



université
PARIS-SACLAY



ATLAS low pile-up data taking and future prospects

Zhibo Wu

24/02/2023

m_W measurement strategy in ATLAS

$$m_T = \sqrt{2p_T^l E_T^{\text{miss}} (1 - \cos(\phi_l - \phi_{E_T^{\text{miss}}}))}$$

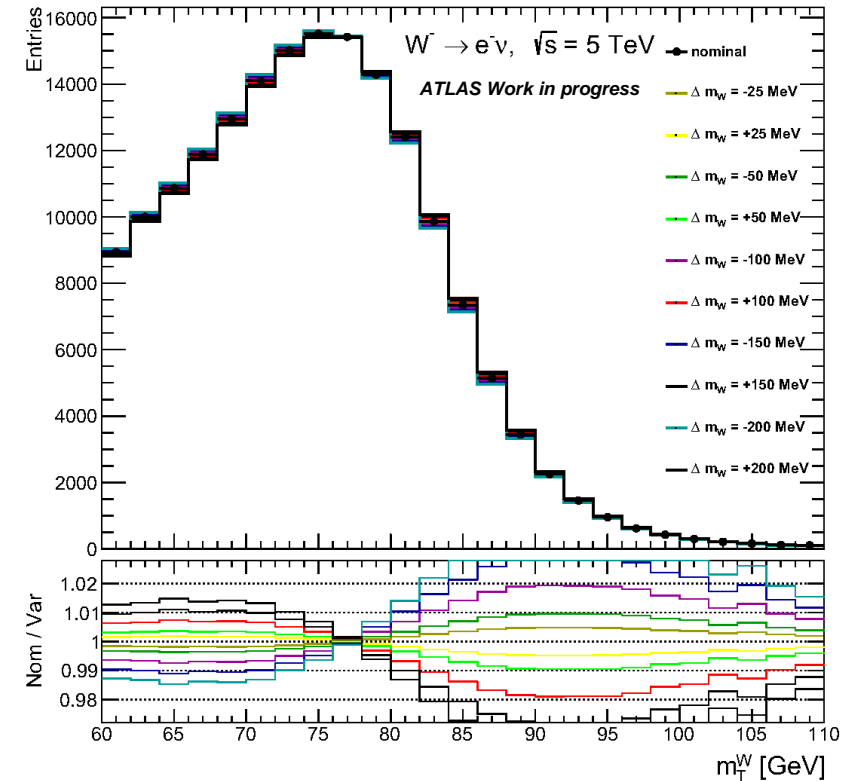
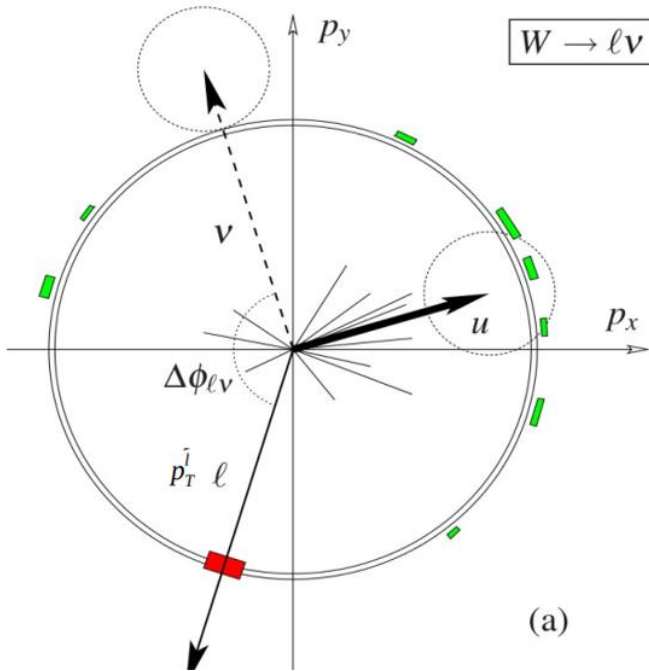
$$\vec{p}_T^{\text{miss}} = -(\vec{p}_T^l + \vec{u}_T) \text{ for the neutrino}$$

- m_T is less affected by p_T^W variations but suffers from pile-up.
- p_T^l is less sensitive to pile-up but more sensitive to p_T^W modelling

The leptonic decay of W boson is associated with the hadronic recoil:

