

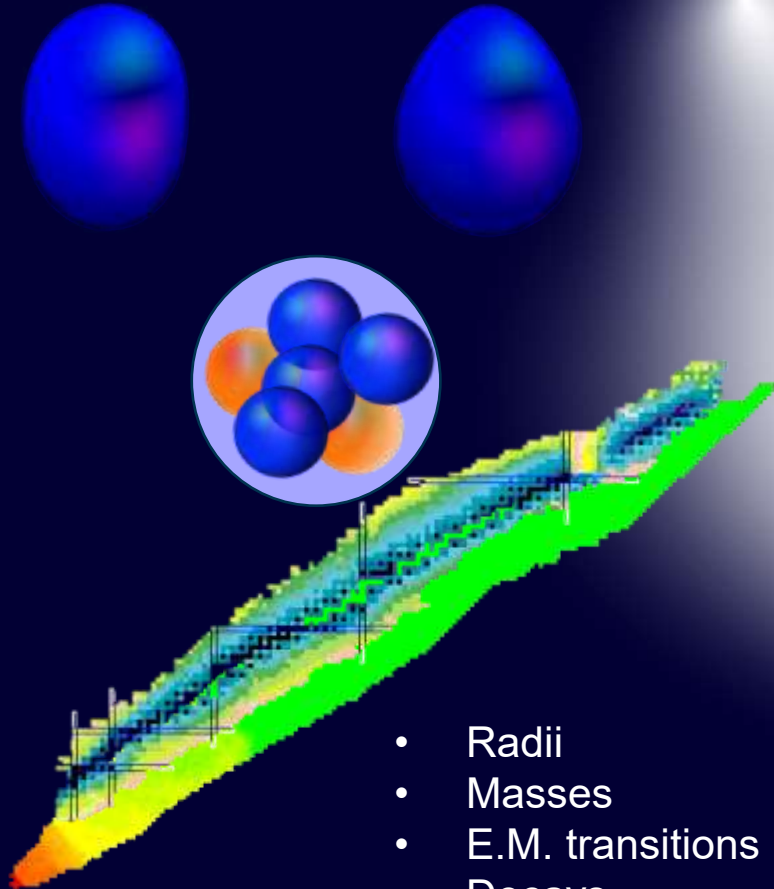
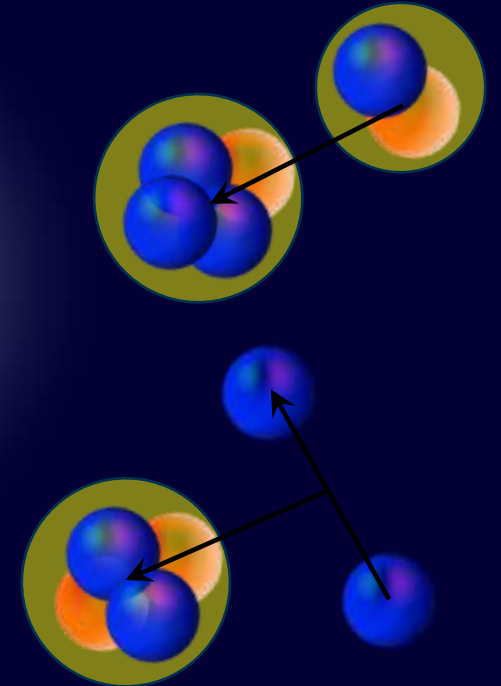
# A journey into nuclear structure theory

## Nuclear structure from nuclear reactions



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- Cross-sections
  - Nuclear
  - Photonuclear
  - Electronuclear
  - ...



- Radii
- Masses
- E.M. transitions
- Decays



université  
PARIS-SACLAY

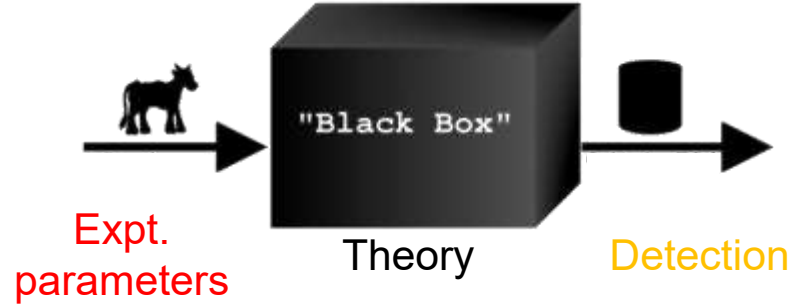
Université  
de Paris

Aknowledgement : D. Lacroix

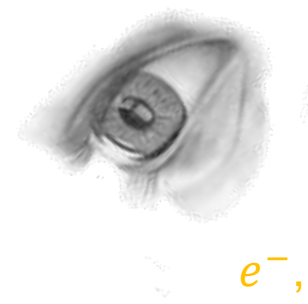


# A nuclear reaction in the laboratory

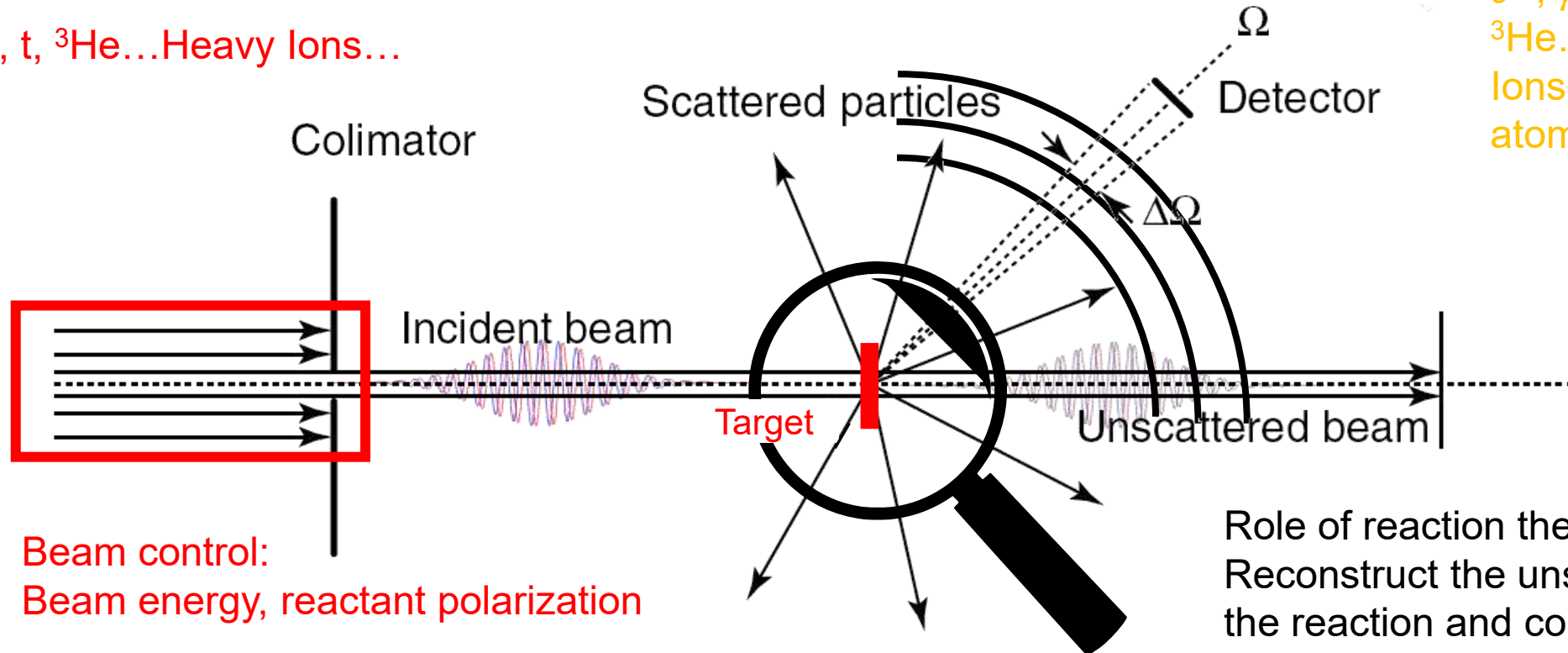
Typical experiment:



$e^-$ ,  $\gamma$ ,  $p$ ,  $n$ ,  $t$ ,  ${}^3\text{He}$ ...Heavy Ions...



$e^-$ ,  $\gamma$ ,  $p$ ,  $n$ ,  $t$ ,  
 ${}^3\text{He}$ ...Heavy Ions...polarimeter,  
atomic transitions...



Beam control:  
Beam energy, reactant polarization

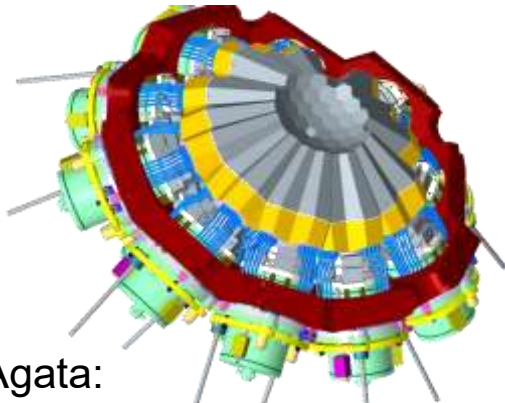
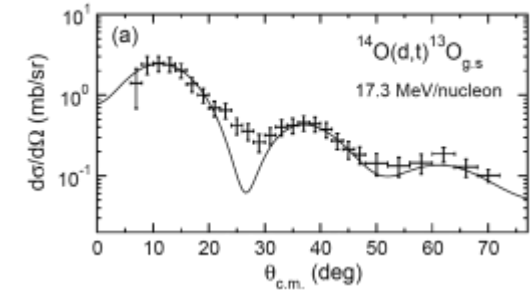
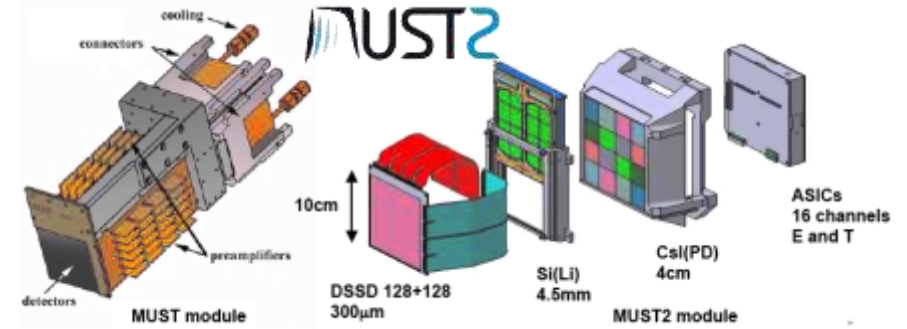
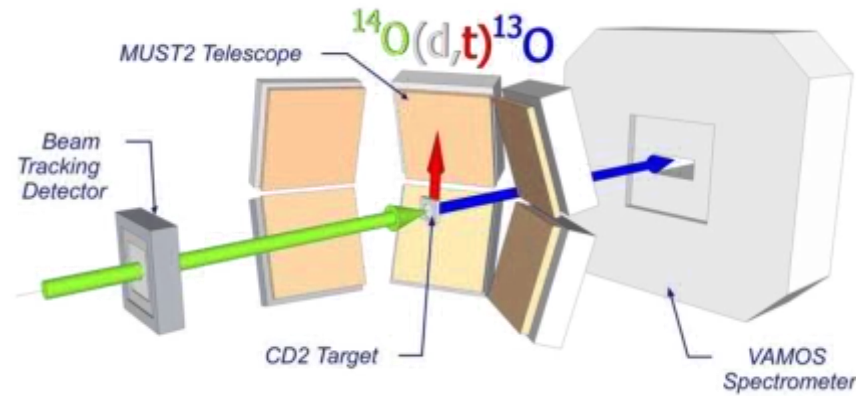
Role of reaction theory:  
Reconstruct the unseen history of  
the reaction and connect to  
nuclear structure properties



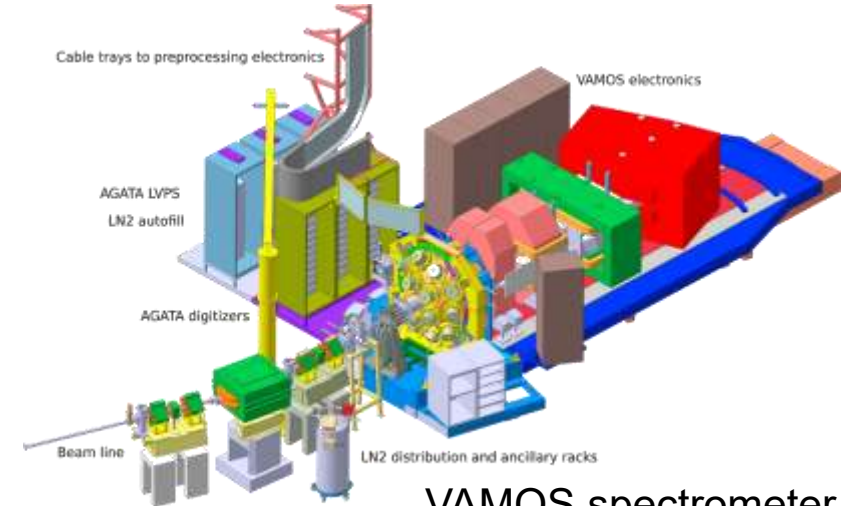
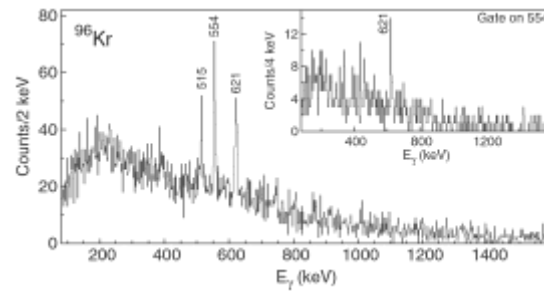
# Today's nuclear reactions in the laboratory

An example of experiment:

- Determination of the nature of the products (PID)
- Energy and angular resolution
- Momentum distributions
- $\gamma$  measurements



Agata:  
4 $\pi$  gamma-ray detector  
FWHM 6keV @ 1 MeV  
 $\epsilon \sim 43\%$

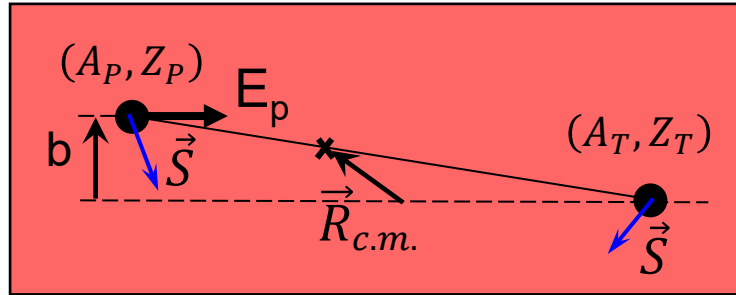


VAMOS spectrometer



# Classification of reactions

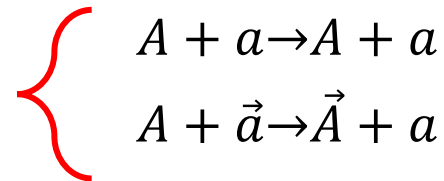
Entrance channel



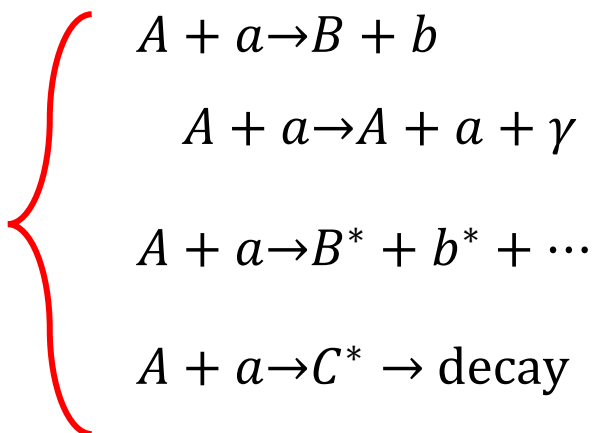
Exit channel

Inclusive/exclusive  
Strongly detectors  
dependent

No energy transfer



Energy transfer



Elastic scattering  $A(a, a)A$

Spin transfer  $A(\vec{a}, a)\vec{A}$

Transfer reaction  $A(a, b)B$

Nuclear Bremsstrahlung

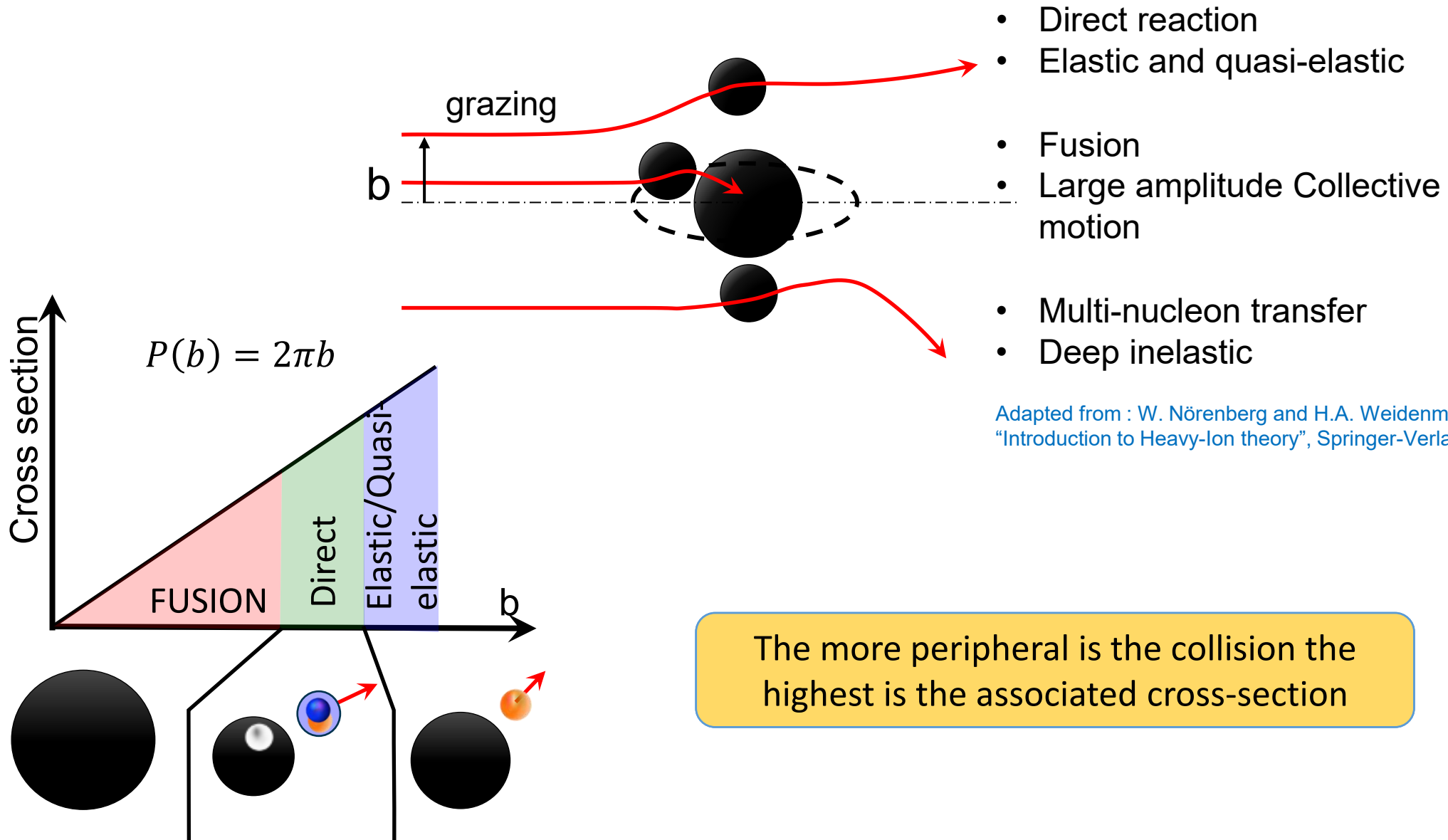
Inelastic scattering

Deeply inelastic...

