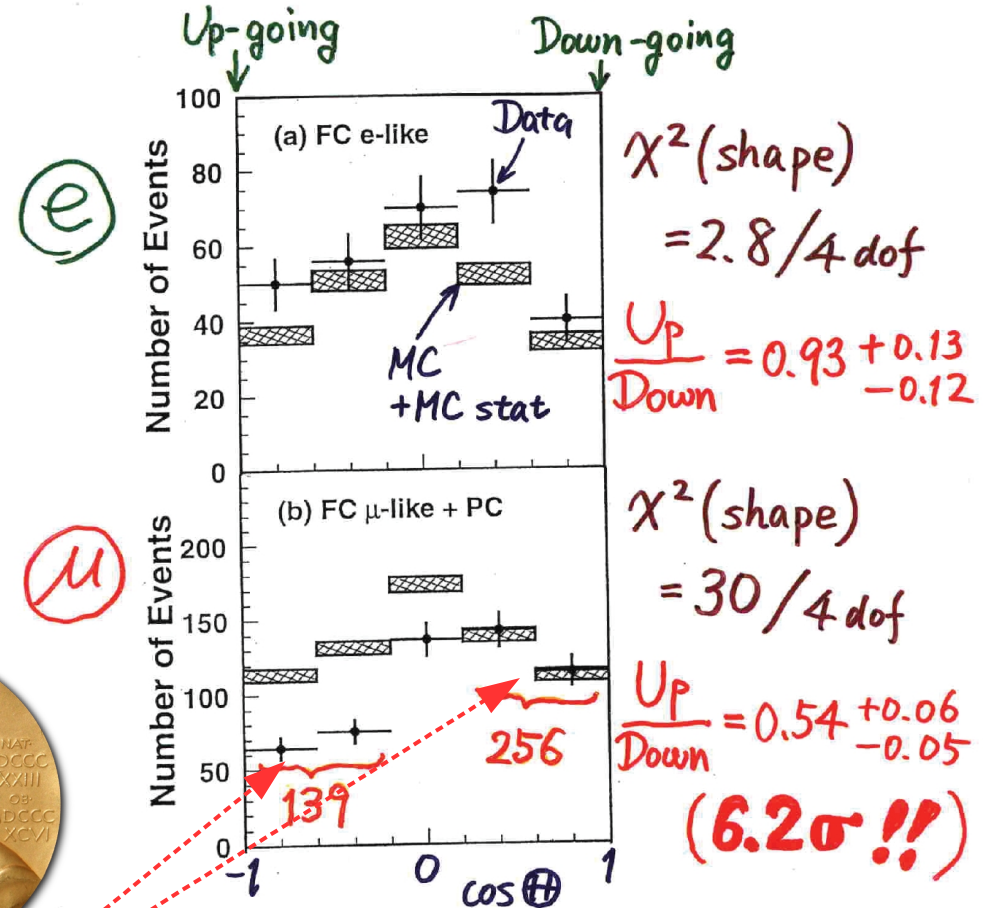
- A 50 kton water Cherenkov detector in Japan.
- Cherenkov light is detected by 11,000 PMTs.
- $e / \mu$  discriminated using ring shape.
- No charge sign discrimination  
→ Unable to directly separate  $\nu$  &  $\bar{\nu}$ .



# Atmospheric neutrinos



## Zenith angle dependence (Multi-GeV)



(e)

( $\mu$ )



## Observations :

- $R < 2$ .
- $R$  varies with zenith angle  
 $\leftrightarrow$  L dependency  
 $\rightarrow$  Definite proof of  $\nu$  oscillation.

\* Up/Down syst. error for  $\mu$ -like

Prediction ( flux calculation .....  $\lesssim 1\%$   
 1km rock above SK .... 1.5% ) 1.8%

Data ( Energy calib. for  $\uparrow\downarrow$  .... 0.7%  
 Non  $\nu$  Background .....  $< 2\%$  ) 2.1%



## II. Neutrino oscillation in the current era



# Three flavour neutrino oscillations

- 3 flavour eigenstates ( $\nu_e, \nu_\mu, \nu_\tau$ ) and 3 mass states ( $\nu_1, \nu_2, \nu_3$ ).

→ PMNS symetries allows to rewrite 3D matrix into three 2D rotations.

$$c_{ij} = \cos \theta_{ij} \text{ and } s_{ij} = \sin \theta_{ij}$$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & & e^{-i\delta} s_{13} \\ & 1 & \\ -e^{i\delta} s_{13} & & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & \\ -s_{12} & c_{12} & \\ & & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

3 mixing angles:  $\Theta_{23}, \Theta_{13}, \Theta_{12}$

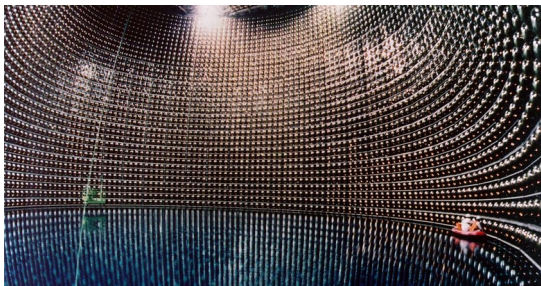
2 mass square differences :  $\Delta m_{32}^2, \Delta m_{21}^2$

1 Dirac CP violation phase:  $\delta_{CP}$

« Atmospheric »

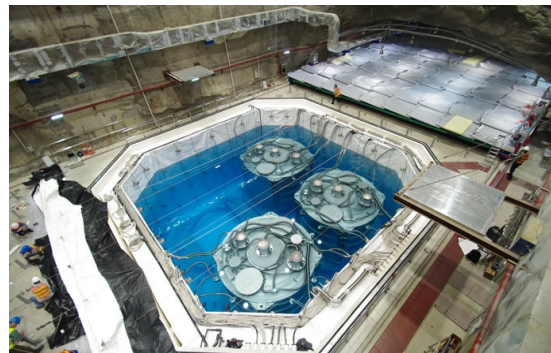
« Reactor »

« Solar »

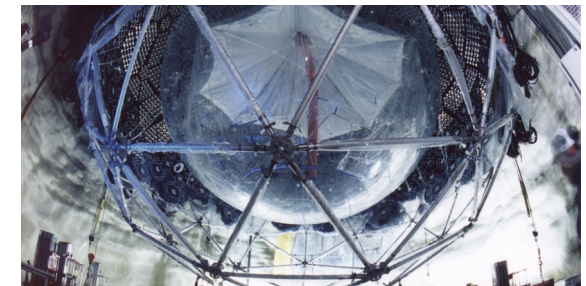


$$\Theta_{23} = 45^\circ \pm 7^\circ$$

$$|\Delta m_{32}^2| = (232^{+12}_{-8}) \times 10^{-5} \text{ eV}^2$$



$$\Theta_{13} = 9.0^\circ \pm 2.9^\circ$$



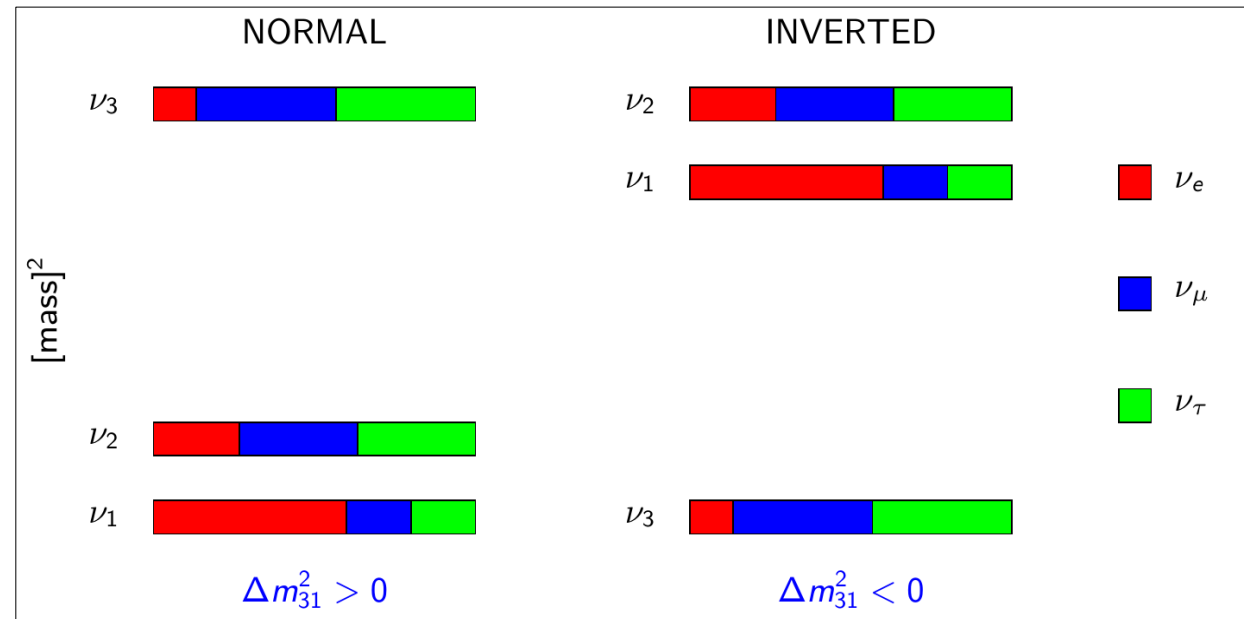
$$\Theta_{12} = 33.9^\circ \pm 4.5^\circ$$

$$\Delta m_{12}^2 = (7.50 \pm 0.20) \times 10^{-5} \text{ eV}^2$$

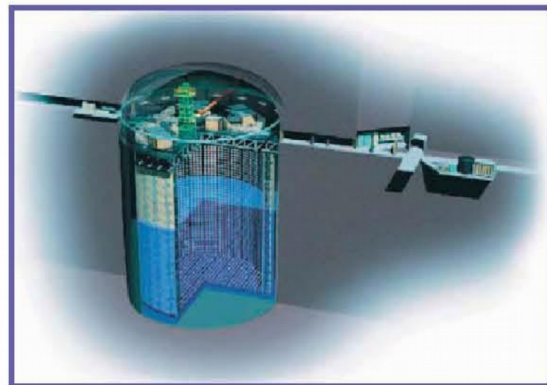
# Open issues in neutrino oscillations

- Is CP violated in the neutrino sector ? → Is  $P(\nu_\alpha \rightarrow \nu_\beta) = P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)$  ?
- What is the neutrino mass ordering : affect nucleosynthesis in SN...
  - Oscillations in vacuum provides only  $|\Delta m^2|$ .
  - Matter effect in the Sun provides :  $m_2 > m_1$ .
  - Detected through matter effect in the Earth.
- Is there maximal mixing in the atmospheric sector
  - $\theta_{23} = 45^\circ$  ?
  - Hidden symmetry ?

