

Mid-IR SWIFTS : a miniature integrated spectrometer in the L-band

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I. Context

1. L-band in astrophysics
2. Photonic chips

II. What is a SWIFTS ?

1. Principle
2. Get an interferogram
3. Sample the Interferogram
4. Collect & Inverse Fourier Transform the interferogram

III. SWIFTS in the L-band

1. Mid-IR SWIFTS's characteristics
2. Challenges of Mid IR detectors
3. What to expect from a mid IR SWIFTS ?
4. Spatial Multiplexing

IV. How do you make a mid IR SWIFTS ?

1. Choose your material
2. Make your waveguides
3. Make your antennas

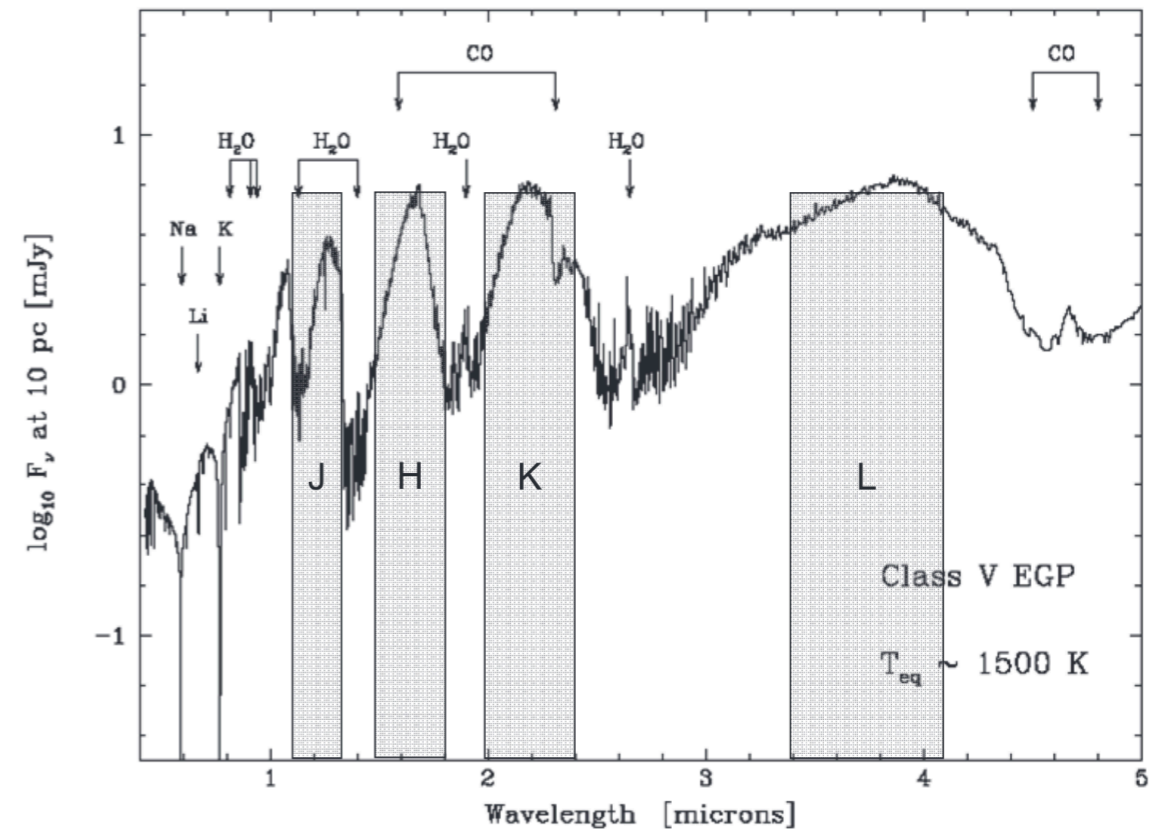
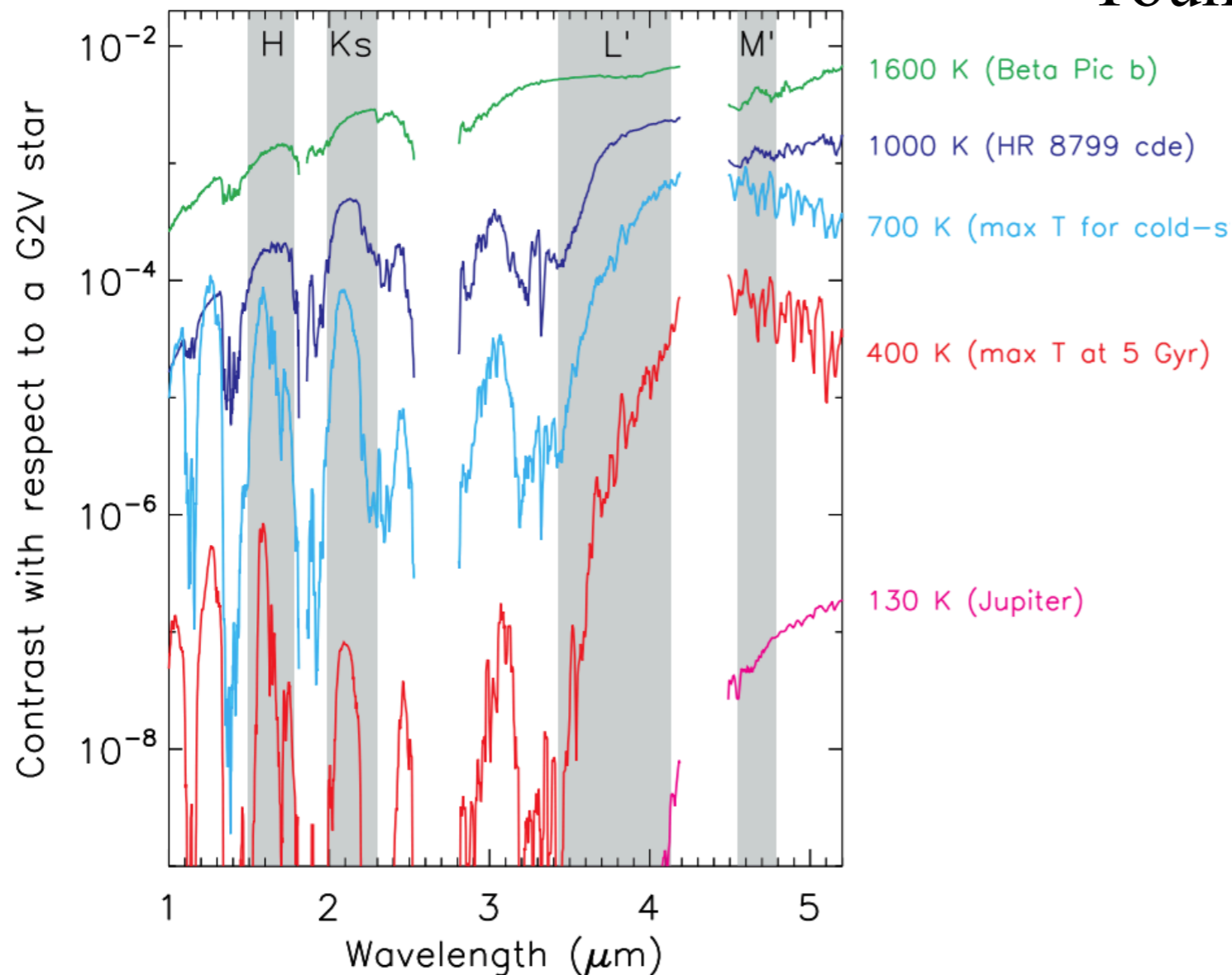
V. Conclusion & Perspectives

I. Context

I. 1. L-band in astrophysics

L-band (astrophysics) : 3.4 μm to 4.1 μm

- Dust in exozodiacal disks
- Young exoplanets within the snow line

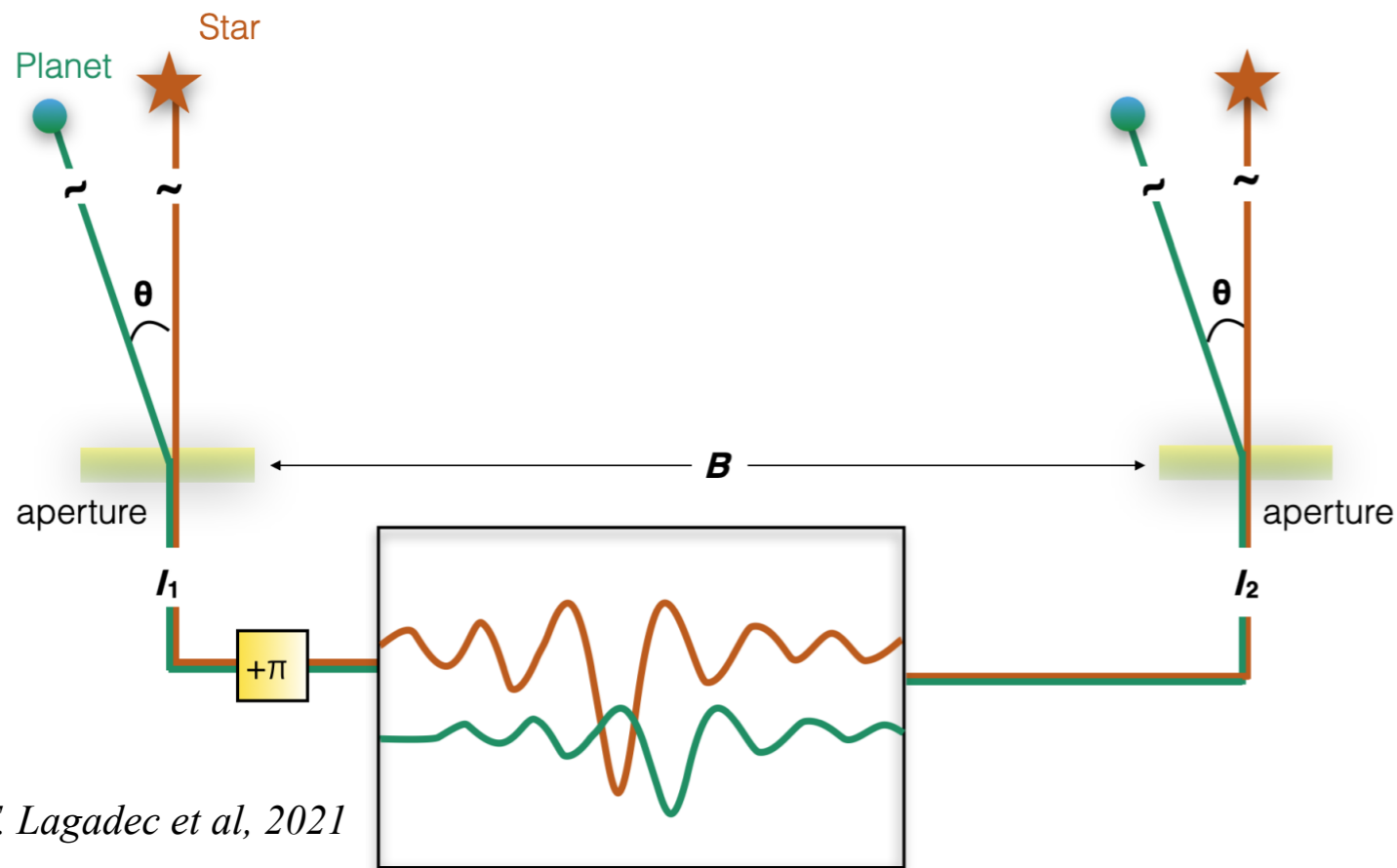


Emission spectra of a young hot Jupiter

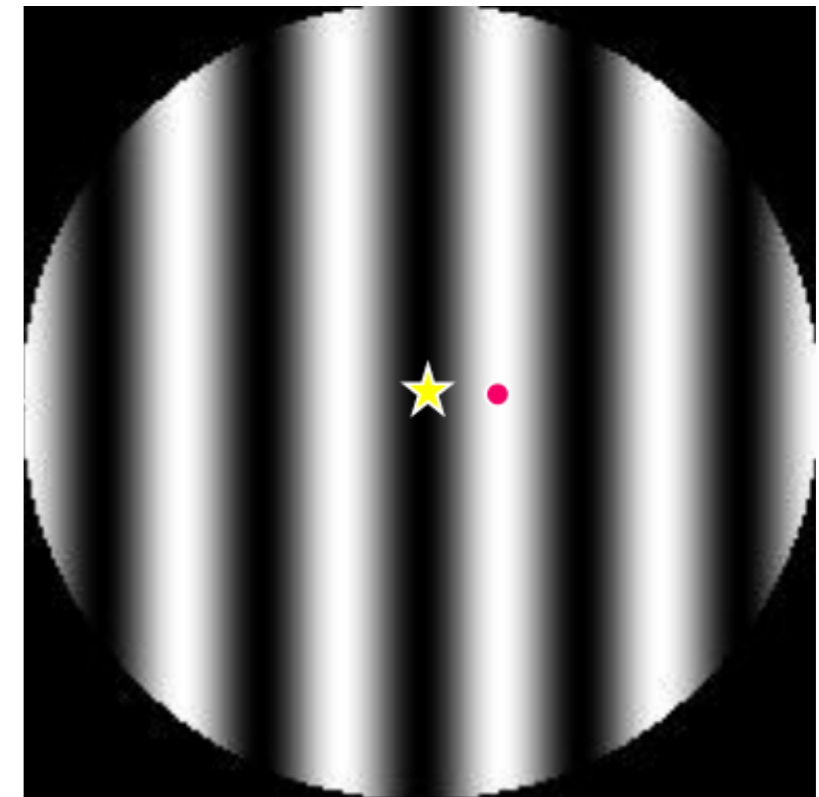
Skemer et al, 2014

S. Heidmann. Composants actifs en optique intégrée pour l'interférométrie stellaire dans le moyen infrarouge. Optique / photonique. Université de Grenoble, 2013. Français. NNT : 2013GRENT096. tel-01199463v2

