

Resonant states in the surface depletion region of p-GaN observed by low energy photoemission

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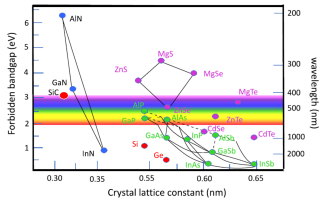
C. Weisbuch

Nicolas M. S. Lopes, Abdullah Alhassan, Yves Lassailly

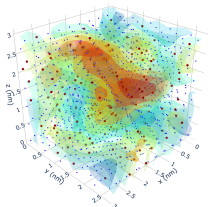
Context

Context for the study of nitride ternary alloys

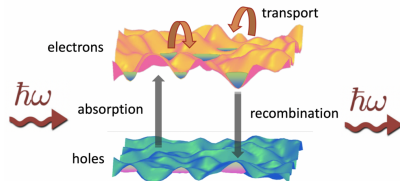
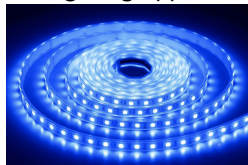
Nitrides = III-N semiconductors
Bandgap engineering



C. Weisbuch, Comptes Rendus Physique, Volume 19, Issue 3 (2018)



LED, lighting applications



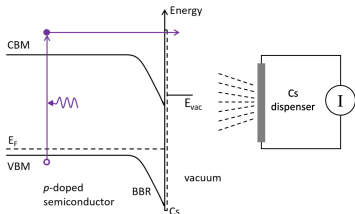
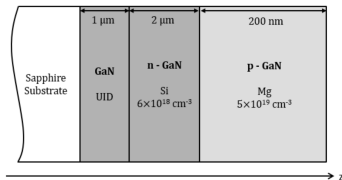
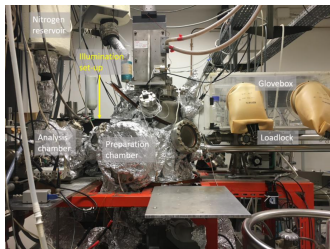
Study of the impact of alloy-disorder
→ **Thursday 10:15 MC20.**

Today's talk is about GaN (no alloy disorder)

- Study of band structure of GaN by low energy photoemission.
- Sub-band gap photoemission.
- **Observation of resonant states in the surface depletion region.**

Low energy photoemission experiment

Experimental setup and basic principles



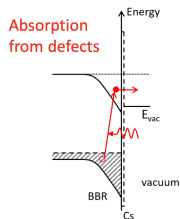
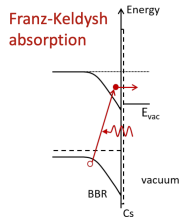
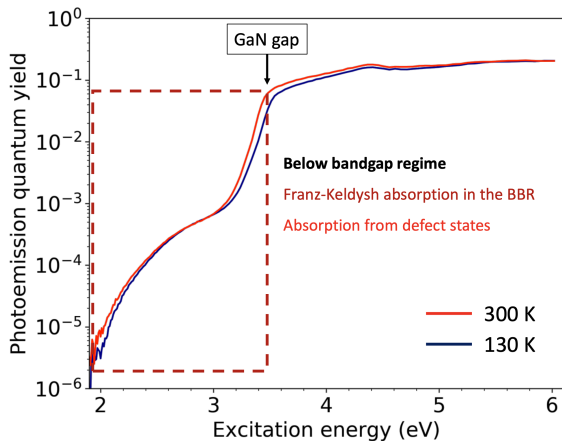
Three-step process

- Photon absorption, creation of e-h pair.
- Electron relaxation and transport in the conduction band.
- Electron transmission through the surface.