→ 2 orderings :

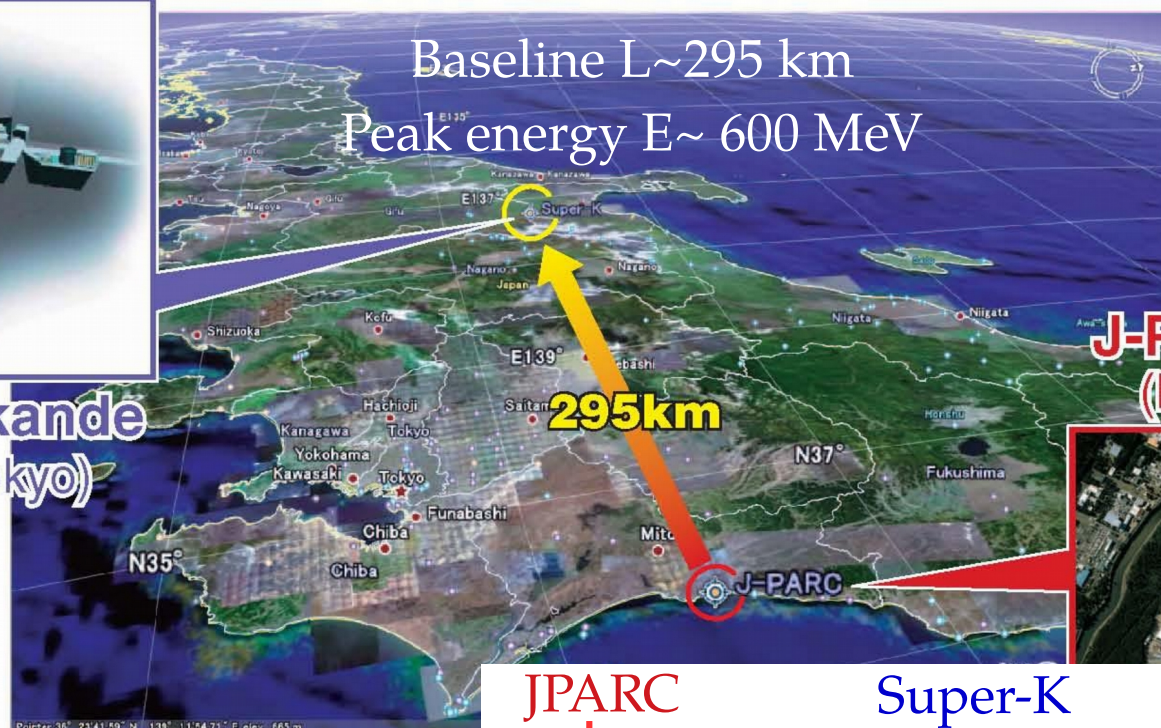


# The Tokai-to-Kamioka experiment

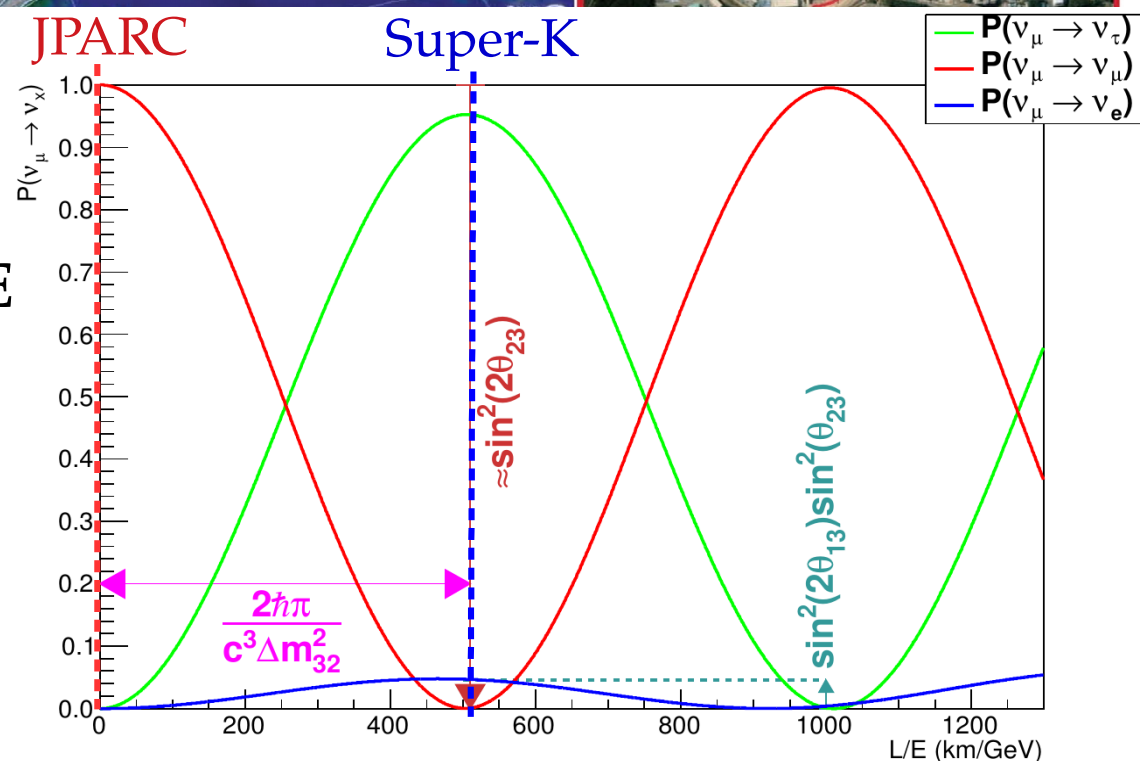


**Super-Kamiokande**  
(ICRR, Univ. Tokyo)

Detect  $\nu_\mu, \nu_e$   
/  
 $\bar{\nu}_\mu, \bar{\nu}_e$

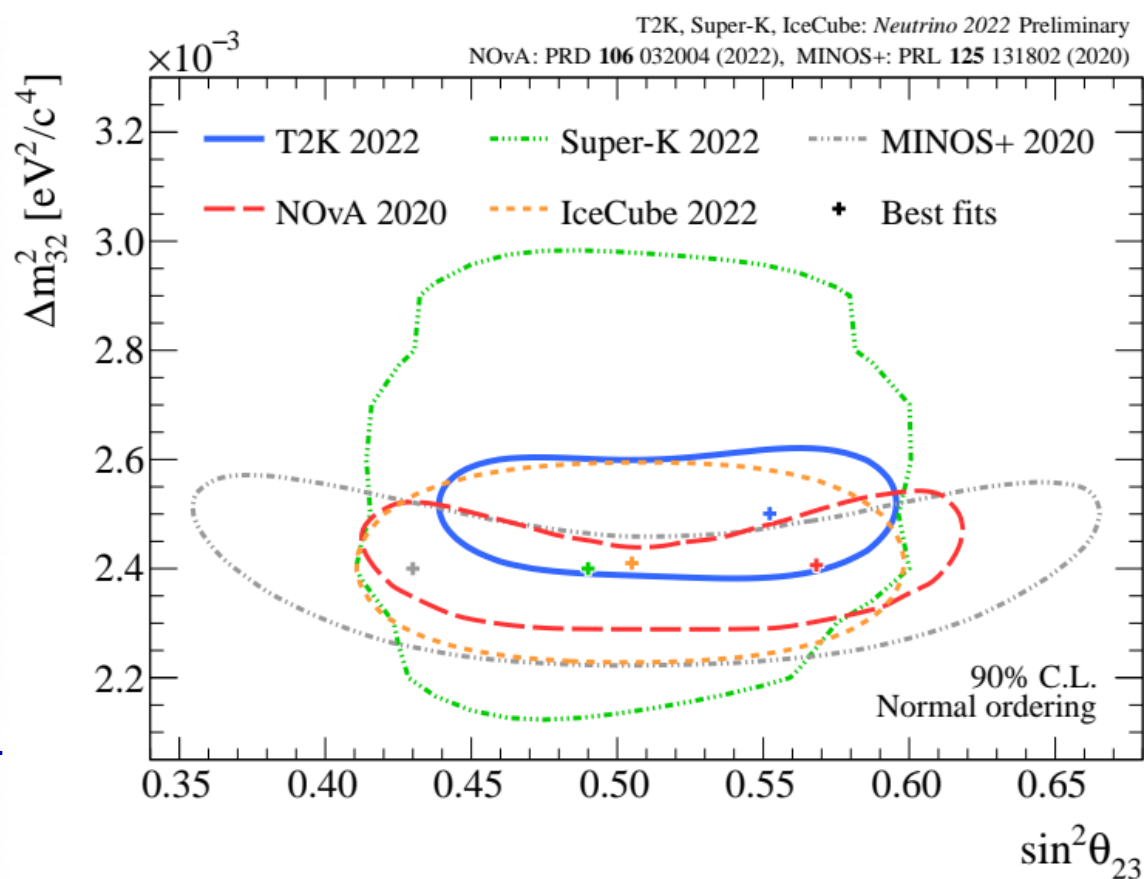
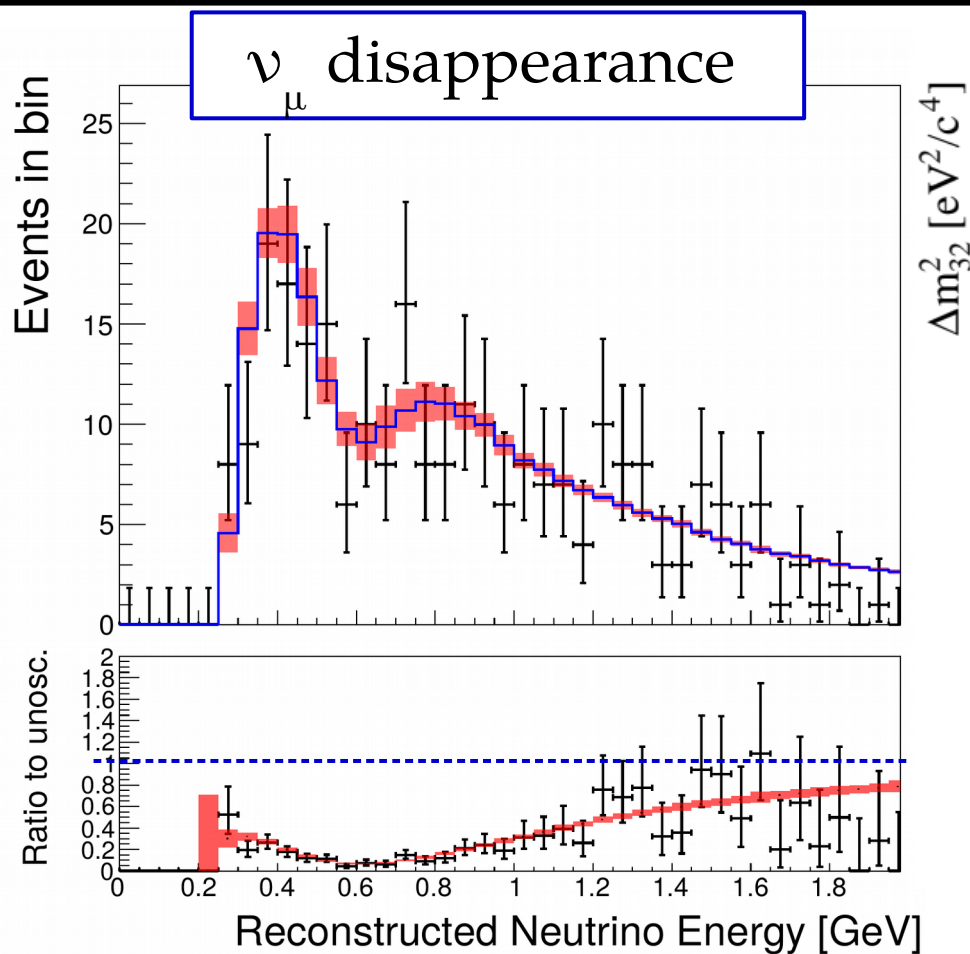


Produce  $\nu_\mu / \bar{\nu}_\mu$   
**J-PARC Main Ring**  
(KEK-JAEA, Tokai)



- Pure & intense  $\nu_\mu$  beam.
- Fixed  $L$  & tuned  $E_\nu \rightarrow$  **Tune  $L/E$  to maximize oscillation.**
- Observe  $\nu_\mu$  disappearance and  $\nu_e$  appearance.
- Can select  $\nu$  or  $\bar{\nu} \rightarrow$  **Test CPV.**

# T2K results



- Measure  $\nu_\mu$  disappearance  $\rightarrow$  World-leading constraints on  $\Theta_{23}$ ,  $\Delta m_{32}^2$ .
- 68 % C.I :  $0.49 \leq \sin^2 \theta_{23} \leq 0.58$   $\rightarrow$  Compatible with maximal mixing.