I. 1. L-band in astrophysics



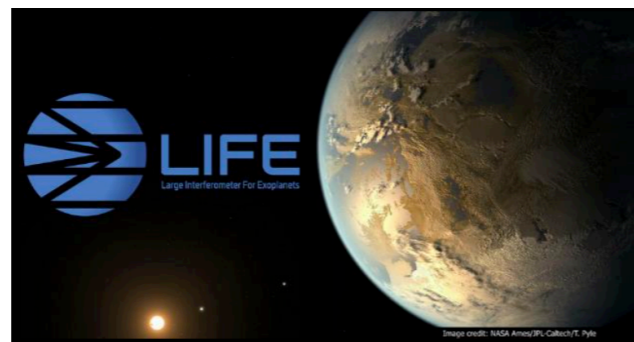
- **Nulling interferometry : direct detection of exoplanets**



★ Star
 ● Planet

P.I. : D. Defrère (KU Leuven)

P.I. : S. Quanz (ETH Zurich)

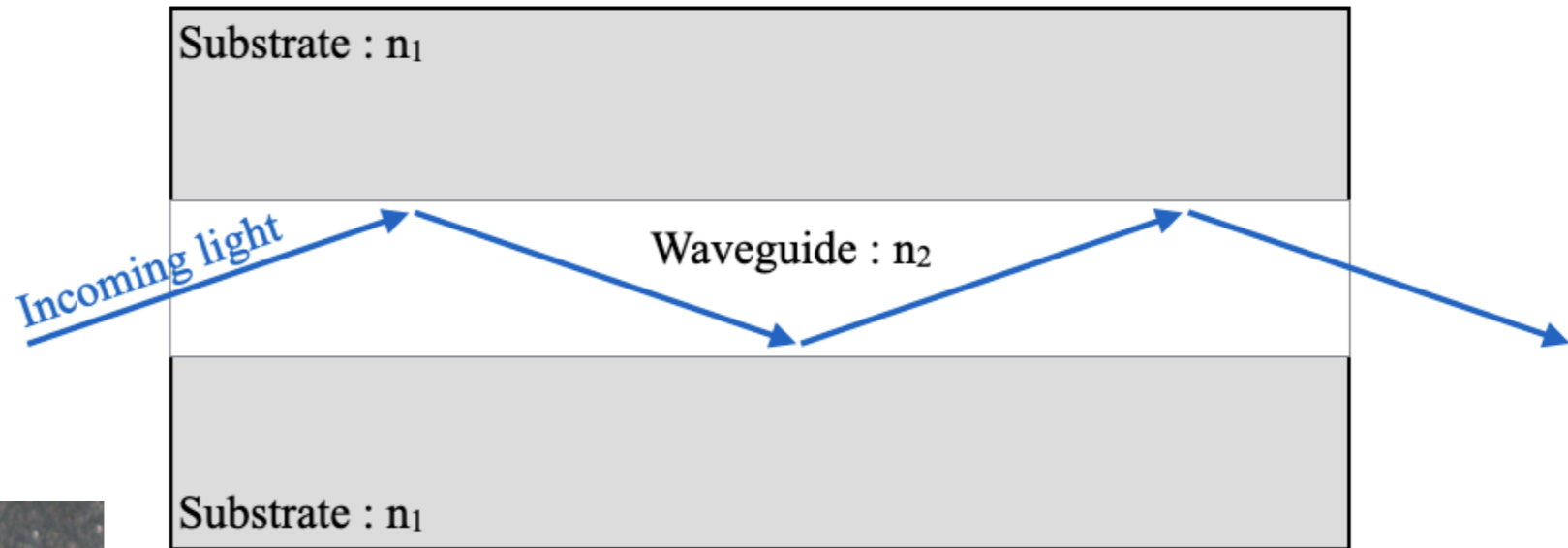


<https://life-space-mission.com>

I.2. Photonic chips

- **Waveguide : local change of the refractive index to confine the light**

- ▶ Beam splitters
- ▶ Directional coupling
- ▶ Integrated spectrometers...



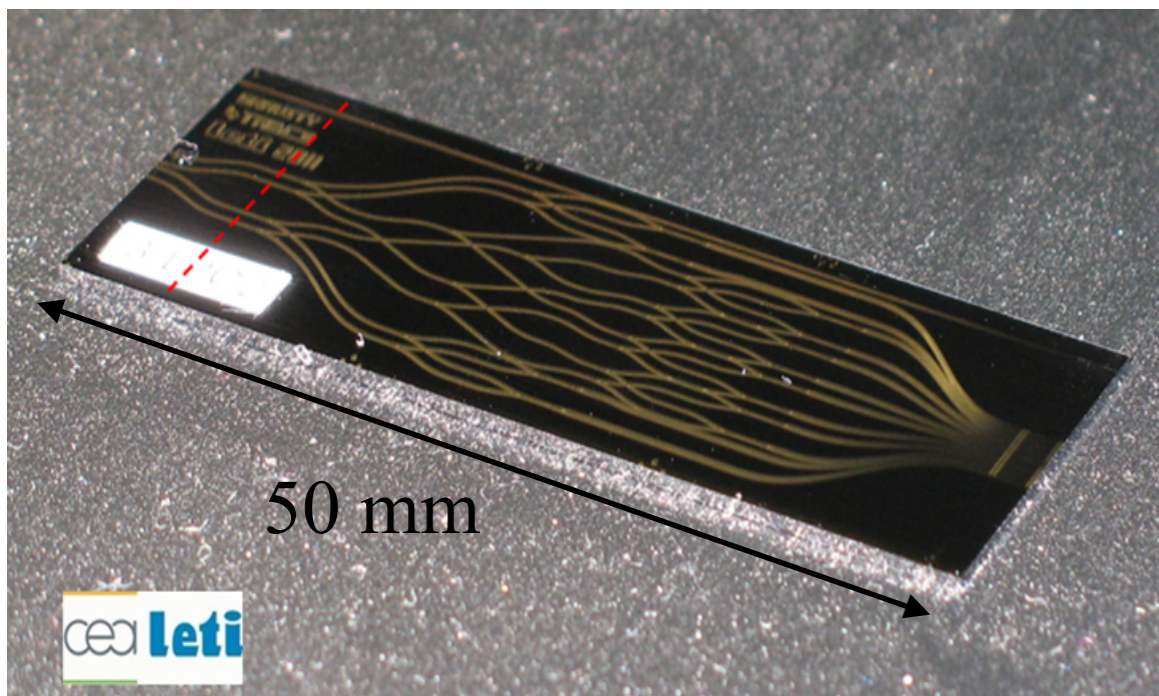
$$n_1 < n_2$$

Astrophysical photonic chips :

➔ GRAVITY (4 telescope beam combiner for the VLTI)

➔ Compact, weight reduction...

➔ **Embedded applications** !



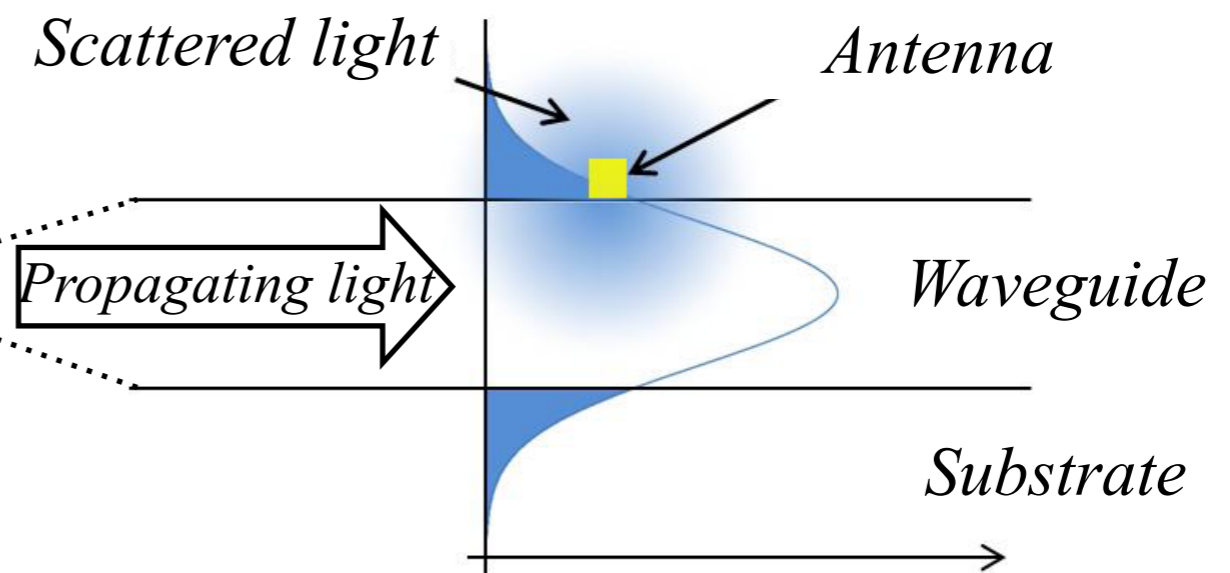
II. What is a SWIFTS ?

II.1 : SWIFTS : Principle

- **SWIFTS = Stationary Wave Integrated Fourier Transform Spectrometer**



2 cm

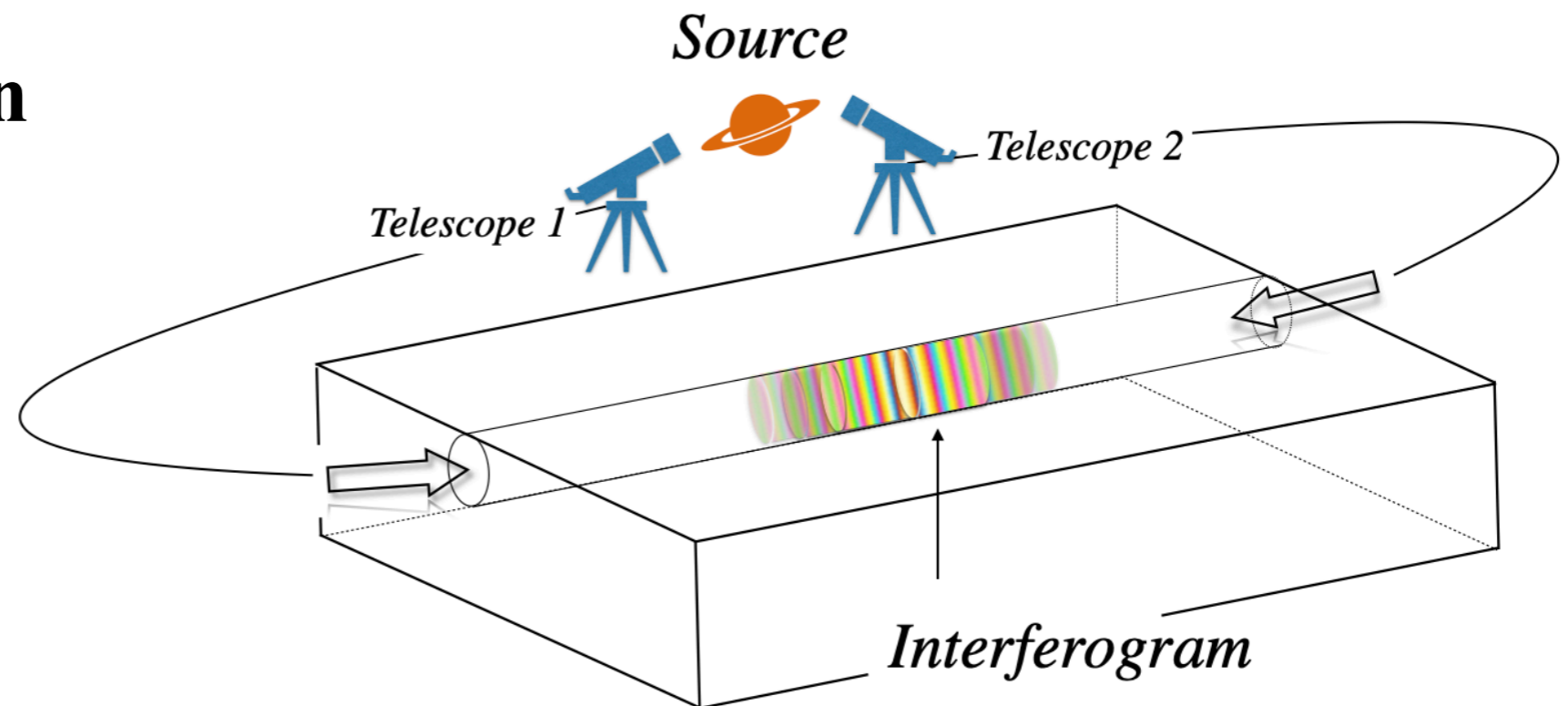


- **Principle :**
 - interferogram in a waveguide
 - sample the interferogram via scattering centres : antennas
 - collect the information
 - Inverse Fourier Transform \Rightarrow spectrum of the source

II.2 : Get an interferogram

- Principle :
 1. obtain an interferogram in a waveguide
 2. sample it via scattering centres : antennas
 3. Collect the interferogram & Inverse FT => spectrum of the source