Compound nucleus



# Nuclear reactions by classifications

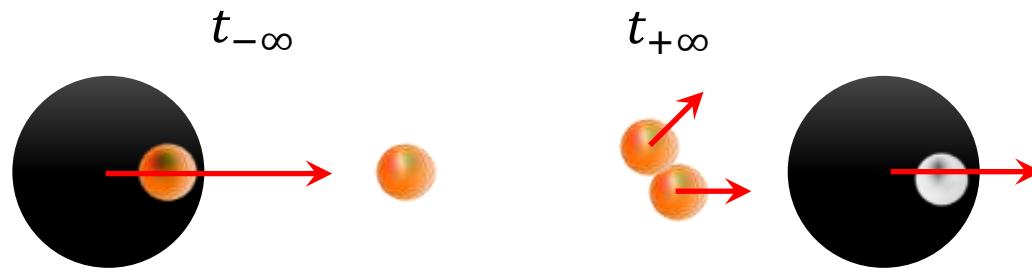




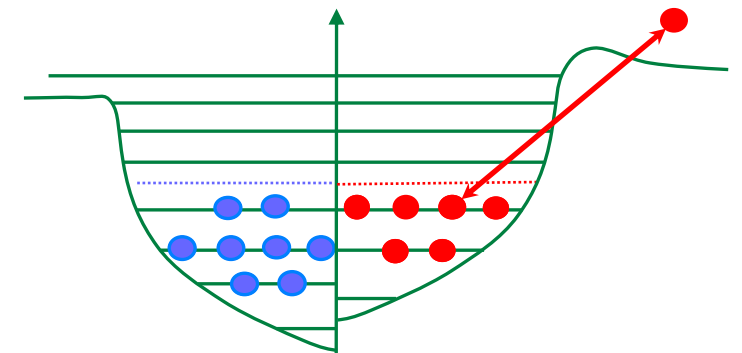
# From reaction observables to nuclei

## Nuclear structure

### Knockout reactions (high energy)



### Spectroscopic information



### Transfer reactions (low energy)

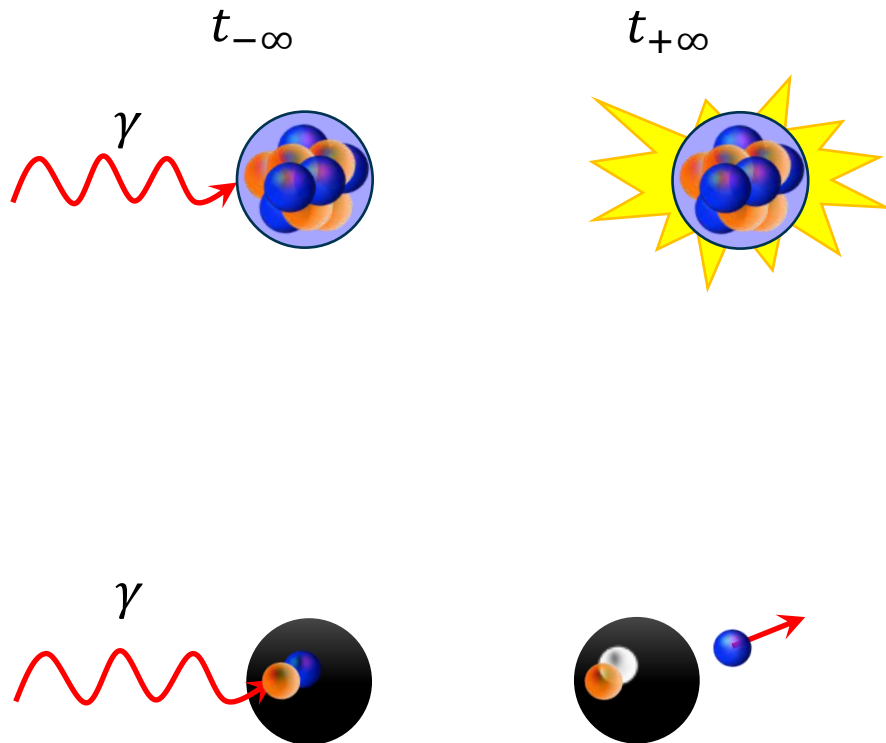




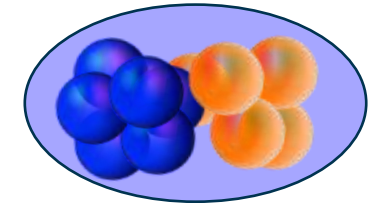
# From reaction observables to nuclei

## Nuclear spectroscopy

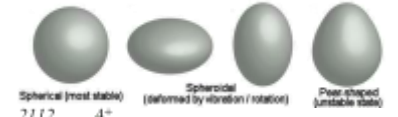
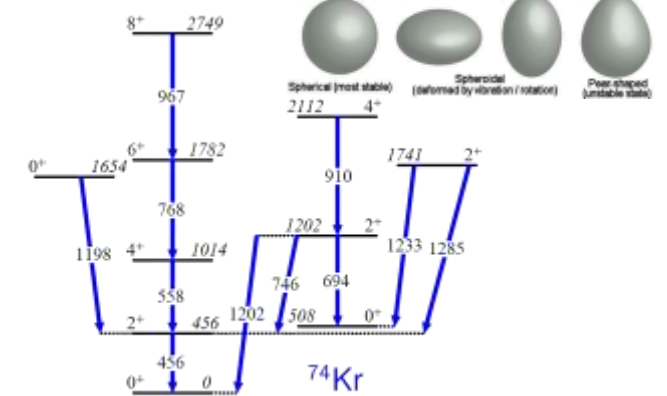
### Photonuclear reactions



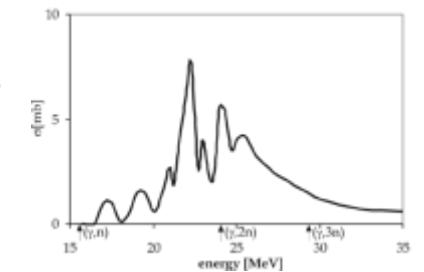
### GDR excitation



### Collective motion



Bremsstrahlung, radiative capture.  
Nuclear force imprints low-energy resonances.  
Sensitive to off-shellness.

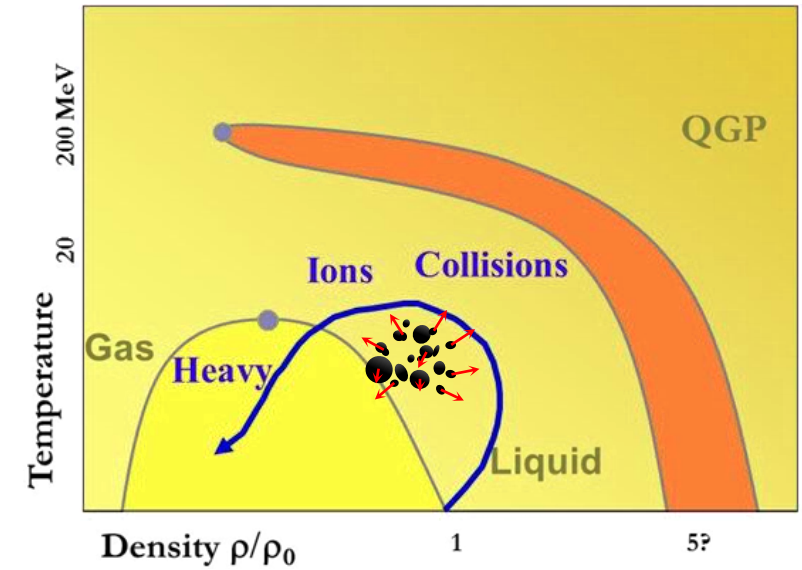
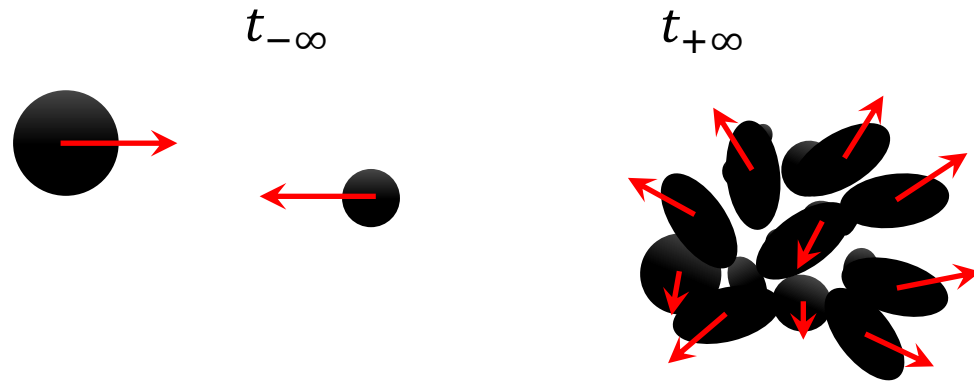




# From reaction observables to nuclei

Out of equilibrium properties (away from nuclear density)

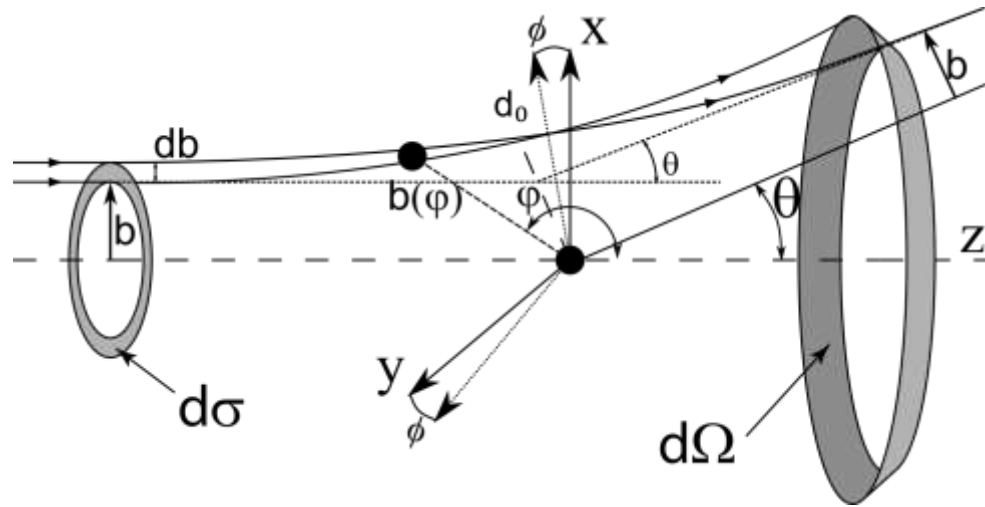
Reactions at Fermi energy







# Definitions of cross-section and other quantities



Differential cross-section

$$\frac{d\sigma_{\text{channel}}(\theta, \varphi)}{d\Omega} = \frac{(\# \text{ scattered particle} \cdot \text{s}^{-1})(\theta, \varphi)}{(\text{Inc. flux})(\# \text{ scatt. centers})(\text{unit of } \Omega)}$$

$$\xrightarrow{n=1} \frac{j_f(\theta, \varphi)}{j_i}$$

We define the outgoing solution

$$|\psi^+\rangle = |\phi_i\rangle + |\phi_f\rangle$$

$$\psi_k^+(\vec{r}) \xrightarrow{r \rightarrow \infty} A \left( e^{i\vec{k} \cdot \vec{r}} + f(\Theta, \varphi) \frac{e^{ikr}}{r} \right)$$

The flux is given by

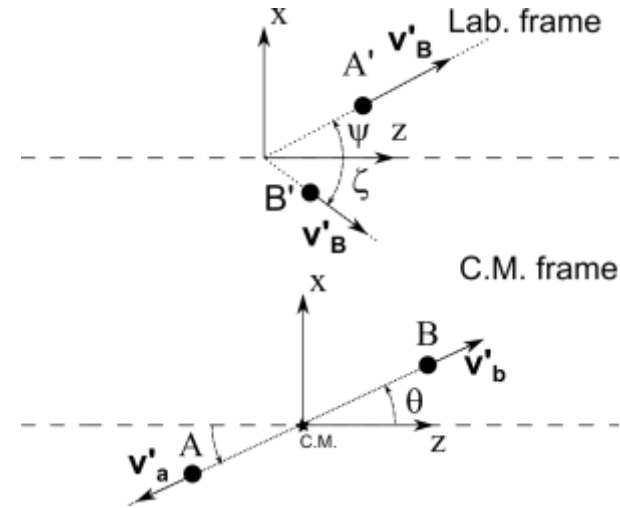
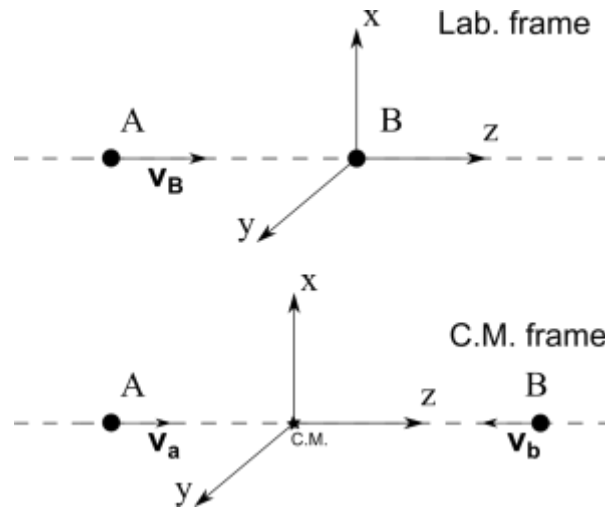
$$\vec{j} = \frac{\hbar}{2\mu i} \left( (\psi_k^+)^* \nabla \psi_k^+ - \psi_k^+ \nabla (\psi_k^+)^* \right)$$

We find the cross section to be:

$$\frac{d\sigma(\theta, \varphi)}{d\Omega} = |f(\Theta, \varphi)|^2$$



# Translational invariance: C.M. frame



The Schrödinger equation

$$\left[ -\frac{\hbar^2}{2m_A} \nabla_A^2 - \frac{\hbar^2}{2m_B} \nabla_B^2 + V(|\vec{r}_A - \vec{r}_B|) \right] \psi_k^+(\vec{r}_A, \vec{r}_B) = E \psi_k^+(\vec{r}_A, \vec{r}_B)$$

reduces in the c.m. frame

$$\left[ -\frac{\hbar^2}{2\mu} \nabla^2 + V(|\vec{r}|) \right] \psi_k^+(\vec{r}) = E_{\text{C.M.}} \psi_k^+(\vec{r})$$

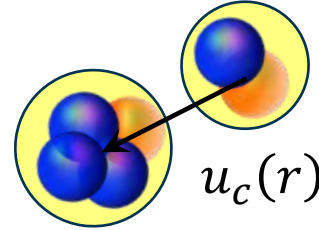
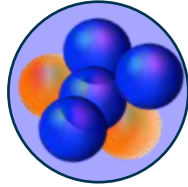
$$w = \frac{E}{\hbar} = \frac{\hbar k^2}{2\mu} = kv \leftarrow \text{phase velocity}$$



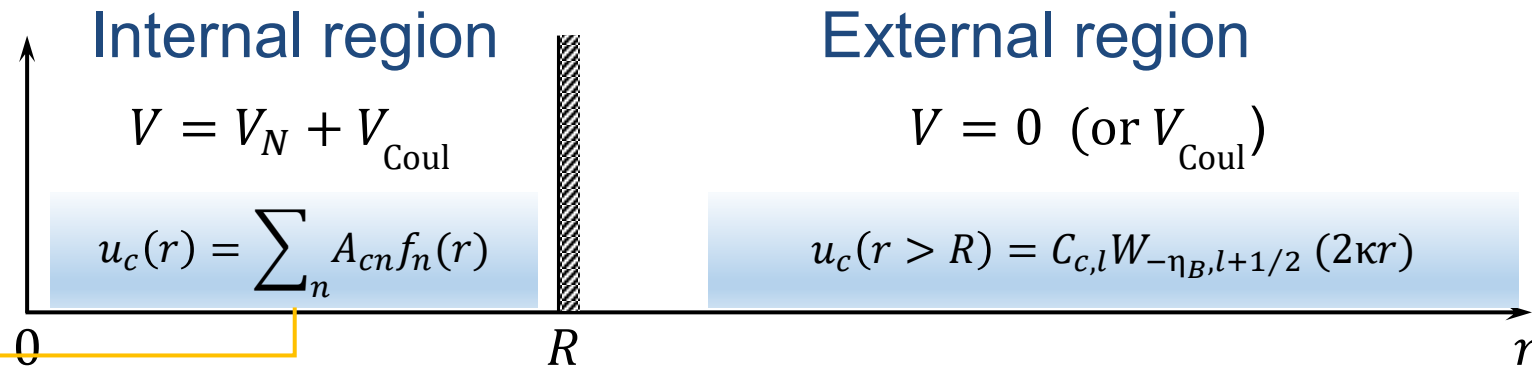
# Bound state $E < 0$

$$\Psi_{A+a}^{J^\pi} = S_{a,A}^A \left[ \Psi_a \Psi_A \chi_{k\beta}^f(\vec{r}) \right]^{J^\pi}$$

- $S_{a,A}^A$  spectroscopic amplitude
- $|S_{a,2}^A|^2 \sim$  probability to form the configuration  $(a + A)$  in the nucleus  $A$



The Asymptotic Normalization Coefficient (ANC)  $C_c = \frac{u_c(r)}{W_{-\eta_B, l+1/2}(2\kappa r)}$  pour  $r > R$ .

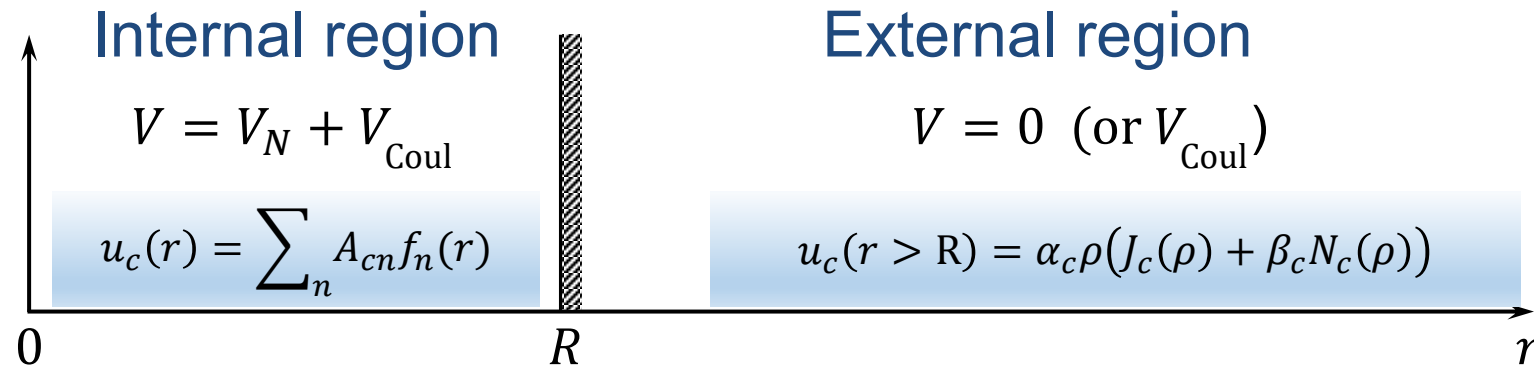


The tail of the wave function is determined by  $E_B$  and  $\kappa$

$$u_c(r \rightarrow \infty) \sim x^{-\eta_B} e^{-\frac{2\kappa r}{2}}, \quad \kappa = \sqrt{-\frac{2\mu E_B}{\hbar}}$$



## Phase shifts, resonances $E > 0$



Matching conditions on  $u_{k,l \equiv c}(\rho)$  and  $\frac{du_{k,l \equiv c}(\rho)}{dr}$  at  $r = R$  between region I and II determines  $\beta_c$ .

If we write  $\beta_c = \tan \delta_c$ ,  $\delta_c$  called the phase shift since:

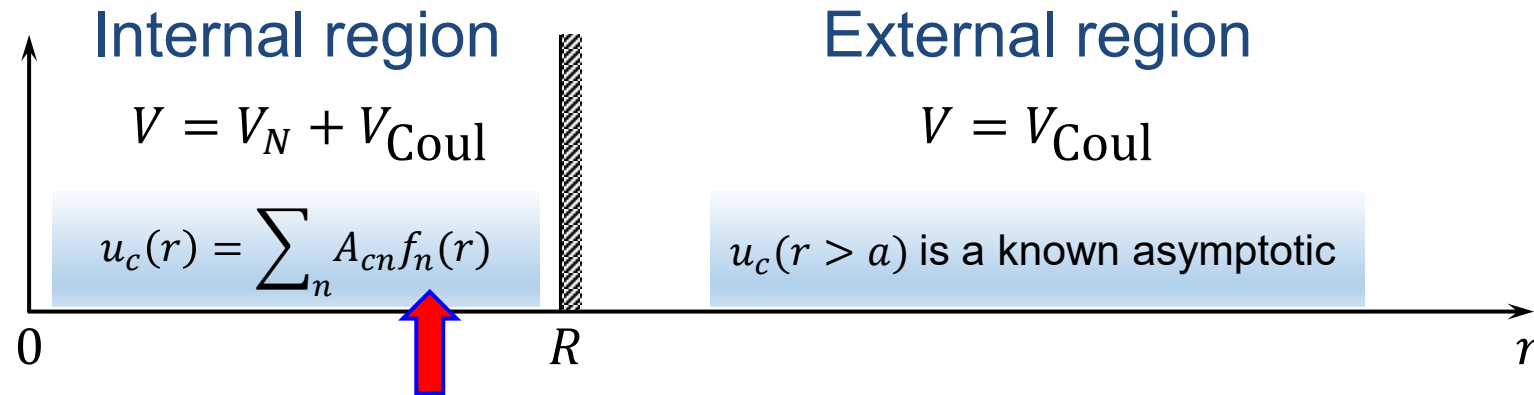
$$u_c(r \rightarrow \infty) \sim \sin(\rho - l\pi/2 + \delta_c)$$

While the plane wave gives

$$\sin(\rho - l\pi/2)$$



# Solution of the scattering problem with R-matrix method



Decomposition on a Lagrange mesh.

NCSMC can be cast as Bloch-Schrödinger equation:

$$(C - EI)\vec{X} = Q(B)$$

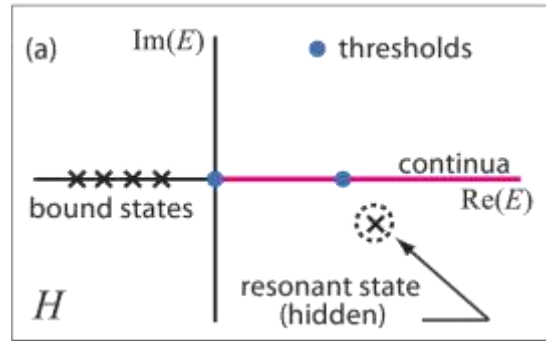
And solved using R-matrix, which in the eigen basis of  $C - EI$  reads:

$$R_{cc'} = \sum_{\lambda} \frac{\gamma_{\lambda c} \gamma_{\lambda c'}}{E_{\lambda} - E}$$

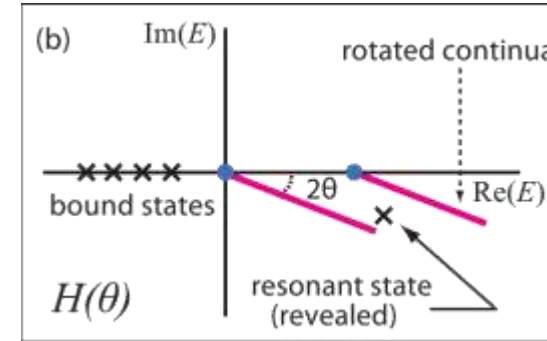
Simple for binary reacting system, more involved for neutral ternary system and extremely challenging for charged breakup.