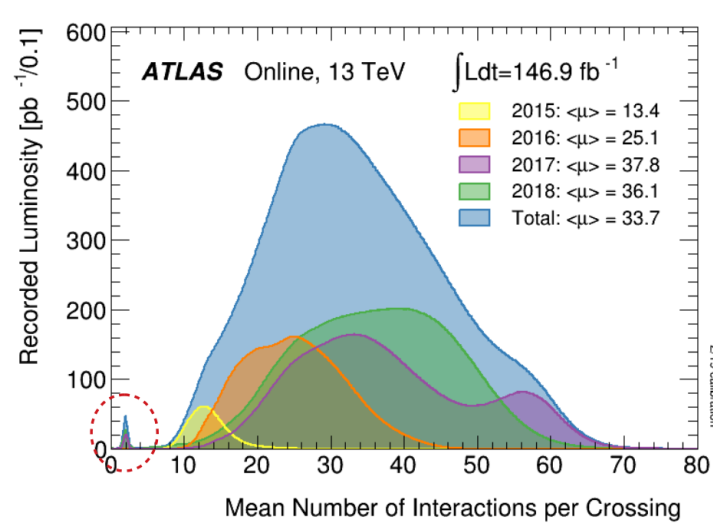
$$\vec{p}_T^V = -\sum \vec{p}_T^{\text{ISR } q,g} = -\vec{u}_T$$

u_T and lepton pseudo-rapidity η_l can be used for categorization to constrain theoretic systematics.

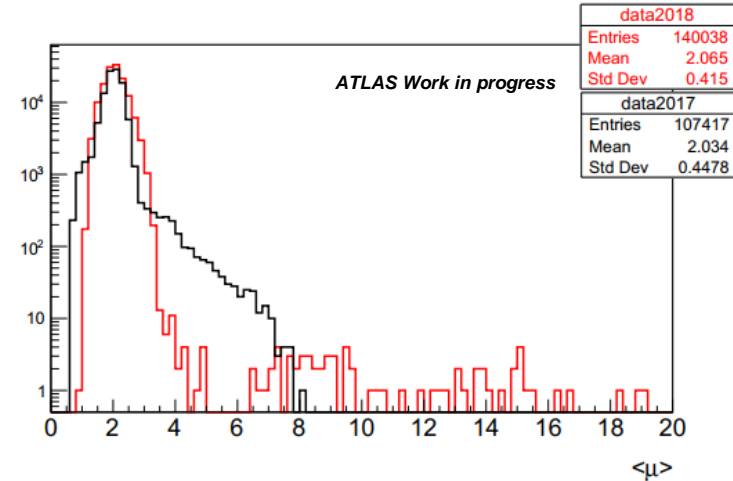


Kinematic distributions with different m_W predictions are obtained from reweighting the resonance of the baseline simulation.

ATLAS Run2 low-mu data



Luminosity and $\langle\mu\rangle$ of 13 TeV dataset



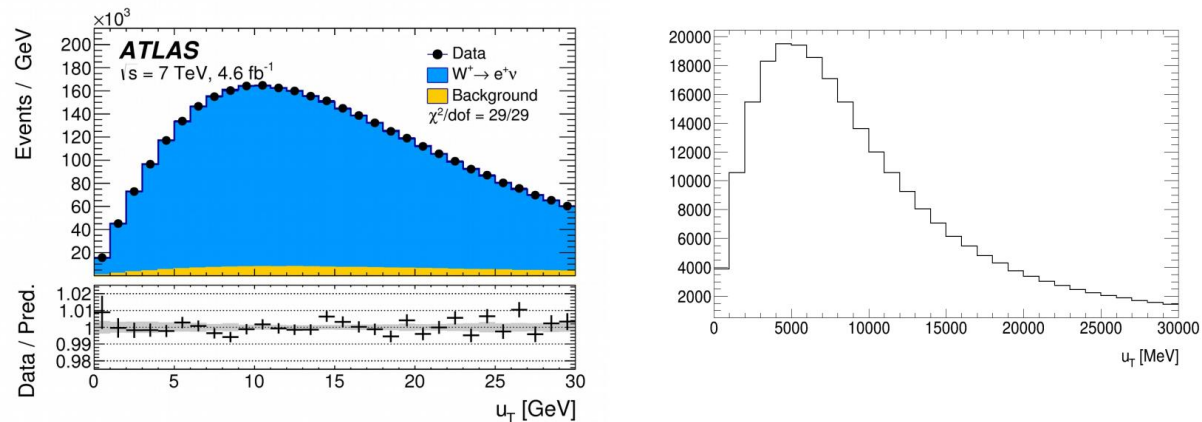
Pile-up condition of 13 TeV low-mu data

	2017, $\sqrt{s}=5.02 \text{ TeV}$	2017+2018, $\sqrt{s}=13 \text{ TeV}$
Luminosity (pb^{-1})	258.4	335.180
W^+ events after selection	811K	2.06M
W^- events after selection	509K	1.59M
Total W events after selection	1.32M	3.64M

8 decay channels with Run2 low-mu datasets: 5/13 TeV, +/-, e/ μ .