Sub-bandgap quantum yield

Measuring the quantum yield

$$\text{Quantum yield} = \frac{\text{number of emitted electrons}}{\text{number of incident photons}}$$

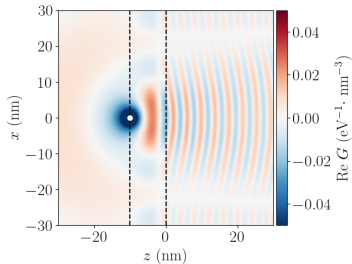
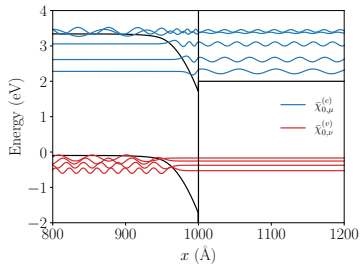
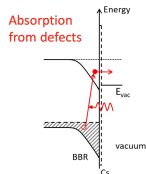
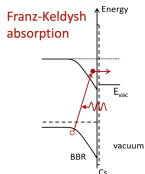


M. Sauty et al., *Phys. Rev. Lett.* 129(21), 216602 (2022)

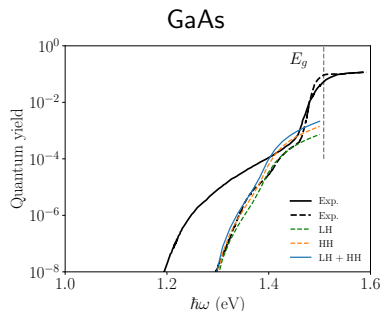
Main assumptions of the model

- Effective mass approximation with interface condition linking the envelope function in the semiconductor to wave function in vacuum.
- Band profile given by classical Poisson equation.
- Relaxation neglected.
- Scattering neglected.
- Recombination neglected.
- Type of initial states: "quasi" Bloch waves, point like states (defect, impurity, surface states, ionized acceptors).

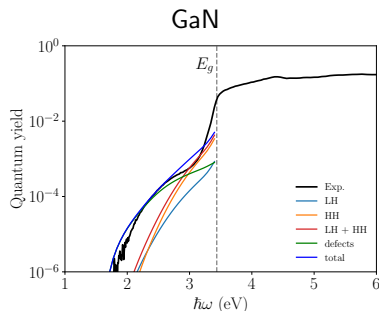
Illustration of the sub-bandgap emission processes



Comparison experiment vs theory



Acceptor concentration 10^{19} cm^{-3}
Bandgap $\approx 1.5 \text{ eV}$
Vacuum level $E_{\text{vac}} - E_{\text{F}} = 1.27 \text{ eV}$.

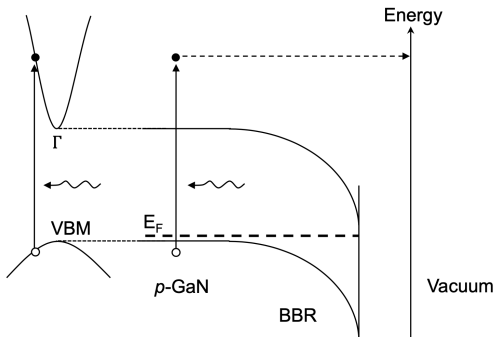
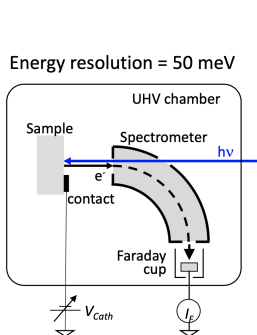


Acceptor concentration $2 \times 10^{20} \text{ cm}^{-3}$
Bandgap $\approx 3.4 \text{ eV}$
Vacuum level $E_{\text{vac}} - E_{\text{F}} = 1.5 \text{ eV}$.

GaAs exp. data from: A. A. Pakhnevich et al. *Spin* 2004 959-963 / GaN exp. data from: M. Sauty et al., *Phys. Rev. Lett.* 129(21), 216602 (2022)

