



# New Physics from Oscillations at the DUNE Near Detector

In collaboration with P. Coloma, J. López-Pavón & S. Urrea, based on JHEP 08 (2021) 065



Salvador Rosauero-Alcaraz 02/06/2023

# Introduction

## Standard neutrino oscillations

### What we know (at $1\sigma$ )

I. Esteban *et al.* 2007.14792 [www.nu-fit.org](http://www.nu-fit.org)

$$\text{Solar sector} \begin{cases} \sin^2 \theta_{12} = 0.304^{+0.012}_{-0.012} \\ \Delta m_{21}^2 = 7.42^{+0.21}_{-0.20} \cdot 10^{-5} eV^2 \end{cases}$$

$$\text{Atm. sector} \begin{cases} \sin^2 \theta_{23} = 0.573^{+0.016}_{-0.020} \\ |\Delta m_{31}^2| = 2.517^{+0.026}_{-0.028} \cdot 10^{-3} eV^2 \end{cases}$$

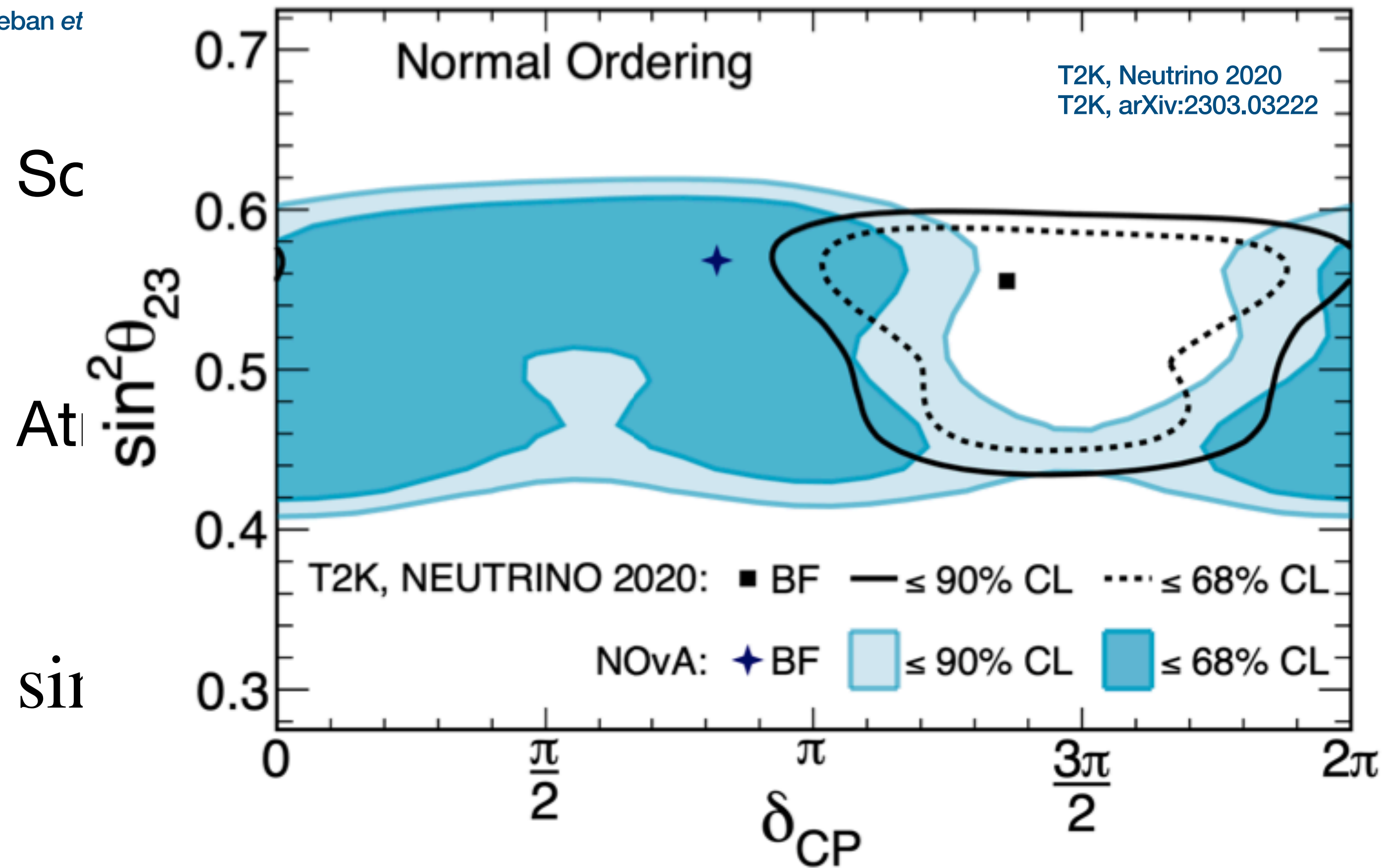
$$\sin^2 \theta_{13} = 0.02219^{+0.00062}_{-0.00063}$$

# Introduction

## Standard neutrino oscillations

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I. Esteban et

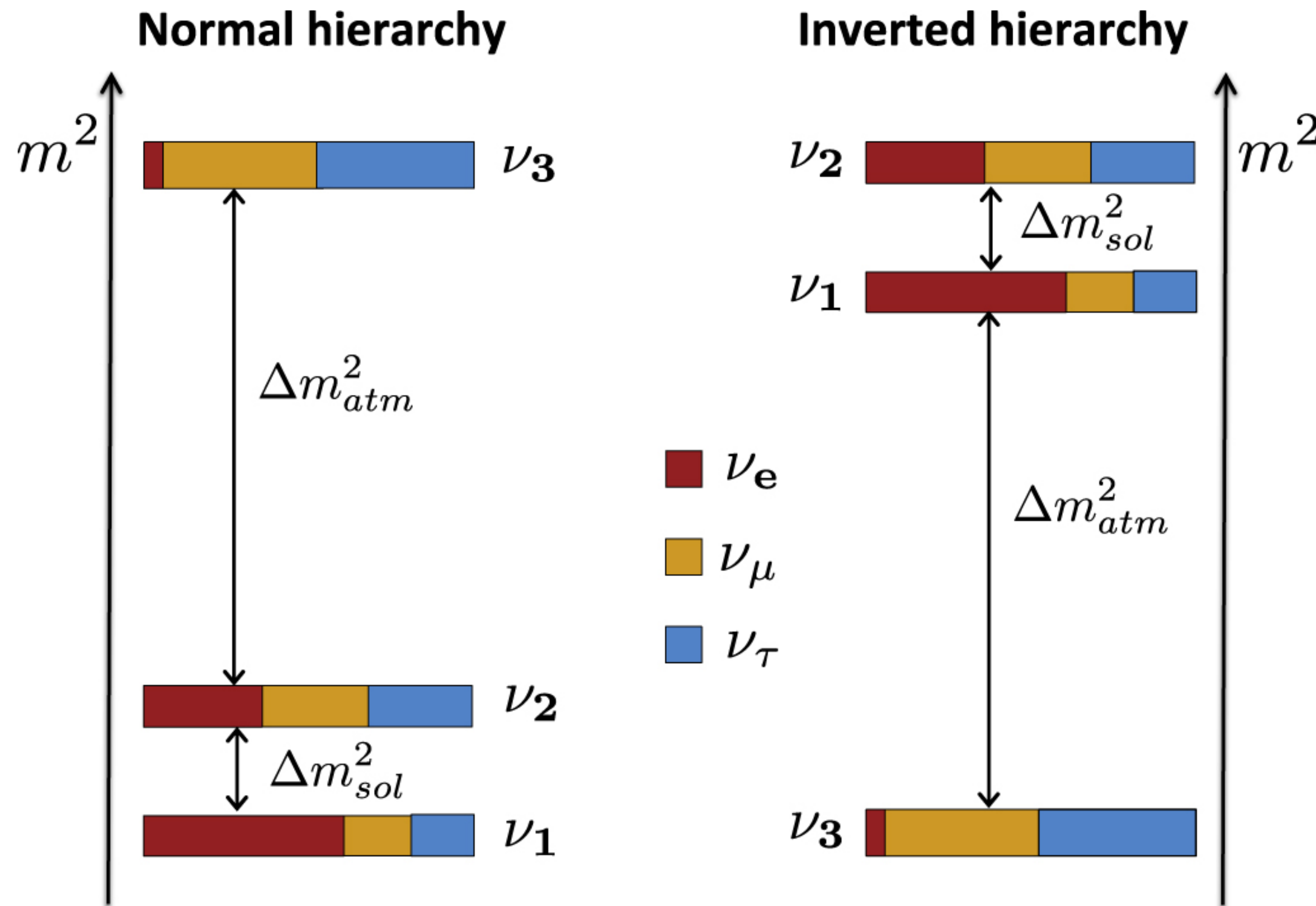


### What we **do not** know (yet)

Is there leptonic  
CP violation, i.e.,  $\delta \neq 0, \pi$ ?

