

ETH zürich

IPA



Novel analysis methods & techniques in CMS

Alessandro Calandri - ETH Zürich
on behalf of the CMS Collaboration

Higgs Hunting

Results and prospects in the electroweak symmetry breaking sector

2023 September 11-13
Orsay-Paris, France



www.higgshunting.fr



From Edouard Manet
Un bar aux Folies-Bergère
(1881-1882)

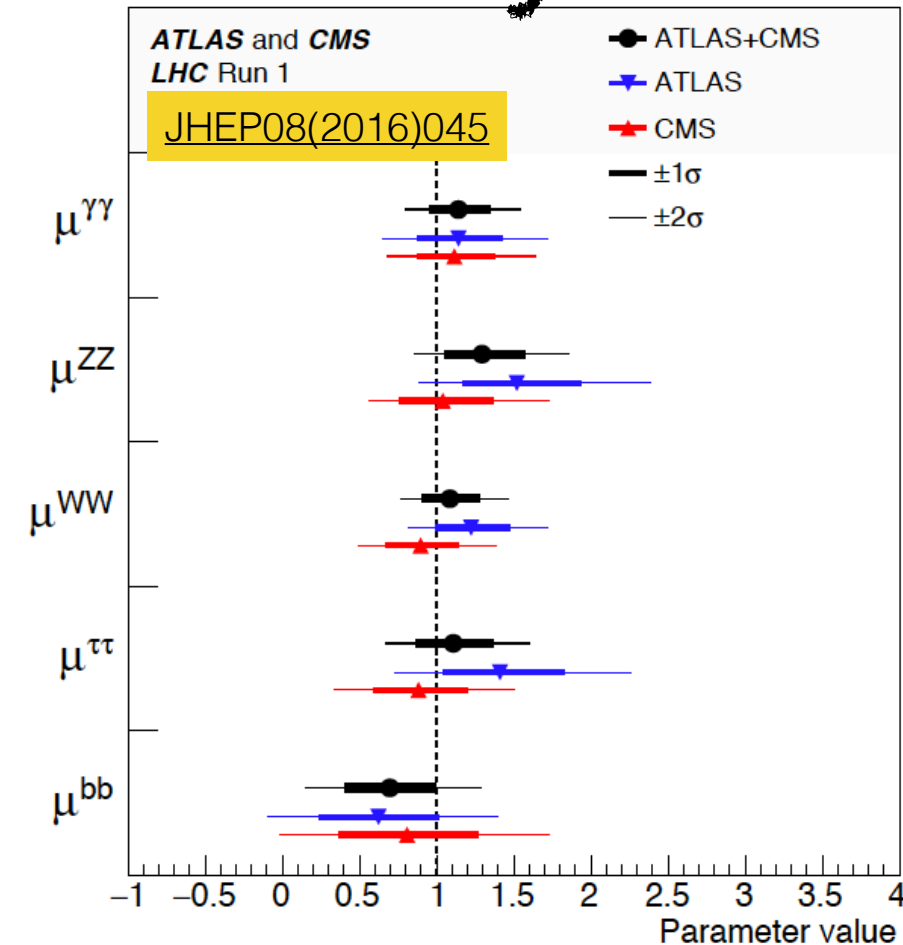
Higgs Hunting 2023 - September 11-13, Orsay-Paris

10+ years after the Higgs Boson discovery

2

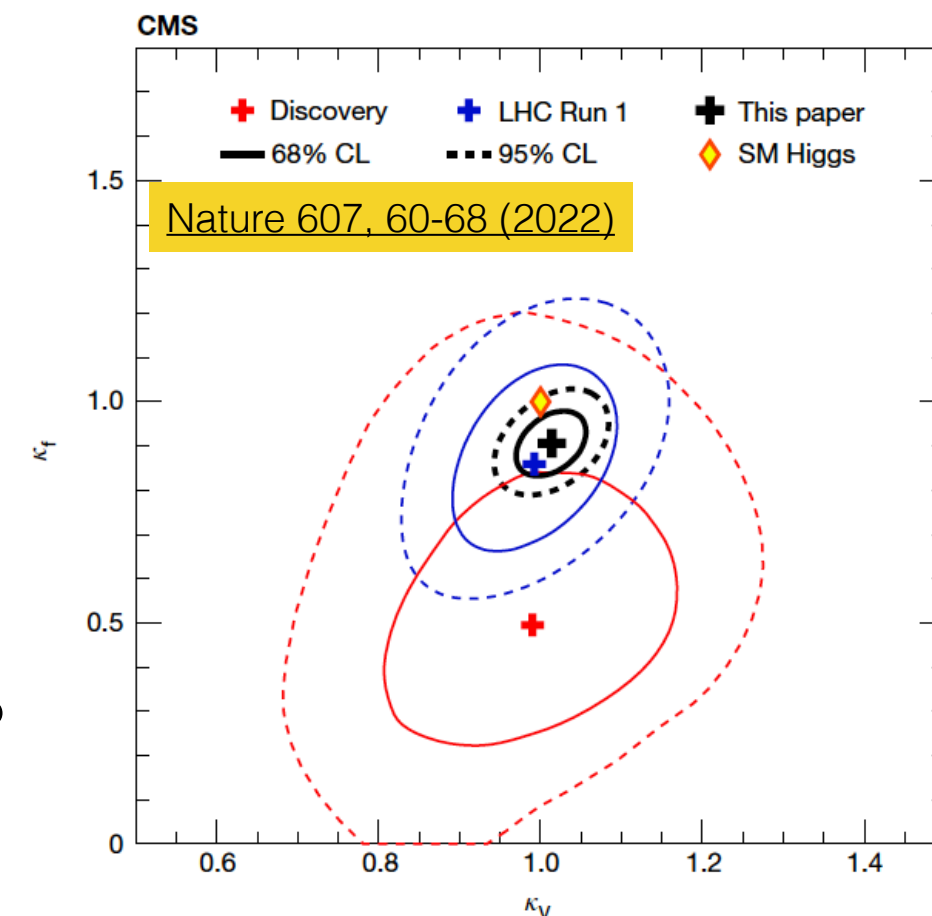
➡ **Higgs discovery using 5 ($H \rightarrow ZZ \rightarrow 4l$, $H \rightarrow \gamma\gamma$, $H \rightarrow WW \rightarrow l\nu$, $H \rightarrow \tau\tau$, $VH \rightarrow bb$) main decay channels and cut-based analyses**

- ▶ signal extraction performed on simple/physics observables (invariant mass, transverse mass, ...)
- ▶ results provided inclusively in production modes, mostly dominated by gluon-fusion



➡ **10 years later in the 2022 ATLAS/CMS Nature paper measurement techniques have evolved significantly**

- ▶ ML techniques used as backbone of Higgs analyses to perform several tasks (object reconstruction, calibration, signal extraction)
- ▶ cross-section measured in several production modes with excellent precision (6% inclusive cross-section)
- ▶ accessed several kinematic regions with dedicated differential and coupling measurements, STXS
- ▶ evolution of measurements: from inclusive signal strength to STXS, from kappa-framework to EFT to reduce model dependency



➡ **Highlights of analysis technique developments/novel approaches for object performance, signal extraction, background predictions for CMS Higgs physics**

▶ Object performance

- improvements of jet flavour tagging and impact on full Run 2 Higgs legacy analyses
- accessing new Higgs topologies: merged jet topologies employing jet substructure techniques
- advancements in calibration techniques especially for jet flavour tagging in boosted topologies

▶ Improvements on object precision

- per-event resolution and energy regressions (backup material)
- mitigating systematics uncertainties due to mismodeling in simulation

▶ Evolution on signal extraction methods and background modelling

- ML techniques in Higgs analysis strategies
- improved background modeling (motivations, the $t\bar{t}H \rightarrow b\bar{b}$ example and going beyond CMS)

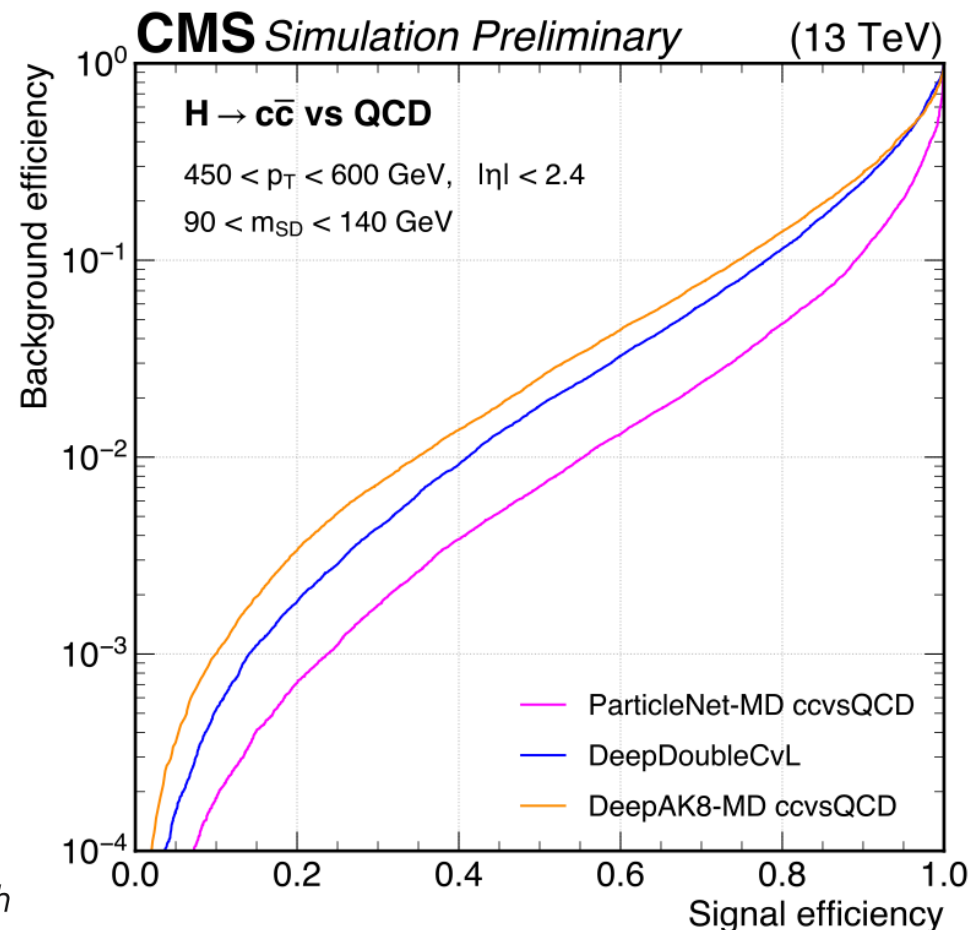
▶ several advancements on Higgs framework interpretations: STXS, differentials, enhancing sensitivity to anomalous/EFT (not discussed in this talk)