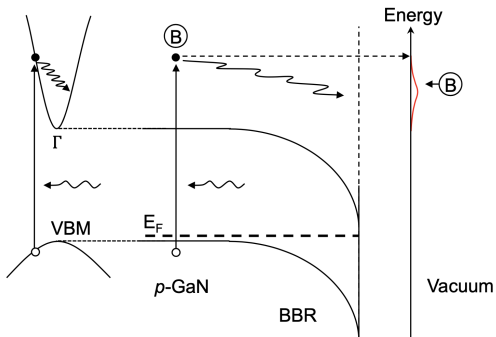
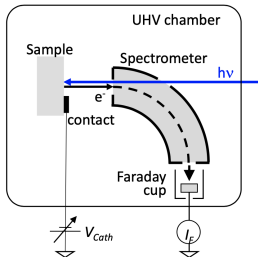
Electron energy distribution

Measuring the energy distribution of the emitted electrons



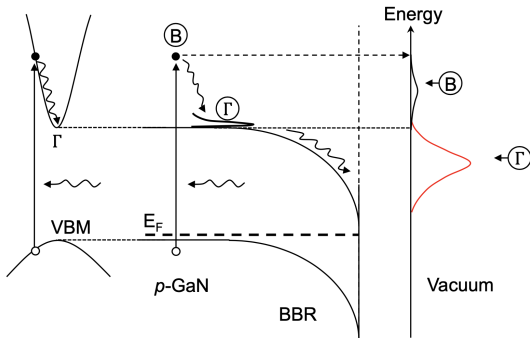
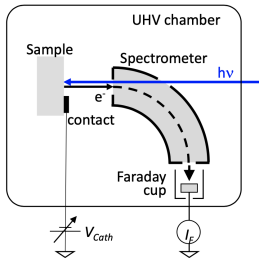
Measuring the energy distribution of the emitted electrons

Energy resolution = 50 meV



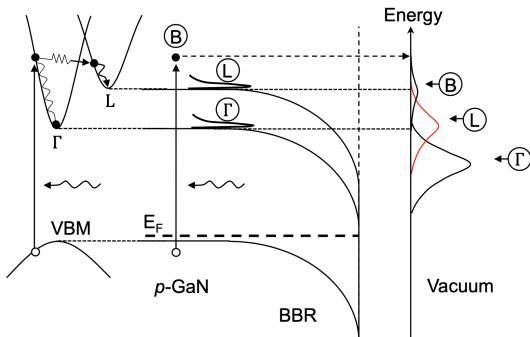
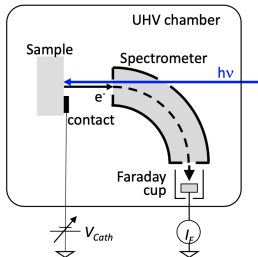
Measuring the energy distribution of the emitted electrons

Energy resolution = 50 meV



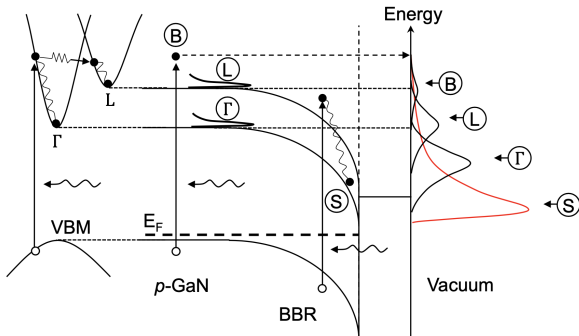
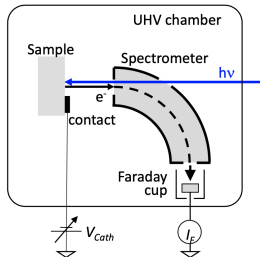
Measuring the energy distribution of the emitted electrons

Energy resolution = 50 meV



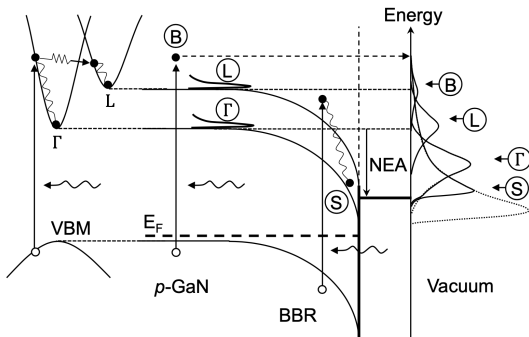
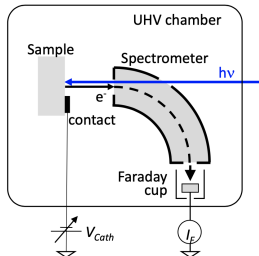
Measuring the energy distribution of the emitted electrons