“Gabor” configuration

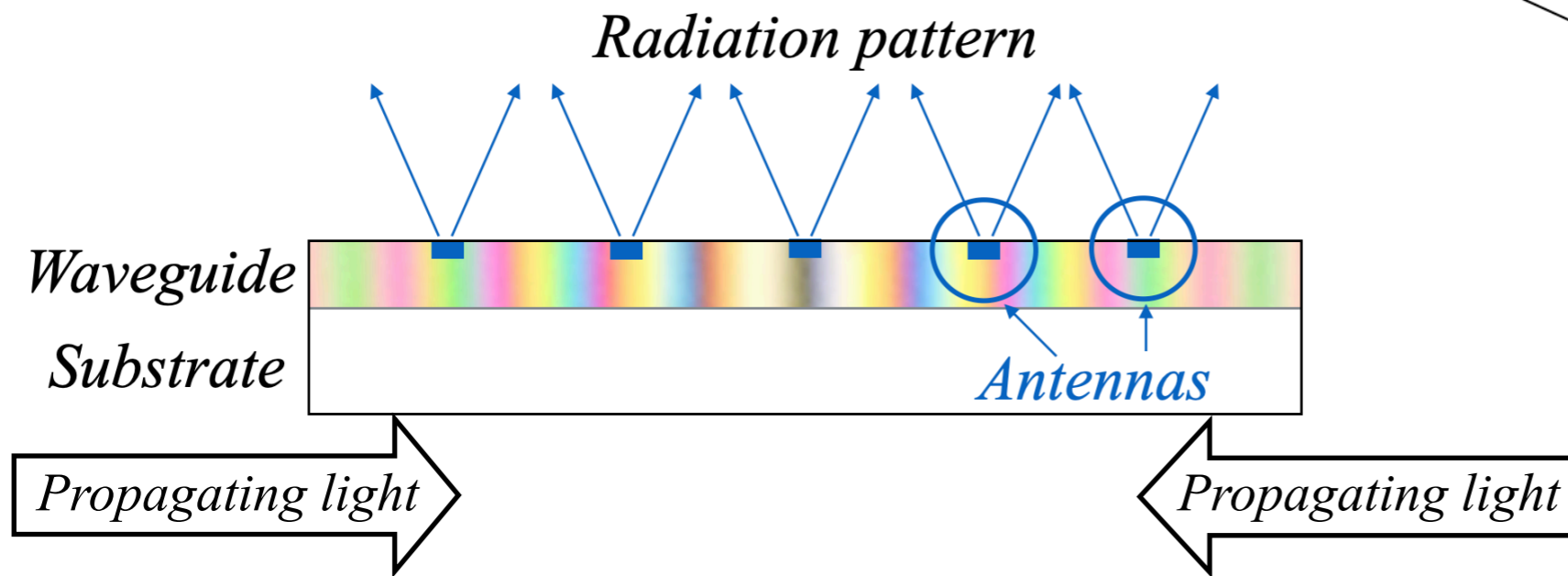
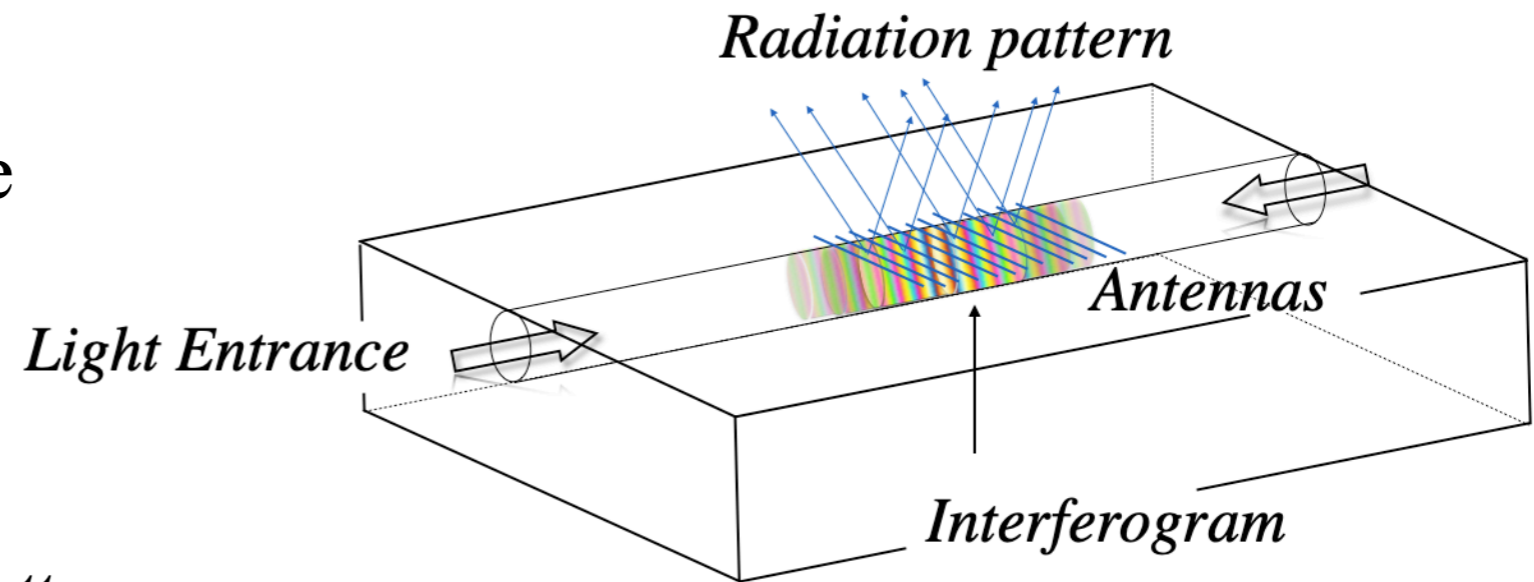


- controllable Optical Path Difference
- complete interferogram, central fringe in the middle of the waveguide

II.3 : Sample the interferogram

- **Principle :**
 1. obtain an interferogram in a waveguide
 2. **sample the interferogram : antennas**
 3. Collect the interferogram & Inverse FT => spectrum of the source

- Antennas = “grooves”
(dielectric discontinuities)
periodically etched in the sample

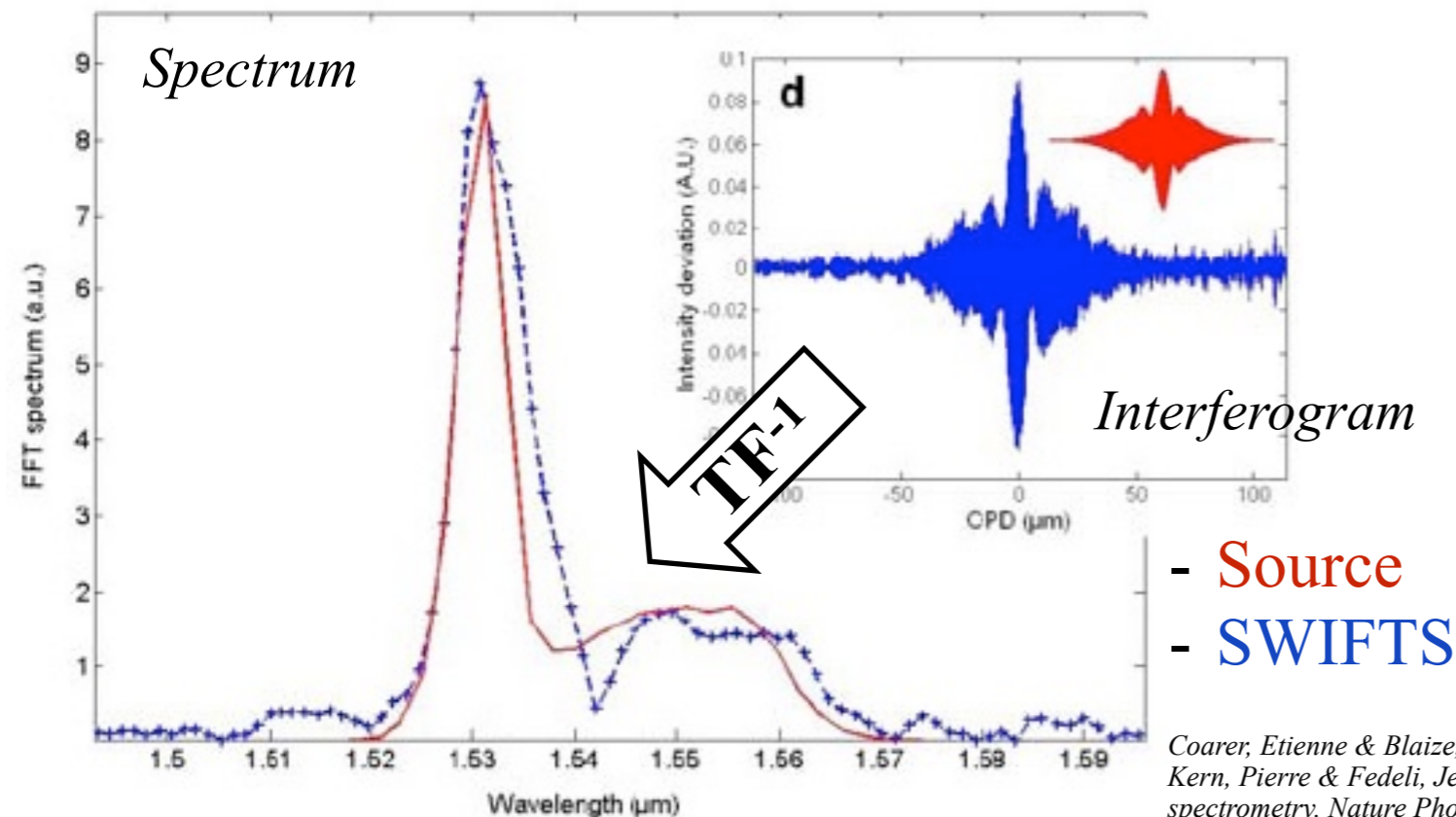
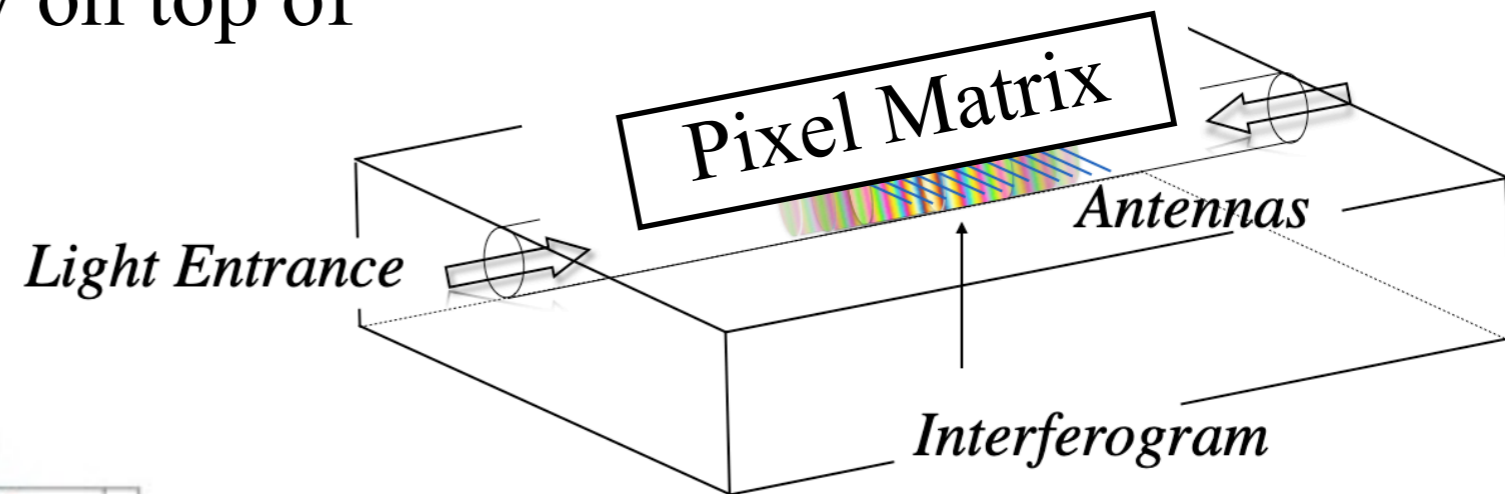


II.4 : Collect & Inverse Fourier Transform the interferogram

- **Principle :**
 1. obtain an interferogram in a waveguide
 2. sample the interferogram : antennas
 - 3. Collect the interferogram & Inverse FT => spectrum of the source**

- Detector's pixel matrix directly on top of the antennas

➔ **no relay optics**



- Inverse FT {Interferogram} = Spectrum {Source}

Coarer, Etienne & Blaize, Sylvain & Benech, Pierre & Stefanon, Ilan & Morand, Alain & Lerondel, Gilles & Leblond, Grégory & Kern, Pierre & Fedeli, Jean-Marc & Royer, Pascal. (2007). Wavelength-scale stationary-wave integrated Fourier-transform spectrometry. *Nature Photonics - NAT PHOTONICS*. 1. 473-478. 10.1038/nphoton.2007.138.