# Asymptotically vanishing equivalent problem



Complex scaling



Kruppa et al. PRC89 (2014)

The complex scaling and the resonance states

$$\hat{H}(r) = \hat{T} + \hat{V}(r)$$



$$\begin{aligned} \hat{H}(\theta) &= e^{-2i\theta} \hat{T} + \hat{V}(re^{i\theta}) \\ \hat{H}(r) &= \hat{U}(\theta) \hat{H}(r) \hat{U}^{-1}(\theta) \end{aligned}$$

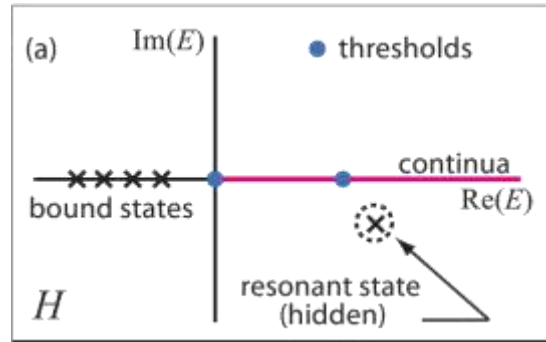
**Aguilar-Balslev-Combes theorem:** the resonant states of the original Hamiltonian are invariant and the non-resonant scattering states are rotated and distributed on a  $2\theta$  ray that cuts the complex energy plane with a corresponding threshold being the rotation point. [Math. Phys. 22, 269 \(1971\)](#)

$$\hat{H}(r, \theta) \psi(r, \theta) = (E + i\Gamma) \psi(r, \theta)$$

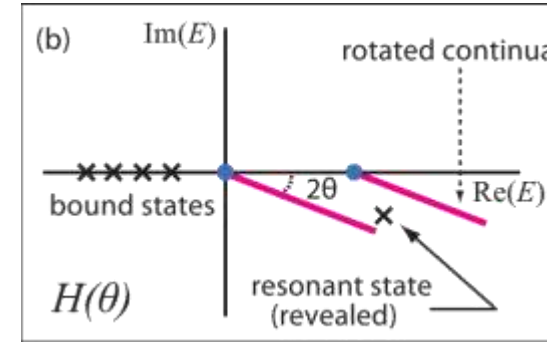
Energy
Half-life



# Asymptotically vanishing equivalent problem



Complex scaling



Kruppa et al. PRC89 (2014)

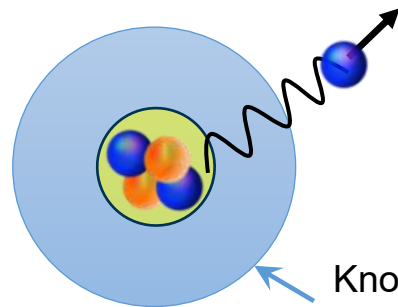
## The complex scaling and the resonance states

$$\hat{H}(r) = \hat{T} + \hat{V}(r)$$



$$\hat{H}(\theta) = e^{-2i\theta}\hat{T} + \hat{V}(re^{i\theta})$$

$$\hat{H}(r) = \hat{U}(\theta)\hat{H}(\theta)\hat{U}^{-1}(\theta)$$

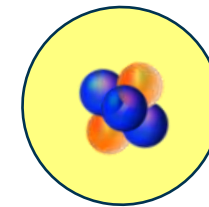


Known asymptotic

$$U(\theta)H(r)U(\theta)^{-1}$$



$$\psi(r, \theta) \underset{\infty}{\sim} e^{-kr \sin \theta}$$



Spatially extended but exponential fall off

Boundary limit problem

Bound state problem



## From phase shifts to cross-sections

$$H_{A+B}|\psi_i^+\rangle = E_i|\psi_i^+\rangle$$

Solve

$$\left[ -\frac{d^2}{dr^2} + \frac{l(l+1)}{r^2} + \frac{2\mu}{\hbar^2}V(r) \right] u_{k,l}(r) = k^2 u_{k,l}(r)$$

$$f_l(k) = \frac{e^{2i\delta_l(k)} - 1}{2ik}$$

Match

$$\psi_k^+(\vec{r}) \rightarrow \left( e^{i\vec{k}\cdot\vec{r}} + f(\Theta, \varphi) \frac{e^{ikr}}{r} \right)$$

$$\frac{d\sigma(\theta, \varphi)}{d\Omega}, \sigma_{\text{tot}}$$

Calculate

Differential cross-sections for central potential

$$\frac{d\sigma(\theta, \varphi)}{d\Omega} = \frac{1}{4k^2} \left| \sum (2l+1) \left( 1 - \frac{e^{2i\delta_l(k)} - 1}{2ik} \right) P_l(\cos \Theta) \right|^2$$





# Reaction theory as a series

The Lippmann-Schwinger equation gives

$$\psi_{\mathbf{k}}^{\pm}(\vec{r}) = \frac{e^{i\vec{k}\cdot\vec{r}}}{(2\pi)^{3/2}} - \frac{2\mu}{\hbar^2} \int d^3r' \frac{e^{\pm ik|r-r'|}}{4\pi|r-r'|} V(\vec{r}') \psi_{\mathbf{k}}^{\pm}(\vec{r}')$$

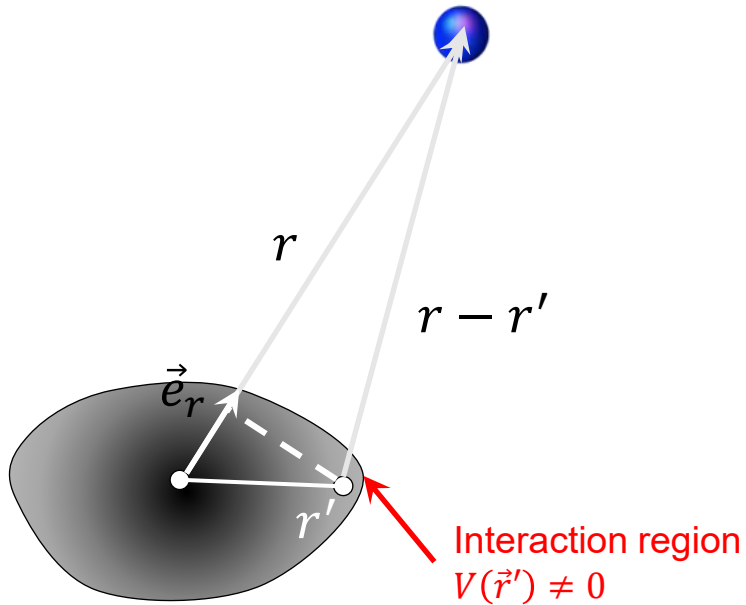
$$\text{with } \vec{k}' = k\vec{e}_r \\ k' = k$$

Looking for the solution following

$$\psi_{\mathbf{k}}^+(\vec{r}) \rightarrow \left( e^{i\vec{k}\cdot\vec{r}} + f(\Theta, \varphi) \frac{e^{ikr}}{r} \right)$$

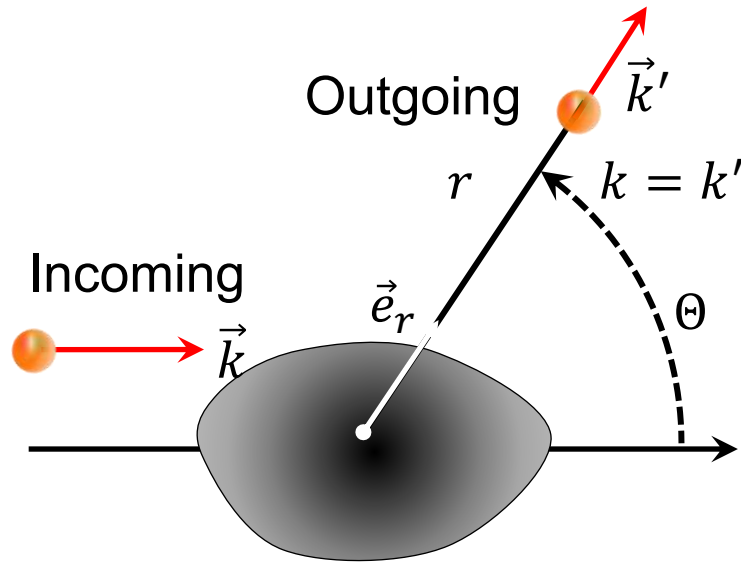
We obtain the scattering amplitude:

$$f(\theta, \varphi) = -2\pi^2 \frac{2\mu}{\hbar^2} \int d^3r' \frac{e^{-i\vec{k}'\cdot\vec{r}'}}{(2\pi)^{3/2}} V(\vec{r}') \psi_{\mathbf{k}}^+(\vec{r}')$$





# Reaction theory as a series



T-matrix is defined as

$$f(\theta, \varphi) = -2\pi^2 \frac{2\mu}{\hbar^2} \langle \varphi_{0,k'} | V | \psi_k^+ \rangle = 2\pi^2 \frac{2\mu}{\hbar^2} |T_{k',k}|$$

We deduce the differential cross-section as:

$$\frac{d\sigma(\theta, \varphi)}{d\Omega} = \left( 2\pi^2 \frac{2\mu}{\hbar^2} \right)^2 |T_{k',k}|^2$$

$T_{k',k}$  is the on-shell [ $k = k'$ ] T-matrix element and relates to the S-matrix by

$$S_{k',k} = \delta(\vec{k} - \vec{k}') - 2\pi\delta(E_k - E_{k'})T_{k',k}$$

So  $T_l(E) = -1/\pi e^{i\delta_l(E)} \sin \delta_l(E)$ . Similarly  $T_l(E) = \frac{2\mu}{\pi\hbar^2} \int dr r J_l(kr) V(r) u_l(r)$

Reaction observables carry imprints of the nuclear force

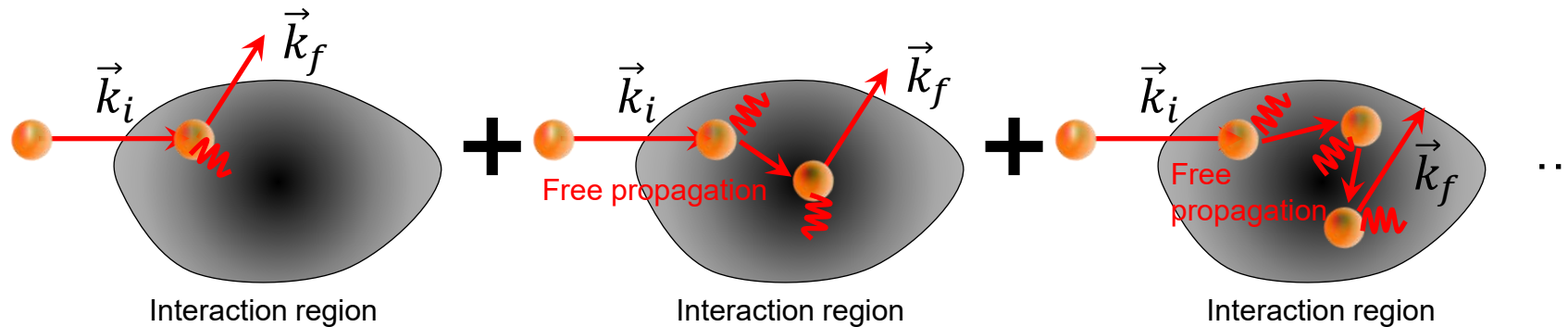


# Representation of the born series

From the Lippmann-Schwinger equation we define the Born series expansion

$$f(\theta, \varphi) = -2\pi^2 \left\langle \varphi_{0,k'} \left| V \Sigma \left( \frac{2\mu}{\hbar^2} G_0 V \right)^n \right| \varphi_{0,k} \right\rangle$$

We can understand that the unperturbed plane wave undergoes a sequences of multiples scattering events from inside the potential region:



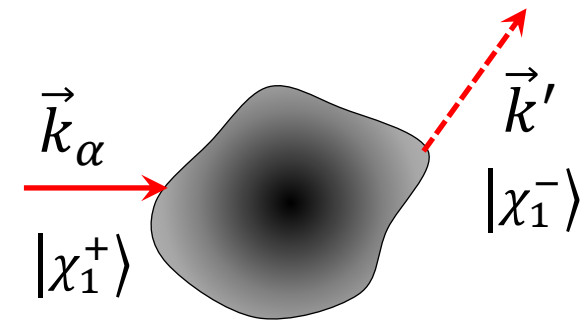
But the series may not converged until all terms are including if the potential is strong enough.



# The Distorted Wave-Born Approximation (DWBA)

## Born Approximation

$$\chi_k^+(\vec{r}) = \frac{e^{i\vec{k}_\alpha \cdot \vec{r}}}{(2\pi)^{3/2}} + \frac{2\mu}{\hbar^2} \int d^3r' \frac{e^{ik_\beta|r-r'|}}{4\pi|r-r'|} V(\vec{r}') \chi_k^+(\vec{r}')$$



## Systematic constructive treatment

$$f_{\text{Born}} = -\frac{2\mu}{4\pi\hbar^2} \langle \mathbf{k}' | V | \mathbf{k} \rangle$$

In some cases, the free-wave approximation is rather poor starting point.

Suppose  $V = V_{\text{MF}} + V_{\text{res}}$  and the solutions of  $(\nabla^2 + k^2 - V_{\text{MF}})\chi_1(\mathbf{k}, \mathbf{r}) = 0$  are known/computable

One can show  $f = f_1 - \frac{2\mu}{4\pi\hbar^2} \int d^3r' \chi_1^-(\mathbf{k}, \vec{r}') V_{\text{res}}(\vec{r}') \chi_k^+(\vec{r}')$

The DWBA approximation consists in:

$$\chi_k^+ \rightarrow \chi_1^+(\mathbf{k}, \mathbf{r}) \text{ then } f = f_1 - \frac{2\mu}{4\pi\hbar^2} \langle \chi_1^- | V_{\text{res}} | \chi_1^+ \rangle$$



# Multichannel reactions

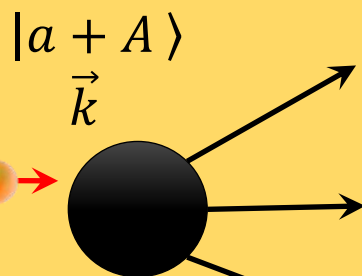
$$f(\Theta, \varphi) = \sum_{\beta} f_{\beta}(\Theta, \varphi)$$

All energetically allowed opened channels  $\beta$

Note that, in a finite basis, closed channels also contribute but to reaction observables

$t = t_0$

Incoming wave



$|a + A \rangle$

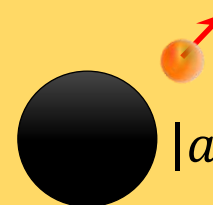
$\vec{k}$

$$\Psi_a \Psi_A \chi_k^i(\vec{r})$$
$$\chi_k^i(\vec{r}) \propto e^{i\vec{k} \cdot \vec{r}} \quad \begin{matrix} H_a \Psi_a = E_0 \Psi_a \\ H_A \Psi_A = E_0 \Psi_A \end{matrix}$$

$\Psi_a$  and  $\Psi_A$  quantum numbers defined conservation of total relative angular momentum

$t \gg t_0$

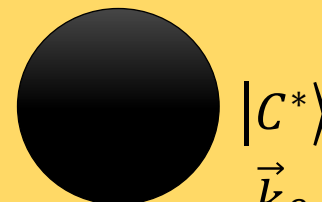
Elastic channel, always opened



$|a + A \rangle$

$\vec{k}_{\beta}$

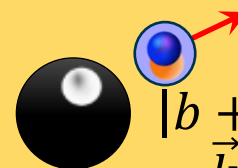
$$\Psi_a \Psi_A \chi_{k_{\beta}}^f(\vec{r})$$
$$\begin{matrix} H_a \Psi_a = E_0 \Psi_a \\ H_A \Psi_A = E_0 \Psi_A \end{matrix}$$



$|C^* \rangle$

$\vec{k}_{\beta}$

$$\Psi_{C^*}$$
$$H_C \Psi_{C^*} = E_n \Psi_{C^*}$$



$|b + C \rangle$

$\vec{k}_{\beta}$

$$\Psi_b \Psi_C \chi_{k_{\beta}}^f(\vec{r})$$
$$\begin{matrix} H_b \Psi_b = E_0 \Psi_b \\ H_C \Psi_C = E_0 \Psi_C \end{matrix}$$

channel Q-value



# Multichannel reactions

Scattering wave-  
function

$$\psi_{\vec{k}}^+(\vec{r}) \rightarrow \left( e^{i\vec{k}\cdot\vec{r}} \Psi_a \Psi_A + \sum_{\beta} f_{\beta}(\Theta, \varphi) \frac{e^{ik_{\beta}r}}{r} \Psi_{i_{\beta}} \Psi_{I_{\beta}} \right)$$

Interaction  
between target  
and projectile

$\vec{k}_{\beta}$   
 $|i_{\beta} + I_{\beta}\rangle$

Partial cross-section

$$\frac{d\sigma_{\beta}}{d\Omega} = \frac{\vec{j}_f \cdot d\vec{S} / r^2}{\vec{j}_i \cdot \hat{k}}$$

Since  $\vec{j} = \rho \vec{v}$  with  $\vec{v}$  the wave vector, we have that :

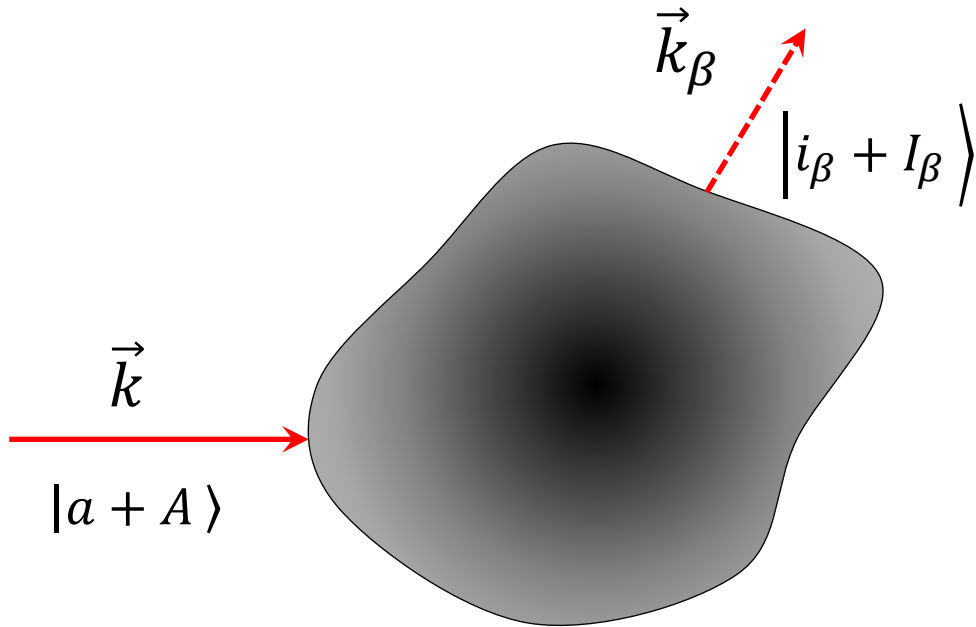
$$\frac{d\sigma_{\beta}}{d\Omega} = \frac{v_{\beta}}{v} |f_{\beta}(\Theta, \varphi)|^2 \quad \longrightarrow$$

- Elastic scattering  $v_{\beta}/v = 1$
- Inelastic scattering  $v_{\beta}/v \neq 1$



# Multichannel reactions

$$\frac{d\sigma_\beta}{d\Omega} = \frac{v_\beta}{v} |\check{f}_\beta(\Theta, \varphi)|^2$$



Channels will all interfere...