Jet tagging techniques and impact on Higgs measurements 4

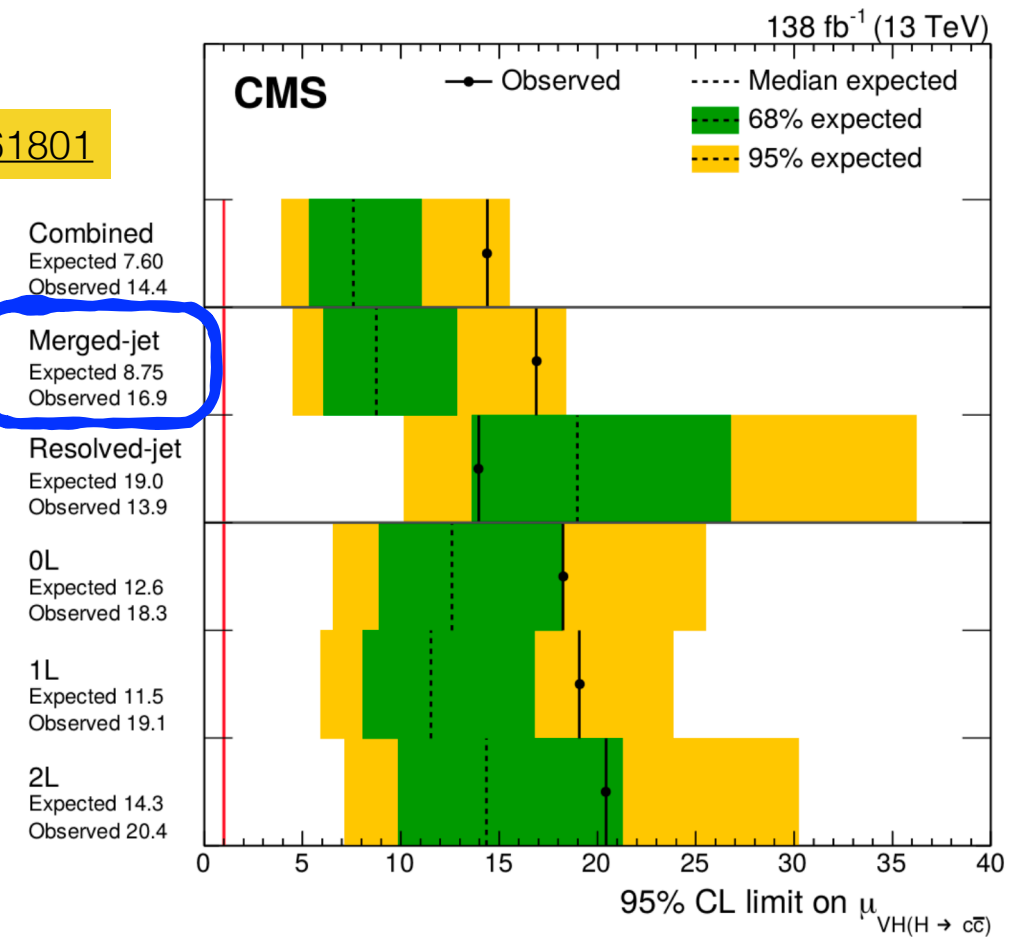
➡ **Flavour tagging (FT) represents a critical feature for Higgs to heavy-flavour - change of paradigm for CMS Run 2 flavour tagging has paid off**

- ▶ from high-level features (IP, SV, soft-leptons) to low-level observables (PF candidates)
- ▶ DeepCSV/DeepFlavour for resolved (RNN); ParticleNet for boosted (point cloud architecture)
- ▶ more ideas and algorithms to further improve classification performance/robustness [CMS DP-2022/050, CMS DP-2022/049, CMS DP-2022/051, CMS-DP-2023-021]
- ▶ DNN-based (PN architecture) jet mass regression to predict AK15 mass with highest resolution (15-20% improvement on expected limit)

➡ **Significant leap in sensitivity in $H \rightarrow c\bar{c}$ thanks to ParticleNet tagger performance and merged analysis - most stringent constraints on Higgs-charm Yukawa at LHC**



Phys. Rev. Lett. 131, 061801



Accessing new topologies - merged jet and substructures 5

➡ Significant advancements on understanding jet substructure in boosted Higgs topologies

- ▶ from calorimeter-based energy to inclusion of PF
- ▶ merged jet reconstruction robust against pile-up - pile-up mitigation techniques (PUPPI)

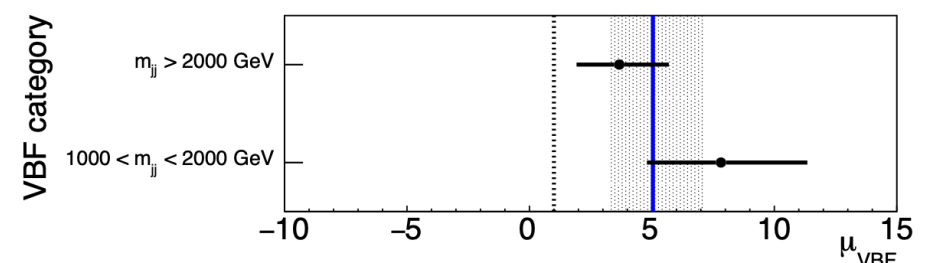
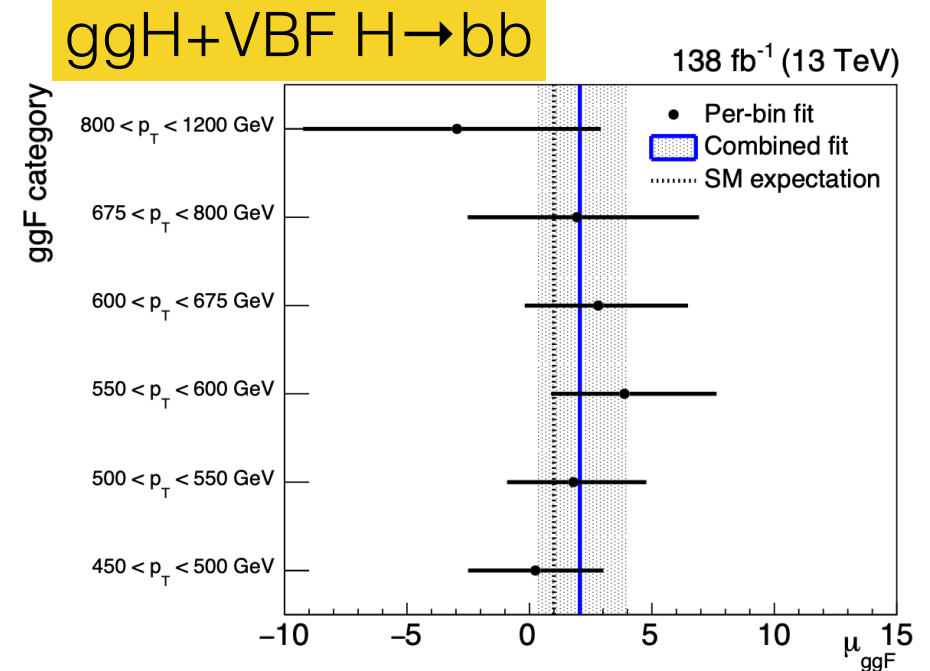
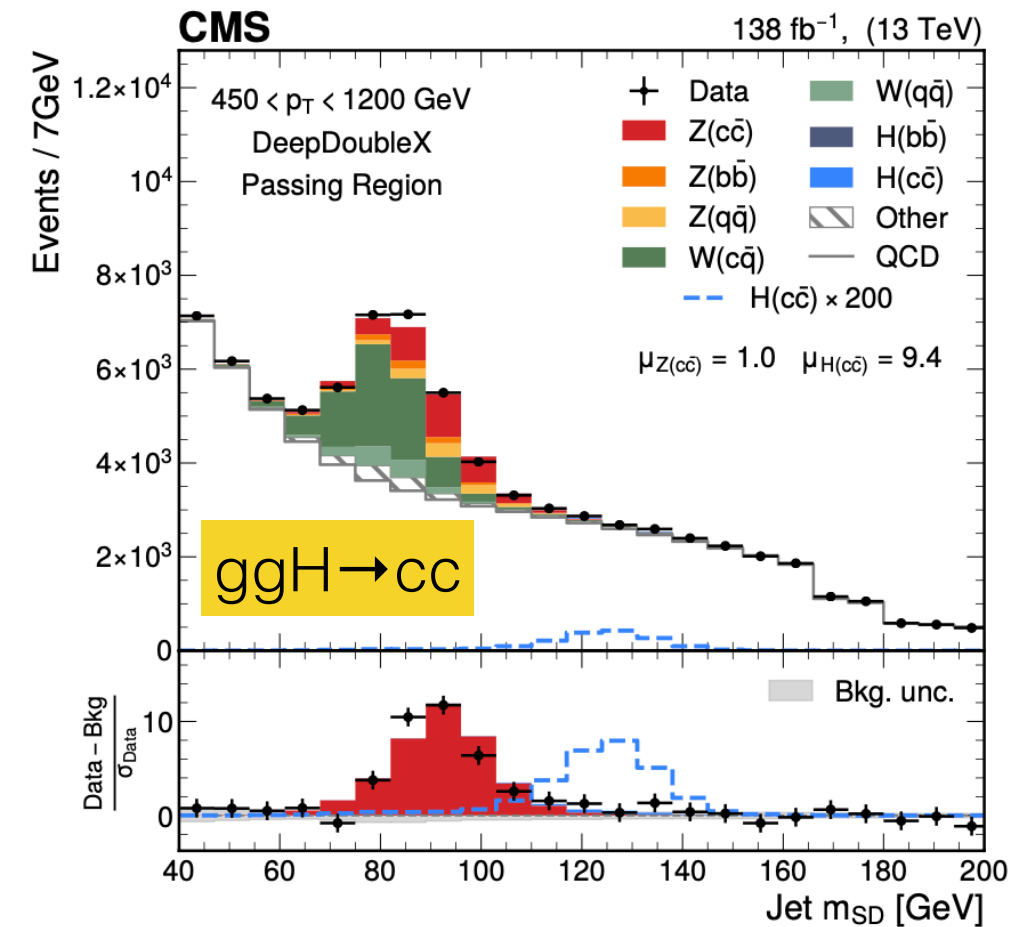
➡ Crucial developments on grooming techniques for merged jet reconstruction