III. SWIFTS in the L-band

III.1 : Mid-IR SWIFTS characteristics

• Resolution :

$$R = \frac{\lambda}{\Delta\lambda} = \frac{2 \times n_{eff} \times L}{\lambda}$$

sampled length

• $L = 1\text{ cm} \Rightarrow \mathbf{R > 10\ 000}$ ($\Delta\lambda \sim 340\text{ pm}$)

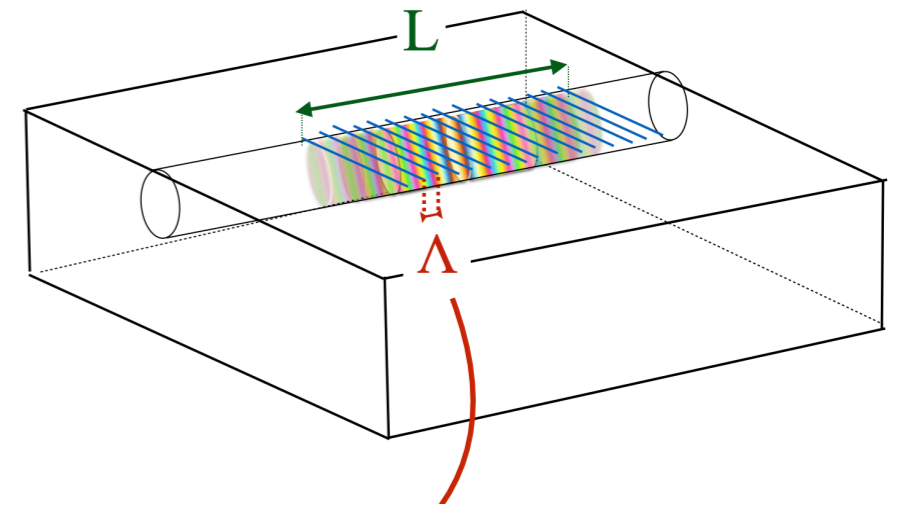
• Spectral Bandwidth :

$$\Delta\lambda_{bandwidth} = \frac{\lambda^2}{4 \times n_{eff} \times \Lambda}$$

pitch between 2 antennas

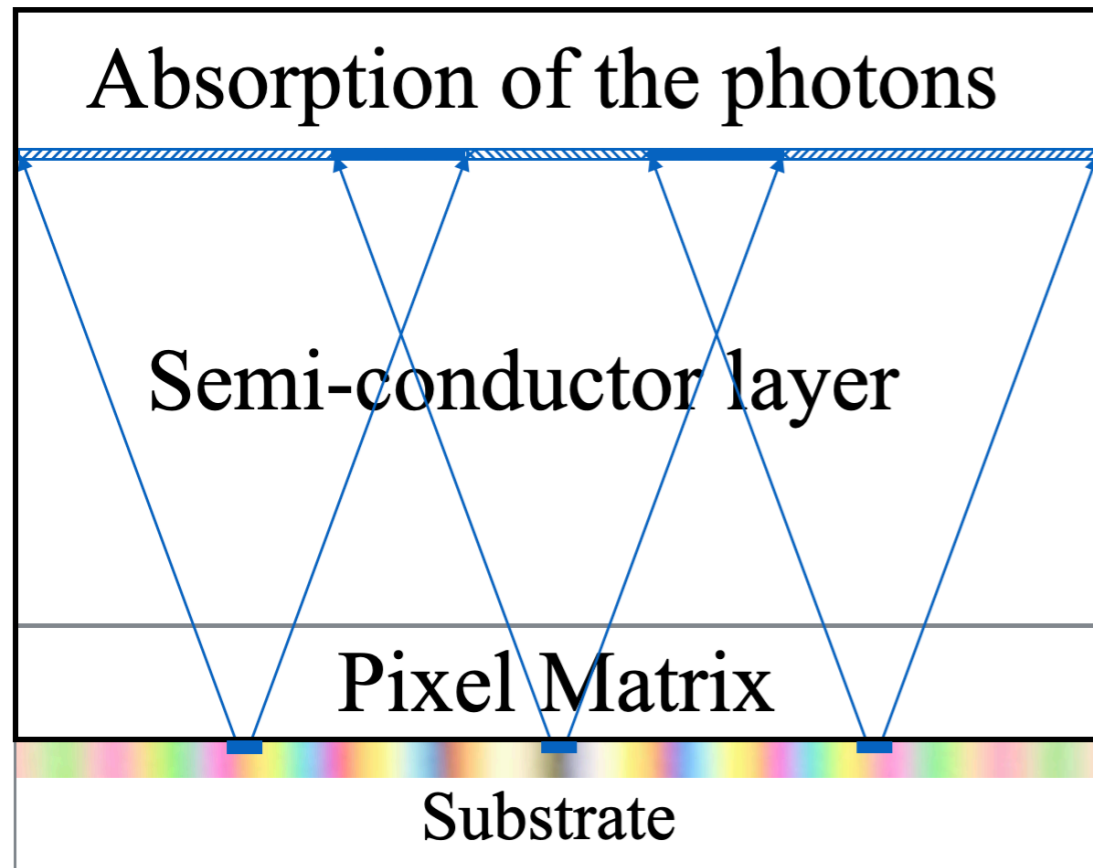
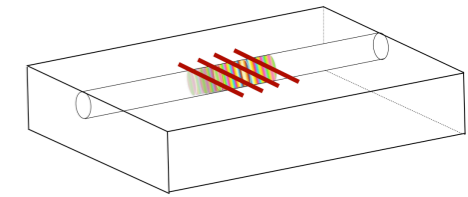
• $\Lambda = 30\ \mu\text{m} \Rightarrow \mathbf{\Delta\lambda_{bandwidth} \sim 44\text{ nm}}$

➔ **Compact, high resolution spectrometer** : spectral emission, absorption lines

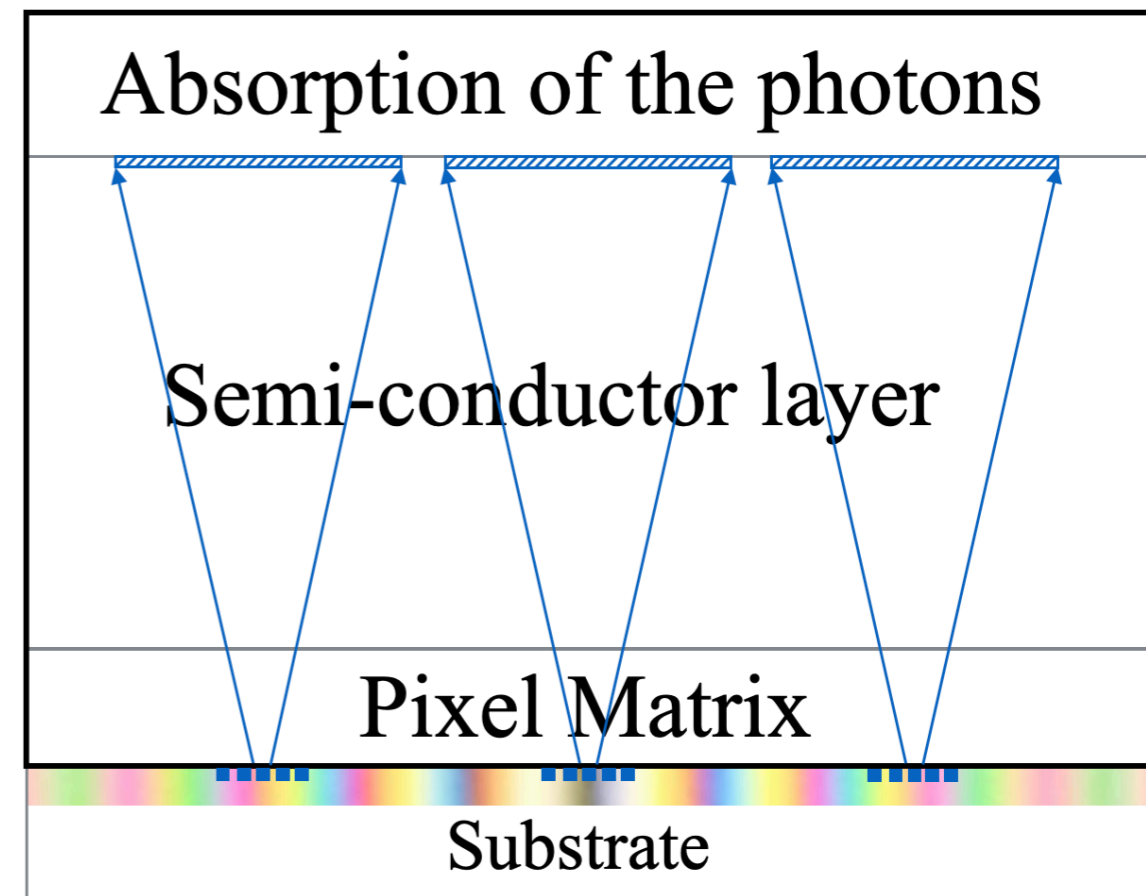
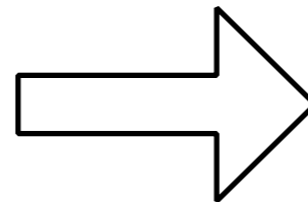


III. 2. Challenges of Mid-IR detectors

- Mid-IR detectors :



- 1 groove per antenna = crosstalk on the pixels

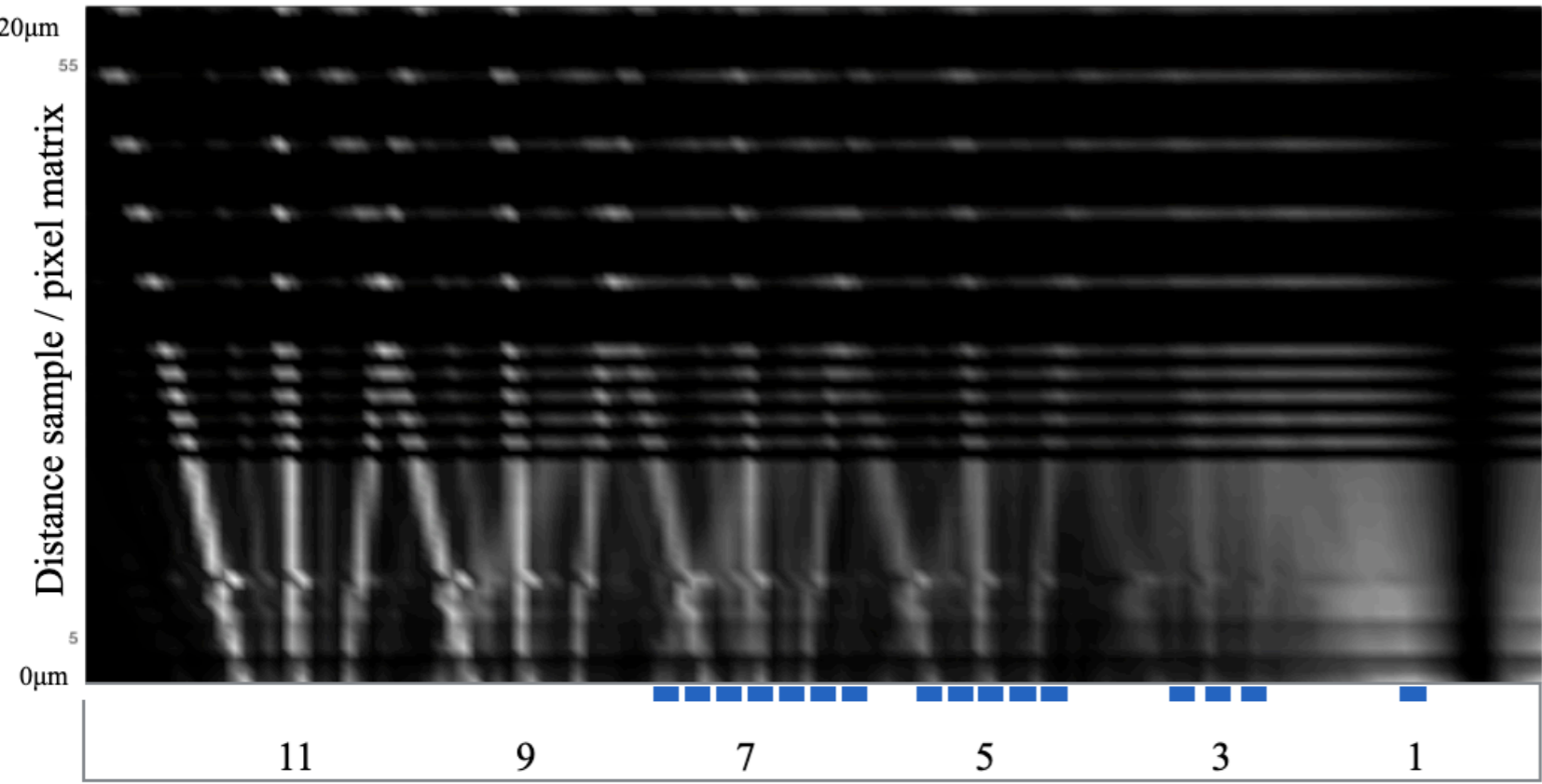


➔ mini-diffraction gratings :
several grooves per antenna

➔ **reduction of the angular diffraction**

III. 2. Challenges of Mid-IR detectors

lambda - 1551 nm



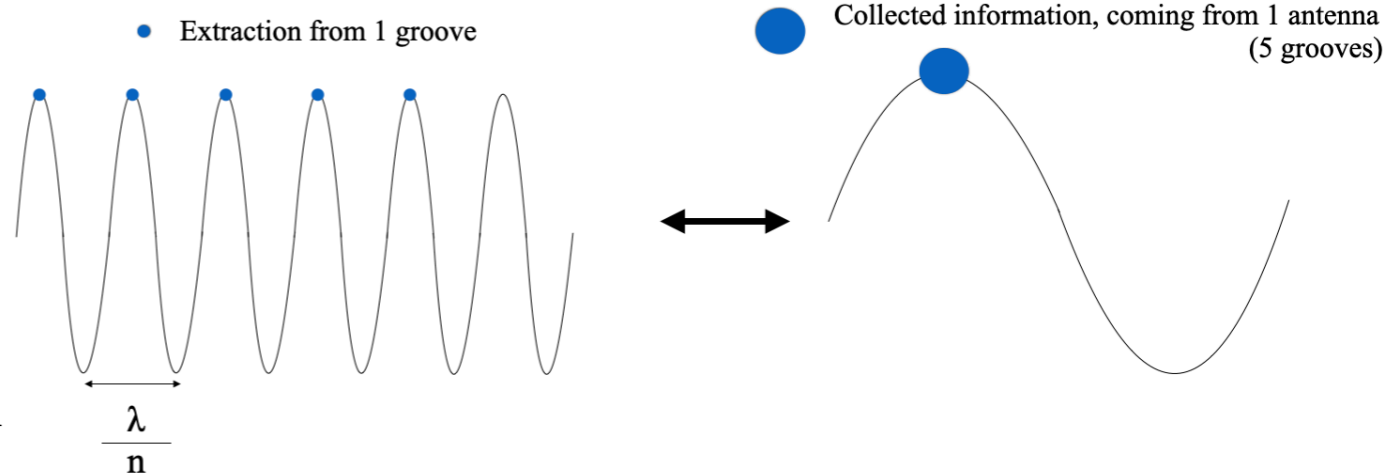
➔ *Reduction of the angular diffraction when increasing the number of grooves per antenna*