Energy resolution = 50 meV



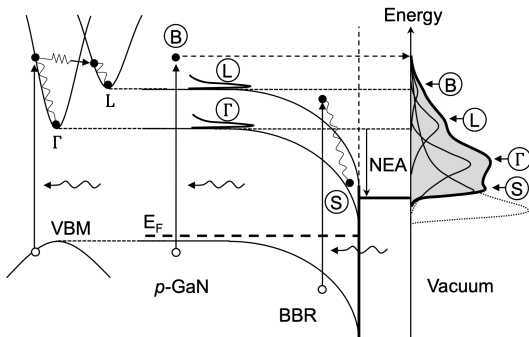
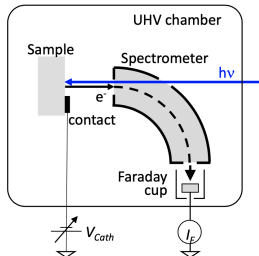
Measuring the energy distribution of the emitted electrons

Energy resolution = 50 meV

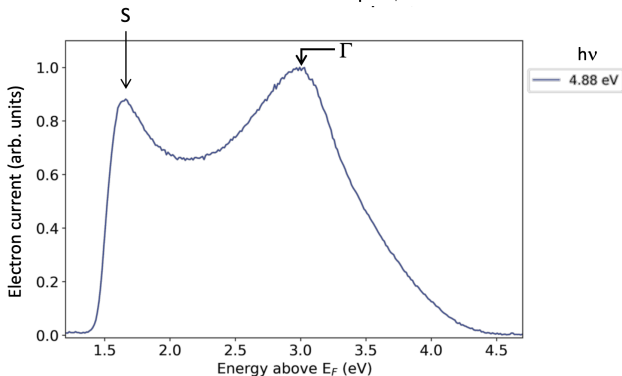
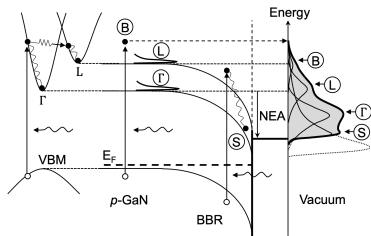


Measuring the energy distribution of the emitted electrons

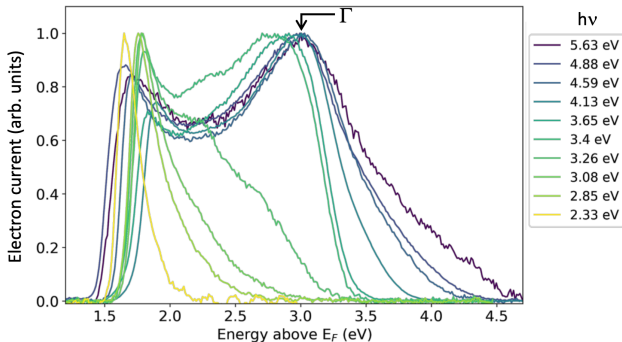
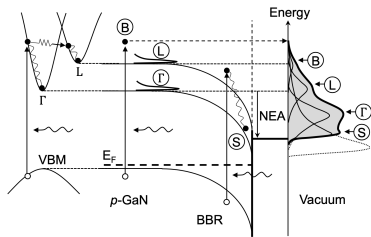
Energy resolution = 50 meV



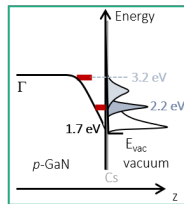
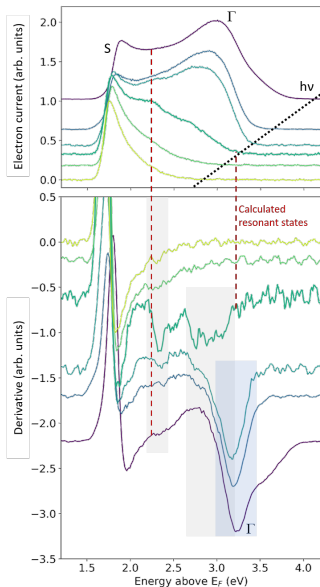
Energy distributions of emitted electrons



Energy distributions of emitted electrons



Signatures of resonant states?