# Introduction

## Standard neutrino oscillations



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Mass ordering:  $sign(\Delta m_{31}^2)$

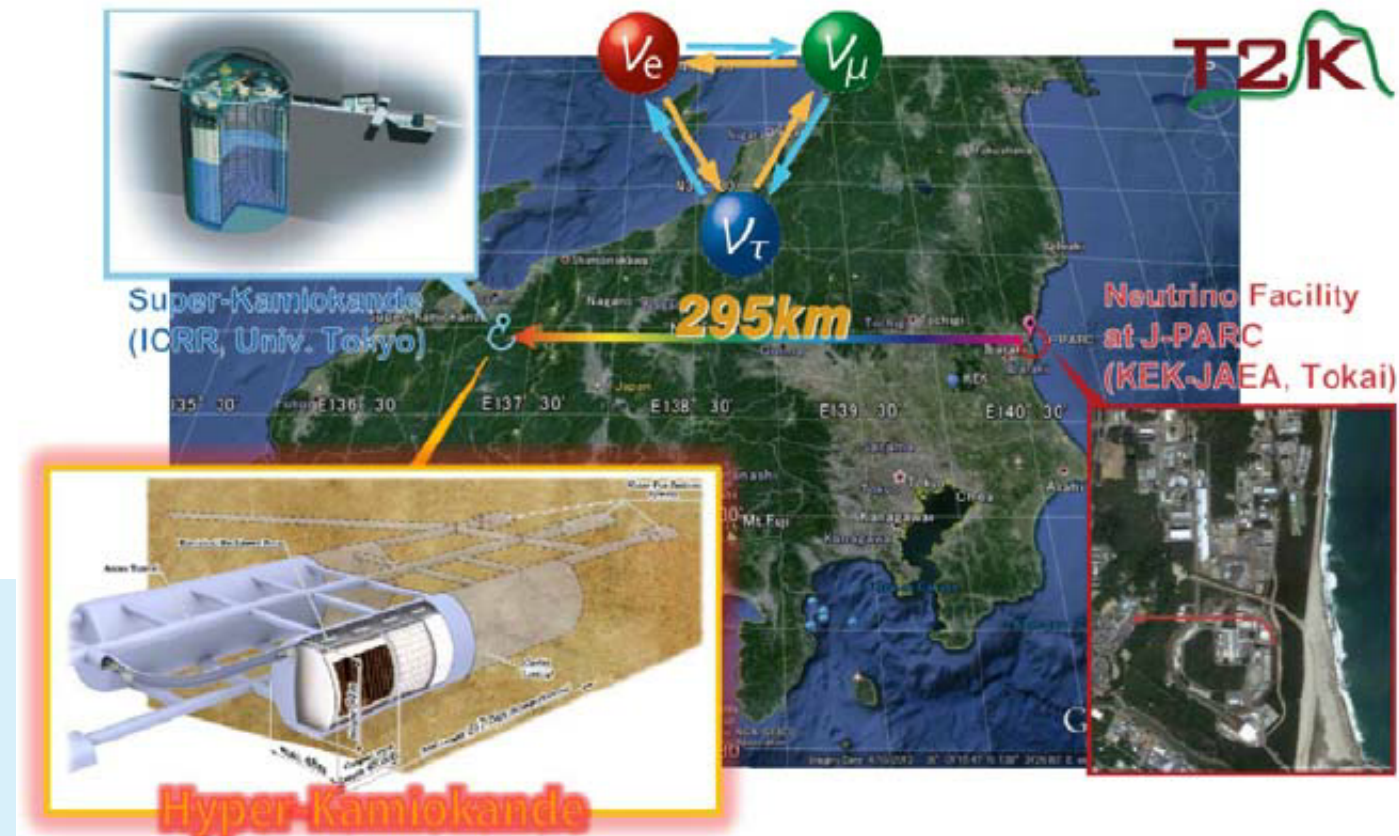
Octant of  $\theta_{23}$

# Introduction

## Future facilities

### DUNE & T2HK

DUNE Collaboration, arXiv:2006.16043  
T2HK Collaboration, arXiv:1412.4673

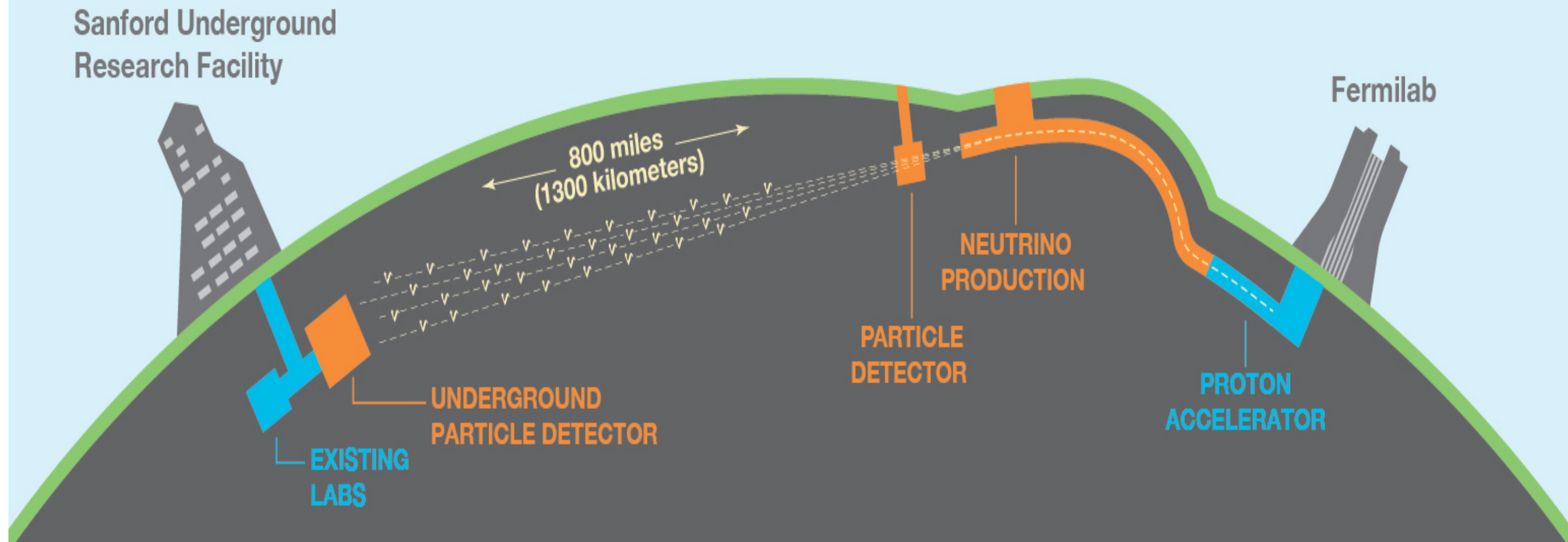


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Is there leptonic CP violation, i.e.,  $\delta \neq 0, \pi$ ?