Number of grooves per antenna



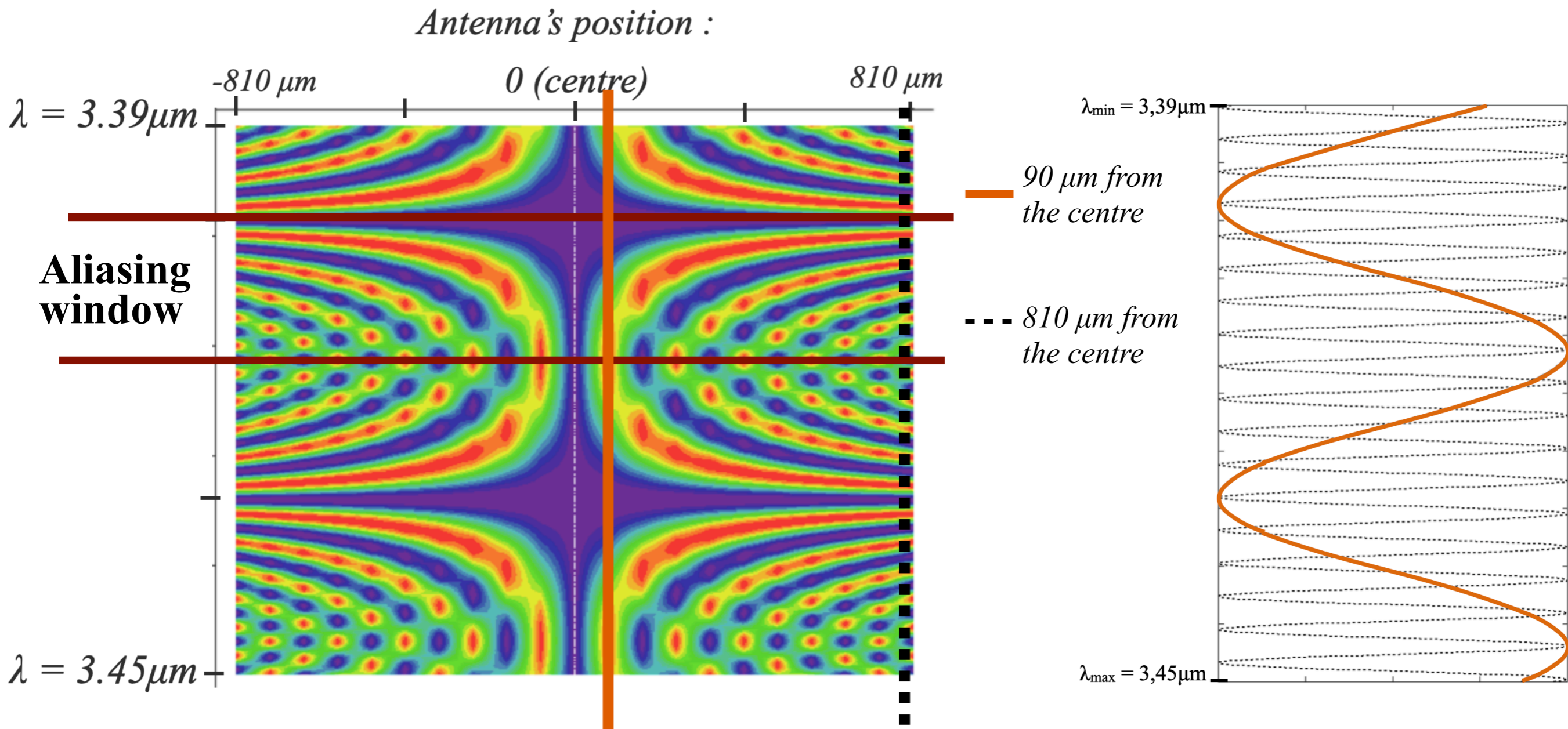
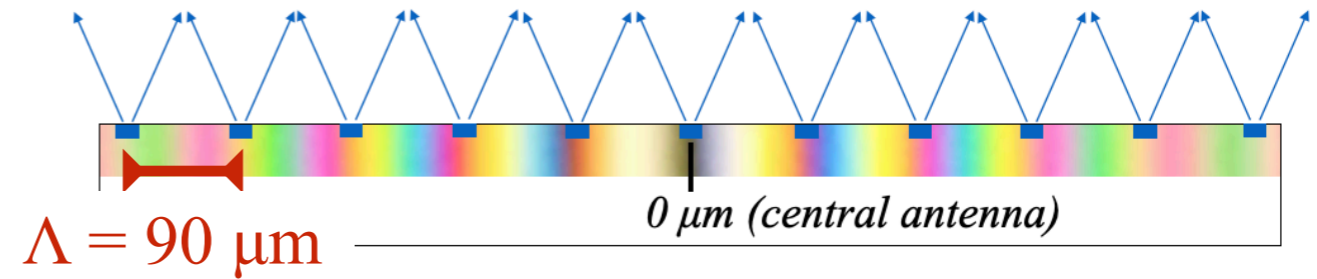
δ = Pitch between two grooves

$$\delta \propto \frac{\lambda}{n_{eff}} = \text{Period of the interferogram}$$

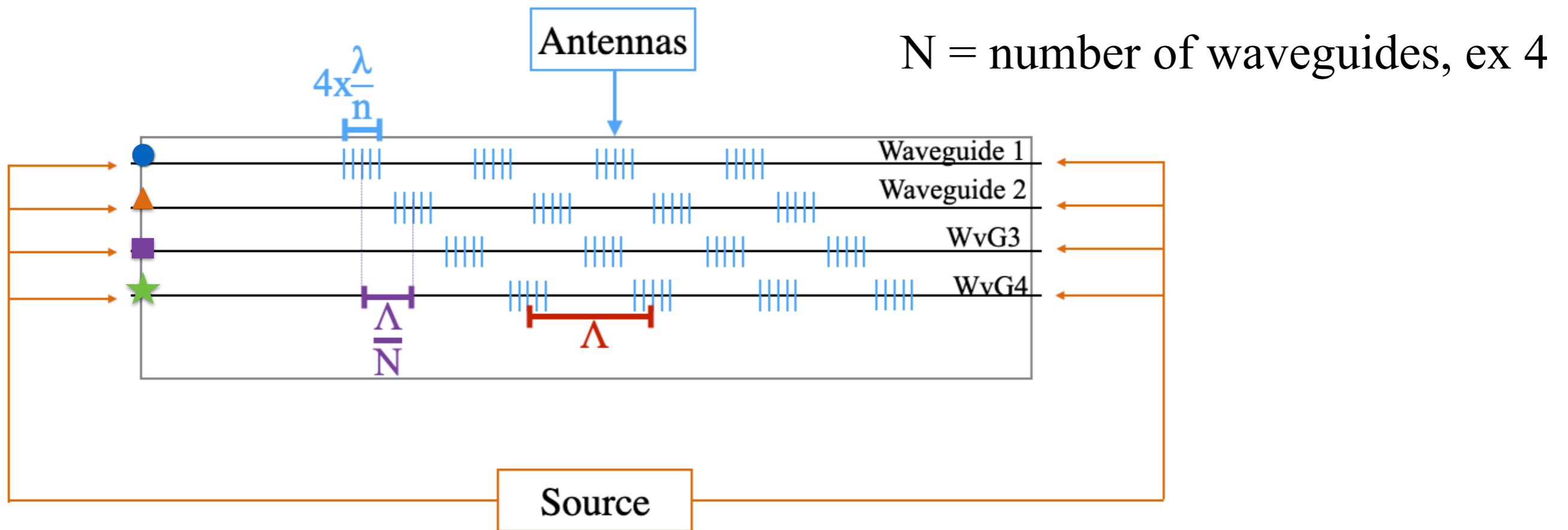


III. 3. What to expect from a mid-IR SWIFTS ?

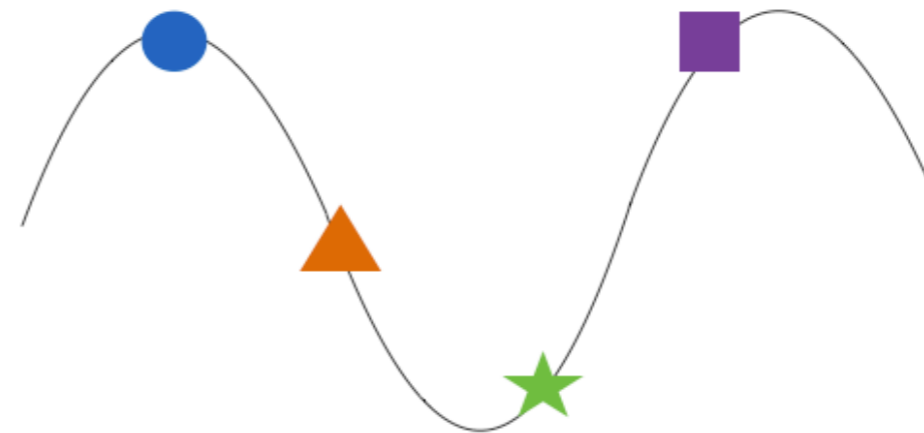
- Simulation : theoretical sampled signal — 20 antennas



III. 4. Spatial Multiplexing



- 1st Waveguide's grooves groups
- ▲ 2nd Waveguide's grooves groups
- ★ 3rd Waveguide's grooves groups
- 4th Waveguide's grooves groups



➔ Increases the bandwidth

IV. How do you make a mid-IR SWIFTS ?

IV. 1. Choose your material

- **Lithium Niobate (LiNbO₃)** : ➔ Transparent in the Mid-IR
➔ **Electro-active** material : Pockels effect

Electro-optic coefficient

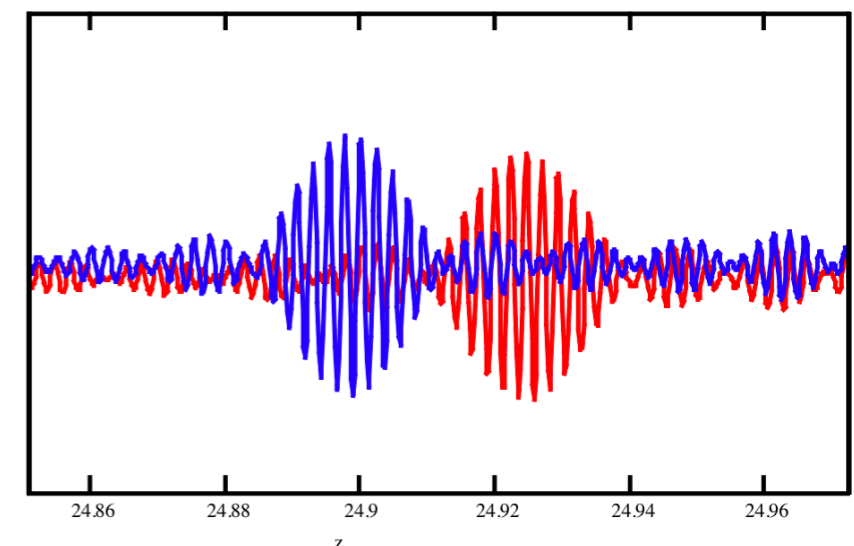
$$\Delta n(E_{app}) = -\frac{1}{2} \times n_{LiNbO3}^3 \times r_{33_{LiNbO3}} \times E_{app}$$