- ▶ enhancing soft-drop mass scale and resolution accuracy by removing soft and wide angle radiation in merged jet

➡ Large gain using more complex DNN for double b-tagging in large-radius jets (DeepDoubleX)

➡ Successfully employed in full Run 2 boosted Hbb/Hcc analyses

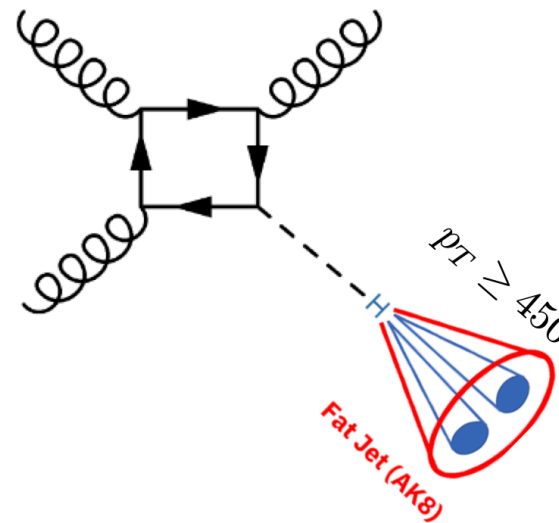
- ▶ accessing high pt Higgs spectrum and sensitivity to gluon-fusion and vector-boson fusion production modes (Hbb)
- ▶ excellent sensitivity for merged/substructure topologies



Jet tagging calibrations for boosted topologies

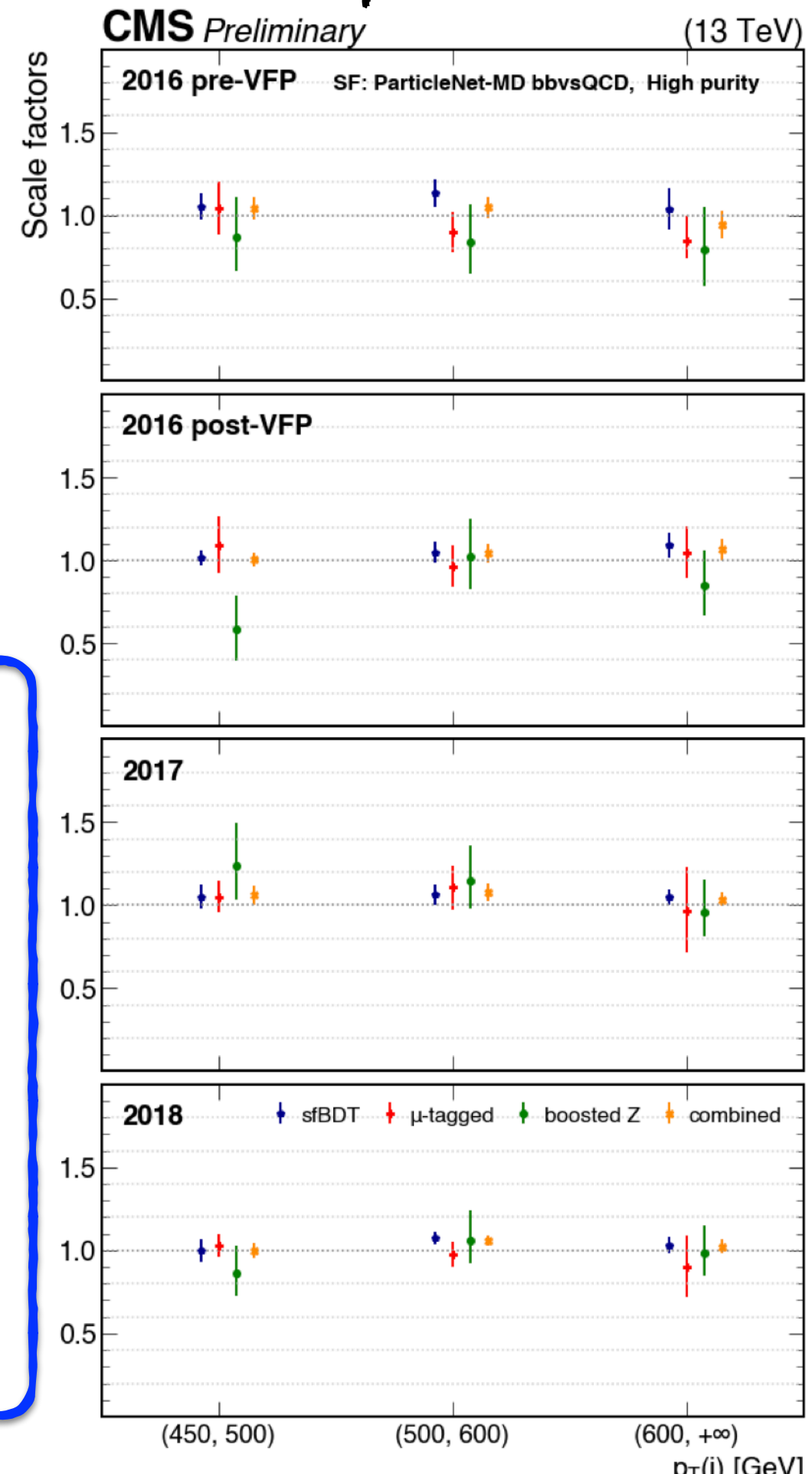
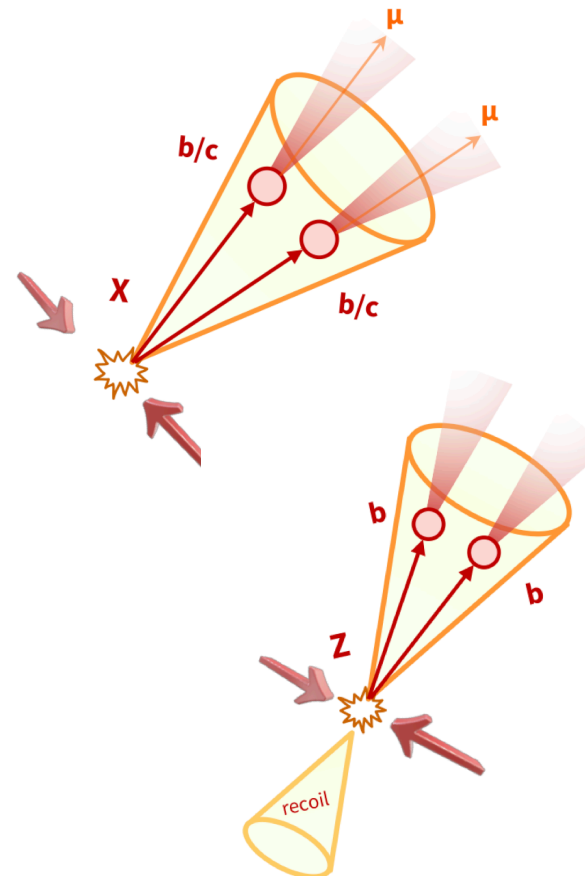
6

- ➡ **Advancements on new jet flavour tagging goes in parallel with improvements on jet calibration techniques**