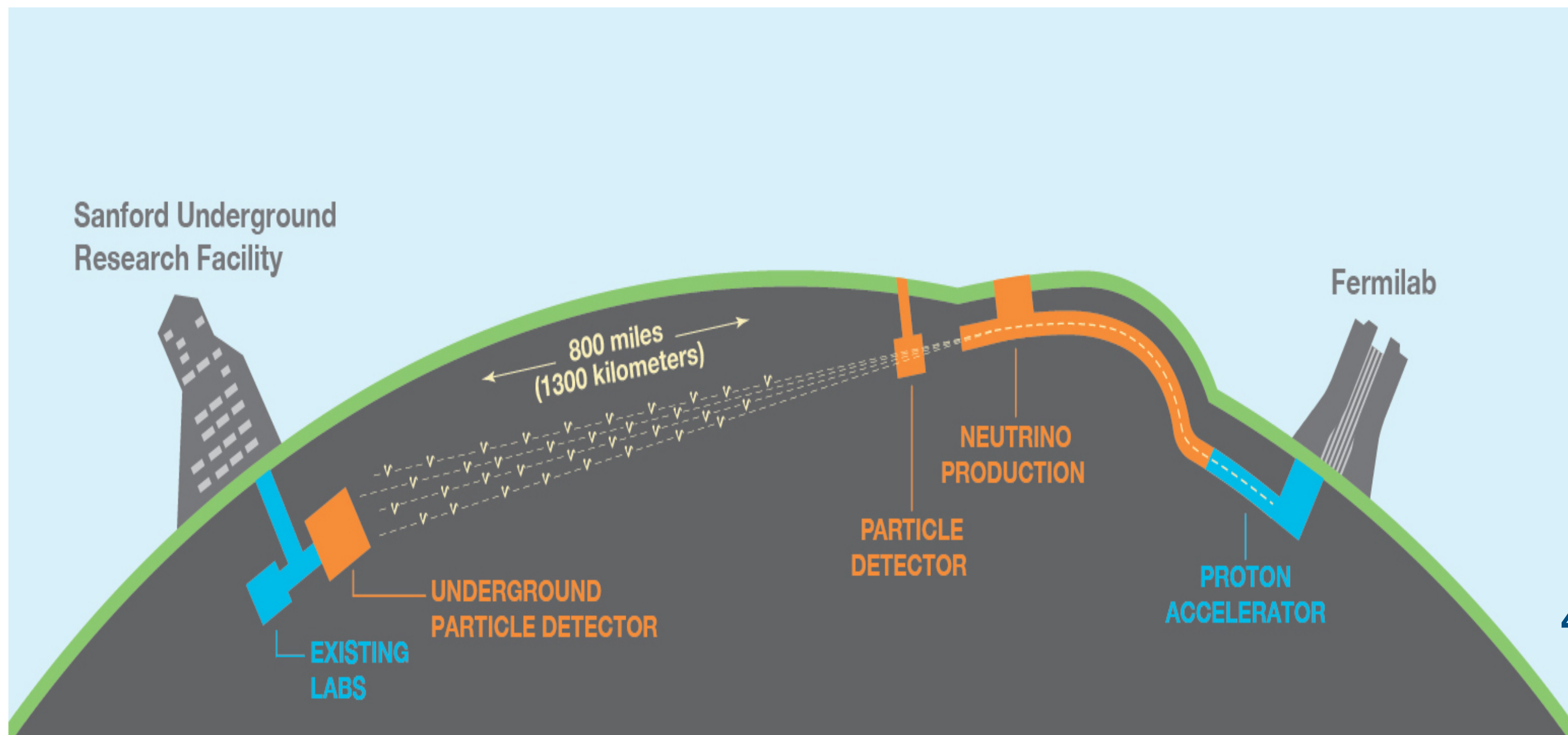
Mass ordering:  $sign(\Delta m_{31}^2)$

Octant of  $\theta_{23}$



# Recipe for good measurements

$\vec{\sigma}_{FD}$  correlated with  $\vec{\sigma}_{ND}$



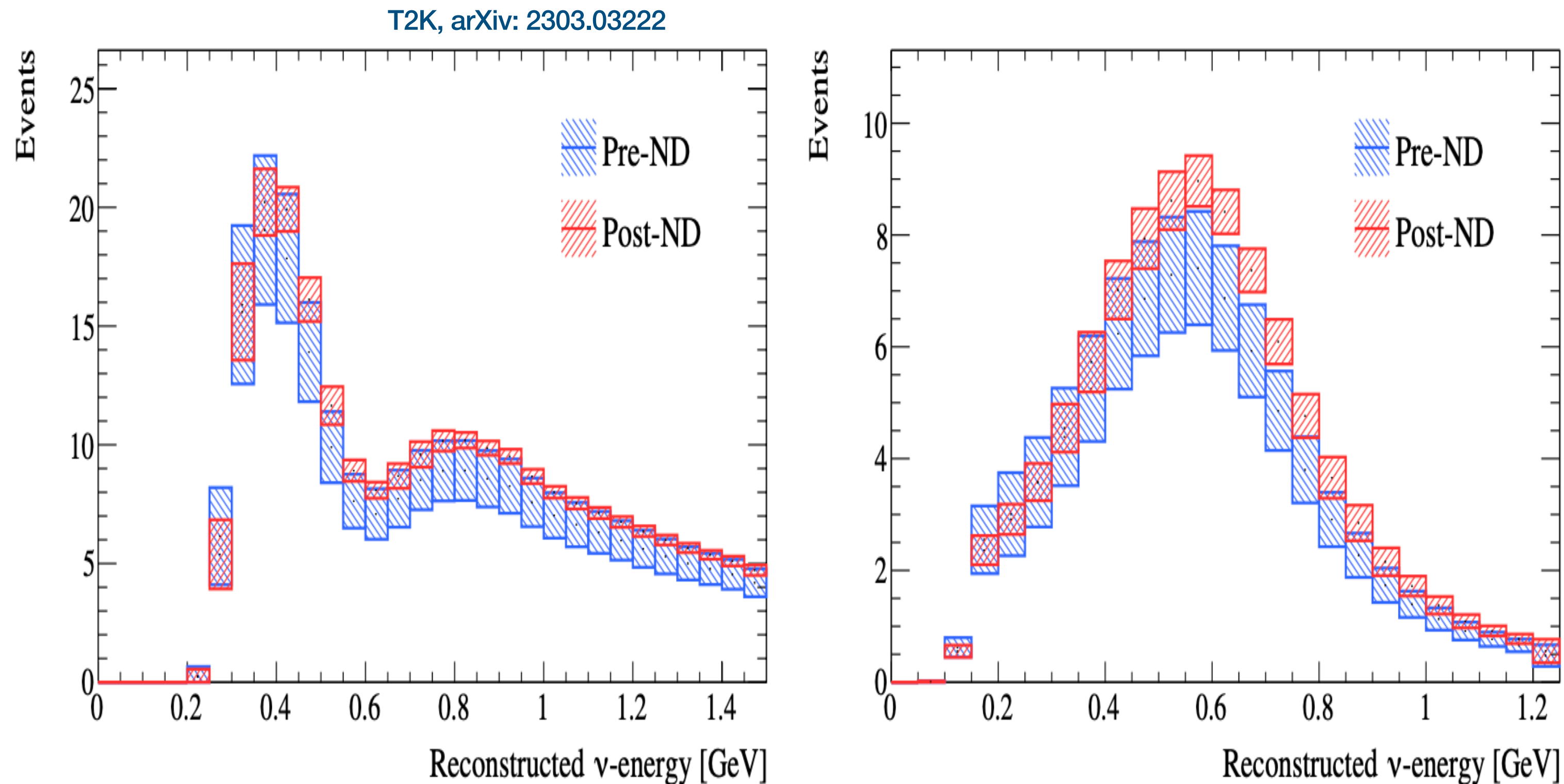
1 Measure events in ND,  
 $N_{\mu}^{ND}(\vec{\sigma}_{ND})$