Variation of the local refractive index

Applied electric field

- ➔ Temporal modulation of the fringes : **increases the bandwidth**

$$E_{app} = 0V$$
$$E_{app} = 4V$$



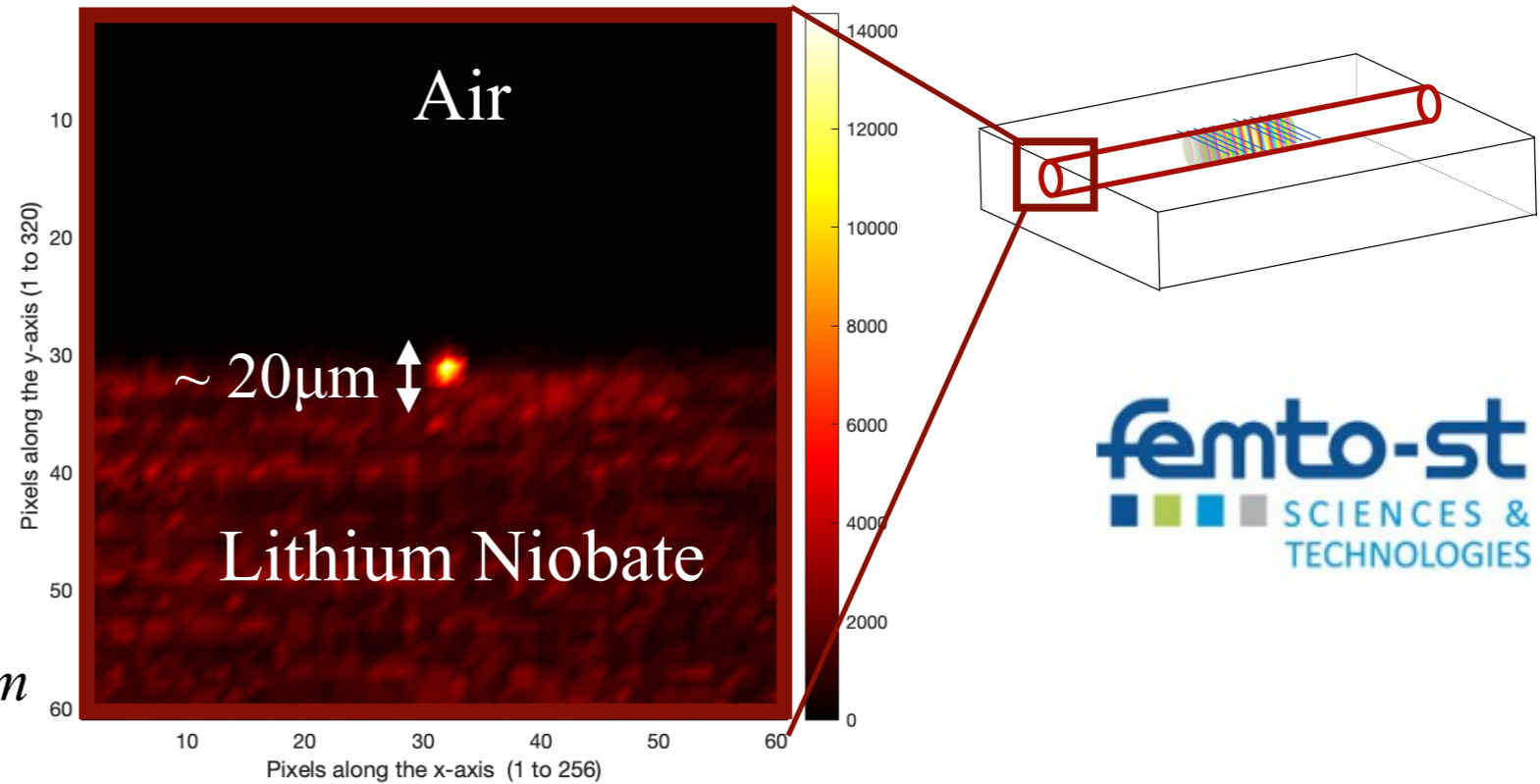
Simulation of the interferogram in the waveguide

IV. 2a Make your waveguides : Titane Diffusion

- 2 technologies
 - **Titane Diffusion**
 - Direct Laser Writing

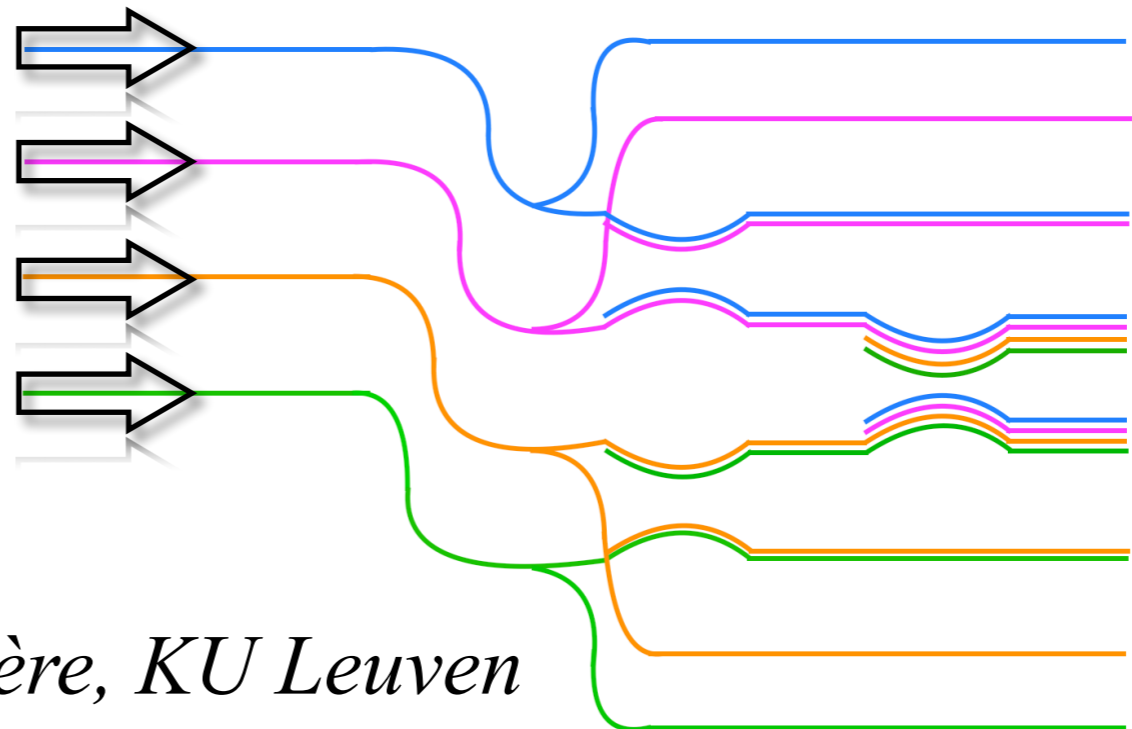
• $\Delta n \sim 10^{-2} - 10^{-3}$

Waveguide injected at $3.39 \mu\text{m}$



- Complex planar geometries :
4-Telescope recombining for nulling interferometry

Simultaneous injection



coupling of the light : nulling interferometry

➔ *ASGARD/NOTT project, D. Defrère, KU Leuven*

IV. 2b Make your waveguides : Direct Laser Writing

- 2 technologies
 - Titane Diffusion
 - **Direct Laser Writing**

$\Delta n \sim 5 \times 10^{-4} - 1 \times 10^{-3}$
(Inverse Helmholtz technique)

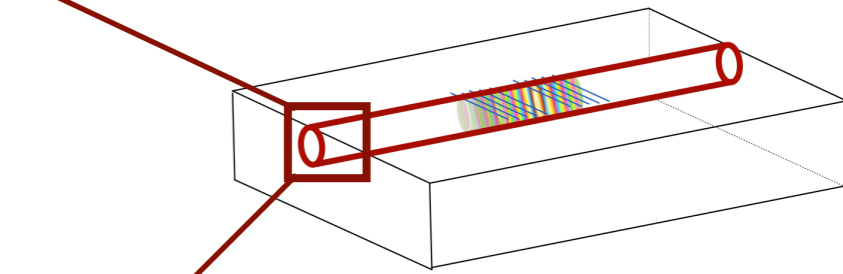
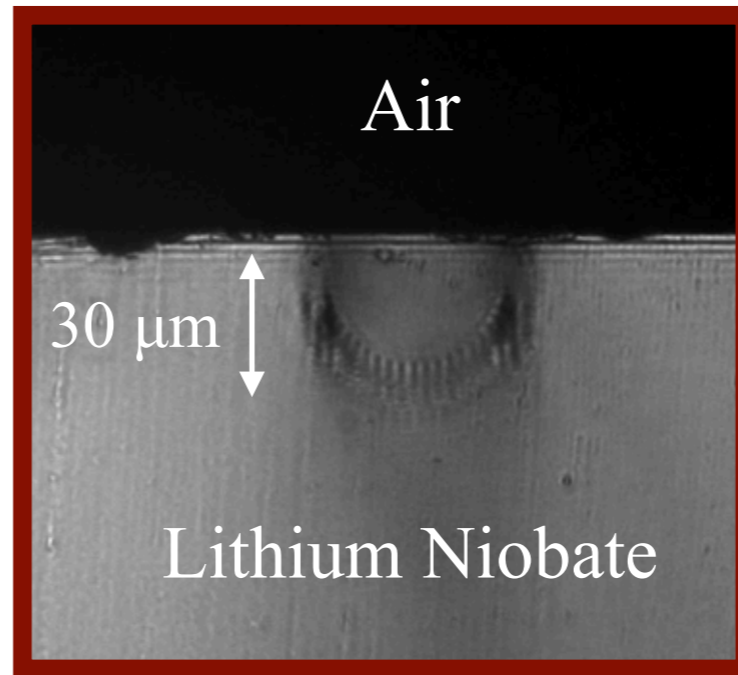
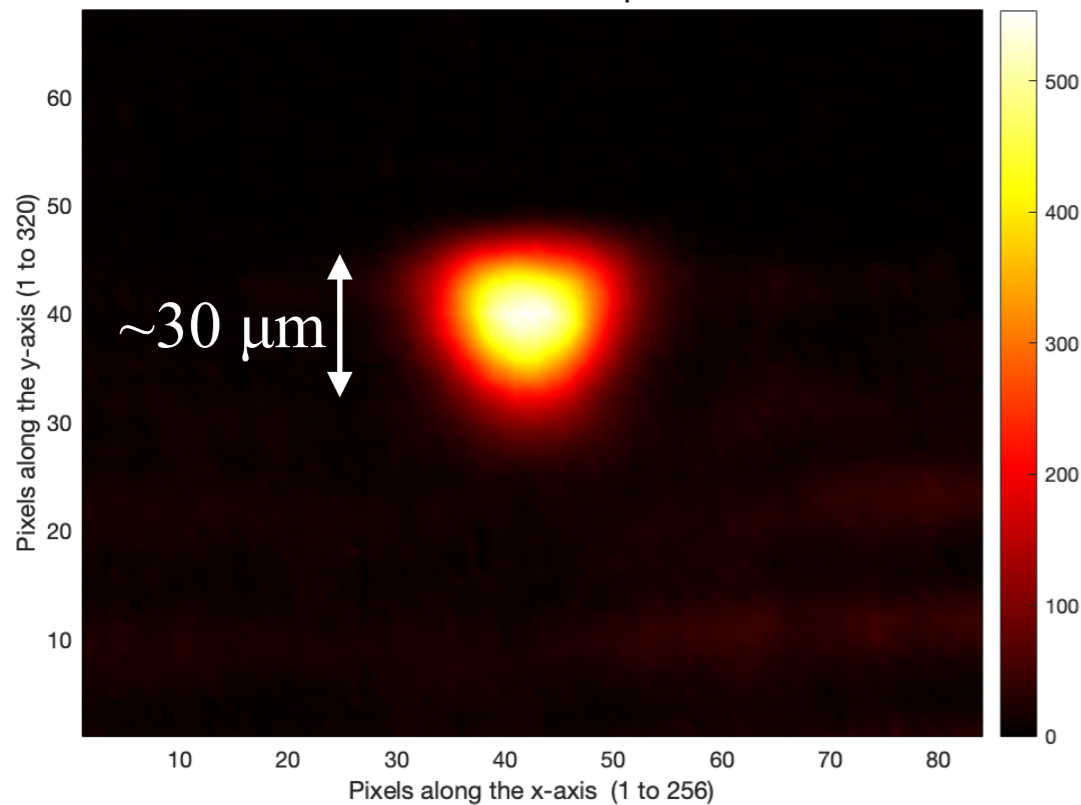