# Use of $\nu_e$ appearance channel

$$P(\nu_\mu \rightarrow \nu_e) = 4c_{13}^2 s_{13}^2 s_{23}^2 \sin^2 \Delta_{31} + 8c_{13}^2 s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta - s_{12} s_{13} s_{23}) \cos \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} - 8c_{13}^2 c_{12} c_{23} s_{12} s_{13} s_{23} \sin \delta \sin \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} + \dots$$

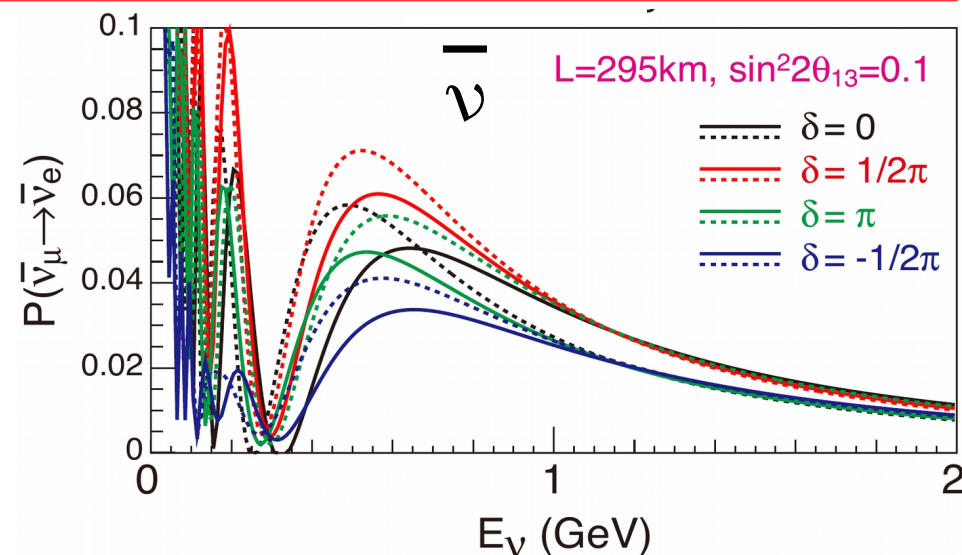
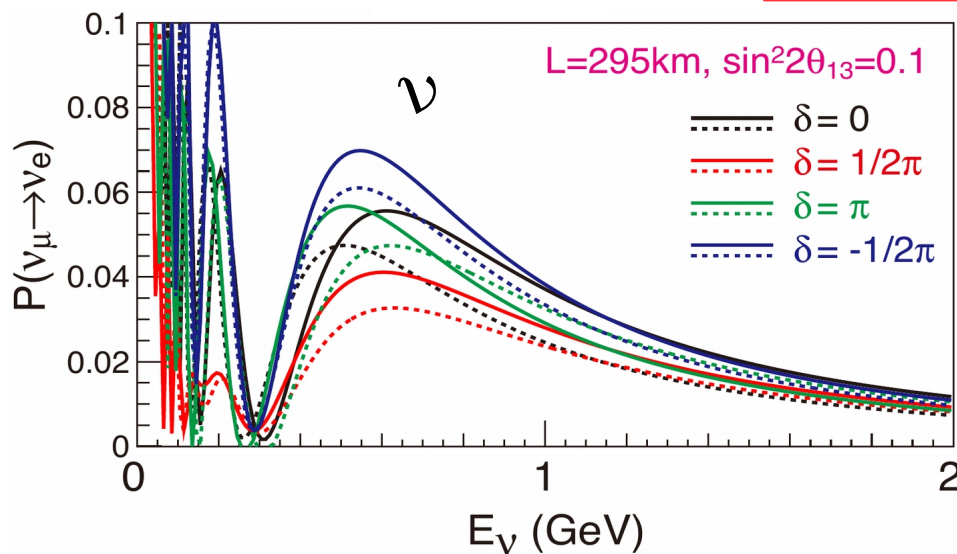
1.  $\sin^2(2\theta_{13})$ : Leading term

2. CP violation effect:

→  $\sin \delta$  is CP odd: opposite effect for  $\nu / \bar{\nu}$

→ If  $\delta_{\text{CP}} = -\pi/2$ :  $\uparrow \nu_\mu \rightarrow \nu_e$  &  $\downarrow \bar{\nu}_\mu \rightarrow \bar{\nu}_e$ .

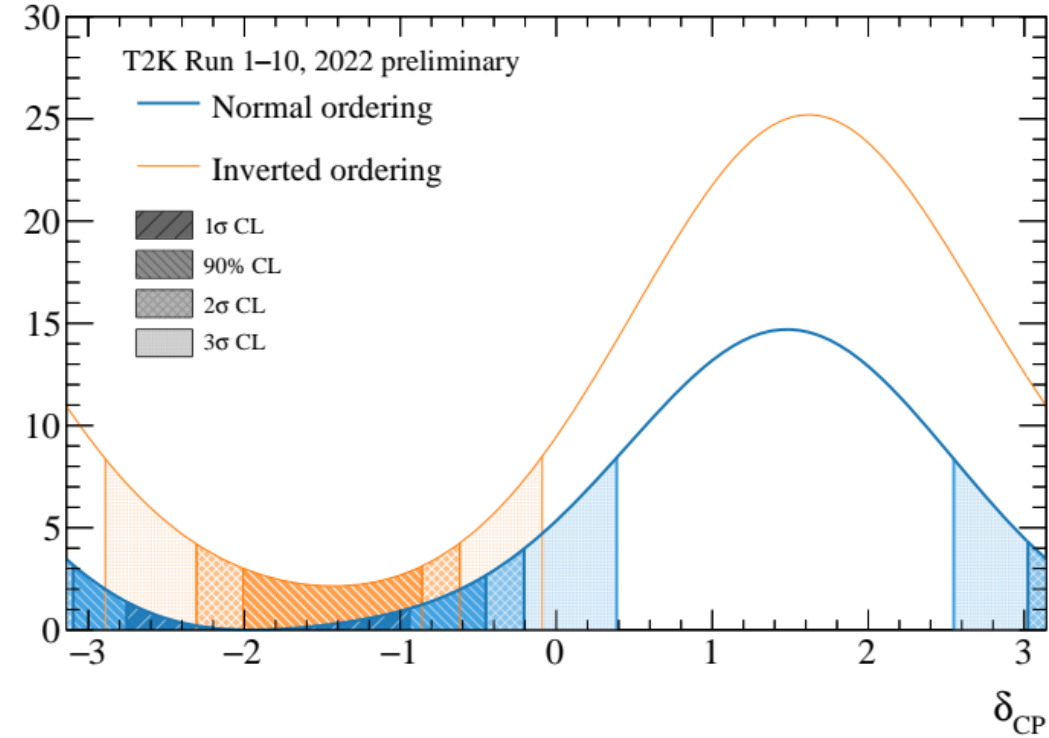
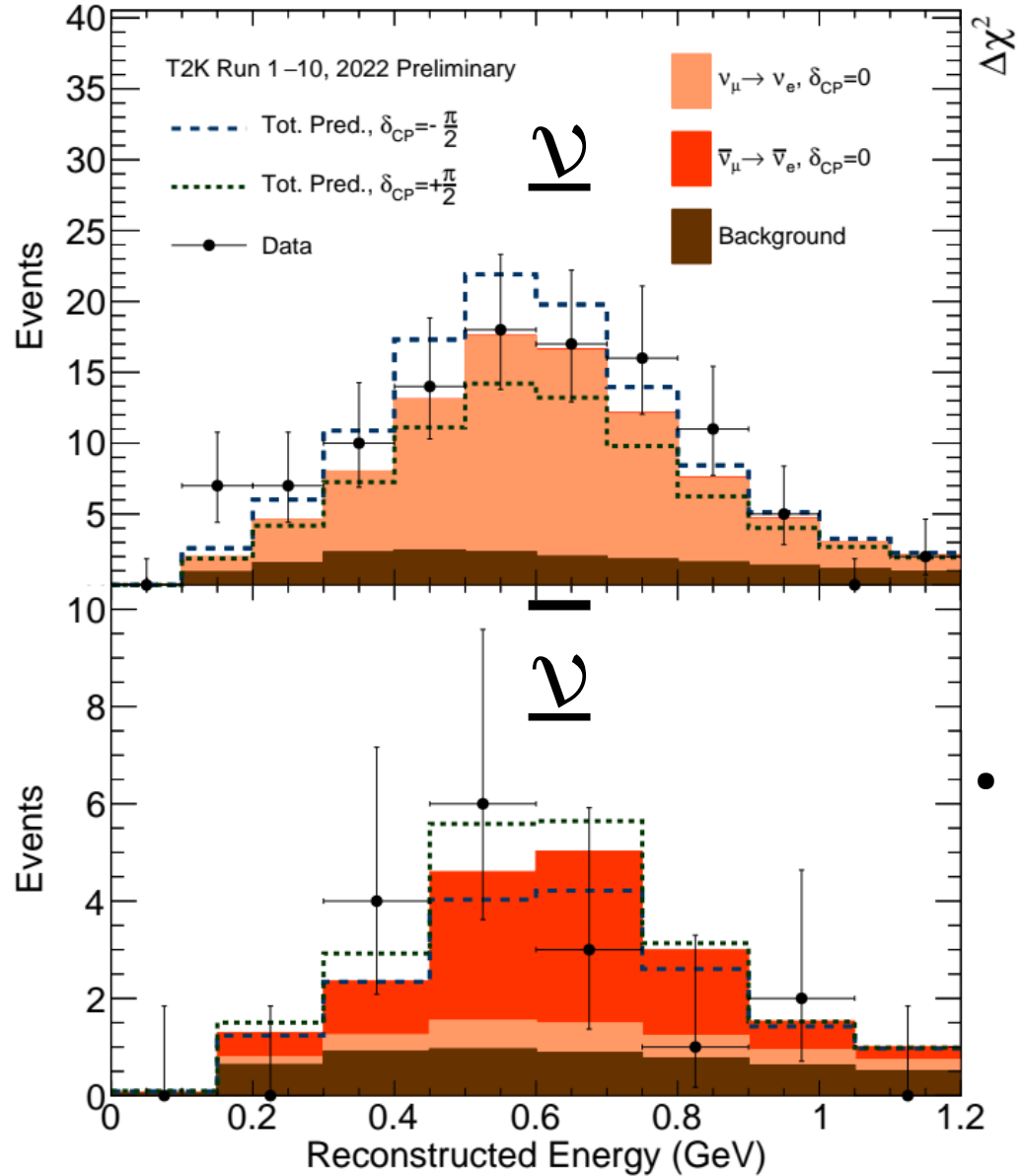
→ ~27% @T2K ( $\sin^2(2\theta_{23})=1$ )



3. Mass ordering effect:

→ Same as  $\delta_{\text{CP}}$  effect opposite effect for  $\nu / \bar{\nu}$  (~10% effect)<sup>13</sup>