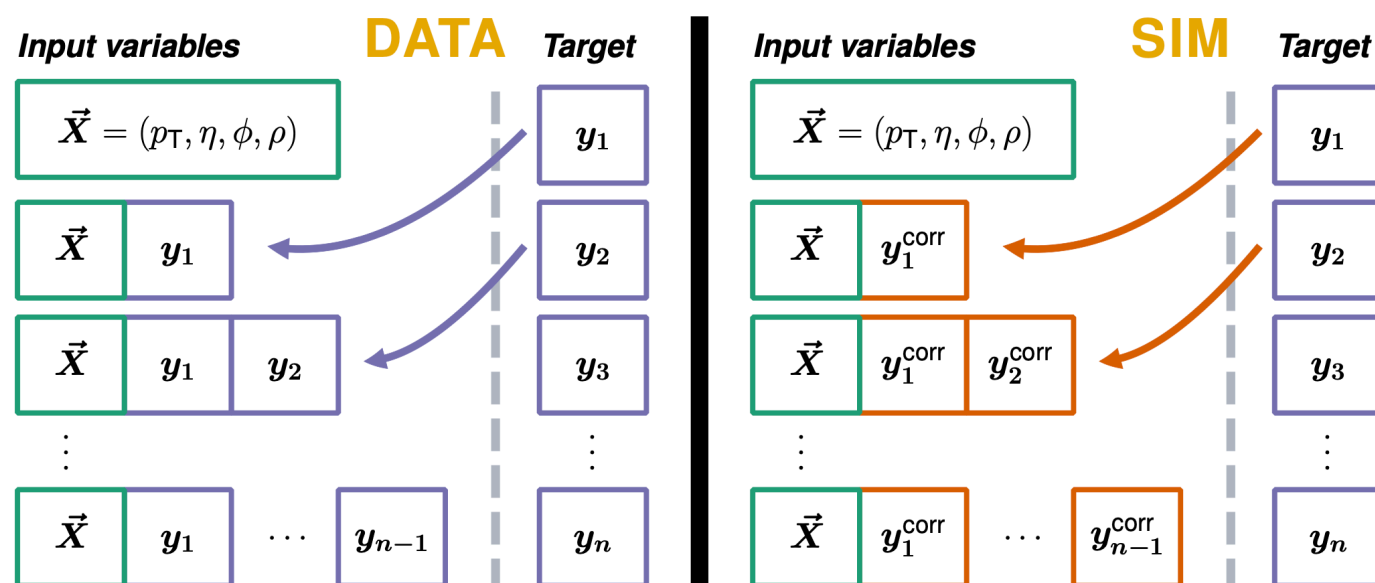
CMS-PAS-BTV-22-001

- ➡ **Most precise jet tagging calibration corrections to apply on simulation**
 - ▶ targeting Higgs boosted
- ➡ **Using combination of different methods based on high momentum Higgs decay to improve precision and reduce uncertainties**

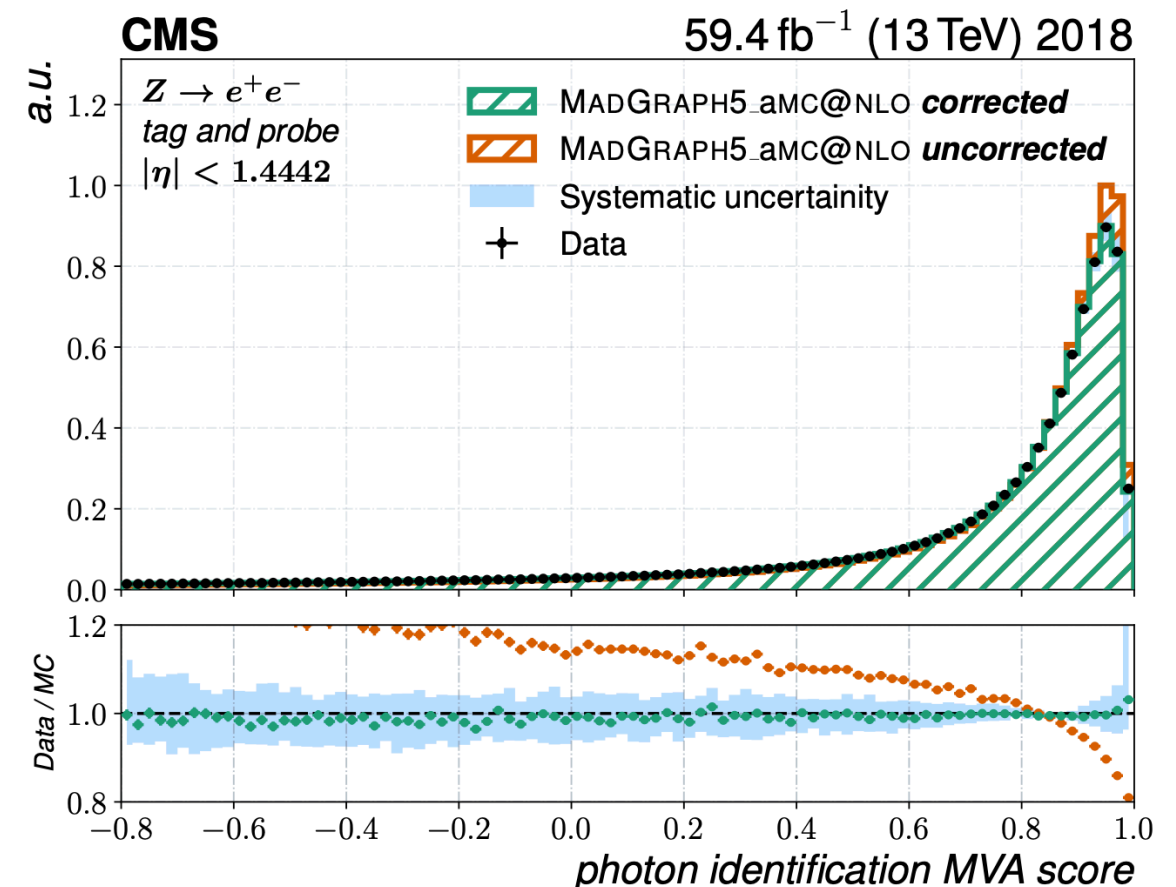


Mitigating systematics due to simulation mismodeling ⁷

- ➡ **High precision analyses (e.g. $H \rightarrow \gamma\gamma$) suffer from significant uncertainties from object performance**
 - ▶ photon ID mismatch in data and simulation account for 5% impact on Higgs cross-section results (aiming to have 1% agreement data/MC)
- ➡ **Derive corrections on simulation to electromagnetic shower shape and isolation variables**
 - ▶ using chained quantile morphing to map simulation to data
 - ▶ extracting shower shape CDF's in bins of photon kinematics in data and MC using BDT's
- ➡ **Improvement on data/MC modeling: reduced impact on modeling uncertainty on signal extraction (5% \rightarrow 2%)**

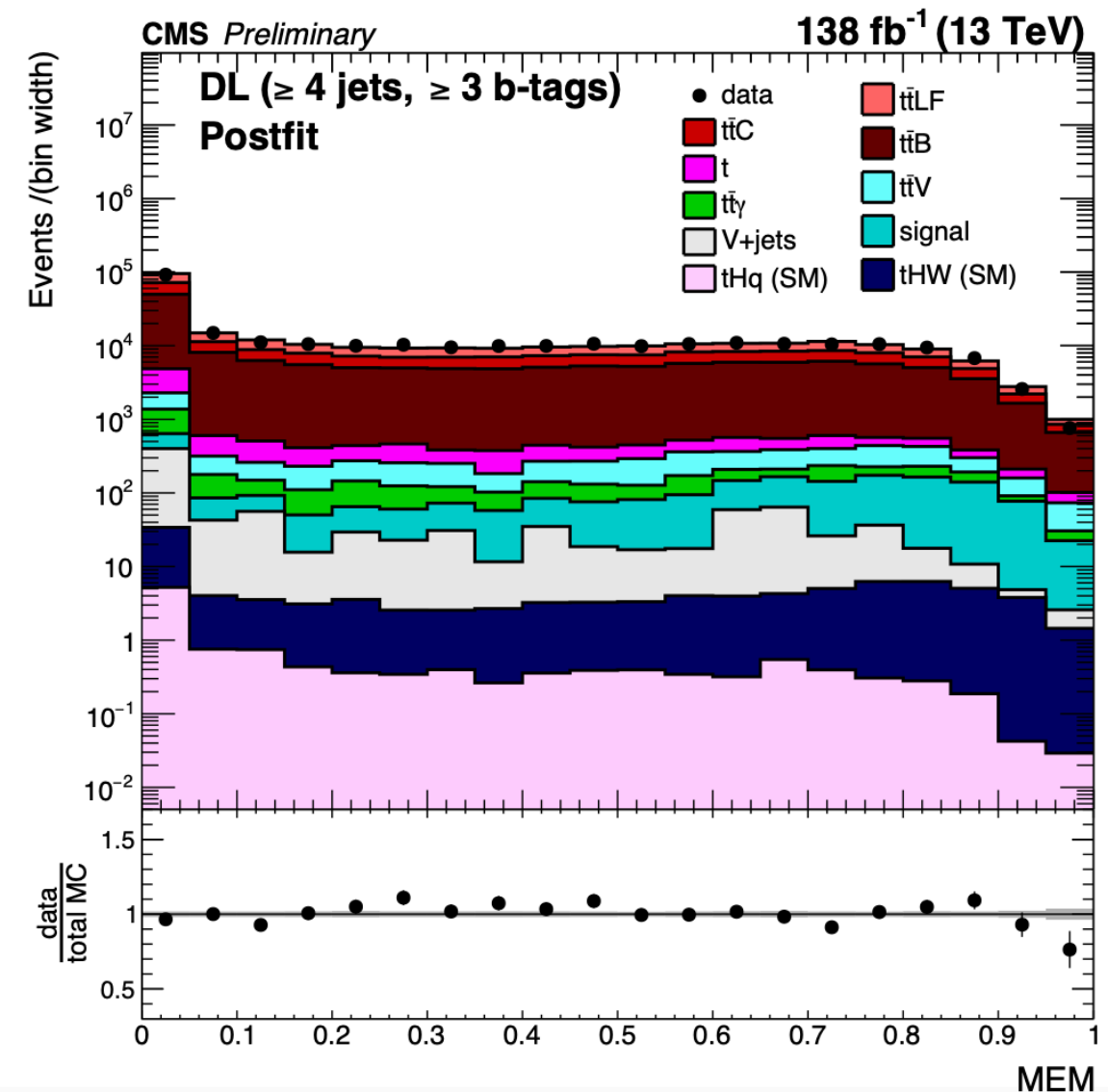
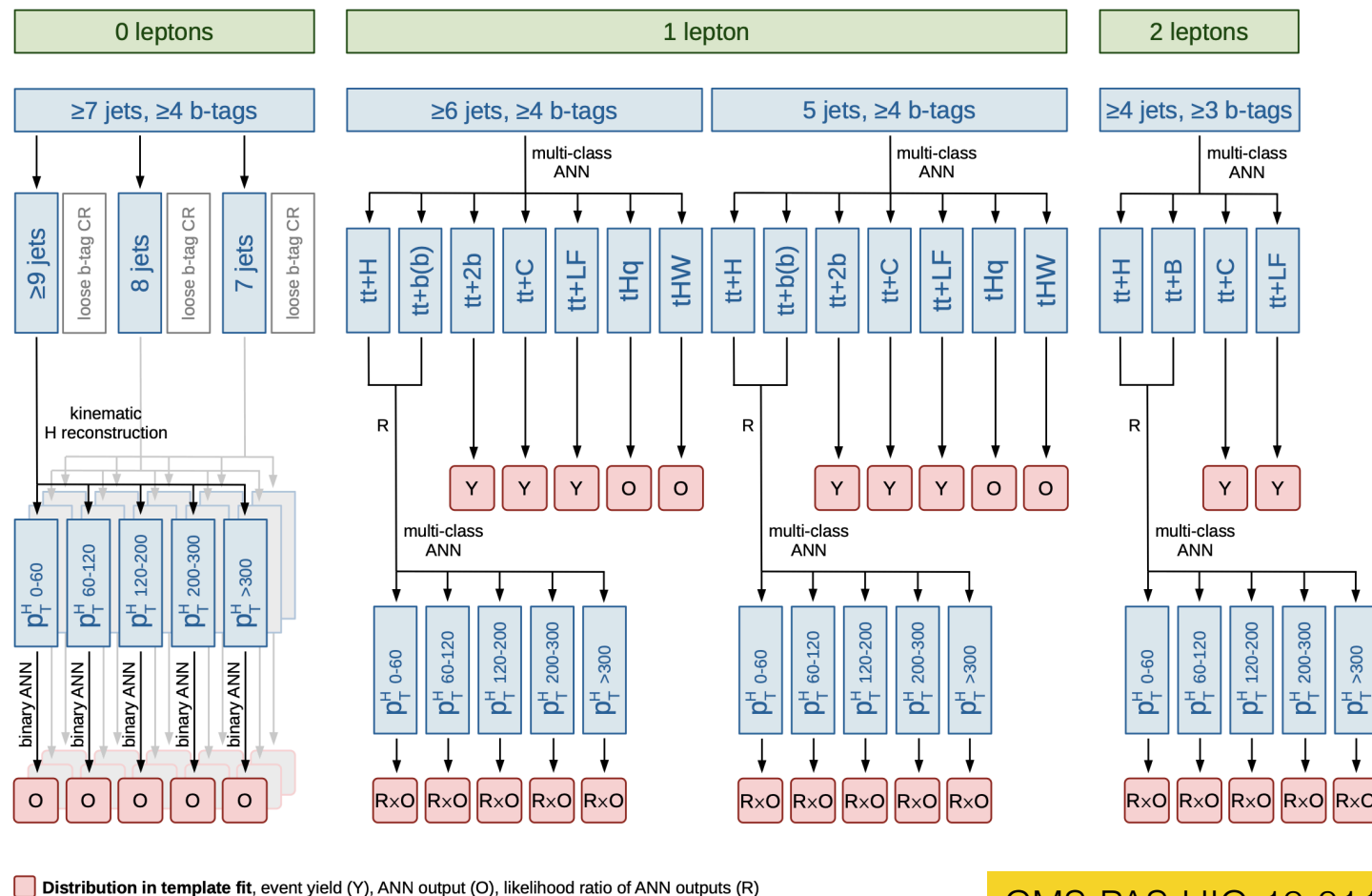