$|a + A\rangle$   
 Elastic channel with  $\frac{v_\beta}{v} = 1$ , always opens

$|a^* + A^*\rangle$   
 Inelastic scattering  $\frac{v_\beta}{v} \neq 1$ ,  
 Energetically opens if  $E_{\text{c.m.}}$  is  
 greater than the reaction  
 threshold

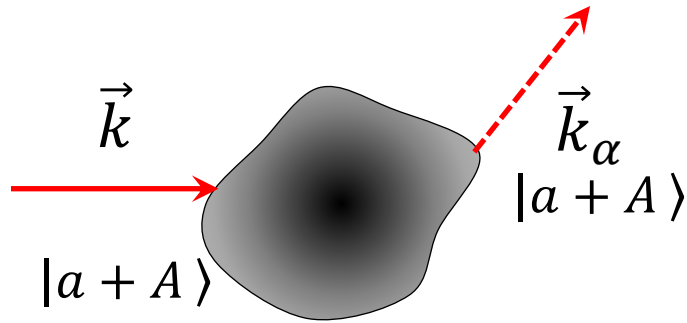
$|b + C\rangle$   
 $|b + d + C\rangle$   
 All other reaction channels  
 energetically allowed ( $\frac{v_\beta}{v} \neq 1$ )

Inelastic channels



# Influence of the non-elastic channels on cross-section

With only elastic channel

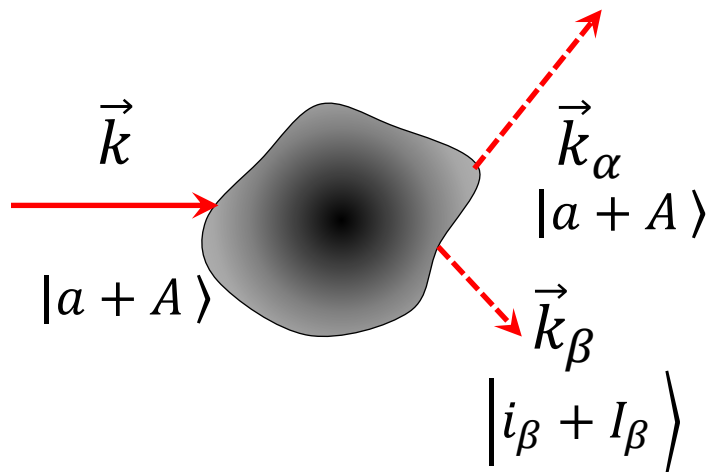


$$\psi_k^+(\vec{r}) \rightarrow \left( e^{i\vec{k}\cdot\vec{r}} + f(\Theta, \varphi) \frac{e^{ik_\alpha r}}{r} \right)$$

$$u_{\alpha,l}(r > R) = A_{\alpha,l} \rho \left( H_l^-(\rho) - S_{\alpha,l} H_l^+(\rho) \right)$$

The conservation of the momentum leads to  $k = k_\alpha$ , the conservation of the flux [which implies the unitarity of the S-matrix i.e.  $S_{\alpha,l} S_{\alpha,l}^* = 1$ ] means  $S_{\alpha,l} = e^{2i\delta_l}$ ,  $\delta \in \mathbb{R}$

Adding non-elastic channels



$$u_{\alpha,l}(r > R) = A_{\alpha,l} \rho \left( H_l^-(\rho) - S_{\alpha,l} H_l^+(\rho) \right)$$

$$u_{\beta,l}(r > R) = -A_{\beta,l} \rho S_{\beta,l} H_l^+(\rho)$$

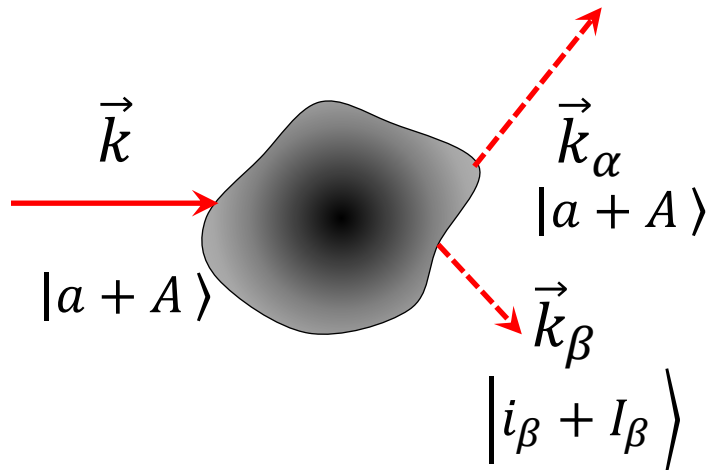
Where  $S_{\beta,l} = \sqrt{v/v_\beta} \tilde{S}_{\beta,l}$  and  $\tilde{S}_{\beta,l} = e^{2i\delta_l}$ ,  $\delta \in \mathbb{C}$ . Total energy is conserved but  $k_\beta \neq k$  due to energy consumed by the Q value. The flux is distributed among channels:

$$|S_{\alpha,l}(E)|^2 + \sum |S_{\beta,l}(E)|^2 = 1$$





# Elastic, Reaction and Total cross section



Elastic channel:

$$\sigma_{\text{el}} = \frac{\pi}{k^2} \sum (2l + 1) |1 - \tilde{S}_{\alpha,l}|^2$$

Inelastic channels:

$$\sigma_{\text{in}} = \frac{\pi}{k^2} \sum (2l + 1) |\tilde{S}_{\beta,l}|^2$$

Sum of all inelastic channels (absorption cross-sec.):

$$\sigma_{\text{abs}} = \frac{\pi}{k^2} \sum (2l + 1) (1 - |\tilde{S}_{\alpha,l}|^2)$$

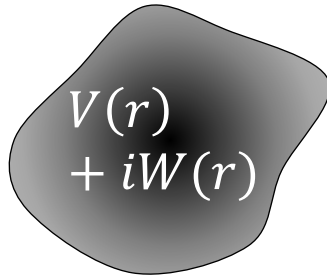
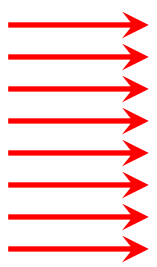
from  $|\tilde{S}_{\alpha,l}|^2 + \sum |\tilde{S}_{\beta,l}|^2 = 1$ , and total cross-section

$$\begin{aligned} \sigma_{\text{tot}} &= \sigma_{\text{el}} + \sigma_{\text{abs}} \\ &= \frac{2\pi}{k^2} \sum (2l + 1) (1 - \text{Re}(\tilde{S}_{\alpha,l})) \end{aligned}$$

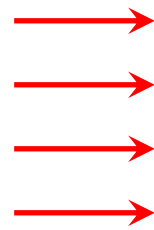


# Optical potential

Incoming



Outgoing



Scattering equation with an imaginary potential

$$\left( \Delta + k^2 - \frac{2\mu}{\hbar^2} (V(\vec{r}) + iW(\vec{r})) \right) \varphi(\vec{r}) = 0$$

With start with the conservation of matter

$$\frac{d\rho}{dt} = -\vec{\nabla} \cdot \vec{j}$$

Here we have  $\hbar \vec{\nabla} \cdot \vec{j} = 2W(r)\rho(r)$  we immediately obtained the lost outgoing flux as  $-\frac{2}{\hbar} \int d^3r W(r)\rho(r)$ , the absorption cross-section reads

$$\sigma_{\text{abs}} = -\frac{2}{\hbar v} \int d^3r W(r)\rho(r)$$

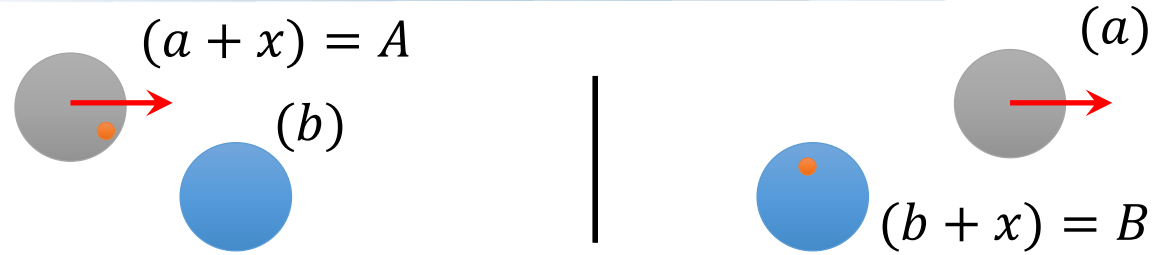
In practice,  $W(r)$  is parametrized by various Wood-Saxon functions:

$$W(r, E) = -W^{\text{vol}}(E, r) + 4a^{\text{sur}} W^{\text{sur}}(E) \frac{d}{dr} f(r) + W^{\text{so}}(r, E)$$

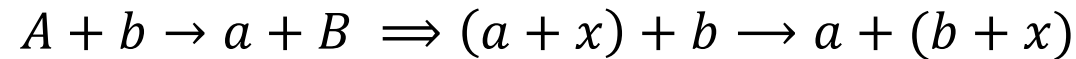


# Nucleon transfer

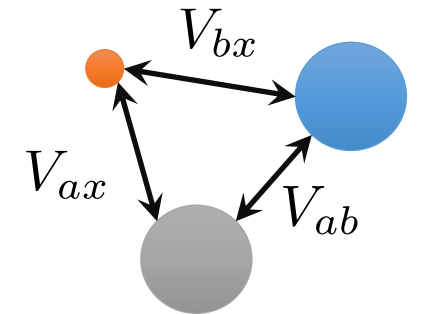
DWBA applied to nuclear transfer reaction



At high energies, we assume internal dof are frozen (spectator nucleons) only the transferred particle (n, p, d, ...) is considered explicitly:



The interaction potentials should be between all constituents [3-body problem]:



Entrance

Exit

$$\Psi_{A=(a+x)}^{J\pi} = S_{a,x}^A \left[ \Psi_a \Psi_x \chi_{k\beta}^f(\vec{r}) \right]^{J\pi} + \dots \quad \Psi_{B=(b+x)}^{J\pi} = S_{b,x}^A \left[ \Psi_b \Psi_x \chi_{k\beta'}^f(\vec{r}) \right]^{J\pi} + \dots$$

$\chi_1^-$

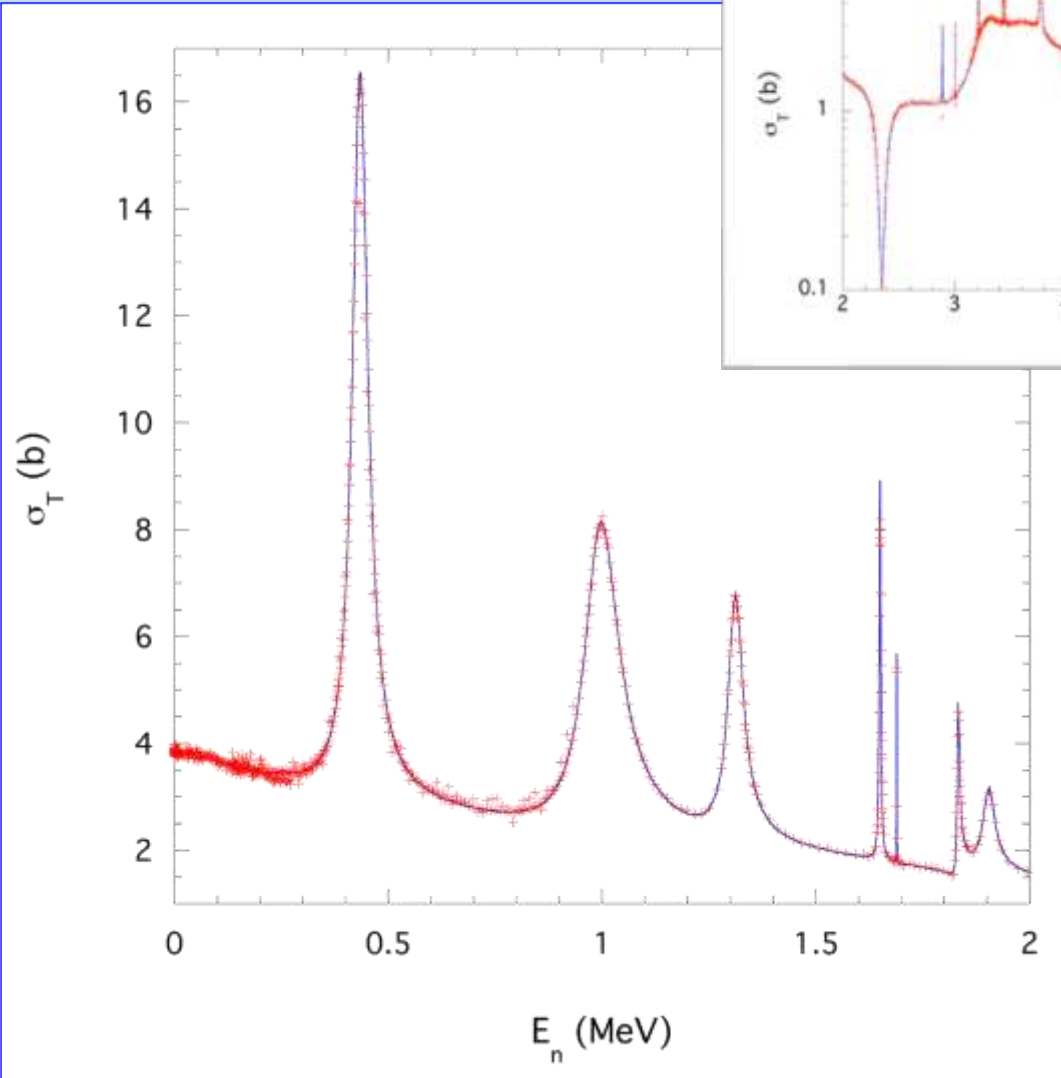
$\chi_1^+$

$$\langle \chi_1^- | V_{\text{res}} | \chi_1^+ \rangle \propto \left\langle \Psi_b \Psi_x \chi_{k\beta'}^f(\vec{r}) \left| V_{\text{res}} \right| \Psi_a \Psi_x \chi_{k\beta}^f(\vec{r}) \right\rangle$$



# Extracting nuclear data for astrophysics

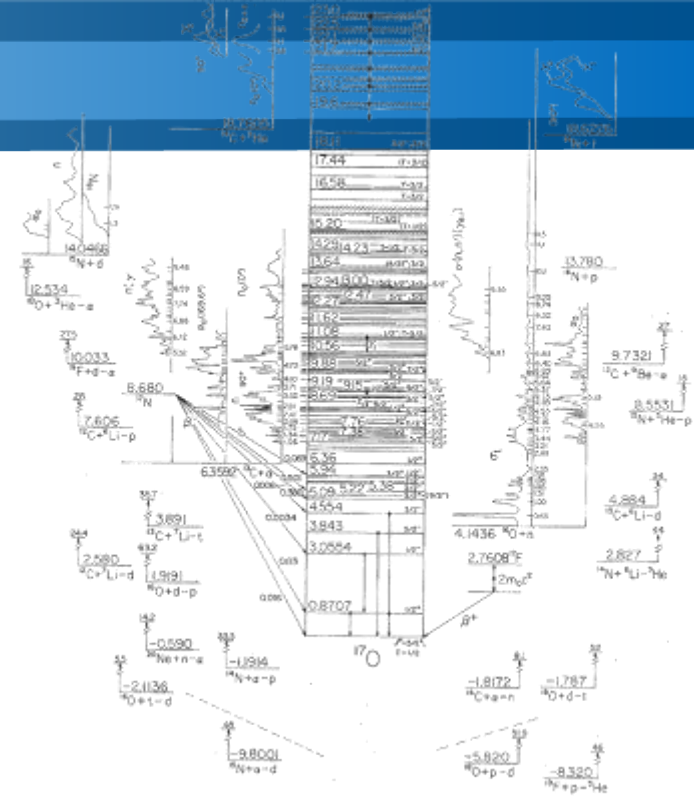
## $n$ - $^{17}\text{O}$ R-matrix analyses of data



M.W. Paris and G.M.  
Hale R-matrix workshop  
2016

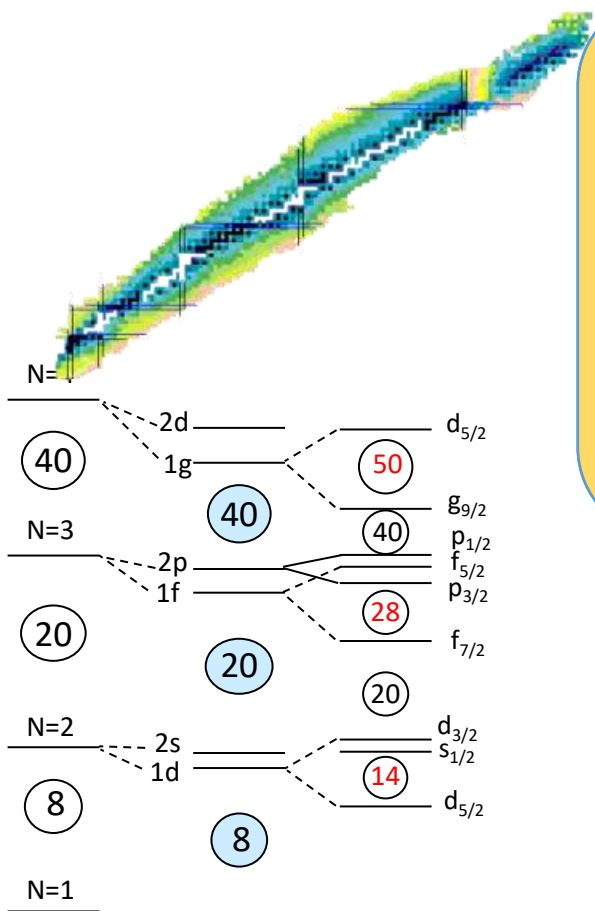
Phenomenological R-matrix is

- Very efficient at extracting resonance properties and to evaluate data
- Well situated for nuclear astrophysics
- Not systematically improvable



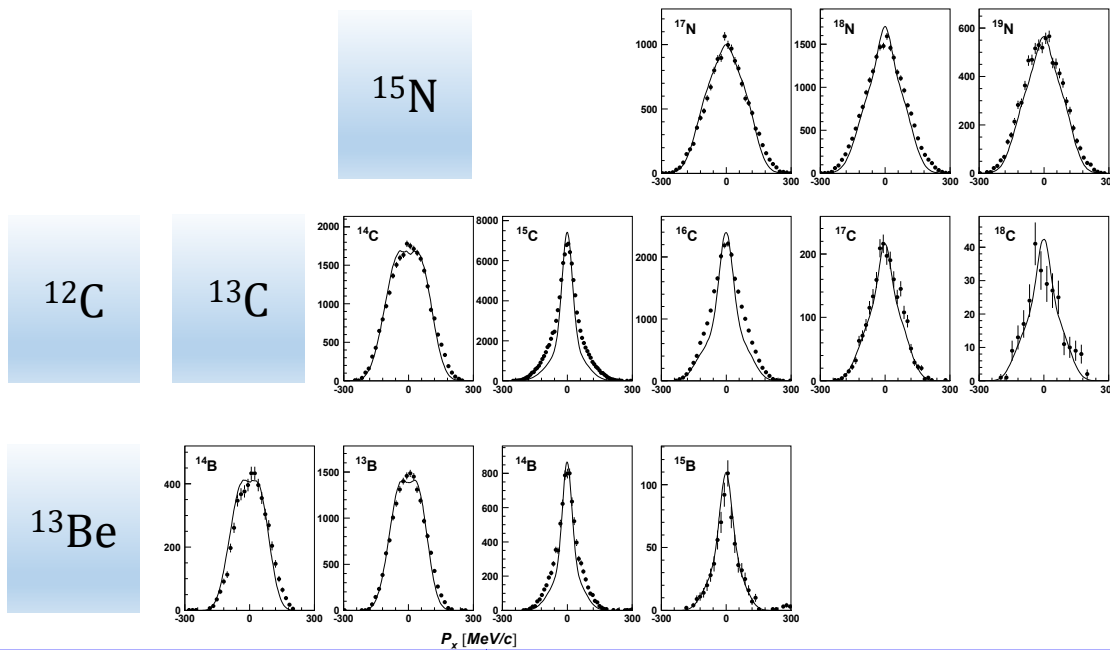
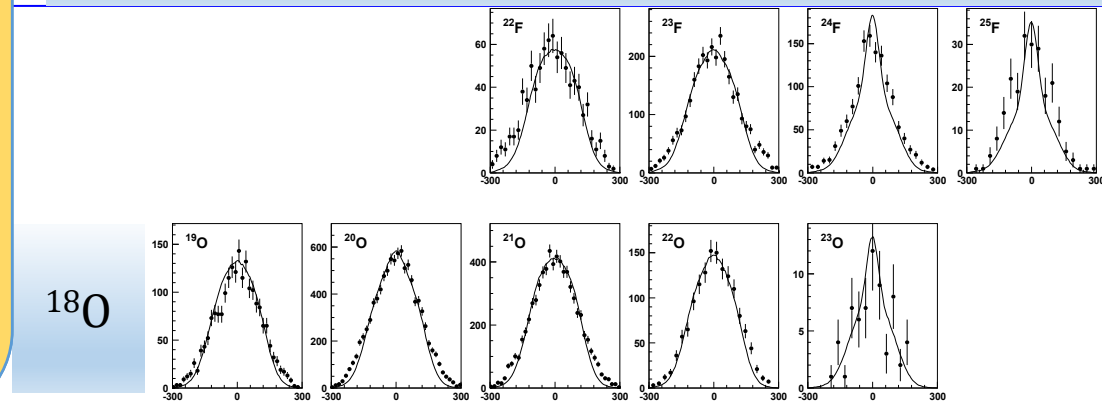


# Evolution of nuclear structure and emergence of few-body prop.

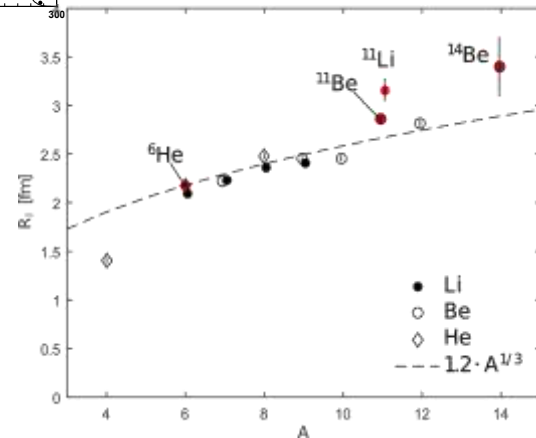


To study the evolution of nuclear shells, it is more reliable to consider systematics of different observables, e.g. static properties (mass, radii) but also from nuclear collisions.