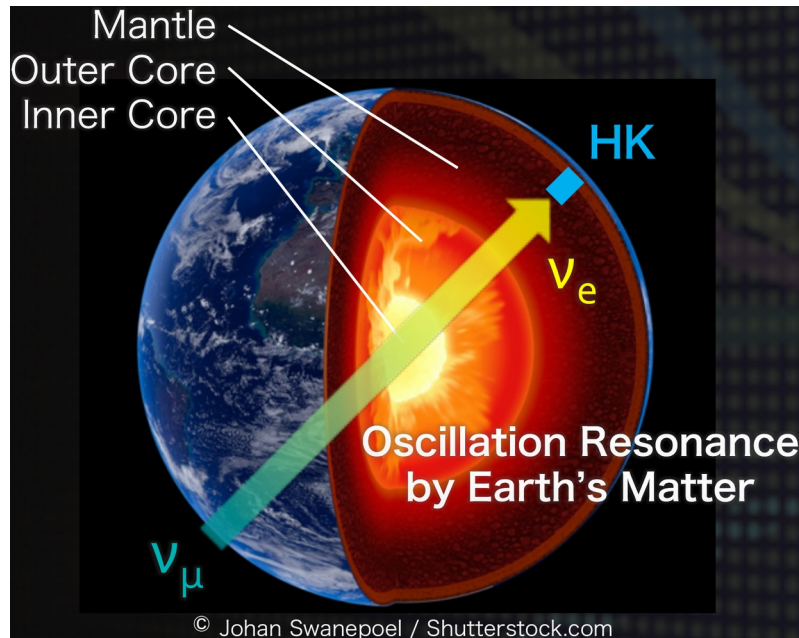
# T2K results in the appearance channel



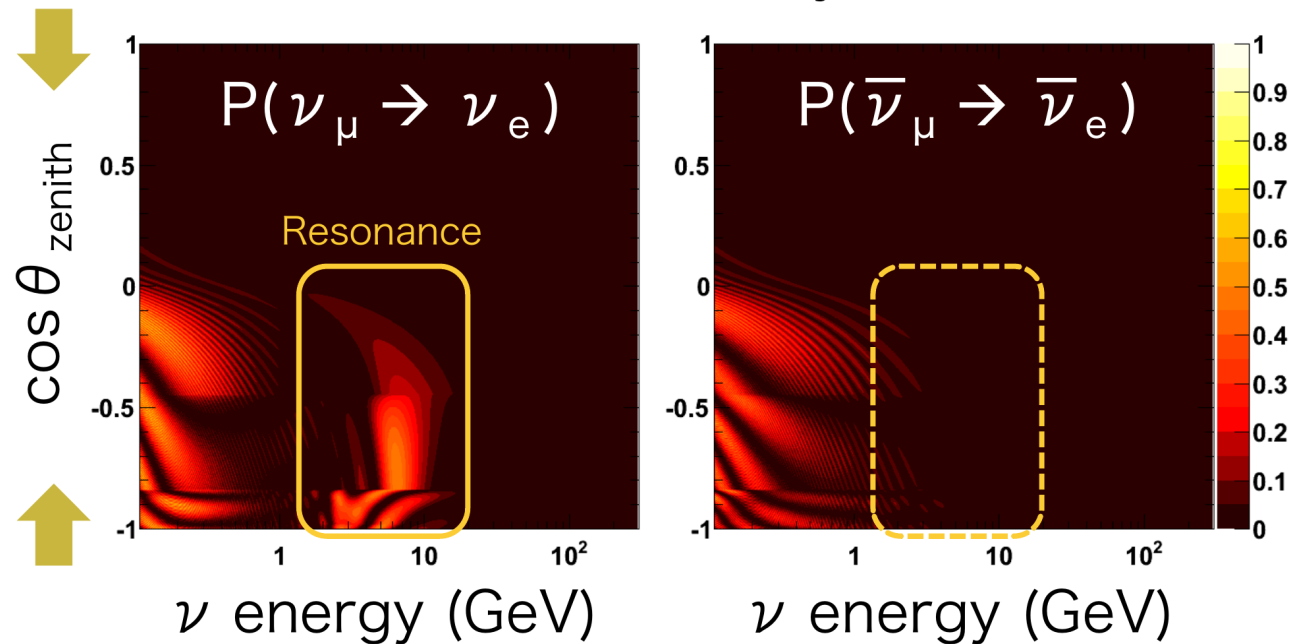
- CP conservation excluded > 90 % C.L.
- Normal ordering is (very) mildly preferred ( $\Delta\chi^2 = 2.5$ )  
 → Limited sensitivity due to short-baseline (295 km).

# Atmospheric neutrinos

- Mass-ordering can be measured through matter effects  
→ The longer the baseline, the higher the effects



Normal Hierarchy case

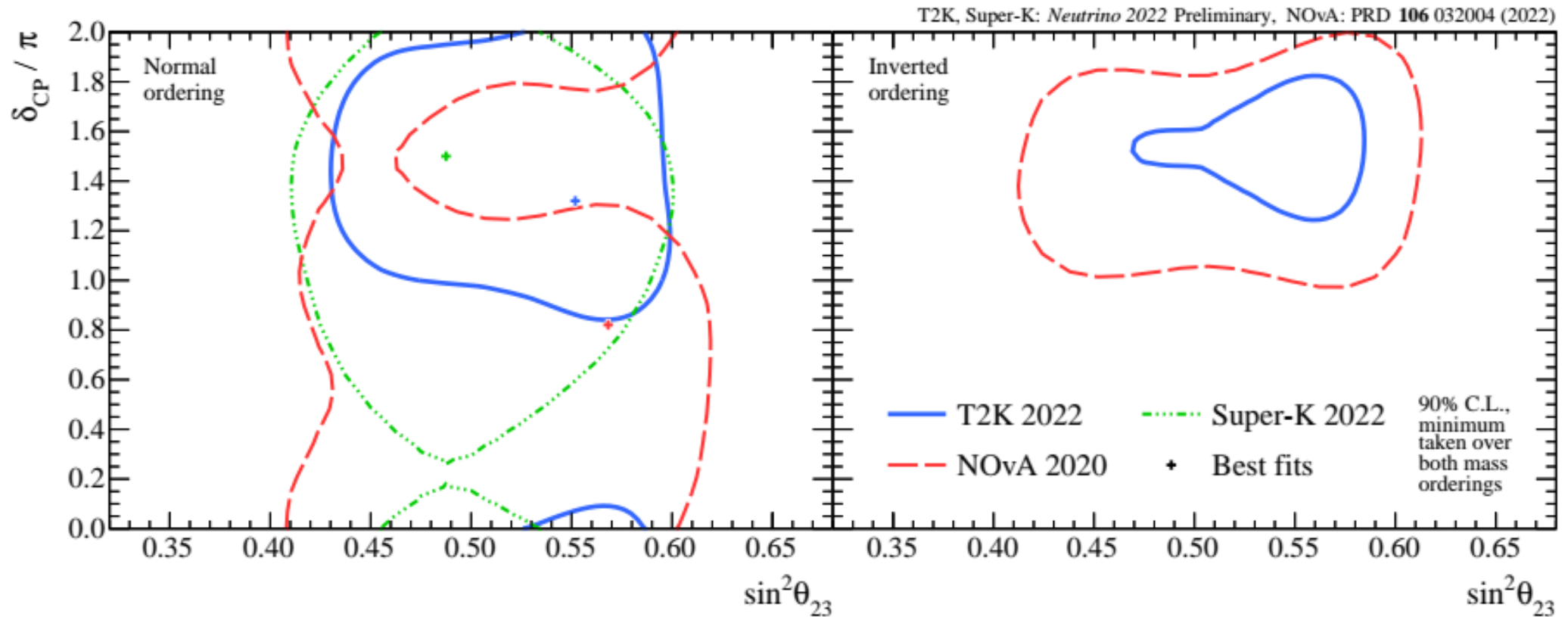


- Mass ordering determined with upward-going multi-GeV  $\nu_e$  sample :

atm. baseline  $\leq 13000$  km  $\gg$  295 km accelerator baseline

- Normal ordering : enhancement of  $\nu_\mu \rightarrow \nu_e$ .
- Inverted ordering : enhancement of  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ .

# $\delta_{\text{CP}}$ and mass-hierarchy



- Inverted ordering also disfavoured by SK  $> 2\sigma$  ( $\Delta\chi^2 = 5.8$ )  
→ Enhanced sensitivity to mass-ordering.
- CP conservation ( $\sin \delta_{\text{CP}} = 0$ ) excluded by T2K (90 % C.L) and SK ( $> 1\sigma$ ).  
→ Maximal CPV ( $\delta_{\text{CP}} = 3\pi/2$ ) favoured by T2K & SK, whatever MO.  
→ Sensitivity is more shallow for atmospheric.



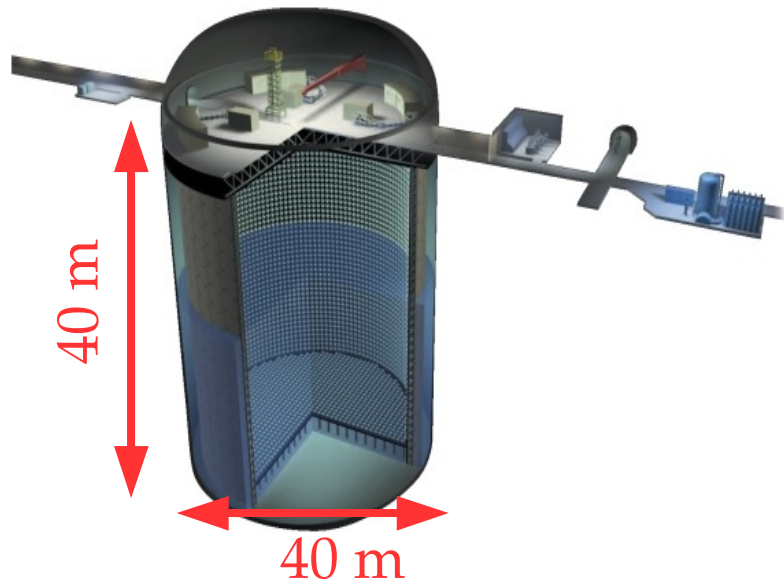


# III. Neutrino oscillation in the coming years

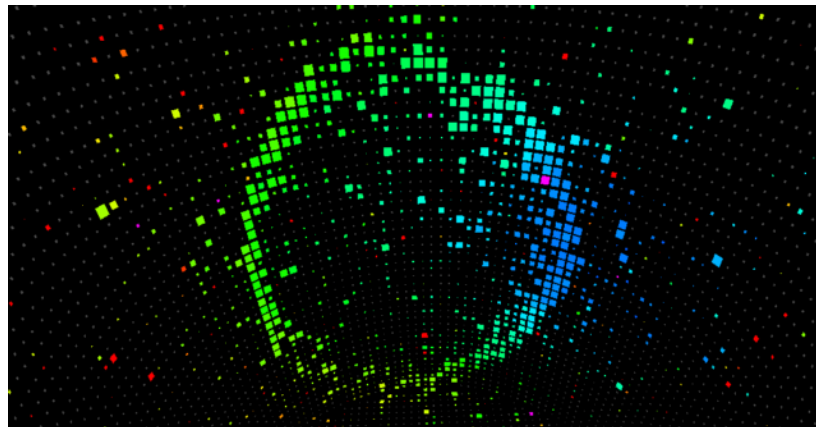
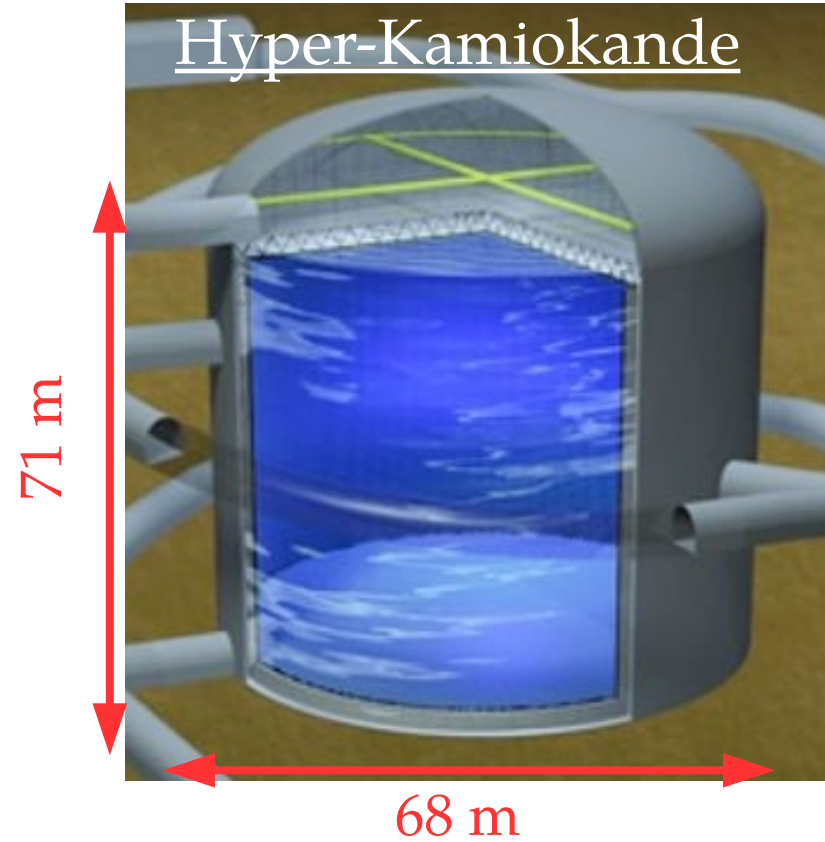
# Next generation of experiments : Hyper-K

- Next generation of neutrino observatory in Japan → construction 2020-27  
→ A 260 kton water Cherenkov detector → Fiducial Mass ~ 8 x SK.