JHEP07(2023)091



DNN techniques in the analysis strategy

- ➡ **ML is now backbone of most of Higgs analyses where sensitivity to physics-driven observables (invariant mass, transverse mass, ...) is not enough**
- ➡ **Using several ML techniques to fully exploit S/B separation and tackle optimal signal extraction and background prediction**
 - ▶ targeting binary S/B classification in ultra-pure signal regions or multi-output classifier for background extractions in control regions to improve background constraints
 - ▶ making use of low or high-level (e.g. MEM for $t\bar{t}Hbb$ analysis) input features for enhanced separation - significant improvement over cut-based approaches

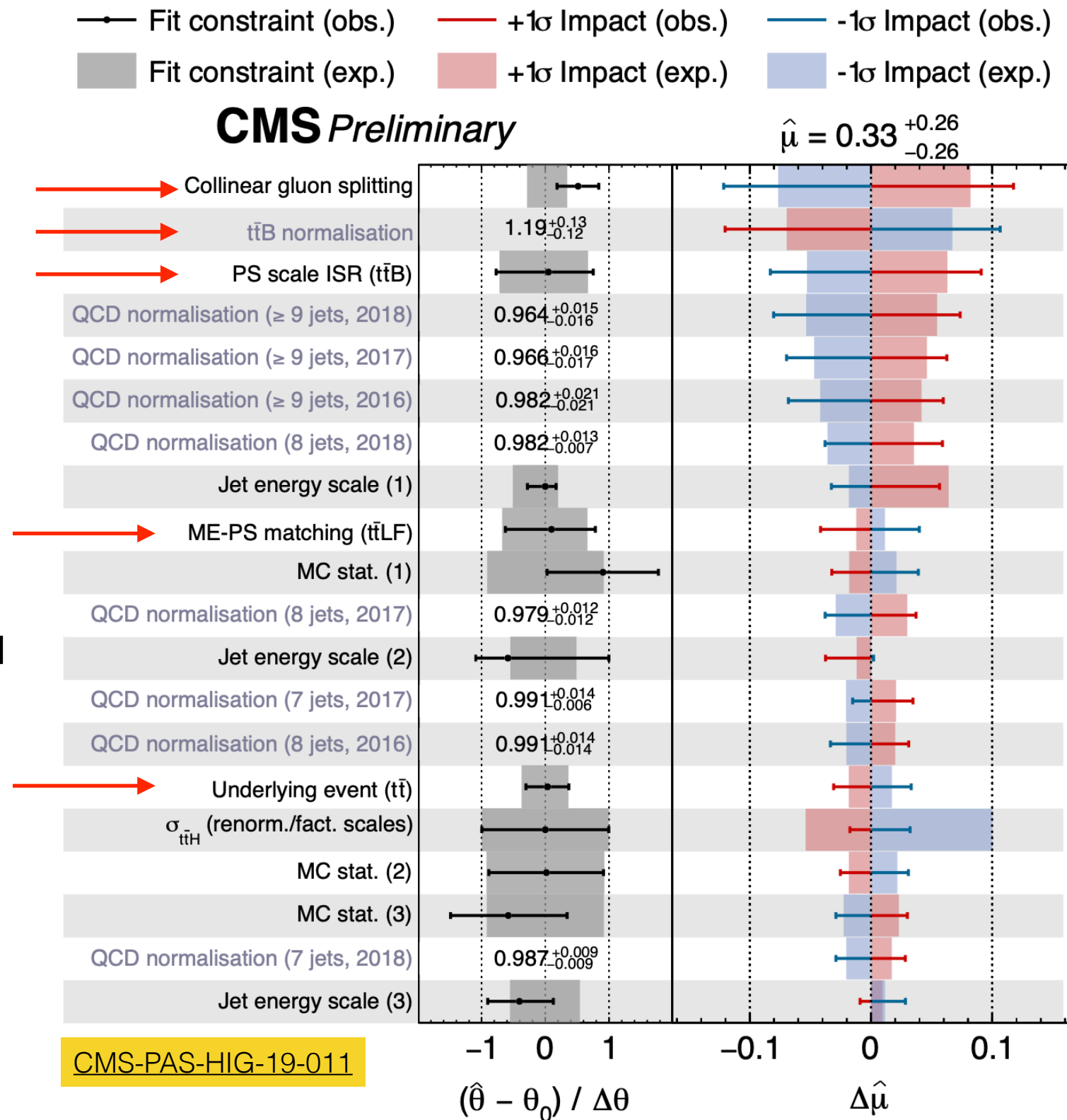


Improved background modelling: motivations

9

➡ **Several analyses (e.g. VHbb, ttHbb) have very small phase-space inclusive S/B because of large irreducible (V+jets, tt+bb) backgrounds**

- ▶ backgrounds estimated from simulation
 - plagued by sizeable modeling uncertainties and differences across generator predictions
 - ▶ prefit modeling used for these backgrounds is very relevant: associated uncertainties are susceptible to constraints when profiled in the fit model
- even more crucial in light of the usage of shape observables (DNN, BDT) for signal extractions

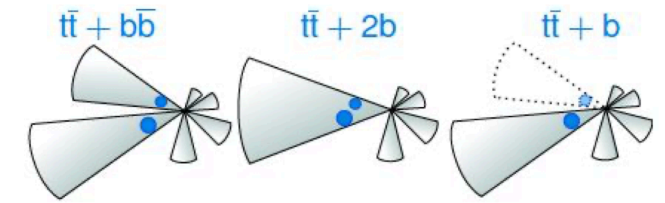


➡ **Dedicated focus on improving prefit modelling of dominant backgrounds and overall fit model - better model robustness and mitigation of modelling uncertainties**

Improved background modelling: the $t\bar{t}H(->bb)$ example ¹⁰

➡ **Important to reduce background modeling uncertainties and fit constraints:**
increased complexity of background model and improve prefit agreement with dedicated generators/tunings