Image of a waveguide in white light

Waveguide : W3₁



- **depressed index cladding technique**
- Simple and fast
- 3D geometries

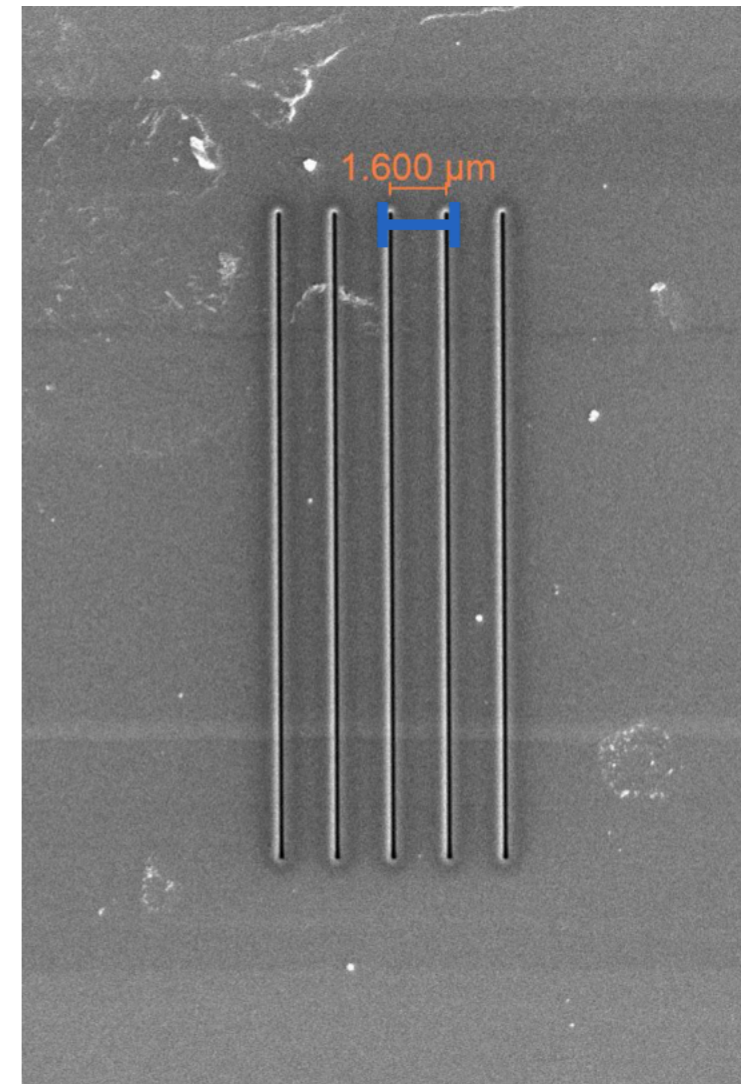
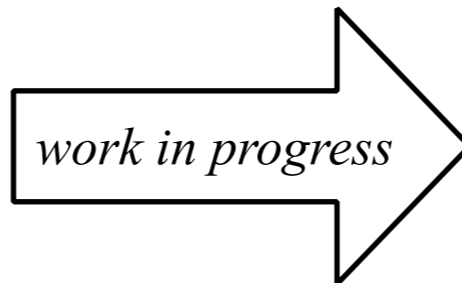
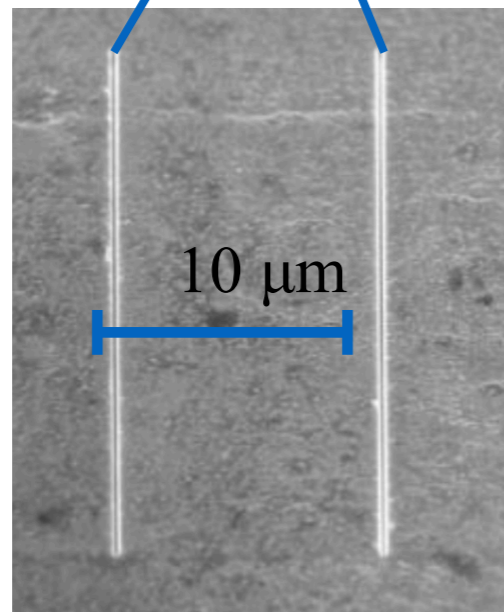
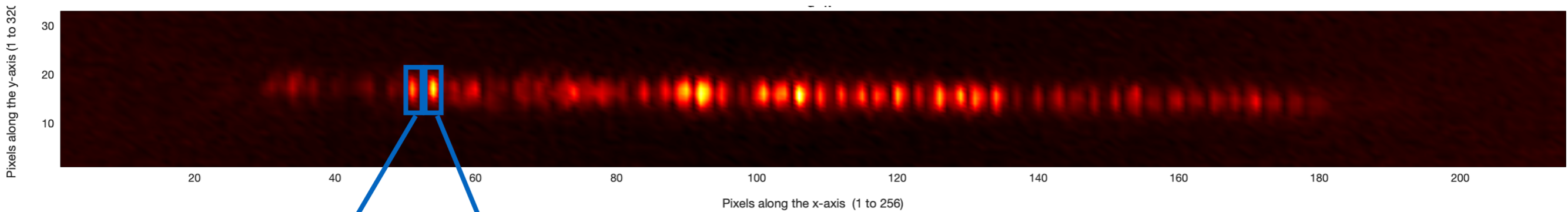
Waveguide injected at 3.39 μm



IV. 3a Make your antennas : FIB

◆ FIB (Focused Ion Beam) :

Diffraction pattern of the antennas (Waveguide injected at $3.39\mu\text{m}$)



IV. 3b Make your antennas : Direct Laser Writing

◆ Direct Laser Writing :

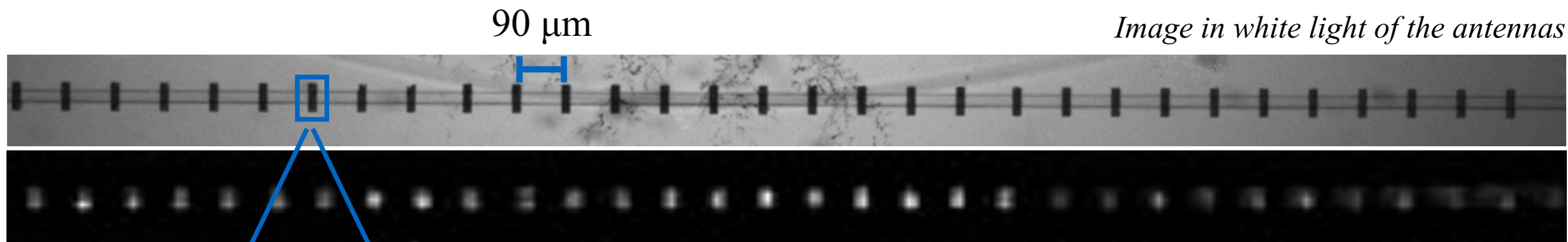
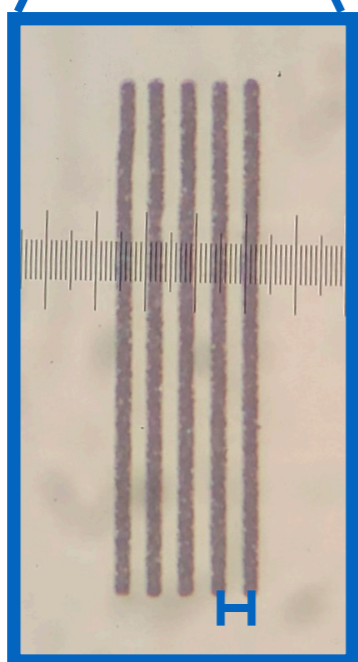
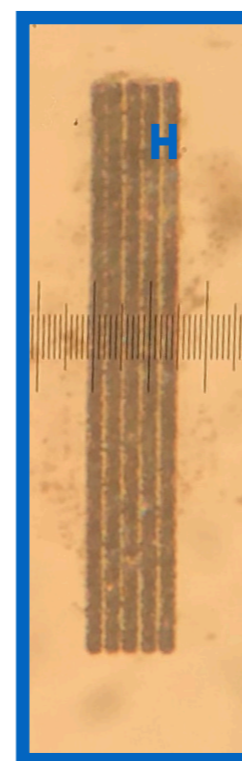
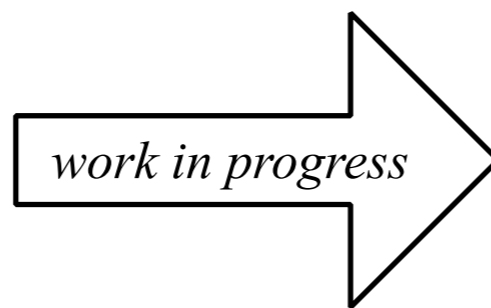


Image in white light of the antennas

Diffraction pattern of the antennas



$$3.2\mu m = 2 \times \frac{\lambda}{n_{eff}}$$



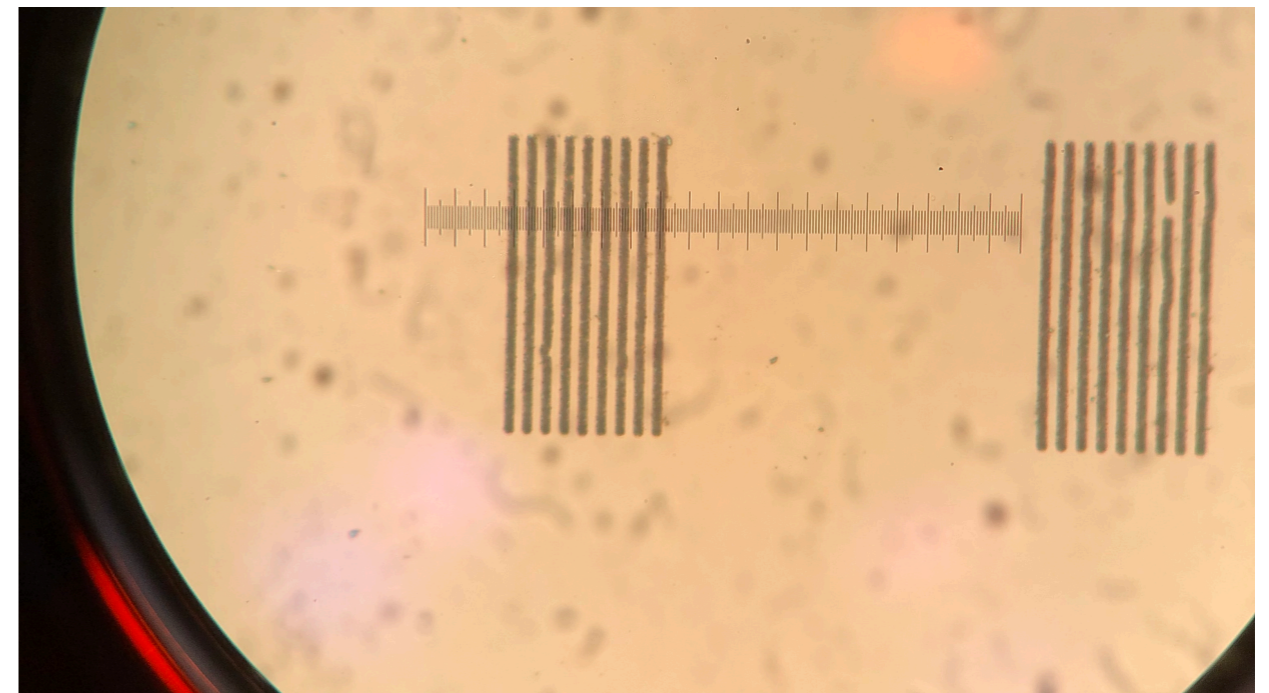
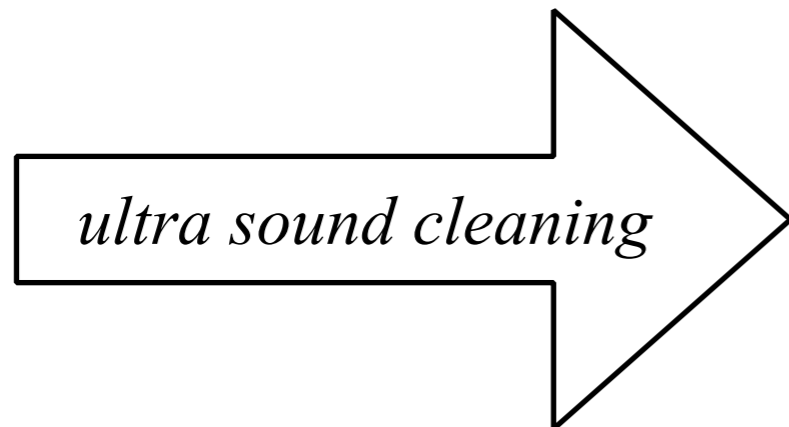
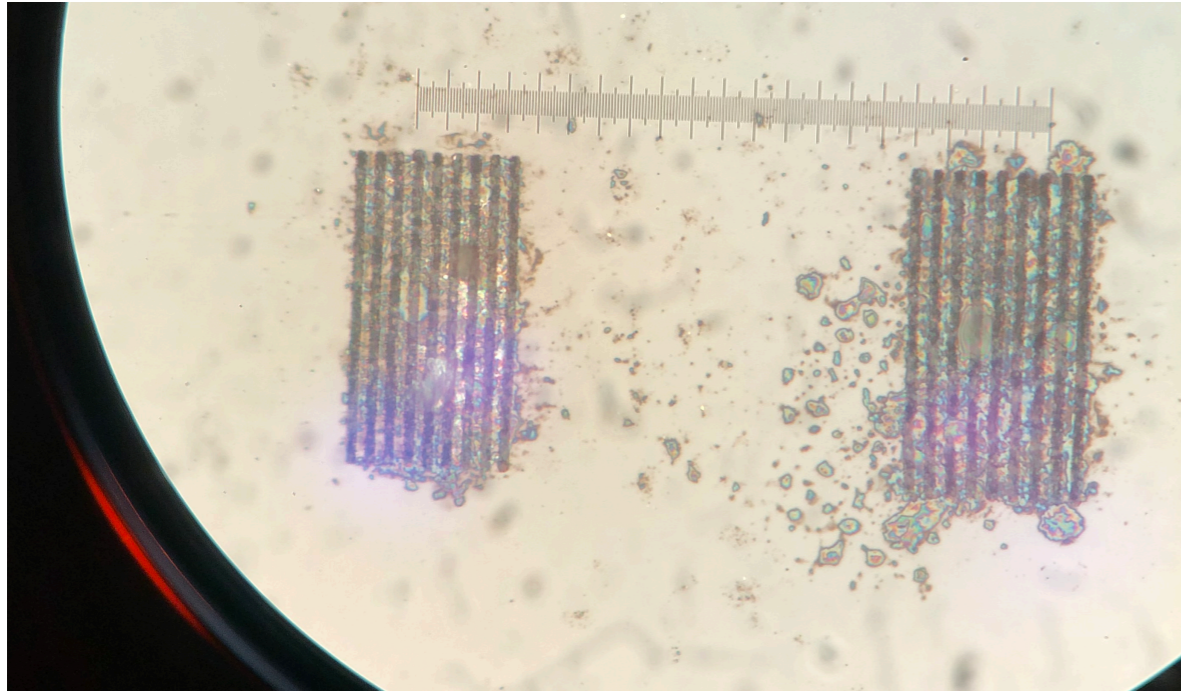
$$1.6\mu m = \frac{\lambda}{n_{eff}}$$

➔ Ideal pitch between the grooves



IV. 3b Make your antennas : Direct Laser Writing

◆ Direct Laser Writing :



Conclusion & Perspectives

Conclusion & perspectives

- Small and compact high resolution spectrometer in the L-band
 - ➔ **embedded applications !** (drones, satellites, rovers...)
- Different technologies explored :
 - Titane Diffusion + FIB
 - Direct Laser writing

L-band (3.4 μm - 4.1 μm):