Low-mu data for W precision measurement

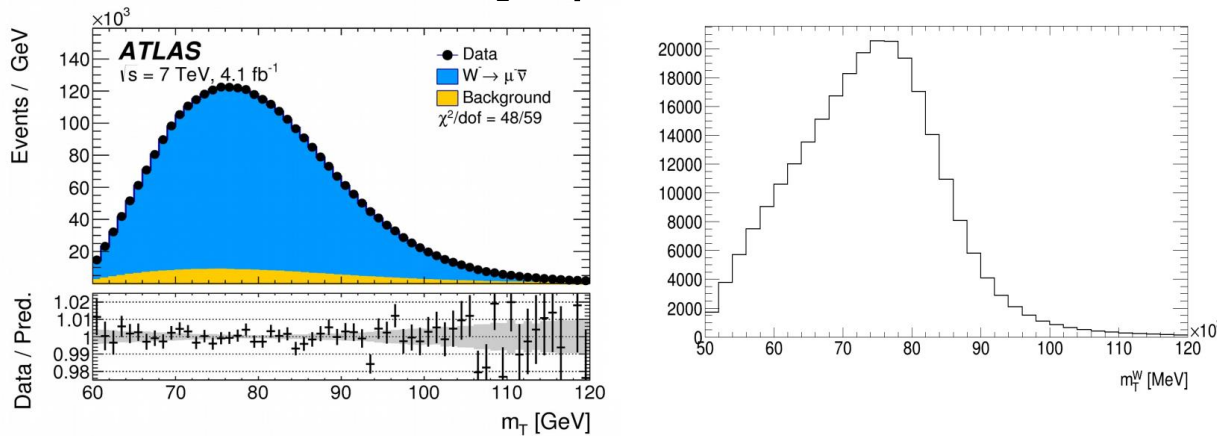
u_T spectrum



$\mu \sim 9$

$\mu \sim 2$

m_T spectrum



$\mu \sim 9$

$\mu \sim 2$

- Low pile-up offers better resolution of the recoil u_T
 \Rightarrow better neutrino E_T^{miss}
 $\Rightarrow m_T$ more sensitive to W mass.
- The direct measurement of p_T^W is possible thanks to the better u_T resolution, which constrains the uncertainty of p_T^W modelling.
- Suppression of multi-jet background.

Overall status of low-mu m_W analysis

Data and signal MC samples are ready.

Experimental & background uncertainties we work on:

- Recoil calibration, lepton SF, lepton calibration.
- Luminosity, background cross-section uncertainties.
- Data-driven soft-QCD background (a.k.a. multijet).

Theoretical uncertainties we work on:

- PDF, spin-correlation, electroweak correction.
- Propagation of p_T^W uncertainties from direct measurement.
- QCD scale variation and the uncertainty between PDF sets.

Current MC samples and event selection

A MC 16 campaign was produced to match the low-mu condition in data:

- W & Z production: Powheg+Pythia8 AZNLO, CT10 PDF
- Top-related background: Powheg+Pythia8
- Di-boson background: Sherpa
- Minimum-bias events: Pythia8 A3 tune with NNPDF2.3LO

Cut	Description
One charged lepton	Exactly one electron or muon
Lepton trigger matched	<ul style="list-style-type: none">• 1 electron, $E_T > 15$ GeV, loose ID.• Or 1 muon, $E_T > 14$ GeV.
Isolation	$P_{tcone20} / \text{Min}(p_T^l, 50\text{GeV}) < 0.1$
Kinematics	$p_T^l > 25$ GeV
	$E_T^{\text{miss}} > 25$ GeV
	$m_T > 50$ GeV
	$u_T < 25$ GeV

Analysis cuts for
signal selection

Electron SF and calibration

- The electron reconstruction SF: extrapolation of the standard high-mu SFs to the low-mu regime applied to both the 5 and 13 TeV datasets.
- The identification SF: measured in-situ separately for the 5 and 13 TeV data.
- Isolation and trigger efficiencies SF: measured in-situ using the 5 and 13 TeV combined datasets.

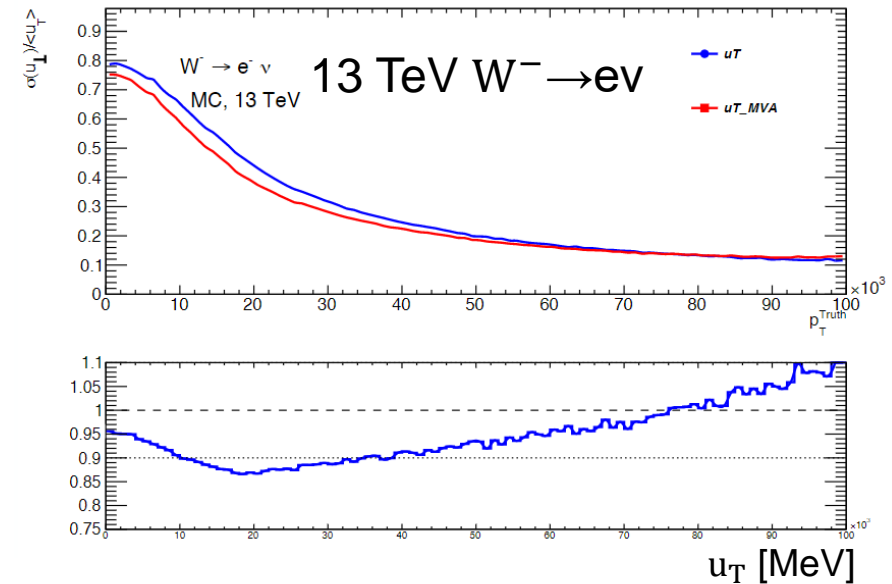
- The global strategy of electron energy calibration follows the high-mu standard calibration methodology.
- Electron energy scale and resolution corrections are measured in-situ using $Z \rightarrow ee$ events from the low-mu dataset.