2 Measure events in FD,  
 $N_e^{FD}(\vec{\theta}, \vec{\sigma}_{FD})$

3 Find ratio  $R_{e\mu} = \frac{N_e^{FD}}{N_{\mu}^{ND}}$

4 Extract  $\vec{\theta}$  from comparing it with the extrapolation of  $N_{\mu}^{ND}$

# Recipe for good measurements



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# Physics at a ND

## Beyond $3\nu$ oscillations

Need right-handed neutrinos to explain light neutrino masses

$$\nu_\alpha = \sum_i^{3+N_s} \mathcal{U}_{\alpha i} n_i \quad \mathcal{U} = \begin{pmatrix} N & \Theta \\ R & S \end{pmatrix}$$

PMNS mixing matrix





# Physics at a ND

## Beyond $3\nu$ oscillations

Need right-handed neutrinos to explain light neutrino masses

$$\nu_\alpha = \sum_{i=1}^3 N_{\alpha i} n_i + \sum_{i=4}^{N_s} \Theta_{\alpha i} n_i$$

$$\mathcal{U} = \begin{pmatrix} N \\ R \\ \Theta \\ S \end{pmatrix}$$

$$N = (I - T)U$$

$$T = \begin{pmatrix} \alpha_{ee} & 0 & 0 \\ \alpha_{\mu e} & \alpha_{\mu\mu} & 0 \\ \alpha_{\tau e} & \alpha_{\tau\mu} & \alpha_{\tau\tau} \end{pmatrix}$$

# Physics at a ND

## Beyond $3\nu$ oscillations

Need right-handed neutrinos to explain light neutrino masses

$$\nu_\alpha = \sum_{i=1}^3 N_{\alpha i} n_i + \sum_{i=4}^{N_s} \Theta_{\alpha i} n_i$$

Two regimes for non-unitarity:

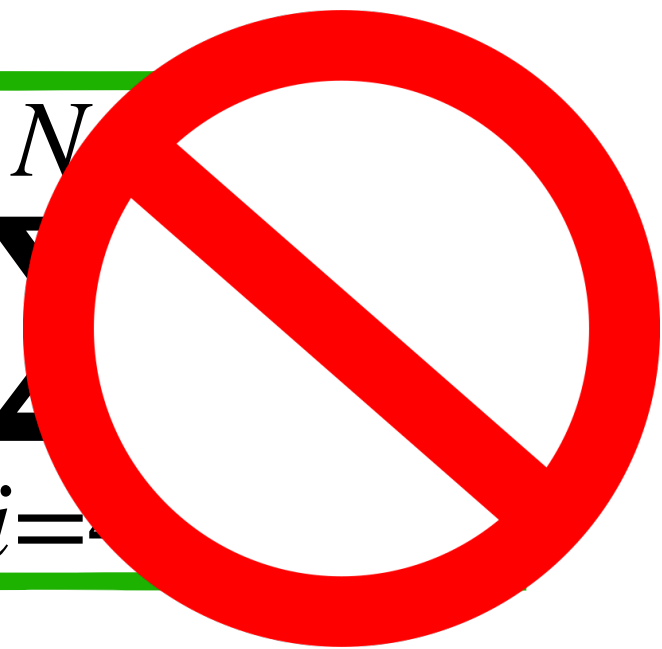
- $n_i$  not produced in neutrino oscillation experiments
- $n_i$  participating in neutrino oscillations

# Physics at a ND

## Beyond $3\nu$ oscillations

Need right-handed neutrinos to explain light neutrino masses

$$\nu_\alpha = \sum_{i=1}^3 N_{\alpha i} n_i + \sum_{i=4}^N N_{\alpha i} n_i$$



Two regimes for non-unitarity:

- $n_i$  not produced in neutrino oscillation experiments
- $n_i$  participating in neutrino oscillations

Stronger bounds elsewhere

# Physics at a ND

## Beyond $3\nu$ oscillations

Need right-handed neutrinos to explain light neutrino masses

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Two regimes for non-unitarity:

- $n_i$  not produced in neutrino oscillation experiments
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$$\Delta m_{41}^2 \gg \left| \Delta m_{31}^2 \right|$$

Study effects at ND

# Physics at a ND

## Sterile neutrino oscillations

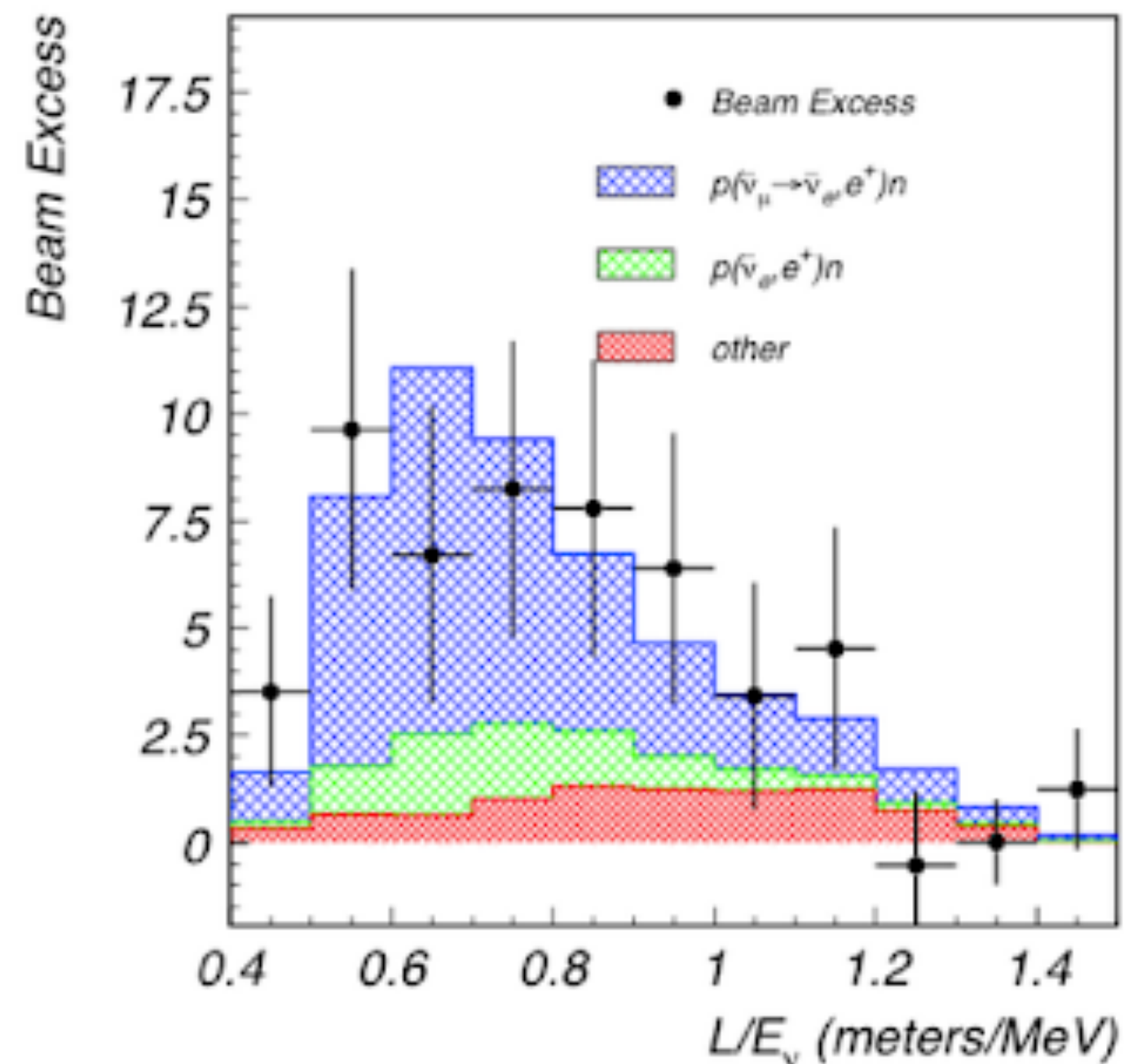
$$P_{\gamma\beta} = \sin^2 2\theta_{\gamma\beta} \sin^2 \left( \frac{\Delta m_{41}^2 L}{4E} \right), \quad \sin^2 \theta_{\gamma\beta} \equiv 4 \left| \mathcal{U}_{\gamma 4} \right|^2 \left| \mathcal{U}_{\beta 4} \right|^2$$