1. Parallel momentum distribution 1n knockout
2. Interaction cross-sections



Adapted from : E. Sauvan *et al.* PRC69 (2004)

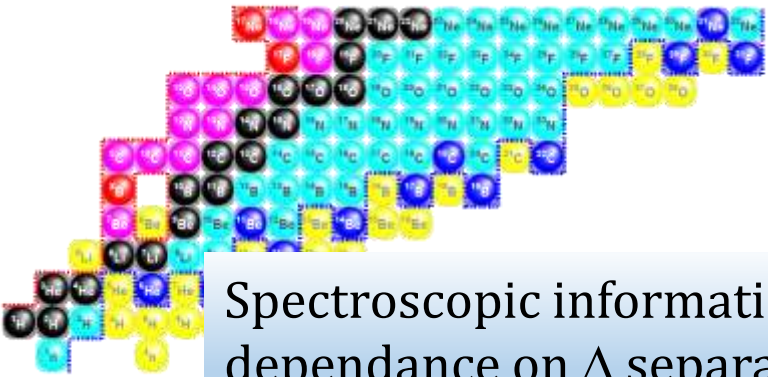


From : C. Hebborn PhD thesis (2020)

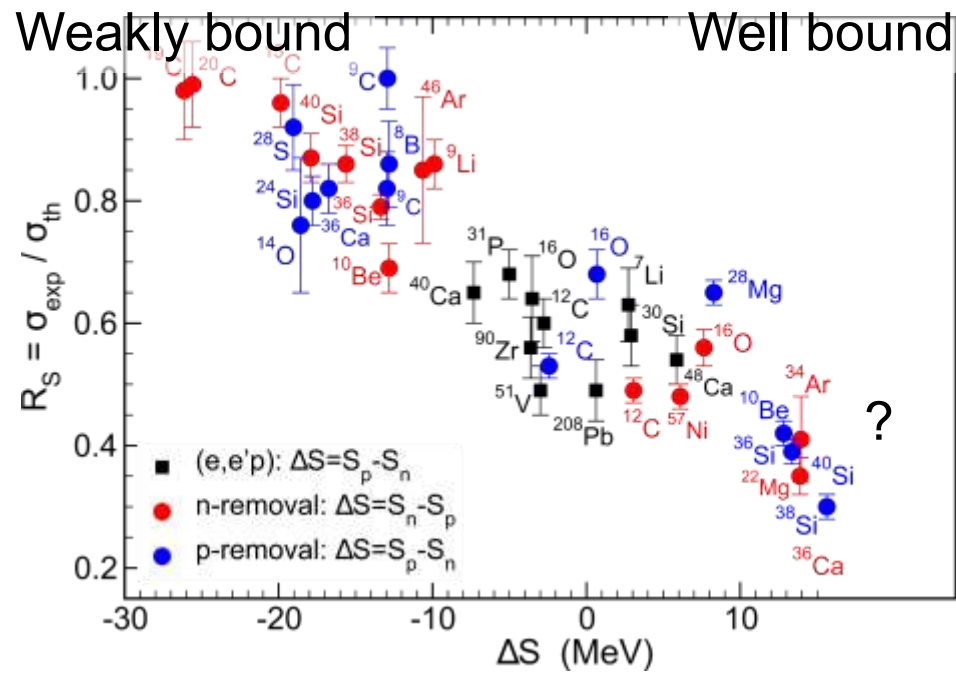
N=8



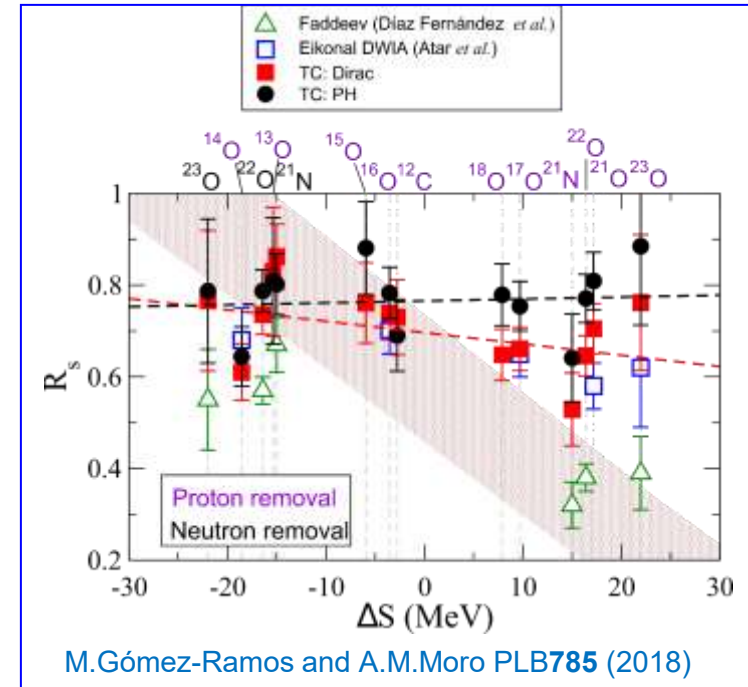
# Extracting spectroscopic information



Spectroscopic information dependence on  $\Delta$  separation energy



J. A. Tostevin and A. Gade PRC90 (2014).



## Other models/reactions:

- no apparent dependence on the  $\Delta_S$
- compatible with
  - (e,e'p) reactions on stable targets
  - predictions of *ab initio* calculations

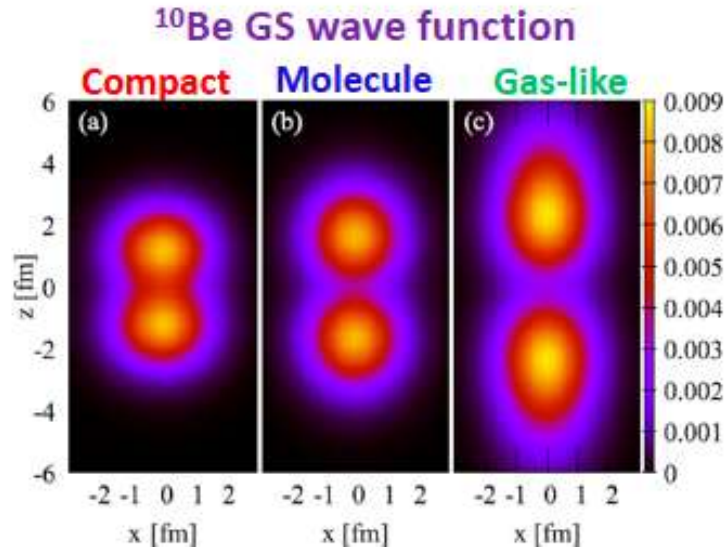


# Cluster structures using knockout

Observe clustering in nuclei, particularly the type of clustering (d, t,  $\alpha$ ,  ${}^6\text{He}$ ,  ${}^9\text{Li}$  etc..).



Alpha cluster spectroscopic factor  $S_\alpha$



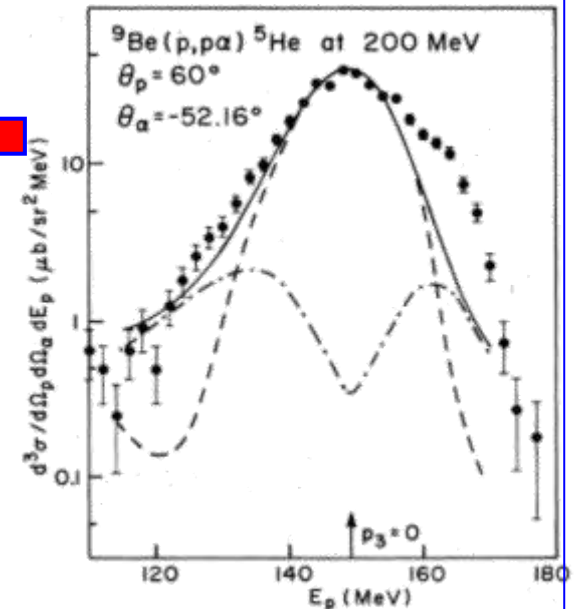
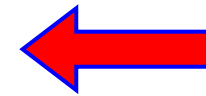
From : D. Beaumel et J.P. Ebran GDR RESANET

Main uncertainties :

- Optical potentials ( $\sim 25\%$ )
- Cluster wave functions

$S_\alpha$  usually extracted from a normalization procedure DWIA cross-section (factorized form)

$$\frac{d^3\sigma}{d\Omega_p d\Omega_\alpha dE_p} = S_\alpha F_k \sum_c |T_{k,c}|^2 \frac{d\sigma}{d\Omega_{p-\alpha}}$$





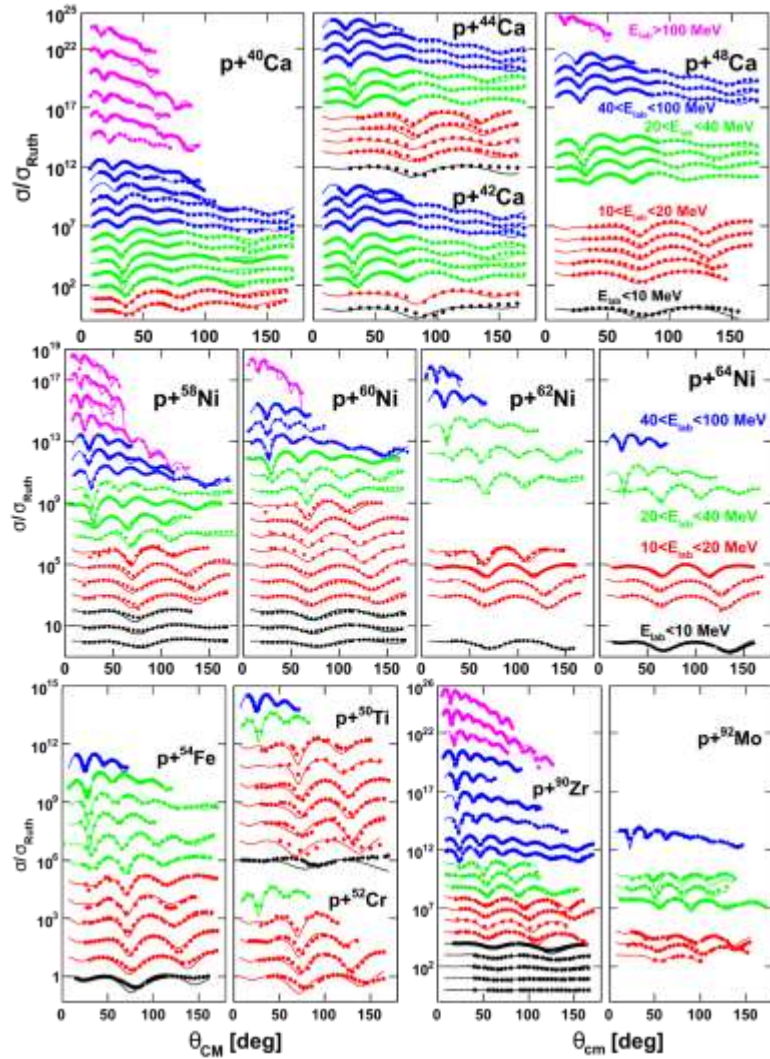
# Determination of optical potential + applications

Results of global fit to date of a dispersive optical model ~32 parameters

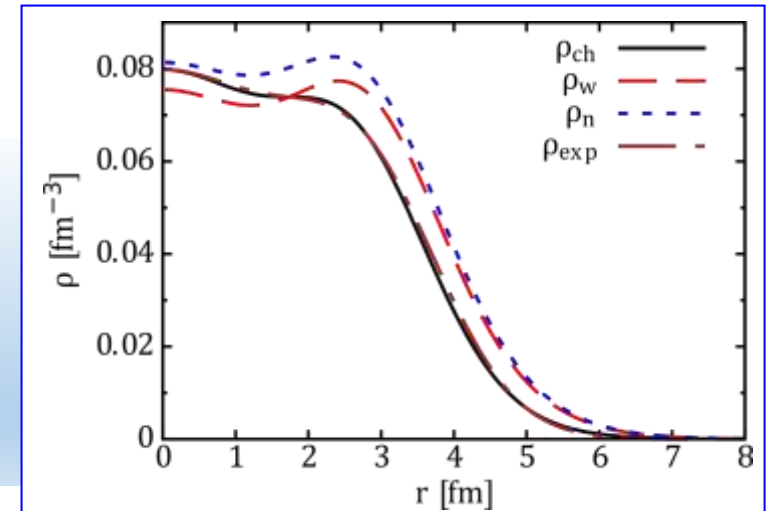
« Data driven »

Optical potentials are necessary for reaction theory to extract any information on nuclear structure.

For example:  
Extraction of neutron and proton self energies to compute the neutron skin of  $^{48}\text{Ca}$   $0.249 \pm 0.023$  fm



W.H. Dickhoff *et al.* JPG:NPP44 2017

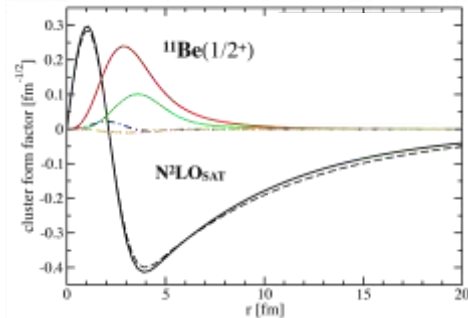


M.H. Mahzoon *et al.* PRL119 2017

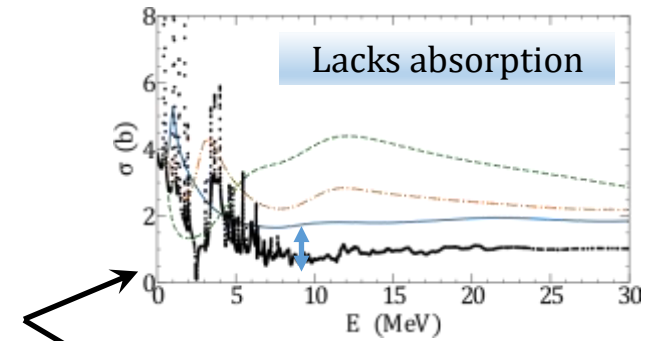




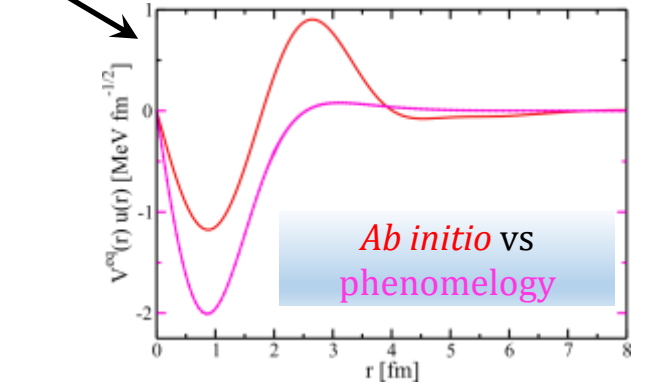
# Ab initio inputs and optical potentials for reaction theory



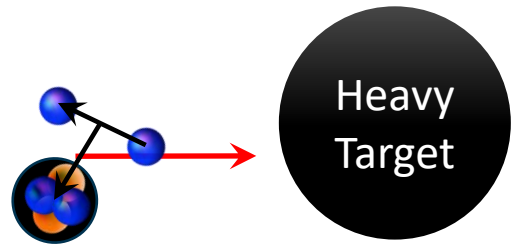
- *Ab initio* methods can provide asymptotic properties of exotic nuclei w.f.
- Eventually optical potential



PRL123 092501 (2019)



PRC95 024315 (2017)



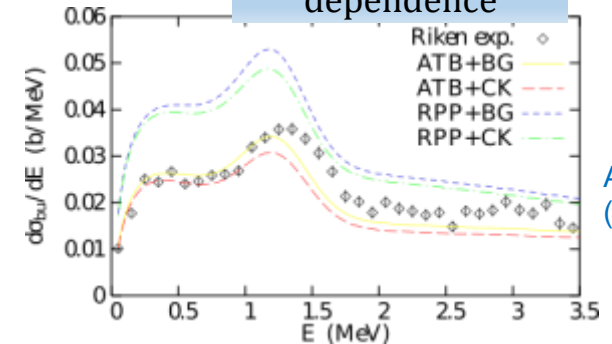
Needs reaction models:

- CDCC.
- Eikonal.
- Time-dependent etc...

Problems:

- Need inputs ( $E_b, E_r, \tau_r$ ), not always known.
- Dependent on optical pot.
- Need detail of structure.

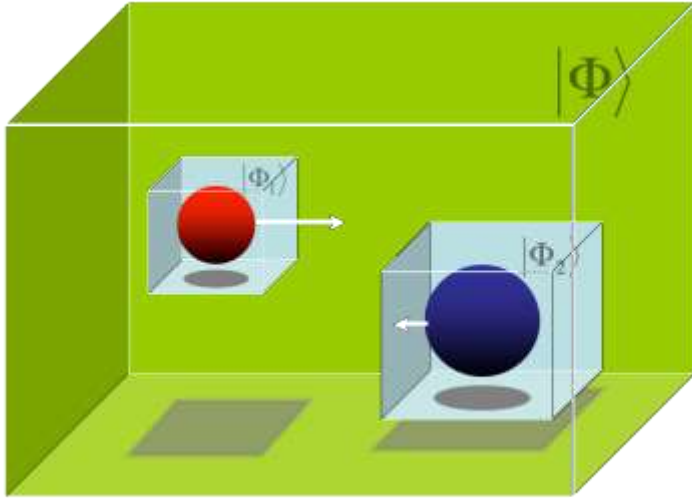
Optical pot. dependence



AIPCP791 12 (2005)



# Time-dependent approach: Hartree-Fock



Nuclear reaction with normal/superfluid nuclei on a 3D position mesh.

Hartree-Fock is a standard tool, the wave function  $|\Psi_i\rangle$  is a Slater determinant and evolves by

$$i\hbar \frac{d\rho}{dt} = [h(\rho), \rho]$$

this generalizes to

$$i\hbar \frac{dR}{dt} = [\mathcal{H}(R), R], R = \begin{pmatrix} \rho & \kappa \\ -\kappa^* & 1 - \rho \end{pmatrix}$$

quasiparticle evolution treats nuclear pairing.

[Simenel, Lacroix, Avez, arXiv:0806.2714v2](#)

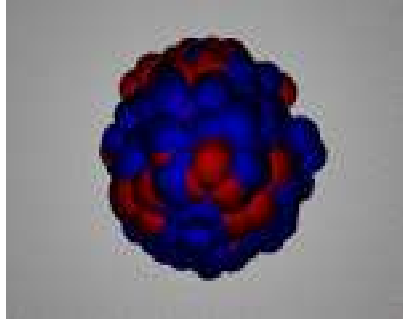
Yet TDHB is too complicated to be applied systematically  $\rightarrow$  TDBCS limit of TDHFB

$$\Delta_{i,j} = \delta_{i,j} \rightarrow |\Psi(t)\rangle = \prod_{k>0} (u_k(t) + v_k(t)a_k^+(t)a_{\bar{k}}^+) |-\rangle$$

S. Ebata, T. Nakatsukasa *et al.* PRC82 (2010)  
G. Scamps and D. Lacroix, PRC88 (2013).

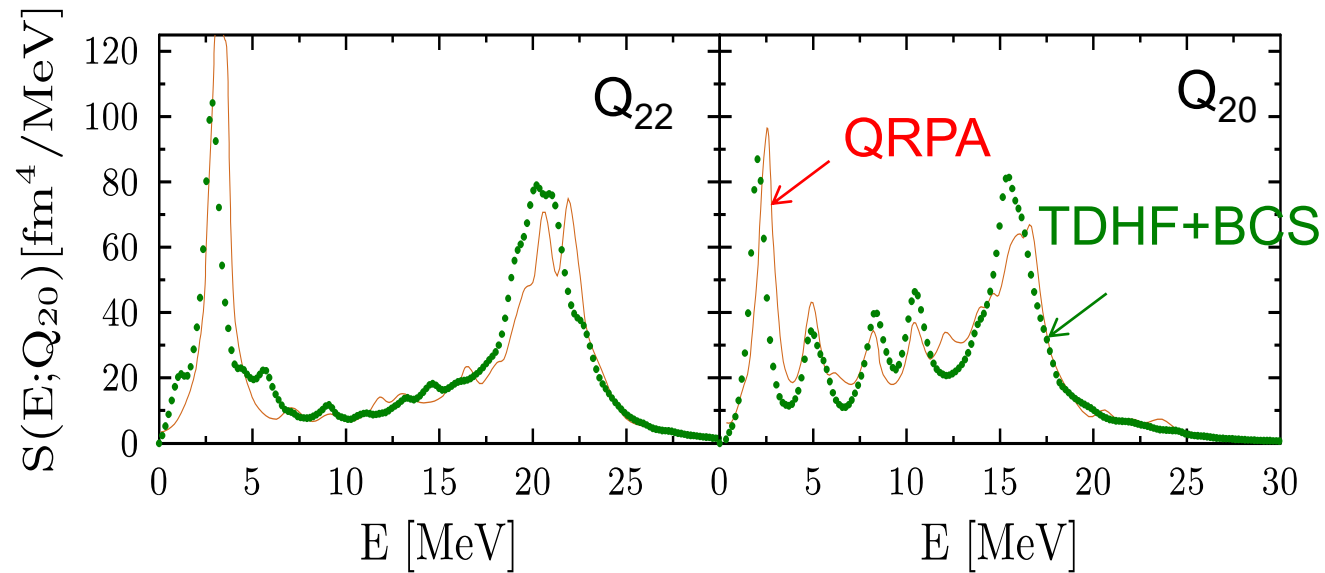


# Pairing effect on nuclear collective motion: GDR



- Inclusion of pairing provides realistic ground state deformation
- It allows the description of mid-shell nuclei
- It includes partially correlation effects