Muon SF and calibration

- Muon reconstruction and TTVA requirements efficiencies: the in-situ measurement with $Z \rightarrow \mu\mu$ events are compatible with the high-mu measurements.
- The muon trigger and isolation efficiencies: measured in-situ separately for 5 and 13 TeV data.
- Momentum scale and resolution: derived from the high-mu data.
- Sagitta bias correction: derived from 2017 datasets.

Hadronic recoil calibration and correction

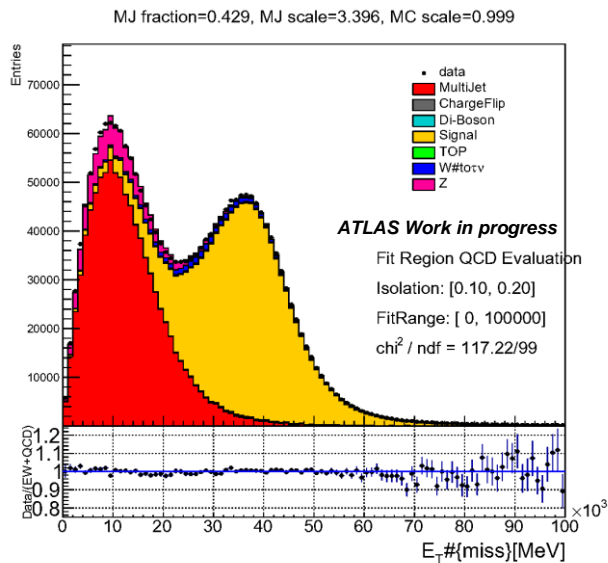
- The calibration is performed in-situ with Z events.
- Uncertainties due to Z \rightarrow W extrapolation are considered.
- 10% improvement in resolution could be achievable with [ML techniques](#).



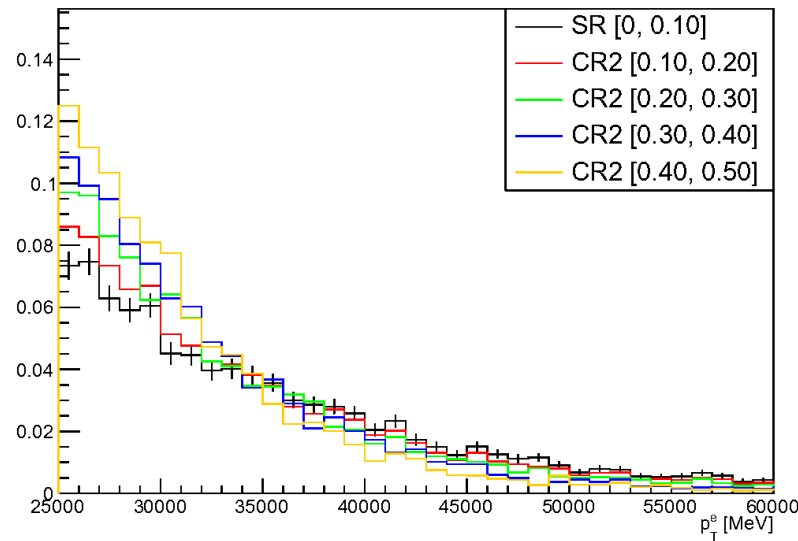
Simulated recoil resolution as a function of u_T . MVA brings a better resolution up to 70 GeV.

Multijet estimation

- MJ sources: heavy quark decay, in-flight pion decay, photon conversion.
- The data-driven ABCD method consists of two parts:
 - (a) Determination of the yield.
 - (b) Derivation of the MJ shape.



MJ fraction fit in p_T^W analysis:
MET in 13 TeV $W^- \rightarrow e\nu$ channel.



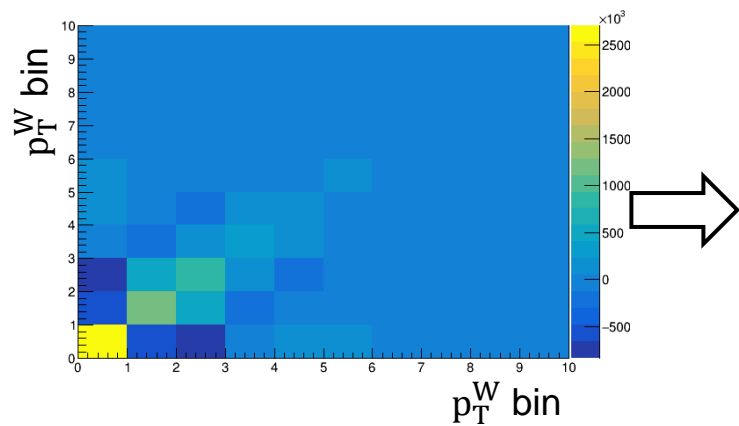
Shape extrapolation in p_T^W analysis:
 p_T^e in 13 TeV $W^- \rightarrow e\nu$ channel.