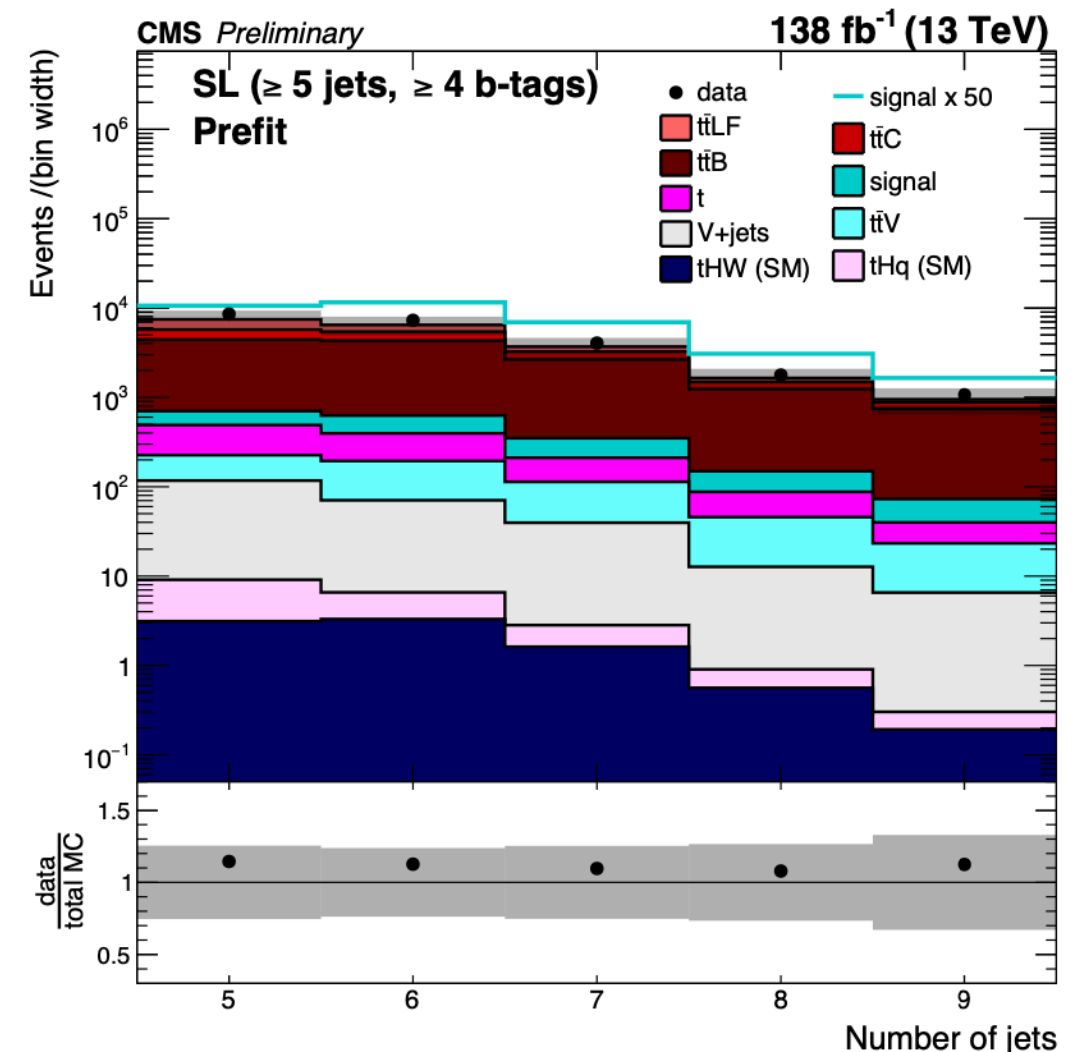
➡ **$t\bar{t}+bb$ background is largest contribution in leptonic channel**



- ▶ state-of-the-art MC simulation for $t\bar{t}B$ (≥ 1 additional b-jet) at NLO (4FS) w/ additional b-jets from matrix element instead of parton shower
- ▶ updated scheme for merging of $t\bar{t}B$ with $t\bar{t}C/t\bar{t}LF$ contributions (taken from Powheg $t\bar{t}$ NLO 5FS)
- ▶ in-situ constraints on shape and normalisations of $t\bar{t}B$ via process scale factors

CMS-PAS-HIG-19-011

Uncertainty source	$\Delta\mu_{t\bar{t}H}$ (observed)	$\Delta\mu_{t\bar{t}H}$ (expected)
Total experimental	+0.10/ - 0.10	+0.11/ - 0.10
jet energy scale and resolution	+0.08/ - 0.07	+0.09/ - 0.09
b tagging	+0.07/ - 0.06	+0.06/ - 0.02
luminosity	+0.02/ - 0.02	+0.01/ - 0.01
Total theory	+0.16/ - 0.16	+0.18/ - 0.14
➡ $t\bar{t}$ + jets background	+0.15/ - 0.16	+0.12/ - 0.11
signal modelling	+0.06/ - 0.01	+0.13/ - 0.06
Size of the simulated event samples	+0.13/ - 0.12	+0.10/ - 0.10
Total systematic	+0.20/ - 0.21	+0.23/ - 0.19
Statistical	+0.17/ - 0.16	+0.17/ - 0.17
➡ background normalisation	+0.13/ - 0.13	+0.13/ - 0.13
➡ $t\bar{t}B$ and $t\bar{t}C$ normalisation	+0.12/ - 0.12	+0.12/ - 0.12
QCD normalisation	+0.01/ - 0.01	+0.01/ - 0.01
Total	+0.26/ - 0.26	+0.28/ - 0.25



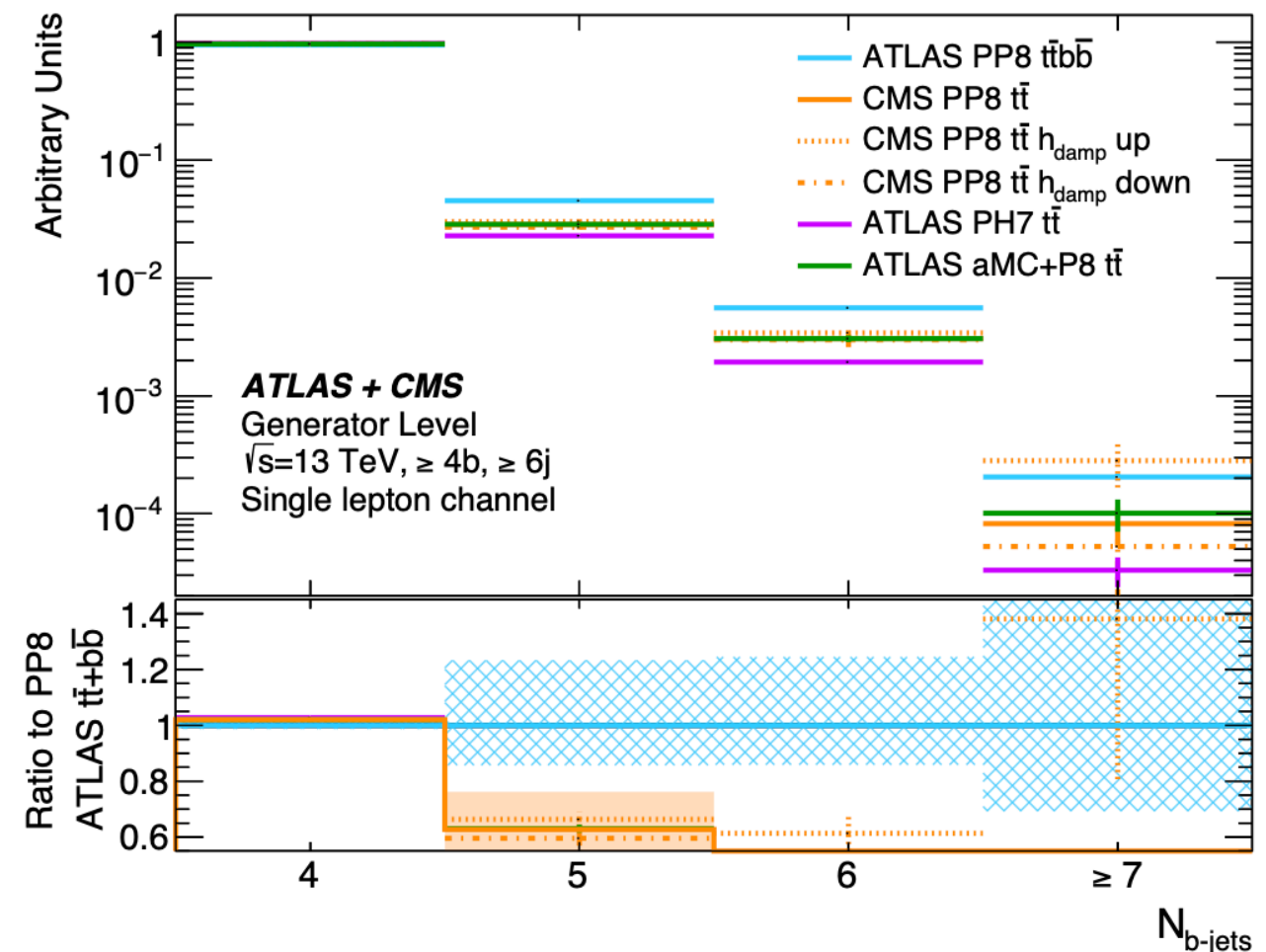
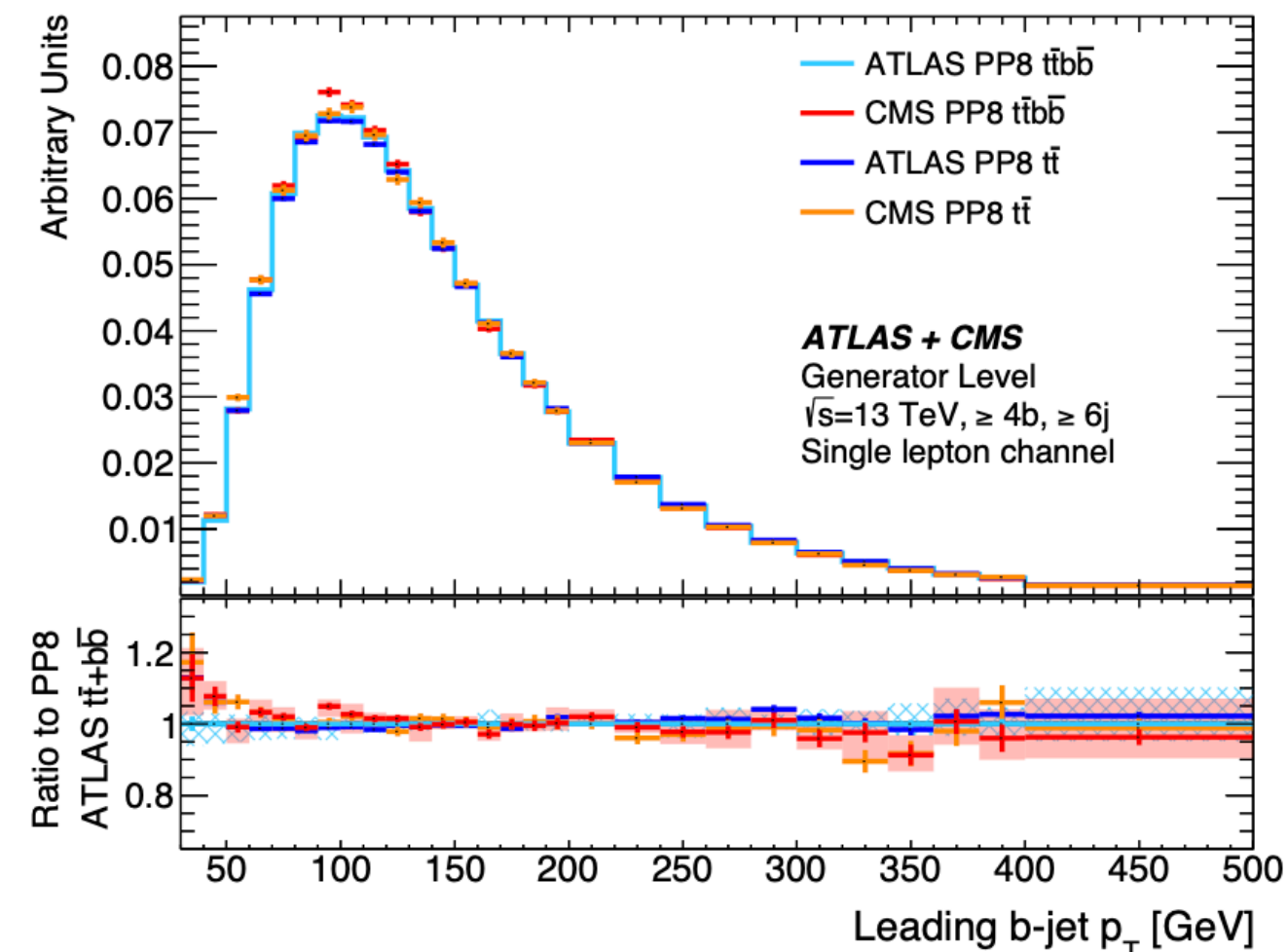
Improved background modelling: next steps