## Strength distribution in deformed $^{34}\text{Mg}$

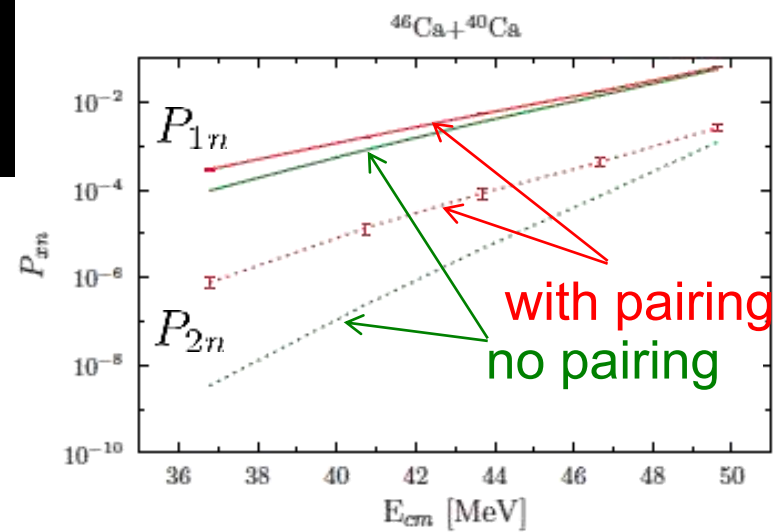
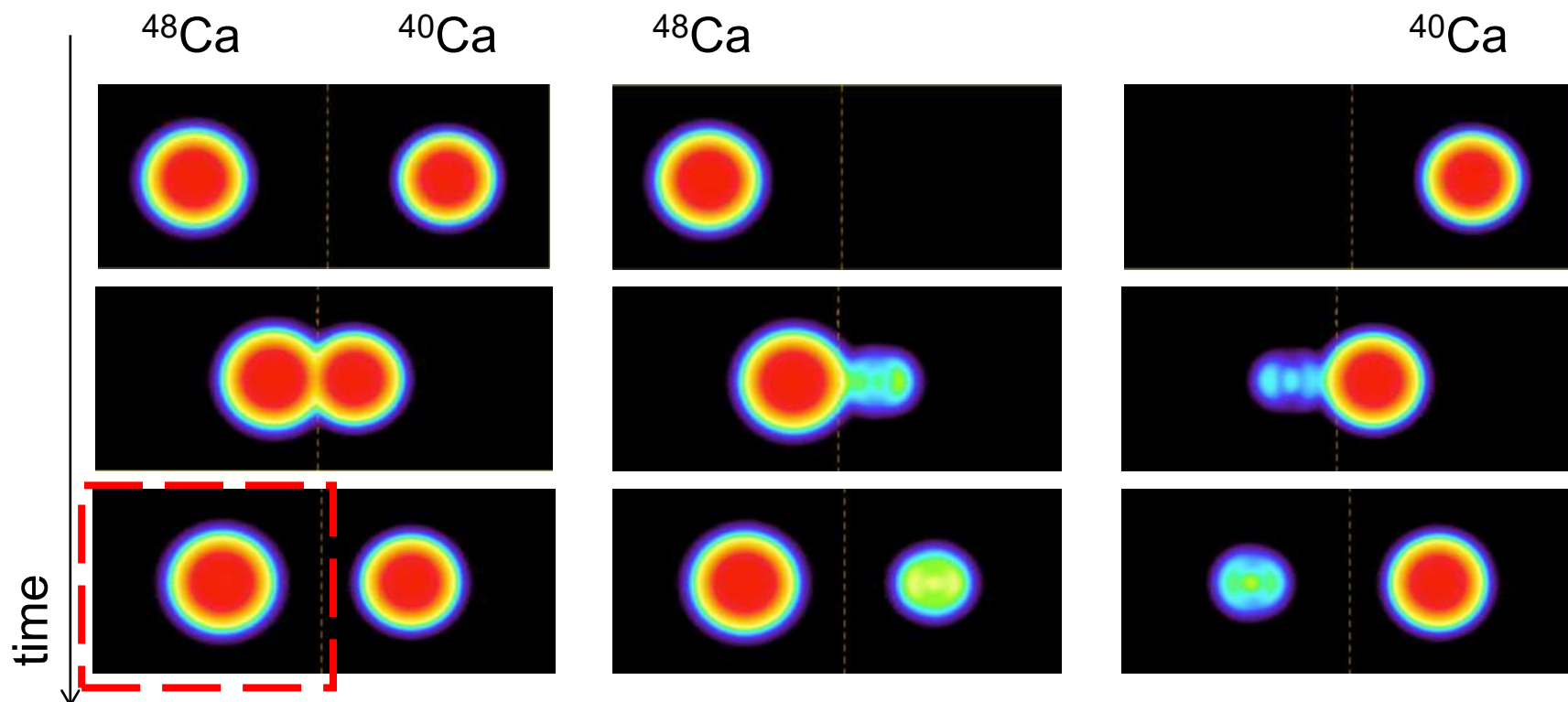
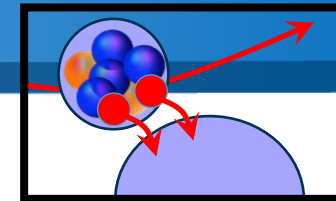


G. Scamps and D. Lacroix PoS183(2013)

- Almost no difference between TDHF+BCS and TDHFB (QRPA)
- Main effect of pairing is to set the deformation



# Transfer reactions – Nucleus-Nucleus



G. Scamps and D. Lacroix PRC87 (2013).



- One way to solve the many-body problem

$$\Psi_{NCSM}^{(A)} = |A\lambda J^\pi T\rangle = \sum_{\alpha} c_{\alpha} |A\alpha j_z^{\pi} t_z\rangle$$

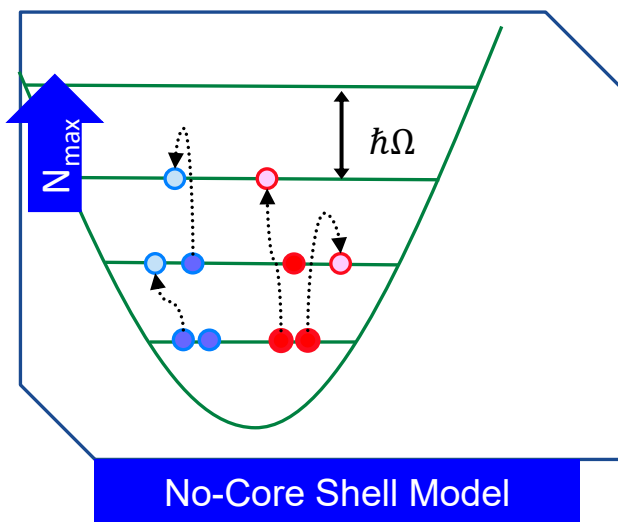
Mixing coefficients (unknown)

A-body harmonic oscillator states

$$|A\lambda J^\pi T\rangle_{SD} \phi_{00}(\vec{R}_{c.m.}^A)$$

Second quantization

Can address bound and low-lying resonances (short range correlations)



Advantage of HO CI methods:

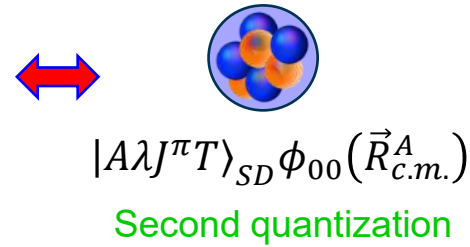
1. Center of mass is factorized.
2. Mathematically possible to derived s.p. to Jacobi coordinates transformation.
3. Fourier transform is trivial: NCSM, RGM with HO CI is equivalent in momentum or position space.



- One way to solve the many-body problem when two scales appear

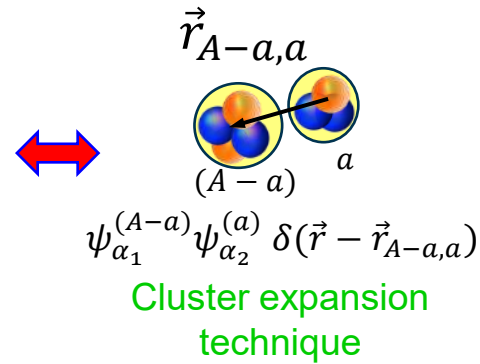
$$\Psi_{NCSM}^{(A)} = |A\lambda J^\pi T\rangle = \sum_{\alpha} c_{\alpha} |A\alpha j_z^\pi t_z\rangle$$

Mixing coefficients (unknown) A-body harmonic oscillator states

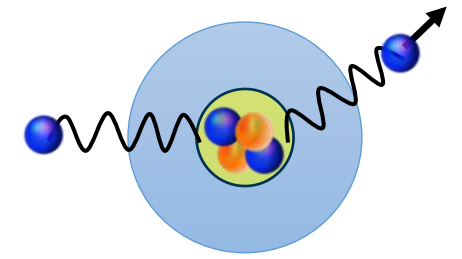


$$\Psi_{RGM}^{(A)} = \sum_v \int d\vec{r} g_v(\vec{r}) \hat{A}_v |\Phi_{v\vec{r}}^{(A-a,a)}\rangle$$

Relative wave function (unknown) Antisymmetrizer Channel basis



Can address bound and low-lying resonances (short range correlations)



NCSM/RGM Cluster formalism for elastic/inelastic

Many-body basis is twice as large as  $\Psi_{NCSM}$


- $\psi_{\alpha_1}^{(A-a)}$  spans  $N_{\max}$
- $\psi_{\alpha_2}^{(a)}$  spans  $N_{\max}$



- One way to solve the many-body problem when two scales appear

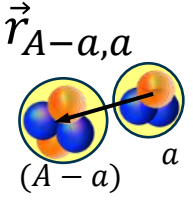
$$\Psi_{NCSM}^{(A)} = |A\lambda J^\pi T\rangle = \sum_{\alpha} c_{\alpha} |A\alpha j_z^\pi t_z\rangle$$

Mixing coefficients (unknown) A-body harmonic oscillator states

$\longleftrightarrow$    $|A\lambda J^\pi T\rangle_{SD} \phi_{00}(\vec{R}_{c.m.}^A)$   
Second quantization

$$\Psi_{RGM}^{(A)} = \sum_v \int d\vec{r} g_v(\vec{r}) \hat{A}_v \left| \Phi_{v\vec{r}}^{(A-a,a)} \right\rangle$$

Relative wave function (unknown) Antisymmetrizer Channel basis

$\longleftrightarrow$    $\psi_{\alpha_1}^{(A-a)} \psi_{\alpha_2}^{(a)} \delta(\vec{r} - \vec{r}_{A-a,a})$   
Cluster expansion technique

- Our best ansatz combines both wave functions

$$\Psi_{NCSMC}^{(A)} = \sum_{\lambda} c_{\lambda} |A\lambda J^\pi T\rangle + \sum_v \int d\vec{r} g_v(\vec{r}) \hat{A}_v \left| \Phi_{v\vec{r}}^{(A-a,a)} \right\rangle$$

Can address bound and low-lying resonances (short range correlations)

Design to account for scattering states (best for long range correlations)

NCSMC

Which  $N_{\max}$  for  $\Psi_{NCSMC}^{(A)}$  ?



In configuration interaction methods we need to soften interaction to address the hard core. We can use the Similarity-Renormalization-Group (SRG) method

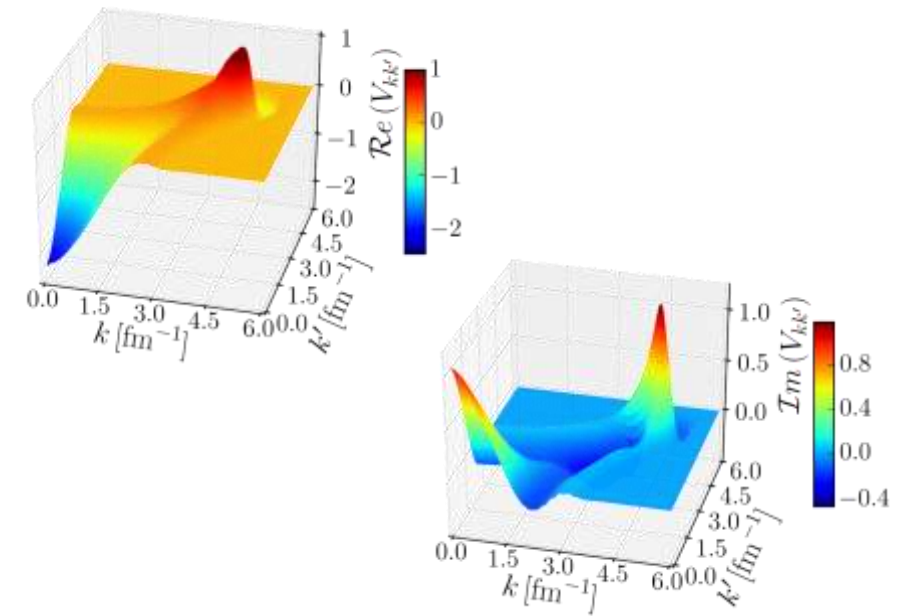
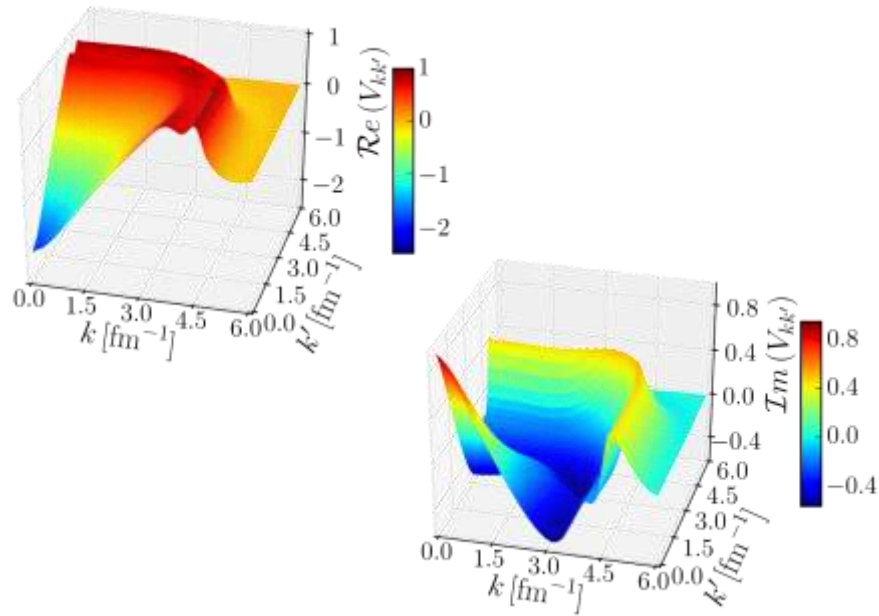
$$H_\lambda(\theta) = U_\lambda H(\theta) U_\lambda^T$$

Similarity Transformation

$$\begin{cases} \frac{dH_\lambda(\theta)}{d\lambda} = -\frac{4}{\lambda^5} [\eta(\lambda), H_\lambda(\theta)] \\ \eta(\lambda) = \frac{dU_\lambda}{d\lambda} U_\lambda^T \end{cases}$$

Evolution with flow parameter  $\lambda$

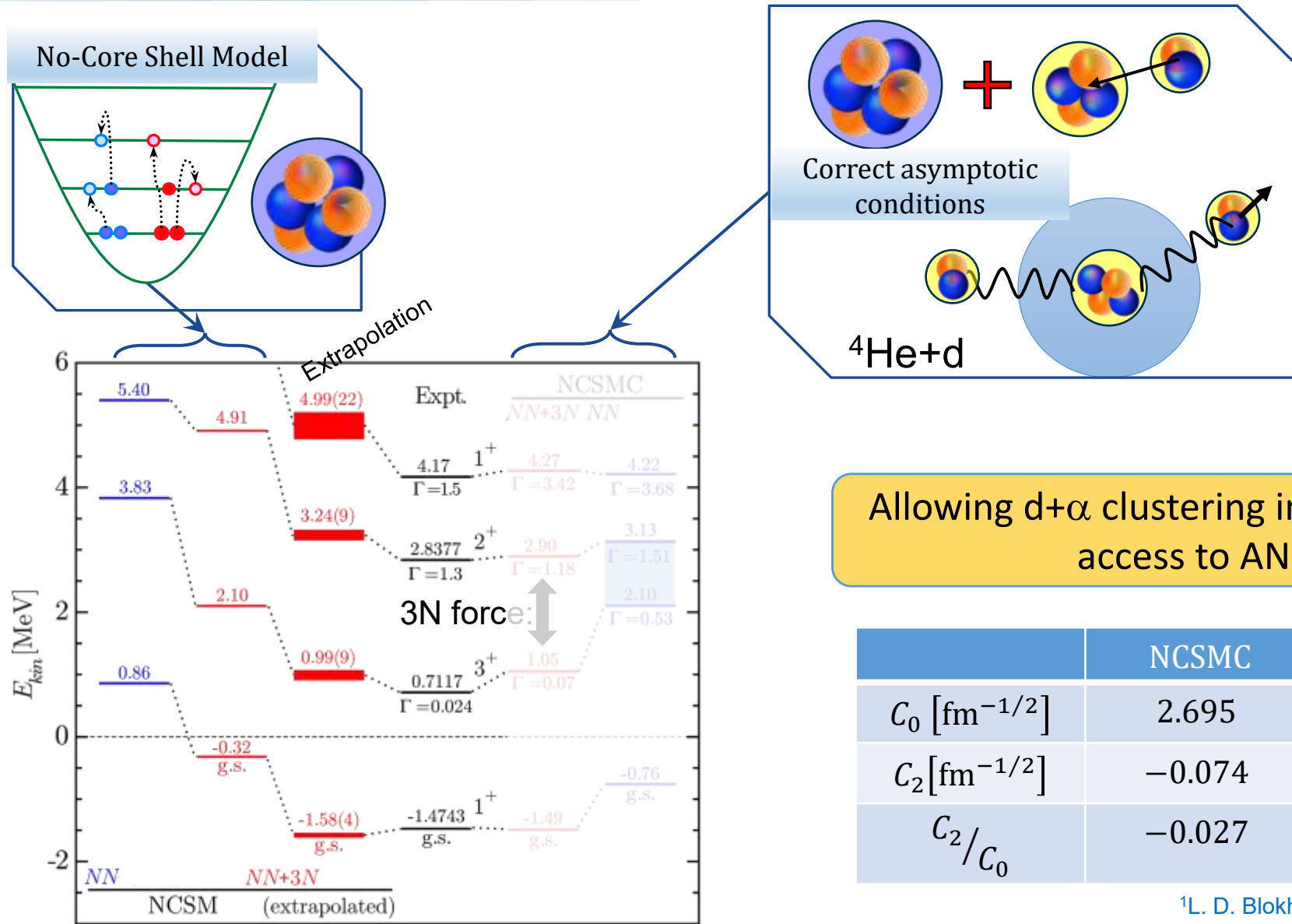
Consistent evolution of the imaginary part







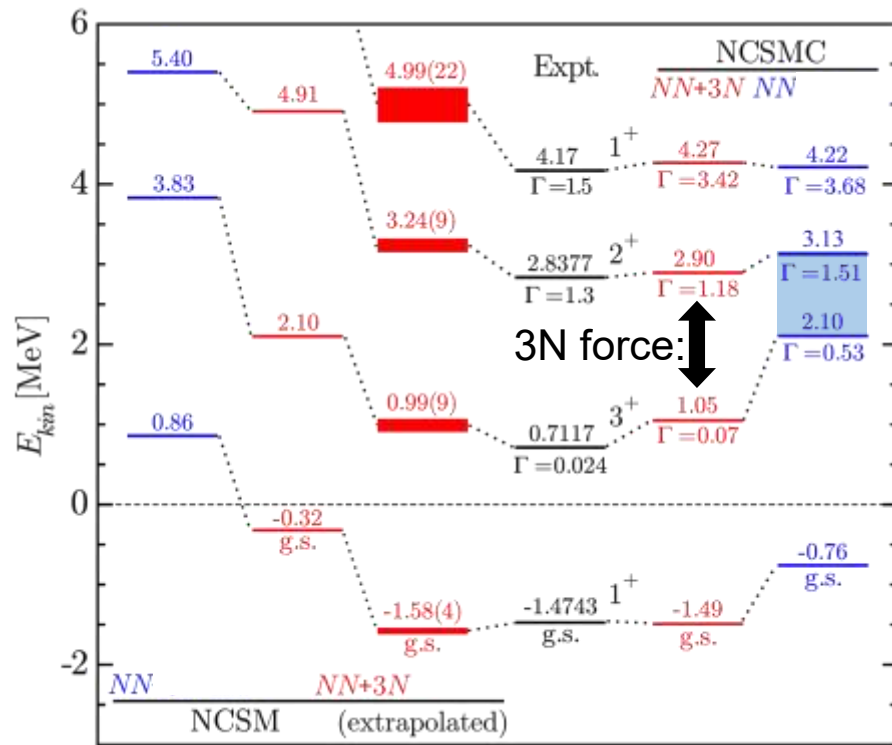
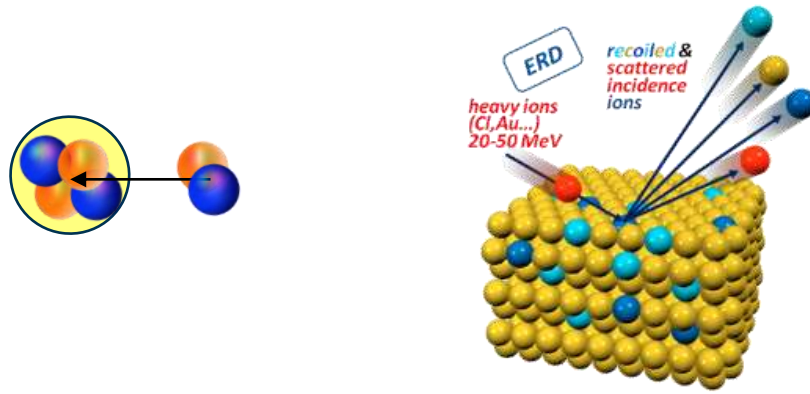
# Example: Structure of ${}^6\text{Li}$ continuum



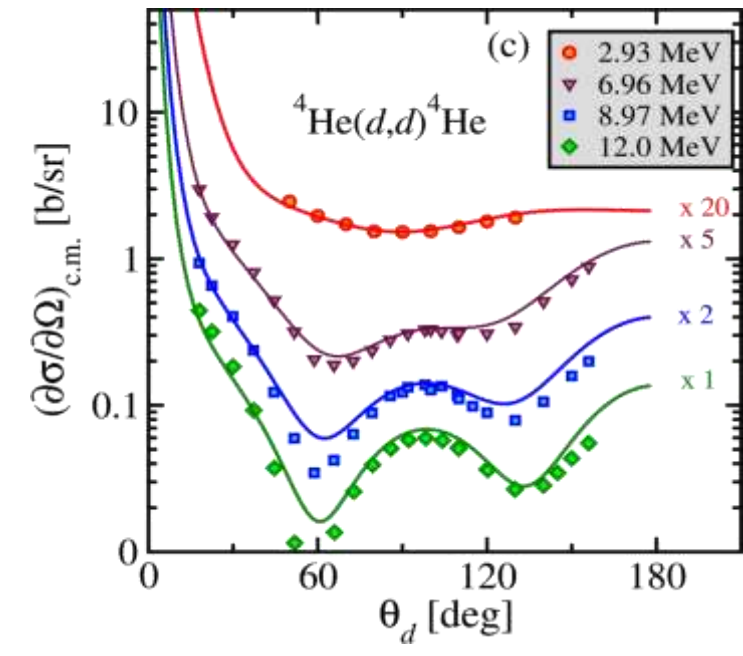
<sup>1</sup>L. D. Blokhintsev *et al.* PRC48 (1993).