The same data-driven method is applied to the low- μ W mass measurement as well.

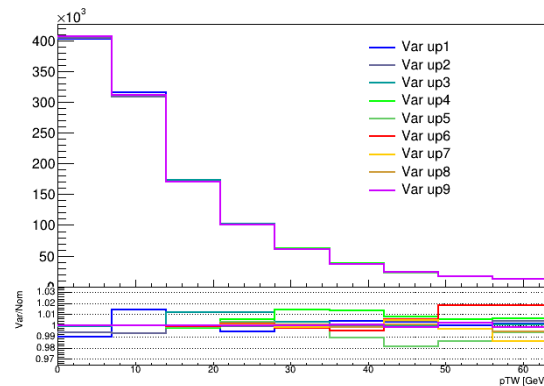
The shape extrapolation is optimized for lower statistics in the control regions after categorization.

Propagation of p_T^W uncertainties (preliminary)

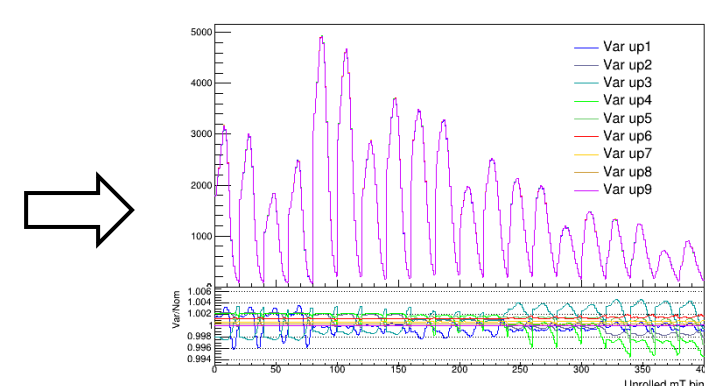
- Low-mu measurement of p_T^W : hopefully the total uncertainty $<2\%$
- The orthogonalized p_T^W uncertainty variations are derived from an eigenvalue decomposition of the covariance matrix at unfolded level.
- The underlying (rapidity inclusive) p_T^W distributions are 1D-reweighted to these unfolded level uncertainty variations, propagating the uncertainty to other kinematic distributions, such as m_T and p_T^l .



Covariance matrix of p_T^W uncertainty at unfolded level



Orthogonal uncertainty variations of p_T^W



Uncertainty variations propagated to m_T (with categorization)

Current status of statistical analysis

- Profile likelihood fit: HistFactory + RooFit
- W mass extraction based on m_T (most sensitive observable at low- μ)
- 20 categories in each of the 8 channels
 - 5 u_T bins: [0, 5, 10, 15, 20, 25] GeV
 - 4 η_e bins: [0, 0.6, 1.2, 1.8, 2.47], skipping the transitional region of EM.
 - 4 η_μ bins: [0, 0.8, 1.4, 2.0, 2.4]

PLH-fit for W mass

Profile likelihood fit used in the on-going Run2 low-mu analysis:

$$P(n_i, a_p | \alpha_{mW}, \alpha_p, \Phi, \lambda) = \prod_{i \in \text{bins}} \text{Pois}(n_i | v_i) \times G(L_0 | \lambda, \Delta_L) \times \prod_{p \in \text{syst.}} f_p(a_p | \alpha_p)$$

Overall signal normalization.

Modelling in Signal Region: The total prediction v_i is described by a POI ($\alpha_{mW} \propto m_W$), along with NPs for background and systematics.

Luminosity constraint.