11

➡ Attempt towards harmonisation of modeling uncertainties treatment for main V +jets and $t\bar{t}+b\bar{b}$ background carried out in LHC Higgs WG

- ▶ investigating central value differences across generators for $t\bar{t}$ and $t\bar{t}+b\bar{b}$ processes as well as treatment of uncertainties across experiments
 - different assessment of scale uncertainties in CMS/ATLAS (hdamp vs hbzd)
 - variations across generators always exceeds internal scale uncertainties of single MC

LHCHWG-22-003



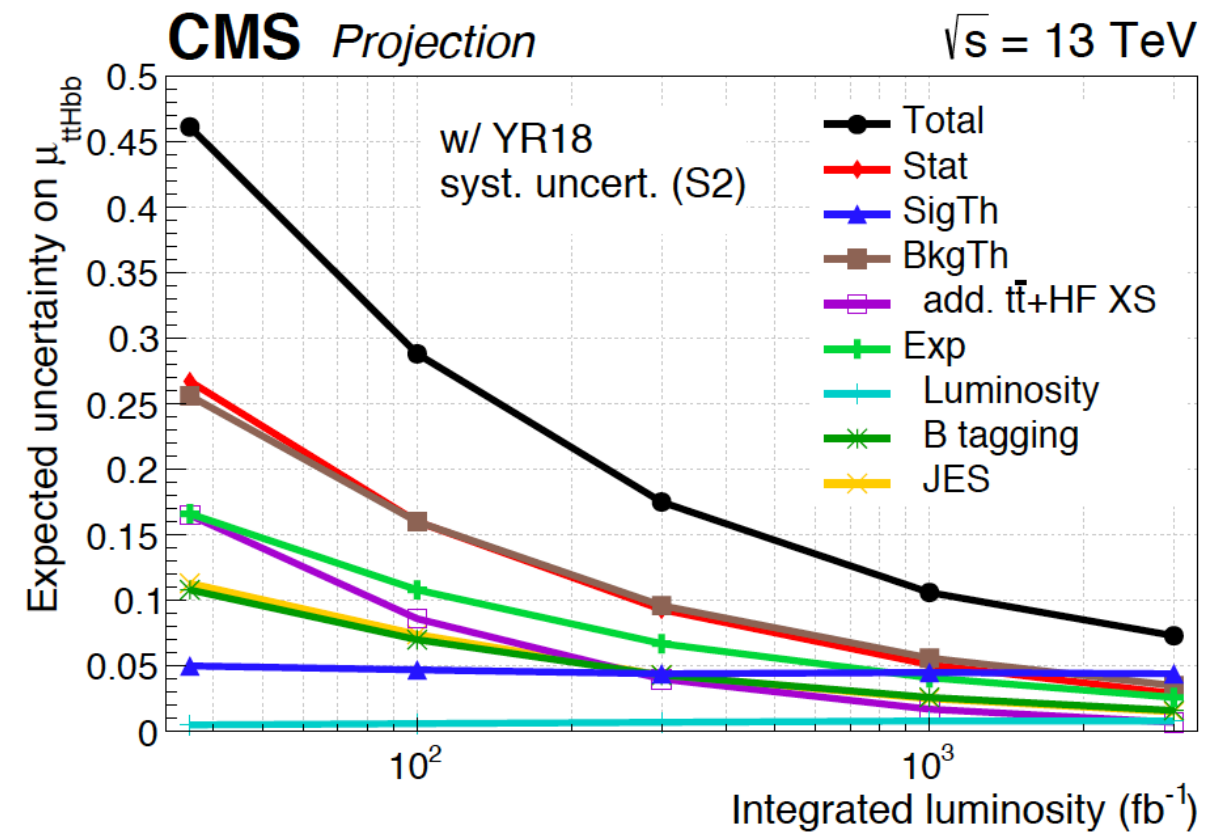
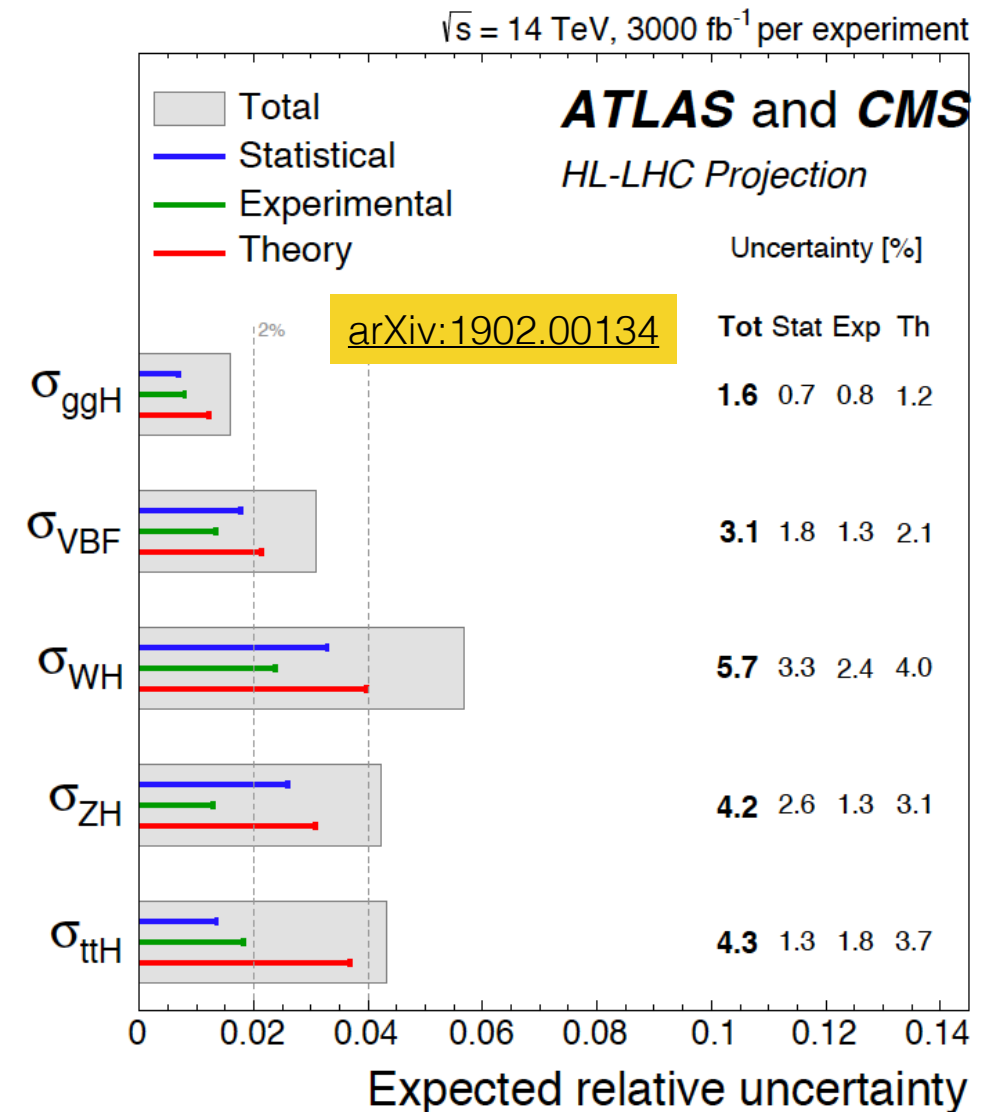
➡ Powheg+Pythia8 setup observed to be consistent across ATLAS and CMS