# Example: Structure of ${}^6\text{Li}$ continuum

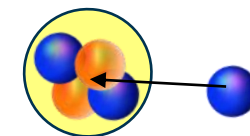


## ${}^4\text{He}(d,d){}^4\text{He}$ angular distribution

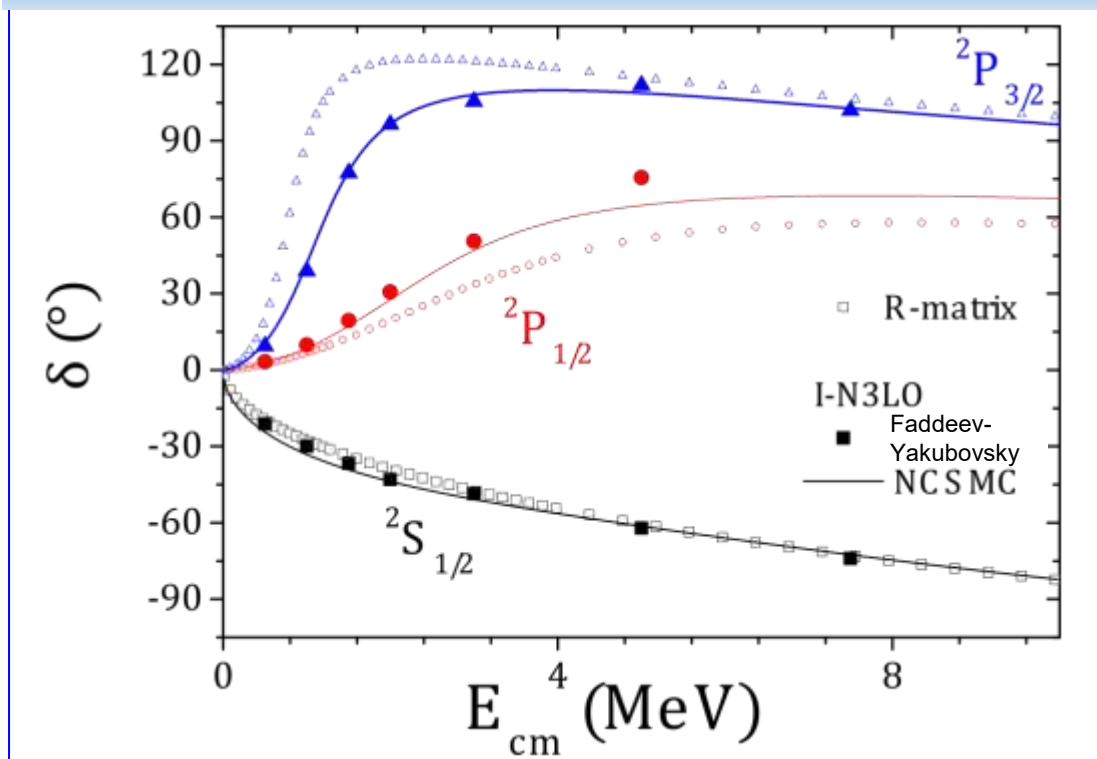




# $n$ - $^4\text{He}$ scattering: NCSMC vs Faddeev-Yakubovsky

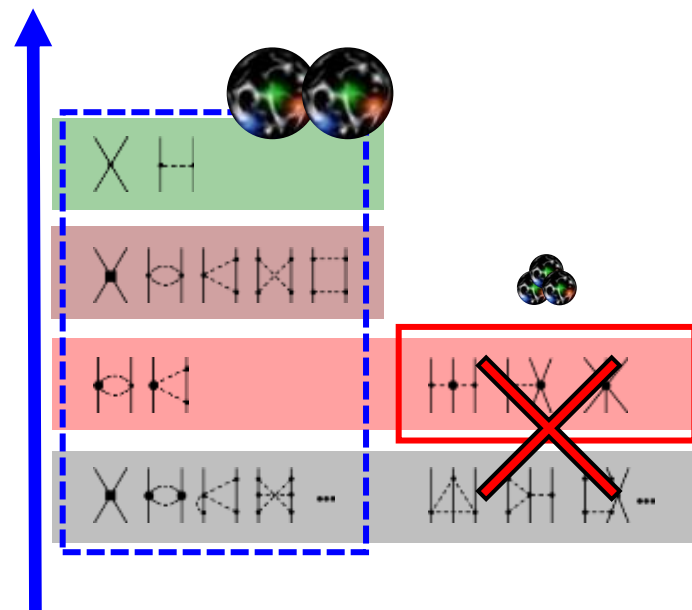


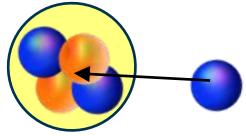
Benchmark: scattering phase shifts NCSMC/FY



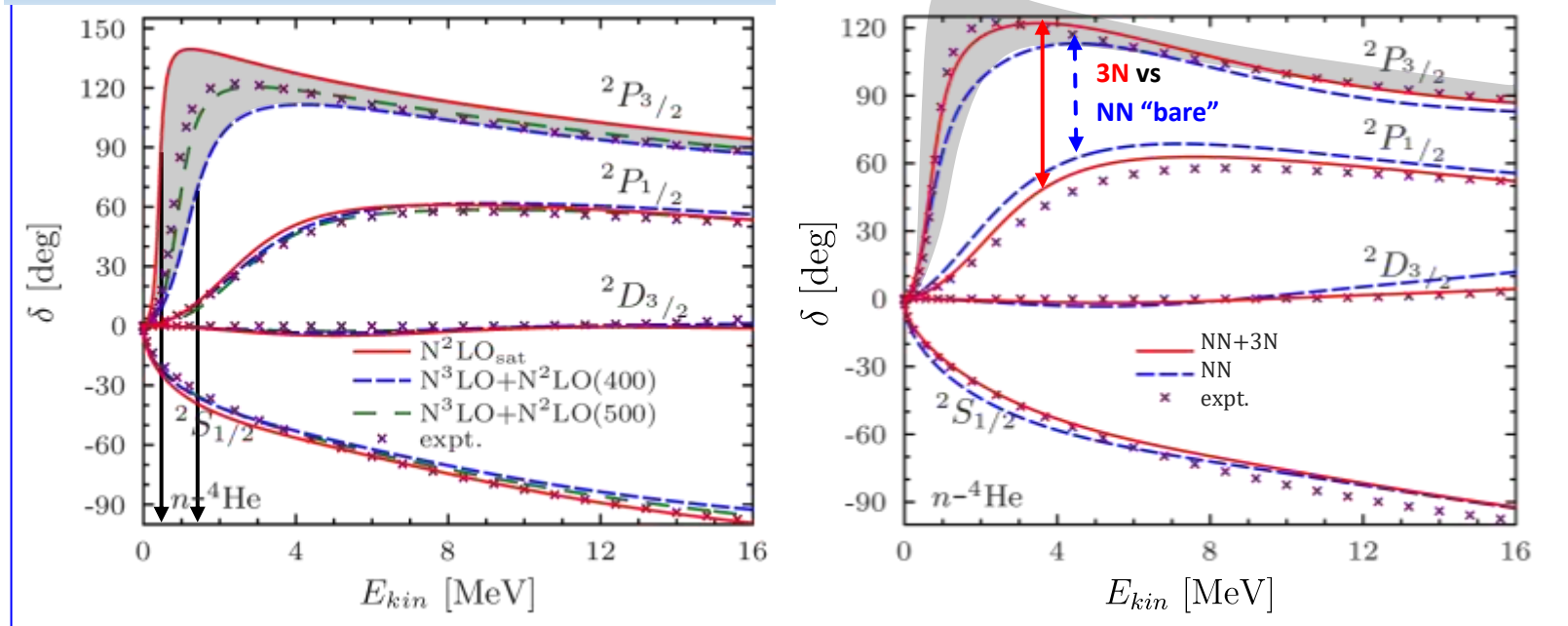
R. Lazauskas, PRC 97 (2018).

Good agreement between the two methods.





## $n$ - $^4\text{He}$ scattering phase shifts



R-matrix results from G. Hale

Some of the shortcomings of the nuclear interaction can already be **probed** in  $p$ -shell nuclei **through reactions**.

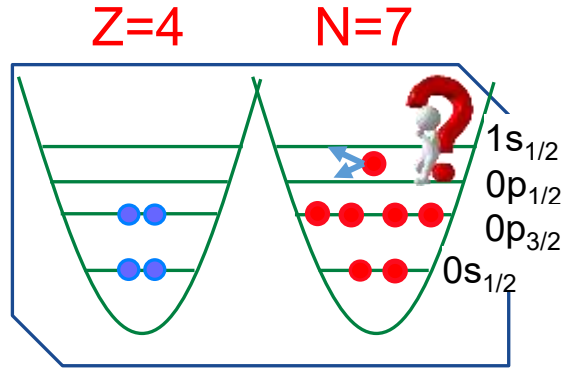
[known since the work of K. Nollett]

- The 3N interactions **influence** mostly the  **$P$ -waves**.
- Conservative estimate of EFT accuracy is in the range of 3N force effects.

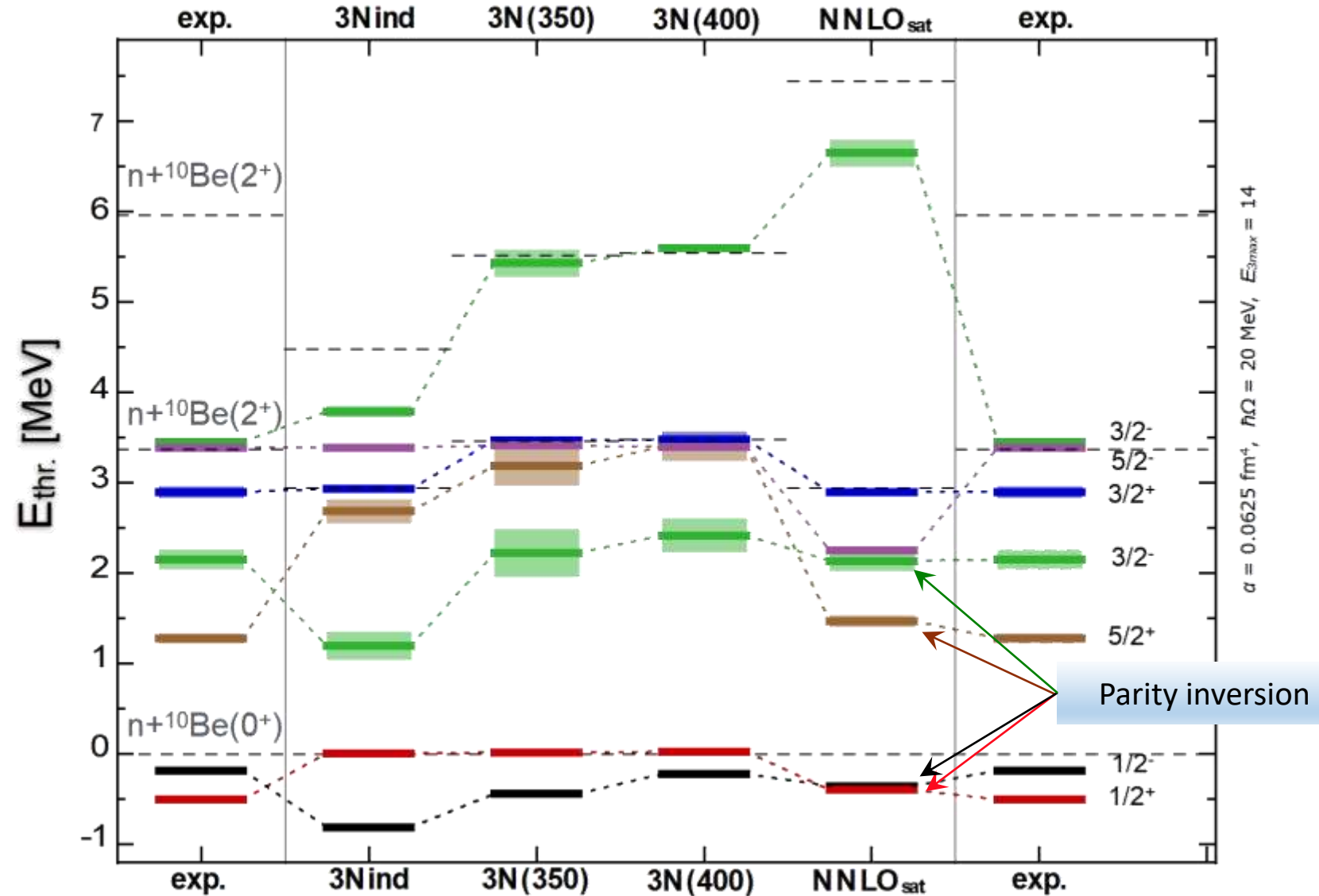


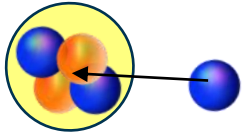
# $^{11}\text{Be}$ within NCSMC: Discrimination among chiral nuclear forces

A. Calci, P. Navratil, G. Hupin, S. Quaglioni, R. Roth *et al.* with IRIS collaboration, in preparation

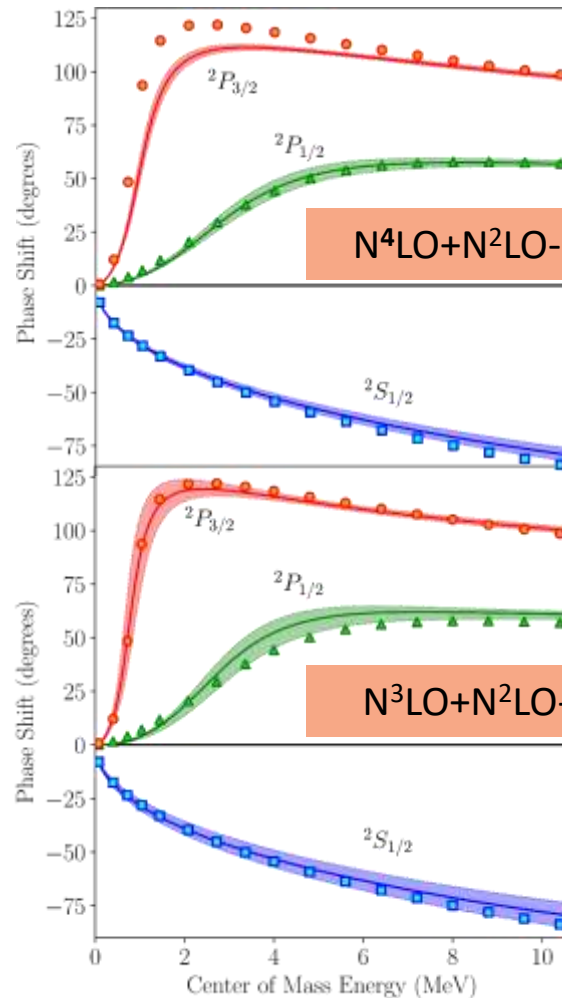


Single particle interpretation

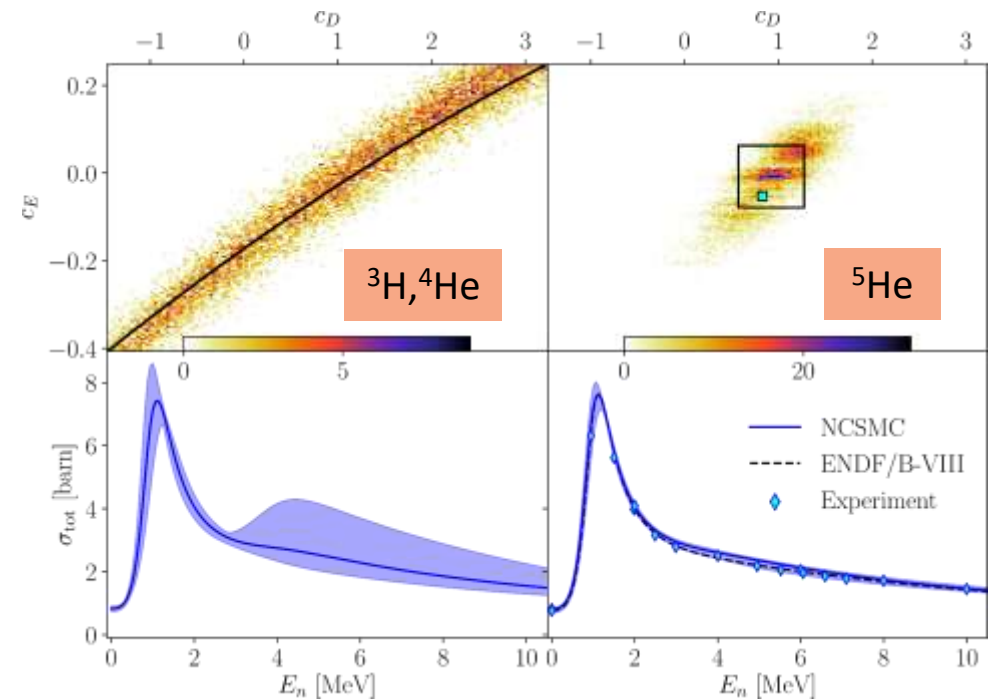




## Sensitivity to $c_D$ and $c_E$



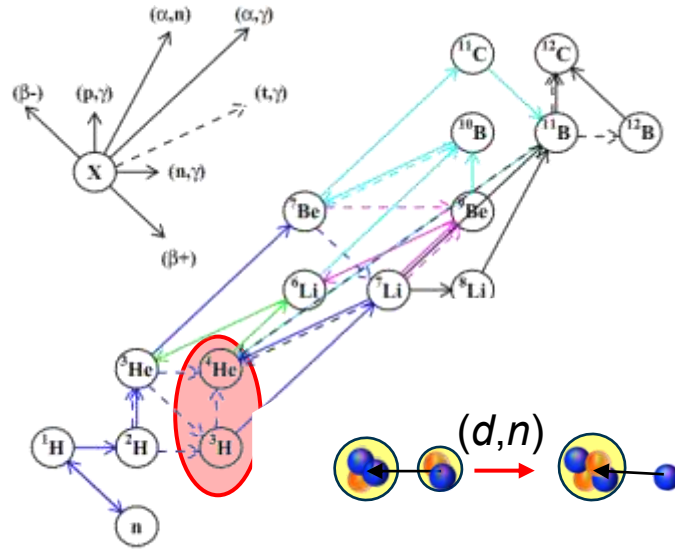
- NN  $N^4LO$  + 3N  $N^2LO$  cannot reproduce the  $p$ -wave splitting.
- **Tighter posterior distribution** if the properties of the  ${}^5\text{He}$  are included in the fit.



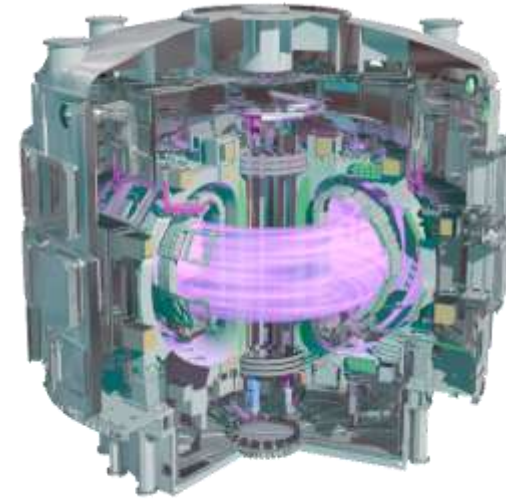


# Low-energy Transfer reactions ( $d,N$ )

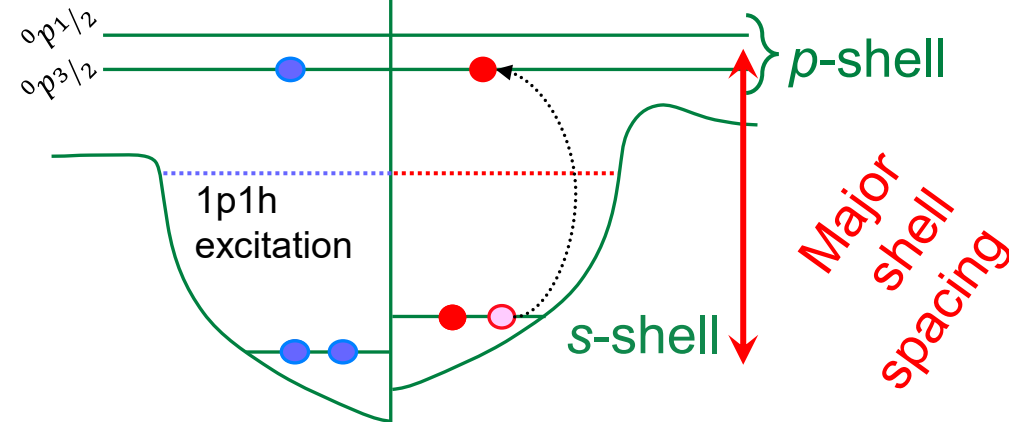
## Primordial Nucleosynthesis (blue)



## ITER design (Cadarache, France)



## Structure of the ${}^5\text{He} \ 3/2^+$ resonance



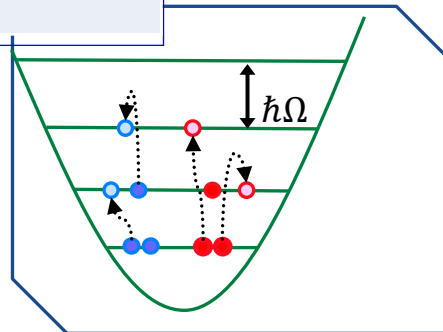
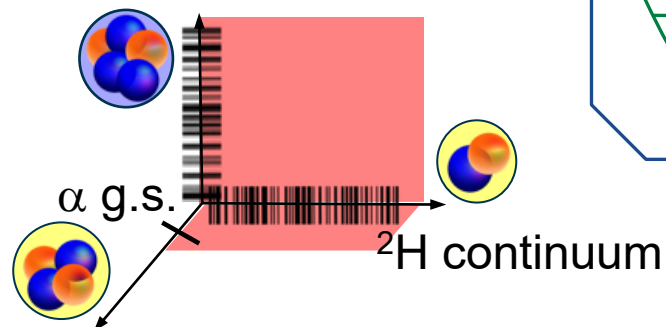