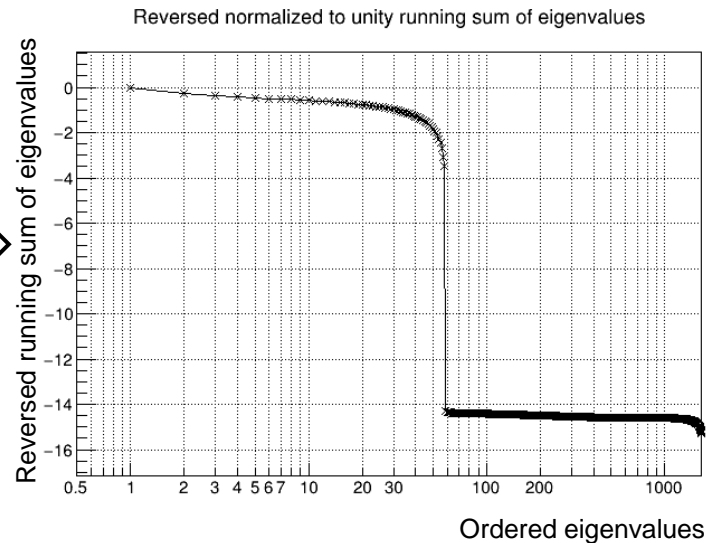
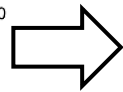
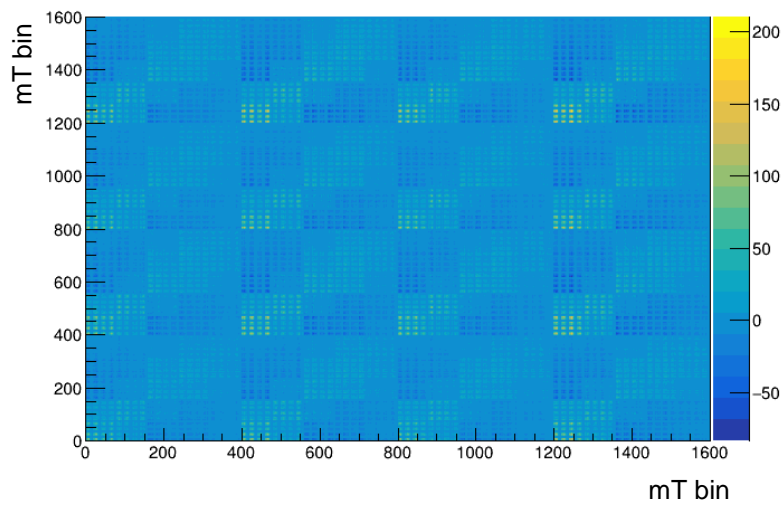
NP constraints according to the auxiliary measurements.

- The joint fit of signal and systematic parameters provides corrections to small biases, plus the power to constrain systematic uncertainties.

Reduction of nuisance parameters

- An eigenvalue decomposition of the covariance matrix is performed for each systematic group: recoil, electron SF, muon SF ...
- The number of orthogonal uncertainty variations are pruned according to the significance of the corresponding eigenvalues.

Covariance matrix: Recoil @13 TeV



The cut-off is set to eigenvalue significance $< 1\%$.


Total number of NPs can be reduced from a few thousands to ~ 500 with this method.

The small variations below the cut-off are taken into account later by summing them up in a bin-by-bin uncorrelated manner.

Handling of MC statistics

- Given the large MC samples, it is possible to avoid the additional NPs introduced by Barlow-Beeston Light prescription.
- Approximation: scale down both data and prediction in the fit.

$$P(n_i, a_p | \alpha_{mW}, \alpha_p, \Phi, \lambda) = \prod_{i \in \text{bins}} \text{Pois}(n_i | v_i) G(L | \lambda, \Delta_L) \prod_{p \in \text{syst.}} f_p(a_p | \alpha_p)$$



$$P'(n_i, a_p | \alpha_{mW}, \alpha_p, \Phi, \lambda) = \prod_{i \in \text{bins}} \text{Pois}(n'_i | v'_i) G(L | \lambda, \Delta_L) \prod_{p \in \text{syst.}} f_p(a_p | \alpha_p)$$

$n'_i/n_i = v'_i/v_i = \frac{(\text{data stat.})^2}{(\text{data stat.})^2 + (\text{MC stat.})^2 + (\text{truncated syst.})^2}$ is calculated independently for each bin.

The small uncertainty variations below the cut-off in the NP reduction can be added back in the denominator.

Uncertainty decomposition for PLH-fit

- For a given probability model, the post-fit value of POI depends on the data and the auxiliary measurements for systematics: $\hat{\alpha}_{mW} = \hat{\alpha}_{mW}(\vec{n}_i, \vec{a}_p)$
- If \vec{n}_i and \vec{a}_p are independent, the total uncertainty of POI at the value inferred by the fit can be decomposed via an uncertainty propagation:

$$\text{Unc.}(\hat{\alpha}_{mW}) = \sqrt{\sum_i \left(\frac{\partial \hat{\alpha}_{mW}}{\partial n_i} \Delta n_i \right)^2 + \sum_p \left(\frac{\partial \hat{\alpha}_{mW}}{\partial a_p} \Delta a_p \right)^2}$$

Δn_i : STDEV of data.
 Δa_p : STDEV of global observable.

Data statistics:

Generate bootstrap toys of data n_i , then calculate the standard deviation of these toys in W mass.

Systematics:

Fluctuate the global observable a_p according to the auxiliary measurement, then calculate the standard deviation of these fluctuations in W mass.

When data statistics is under Gaussian approximation, the uncertainty decomposition can be performed analytically. [Analytical solution worked out by Andres.](#)

Progress in low-mu m_W fit and prospects

- The statistical power of Run2 low-mu data 7~ 8 MeV.
- Total uncertainty from a very preliminary PLH-fit, including the currently available systematics and applying the data scaling: ~ 20 MeV.
- Expecting to have PDF uncertainty 5~6 MeV and p_T^W uncertainty 2~3 MeV.

If 1 fb^{-1} of low-mu data taking is possible during Run3, we will be hoping for a total uncertainty of ~ 10 MeV.