# Physics at a ND

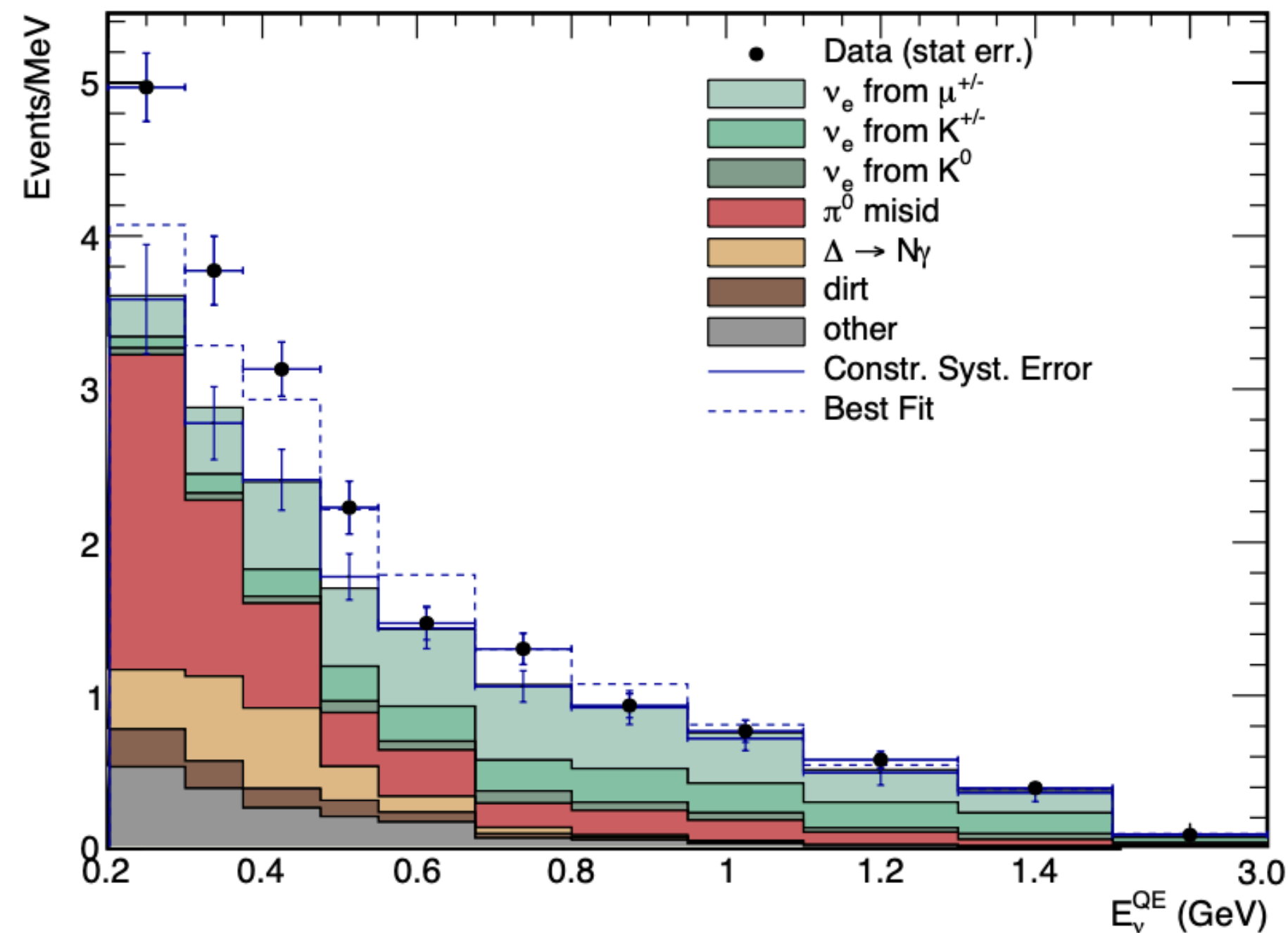
## Sterile neutrino oscillations

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LSND Collaboration, arXiv: hep-ex/0104049



MiniBooNE Collaboration, arXiv:1805.12028



Anomalous  $\nu_{\mu} \rightarrow \nu_e$

# Physics at a ND

## Non-unitarity from low-scale physics

$$P_{\gamma\beta} = \sin^2 2\theta_{\gamma\beta} \sin^2 \left( \frac{\Delta m_{41}^2 L}{4E} \right), \quad \sin^2 \theta_{\gamma\beta} \equiv 4 \left| \mathcal{U}_{\gamma 4} \right|^2 \left| \mathcal{U}_{\beta 4} \right|^2$$

Averaged-out regime  $\Delta m_{41}^2 L/E \gg 1$

$$P_{\gamma\beta} = 2 \left| \alpha_{\gamma\beta} \right|^2 = 2 \left| \mathcal{U}_{\gamma 4} \right|^2 \left| \mathcal{U}_{\beta 4} \right|^2$$

Similar to NU at high scales

# Physics at a ND

## Non-standard neutrino interactions

General 4-fermion effective operator  $\mathcal{L}_{NSI} = -2\sqrt{2}G_F\epsilon_{\alpha\beta} \left( \bar{\nu}_\alpha \gamma_\mu P_L \nu_\beta \right) \left( \bar{f} \gamma^\mu P_{L(R)} f \right)$

Used to “reconcile” NOvA and T2K results

P. Denton, J. Gherlein & R. Pestes, arXiv: 2008.01110  
S. Chatterjee & A. Palazzo, arXiv: 2008.04161



# Physics at a ND

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$$P_{\gamma\beta} = \left| \epsilon_{\gamma\beta}^d \right|^2 + \left| \epsilon_{\gamma\beta}^s \right|^2 + 2 \left| \epsilon_{\gamma\beta}^d \right| \left| \epsilon_{\gamma\beta}^s \right| \cos \left( \Delta\Phi_{\gamma\beta} \right)$$

# Physics at a ND

## Non-standard neutrino interactions

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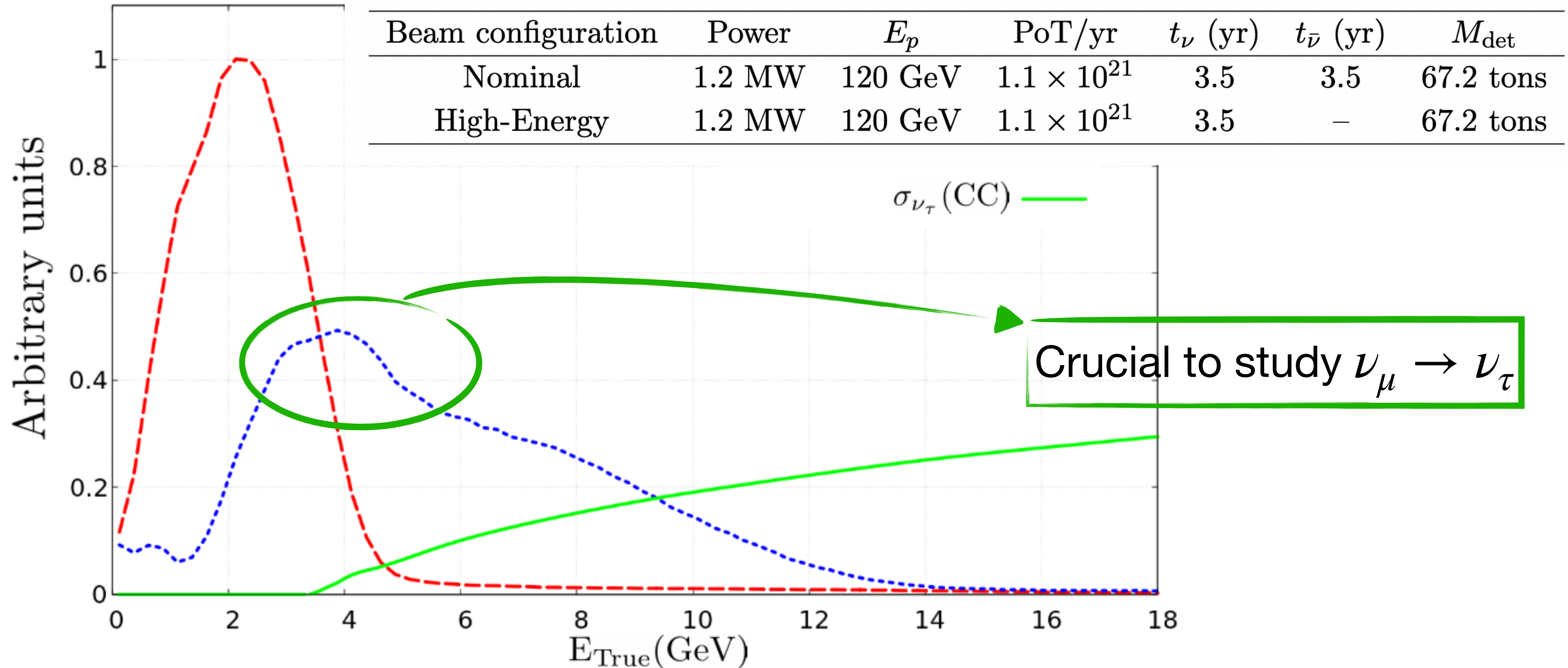
Averaged-out regime  $\Delta m_{41}^2 L/E \gg 1$