Super-Kamiokande

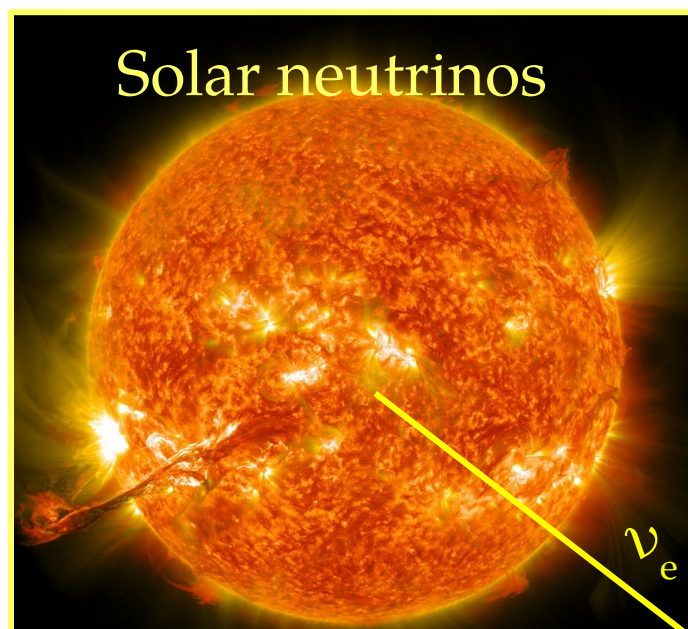


Hyper-Kamiokande



	Super-K	Hyper-K (1st tank)
Site	Mozumi	Tochibora
Number of ID PMTs	11,129	40,000
Photo-coverage	40%	40% ( <b>x2 sensitivity</b> )
Mass / Fiducial Mass	50 kton / <b>22.5 kton</b>	260 kton / <b>187 kton</b>

## Solar neutrinos

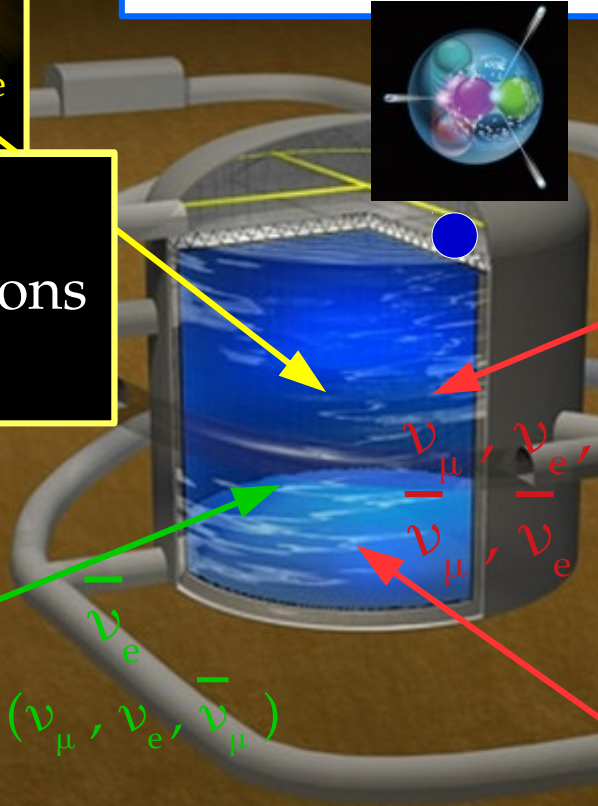


- MSW effect in the Sun
- Non-standard interactions in the Sun.

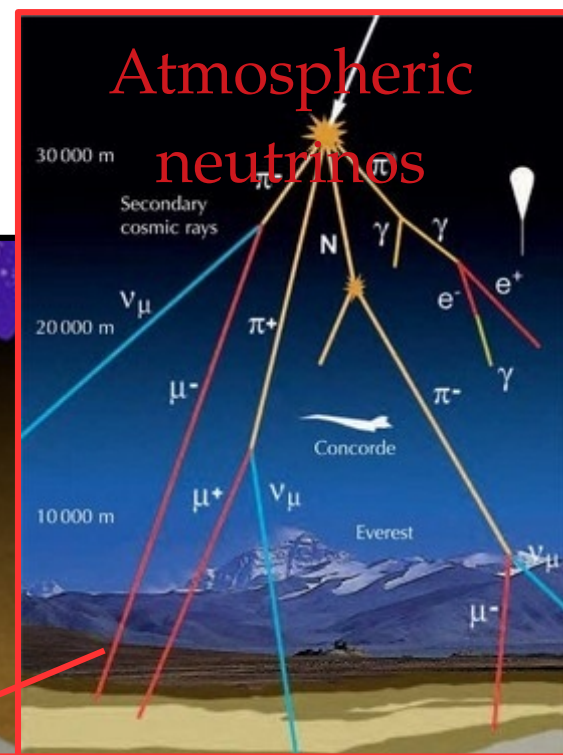
# Physics case

## Proton decay

Probe Grand Unified Theories through p-decay (world best sensitivity)

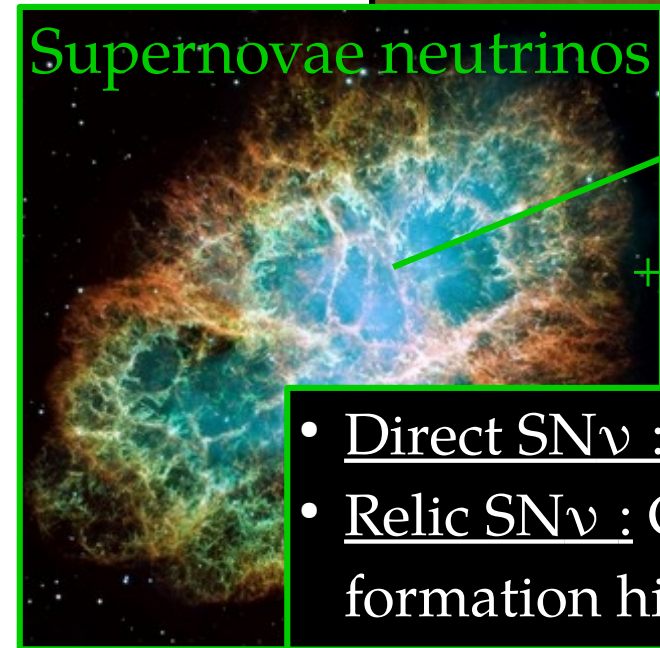


## Atmospheric neutrinos



- Observe CP violation for leptons at  $5\sigma$
- Precise measurement of  $\delta_{CP}$
- High sensitivity to  $\nu$  mass ordering.

## Supernovae neutrinos



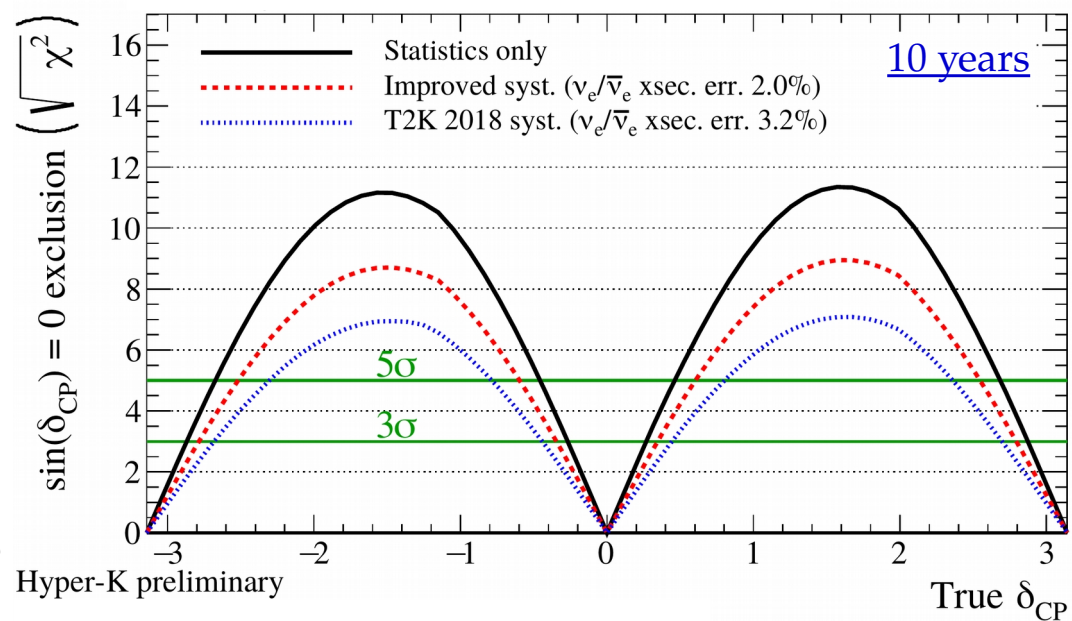
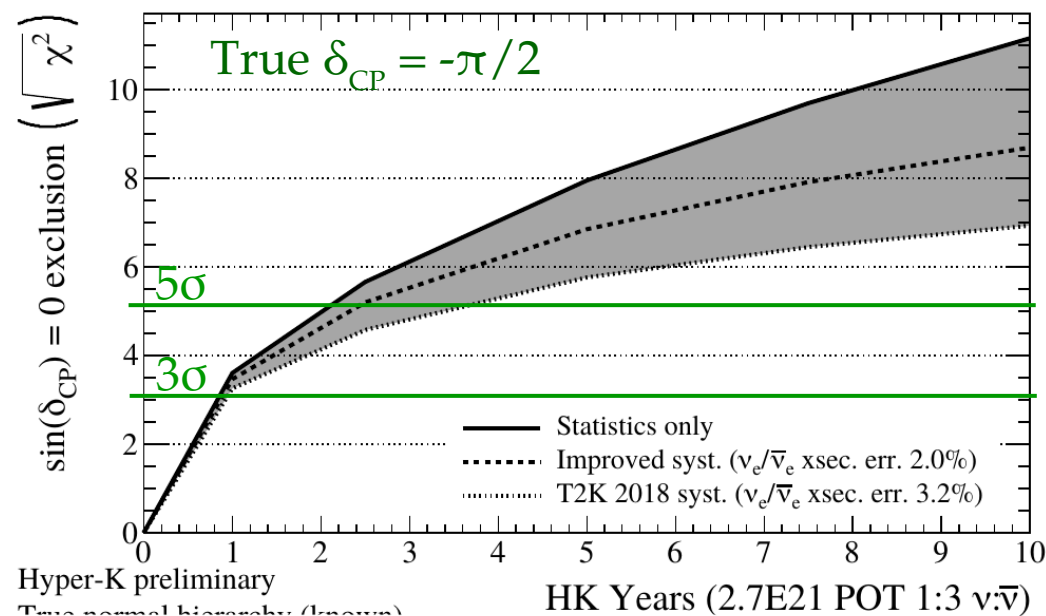
- Direct  $SN\nu$  : Constrains SN models.
- Relic  $SN\nu$  : Constrains cosmic star formation history



JPARC accelerator neutrinos

# Sensitivity to CP violation

- Assuming a run  $\nu:\bar{\nu} = 1:3$  @1.3MW (can be adjusted).