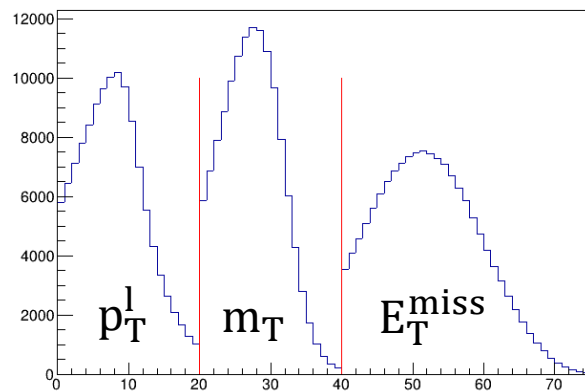
More ideas about PLH-fit

Combination of multiple statistically correlated observables in a PLH-fit:

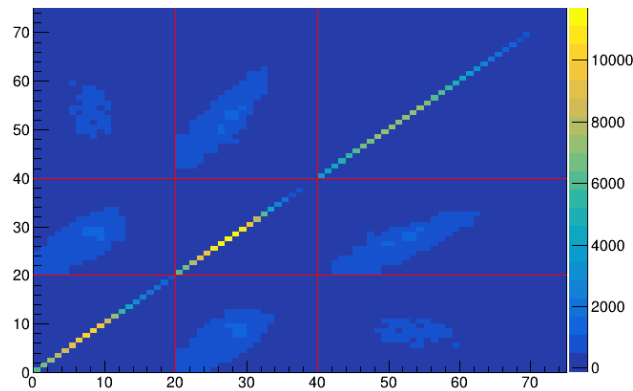
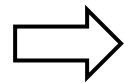
- Run bootstrap toys of data and global observables. This method of combination has been studied in the 7 TeV re-analysis.
- Fit a linear combination of observables.

$$\text{e.g. } S_{mT} = (1 - \alpha - \beta) \times m_T + \alpha \times p_T^l + \beta \times E_T^{\text{miss}}$$

- ‘Data rotation’ (being studied by Andres).



Concatenated kinematic distribution



Concatenated statistical covariance matrix

(a) The concatenated statistical covariance matrix is diagonalized via $C_{\text{stat.}} = R \cdot D_{\text{diag}} \cdot R^T$.

(b) For concatenated kinematic distribution H , $H' = R \cdot H$ becomes bin-by-bin uncorrelated.

Summary

- The W mass measurement using ATLAS Run2 low-mu data is going on. A profile likelihood fit is introduced to infer W mass from data. A few approaches have been considered for helping the PLH-fit benefit from the combination of statistically correlated observables.
- We are working on the systematic uncertainties for low-mu W mass analysis. The statistical and systematical uncertainties in the PLH-fit will be presented by a dedicated uncertainty decomposition.
- For a preliminary study, p_T^W uncertainties from the measurement will be propagated to the W mass fit. A final strategy for p_T^W uncertainties has to be decided later (parton shower tuning?).
- Long low-mu data-taking in Run3: aiming at ~ 10 MeV precision for a single ATLAS measurement.

Backup

The unfolding procedures: $u_T \rightarrow p_T^W$

- Due to the neutrino in the decay product, p_T^W can only be inferred from recoil u_T via $\vec{p}_T^W + \vec{u}_T = 0$.
- The reco-level u_T goes through **Iterative Bayesian Unfolding** to correct for the detector effects, revealing the true underlying p_T^W distribution.
- The purity and efficiency corrections are accounted for in the unfolding procedure.

Uncertainty propagation for p_T^W unfolding

- **Data statistics** $\tilde{U}_j^\alpha = \sum_i U_{ij}(D_i^\alpha - B_i)$

Fluctuate the data -> calculate the spread at unfolded level.

- **MC statistics** $\tilde{U}_j^\alpha = \sum_i U_{ij}^\alpha(D_i - B_i)$

Fluctuate the migration matrix, efficiency and purity corrections
-> calculate the spread at unfolded level.

- **Experimental systematics** $\tilde{U}_j^\alpha = \sum_i U_{ij}^\alpha(D_i - B_i)$

Vary the migration matrix, efficiency and purity corrections.

- **Background systematics** $\tilde{U}_j^\alpha = \sum_i U_{ij}(D_i - B_i^\alpha)$

Vary the estimation of background.

p_T^W reweighting

- To correct for the p_T^W mis-modelling, the data/MC agreement is optimized at reco-level by reweighting the truth-level p_T^W distribution.
- Once the reweighting function is determined, it will be used to correct the p_T^W distribution in the MC. The W mass analysis uses the same correction of underlying p_T^W distribution.