$$P_{\gamma\beta} = 2 \left| \alpha_{\gamma\beta} \right|^2$$

Translate bounds from  $\alpha_{\gamma\beta}$  to  $\epsilon_{\gamma\beta}$

# Simulation details

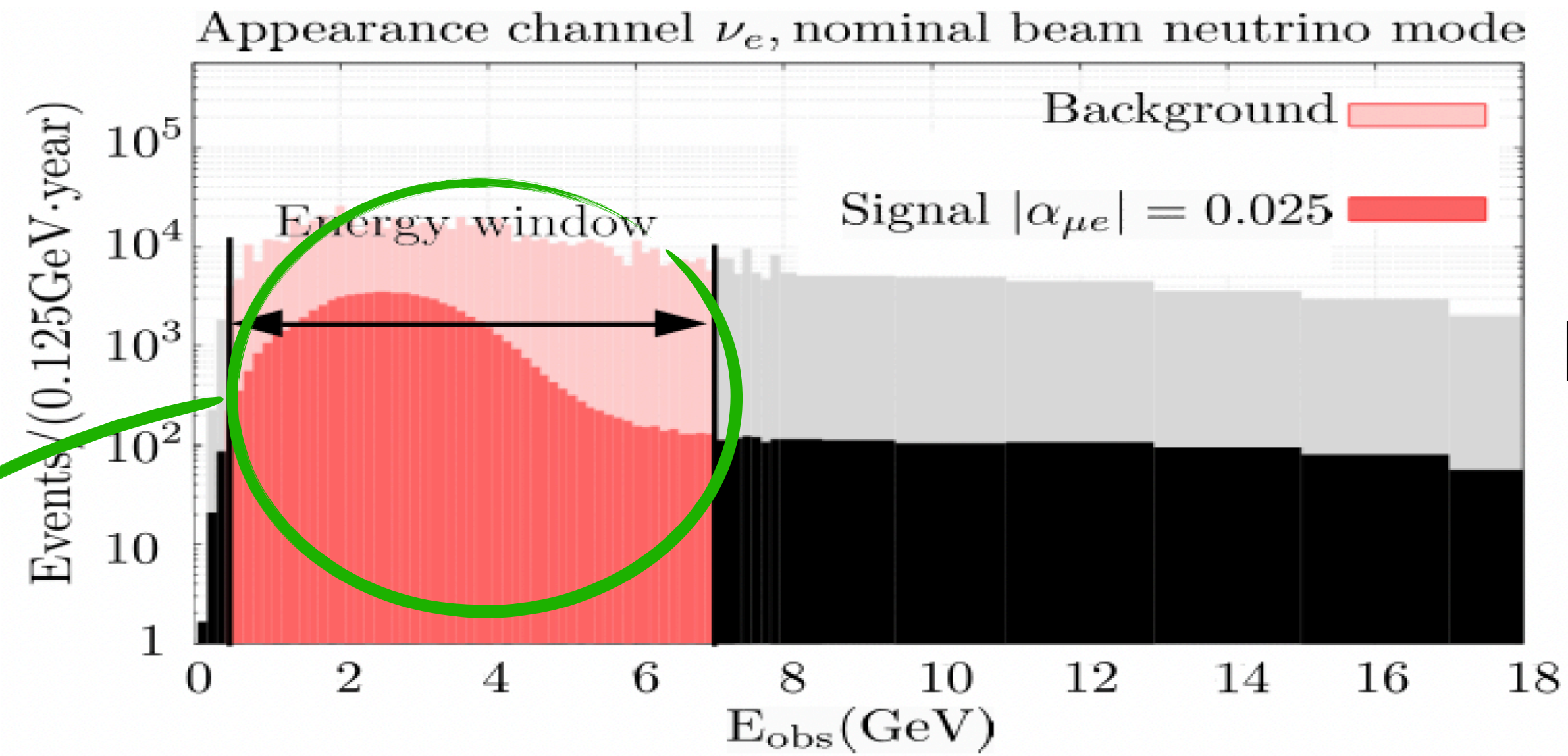
## DUNE flux & detector simulation



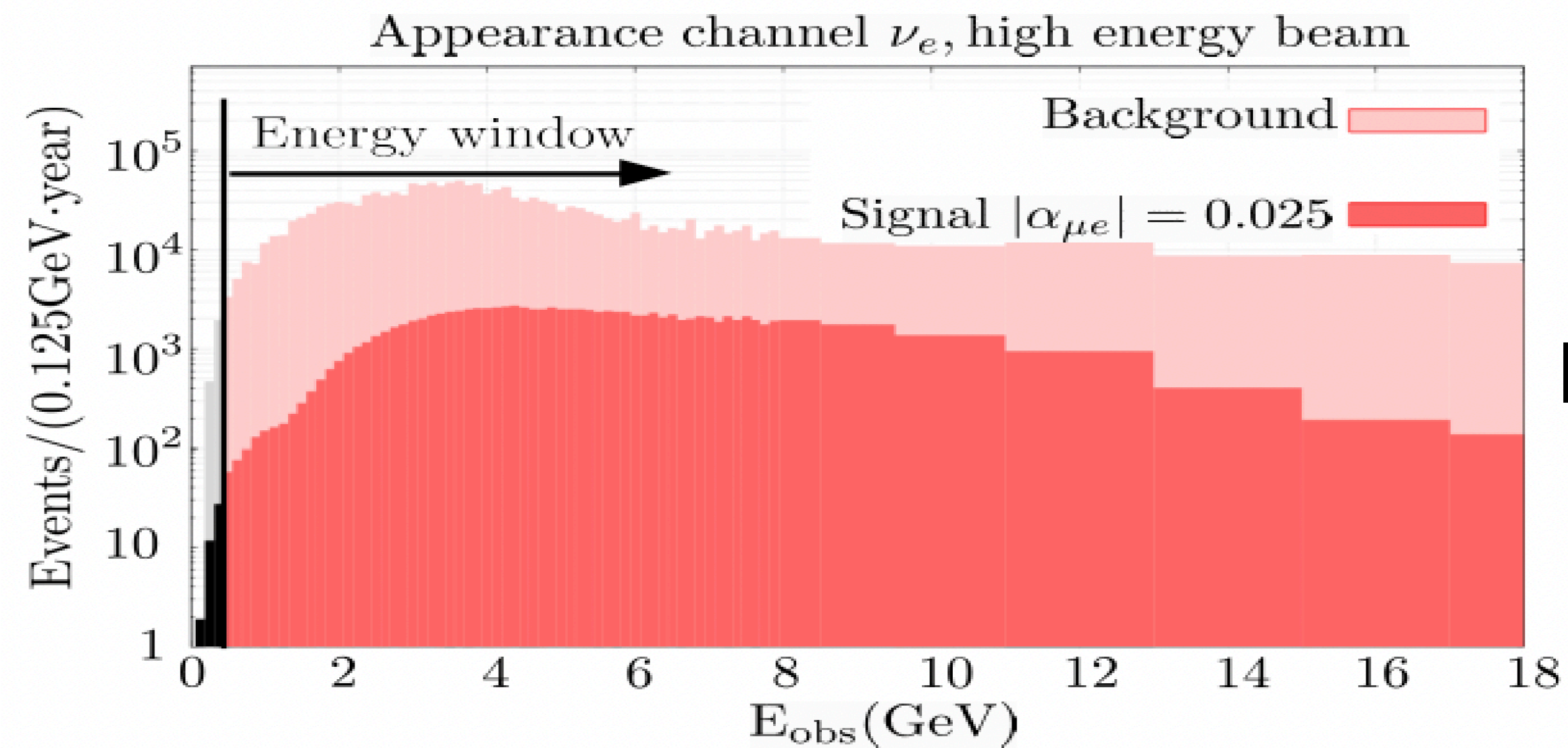
# Simulation details

## Event rates

Events included  
in the analysis



Nominal beam

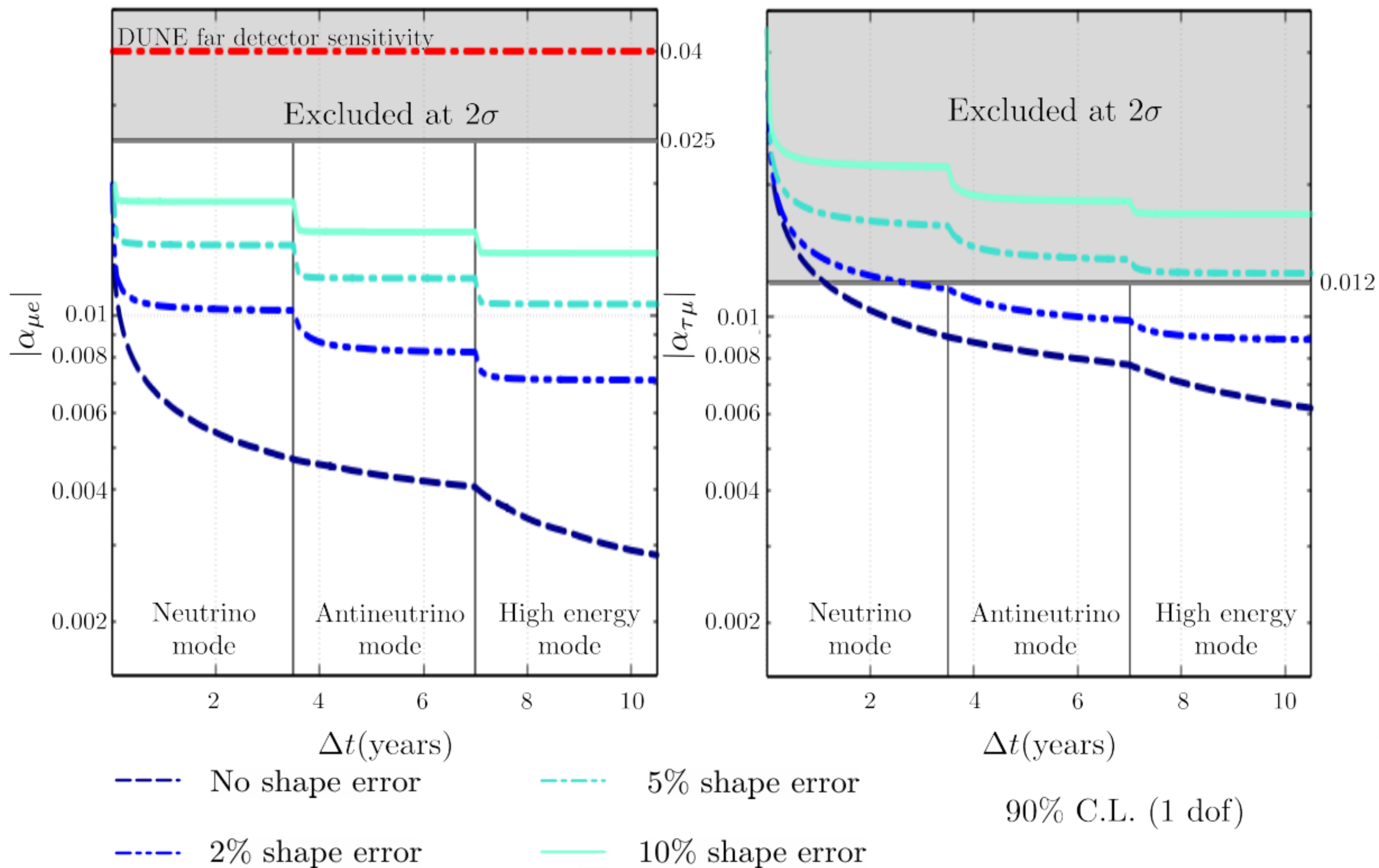


High energy beam

# Results

## Non-unitarity

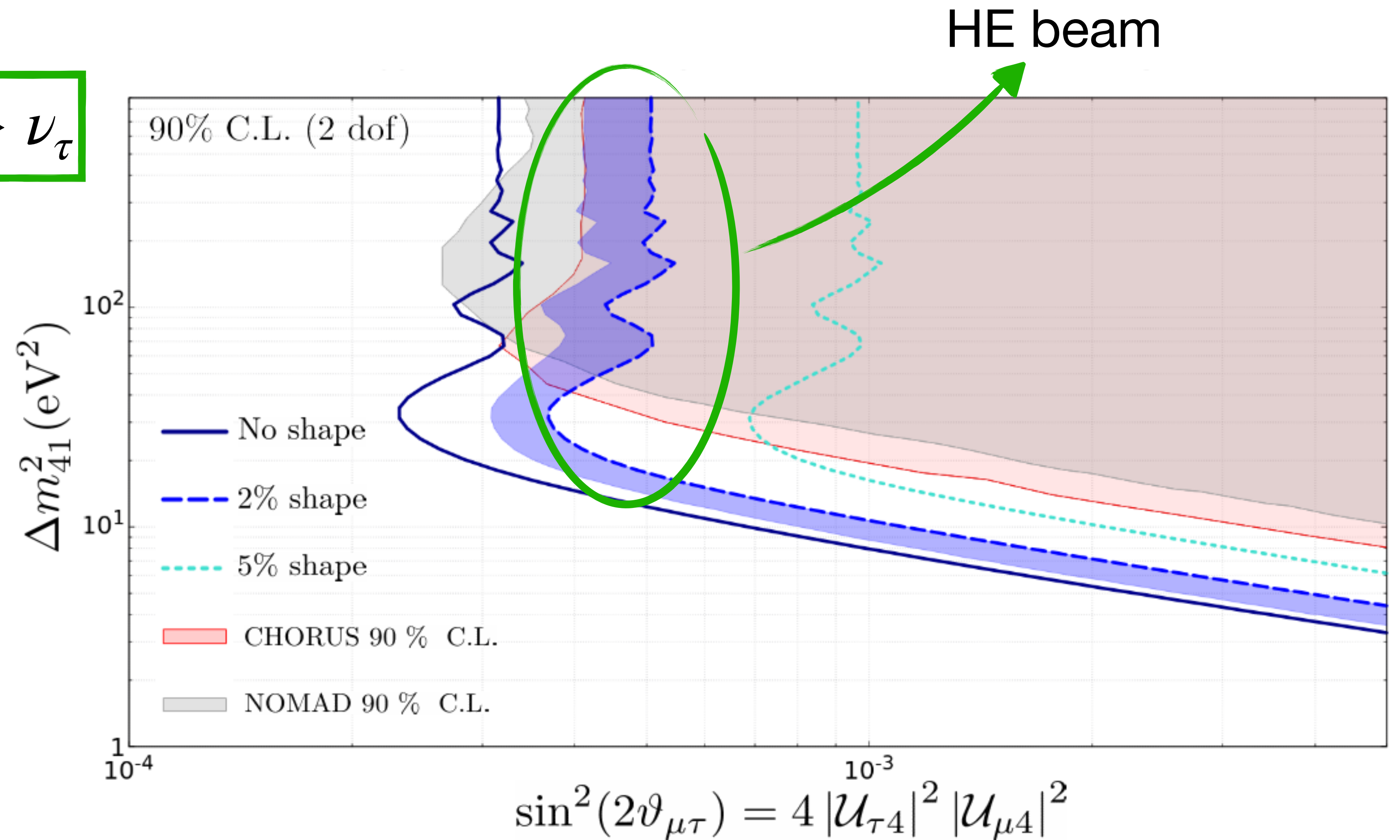
$$\Delta m_{41}^2 L/E \gg 1$$



# Results

## Sterile oscillations

$$\nu_{\mu} \rightarrow \nu_{\tau}$$



# Results

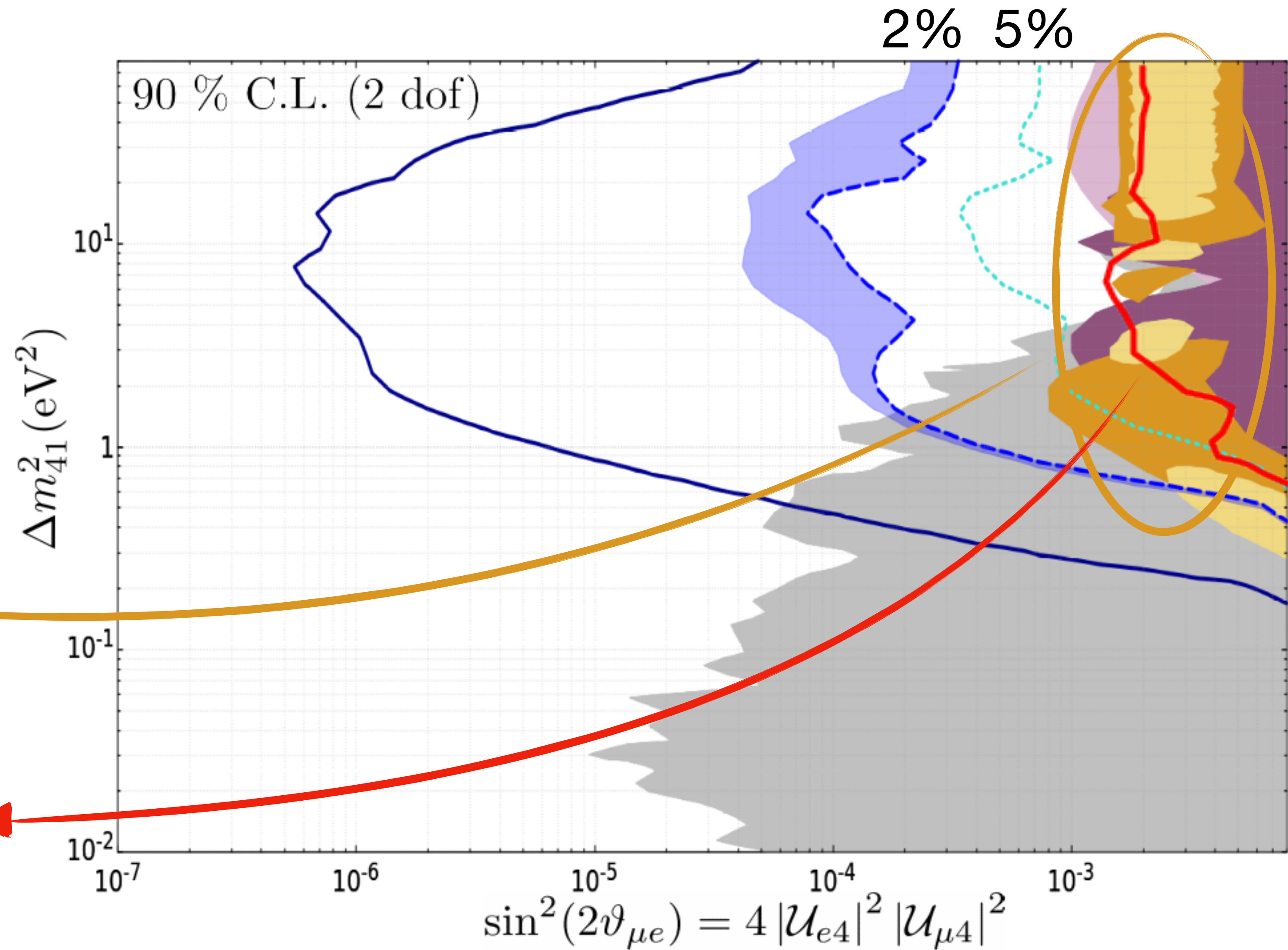
## Sterile oscillations

$$\nu_{\mu} \rightarrow \nu_e$$

LSND & MiniBooNE  
preferred region @ 99% CL

MicroBooNE @ 95% CL

[MicroBooNE, arXiv: 2210.10216](#)



# Conclusions

Near detectors can be useful to study physics beyond  $3\nu$  oscillations