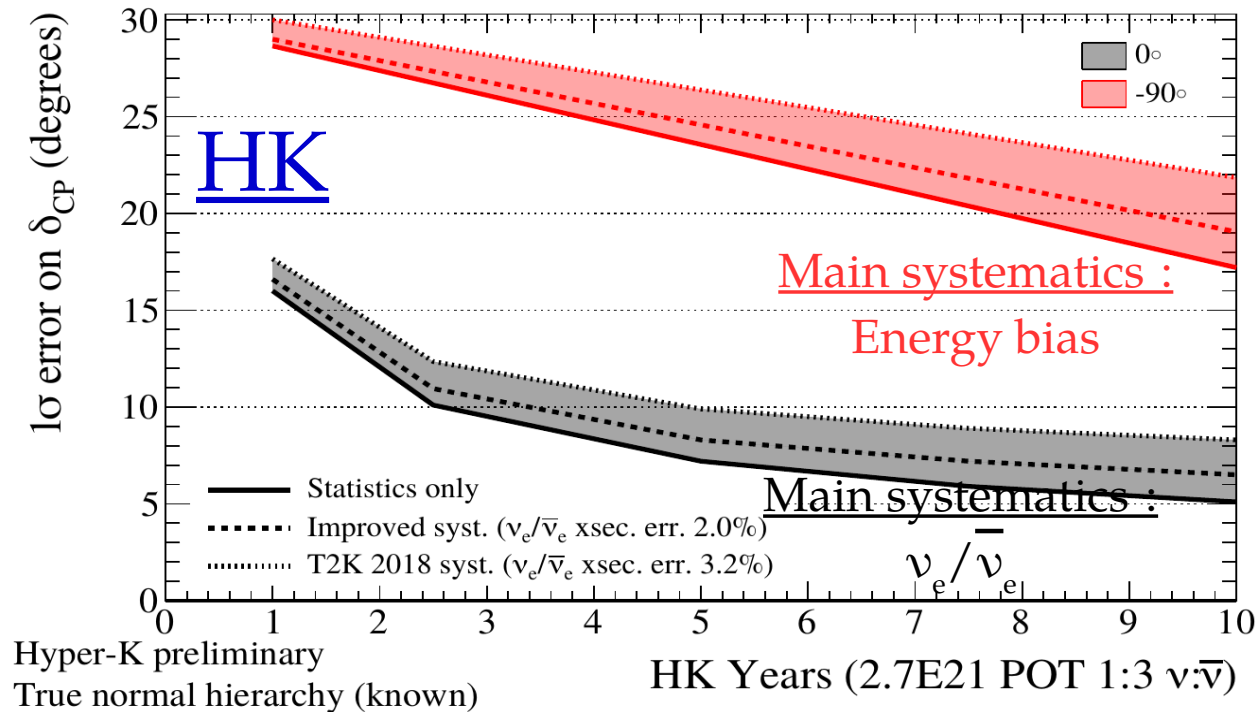
- $\delta_{CP} = -\pi/2$ :  $5\sigma$  after 2-3 years of data taking : known in 2029-2030 !  
→ Independent from  $\downarrow$  systematic uncertainties.

- HK 10 years :  $5\sigma$  sensitivity on 60% of  $\delta_{CP}$  values.

- HK has world-best sensitivity to CP violation for the coming generation.

# Precise measurement of $\delta_{CP}$

- After CPV is determined, accurate measurement of  $\delta_{CP}$  will be crucial  
 → Maximal CPV, leptogenesis, symetries of lepton's generations ...

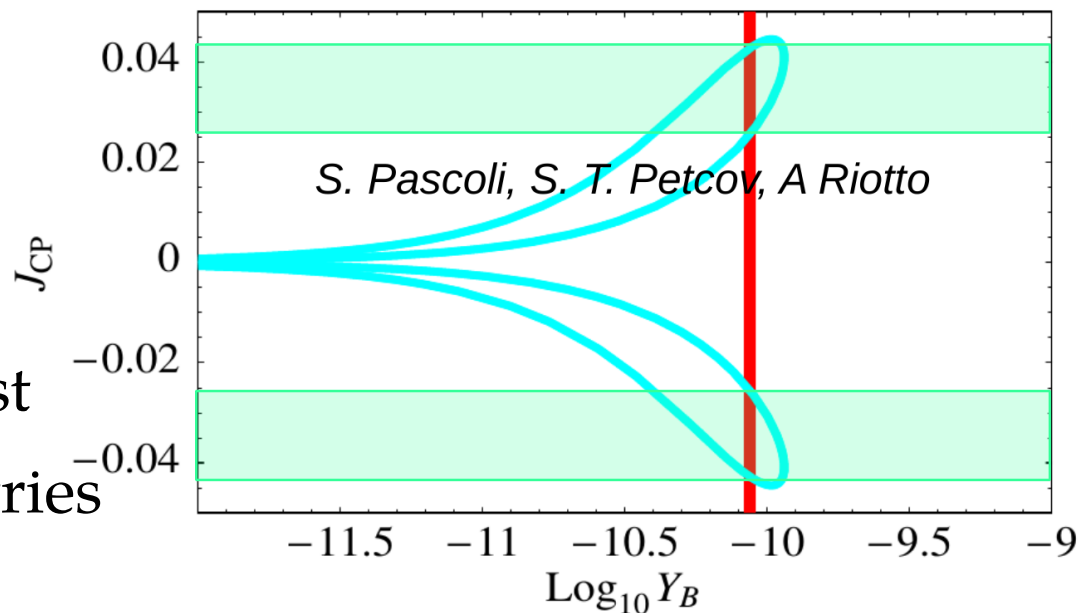


	5 years	10 years
CP conserved ( $\delta_{CP} = 0$ )	8°	6°
Max XPV ( $\delta_{CP} = -\pi/2$ )	25°	19°

- HK will be the world-leading experiment to measure  $\delta_{CP}$  and constrains CP-violation in the next 20 years !

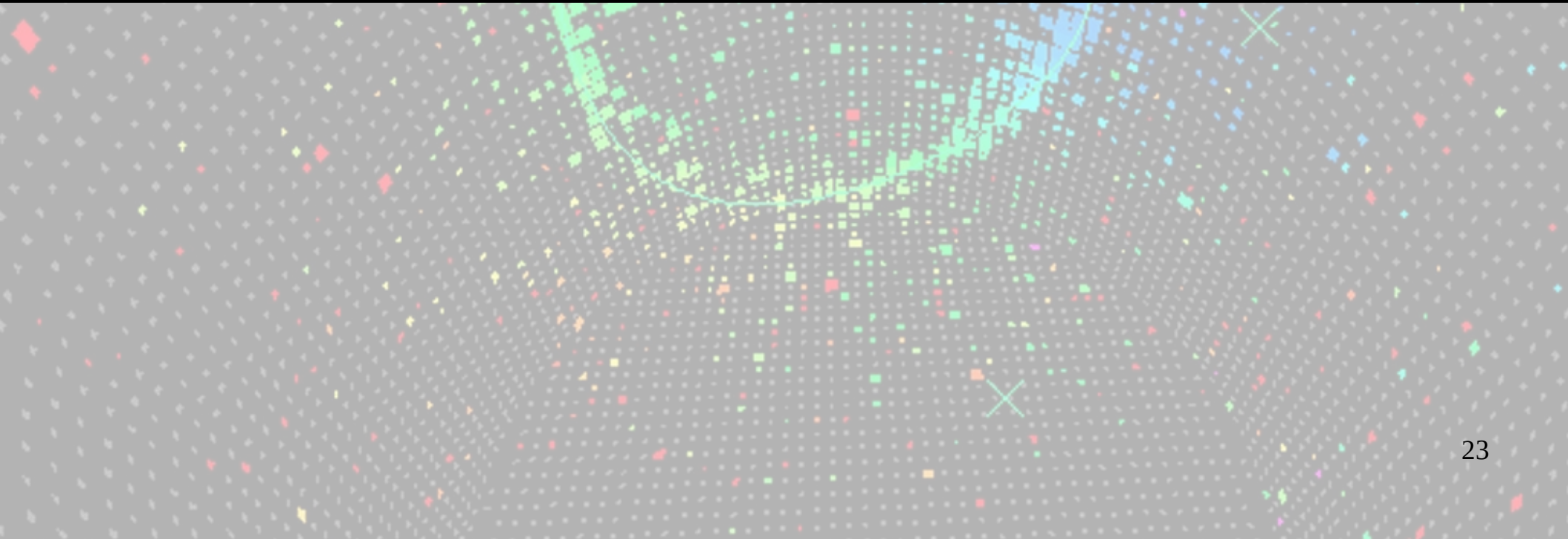
# Conclusions

- Super-K discovered the neutrino oscillation 25 years ago.
  - Since this,  $\nu$  physics has known an incredible boost in last 20 years
  - Oscillation discovered & PMNS matrix has been almost completed.
- Opened the possibility to measure CPV in neutrino oscillation
  - May be a crucial milestone to explain the matter/antimatter asymmetry.
  - We found the 1st indication of CP violation in lepton sector w/ T2K
  - Hope to find the 1st  $3\sigma$  evidence using T2K + SK-atmospheric.
- Next generation already under-construction : Hyper-K.
  - 1st observation of CP violation.
  - Precise determination  $\delta_{\text{CP}}$  to test Maximal CPV, leptogenesis, symmetries of lepton's generations ...
  - Unique test of PMNS unitarity : see Joao's talk.





# Additional slides



# Matter/antimatter asymmetry

- $\nu$  CP violation at low E maybe the key to matter/antimatter asymmetry  
 → Class of theories directly link low E  $\delta_{CP}$  to matter/antimat. asymmetry.

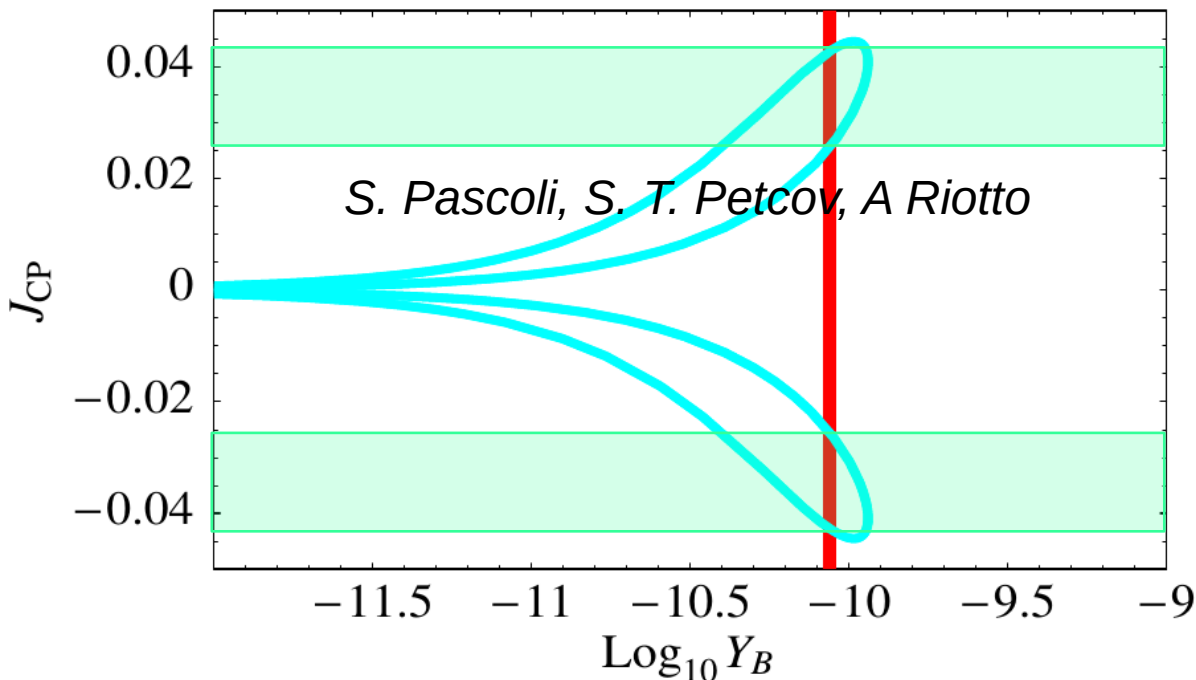
CP violation at low energy for  $\nu$

Leptogenesis

Matter/antimatter asymmetry

$$\Delta P = P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) \propto J_{CP} \quad |Y_B| \cong 2.8 \times 10^{-13} |\sin \delta| \left(\frac{s_{13}}{0.2}\right) \left(\frac{M_1}{10^9 \text{ GeV}}\right)$$

- First step is to actually measure if CP is violated...