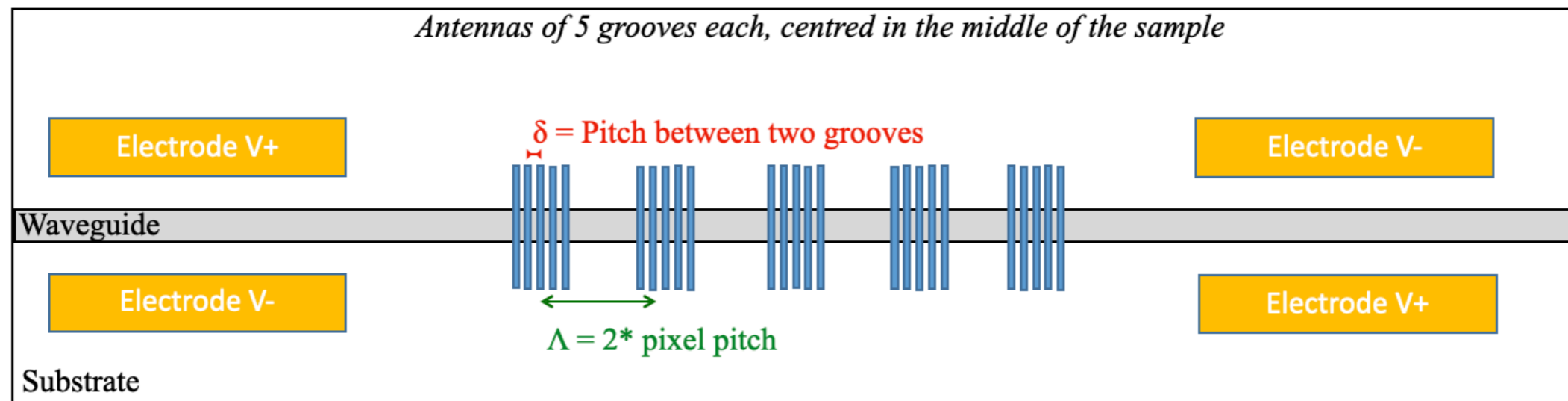
- **R >10 000**
- **λ bandwidth ~ 44 nm**
- **Spatial multiplexing**
- **Temporal modulation**

See also :

- *M. Bonduelle, G. Martin, A. Morand, J. R. Vazquez de Aldana, C. Romero Vázquez, N. Courjal, A. Coste, "Development of mid-IR waveguides to implement high resolution spectrometers in integrated optics," Proc. SPIE 12188, Advances in Optical and Mechanical Technologies for Telescopes and Instrumentation V, 121885R (29 August 2022)*
- *Martin G, Zhang G, Bonduelle M, Allaw R, Callejo M, Morand A, Rodenas A, Cheng G, Stoian R, d'Amico C. Development of a 3D ultrafast laser written near-infrared spectro-interferometer. Opt Lett. 2023 May 1;48(9):2253-2256. doi: 10.1364/OL.484270. PMID: 37126247.*

Conclusion & perspectives

- New samples on the way in both technologies
- Implementation of the EO effect



- New type of detectors directly grown on the antennas (NIT)



See also :

- M. Bonduelle, G. Martin, A. Morand, J. R. Vazquez de Aldana, C. Romero Vázquez, N. Courjal, A. Coste, "Development of mid-IR waveguides to implement high resolution spectrometers in integrated optics," *Proc. SPIE 12188, Advances in Optical and Mechanical Technologies for Telescopes and Instrumentation V*, 121885R (29 August 2022)
- Martin G, Zhang G, Bonduelle M, Allaw R, Callejo M, Morand A, Rodenas A, Cheng G, Stoian R, d'Amico C. Development of a 3D ultrafast laser written near-infrared spectro-interferometer. *Opt Lett.* 2023 May 1;48(9):2253-2256. doi: 10.1364/OL.484270. PMID: 37126247.

Conclusion & perspectives



- Javier R. Vazquez de Aldana
- Carolina Romero Vázquez
- Víctor Arroyo Heras



- Nadège Courjal
- Roland Salut
- Laurent Robert

Thank you very much !

Questions ?

FOCUS

Focal Plane Array for Universe Sensing

Labex Focus : ANR-11-LABX-0013

ASHRA

Action Spécifique Haute Résolution Angulaire

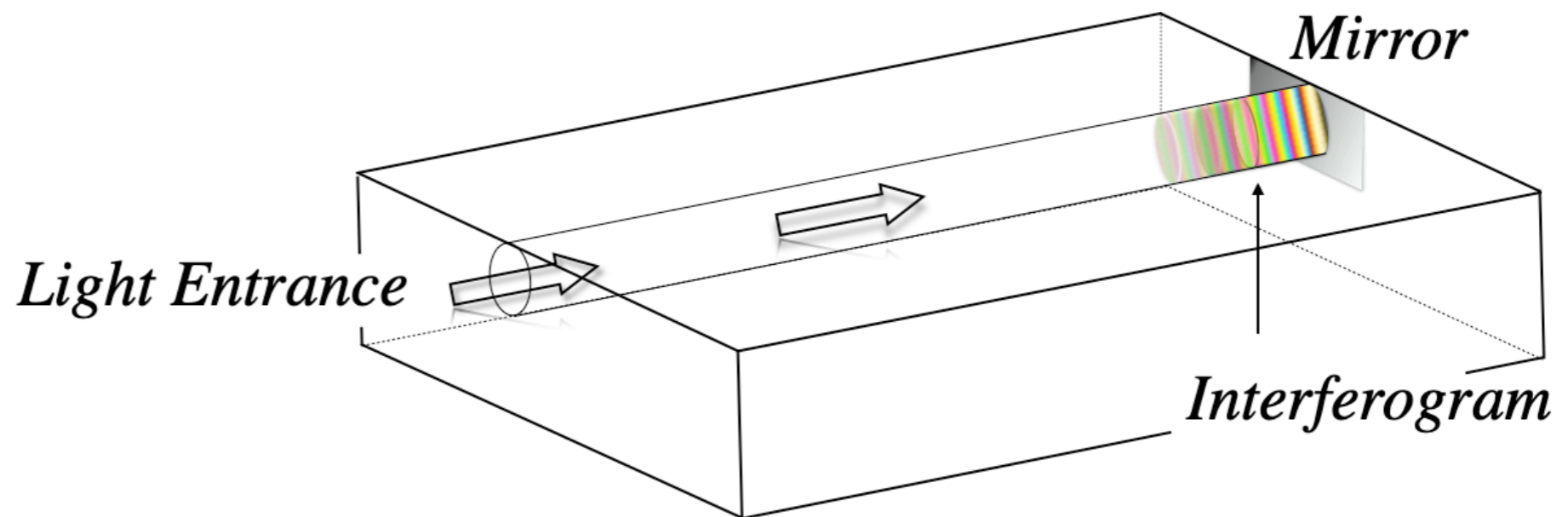
The authors acknowledge funding from ASHRA (Action Spécifique Haute Résolution Angulaire) 320 from INSU- CNRS and LabEx FOCUS ANR-11-LABX-0013

Additional slides

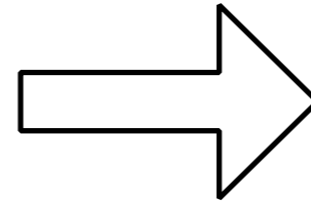
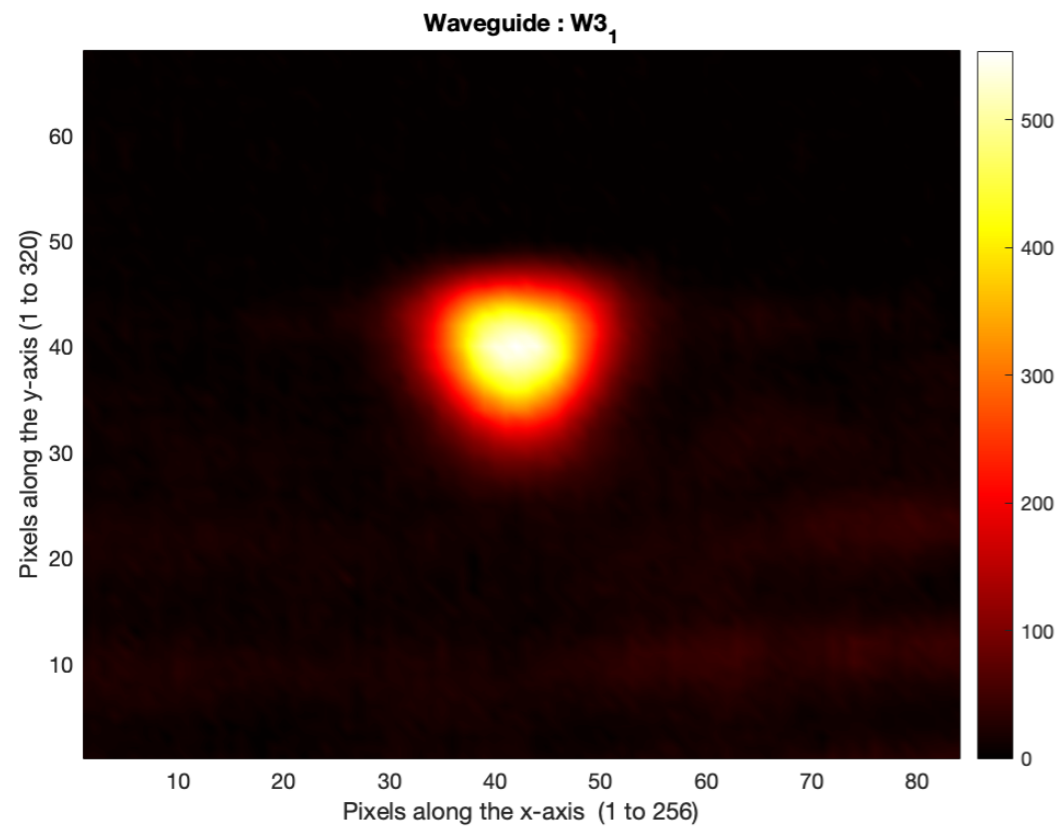
Lippmann configuration

Half-interferogram set near the mirror

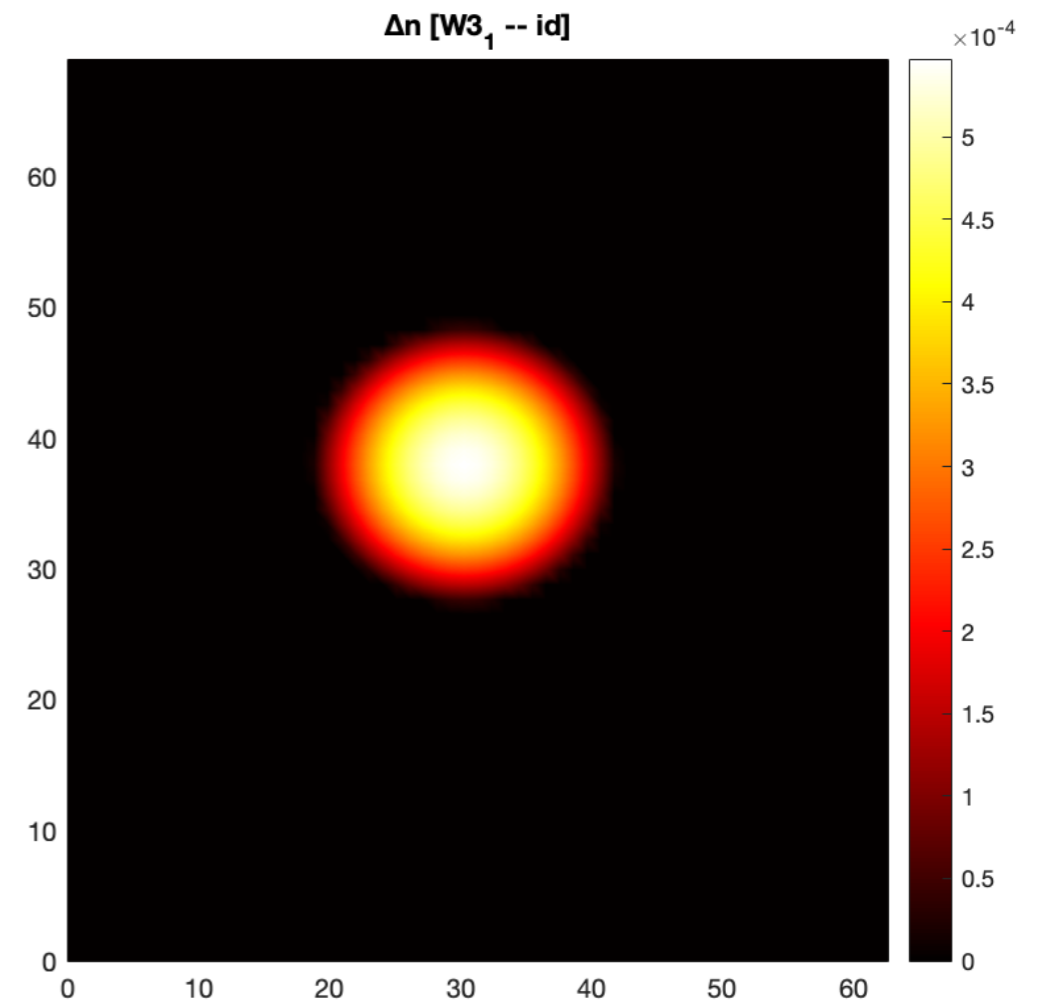
No need for a precise control of the OPD

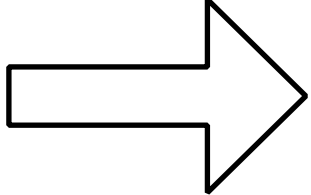


Inverse Helmholtz technique



2D Gaussian




$$\Delta n(x, y) = \frac{-\nabla^2 \sqrt{I(x, y)}}{2n_B k^2 \sqrt{I(x, y)}}$$