Systematic uncertainties play a crucial role



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Study  $\nu_\tau$  appearance in DUNE

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Near detectors can be useful to study physics beyond  $3\nu$  oscillations

Systematic uncertainties play a crucial role

Study  $\nu_\tau$  appearance in DUNE

2% shape uncertainties:

- Reduce considerably the sensitivity to  $\nu_\mu \rightarrow \nu_e$  around 2 orders of magnitude
- Reduce bound on NU parameters about a factor 2

**Thank you!**

**Back-up slides**

# Simulation details

## $\nu_\tau$ detection

PDG, P. A. Zayla *et al.*, PTEP 2020  
GENIE Collaboration, J. Tena-Vidal *et al.*, arXiv:2104.09179  
A. de Gouvêa *et al.*, arXiv:1904.07265

Produce  $\tau$  through CC interactions

Consider only  $\tau$  hadronic decay ( $BR(\tau \rightarrow had) \sim 65\%$ )

Main background due to NC

# Simulation details

## Systematic uncertainties

Event sample	Contribution	Benchmark 1		Benchmark 2		Benchmark 3	
		$\sigma_{norm}$	$\sigma_{shape}$	$\sigma_{norm}$	$\sigma_{shape}$	$\sigma_{norm}$	$\sigma_{shape}$
$\nu_e$ -like	Signal	5%	—	5%	—	5%	—
	Intrinsic cont.	10%	—	10%	2%	10%	5%
	Flavor mis-ID	5%	—	5%	2%	5%	5%
	NC	10%	—	10%	2%	10%	5%
$\nu_\mu$ -like	$\nu_\mu, \bar{\nu}_\mu$ CC (signal)	10%	—	10%	2%	10%	5%
	NC	10%	—	10%	2%	10%	5%
$\nu_\tau$ -like	Signal	20%	—	20%	—	20%	—
	NC	10%	—	10%	2%	10%	5%

Allows every bin to vary independently

# Results

## Non-standard neutrino interactions

$$P_{\gamma\beta} = \left| \epsilon_{\gamma\beta}^d \right|^2 + \left| \epsilon_{\gamma\beta}^s \right|^2 + 2 \left| \epsilon_{\gamma\beta}^d \right| \left| \epsilon_{\gamma\beta}^s \right| \cos \left( \Delta\Phi_{\gamma\beta} \right)$$

# Results

## Non-standard neutrino interactions

Appearance channel  $\nu_\tau$  90% C.L.

$$\nu_\mu \rightarrow \nu_\tau$$