Precision on  $\sin \delta_{CP}$

↔ Precision on leptogenesis models

Lower limit for leptogenesis :

$$|\sin \theta_{13} \sin \delta_{CP}| \geq 0.11$$

$$\rightarrow |\sin \delta| \geq 0.78$$



# Flavour symmetries

- Models of lepton flavour symmetries could be also tested

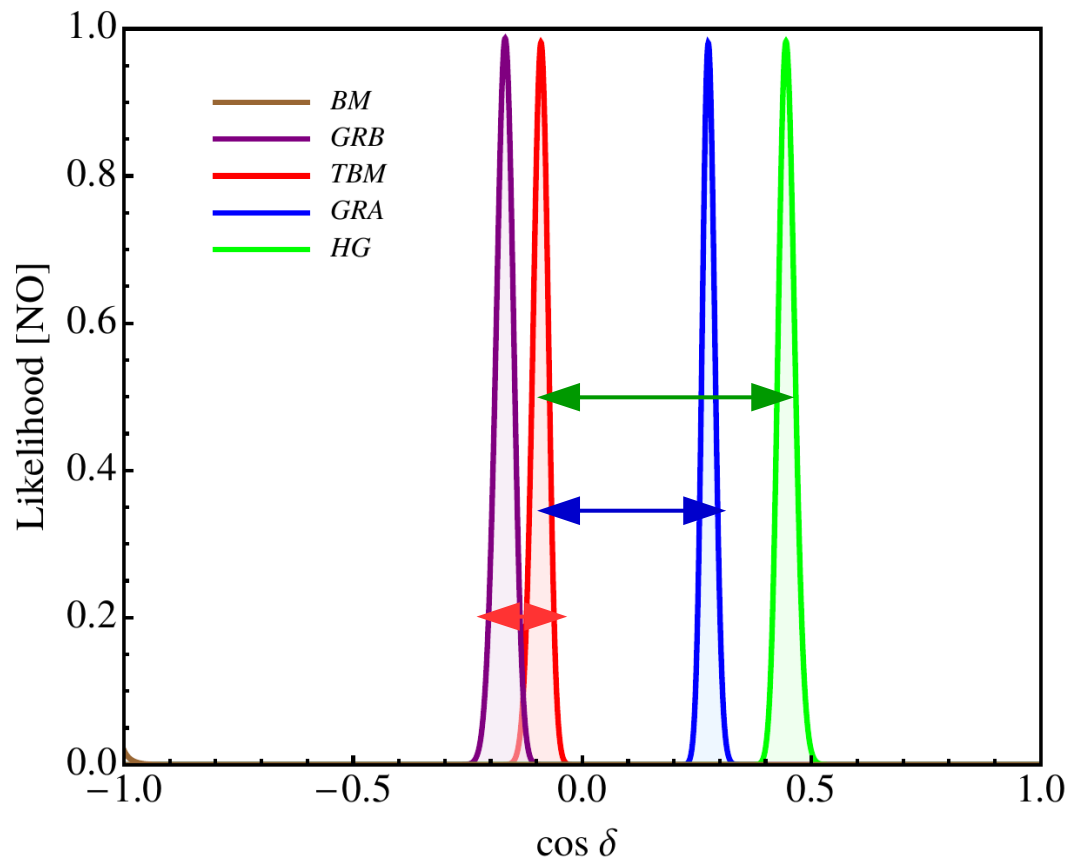
$$e \leftrightarrow \mu \leftrightarrow \tau$$

$$\nu_e \leftrightarrow \nu_\mu \leftrightarrow \nu_\tau$$

$$\cos \delta = \frac{\cos 2\theta_{23} \cos 2\theta_{13}}{\sin 2\theta_{23} \sin \theta_{13} (2 - 3 \sin^2 \theta_{13})^{\frac{1}{2}}}$$

Lepton generation symmetric models

Links PMNS parameters



$\delta_{CP}$  = less well-known parameter  
 → Limits the model constraints.

Model separation requires :

First separation :  $\delta [\delta_{CP}] < 30^\circ$

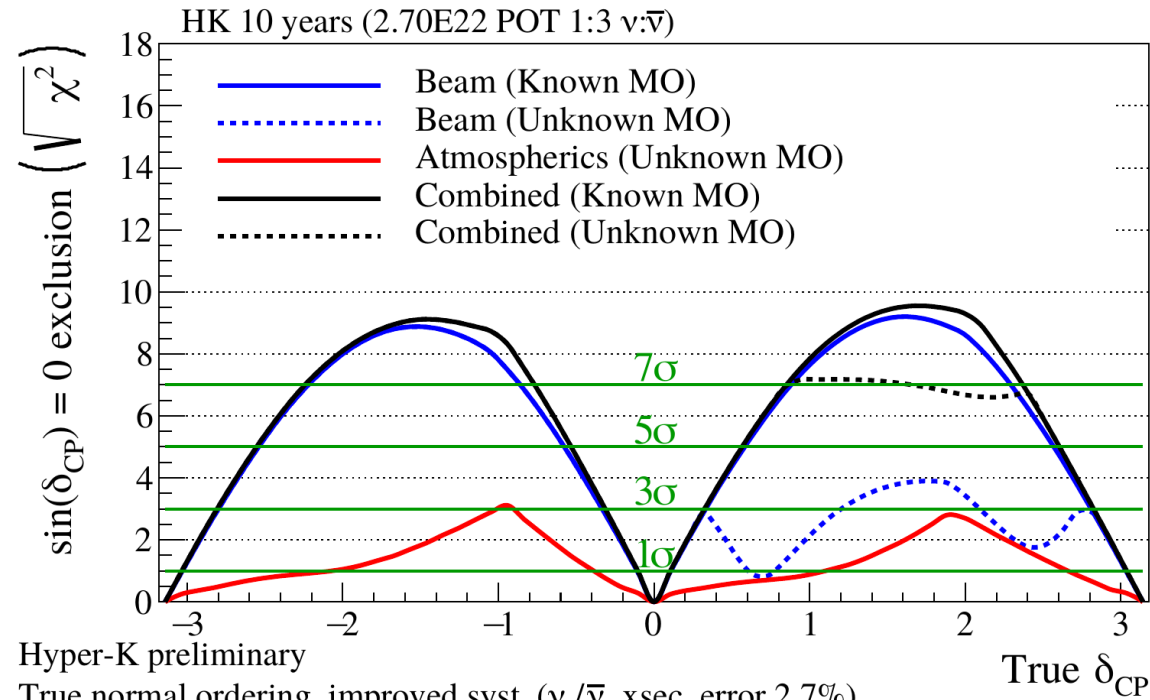
Good separation :  $\delta [\delta_{CP}] < 23^\circ$

Great separation :  $\delta [\delta_{CP}] < 5^\circ$

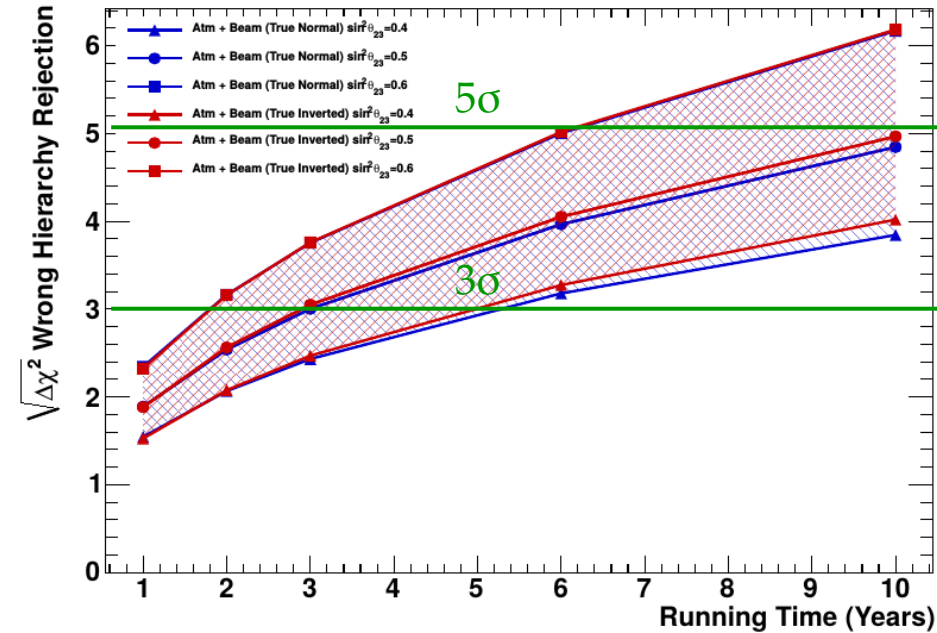
→ Precision of our experiments ?

# Combination of atmospheric + beam $\nu$

## Impact on CPV sensitivity



## Sensitivity to mass ordering



- Even if MO is not known when HK starts  
 → Sensitivity to CPV is little affected if we add atmospheric  $\nu$ .
- MO would be determined by :  
 → HK after  $\geq 6-10$  years via atmospheric.

# One remaining main issue in oscillations

→ Measure  $\delta_{CP}$  parameter aka the CP violation parameter.

→ Use  $\nu_e / \bar{\nu}_e$  appearance in T2K

$$P(\nu_\mu \rightarrow \nu_e) = 4c_{13}^2 s_{13}^2 s_{23}^2 \sin^2 \Delta_{31} + 8c_{13}^2 s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta - s_{12} s_{13} s_{23}) \cos \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} - 8c_{13}^2 c_{12} c_{23} s_{12} s_{13} s_{23} \sin \delta \sin \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} + \dots$$

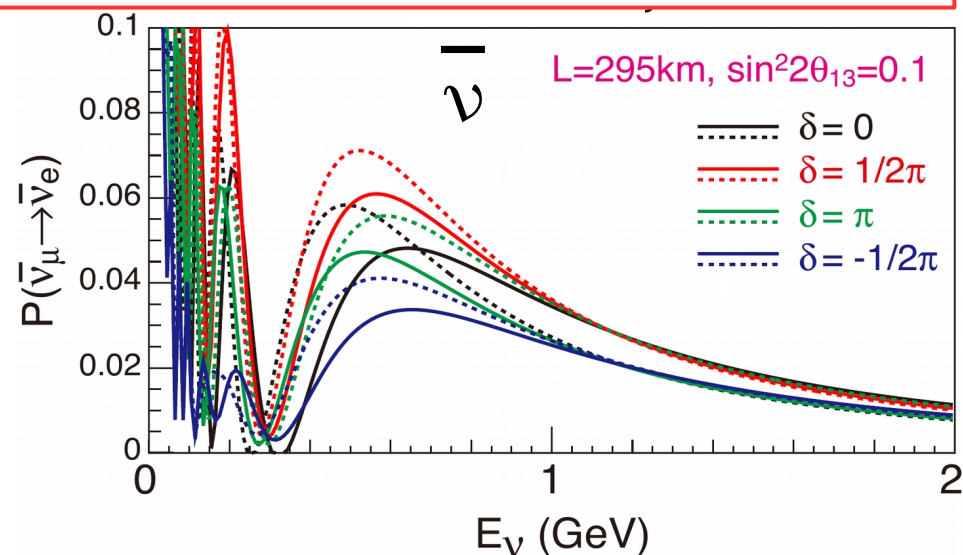
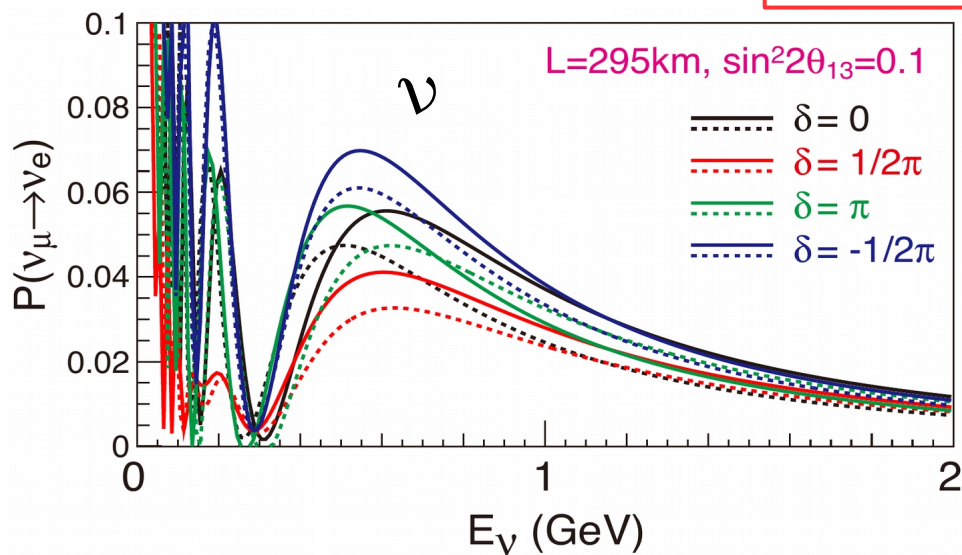
1.  $\sin^2(2\theta_{13})$ : Leading term