➡ Novel techniques in CMS Higgs analyses targeting various aspects of measurements fully exploiting physics potential of LHC data

- ▶ object identification/reconstruction using ML
- ▶ improved ML techniques for signal extraction and background modeling
- ▶ novel analysis interpretations (differential, STXS, SM deviations anomalous couplings and EFT)
- ▶ novel strategies targeting HH and HHH at HLT in Run 3 exploring Data Parking [[link](#)]

➡ Working on further improving analysis techniques towards High-Luminosity LHC

- ▶ hitting systematics wall for many Higgs measurements
- ▶ mitigate simulation modeling/theory



Backup slides

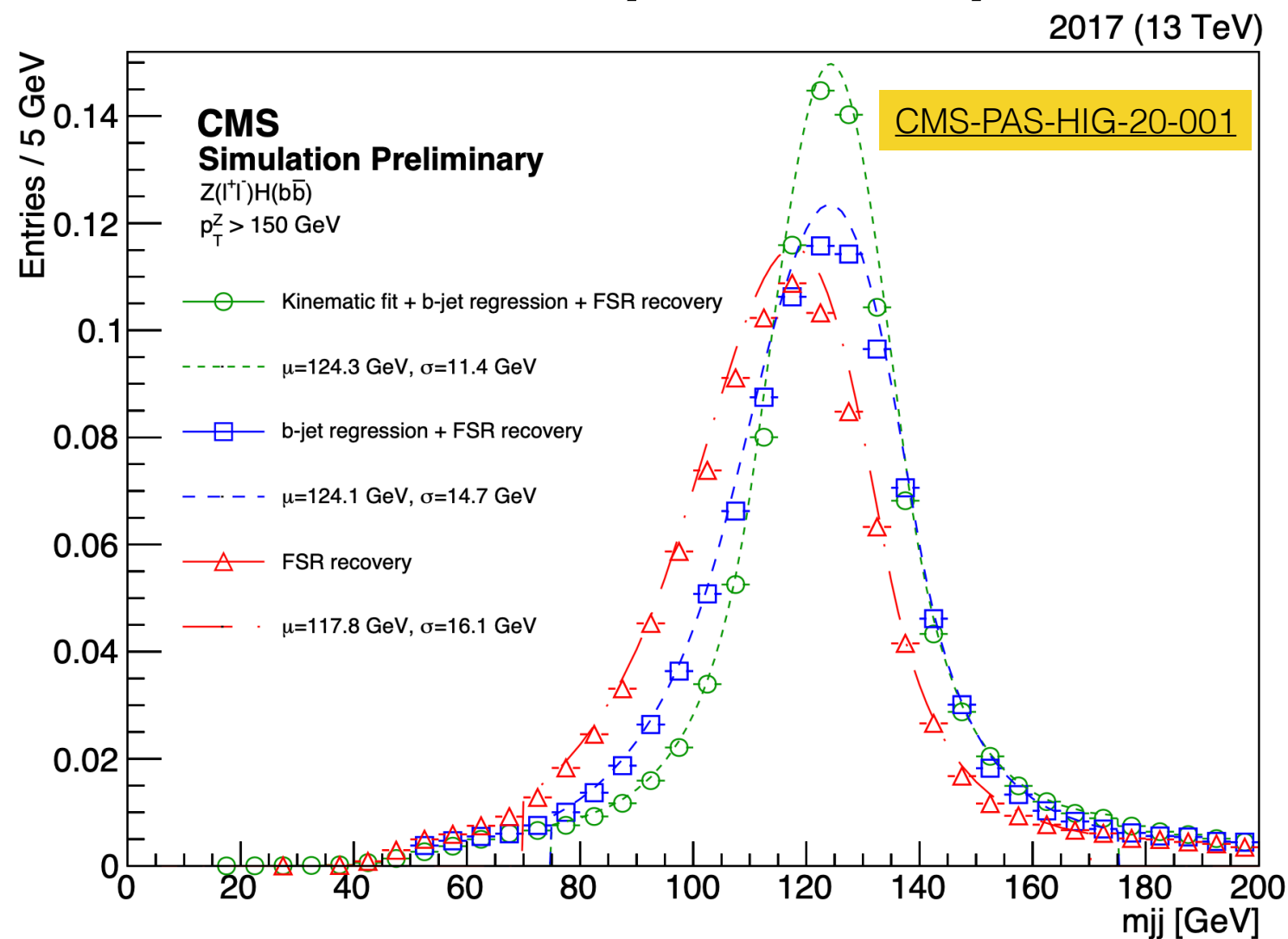
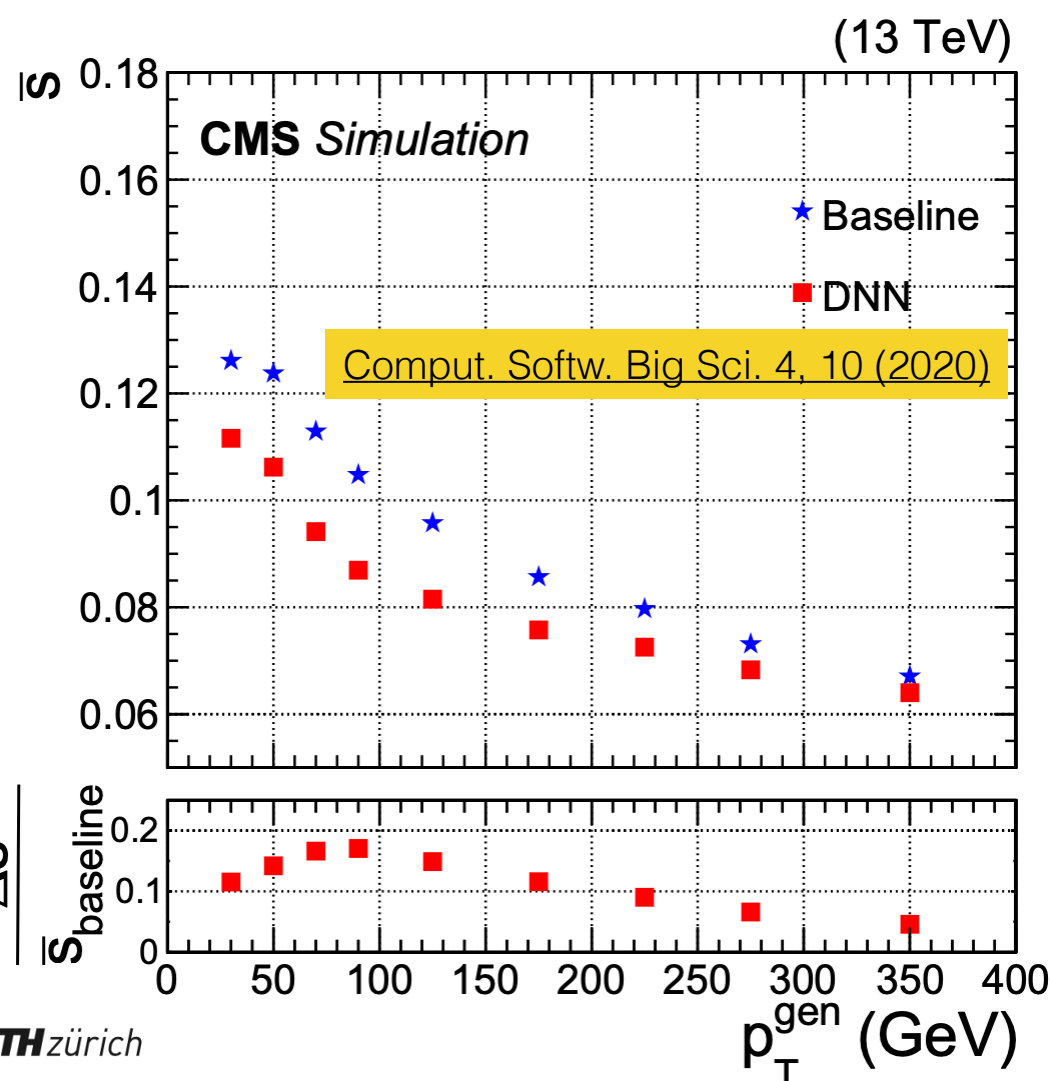
Improving b-jet energy determination

14

➡ **Crucial to optimally use highest resolution events to measurement precision - excellent estimation of per-object resolution**

- ▶ especially relevant for b-jets/c-jets since as final states for several Higgs analyses (VHbb,VHcc): improved energy regression using DNN-based (feed-forward NN) energy regression techniques
- ▶ recovers energy loss from escaping neutrinos targeting energy corrections and energy quantiles
- ▶ training uses b-jet/c-jet specific kinematic properties to improve reconstruction of jet momentum

➡ **Successfully employed b/c-jet energy regression in VH(bb)/VH(cc): significant improvement on jet energy scale/resolution better analysis sensitivity**



- ➡ **ML is now backbone of most of Higgs analyses where sensitivity to physics-driven observables (invariant mass, transverse mass, ...) is not enough**
- ➡ **Using several ML techniques to fully exploit S/B separation and tackle optimal signal extraction and background prediction**
 - ▶ making use of low or high-level (e.g. MEM for $t\bar{t}H$ analysis) input features for enhanced separation - significant improvement over cut-based approaches