# ${}^3\text{H}(d,n){}^4\text{He}$ fusion reaction: Model convergence

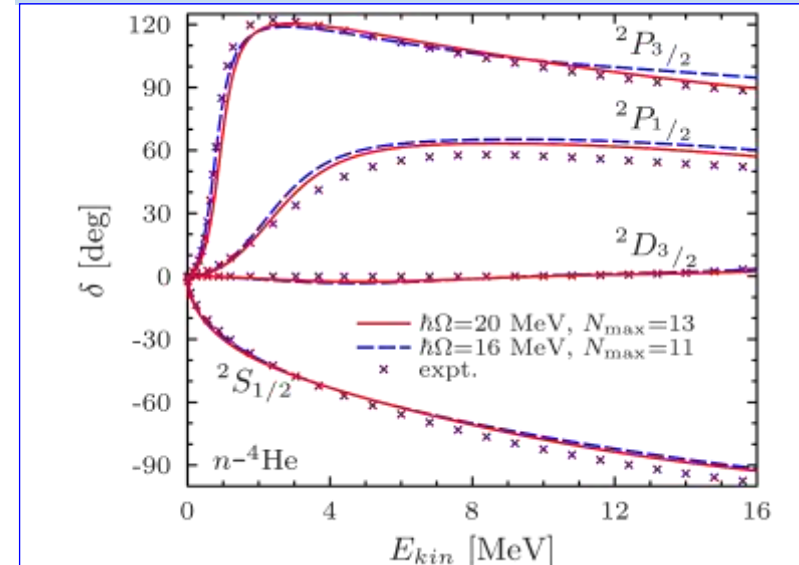
## Convergence of ${}^{3/2}^+$ resonance

$N_{max}$	$\hbar\omega=20$ MeV $\Lambda_{SRG}=2.0$ fm $^{-1}$	$\hbar\omega=16$ MeV $\Lambda_{SRG}=1.7$ fm $^{-1}$
7	78.70%	42.29%
9	45.04%	18.85%
11	25.68%	8.41%
13	13.78%	-

## ${}^5\text{He}$ resonances



## $n$ - ${}^4\text{He}$ phase shifts

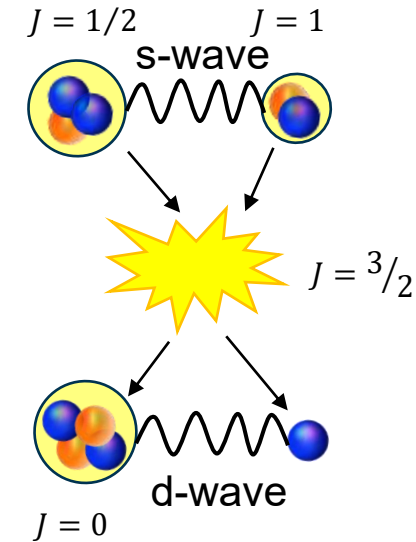
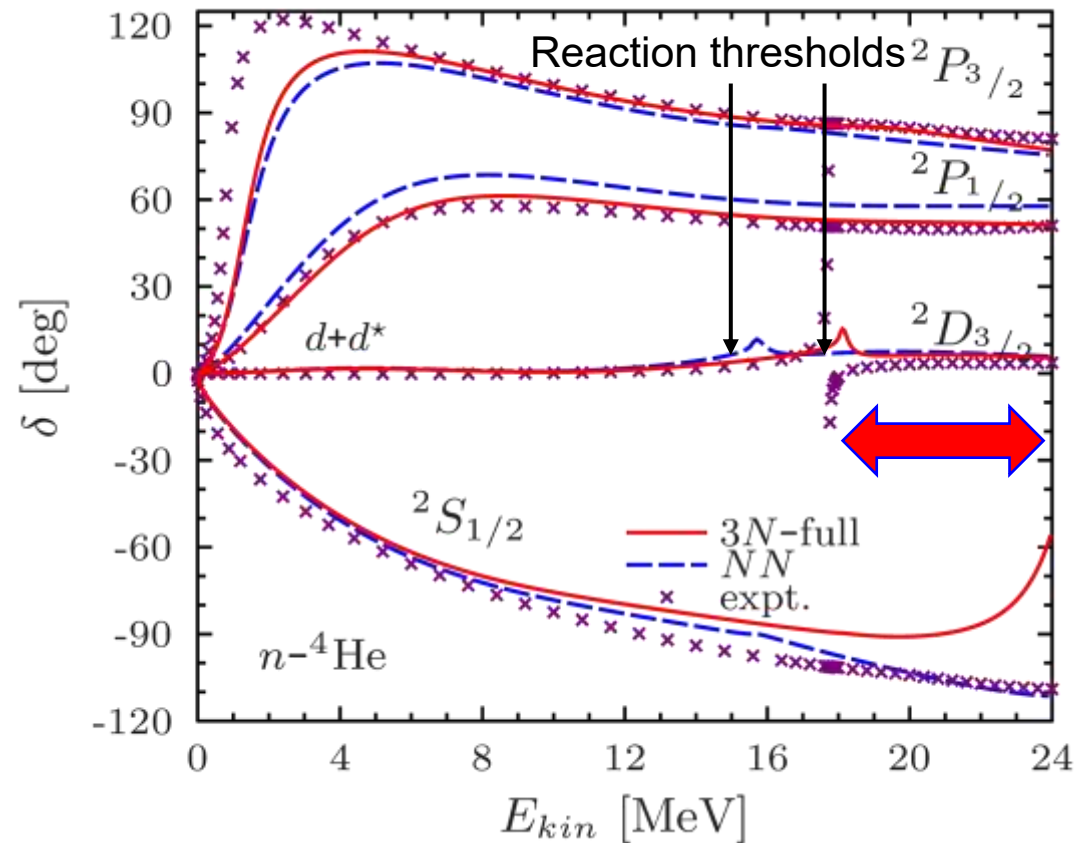


- ${}^{3/2}^+$  resonance converges the **fastest** with  $\hbar\omega = 16$  MeV, understood from **major shell splitting**.
- $n$ - ${}^4\text{He}$  elastic scattering independent of HO frequency and SRG flow.





## ${}^3\text{H}(d,n){}^4\text{He}$ fusion reaction: impact of 3N force



- 3N force impacts:

- The threshold position (i.e. reproduction of nuclear masses).
- The **positions and splitting** between the

${}^{3/2}{}^+$  and  ${}^{1/2}{}^+$  resonances.

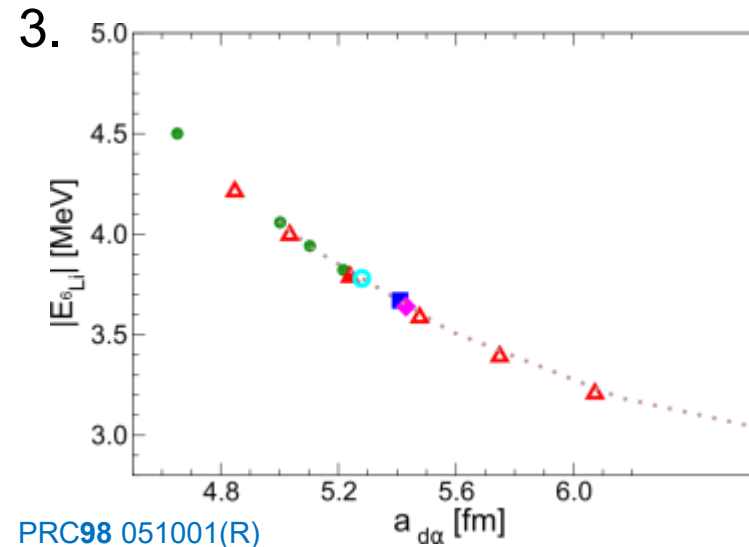
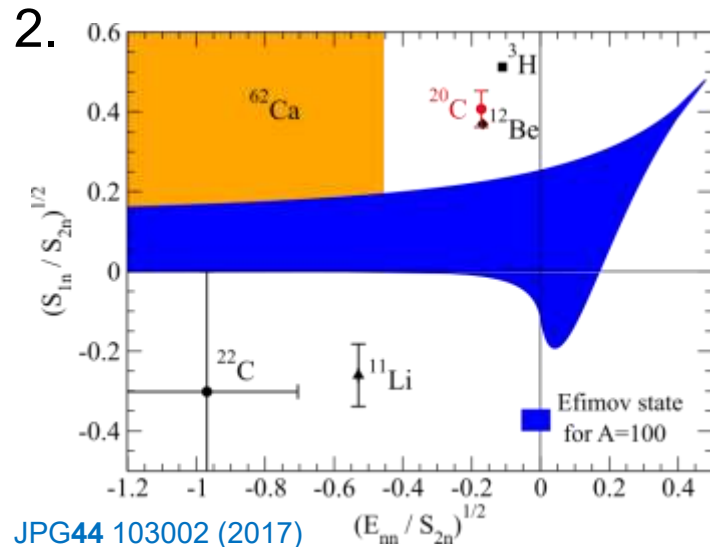
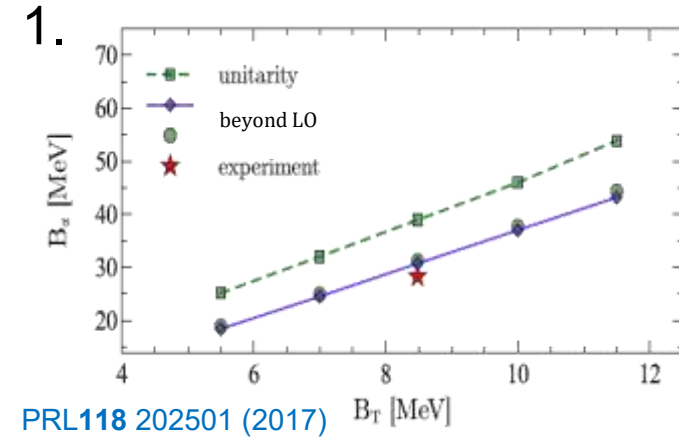
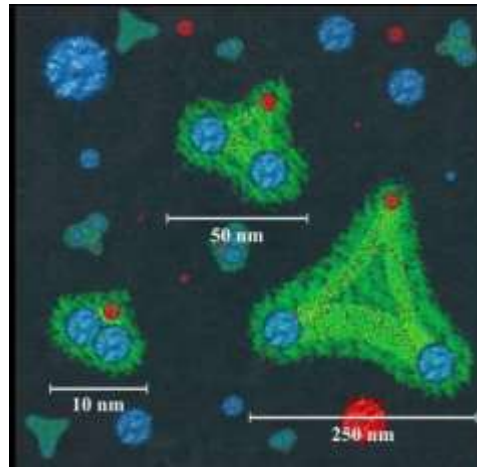
- **Tensor force** is essential to model the  ${}^3\text{H}(d,n){}^4\text{He}$  transfer reaction.



# Few-body physics get a boost at the dripline

Residual short force + three-body leads to strange universal laws!

2 vs 3



Universal correlations between observables due to the few-body nature of the system

1. Light nuclei are close to unitarity.
2. Exotic nuclei g.s. in the vicinity of Efimov states.
3. Metastable  ${}^6\text{Li}$  g.s. shows universal behavior.

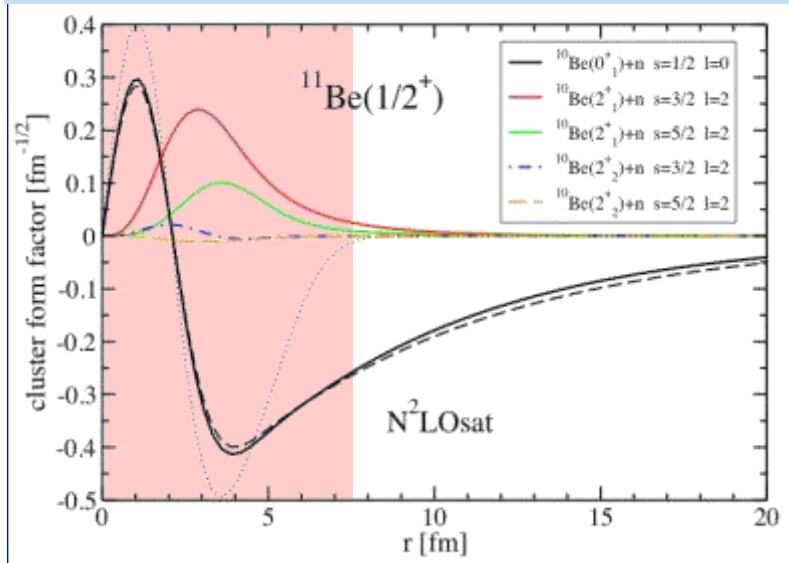


# $^{11}\text{Be}$ 1n Halo nuclei : EM probes

A. Calci, *et al.* PRL117 (2016)



## Halo structure

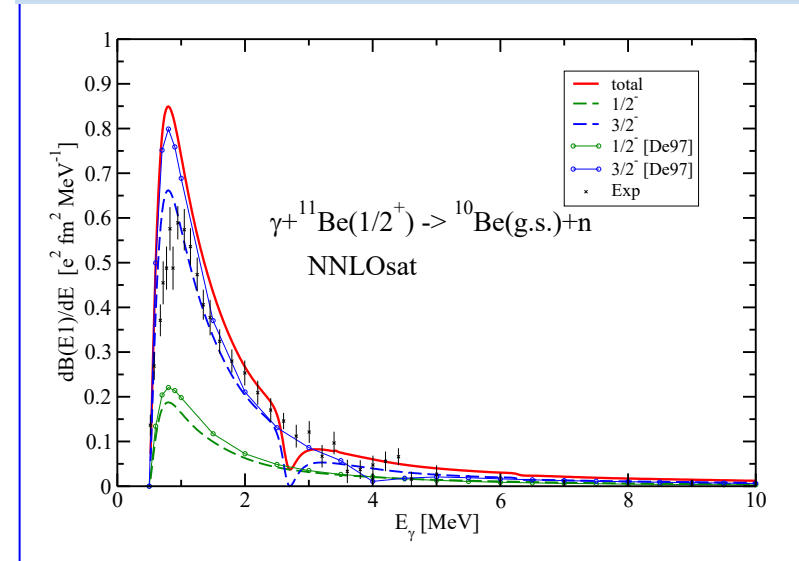


$N_{\text{max}}=10$  model space i.e.  $r \approx 7$  fm HO spatial box

## E1 transition bound to bound

	NCSM	NCSM-pheno	Expt.
$B\left(E1; \frac{1}{2}^+ \rightarrow \frac{1}{2}^-\right)$ [ $e^2 \text{fm}^2$ ]	$5 \cdot 10^{-6}$	0.118	0.102 (2)

## E1 transition bound to continuum



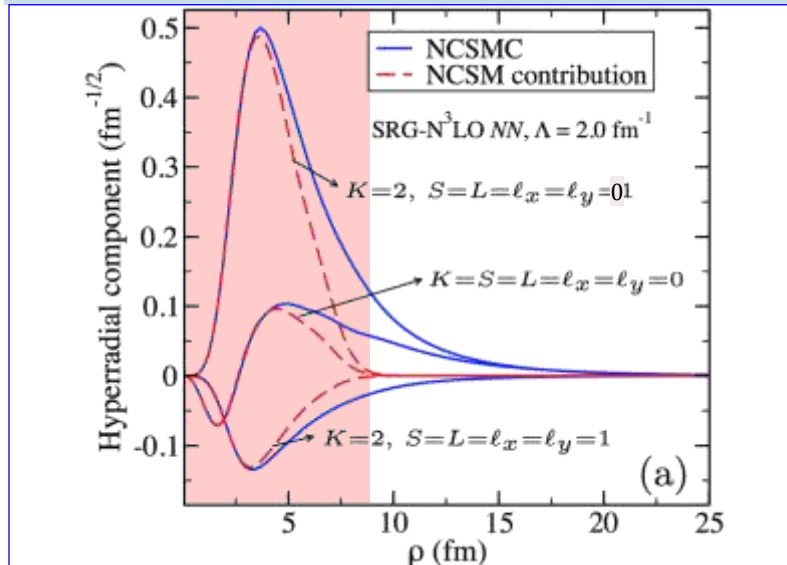


# ${}^6\text{He}$ 2n Halo nuclei

C. Romero-Redondo, S. Quaglioni *et al.* PRL117 (2016)

	${}^5\text{Li}$	${}^6\text{Li}$	${}^7\text{Li}$	${}^8\text{Li}$	${}^9\text{Li}$	${}^{10}\text{Li}$	${}^{11}\text{Li}$	${}^{12}\text{Li}$
${}^3\text{He}$	${}^4\text{He}$	${}^5\text{He}$	${}^6\text{He}$	${}^7\text{He}$	${}^8\text{He}$	${}^9\text{He}$	${}^{10}\text{He}$	
${}^2\text{H}$	${}^3\text{H}$	${}^4\text{H}$	${}^5\text{H}$	${}^6\text{H}$	${}^7\text{H}$			

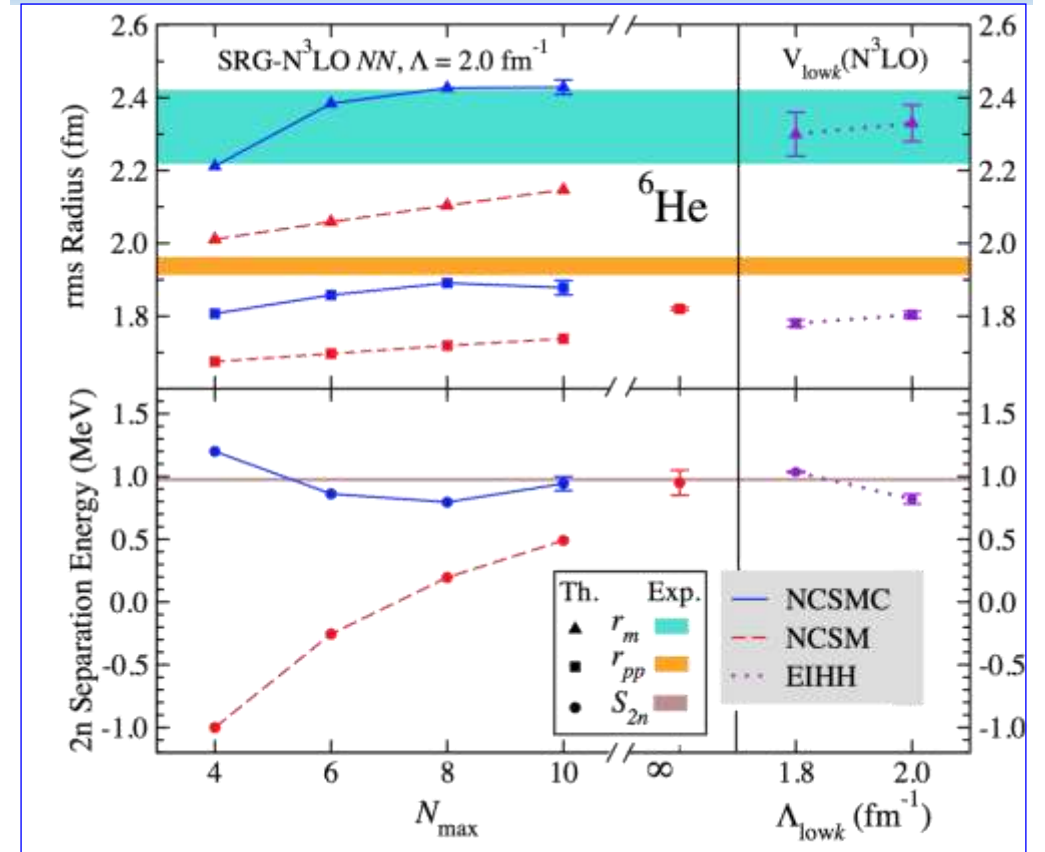
## Halo structure



$N_{\text{max}}=12$  model space i.e.  $r \approx 8$  fm HO spatial box

Long-distance components are mandatory to model  ${}^6\text{He}$ .

## ${}^6\text{He}$ g.s. energy, proton and matter radii




g.s. energy, proton and matter radii from chiral NN force are close to data.



# Propaganda slide

## ECT\*

1. To be a center of **frontline research in theoretical nuclear physics**
2. To promote active contacts between **theory and experiments**, and to **related areas** of research
3. To further the **training of young researchers**



ECT\* **ECT\*** ECT\*  
EUROPEAN CENTRE FOR THEORETICAL  
STUDIES IN NUCLEAR PHYSICS AND RELATED AREAS  
TRENTO, ITALY  
Institutional Member of the European Expert Committee NUPECC

Castello di Trento ("Trint"), watercolor 19.8 x 27.7, painted by A. Dürer on his way back from Venice (1495). British Museum,

**Nuclear physics at the edge of stability**  
Zoom, June 28- July 1<sup>st</sup> 2021

**Abstract**

The physics at the edge of the nuclear stability is a multifaceted phenomenon ranging from the cleanest emergence of few-body physics from a set of many interacting nucleons to the complicated evolution of nuclear shells, clustering, evolution of nuclear superfluidity at the drip line as well as abrupt changes in reaction cross sections. This broad topic has strong connections to nuclear astrophysics, other open quantum systems and to the universal treatment of few-body systems. The objective of this workshop is to bring together various scientific communities which are addressing similar universal concepts and methodologies related to open quantum systems. We plan to review which phenomena are specific to nuclear physics, establish a baseline for the field, and provide, in the future and with the help of the participants/community, propositions for experimental tools and theoretical models to be developed.

**Organizers**  
G. Hupin, IJClab  
O. Sorlin, GANIL  
A. Gade, MSU  
L. Platter UTK

The ECT\* is sponsored by the "Fondazione Bruno Kessler" in collaboration with the "Assessorato alla Cultura" (Provincia Autonoma di Trento), funding agencies of EU Member and Associated States and has the support of the Department of Physics of the University of Trento.

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Thank you !