Optimization of reco-level distribution.

$$\chi^2 = \sum_{ij} \Delta_i^T C_{ij}^{-1} \Delta_j, \quad \begin{array}{l} i: \text{reco-level bin} \\ j: \text{truth level bin} \end{array}$$

$$\Delta_i = (D_i - B_i) - \sum_j R_{ij} \times (w_T(p_T^W))_j$$

$$(w_T(p_T^W))_j = N_j \left[\left(1 + a p_{T,j}^W + b p_{T,j}^W{}^2 \right) * \left(1 - c + c * r_{\text{NNPDF/CT10}}(p_{T,j}^W) \right) \right]$$

Bias uncertainties are assigned to the p_T^W unfolding to account for:

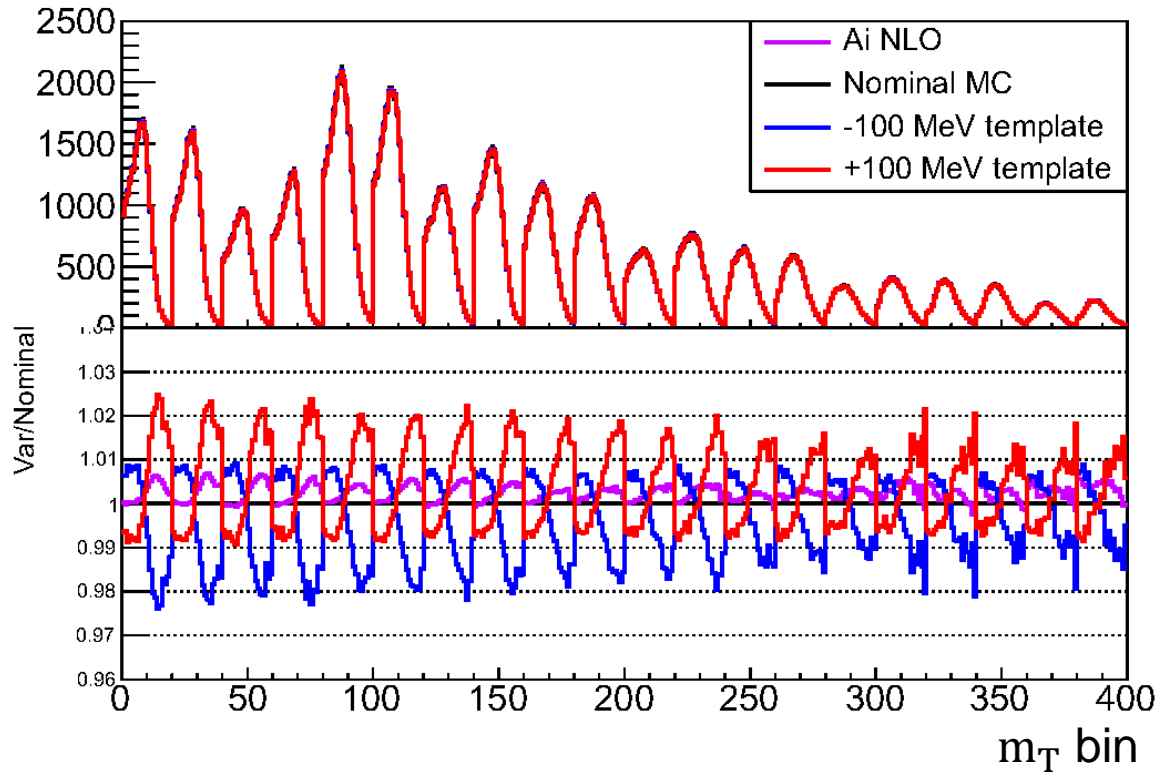
- (a) The uncertainty in the fit of reweighting function.
- (b) The arbitrariness of the parameterization form of the reweighting function.
- (c) The possible mis-modelling of (p_T^W, y) correlation.

QCD baseline model and uncertainties

- W polarization: A_i 's are calculated by DYTURBO CT10NNLO PDF.
- W rapidity: Taken from Powheg at NLO accuracy.
- p_T^W : The underlying p_T^W based on Powheg+Pythia8 is reweighted according to the strategy in p_T^W measurement. Before a parton shower tuning is available, only the inclusive rapidity variations of p_T^W can be obtained from the direct measurement.
- To-dos: fixed-order NNLO for rapidity, QCD scale variations, variation of PDF uncertainties between PDF sets, decorrelate PDF uncertainty from A_i 's and so.

Spin-correlation uncertainty

A_i 's@NLO will be taken as the uncertainty variation.



$60 < m_T < 100$ GeV for 5 TeV $W^- \rightarrow e\nu$ channel,
categorized in u_T and η_l .

- Needs to further validate if the NNLO vs NLO deviation can be profiled as a systematic variation to represent spin-correlation uncertainty in the statistical analysis.
- Alternative plan:
Use offset method to assess spin-correlation uncertainty (no profiling).

EW correction: baseline and uncertainties

- Baseline QED FSR: Powheg+Pythia8 interfaced to PHOTOS++.
- Systematics:
 - Uncertainty in QED FSR correction.
 - Pure weak and IFI corrections.
 - Final-state lepton pair production.
- **Winhac** will be used to get preliminary numbers for EW uncertainties. The generator-level distributions will be folded to detector-level.
- Powheg EW, an NLO QCD+EW generator, is an available tool for this. Careful validation might be needed for W mass.