Photon energy

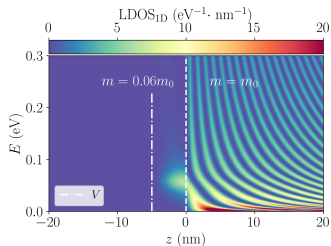
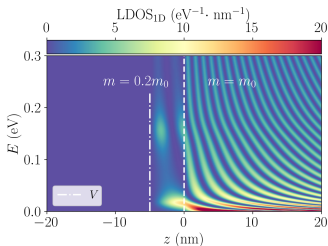
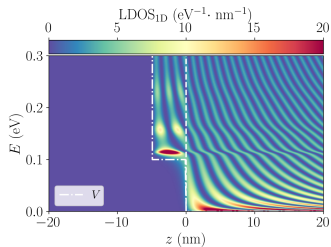
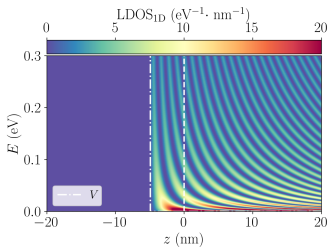
- 4.13 eV
- 3.65 eV
- 3.4 eV
- 3.26 eV
- 3.08 eV
- 2.85 eV

What are resonant states?

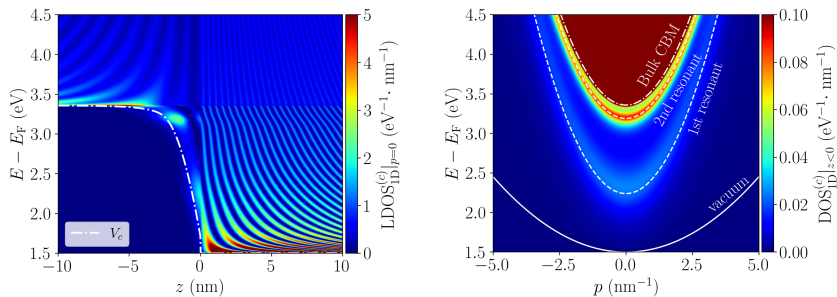
Resonant states

Consider the Schrödinger equation with varying potential *and/or* mass

$$-\frac{\hbar^2}{2} \nabla \cdot \left[\frac{\nabla \psi}{m} \right] + V\psi = E\psi$$

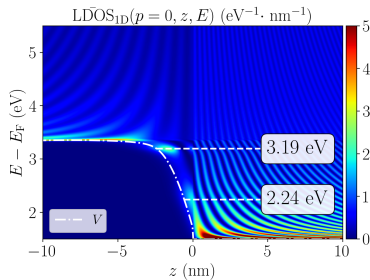
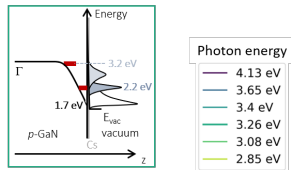
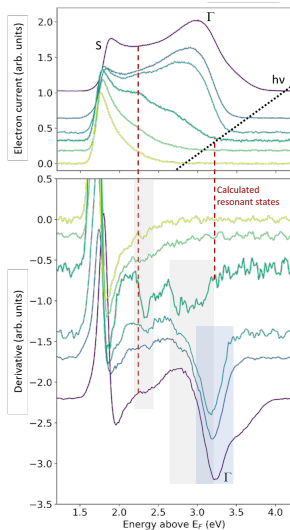


Computed (Local) Density of States



Over-doped p-GaN, $[\text{Mg}] \approx 2 \times 10^{20} \text{ cm}^{-3}$.

Signatures of resonant states?



Thank you for your attention.