2. CP violation effect:

→  $\sin \delta$  is CP odd: opposite effect for  $\nu / \bar{\nu}$

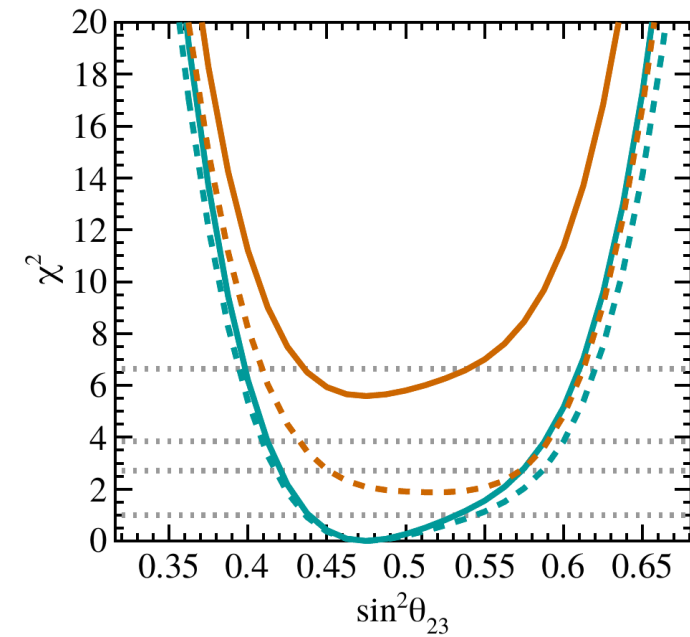
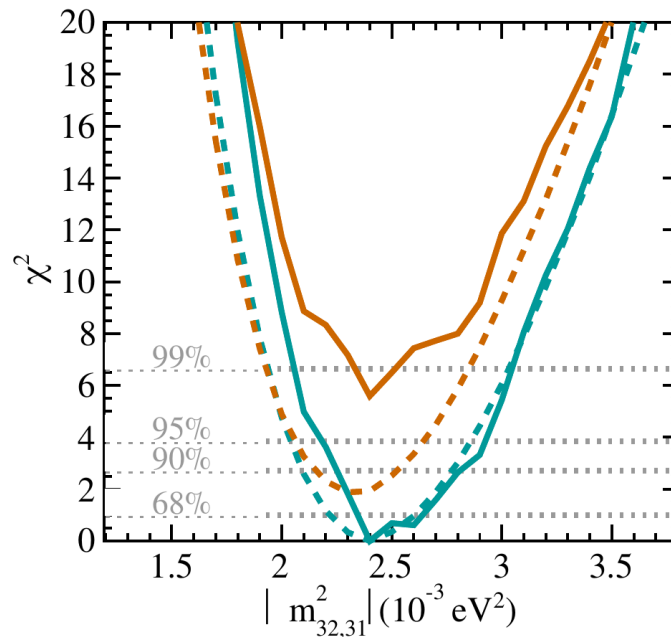
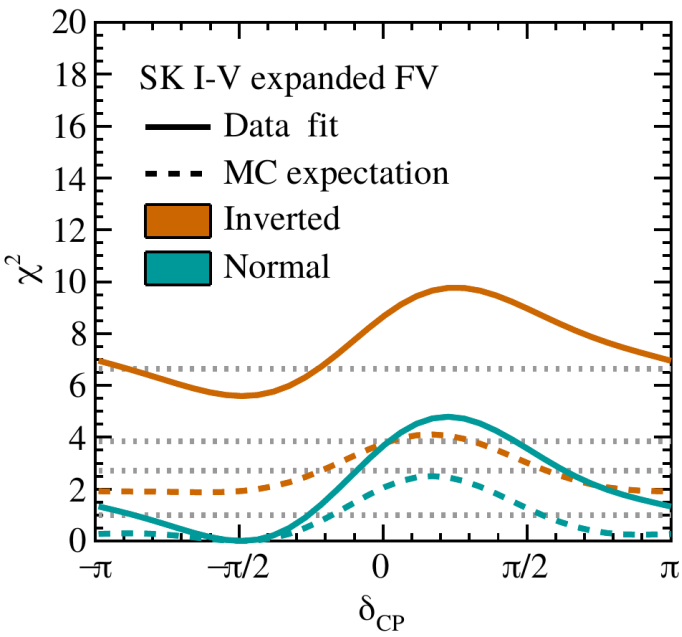
→ If  $\delta_{CP} = -\pi/2$ :  $\uparrow \nu_\mu \rightarrow \nu_e$  &  $\downarrow \bar{\nu}_\mu \rightarrow \bar{\nu}_e$ .

→  $\sim 27\%$  @T2K ( $\sin^2(2\theta_{23})=1$ )



# Atmospheric neutrinos since 1998

- Neutrinos produced in cosmic ray decays.

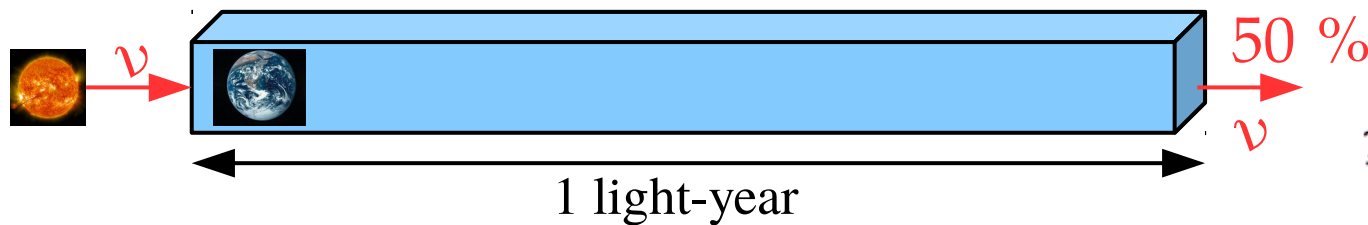


# Neutrinos ?

1.  $\nu$  are the only known neutral leptons

→ interacts through weak (and grav.) interactions.

→ 1 light year of lead to stop 50%  $\nu$  !



2.  $\nu$  are extremely (suspiciously?) light.

→ Absolute mass unknown, only upper limits.

→ > 6 order of magnitude < other SM masses.

→ Is the origin of  $\nu$  mass  $\neq$  from other particles?

Dirac ?

or/and

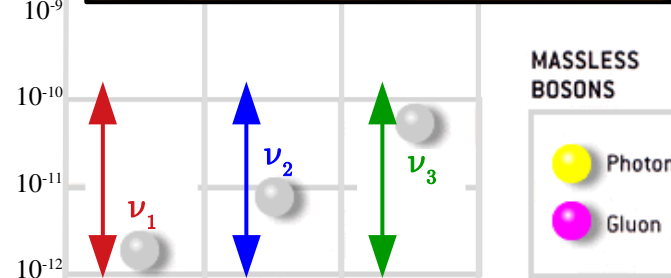
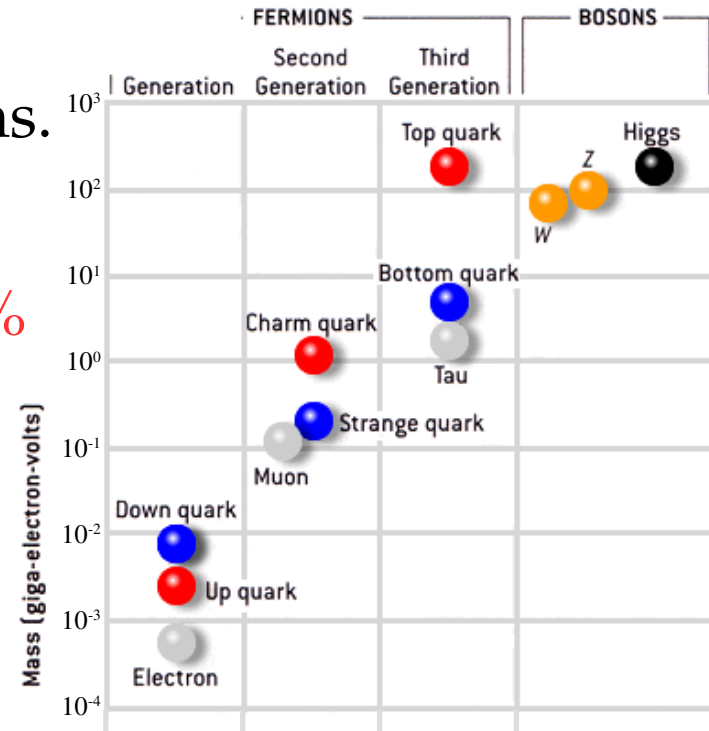
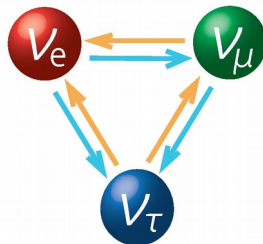
Majorana ?

$$L_{\text{mass}}^D = [\bar{\nu}_L \nu_R + \bar{\nu}_R \nu_L]$$

$$L_M^L = -\frac{1}{2} M_L (\bar{\nu}_L^c \nu_L + \bar{\nu}_L \nu_L^c)$$

3. Neutrino « oscillates » !

→ The 3 neutrino flavours can change to other ones.

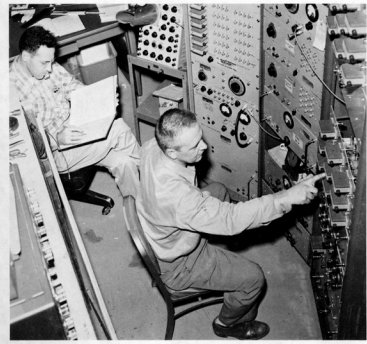


# Selected discoveries in neutrino physics



**Pauli :**

Introduce neutrino to explain  $\beta$  spectrum (to save energy / spin conservation)



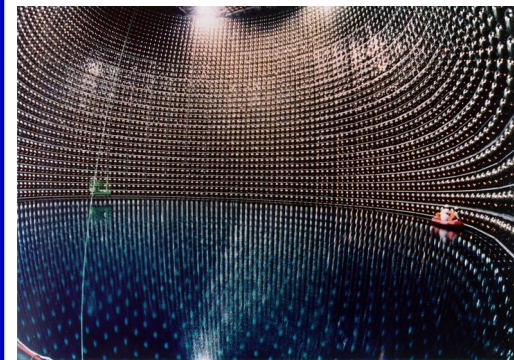
**Reines & Cowan :**

Experimental detection of neutrino (Savannah River reactor)



**Davies@Homestake :**

First indication of solar neutrino deficit



**@SK :**

Direct observation of neutrino oscillation (atmos.)

1930

1934

1956

1962

1967

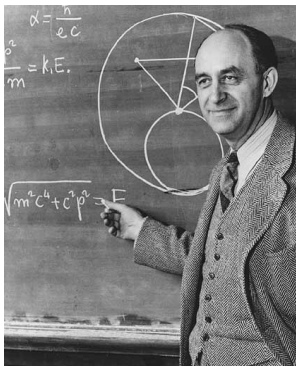
1989

1998

2001

**Fermi :**

Neutrino incorporated in a theory of weak interactions

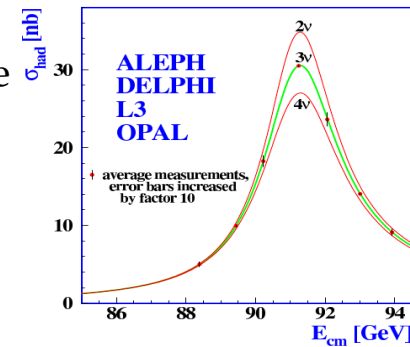


**Maki-Nakagawa-Sakata:**

Flavour states are superposition of mass states

**@LEP :**

Only 3 active (Z int.) light (<45GeV) neutrino families



**@SNO :**

Solar neutrino explained by oscillation